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The N(1440) Roper Resonance in the Nuclear Model with Explicit Mesons

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Abstract We show that the N(1440) Roper resonance naturally appears in the nuclear model with explicit mesons as a structure in the continuum spectrum of the physical proton, which in this calculation is made of a bare nucleon dressed with a pion cloud.

1 Introduction

The N(1440) Roper resonance is a relatively broad nucleon resonance with the mass of about 1440 MeV and the width of about 350 MeV [1]. While the exact nature of the resonance is still debated (see [2–5] and references therein), one line of thought is that it consists of a quark core augmented by a meson cloud. This concurs well with the nuclear model with explicit mesons (MEM) where the physical nucleon is made of a bare nucleon—the quark core—dressed with a meson cloud [6,7]. One might therefore expect that in MEM the Roper resonance should somehow reveal itself in the continuum spectrum of the physical proton.

In this contribution we investigate the continuum spectrum of the physical proton within one-pion MEM in the hope to identify the Roper resonance and establish the parameters of MEM that are consistent with the tabulated mass and width of the resonance. The method we use is calculation of the strength-function of a certain fictional reaction, where the proton is excited from the ground state into continuum, with the subsequent fit of the calculated strength-function with a Breit-Wigner distribution.

2 The Physical Nucleon in MEM

The MEM is a nuclear interaction model, based on the Schrödinger equation, where the nucleons do not interact with each other via a potential but rather emit and absorb mesons [6,7]. The mesons are treated explicitly on the same footing as the nucleons.

The physical nucleon in MEM is represented by a superposition of states where the bare nucleon is surrounded by different number of (virtual) mesons. In one-pion approximation the physical nucleon is a superposition of two states: the bare nucleon, and the bare nucleon surrounded by one pion. The corresponding wave-function of the physical nucleon, Ψ , is a two-component vector,

$$\Psi = \begin{pmatrix} \psi_0(\vec{R}) \\ \psi_1(\vec{R}, \vec{r}) \end{pmatrix},\tag{1}$$

D. V. Fedorov (⊠) Aarhus University, Aarhus, Denmark E-mail: fedorov@phys.au.dk where ψ_0 is the (wave-function of the) state with the bare nucleon and no pions, ψ_1 —the state with a bare nucleon and one pion, \vec{R} is the coordinate of the center-of-mass of the system, and \vec{r} is the coordinate between the bare nucleon and the pion.

The Hamiltonian H that acts on this wave-function is a matrix,

$$H = \begin{bmatrix} K_N + m_N & W^{\dagger} \\ W & K_N + m_N + K_{\pi} + m_{\pi} \end{bmatrix},$$
(2)

where K_N , K_{π} are kinetic energy operators for the bare nucleon and the pion, m_N and m_{π} are masses of the bare nucleon and the pion, and W and W^{\dagger} are pion emission and absorption operators (also called the nucleon-pion coupling operators).

The corresponding Schrödinger equation is given as

$$\begin{bmatrix} K_N + m_N & W^{\dagger} \\ W & K_N + m_N + K_{\pi} + m_{\pi} \end{bmatrix} \begin{pmatrix} \psi_0 \\ \psi_1 \end{pmatrix} = E \begin{pmatrix} \psi_0 \\ \psi_1 \end{pmatrix},$$
(3)

with the normalization condition

$$\langle \Psi | \Psi \rangle = \langle \psi_0 | \psi_0 \rangle + \langle \psi_1 | \psi_1 \rangle = \int_V d^3 R |\psi_0|^2 + \int_V d^3 R \int_V d^3 r |\psi_1|^2 = 1,$$
(4)

where E is the energy of the system and V is the normalization volume.

The simplest W-operator that is consistent with conservation of isospin, angular momentum, and parity can be written as

$$W = (\vec{\tau}\vec{\pi})(\vec{\sigma}\vec{r})F(r),\tag{5}$$

where $\vec{\sigma}$ is the vector of Pauli matrices that act on the spin of the nucleon, $\vec{\tau}$ is the isovector of Pauli matrices that act on the isospin of the nucleon,¹ and where F(r) is a (short-range) form-factor. The dimension of W is E/\sqrt{V} , therefore it might be of convenience to choose

$$F(r) = S_w f(r) \tag{8}$$

where f(r) is normalized such that

$$\int d^3 r r^2 f^2(r) = 4\pi \int_0^\infty r^4 f^2(r) dr = 1,$$
(9)

in which case the strength factor S_w has the dimension of energy and one can (hopefully) meaningfully compare form-factors of different shapes.²

$$\vec{\tau}\vec{\pi} = \tau_0\pi^0 + \sqrt{2}\tau_-\pi^+ + \sqrt{2}\tau_+\pi^- , \qquad (6)$$

where π^0 , π^+ , and π^- are the physical pions and where the τ -matrices are given as

$$\tau_0 = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}, \ \tau_- = \begin{pmatrix} 0 & 0 \\ 1 & 0 \end{pmatrix}, \ \tau_+ = \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix}.$$
(7)

