ORIGINAL ARTICLE

Time trend of medical economic outcomes of endoscopic submucosal dissection for gastric cancer in Japan: a national database analysis

Atsuhiko Murata · Kohji Okamoto · Keiji Muramatsu · Shinya Matsuda

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Abstract

Background Little information is available on the analysis of chronological changes in medical economic outcomes of endoscopic submucosal dissection (ESD) for gastric cancer. This study aimed to investigate the recent time trend of medical economic outcomes of ESD for gastric cancer based on the Japanese administrative database.

Methods A total of 32,943 patients treated with ESD for gastric cancer were referred to 907 hospitals from 2009 to 2011 in Japan. We collected patients' data from the administrative database to compare ESD-related complications, risk-adjusted length of stay (LOS), and medical costs during hospitalization. The study periods were categorized into three groups: 2009 (n = 9,727), 2010 (n = 11,052), and 2011 (n = 12,164).

Results No significant difference was observed in ESD-related complications between three study periods (p=0.496). However, mean LOS and medical costs during hospitalization of patients with ESD were significantly lower in 2011 than in 2009 and 2010 (p<0.001). Multiple linear regression analysis showed that patients who received ESD in 2011 had a significantly shorter LOS and lower medical costs during hospitalization compared with those in 2009. The unstandardized coefficient of patients

A. Murata (☒) · K. Muramatsu · S. Matsuda
Department of Preventive Medicine and Community Health,
School of Medicine, University of Occupational and
Environmental Health, 1-1 Iseigaoka, Yahatanishi-ku,
Kitakyushu 807-8555, Japan
e-mail: amurata@med.uoeh-u.ac.jp

K. Okamoto Department of Surgery, Yahata Municipal Hospital, Kitakyushu, Japan

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with ESD in 2011 for LOS was -0.78 days [95 % confidence interval (CI), -0.89 to -0.65; $p \le 0.001$], while that of those for medical costs during hospitalization was -290.5 US dollars (95 % CI, -392.3 to -188.8; $p \le 0.001$). Conclusions This study showed that the complication rate of ESD was stable, whereas the LOS and medical costs of patients were significantly reduced from 2009 to 2011.

Keywords Gastric cancer · Endoscopic submucosal dissection · Chronological change · Medical economic outcomes · Administrative database

Introduction

Gastric cancer is one of the most common cancers and frequent causes of cancer-related deaths in Japan [1]. According to the vital statistics released by the Ministry of Health, Welfare, and Labour in Japan, approximately 50,000 Japanese men and women die from gastric cancer annually, representing approximately 15 % of annual cancer-related deaths over the past 4 decades [2]. However, the 5-year cancer survival rate in the early stage of gastric cancer has been reported to be greater than 90 % in Japan [3, 4]. Therefore, health-care policies for gastric cancer are increasingly focused on detection and treatment in the early stage [4].

Recent advances in endoscopic therapies have enabled more effective resection of early gastric cancer. Endoscopic submucosal dissection (ESD) is a promising technique for the treatment of early gastric cancer, and this endoscopic procedure allows larger en bloc resection of lesions compared with endoscopic mucosal resection (EMR) [5]. Although ESD has higher procedure-related bleeding and perforation rates compared with EMR in the

introduction of ESD, recent studies have reported that ESD is a safe and effective method for the management of early gastric cancer [6–10]. Currently, ESD is established as the standard endoscopic treatment for early gastric cancer in Japan, as well as in some developed countries [9, 10].

However, no reports have evaluated the medical economic outcomes of ESD for gastric cancer. In particular, no recent time trend analyses have been performed considering medical economic outcomes based on an administrative database. Confirmation of chronological changes in medical economic outcomes of ESD for gastric cancer could be useful for studies on treatments for gastric cancer.

In this study, we investigated the recent time trend of medical economic outcomes of ESD for gastric cancer. We used a national administrative database developed for Japanese case-mix projects based on the Diagnosis Procedure Combination (DPC) system.

