#### **ORIGINAL ARTICLE**



# Influence of laser wavelength and beam profile on the coagulation depth in a soft tissue phantom model

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#### Abstract

In laser tissue soldering (LTS), a protein solution is thermally denatured and cross-linked to obtain a strong bond between tissues or tissue and a wound dressing. However, if the extension of the heat-affected zone is too large, wound healing is impaired by thermal tissue injuries. Therefore, heat input and coagulation depth have to be limited. We investigate the influence of wavelength and beam profile on coagulation depth using a soft tissue phantom in case of weakly (980 nm) and strong (1540 nm) absorbed laser radiation. The soft tissue phantom is doped with polystyrene (PS) beads to obtain similar scattering properties as natural tissue. The propagation of the laser radiation in the phantom is simulated by Monte–Carlo method and the optical penetration (OPD) depth calculated from isophotes. The simulation results are compared with the experimental determination of the coagulation volume. The results reveal that scattering effect of tissues on laser radiation follows approximately the intensity distribution of the laser beams as long as scattering effects are weak. As scattering effects become significant, as for 980-nm radiation, the intensity distribution of the laser beam in the tissue deviates from the original one, leading to different profile of the coagulation depth.

Keywords Laser · Laser tissue soldering · Soft tissue phantom · Radiation transport in turbid media · Four-flux model

### Introduction

Laser tissue soldering (LTS) and laser tissue welding (LTW) are two similar procedures for photothermal tissue bonding. In laser tissue welding, a thermally induced cross-linking of tissue proteins can be obtained after approximation of the edges of an incision and laser irradiation. In LTS, a protein solution is added which serves as glue or "solder" between a wound surface and a dressing or patch. The protein solution is thermally cross-linked and bonds the dressing to the tissue. Due to reduced thermal damage to tissue, LTS is often preferred over laser tissue welding [1]. Nevertheless, a thin tissue layer in the wound area is affected by the heat treatment. Laser tissue soldering is being investigated since many years. Various laser sources, solder compositions, and patches have been

described in literature. For practical reasons, most procedures rely on using small form factor diode lasers in the range from 800 to 2000 nm that are available at reasonable prices, and beam delivery is feasible using standard quartz fibers.

In LTS, the laser radiation heats up the protein solution to a temperature above 65 °C which results in denaturation and cross-linking of the proteins, while the water content will be reduced. Typically, an irradiation time of a few seconds is sufficient to induce the structural change of albumin solder. The extension of the heat-affected zone (HAZ) can be further limited by suitable choice of wavelength and control of the optical penetration depth. A major challenge in LTS is to minimize the depth of the HAZ and to limit the heat input into tissue while ensuring a sufficiently high bonding strength.

An important measure to control heat input is to sense the surface temperature during laser irradiation. Eyal and Katzir [2] used a liquid nitrogen-cooled HgCdTe photonic detector which was sensitive in the 8- to 12- $\mu$ m spectral range for sensing temperature sensing during laser tissue welding. Later, the technique was extended to use a thermal camera for skin welding by Fried et al. [3]. Kirsch et al. prosed laser soldering for urologic reconstruction [4] and skin closure [5, 6]

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was demonstrated in rat model. In most of experimental work, albumin solder doped with indocyanine green (ICG) was used to enhance the absorption for 810-nm diode lasers. Other approaches used CO<sub>2</sub> lasers and albumin solder without extrinsic absorbers for wound closure of incisions [7, 8] where a set point temperature of 65 °C for closing skin incision in vivo was proposed. Khosroshahi et al. reported the use of controlled feedback loop to bond rat skin successfully in vivo, the samples revealed high mechanical strength when the temperature was stabilized below 80 °C [9]. Photobleaching of ICG lowers the absorption of laser radiation at 810 nm and can reduce the heat generation in a self-limiting effect. In many papers, the importance of temperature control is pointed out to reduce excessive heating, particularly, when no photobleachable chromophores are used.