² The Gaussian form-factor normalized according to (9) is given as

$$f(r) = \left(4\pi \frac{3\sqrt{\pi}b_w^5}{2^{11/2}}\right)^{-1/2} \exp\left(-\frac{r^2}{b_w^2}\right)$$
(10)

¹ The isospin factor $\vec{\tau}\vec{\pi}$ is given as

3 The Semi-Relativistic Schrödinger Equation

3.1 The Two-Component Wave-Function

The ψ_0 -component of the two-component wave-function Ψ in (1) represents a single bare proton at rest in the volume V. This component can be chosen as

$$\psi_0 = \frac{p \uparrow}{\sqrt{V}} c_0,\tag{11}$$

where *p* is the proton isospin state,

$$p = \begin{pmatrix} 1\\0 \end{pmatrix}, \ \tau_0 p = p, \tag{12}$$

and where \uparrow is the spin-up state,

$$\uparrow = \begin{pmatrix} 1\\0 \end{pmatrix}, \ \sigma_0 \uparrow = \uparrow, \tag{13}$$

and where c_0 is a dimensionless normalization constant.

With this ψ_0 the inhomogeneous term, $W\psi_0$, in the second row of the Schrödinger equation (3) takes the form

$$W\psi_0 = (\vec{\tau}\vec{\pi})(\vec{\sigma}\vec{r})F(r)\frac{p\uparrow}{\sqrt{V}}c_0.$$
(14)

Since all terms in that equation should have the same spin-isospin structure one has to conclude that the component ψ_1 is bound have the same form as $W\psi_0$, that is,

$$\psi_1 = (\vec{\tau}\vec{\pi})(\vec{\sigma}\vec{r})\frac{p\uparrow}{\sqrt{V}}\phi(r),\tag{15}$$

where $\phi(r)$ is a (yet unknown) scalar function.

Therefore we are going to search for the wave-function of the physical proton (in the center-of-mass system) in the form

$$\Psi = \begin{pmatrix} \frac{p\uparrow}{\sqrt{v}}c_0\\ (\vec{\tau}\vec{\pi})(\vec{\sigma}\vec{r})\frac{p\uparrow}{\sqrt{v}}\phi(r) \end{pmatrix}$$
(16)

where the constant c_0 and the function $\phi(r)$ are to be found by solving the corresponding Schrödinger equation. The normalization condition the function Ψ is given as³

$$\langle \Psi | \Psi \rangle = |c_0|^2 + 3 \int d^3 r r^2 |\phi(r)|^2 = |c_0|^2 + 12\pi \int dr r^4 |\phi(r)|^2 = 1.$$
(18)

$$(\vec{\tau}\vec{\pi})^{\dagger}(\vec{\tau}\vec{\pi}) = 3, \ (\vec{\sigma}\vec{r})^{\dagger}(\vec{\sigma}\vec{r}) = r^2.$$
 (17)

³ taking into account the following equations,

3.2 The Schrödinger Equation

With the ansatz (16) the Schrödinger equation (3) turns into the following system of equations for the constant c_0 and the function $\phi(r)$,

$$m_N c_0 + 12\pi \int dr r^4 F(r)\phi(r) = Ec_0 \vec{r}F(r)c_0 + (K_N + m_N + K_\pi + m_\pi)\vec{r}\phi(r) = E\vec{r}\phi(r)$$
(19)

It is of advantage to introduce the radial function u(r),

$$\phi(r) = \frac{u(r)}{r^2},\tag{20}$$

with the simple boundary condition at the origin,

$$u(r \to 0) \to 0, \tag{21}$$

and the normalization condition

$$|c_0|^2 + 12\pi \int dr |u(r)|^2 = 1.$$
(22)