Methods

The DPC system and database

In Japan, the health-care system has severe financial issues due to expensive advances in medical technology, a rapidly aging society, and extended patient hospitalization [11]. To address these issues, the Ministry of Health, Labour and Welfare and its affiliated research institute have begun investigating whether the Japanese case-mix classification system can be used to standardize medical profiling and payment [11]. This has resulted in Japanese case-mix projects based on the DPC system being introduced to 82 academic hospitals (the National Cancer Center, the National Cardiovascular Center, and 80 university hospitals) in 2003 [12, 13]. Reimbursement of insurance by the DPC system is common practice in Japan. The number of acute care hospitals has increased in the administrative database of the DPC system [12, 13].

Each patient's financial data, claim information, and discharge summary, which includes principal diagnosis, complications, and comorbidities during hospitalization, are recorded in the administrative database of the DPC system. These data are coded in the International Classification of Diseases and Injuries 10th Revision (ICD-10th) code. Additionally, this administrative database comprises comprehensive medical information, including all interventional or surgical procedures, medications including prescribed drugs, and some devices that have been indexed in the original Japanese code [13, 14]. The Ministry of Health, Labour, and Welfare of Japan assigns these codes [11–14]. The administrative database of the DPC system contains total medical costs, which include all prices for every completed procedure. These costs are obtained using

a standardized fee-for-service payment system and are recorded in the nationally uniform fee table [13, 14].

Study setting

We selected 32,943 patients treated with ESD for gastric cancer in 907 DPC participation hospitals from 2009 to 2011. These hospitals are dispersed throughout Japan and play leading roles in providing acute care medicine, advancing medical research, and educating students and medical residents [11–14]. For the present analysis, study periods were categorized into three groups according to year: 2009 (n = 9,727), 2010 (n = 11,052), and 2011 (n = 12,164).

The use of DPC data was permitted by all institutions and hospitals that provided detailed data. The research protocol of the study was approved by the ethics committee of medical care and research of the University of Occupational and Environmental Health, Kitakyushu, Japan.

Study variables

We collected data in the administrative database with regard to the clinical characteristics of hospitals and patients as follows: hospital status and region, site of cancer, age, sex, presence of chronic comorbid conditions, use of a proton pump inhibitor (PPI) and anticoagulation and antiplatelet drugs before and after ESD, ESD-related complications, length of stay (LOS), and medical costs during hospitalization.

Hospital size was categorized into three groups according to the number of hospital beds: small sized (<200 beds) medium sized (200–600 beds), and large sized (>600 beds) [14]. Hospital regions were divided into eight categories: Hokkaido, Tohoku, Kanto, Chubu, Kinki, Chugoku, Shikoku, and Kyusyu regions. The site of cancer was defined using the ICD-10th code: C16.0 (cardia), C16.1 (fundus), C16.2 (body), C16.3 (antrum), C16.4 (pylorus), and C16.5, C16.6, C16.8, and C16.9 (unknown category). Age was stratified into three groups: younger than 60 years, 60-80 years, and older than 80 years, as in a previous report [15]. The severity of chronic comorbid conditions was assessed using the Charlson Comorbidity Index (CCI), which is widely used for recording comorbidities and has been validated in various studies [16]. The CCI score was calculated for each patient as in previous studies, and this demonstrates the association between the CCI and ICD-10th code [17]. The CCI score was expressed as the score of all comorbid conditions, and it was initially evaluated as a continuous variable. However, categorical variables defining three categories of severity of chronic comorbid conditions were created to simplify the presentation of the results: 0, mild; 1, moderate; 2 or higher,



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severe [11–14]. ESD-related complications were defined as any of the following ICD-10 codes: perforation of the stomach (K25.5), peritonitis (K65.0), gastrointestinal hemorrhage (K92.2), bleeding or hematoma (T81.0), and iatrogenic perforation during endoscopic procedures (T81.2). These complications were defined according to published criteria [18–20]. For the analysis of medical costs during hospitalization, we assumed the yen to dollar exchange rate to be approximately 90 yen to the US dollar (February 2013).