Since tissues exhibit a great variety of composition and morphology, this leads to varying optical properties and susceptibility to temperature-related effects. Therefore, we decided to use a soft tissue phantom as a model for our investigations. Soft tissue phantoms as described in literature can consist of different chromophores to tailor absorption, and microparticles or lipids as scattering centers to match the desired optical properties of soft tissue. We choose a recipe according to Iizuka [10] based on hen-egg albumin and agar; the advantages of the approach are low-cost, non-toxicity, good availability, and easy preparation. The phantom can be cast into any shape due to its gel-like consistency. The most important feature of the hen-egg albumin phantom is its tissue-equivalent reaction under laser radiation. The hen-egg albumin denaturizes above 60 °C similar to tissue proteins and form a cross-linked structure. The affected volume then becomes visible by blurring of the gel and strong light scattering.

Laser diodes have become cheap and convenient laser sources for medical applications, due to their compactness, reliability, and high electro-optical efficiency. Nevertheless, the undefined far-field distribution is one major drawback of diode lasers [11]. In literature, various methods for beam homogenization based on specialized optics, rearrangement of diode emitters, micro optical arrays, and optical waveguides are described. Medium power diode lasers for medical applications are usually assembled with coupling optics for directing the laser beam into an optical fiber. This leads to a nearly Gaussian beam profile with some deviations, depending on the optical system. For example, when optical stacking (spatial multiplexing) of laser diode bars is employed to couple different sources into one optical fiber, the beam from the central laser bar is transmitted with Gaussian-like beam profile, whereas radiation coming from the outer bars is formed to a donut-like beam profile [12]. The effect is observed for offaxis coupling into large core fibers.

In this work, we compare the coagulated volumes generated by two laser sources, one emitting radiation at a wavelength of 980 nm, the other of 1540 nm. Both wavelengths are common in laser surgery. First, a phantom model is prepared to match the absorption and scattering properties of soft tissue. Then, the depth and shape of coagulated volumes are experimentally determined and compared to a numerical simulation of radiation transport and the resulting heat generation in the phantom. To investigate the influence of the intensity distribution, we perform these procedures for a top hat and Gaussian distribution.

### Materials and methods

#### Preparation of phantom

The soft tissue phantom is produced similar as described by Izuka [10], except that the originally proposed naphthol green dye is not used because the dye is absorbing at 800 nm and therefore not effective at 980 and 1540 nm wavelength. First, 22.2 wt% of hen-egg albumin (Fluka BioChemika, 9006-59-1) is dissolved in distilled water with the help of a magnetic stirrer. The rotational speed of the stirrer is set to 200 rpm to reduce foaming of the solution. The hen-egg albumin is given stepwise in small amounts to distilled water to prevent clotting. For the agar-agar solution distilled water is heated in a water bath up to a temperature of 70 °C firstly. At 70 °C, 1.4 wt% of agar (Amresco, J637-500G) is added to the water with a rotational speed of 200 rpm and is heated up further until 85 °C. Afterwards, the agar solution is cooled down in a water bath to 45 °C. In the meantime, the albumin solution is heated up to 40 °C in a water bath at 200 rpm. When reaching the desired temperature, both solutions are mixed together and stirred for 5 min at 200 rpm until a homogenous solution is created. To ensure a homogenous mixing of both solutions, they stirred for 5 min in a warm water bath to prevent the agar solution from fast solidification.

To enhance the scattering properties of the phantom, we add polystyrene beads (07310-15, Polyscience Inc., Warrington, USA) with a mean diameter of 1  $\mu$ m and a concentration of  $8.5 \times 10^5$  beads/mm<sup>-3</sup> [13]. The phantom components (hen-egg albumin and agar) are prepared as described before, and the polystyrene suspension is added when the albumin and agar solutions are mixed together. The solution is cast then into polystyrene boxes of  $10 \times 10 \times 40$ -mm<sup>3</sup> size; after cooling down, the samples were stored in a fridge at 4 °C for a maximum time of 4 weeks.

