## CASE REPORT

# A case of lymph node metastasis following a curative endoscopic submucosal dissection of an early gastric cancer

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**Abstract** Currently in Japan, differentiated gastric submucosal invasive cancers <500 µm (SM1) with negative lymphovascular involvement are included in expanded pathological criteria for curative endoscopic treatment. This is based on a retrospective examination of surgical resection cases in which patients suitable for such expanded criteria were determined to have a negligible risk of lymph node metastasis. We performed endoscopic submucosal dissection on a 65-year-old male with early gastric cancer in April 2005, and pathology revealed a welldifferentiated adenocarcinoma, 21 × 10 mm in size, SM1 invasion depth and negative lymphovascular invasion as well as tumor-free margins, so the case was diagnosed as a curative resection. This case, however, resulted in lymph node metastasis that was diagnosed by endoscopic ultrasonography with fine-needle aspiration biopsy in May 2009. Distal gastrectomy with D2 lymph node dissection was then performed, confirming lymph node metastasis from the original gastric cancer.

**Keywords** Early gastric cancer · Lymph node metastasis · Expanded criteria · ESD · SM1

#### Introduction

Endoscopic resection is the preferred treatment method for the local dissection of early cancer with a negligible risk of lymph node metastasis. For early gastric cancer (EGC), it is possible to achieve nearly 100% curability by radical surgery; therefore, it is an absolute requirement to maintain such a level of curability with endoscopic resection. In Japan, the Gastric Cancer Treatment Guideline (3rd version) specifies that the pathological criteria for curative endoscopic resection are limited to small intramucosal differentiated-type gastric cancer ≤20 mm in size without an ulcer finding [1]. Recently, the pathological criteria for curative endoscopic resection of EGC have been expanded to cover other lesions with a negligible risk of lymph node metastasis [2]. These expanded criteria include larger lesions, lesions with ulceration and lesions that invade the submucosa <500 μm (SM1).

Together with advances in treatment equipment used for endoscopic resection in recent years, endoscopic submucosal dissection (ESD) provides a higher en bloc resection rate, thus allowing for more accurate and detailed pathological evaluation compared to endoscopic mucosal resection (EMR) [3–8]. Due to the refinement of ESD and the acceptance of the expanded pathological criteria for curative endoscopic resection, which in turn resulted in expanded clinical indications for endoscopic resection, the

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number of patients who are treated by endoscopic resection has increased dramatically.

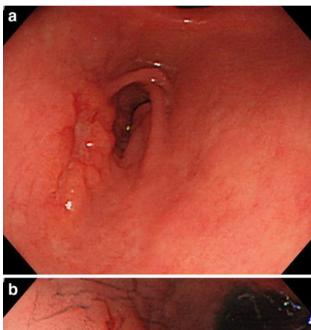
We experienced for the first time a case of lymph node metastasis following ESD resulting in a pathologically curative resection of SM1 EGC and report this case here.

### Case report

A 65-year-old man was admitted in 2005 because EGC was detected by screening endoscopy. There were no abnormalities in the physical examination or laboratory data, and no medical history of malignant tumors. The lesion was a type 0-IIc well-differentiated adenocarcinoma, 20 mm in size with an ulcer scar on the anterior wall near the pylorus (Fig. 1a, b). ESD was performed on this lesion, resulting in an en bloc resection. Precise pathological examination of 2-mm slices from the entire specimen revealed a type 0-IIc lesion  $21 \times 10$  mm in size with an ulcer scar that was predominantly a well-differentiated adenocarcinoma with an intramucosal, but not a submucosal poorly differentiated adenocarcinoma component in the proximal portion of the lesion. The poorly differentiated adenocarcinoma component was separate from the ulcer scar. The tumor involved SM1 invasion with negative lymphovascular involvement and horizontal/vertical margins, so it was determined that the resection was curative based on the expanded pathological criteria (Fig. 2a-c). Thereafter, we performed computed tomography (CT) or endoscopic ultrasonography (EUS) on an alternating basis every 6 months as well as endoscopy every year. In December 2007, CT detected an enlarged lymph node near the pylorus  $(12 \times 9 \text{ mm})$ (Fig. 3), and the laboratory data indicated an increase in CEA of 12.4 ng/ml (normally <5.0 ng/ml). EUS was unable to detect the enlarged lymph node, so we continued EUS follow-up every 2 or 3 months. In July 2008, EUS revealed a 12-mm enlarged lymph node at the same site indicated by CT in December 2007. This enlarged lymph node had some highly echoic spots, so it was thought to be an inflammatory reaction, and we continued EUS followup every 2 or 3 months. Although the size of the lymph node did not change, a new 6-mm enlarged lymph node near the original site was detected by EUS in May 2009 (Fig. 4a), and the CEA level had increased to 17.5 ng/ml by then. For diagnosis of the enlarged lymph nodes, EUS with fine-needle aspiration biopsy (EUS-FNA) was carried out, and a pathological examination revealed an adenocarcinoma (Fig. 4b). No other lesions were detected; therefore, lymph node metastasis was diagnosed as resulting from the SM1 EGC originally treated by ESD. In July 2009, a distal gastrectomy with D2 lymph node dissection was carried out, and postoperative pathological examination revealed no local recurrent tumor at the ESD site in the stomach. Metastasis was limited to the lymph node near the pylorus (Fig. 5a, b). No recurrence has been subsequently detected following the gastrectomy.