For the u(r) function the Schrödinger equation becomes

$$\begin{cases} m_N c_0 + 12\pi \int dr r^2 F(r) u(r) = E c_0 \\ \vec{r} F(r) c_0 + (K_N + m_N + K_\pi + m_\pi) \frac{\vec{r}}{r^2} u(r) = E \frac{\vec{r}}{r^2} u(r) \end{cases}$$
(23)

In the center-of-mass frame the nucleon and the pion have equal momenta with opposite signs, $-\vec{p}$ and \vec{p} . Their (relativistic) kinetic energies are therefore given as

$$K_N + m_N = \sqrt{m_N^2 + \vec{p}^2}, \quad K_\pi + m_\pi = \sqrt{m_\pi^2 + \vec{p}^2}.$$
 (24)

The momentum as a quantum-mechanical operator in coordinate space is given as

$$\vec{p} = -i\hbar \frac{\partial}{\partial \vec{r}} \doteq -i\hbar \nabla.$$
⁽²⁵⁾

Correspondingly the kinetic energies operators are

$$K_N + m_N = \sqrt{m_N^2 - \hbar^2 \nabla^2}, \ K_\pi + m_\pi = \sqrt{m_\pi^2 - \hbar^2 \nabla^2}.$$
 (26)

With these kinetic energies the Schrödinger equation turns into the following system of integro-differential equations,

$$\begin{cases} m_N c_0 + 12\pi \int_0^\infty dr r^2 F(r) u(r) = E c_0 \\ \vec{r} F(r) c_0 + f_K(\nabla^2) \frac{\vec{r}}{r^2} u(r) = E \frac{\vec{r}}{r^2} u(r) \end{cases},$$
(27)

where the function

$$f_K(x) \doteq \sqrt{m_N - \hbar^2 x} + \sqrt{m_\pi^2 - \hbar^2 x}$$
 (28)

is representable by a Maclaurin series.⁴

4

$$\sqrt{1-x} = 1 - \frac{x}{2} - \frac{x^2}{8} - \frac{x^3}{16} - \frac{5x^4}{128} + \dots$$
(29)

The single action of the ∇^2 operator on $\frac{\vec{r}}{r^2}u(r)$ is given as

$$\nabla^2 \left(\frac{\vec{r}}{r^2} u(r) \right) = \frac{\vec{r}}{r^2} \left(u'' - \frac{2}{r^2} u \right) \equiv \frac{\vec{r}}{r^2} \hat{D}u, \tag{30}$$

where the action of the operator \hat{D} is defined as

$$\hat{D}u \doteq \left(u'' - \frac{2}{r^2}u\right). \tag{31}$$

Repeating the action suggests that for any non-negative integer n

$$\left(\nabla^2\right)^n \left(\frac{\vec{r}}{r^2}u(r)\right) = \frac{\vec{r}}{r^2}\hat{D}^n u.$$
(32)

Therefore for any function f(x) that can be represented as a power series the following holds true,

$$f\left(\nabla^2\right)\left(\frac{\vec{r}}{r^2}u(r)\right) = \frac{\vec{r}}{r^2}f(\hat{D})u.$$
(33)

Inserting this into (27) gives, finally, the sought semi-relativistic radial Schrödinger equation for the physical proton in one-pion MEM,

$$\begin{cases} m_N c_0 + 12\pi \int dr r^2 F(r) u(r) = E c_0 \\ r^2 F(r) c_0 + f_K(\hat{D}) u(r) = E u(r) \end{cases},$$
(34)

with the boundary condition u(0) = 0.

4 Numerical Solution of the Schrödinger Equation

The system of Eqs. (34) can be solved numerically by discretizing the *r*-variable and using finite-difference approximations for the derivatives and integrals.

Let us introduce a regular grid,

$$r_{i=1\dots n} = i\,\Delta r, \ u_i \doteq u(r_i), \ F_i \doteq F(r_i), \tag{35}$$

where Δr is the grid spacing. The integral in the first equation in (34) can then be approximated as

$$12\pi \int dr r^2 F(r)u(r) \approx \sum_{i=1}^n \sqrt{12\pi\Delta r} r_i^2 F_i \sqrt{12\pi\Delta r} u_i.$$
(36)

