Risk factors for lymph node metastasis in non-sentinel node basins in early gastric cancer: sentinel node concept

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Abstract

Background Sentinel node (SN) concept is being applied to early gastric cancer. However, when SNs are positive for metastasis, it is unclear how often LNs in other LN basins show metastasis. We aimed to investigate LN metastasis possibility in LN basins without SNs (non-SN basins). We determined risk factors for metastasis in non-SN basins and identified a prediction model for non-SN basin metastasis using classification and regression tree (CART) analysis.

Methods We enrolled 550 patients who were diagnosed with cT1N0M0 or cT2N0M0 gastric cancer with a single lesion and underwent SN mapping. We adopted a dual-tracer method using a radioactive colloid and blue dye to detect SNs.

Results Of all, 45 (8.2%) patients had SN metastasis; we divided them into two groups: LN metastasis positive and LN metastasis negative in non-SN basins. Univariate analysis showed that the groups differed significantly regarding lymphatic invasion ($p=0.007$), number of identified SNs ($p=0.032$), and macrometastasis in SN basins ($p=0.005$). The CART decision tree for predicting LN metastasis in non-SN basins had area under the curve value of 0.86. Moreover, there were significantly differences in cancer-specific survival (CSS) between the two groups ($p=0.028$).

Conclusions Macrometastasis in SN basins, lymphatic invasion, and number of identified SNs ≥ 5 are risk factors for LN metastasis in non-SN basins among gastric cancer patients. We identified a prediction model with CART analysis; patients with macrometastasis in SN basins and lymphatic invasion were considered to be at the highest risk for LN metastasis.

Keywords Stomach neoplasms · Gastrectomy · Sentinel lymph node biopsy

Introduction

In Japan, owing to the improvement in screening programs, the detection of localized cancer is more frequent than before, and pathological T1 cancer has been reported to account for 51.2% of all cancers in this country [1, 2]. The possibility of lymph node (LN) metastasis is low in early gastric cancer; however, distal or total gastrectomy with D1 or D2 lymphadenectomy, which is performed for advanced cancer, is mainly selected for treating early gastric cancer [3]. Therefore, our previous studies investigated the establishment of individualized, minimally invasive treatments using sentinel node (SN) concept for early gastric cancer [3, 4].

The SN concept is being applied to early gastric cancer, same as melanoma [5, 6] and breast cancer [7]. According to the SN concept, if SNs are negative for metastasis, LNs are considered to not have any possibility of metastasis.

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LNs are divided into five SN basins along with five main gastric arteries as follows: left gastric artery (l-GA) basin, right gastric artery (r-GA) basin, right gastroepiploic artery (r-GEA) basin, left gastroepiploic artery (l-GEA) basin, and posterior gastric artery (p-GA) basin. This could help prevent unnecessary prophylactic regional LN dissection. The feasibility of SN mapping according to the SN concept has been reported by some institutions, including our institution [3, 4, 8, 9]. Moreover, gastrectomy with SN mapping, called SN navigation surgery, could positively impact both early phase recovery and late-phase function, such as the prevention of dumping syndrome or weight loss, along with function-preserving surgeries, including partial gastrectomy, pylorus-preserving distal gastrectomy, segmental gastrectomy, and proximal gastrectomy if all SNs are negative for metastasis [4, 10–14].

However, when SNs are positive for metastasis, it is unclear how often LNs in other LN basins show metastasis. Therefore, D2 gastrectomy is required to be performed for patients with SN positivity [15].

We hypothesized that some patients do not need to undergo D1 or D2 gastrectomy but only need resection of the SN basins, even if SNs are positive for metastasis. The aim of this study was to investigate the possibility of LN metastasis in LN basins without SNs (non-SN basins) and to analyze the distribution of LN metastasis in patients with SN metastasis. Moreover, we aimed to determine the risk factors for metastasis in non-SN basins and to identify a prediction model for non-SN basin metastasis using classification and regression tree (CART) analysis.

Materials and methods

Patients

We enrolled 550 patients who were diagnosed with cT1N0M0 or cT2N0M0 gastric cancer with a single lesion and underwent gastric surgery with SN mapping as the primary treatment for gastric cancer at the Keio University, Tokyo, Japan, between November 1999 and September 2016.