Statistical analysis

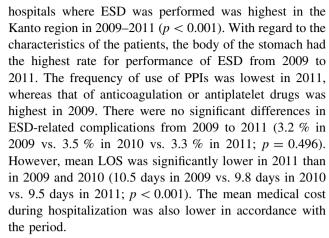
We used the chi-squared test for categorical data and oneway factorial analysis of variance (ANOVA) for continuous variables. We used simple and multiple logistic regression models to estimate the odds ratios (ORs) and their 95 % confidence intervals (CIs) for ESD-related complications, with the 2009 group considered as the reference group. To control for selection bias with regard to baseline characteristics of patients between fiscal years, we performed generalized propensity score analysis to estimate the dose-response function for each year. The propensity score method has been widely used in observational studies to deal with possible biases [21, 22]. We used a multinomial logistic regression model with logit as the link function to obtain generalized propensity scores using the data of patient characteristics, such as the site of cancer, age, sex, presence of chronic comorbid conditions, and use of a PPI and anticoagulation and antiplatelet drugs. Robust standard errors were also used to allow for the effect of clustering of patients within hospitals. In additional analyses, multiple linear regression models were used to identify the effect of chronological changes on LOS and medical costs during hospitalization, considering hospital characteristic and propensity scores.

All statistical analyses were performed using the STA-TA statistical software package version 11.0 (Stata Corp., College Station, TX, USA). A value of p < 0.05 was considered significant.

Results

A total of 32,943 patients with ESD for gastric cancer were identified for this study, comprising 9,727 patients in 2009, 11,052 patients in 2010, and 12,164 patients in 2011. The total rate of complications related to ESD was 3.4 % from 2009 to 2011. The total mean LOS of all patients was 9.9 days, and the mean medical cost during hospitalization was 6,555.1 US dollars.

The clinical characteristics and presentations of hospitals and patients are shown in Table 1. The proportion of



Logistic regression analysis for ESD-related complications is shown in Table 2. Simple logistic regression analysis showed that the period did not affect ESD-related complications (OR of 2010, 1.09, 95 % CI, 0.93–1.26, p=0.261; OR of 2011, 1.02, 95 % CI, 0.88–1.19, p=0.751). After adjustment for patients' characteristics, as well as hospital status and geographic region, no significant association of the period was observed for ESD-related complications (OR of 2010, 1.10, 95 % CI, 0.94–1.28, p=0.218; OR of 2011, 1.04, 95 % CI, 0.89–1.21, p=0.600).

Regarding LOS of patients with ESD, simple linear regression analysis showed that the period significantly affected the LOS. After adjustment for potentially confounding demographic and clinical variables, the period was significantly associated with decreasing LOS in patients with ESD. The unstandardized coefficient of 2010 was -0.59 days (95 % CI, -0.71 to -0.47, p < 0.001) and that of 2011 was -0.78 days (95 % CI, -0.89 to -0.65, p < 0.001; Table 3).

Linear regression analysis for medical costs during hospitalization of patients with ESD is shown in Table 4. There was a consistently significant association between the period and medical costs during hospitalization. Multivariate regression analysis showed that the period was significantly associated with decreasing medical costs in patients with ESD. The unstandardized coefficient of 2010 was -261.5 US dollars (95 % CI, -362.2 to -160.8, p < 0.001) and that of 2011 was -290.5 US dollars (95 % CI, -392.3 to -188.8, p < 0.001).

Discussion

We investigated the recent time trend analysis of medical economics outcomes of ESD for gastric cancer using a national administrative database. We found no significant difference with regard to ESD-related complications, while LOS and medical costs of patients with ESD for



Table 1 Clinical characteristics of the hospitals and patients with endoscopic submucosal dissection for gastric cancer

