#### **ORIGINAL ARTICLE**



# Different degeneration patterns of paraspinal muscles in degenerative lumbar diseases: a MRI analysis of 154 patients

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#### Abstract

Study design A retrospective study.

**Objective** To evaluate the different degeneration patterns of paraspinal muscles in degenerative lumbar diseases and their correlation with lumbar spine degeneration severity.

Summary of background data The degeneration characteristics of different paraspinal muscles in degenerative lumbar diseases remain unclear.

**Methods** 78 patients diagnosed with single-level degenerative lumbar spondylolisthesis (DLS) and 76 patients with degenerative lumbar kyphosis (DLK) were included as DLS and DLK groups. Paraspinal muscle parameters of psoas major (PS), erector spinae (ES) and multifidus muscle (MF) were measured, including fatty infiltration (FI) and relative cross-sectional area (rCSA), namely the ratio of the paraspinal muscle CSA to the CSA of the vertebrae of the same segment. Sagittal parameters including lumbar lordosis (LL) and sagittal vertical axis (SVA) were measured. The paraspinal muscle parameters and ES/MF rCSA ratio were compared between the two groups. Paraspinal muscles parameters including rCSA and FI were also compared between each segments from L1 to L5 in both DLS and DLK groups. In order to determine the influence of sagittal spinal alignment on paraspinal muscle parameters, correlation analysis was conducted between the MF, ES, PS rCSA and FI and the LL in DLS and DLK group.

**Result** MF atrophy is more significant in DLS patients compared with DLK. Also, MF fatty infiltration in the lower lumbar spine of DLS patients was greater compared to DLK patients. DLK patients showed more significant atrophy of ES and heavier ES fatty infiltration. MF FI was significantly different between all adjacent segments in both DLS and DLK groups. In DLS group, ES FI was significantly different between L2/L3 to L3/L4 and L4/L5 to L5/S1, while in DLK group, the difference of ES FI between all adjacent segments was not significant, and ES FI was found negatively correlated with LL. **Conclusions** Paraspinal muscles show different degeneration patterns in degenerative lumbar diseases. MF degeneration is segmental in both DLS and DLK patients, while ES degenerated diffusely in DLK patients and correlated with the severity of kyphosis. MF degeneration is more significant in the DLS group, while ES degeneration is more significant in DLK patients. MF is the stabilizer of the lumbar spine segments, while the ES tends to maintain the spinal sagittal balance.

Keywords Paraspinal muscle  $\cdot$  Degenerative lumbar spondylolisthesis  $\cdot$  Degenerative lumbar kyphosis  $\cdot$  Relative cross-sectional area  $\cdot$  Fatty infiltration

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#### Introduction

The degenerative change of paraspinal muscles has been proven to be associated with a various of lumbar disorders and diseases [1-3]. Paraspinal muscle is composed of the iliopsoas, quadratus lumborum, erector spinae (ES) and multifidus muscle (MF) [4, 5]. The lumbar paraspinal muscle plays an irreplaceable role in the stability of spine and the maintenance of the coronal and sagittal alignment [6]. Also, the degree of muscle degeneration is associated with the spine degeneration and sagittal balance [7]. However, the anatomic characteristics, innervation and biomechanical effects of various muscles such as MF, ES and psoas major (PS) are not consistent. The anatomical location of the MF and the ES are close, and even the boundary between the two is difficult to distinguish on imaging. However, these two muscles are with different fascicles and innervation patterns [8]. MF fibers are orientated obliquely relative to the spine, while the erector spinae muscle bundle is substantially parallel to the body long axis. The cross-sectional area (CSA) of PS and MF in asymptomatic population increases caudalward gradually, while the CSA of the ES gradually decreases [3]. The role of paraspinal muscles in degenerative lumbar diseases has been recognized by a series of studies, indicating that paraspinal muscles are essential for lumbar spine motion and stabilization [6, 9-11]. MF is one of the most studied paraspinal muscles. Multiple studies have shown that MF is associated with various degenerative lumbar diseases such as low back pain, degenerative lumbar spondylolisthesis (DLS) and degenerative lumbar kyphosis (DLK) [12–14]. However, different degrees of degeneration in MF, ES and PS can often be observed in different patient populations. Whether the degenerative changes of the paraspinal muscles are related to the severity of spinal degenerative diseases remains controversial. It needs to be clear whether MF is the protagonist of a series of paraspinal muscles, and whether the different paraspinal muscles play the same role in degenerative lumbar diseases and exhibit consistent pattern of degeneration. Therefore, the present study focused on comparing the parameters of PS, ES and MF in patients with DLS and DLK, and to observe their correlation with disease severity. We hypothesizes that (1) patients with DLS and those with DLK will differ in the extent and segmental distribution of degenerative changes in MF, ES and PS muscles; (2) the degree of paraspinal muscles degeneration is related to the severity of the spinal disorder.

#### Materials and methods

#### Patients

The patient hospitalization number was used to encode demographic information of the subjects. All parties are fully aware of the confidentiality of the Helsinki Declaration. This study has been approved by the Center's Medical Ethics Committee.

Our retrospective study included 154 patients with degenerative lumbar disease diagnosed and treated at our center between November 2017 and June 2019. The average duration of symptom (pain) in patients was 11 months (1–36 months). Patients under 18 and over 90 years of age were excluded from the study. 78 patients diagnosed with single-level DLS and 76 patients with DLK were selected as subjects. Exclusion criteria included neuromuscular disease, spinal infections, ankylosing spondylitis, scoliosis, lumbar spinal tumor and patients with previous lumbar spine trauma or surgery.