Before treatment, patients were examined using upper gastrointestinal endoscopy, thoracic, and abdominal computed tomography, laboratory tests, and gastrography. Gastric cancer was diagnosed on the basis of pathological findings. In addition, the clinical cancer stage was determined according to the Japanese gastric cancer treatment guidelines (4th edition) [16] and the TNM classification [17]. We excluded patients who had previously undergone endoscopic mucosal resection (EMR)/endoscopic submucosal dissection (ESD) for the same lesion, because the validity and accuracy of SN biopsy after endoscopic treatment have not been

fully investigated [18]. Moreover, we excluded patients who had undergone wedge resection, segmental resection, and pylorus-preserving gastrectomy. Overall survival (OS) was calculated from the primary treatment date. Cancer-specific survival (CSS) was also indicated the term from treatment date to the date of death from the cancer.

SN mapping and surgical procedures

We adopted a dual-tracer method involving a radioactive colloid and blue dye to detect SNs [4, 19]. We endoscopically injected a 2.0 ml solution of technetium-99 m tin colloid (150 MBq) into four quadrants of the submucosal layer surrounding the primary tumor the day before surgery. In addition, we intraoperatively injected blue dye (indocyanine green or 1% isosulfan blue) in the same manner. Within 15 min of injecting the dye, we could detect LNs and lymphatic vessels, which visually stained blue [4]. Simultaneously, a hand-held gamma probe (GPS navigator; RMD Instruments, Watertown, MA, USA) was used to detect radioactive SNs. We diagnosed LNs with radioactivity > 10 times the background activity and/or LNs that stained blue as SNs. As mentioned above, the gastric lymphatic compartment was divided into the following five areas: l-GA basin, which includes LNs 1, 3a, and 7; r-GA basin, which includes LNs 3b, 5, and 8a; l-GEA basin, which includes LNs 4sa and 4sb; r-GEA basin, which includes LNs 4d and 6; and p-GA basin, which includes LN 11p [20]. Moreover, we considered the other LNs surrounding the stomach (LNs 2, 9, 10, 11d, and 14v) as a sub-basin. According to the TNM classification system, a metastasis < 0.2 cm was regarded as micrometastasis (MM), and single tumor cells or small clusters of cells measuring no more than 0.2 mm in the greatest extent, which could be detected using routine hematoxylin and eosin (HE) staining, were assessed [17, 21–24].

SN metastasis was diagnosed by intraoperative histologic examination with HE staining using a cut surface of a frozen section of each SN [25]. According to the locations of the main tumor and SN basin and their sizes, we performed total, distal, or proximal gastrectomy. This study was approved by the institutional review board of the Keio University School of Medicine, and informed consent for the entire procedure of SN mapping was obtained from all the patients before surgery.

Statistical analysis

We used χ^2 test for univariate analysis to analyze categorical variables and Mann–Whitney *U* test to analyze continuous variables. Moreover, we used CART analysis to identify the prediction model for non-SN basin metastasis using R, version 3.1.2 (R Foundation Statistical Computing, Vienna, Austria). CART analysis is a machine-learning method for

constructing prediction models, and it simulates the clinical decision process [26, 27]. It uses a generalization of the binomial variance called the Gini index, and there are some advantages with its use, including quick prediction and easy visual recognition of important variables [27]. We started with a single node and then assessed binary distinctions that provide most information about the class until a stop criterion was satisfied [28].

The accuracy of the results of CART analysis for the prediction of non-SN basin metastasis was confirmed using the area under the curve (AUC) determined from the receiver operator characteristics curve analysis. The association between LN metastasis in non-SN basins and long-term prognosis was assessed using the Kaplan–Meier method and log-rank tests. The R package “rpart” was used [27]. Statistical analyses were performed using Stata/SE 12.1 for Mac (Stata Corp, College Station, TX, USA). p value < 0.05 was considered significant.

Results

Background characteristics

Of all the patients, 45 (8.2%) had SN metastasis; they included 27 men and 18 women (Table 1). The mean patient age was 62.7 ± 12.4 years (median age, 61 years). Clinicopathological characteristics of the patients are shown in Table 1. The main tumor location was the middle gastric body (upper, 5; middle, 23; and lower, 17). Clinical T1 (91%) was the most frequent clinical classification before treatment, and pathological T1 (78%) was the most frequent classification after treatment. Of the 45 patients, 39 (87%) underwent distal gastrectomy, 2 (4%) underwent total gastrectomy, and 4 (9%) underwent proximal gastrectomy.