	2009 year $(n = 9,727)$	2010 year $(n = 11,052)$	2011 year $(n = 12,164)$	p value
	(n-3,727)	(n = 11,032)	(12,101)	
Hospital characteristics				
Hospital size (%)				
Small sized	34.0	34.3	41.5	< 0.001
Medium sized	42.4	36.4	32.9	
Large sized	23.6	29.3	25.6	
Hospital region (%)				
Hokkaido region	2.7	2.6	2.9	< 0.001
Tohoku region	12.4	9.7	7.4	
Kanto region	23.2	26.8	27.9	
Chubu region	17.8	17.3	17.8	
Kinki region	18.1	19.0	19.2	
Chugoku region	7.3	8.0	9.0	
Shikoku region	3.1	2.7	3.5	
Kyusyu region	15.4	13.9	12.3	
Patient characteristics				
Site of gastric cancer (%)				
Cardia	5.5	6.1	6.0	0.003
Fundus	1.5	1.5	1.6	
Body	44.8	44.2	45.7	
Antrum	27.5	26.1	26.5	
Pylorus	3.9	4.2	4.2	
Unknown category	16.8	17.9	16.0	
Age				
Mean age (years)	72.1	71.5	71.7	0.003
Age categories (%)				
<60 years	9.2	9.8	8.6	< 0.001
60-80 years	72.3	74.8	74.8	
>80 years	18.5	15.4	16.6	
Sex (%)				
Male	73.9	74.1	74.3	0.757
Female	26.1	25.9	25.7	
Chronic comorbid conditions (%)				
Mild	47.4	43.9	41.3	< 0.001
Moderate	35.1	39.1	40.8	
Severe	17.5	17.0	17.9	
Use of proton pump inhibitor (%)	97.3	97.3	94.9	< 0.001
Use of anticoagulation drugs (%)	3.1	2.2	2.3	< 0.001
Use of antiplatelet drugs (%)	2.8	2.5	2.2	0.021
Outcomes	· -			
ESD-related complications (%)	3.2	3.5	3.3	0.496
Mean length of stay (days)	10.5	9.8	9.5	< 0.001
Mean medical costs (US dollars)	6,768.4	6,507.7	6,427.6	< 0.001
	-,••	-,	-,0	.5.001

ESD endoscopic submucosal dissection

gastric cancer were significantly reduced from 2009 to 2011.

While many studies have focused on the efficacy of ESD, such as en-bloc resection or the complete resection rate for patients with gastric cancer, studies evaluating the medical economics of ESD for gastric cancer are rare.

However, evaluation of the medical economics of treatments, such as LOS or medical costs, are increasingly important because many developed countries consider that health-care providers should take into account the medical economic impact as well as the efficacy of treatment. Furthermore, determining chronological changes in



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Table 2 Logistic regression analysis for endoscopic submucosal dissection-related complications

	Odds ratio	95 % confidence interval	p value
Simple 1	ogistic regression		
2009	1.00 (reference)		
2010	1.09	0.93-1.26	0.261
2011	1.02	0.88-1.19	0.751
Multivar	iate logistic regres	sion [†]	
2009	1.00 (reference)		
2010	1.10	0.94-1.28	0.218
2011	1.04	0.89-1.21	0.600

[†] Odds ratios adjusted for hospital characteristics (hospital size and region) and propensity score (site of gastric cancer, age, sex, chronic comorbid conditions, use of proton pump inhibitor and anticoagulation and antiplatelet drugs)

Table 3 Linear regression analysis for length of stay (days)

Simple linear regression 2009 Reference 2010 -0.64 -0.76 to -0.52 2011 -0.95 -1.07 to -0.83 Multivariate linear regression [†]	< 0.001
2010 -0.64 -0.76 to -0.52	~ 0.001
2011 -0.95 -1.07 to -0.83	<0.001
	<0.001
Multivariate linear regression [†]	< 0.001
2009 Reference	
2010 -0.59 -0.71 to -0.47	< 0.001
2011 -0.78 -0.89 to -0.65	< 0.001

[†] Multivariate coefficient adjusted for hospital characteristics (hospital size and region) and propensity score (site of gastric cancer, age, sex, chronic comorbid conditions, use of proton pump inhibitor and anticoagulation and antiplatelet drugs)

 Table 4 Linear regression analysis for medical costs during hospitalizations (US dollars)