## Discussion

We previously reported a group of patients with negligible risk of lymph node metastasis based on the pathological examination of over 5,000 surgical EGC cases that developed into the current expanded pathological criteria for curative endoscopic resection of EGC [2]. The expanded criteria include differentiated adenocarcinomas with negative lymphovascular involvement that are either intramucosal cancer without ulcer findings regardless of tumor size, intramucosal cancer with ulcer finding  $\leq 3$  cm in size



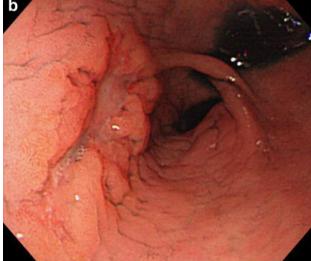
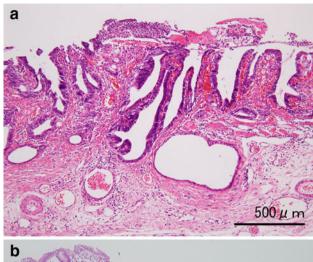
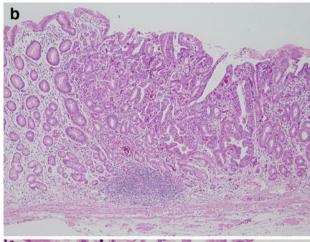
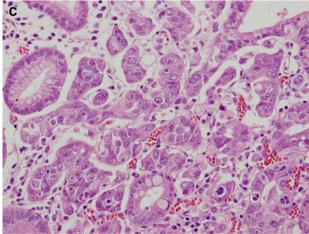


Fig. 1 a Endoscopy detected a type 0–IIc lesion 20 mm in size with an ulcer scar on the anterior wall near the pylorus. b Chromoendoscopy using indigo-carmine clearly revealed the margin of the lesion







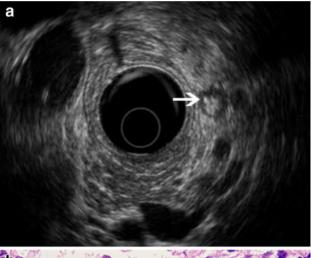


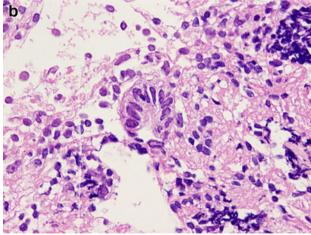
**Fig. 2** a Pathological examination revealed a type 0–IIc lesion with ulcer scar,  $21 \times 10$  mm in size, that had invaded <500  $\mu$ m with negative lymphovascular involvement and horizontal/vertical margins. b, c Resected specimen predominantly revealed a well-differentiated adenocarcinoma with a poorly differentiated adenocarcinoma component in just the intramucosal proximal portion of the lesion

or SM1 cancer  $\leq$ 3 cm in size. Patients who were treated following these expanded criteria have had similar long-term outcomes to those treated according to traditional



Fig. 3 CT in December 2007 detected an enlarged suprapyloric lymph node measuring  $12 \times 9$  mm in size

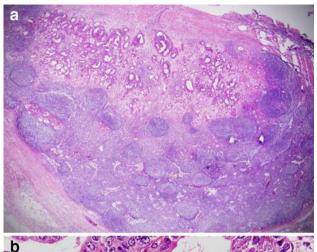




**Fig. 4 a** EUS in May 2009 revealed an enlarged lymph node measuring 12 mm in size at the site previously revealed by CT as well as another lymph node measuring 6 mm in size at a site close to the first one. **b** An adenocarcinoma with an enlarged nucleus and border irregularities was pathologically revealed by EUS-FNA



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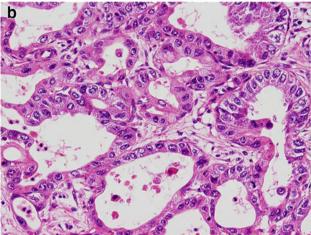
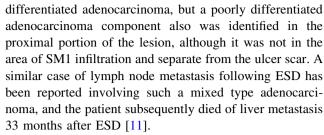


Fig. 5 Lymph node resected by surgery revealed a well-differentiated adenocarcinoma

guideline criteria (5-year survival rate of expanded criteria vs. traditional guideline criteria: 93.4 vs. 92.4%) [9]. In recent years, Nagano et al. [10] reported two cases of lymph node metastasis of SM1 cancer; however, one patient was previously treated by endoscopic piecemeal resection, and submucosal lymphatic involvement was observed in the other case. No lymphovascular involvement was observed in the present case, however, and this is the first case of a curative resection based on the SM1 expanded criteria in which lymph node metastasis was observed during careful follow-up.

We speculate that there are two possible explanations for such lymph node metastasis. First, we have previously reported that SM1 gastric cancer differentiated adenocarcinomas with negative lymphovascular involvement ≤3 cm in size had a 0% rate (0/145) of lymph node metastasis with a 95% confidence interval (CI) upper limit of 2.5% [2]. The risk of lymph node metastasis for such SM1 gastric cancer is slightly higher, however, than for the expanded criteria for intramucosal cancer. Second, the resected specimen revealed a predominantly well-



In our case, lymph node metastasis was pathologically confirmed by EUS-FNA, and radical surgical treatment was performed, thus far resulting in the patient having no recurrence. Needless to say, careful follow-up is necessary after endoscopic resection, but it is difficult for current diagnostic imaging equipment to differentiate whether slightly enlarged lymph nodes, as in the present case, are non-malignant or metastatic [12]. Iwashita et al. [13] analyzed the enlarged lymph nodes of 62 patients and reported EUS-FNA sensitivity to be 97% with a specificity of 100%. EUS-FNA was also effective in the present case; therefore, we believe that EUS-FNA can be of assistance in making an accurate diagnosis for patients with enlarged lymph nodes.

We have to maintain careful follow-up, keeping in mind that metastasis may possibly occur even in a case of curative resection based on the expanded pathological criteria.

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