SN mapping

The number of identified SNs was 5.2 ± 3.1 , whereas the number of metastatic SNs was 2.0 ± 1.8 (Table 2). Among the 45 patients, 34 had 1 metastatic SN basin, 9 had 2 metastatic SN basins, 1 had 3 metastatic SN basins, and 1 had 5 metastatic SN basins. The most common location of metastatic SNs was the r-GEA basin (23 cases), followed by the l-GA basin (15 cases), r-GA basin (3 cases), l-GEA basin (3 cases), sub-basin (2 cases), and p-GA basin (1 case). We recognized LN metastasis in non-SN basins in 11 patients. The distribution of metastatic non-SN basins was as follows: r-GEA basin (6 cases), r-GA basin (5 cases), sub-basin basin (2 cases) l-GA basin (1 case), l-GEA basin (1 case), and p-GA basin (1 case).

Table 1 Patient characteristics

Characteristic	Total ($n=45$)
Sex	
Male	27 (60%)
Female	18 (40%)
Age (years, mean $\pm$ SD)	62.7 ± 12.4
Clinical diagnosis	
cT1	41 (91%)
cT2	4 (9%)
Location	
Upper third	5 (11%)
Middle third	23 (51%)
Lower third	17 (38%)
Circumference	
Lesser curvature	15 (33%)
Greater curvature	10 (22%)
Anterior wall	8 (18%)
Posterior wall	11 (24%)
All around	1 (2%)
Tumor size (mm, mean $\pm$ SD)	
Major axis	33.4 ± 16.3
Minor axis	24.6 ± 12.0
Surgical procedure	
Total gastrectomy	2 (4%)
Distal gastrectomy	39 (87%)
Proximal gastrectomy	4 (9%)
Laparoscopy	28 (62%)
Pathological results	
pT1	35 (78%)
pT2	7 (16%)
pT3	3 (7%)
ly	30 (66%)
v	13 (29%)

SD standard deviation, ly lymphatic invasion, v venous invasion

Risk factors for metastasis in non-SN basins

We divided the 45 patients into the following two groups to identify the risk factors for metastasis in non-SN basins: LN metastasis positive in non-SN basins and LN metastasis negative in non-SN basins. We compared the background characteristics and findings of SN mapping between the groups (Table 3). The groups were similar in terms of mean age (positive vs. negative: 60.4 ± 14.8 vs. 63.4 ± 11.7 years; $p=0.517$), clinical and pathological stages, tumor location, and tumor size; they differed significantly in terms of lymphatic invasion ($p=0.007$), number of identified SNs ($p=0.032$), and the macrometastasis in SN basins ($p=0.005$) as shown by univariate analysis.

Table 2 Results of SN mapping

Variable	Total (<i>n</i> = 45)
Number of identified SNs (mean $\pm$ SD)	5.2 $\pm$ 3.1 (1–19)
Number of metastatic SNs (mean $\pm$ SD)	2.0 $\pm$ 1.8 (1–10)
Number of metastatic SN basins	
1	34 (76%)
2	9 (20%)
3	1 (2%)
4	0 (0%)
5	1 (2%)
Location of metastatic SN basins	
r-GA	3 (7%)
r-GEA	23 (51%)
l-GA	15 (33%)
l-GEA	3 (7%)
p-GA	1 (2%)
Sub-basin	2 (4%)
LN metastasis in non-SN basins	11 (23%)
Pattern of SN metastasis	
Macrometastasis	29 (64%)
Micrometastasis/isolated tumor cell	16 (36%)
Location of metastatic non-SN basins	
r-GA	5 (11%)
r-GEA	6 (13%)
l-GA	1 (2%)
l-GEA	1 (2%)
p-GA	1 (2%)
Sub-basin	2 (4%)

Sub-basin includes LNs 2, 9, 10, 11d, and 14v

SN sentinel node, LN lymph node, SD standard deviation

Prediction model for LN metastasis in non-SN basins using CART

We used three variables including macrometastasis in SN basins, lymphatic invasion, and number of identified SNs that showed significant differences in univariate analysis initially in the CART analysis for predicting LN metastasis in non-SN basins (Fig. 1).

The most important parameter was macrometastasis in SN basins. Patients who had macrometastasis in SN basins, lymphatic invasion, and number of identified SNs ≥ 5 comprised the highest risk group. The CART decision tree had an AUC value of 0.86 (Fig. 2).