	Coefficient	95 % confidence interval	p value
Simple lin	near regression		
2009	Reference		
2010	-260.6	-361.6 to -159.7	< 0.001
2011	-340.7	-439.5 to -242.0	< 0.001
Multivaria	ate linear regress	ion [†]	
2009	Reference		
2010	-261.5	-362.2 to -160.8	< 0.001
2011	-290.5	-392.3 to -188.8	< 0.001

[†] Multivariate coefficient adjusted for hospital characteristics (hospital size and region) and propensity score (site of gastric cancer, age, sex, chronic comorbid conditions, use of proton pump inhibitor and anticoagulation and antiplatelet drugs)

medical economics could be useful information for confirming the change in quality of patient's care. The present study is the first report of a recent time trend analysis

considering medical economic outcomes of ESD for gastric cancer.

With regard to the rate of ESD-related complications, we consider that the technical skill level of ESD for gastric cancer has been stable from 2009 to 2011. ESD is a technically demanding endoscopic procedure for gastric cancer. In the early 2000s, some clinical studies reported that the complication rate of ESD was from 5 to 8 % [23, 24]. However, several recent reports showed that the complication rate of ESD ranged from 3 to 5 %, which was lower than in the early 2000s [25–27]. In our study, the complication rate of ESD based on an administrative database was approximately 3 % from 2009 to 2011, which indicated that complications of ESD remained low. Kakushima et al. [28] reported that constant rates of treatment efficacy and complications were achieved over a 5-year period of experience with ESD for gastrointestinal neoplasms. Some recent reports have also suggested that a certain number of cases are necessary to produce skilled and competent endoscopists for ESD [29, 30]. In addition, Uedo et al. [31] pointed out that ESD is performed by more experienced endoscopists in Japan than in other Asian countries in their questionnaire survey among some Asian countries in 2011. Therefore, our results may suggest that the number of experienced endoscopists has been increasing since the early 2000s, and their technical skill level in ESD has been favorably stable from 2009 to 2011. Additionally, various types of ESD devices have been introduced recently [32– 34]. These new devices appear to be safer and more effective compared to those used at the introduction of ESD [33, 34]. Therefore, the development of devices for ESD may also have contributed to the decrease in complications between the early 2000s and now and to the steadiness of the ESD complication rate.

However, LOS and medical costs of patients with ESD for gastric cancer decreased significantly from 2009 to 2011. Various factors may explain the association between the period and the LOS and medical costs in patients with ESD. First, a critical path for ESD has frequently been used to improve treatment efficiency, especially in hospitals with larger case volumes. A critical path briefly summarizes the procedure or treatments that are necessary during hospitalization along the time axis. This system has contributed to the efficiency and standardization of ESD [35, 36]. In addition, the data in our study came from DPCparticipating hospitals. These hospitals have adopted a unique reimbursement system whereby the paid medical treatment fees become proportionally higher as the LOS becomes shorter [37]. Therefore, a shorter hospitalization leads to an increase in income for the hospitals. Furthermore, payment per hospitalization is strictly determined by the DPC payment system. Many hospitals have become stricter about expenses and longer hospitalizations, so that



unnecessary expenses for drugs and ESD materials or a longer hospitalization may be reduced [37]. Therefore, the efficiency of treatment, such as using a critical path or a unique reimbursement system for payment, may have been mainly associated with a decrease in LOS or medical costs during hospitalization from 2009 to 2011. Second, many experienced endoscopists can respond quickly to the occurrence of complications; therefore, their rapid action may obscure any effect of complications on LOS in patients with ESD. In addition, some previous reports have shown that the various management strategies for ESD complications prevent the development of much more severe conditions in patients with complications [7, 18, 19, 23]. Our results also suggest that a quick response by many experienced endoscopists or the establishment of a management strategy for ESD complications as well as the efficiency of treatment might have shortened LOS, while the ESD complication rate reemained stable from 2009 to 2011.