## Determination of optical properties: spectrometer and 4-flux analysis

The optical properties of the tissue samples can be described by absorption coefficient, scattering coefficient, and scattering function. Since these properties are not directly measurable, they are obtained from transmittance and reflectance data. These data were determined on thin slices cut from blocks of the cast phantom. Slices of 1-2-mm thickness were manually cut from the gel phantom with cross section of  $10 \times 10 \text{ mm}^2$ using scalpels and placed in the middle of a slide. Besides the gel, two slides were positioned serving as spacers and another slide gently placed on top. By slowly pressing down the top slide, a gap of 1 mm totally filled with gel was obtained. The samples were placed in an UV/VIS/NIR-Spectrometer (Lambda 1050, Perkin Elmer) to measure total transmittance T, total reflectance R, and direct transmittance  $T_{d}$  as a function of wavelengths. The connection between the measured data and the optical properties is obtained from the solution of the radiation transfer equation in the four-flux approximation [14–17]. The first and second flux (f1, f2) correspond to the rays, which propagate parallel (f1) or antiparallel (f2) to the incoming beam without being scattered. The third and fourth flux (f3, f4) are built from all rays, which have been scattered in forward (f3) or backward (f4) direction relative to the incoming beam. Since scattering of the radiation is described by two fluxes, the scattering function degenerates to a number, the anisotropy factor g (-1 < g < 1). If g is positive/negative, scattering is forward/backward directed. So fluxes f3 and f4 are functions of absorption and scattering coefficient and the anisotropy factor, while fluxes f1 and f2 are functions of absorption and scattering coefficient only [16, 17].

Direct transmittance  $T_d$ , total transmittance T, and reflectance R can be expressed as function of the fluxes f1–f4. Therefore, they are functions of the optical properties. For a sample with thickness d and large lateral dimensions, the relations between the transmittance/reflectance data and the optical properties are given according to the following equations [17]:

$$T_d \approx (1-2R_s)e^{-(c_ed)}$$
  

$$T = T_d + (1-R_s)f_3$$
  

$$R = R_s \left(1 + T_d e^{-(c_ed)}\right) + (1-R_s)f_4$$

 $R_{\rm s}$  denotes the reflectance due to the sample/air boundary (since  $R_{\rm s} < 0.05$ , quadratic terms in  $R_{\rm s}$  are omitted) and  $c_{\rm c}$  is the extinction coefficient ( $c_{\rm e} = c_{\rm s} + c_{\rm a}$ ). The dependence of  $f_3$  and  $f_4$  on the optical properties is given in [14–16]. So using the measured data of  $T_{\rm d}$ , T, and R at a certain wavelength, one can calculate  $c_{\rm s}$ ,  $c_{\rm a}$ , and g from the equations above. The calculations have been done for phantom samples with 1.1-mm thickness for both wavelengths (see the "Results" section).

#### Setup for laser coagulation

Due to the variety and inhomogeneity of tissues, presetting of laser power will not yield to reliable results in thermal laser therapies. A power level appropriate for one situation may lead to insufficient treatment at another spot where the morphology and the water content of tissue is slightly different; in worst case, the same setting can lead to carbonization of tissues.

Therefore, a fixed surface temperature was chosen as boundary condition and the laser power controlled accordingly. Similar to the experiments described in [18], the experimental setup consists of a fiber-coupled multi-bar diode laser module (DILAS type M1F4S22) equipped with 980- and 1540-nm diode bars, a controller with two power supplies. The 980nm laser bar provides a maximal output power of 46 W and the 1540-nm bar of up to 11 W. During irradiation, the surface temperature is monitored by an infrared sensor CT3 ML, Optris GmbH, Germany, with a response time of less than 2 ms. Since the lower limit of the temperature range is at 50 °C, only higher temperatures can be detected. The temperature signal is delivered to a laser diode controller CS408, Amtron GmbH, Germany, which comprises two independent power supplies with integrated digital PID controller for both laser diode bars. The temperature signal is compared to a set point value and the laser power adjusted accordingly. The power ratio of the two laser sources can either be set to a predefined value or altered during the time course. Typically, a coagulation procedure is performed at a set point temperature of 75 °C to prevent excess heating of tissue. Because of the short response time of the sensor and the fast control circuit, the laser power will be limited safely when the programmed temperature threshold will be exceeded.