Introducing the auxiliary tilde-variables,

$$\tilde{F}_i \equiv \sqrt{12\pi\Delta r} F(r_i), \ \tilde{u}_i \equiv \sqrt{12\pi\Delta r} u(r_i),$$
(37)

the system of Eqs. (34) turns into the following form,

$$\begin{cases} m_N c_0 + \sum_{i=1}^n r_i^2 \tilde{F}_i \tilde{u}_i = E c_0 \\ r_i^2 \tilde{F}_i c_0 + \sum_{j=1}^n f_K (\hat{D})_{ij} \tilde{u}_j = E \tilde{u}_i \end{cases},$$
(38)

where the numbers $f_K(\hat{D})_{ij}$ are the matrix elements of the matrix representation of the operator $f_K(\hat{D})$ on the grid; and where applies the ordinary matrix normalization condition,

$$|c_0|^2 + \sum_{i=1}^n |\tilde{u}_i|^2 = 1.$$
(39)

$$\mathcal{H}\begin{pmatrix}c_0\\\tilde{u}_1\\\tilde{u}_2\\\vdots\\\tilde{u}_n\end{pmatrix} = E\begin{pmatrix}c_0\\\tilde{u}_1\\\tilde{u}_2\\\vdots\\\tilde{u}_n\end{pmatrix},$$
(40)

where the Hamiltonian matrix \mathcal{H} is given as

$$\mathcal{H} = \begin{bmatrix} m_N & r_1^2 \tilde{F}_1 & r_2^2 \tilde{F}_2 & \dots \\ r_1^2 \tilde{F}_1 & & \\ r_2^2 \tilde{F}_2 & f_K(\hat{D})_{ij} \\ \vdots & & \end{bmatrix}.$$
(41)

The \hat{D} operator on the grid can be built using the second order central finite-difference approximation for the second derivative,

$$\tilde{u}_i'' \approx \frac{\tilde{u}_{i-1} - 2\tilde{u}_i + \tilde{u}_{i+1}}{\Delta r^2},\tag{42}$$

which gives

$$\hat{D} = \frac{1}{\Delta r^2} \begin{bmatrix} -2 & 1 & 0 & 0 \dots \\ 1 & -2 & 1 & 0 \dots \\ 0 & 1 & -2 & 1 \dots \\ \vdots & \vdots & \vdots & \vdots & \ddots \end{bmatrix} + \begin{bmatrix} \frac{-2}{r_1^2} & 0 & 0 & 0 \dots \\ 0 & \frac{-2}{r_2^2} & 0 & 0 \dots \\ 0 & 0 & \frac{-2}{r_3^2} & 0 \dots \\ \vdots & \vdots & \vdots & \vdots & \ddots \end{bmatrix}.$$
(43)

Now the matrix $f_K(\hat{D})$ can be built in the following way: if λ_i and v_i are the eigenvalues and eigenvectors of the \hat{D} operator,

$$\hat{D}v_i = \lambda_i v_i, \ i = 1 \dots n, \tag{44}$$

then the matrix representation of any Maclaurin expandable operator $f(\hat{D})$ is given as

$$f(\hat{D}) = V f(\lambda) V^{\mathrm{T}},\tag{45}$$

where V is the matrix of eigenvectors v_i and where $f(\lambda)$ is a diagonal matrix with diagonal elements $f(\lambda_i)$.

$$\tilde{u}(0) = 0, \ \tilde{u}(R_{\max}) = 0,$$
(46)

where $R_{\text{max}} = (n + 1)\Delta r$. Diagonalization of this Hamiltonian matrix produces one state, $\tilde{u}^{(0)}(r)$, with the energy below m_N (this state is the physical proton⁵—the bound state of the bare proton and the pion) and n discretized-continuum states, $\tilde{u}^{(k=1...n)}(r)$, with energies above the pion emission threshold.⁶ These states decay into a nucleon and a pion, and the Roper resonance, if any, must be lurking somewhere there.

⁵ To be absolutely correct, the mass m_N of the bare nucleon must be larger than the nucleon's physical mass such that adding the pion binding energy produces the observed physical mass of the dressed nucleon. However in all our examples later on the binding energy of the pion is of about 3 to 8% of the nucleon mass and therefore in this exploratory investigation we neglect this effect and assume that m_N equals the physical mass of the nucleon.

⁶ The pion threshold in these calculations corresponds to a decay into a bare nucleon and a pion, meaning that in the threshold energy, $E_{th} = m_N + m_\pi$, m_N is strictly speaking the mass of the bare nucleon that is larger than the physical mass by the binding energy of the virtual pion. In reality the emitted bare nucleon immediately gets dressed with another virtual pion which reduces its mass back to the physical mass, restoring the correct threshold. However this mechanism is not included in the current one-pion approximation. However since the binding of the virtual pion in the present calculations is always small we assume that m_N is the physical mass.