Long-term outcomes compared with or without LN metastasis in non-SN basins

In all 45 patients, the 5-year OS rate was 88.8% and CSS rate was 93.5%. There were four patients (8.9%) who had recurrences during observation term: two patients had bone

metastasis, one had liver metastasis and one had lung metastasis. No patients with recurrence at local or lymph node were observed. Of all four patients, three patients had lymph node metastasis in non-SN basins (Table 4). Although no significant difference with respect to OS (5-year OS: metastasis in non-SN basins, 78.8% vs. no metastasis in non-SN basins, 93.2%, $p = 0.535$), there were significant differences in CSS (5-year CSS: metastasis in non-SN basins, 78.8% vs. no metastasis in non-SN basins, 100%, $p = 0.028$) between two groups (Fig. 3).

Discussion

In this study, we found that macrometastasis in SN basins, lymphatic invasion, and number of identified SNs ≥ 5 were risk factors for LN metastasis in non-SN basins among gastric cancer patients. Furthermore, we identified a prediction model with CART analysis, and it had an AUC value of 0.86.

Although many studies have reported risk factors for LN metastasis in early gastric cancer [29, 30], to the best of our knowledge, this is the first report to elucidate the possibility of LN metastasis in non-SN basins. Our study had two important clinical aspects. First, we could recognize the necessity to perform additional gastric resection after minimal surgery when the results of SN mapping were false negative. False-negative SN metastasis is unavoidable using intraoperative pathological diagnosis [4]. The findings of over 100 single-institution studies showed that the sensitivity of determining LN status using SN biopsy may vary across institutions, and it was found that the SN detection rate was 90–100% and the accuracy of determining the LN status was 85–100% [4, 25]. Our previous multicenter prospective trial showed that among 57 cases who had SN metastasis, 4 (7.0%) were false negative [25]. Although studies have examined SN MM using RT-PCR [31, 32], we previously reported that we could not exclude the possibility of overlooking SN MM using HE staining alone [33]. Considering the results of our study, we could decide whether or not additional gastrectomy with D2 lymphadenectomy should be performed based on postoperative pathological findings. Second, our study might help improve new treatment approaches including a combination of EMR/ESD and SN mapping. If resection could be performed beyond the endoscopic criteria with improvement in endoscopic treatment, the indications of EMR/ESD for cT1 gastric cancer could be further expanded using SN mapping [34]. In the future, EMR/ESD combined with SN mapping surgery will have the potential to be a novel minimal surgery.

In the present study, none of the patients had LN metastasis in non-SN basins with no lymphatic invasion, and EMR/ESD followed by only SN basin resection may be acceptable

Table 3 Risk factors of metastasis in non-SN basins

	Non-SN basin positive (<i>n</i> = 11)	Non-SN basin negative (<i>n</i> = 34)	<i>p</i> value
Sex			0.671
Male	5 (45%)	21 (62%)	
Female	6 (55%)	13 (38%)	
Age (years, mean $\pm$ SD)	60.4 $\pm$ 14.8	63.4 $\pm$ 11.7	0.517
Clinical diagnosis			0.978
cT1	10 (91%)	31 (91%)	
cT2	1 (9%)	3 (9%)	
Location			0.140
Upper third	3 (27%)	2 (6%)	
Middle third	5 (45%)	18 (53%)	
Lower third	3 (27%)	14 (42%)	
Circumference			0.951
Lesser curvature	3 (27%)	12 (35%)	
Greater curvature	3 (27%)	7 (21%)	
Anterior wall	2 (18%)	6 (18%)	
Posterior wall	3 (27%)	8 (24%)	
All around	0	1 (3%)	
Tumor size (mm, mean $\pm$ SD)			
Major axis	29.7 $\pm$ 13.4	34.6 $\pm$ 17.1	0.465
Minor axis	20.6 $\pm$ 6.5	26.0 $\pm$ 13.2	0.279
Pathological results			0.887
pT1	10 (91%)	27 (78%)	
pT2	2 (18%)	5 (15%)	
pT3	1 (9%)	2 (6%)	
ly	11 (100%)	19 (56%)	0.007
v	4 (36%)	9 (27%)	0.529
Pathology			0.293
Tubular adenocarcinoma	6 (55%)	10 (29%)	
Poorly adenocarcinoma	5 (45%)	23 (68%)	
Other	0	1 (3%)	
Macrometastasis in SN basins	11 (100%)	18 (53%)	0.005
Number of SN metastases in SN basins (mean $\pm$ SD)	3.0 $\pm$ 2.7	1.7 $\pm$ 1.2	0.103
Number of identified SNs in SN basins (mean $\pm$ SD)	6.5 $\pm$ 2.8	4.8 $\pm$ 3.2	0.032
Number of non-SN resections (mean $\pm$ SD)	32.9 $\pm$ 13.7	31.0 $\pm$ 14.8	0.526

