


Correction to: Optimal control of infinite dimensional bilinear systems: application to the heat and wave equations

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Published online: 19 May 2018

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Correction to: Math. Program. Ser. B (2018) 168:717–757
<https://doi.org/10.1007/s10107-016-1093-4>

Closure of the set of primitives of critical directions

We need to correct Proposition 6 as follows. Define the new set

$$\widehat{PC}_2(\hat{u}) := \left\{ \begin{array}{l} (w, h) \in L^2(0, T) \times \mathcal{R}; w \text{ is constant over boundary arcs,} \\ w = 0 \text{ over an initial boundary arc,} \\ w = h \text{ over a terminal boundary arc} \end{array} \right\}. \quad (\text{E1})$$

Proposition 6 *Let $\hat{u} \in \mathcal{U}_{ad}$ satisfy (4.24)–(4.25). Then $PC_2(\hat{u})$, defined before (3.45), satisfies*

$$PC_2(\hat{u}) = \{(w, h) \in \widehat{PC}_2(\hat{u}); w \text{ is continuous at bang-bang junctions}\}. \quad (\text{E2})$$

The original article can be found online at <https://doi.org/10.1007/s10107-016-1093-4>.

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Proof The proof is a simplified version of the one of Proposition 4 in [3]. That result dealt with problems with both upper and lower bounds on the control, as well as state constraints, the latter being absent in the present setting.

Remark 1 When \hat{u} has no bang-bang switch, the cones $PC_2(\hat{u})$ and $\widehat{PC}_2(\hat{u})$ coincide.

Sufficient optimality conditions

The statements of Theorem 8, 10 and 11 have to be modified in the following way:

Theorem 8 *Let \hat{u} be a weak minimum for problem (P), satisfying (4.24)–(4.26). Then the following assertions hold.*

(a) *If there exists $\alpha > 0$ such that*

$$\hat{Q}(\xi[w], w, h) \geq \alpha(\|w\|_2^2 + h^2), \quad \text{for all } (w, h) \in \widehat{PC}_2(\hat{u}), \quad (\text{E3})$$

then the quadratic growth condition (4.29) is satisfied.

(b) *If the quadratic growth condition (4.29) is satisfied, then (4.28) holds.*

Remark 2 (i) The proof of the above theorem is essentially identical to the one in the published version of the article. It is enough to change $PC_2(\hat{u})$ into $\widehat{PC}_2(\hat{u})$, two lines from below, on p. 741, and at the end of step 1.

(ii) When \hat{u} has no bang-bang switch, the cones $PC_2(\hat{u})$ and $\widehat{PC}_2(\hat{u})$ coincide and, therefore, the necessary and sufficient conditions have *no gap*. Hence, in the absence of bang-bang switchings Proposition 6 and Theorem 8 hold as they are in the published version.

Change item (iii) of Theorem 10 into

(iii) *if additionally (4.24)–(4.26) are satisfied, then the following assertions hold.*

(a) *If there exists $\alpha > 0$ such that (E3) holds, then the quadratic growth condition (4.29) is satisfied.*

(b) *If the quadratic growth condition (4.29) is satisfied, then (4.28) holds.*

Change item (iii) of Theorem 11 into

(iii) *if additionally (4.24)–(4.26) are satisfied, then the following assertions hold.*

(a) *If there exists $\alpha > 0$ such that (E3) holds, then the quadratic growth condition (4.29) is satisfied.*

(b) *If the quadratic growth condition (4.29) is satisfied, then (4.28) holds.*

Remark 3 Analogously as stated in Remark 2, when the optimal control has no bang-bang switch, Theorem 10 and 11 hold as they are in the published version.

The authors thank Gerd Wachsmuth, from Brandenburgische Technische Universität Cottbus—Senftenberg (BTU), for mentioning a counter example to the former version of Proposition 6.