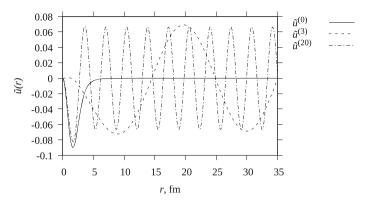


Fig. 1 The radial wave-functions $\tilde{u}^{(k)}(r)$: the ground (bound) state wave-function $\tilde{u}^{(0)}$, a below-resonance continuum wave-function $\tilde{u}^{(3)}$, and an on-resonance continuum wave-function $\tilde{u}^{(20)}$. The model parameters are the ones in the first line in Table 1, the discretization parameters are Δr =0.08 fm, R_{max} = 35fm

Mathematically the exact continuum spectrum is recovered in the limit $R_{\text{max}} \rightarrow \infty$, $\Delta r \rightarrow 0$. However in practice the discretized calculations converge when R_{max} is much larger than, and Δr is much smaller than, the typical length of the system given by the interaction range $b_w \sim 1.5$ fm. As illustrated in the Appendix the discretized calculations converge to within about three decimal digits when $R_{\text{max}}/b_w \sim b_w/\Delta r \sim 20$.

An example of the radial eigenfunctions $\tilde{u}^{(k)}(r)$ is shown on Fig. 1: the ground state is a localised bound state of the bare nucleon and the pion; the "below-resonance" continuum wave-function shows little presence at the shorter distances; the "on-resonance" wave-function has a much larger amplitude in the inner region.

5 The Strength-Function of a Fictional Reaction

A resonance is usually identified as a peak in a reaction cross-section with an approximately Breit-Wigner shape. The Roper resonance decays largely (55–75% [1]) into a nucleon and a pion, therefore it should be possible to observe this resonance in a reaction where our dressed proton is excited from the ground state into a continuum spectrum state (which decays into a nucleon and a pion).

Let us consider a reaction where a proton undergoes a transition, caused by a certain operator \hat{X} , from the ground state Ψ_0 to a state in the continuum Ψ_i (which then decays into a nucleon and a pion). The amplitude of this quantum transition is given, in the Born approximation, by the matrix element

$$M_{i \leftarrow 0} = \langle \Psi_i | \hat{X} | \Psi_0 \rangle. \tag{47}$$

Since a resonance should not depend on the way the state Ψ_i is populated, the particular form of the \hat{X} operator should be unimportant (as long as the matrix element is not identically zero) and one can just as well use a fictional operator. We have chosen the following matrix element,

$$M_{i \leftarrow 0} = \int_{0}^{\infty} u^{(i)}(r) \frac{1}{r^2} u^{(0)}(r) dr,$$
(48)

as experimentation shows that this one produces the best looking strength-functions (see below).

The cross-section of an excitation reaction into continuum states with energies $E \pm \frac{\Delta E}{2}$ is determined by the so called strength-function, S(E), which is defined as

$$S(E) = \frac{1}{\Delta E} \sum_{E_i \in E \pm \frac{\Delta E}{2}} |M_{i \leftarrow 0}|^2.$$

$$\tag{49}$$

In the box-discretized approximation, that we use here, the strength-function of a transition into a discretized-continuum state i with the energy E_i is given as

$$S(E_i) = \frac{1}{\Delta E_i} \left| M_{i \leftarrow 0} \right|^2,\tag{50}$$

Table 1 The results of the exploratory calculations: S_w and b_w are the strength and the range parameters of the Gaussian formfactor (53), M and Γ are the mass and the width of the resonance from the Breit-Wigner fit (51) to the strength-function (50), Bis the binding energy of the virtual pion, and $N_{\pi} = \langle \psi_1 | \psi_1 \rangle = (1 - c_0^2)$ is the contribution of the state with the virtual pion to the total norm of the physical proton wave-function

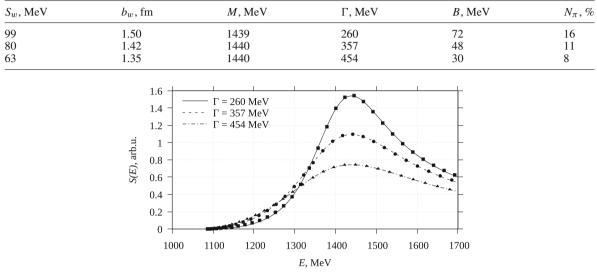


Fig. 2 The strength-functions $S(E_i)$ (50) plotted together with their Breit-Wigner fits (51) calculated for the three sets of parameters from Table 1

where $\Delta E_i = E_{i+1} - E_i$.