SN sentinel node, SD standard deviation, ly lymphatic invasion, v venous invasion

in patients with no lymphatic invasion. Furthermore, a clinical approach to confirm the accuracy and safety of SN mapping and consequent intraoperative EMR/ESD is needed.

As mentioned above, macrometastasis in SN basins, lymphatic invasion, and number of identified SNs were risk factors for LN metastasis in non-SN basins. No study has investigated metastasis in non-SN basins, but studies about skip metastasis in early gastric cancer have been reported. Miwa et al. classified LN metastasis in D2 as skip metastasis and suggested that the mechanisms of skip metastasis are associated with direct lymphatic flow to the affected LNs from the tumor on SN mapping [35, 36]. The risk factors for skip metastasis have been reported in

some studies. The factors related to lymphatic invasion, which were identified in our study, have been shown to be associated with skip metastasis [37]. Moreover, the number of identified SNs was a risk factor for LN metastasis in non-SN basins, because it may indicate the number of lymphatic flow paths in SN basins and the causes of LN metastasis [36]. Our previous study demonstrated that the number of identified SNs in metastatic cases was significantly higher than that in non-metastatic cases. We believe that the development of the lymphatic network and a large lymphatic flow rate may affect the number of identified SNs and may be associated with metastasis in non-SN basins [38].

Fig. 1 Prediction model for LN metastasis in non-SN basins using CART analysis. *LN* lymph node, *SN* sentinel node, *metastasis* LN metastasis in non-SN basins, *Pt* patients, *MIC* micro-metastasis, *ITC* isolated tumor cell, *MAC* macrometastasis

Fig. 2 Receiver operating characteristic curve analysis for the CART decision tree

Although no significant difference with respect to OS were observed, CSS was significantly low in patients with LN metastasis in non-SN basins. Despite local and regional lymph node recurrences being controlled by radical D2 dissection, recurrence at distant organs were observed in patients with LN metastasis in non-SN basins. This suggests that they may be highly malignant than patients with no LN metastasis in non-SN basins. Therefore, our system not only helps decision-making with additional treatment, but may also lead to risk stratification of long-term prognosis. To determine more evidence for long-term outcomes, more large number of patients would be needed.

The present study has some limitations. First, this was a retrospective, single-center study limited to the Japanese population. Second, some SN basins may have been considered as non-SN basins because of the possibility of mapping error in the detection of SNs.

Table 4 Recurrent patterns after gastrectomy in four patients

Age	Sex	Non-SN basin metastasis	Number of SN metastasis	Number of LN metastasis	Recurrent regions	Time to recurrence (months)
80	Female	Positive	2	5	Lung	60
63	Male	Negative	3	3	Liver	15
50	Male	Positive	10	27	Bone	32
50	Female	Positive	5	9	Bone	55

SN sentinel node, *LN* lymph node

Fig. 3 Comparison of overall and cancer-specific survival outcomes for all patients with using Kaplan–Meier method. Blue lines and red lines indicated the group with lymph node metastasis in non-sentinel

basins negative and the group with lymph node metastasis in non-SN basins positive, respectively

Conclusion

Macrometastasis in SN basins, lymphatic invasion, and number of identified SNs ≥ 5 are risk factors for LN metastasis in non-SN basins among gastric cancer patients. A prediction model was identified with CART analysis, and patients with macrometastasis in SN basins and lymphatic invasion were considered to be at the highest risk. Our findings should be validated in a multicenter, prospective study in the future.

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Compliance with ethical standards

Ethical standards All procedures were followed in accordance with the ethical standards of the responsible committee on human experimentation (institutional and national) and with Helsinki Declaration of 1964 and later versions. Informed consent or substitute for it was obtained from all patients for being included in the study.

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