The radiation of both bars is fed into an optical multimode (MM) fiber with a core diameter of 600 µm, and a collimation lens projects a spot of 5 mm in diameter onto the sample. According to the emission pattern of the diodes and the coupling optics, a Gaussian-like intensity distribution is obtained. For changing the beam profile, a  $600 \times 600 \text{-}\mu\text{m}^2$  square core (SQ) fiber can be fitted to the laser module; the resulting spot size was  $4 \times 4 \text{ mm}^2$ . The SQ fiber provided a tophat-like distribution with peak-to-peak fluctuations of less than  $\pm$  13% at 980 nm wavelength or 10% at 1540 nm, respectively. The "Gaussian" beam profile obtained from the 600-µm MM fiber was somewhat narrowed in case of 980 nm and broadened for the 1540-nm source. The peak intensity of the Gaussian beam with a diameter of 6 mm was then 14% higher than the average of  $4 \times 4$ -mm<sup>2</sup> top hat distribution. The power transmission was similar for both fibers with 5% uncertainty.

# Simulation of radiation transport and modeling of heat generation

The propagation of laser light in the tissue is simulated with the ray tracing software OpticStudio® (http://customers. zemax.com/os/opticstudio); where for a large number of rays (>  $10^6$ ), the propagation of every single ray is monitored. The scattering process is described by the scattering probability and the angular distribution. While the scattering probability determines whether a scattering process takes place or not, the angular distribution gives the direction of the scattered ray. The input parameters are the mean free path, given by the

 Table 1
 Transmittances, reflectance, and calculated optical properties

 derived from the tissue phantom for two wavelengths

Wavelength/nm	Т	R	T <sub>d</sub>	$c_{\rm s}/{\rm mm}^{-1}$	$c_{\rm a}/{\rm mm}^{-1}$	g
980	0.73	0.080	0.18	1.4	0.12	0.75
1540	0.16	0.045	0.08	1.1	1.18	0.58

inverse of the scattering coefficient, and the anisotropy factor g. For the simulation, the angular distribution is given by a Henyey–Greenstein phase function p [19–21].

$$p(\mu) = \frac{1}{2} \frac{1 - g^2}{(1 + g^2 - 2g\mu)^{3/2}},$$
  
$$\mu = \cos(\theta), -\pi < \theta < \pi,$$

 $\theta$  scattering angle is a random variable.

The absorption process is modeled by an exponential decay of each ray along its propagation path. The input parameter here is the absorption coefficient. Due to the scattering process, the length of the propagation path exceeds the thickness of the sample. Therefore, scattering enhances the amount of absorbed radiation in a complicated manner. As a result of the simulations, the spatial distribution of the heat rate Q, generated by the light absorption, is calculated by the software.

#### Results

The measurement of total and direct transmittance, reflectance, and the calculation of optical properties revealed the values compiled in Table 1. Then the derived coefficients were used for ray tracing simulation of radiant flux inside the phantom ("Simulation of radiation transport and modelling of heat generation" section). The results depicted in Fig. 1 show clear differences for radiation propagation and heat rate inside the phantom volume; the heat rate is normalized to 1-W radiation power. In a simple approximation, the heat model represents the temperature field as well. As expected, the optical and heat penetration depth depend strongly on wavelength and beam profile.

For 980-nm radiation, the values of the heat rate are approximately an order of magnitude smaller than for 1540 nm, according to the different absorption coefficients for both wavelengths. The influence of the two beam profiles on the heat rate distribution depends on the ratio between the scattering and absorption coefficients. If  $c_s/c_a$  is approximately 1 as in case of 1540-nm radiation, the Gaussian beam results in a basically hemispherical distribution, whereas the top hat profile gives a flat distribution (Fig. 1c, d). If scattering becomes more dominant ( $c_s/c_a \sim 12$ ), the difference between the two distributions becomes smaller; although, it is still visible (Fig. 1a, b).