One can determine the parameters of a resonance, the mass and the width, by applying a Breit-Wigner fit to the strength-function S(E) [8]. Unfortunately the Roper resonance is broad and is located close to threshold making it necessary to use a width that is energy-dependent. We shall use the following phenomenological parametrization of the strength-function (cf. [9]),

$$S(E) \propto \frac{(M\tilde{\Gamma})^2}{(E^2 - M^2)^2 + (M\tilde{\Gamma})^2} \theta(E - E_{\rm th}), \tag{51}$$

where

$$\tilde{\Gamma} = \Gamma \left(\frac{E^2 - E_{\rm th}^2}{M^2 - E_{\rm th}^2} \right)^p,\tag{52}$$

 θ is the step function, E_{th} is the threshold energy, and where the mass M, width Γ , and power p are the fitting parameters ($p \sim 1$).

6 Results

We use the Gaussian form-factor in the normalized form (10),

$$F(r) = S_w \left(4\pi \frac{3\sqrt{\pi}b_w^5}{2^{11/2}} \right)^{-1/2} \exp\left(-\frac{r^2}{b_w^2}\right),$$
(53)

where the strength, S_w , and the range, b_w , are the model parameters that are varied to reproduce the given mass M and width Γ of the resonance.

Exploratory calculations show that it is possible to reproduce the tabulated mass of the Roper resonance, 1440 Mev, and any given width within the tabulated limits, 250–450 MeV, within a relatively narrow range of the model parameters as indicated in Table 1 and on Fig. 2.

7 Conclusion

We have shown that in the nuclear model with explicit mesons (MEM) the Roper resonance appears as a structure in the continuum spectrum of the physical proton (in one-pion MEM the physical proton is made of a bare nucleon dressed with a pion). We have established the range of the model parameters (the strength and the range of the nucleon-pion coupling operator) that reproduce the tabulated mass and width of the resonance. With these parameters the pion component in the physical proton takes about 10% of the norm of the wave-function and reduces the mass of the bare proton by about 5%. These numbers are much smaller then the corresponding estimates from the pion photo-production cross-section calculation [7]. One source of the discrepancy could be the plane-wave approximation of the continuum states in [7], whereby all effects of interactions in the final state (including the Roper resonance) were disregarded. It seems that these effects are not negligible and must always be taken into account.

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Data Availability No datasets were generated or analysed during the current study.

Declarations

Conflict of interest The authors declare no competing interests.

8 Appendix: Numerical Convergence

Figures 3 and 4 illustrate the convergence of the strength-function with respect to variation of the bounding box parameter R_{max} and the discretization parameter Δr . The figures seem to indicate that the strength-function converges within the width of the line on the figure when $R_{\text{max}} = 30$ fm and $\Delta r = 0.08$ fm. Relative to the characteristic scale of the system—the interaction range parameter $b_w \sim 1.5$ fm—that gives $R_{\text{max}}/b_w \sim 20$ and $b_w/\Delta r \sim 20$.

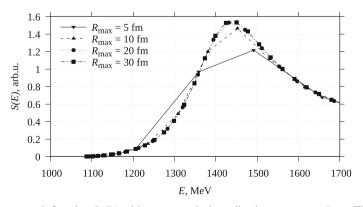


Fig. 3 Convergence of the strength-function $S(E_i)$ with respect to the bounding box parameter R_{max} . The interaction parameters S_w and b_w are taken from the first row of Table 1, the discretization parameter $\Delta r = 0.08$ fm. The lines are for guiding the eye

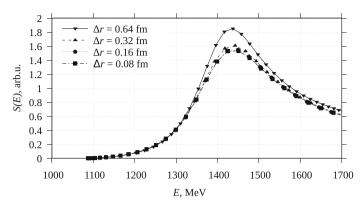


Fig. 4 Convergence of the strength-function $S(E_i)$ with respect to the discretization parameter Δr . The interaction parameters S_w and b_w are taken from the first row of Table 1, the bounding box parameter $R_{\text{max}} = 30$ fm. The lines are for guiding the eye

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