The data used represent a major strength of the current study. One of the benefits of the national database was that it enabled evaluation of a large number of hospitals in an unbiased manner, because our investigation involved a nationally representative sample of patients in a community setting [11-14]. In 2008, 2,120,170 hospitalization records were gathered in the administrative database of the DPC system. These data were collected from hospitals participating in the survey, and 855 out of the 1,557 hospitals agreed to participate in the survey in 2008, which represented approximately 40 % of acute inpatients during that time [38]. In addition, Fushimi [39] reported that the data from the DPC database are almost consistent with actual patient data. Therefore, this administrative database also enables interested parties to evaluate outcomes with individual detailed medical treatments, and the validity and reliability of the data from the DPC database have been reasonably assured.

Some potential limitations of this study also warrant mention. First, the data were obtained from DPC participating hospitals. Our study indicated that LOS and medical costs of patients with ESD experienced similar changes between 2009 and 2011 in a parallel manner. The DPC system may play a role in this association between LOS and medical costs of patients with ESD. Therefore, data in non-DPC-participating hospitals should be analyzed to confirm the influence of the DPC system in the future. Second, we could not investigate the types of devices for ESD, such as insulation-tipped knives, hook knives, needle knives, or flex knives, and other knives or endoscopic systems, such as narrow banding imaging or carbon dioxide insufflation. The types of devices or endoscopic systems used in ESD are not recorded in the Japanese administrative database. These factors may affect the medical costs for ESD. Third, we did not evaluate readmission of patients who underwent ESD in this study. Some previous reports have suggested that delayed bleeding sometimes occurs after discharge from the hospital [40, 41]. The presence of late complications after discharge may also influence medical costs for patients with ESD. Fourth, because this administrative database does not include clinical data such as endoscopic imaging or the procedure time of ESD, we could not evaluate the lesion size of gastric cancer or procedure time of ESD in this study. Some reports have suggested that complications are significantly higher in the case of large-sized lesions or with a longer ESD procedure time [18, 42–44]. Therefore, further clinical studies evaluating time trend analysis may be required, taking into account clinical data, such as the lesion size of gastric cancer or the procedure time of ESD, and the devices or endoscopic system used for ESD for gastric cancer and readmission of patients who have undergone ESD.

In spite of these limitations, the current study has implications for the quality of patient care and future research. First, live demonstrations and symposiums to share techniques and knowledge of how to perform ESD are frequently held in Japan [45, 46]. In addition, a training program for ESD has been introduced by some hospitals [47, 48]. These steady efforts have favorably influenced the medical economic outcomes of ESD so that ESD will play a more important role in gastric cancer treatment in Japan. However, the total mean LOS of all patients with ESD in this study was 9.9 days, which is longer than that in reports from high-volume hospitals. Our administrative database enables the monitoring of medical economic outcomes of patients with ESD for gastric cancer because the patients' data are collected in the administrative database every year [11–14]. Therefore, the medical quality of ESD in many hospitals should be confirmed by successive monitoring based on a national administrative database. Second, important clinical data, such as en-bloc resection or the complete resection rate, are still lacking in our database, although this study demonstrated the time trend of outcomes of ESD for gastric cancer, such as ESD-related complications. The Japanese Gastric Cancer Association (JGCA) began a project to register patients who were treated by ESD in 2011 [49]. The results from the database of this project will be useful information on the quality of ESD for gastric cancer in the near future. However, we consider that more valuable information can be produced by a link between our administrative database and the database in this project. For example, the Surveillance, Epidemiology, and End Results (SEER) program of cancer registries, which is a cancer registry database in the USA, has been linked to the Medicare Claim Database known as a medical services payment system. As a result, many



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clinical studies have reported using these linked databases [50]. Therefore, we believe that a link between our database and the database of the JGCA may be vital for future research on ESD in Japan. If this is carried out, more valuable information showing the favorable quality of ESD for gastric cancer can be expected in patients who undergo ESD for gastric cancer.

In conclusion, this study demonstrated that the complication rate of ESD was stable, whereas the LOS and medical costs of patients decreased significantly from 2009 to 2011. Our results may suggest that the number of experienced endoscopists has been increasing, and the skill level of ESD has been favorably stable. Additionally, improvement in the medical economic quality of ESD for gastric cancer has also been progressing in Japan.

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Conflict of interest None of the authors have any conflicts of interest to declare.

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