The optical penetration depth (OPD) is defined by the distance to the surface (z = 2 mm) where the irradiance declines by a factor 1/e (see black lines in Fig. 1). For 980 nm, it is larger for the top hat (OPD  $\approx 3.1 \text{ mm}$ ) than for the Gaussian beam (OPD  $\approx 2.5 \text{ mm}$ ) because for a Gaussian beam the center radiation will be scattered to the periphery, while only a small amount is scattered from the periphery to the center. For a top hat distribution, there is also scattering from the periphery to the center region, partly compensating the scattering losses of the center radiation. In case of 1540-nm radiation, the OPD is significantly smaller than for 980 nm and the same for both beam profiles (OPD  $\approx 0.8 \text{ mm}$ ).

Due to the different OPD of both wavelengths, the heating mechanism for both wavelengths also differs. For 980-nm radiation, a coagulation depth between 2 and 3 mm is in the range of the OPD. Therefore, the heat needed for the coagulation process is delivered directly by absorption of the laser light. For 1540-nm radiation, a coagulation depth in this range could only be achieved by a heat flow from the surface area

**Fig. 1** Normalized heat rate Q in tissue due to absorption of laser light. **a** 980 nm and Gaussian beam. **b** 980 nm and top hat beam. **c** 1540 nm and Gaussian. **d** 1540 nm and top hat distribution. Calculations are based on optical properties listed in Table 1. The sample surface is located at z = 2 mm, black lines indicate the optical penetration depth (see text), and color coding is different for each wavelength



into the tissue. Therefore, heat conduction is more important for 1540 than for 980 nm.

The maximum power of laser diodes used in the experiments is 46 W for 980 nm and 11 W for 1540 nm. Typically, the set point temperature of 75 °C is reached within 1 s for 1540-nm radiation compared to about 2 s time span for 980-nm radiation (Fig. 2). As the set point temperature is reached, the controller cuts down laser power. Despite of the lower power capability of 1540-nm source, the set point temperature rise is faster than for 980-nm radiation. This is determined by the tenfold higher absorption coefficient in case of 1540-nm radiation.

After irradiation, the gel of the phantom gets locally crosslinked and solid clots can be carefully excavated from the gel block. After rinsing with water to remove adhering material, the clot displays the coagulated volume and its dimensions can easily be determined (see Fig. 3). The influence of the beam profile and the different penetration depth for both wavelengths are clearly visible. Irradiation experiments were performed for Gaussian and top hat beam profiles and with different laser modes. In addition to single wavelength irradiation although, combinations of both wavelengths were tested, either by simultaneous or consecutive activation. In the last case, first the 1540-nm laser sources was activated for 2 s and then 980 nm for 3 s. Total exposure time was set to 5 s for all laser modes, and the maximum power settings are 46 W at 980 nm and 11 W at 1540 nm, respectively.

In Fig. 4, the measured coagulation depth is compiled for each laser mode, the depth is obtained at center from 20 samples of excavated clots. The smallest depth and most shallow shape was obtained for 1540-nm irradiation only, subsequent irradiation by 980 nm did not increase the depth further. Irradiation with 980 nm increased the coagulation to 2–3 mm for any beam profile, but surprisingly the same depth is obtained when a 1540-nm radiation with Gaussian profile is used.



Fig. 2 Laser controller signals of the temperature sensor (upper blue line) and the laser power (lower green line) during coagulation of tissue phantom with top hat beam profile. Left, 1540-nm radiation; right, 980-nm radiation; set point temperature, 75 °C, and irradiation time, 5 s for both



Fig. 3 Shape of coagulated clots excavated from the soft tissue phantom; the influence of wavelength and beam profile become clearly visible

The totally applied laser energy is displayed in Fig. 4 for single wavelength irradiation with either 980- or 1540-nm radiation. It is worthy to note that for top hat profile, the difference in coagulation depth between 980- and 1540-nm radiations is roughly a factor 2, and energy deposition at 980 nm is about six times higher. This indicates that for 1540 nm, the thermal energy is concentrated in thin shallow layer introducing the structural changes of coagulation. In case of Gaussian beam profile, the coagulation depth is nearly the same for both wavelengths, but for 1540-nm radiation the shape of the coagulated volume is slimmer showing a forward peak, whereas for 980-nm radiation, the cross-sectional area is broadened due to stronger scattering (see Fig. 3). The stronger diffusion of energy is reflected by four times higher absorbed laser energy at 980 nm wavelength.

#### Discussion

For the present setup of the coagulation experiments, the results show that for the laser source with 980-nm wavelength, the coagulation depth scales with the OPD, while for the laser

Fig. 4 Coagulation depth measured at the center of clots for Gaussian (red) and top hat (black) beam profiles, the columns denote the total absorbed energy calculated from laser controller data; the error bars indicate the standard deviation calculated from 20 samples each source with 1540 nm, the coagulation depth exceeds the OPD. So for 980-nm radiation, the energy transport inside the phantom sample is determined by light propagation, while for 1540 nm, it is mainly given by heat conduction. Due to the scattering process, the OPD at 980 nm of a Gaussian beam is smaller than for a top hat beam. For 1540 nm, the propagation is dominated by the absorption process; therefore, the OPDs are similar for both beam profiles.

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The heat rate Q is a main parameter to describe the temperature field and the resulting coagulation volume. Our investigations show that for a given set of irradiation conditions (spot size, dwell time, surface temperature), the beam profile can play a major role. When the ratio of scattering and absorption coefficients  $c_s/c_a$  is approximately 10 for 980-nm radiation, the lateral losses are high for Gaussian profile, and the coagulation depth is slightly lower than for top hat profile. However, the shape of the volume is similar. When the absorption coefficient becomes higher ( $c_s/c_a \approx 1$  for 1540 nm), the beam profile determines the shape of the coagulation volume. This was deduced from the model calculation and confirmed by experimental results. However, during irradiation, the gel phantom undergoes morphological changes and scattering increases. Preliminary measurements on similar gel phantoms [18] revealed a 4-fold increase of the scattering coefficient. This effects the OPD during the coagulation process but has not been taken into account here. In our model, we only calculate the generation of heat, a threshold for structural modifications, induced thermal damage, and eventually changed optical and thermal properties are not included.

Further, irradiation time can play a critical role since the temperature gradients resulting from the 3D distribution of the optical power density will be washed out by heat conduction for long irradiation time. The thermal relaxation time  $\tau_r$  depends on thermal diffusivity  $\alpha$  and the optical penetration depth of the radiation and is calculated in the paper of Choi and Welch [22]. For our experimental conditions, we can estimate  $\tau_r \sim 1.7$  s for 1540 nm and  $\tau_r \sim 15$  s for 980-nm



wavelength, respectively, with  $\alpha = 0.0015$  cm<sup>2</sup>/s for the gel phantom, and OPD of 3 mm for 980 nm and 0.8 mm for 980-nm radiation. The detailed analysis of heat flow by a 2D finite difference model in [22] leads to different correction factors depending on beam size and beam profile. The numerical results show that the effective thermal relaxation time  $\tau_{eff}$ can exceed the "intrinsic" relaxation time  $\tau_r$  be substantially. Applied to our experimental conditions, we expect an effective thermal relaxation time  $\tau_{eff} \sim 3.2$  s for top hat profile and 1540-nm radiation; whereas for 980-nm radiation and Gaussian profile, a larger value of  $\tau_{eff} \sim 12$  s is estimated. Considering our experimental dwell time of 5 s, we conclude that in case of 980-nm radiation, the temperature field is hardly affected by heat conduction; whereas for the stronger absorbed 1540-nm radiation, the temperature field is broadened and the depth of the coagulated zone larger than expected by only radiation transport. Therefore, heat conduction has to be taken into account in a refined model.

Even though our simple model does not include changes of optical and thermal properties and heat conduction, the main effects observed in the experiment can be explained. The experimental results and the model calculations show that a top hat beam profile is necessary to obtain a small and shallow coagulation depth when the absorption coefficient is sufficiently high as for 1540-nm radiation, but heat conduction have to be taken into account above 3-s irradiation time. In contrast, when 980-nm radiation is chosen for a treatment, the beam profile plays a minor role, and heat conduction can be neglected for irradiation time below 12 s. Then the coagulation volume is dependent on radiation transport properties.

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#### Compliance with ethical standards

No human participants or animals were involved in the study.

**Conflicts of interest** The authors declare that they have no conflicts of interest.

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