DLS patients were diagnosed by three spinal surgeons based on subjective symptoms, clinical examinations and radiological data including X-ray and magnetic resonance image (MRI) [15]. DLK patients were diagnosed by three spinal surgeons based on the following clinical and radiographic features: (1) a forward stoop with difficulty walking due to axial back pain, (2) adaptive postural changes in an attempt to maintain a normal standing position, (3) loss of lumbar lordosis (LL) and (4) abnormal sagittal vertical axis (SVA). Radiographic evaluations of patients with suspected DLK were performed using a full-length 36-inch standing lateral radiograph of the entire spine [16]. Patients who are diagnosed with both DLS and DLK were excluded.

#### **Imaging technique**

In all patients, standing radiographs of the whole spine in the posteroanterior and lateral plane (Siemens DR system, Siemens, Germany) had been taken as part of their earlier clinical investigation. For the lateral films, the patients stood with their knees fully extended, the feet shoulderwidth apart, looking straight ahead, with their elbows bent and knuckles in the supraclavicular fossa bilaterally.

The MRI data were acquired on the Siemens Trio Tim 3.0 T MR imager (Siemens, Germany). The patients were placed in the supine position, with their legs straight and the lumbar spine in a neutral posture. Measurements were performed from the L1 superior endplate to the S1 superior endplate. Slices were obtained parallel to the superior endplate of the lower vertebra at each level.

#### **Radiological parameters**

The radiological data of all patients were collected and measured by picture archiving and communication system (PACS). Measurement parameters include paraspinal muscle CSA, paraspinal muscle fatty infiltration (FI), LL and SVA. The muscle parameters (CSA and FI) were made on the middle layer of the MRI at each segment.

Paraspinal muscle CSAs were measured using the grayscale discrimination method proposed by Ranson et al. [17]. CSA was obtained by dividing the Region of interest (ROI) according to the boundaries of each paraspinal muscle on the cross section. Relative cross-sectional area (rCSA) was adopted to eliminate the individual differences in muscle volume that affect the results [18]. rCSA is the ratio of the paraspinal muscle CSA to the CSA of the vertebrae of the same segment. The mean value of both sides was measured and adopted for analysis.

To measure the degree of paraspinal muscles FI, we used the Image J Threshold method (National Institutes of Health, Bethesda, MD, USA). Namely the percentage of the number of fat pixels in the total number of pixels in each paraspinal muscle ROI [19]. A threshold grayscale value of 120 was used to distinguish the pixels of intramuscular fatty tissue [20]. The measurement method is shown in Fig. 1.

The LL and SVA were measured on lateral radiographs. The LL is defined as the angle between the L1 vertebral superior endplate and the S1 vertebral superior endplate. The SVA was measured as the perpendicular distance between the C7 plumb line and the superior posterior corner of the S1 vertebra.

All parameters were measured by two orthopedic surgeons receive radiological training, respectively. Mean value of the two measurements was adopted for analysis.

#### **Statistical analysis**

Statistical analysis was conducted with SPSS 22.0 (IBM Corp., USA). Student *t* test was conducted for comparison of FI, rCSA and sagittal radiological parameters between



Fig. 1 Measurement method of the PS FI. The Image J Threshold method on the middle layer of magnetic resonance image in each segment. Red pixels represent fat tissues. *PS* psoas major, *FI* fatty infiltration

two groups. The comparison of paraspinal muscle parameters between segments used mixed ANOVA with segmental level as the within-subject factor and the patient group as the between-subject factor. Post hoc comparisons were performed using a pairwise comparison with Bonferroni correction to examine the subgroup differences. The correlation between paraspinal muscle parameters and sagittal radiological parameters was analyzed by Pearson correlation test. Intraobserver reliability and the interobserver reliability for rCSA of PS, ES and MF were evaluated using the intraclass correlation coefficient (ICC) [21]. The data are presented as mean values  $\pm$  standard deviation. P < 0.05 was considered to be statistically significant.

#### Result

#### Patient demographics and radiological parameters

The DLS group included 51 females and 27 males, with  $69.2 \pm 8.5$  (52–84) years of age; the average body mass index (BMI) was  $25.23 \pm 3.71$ . The DLK group included 48 females and 28 males, with  $70.1 \pm 7.3$  (56–83) years of age; the average BMI was  $24.45 \pm 3.09$ . Patients in the DLK group were older, but with no statistically significance (p = 0.482). Gender (p = 0.773, t = 0.083) and BMI did not differ significantly between the two groups (p=0.162). The most common segment of DLS was L4-L5. LL was  $52.4 \pm 18.4^{\circ}$ , SVA was  $4.20 \pm 2.61$  mm, and slippage percentage was  $33.7 \pm 10.6\%$  (18.6–54.7%) in DLS group. In DLK group, LL was  $6.8 \pm 9.7^{\circ}$  and SVA was  $14.95 \pm 8.79$  mm. The LL in DLS group was significantly larger, and SVA was significantly smaller than that in the DLK group. Patient demographics and sagittal radiological parameters are summarized in Table 1.

#### **Paraspinal muscles parameters**

The results indicate that MF rCSA in DLS group was smaller than that in DLK group at all the segments from L1/L2-L5/S1, while MF FI in DLS group was higher than

 
 Table 1 Demographics and sagittal radiological parameters of patients in DLS and DLK patients

	DLS	DLK	P value
Age	$69.2 \pm 8.5$	$70.1 \pm 7.3$	0.482
BMI	$25.23 \pm 3.71$	$24.45 \pm 3.09$	0.162
SVA	$4.20 \pm 2.61$	$14.95 \pm 8.79$	< 0.001*
LL	$52.4 \pm 18.4$	$6.8 \pm 9.7$	< 0.001*

All values are expressed as mean value ± standard deviation

BMI Body mass index, SVA sagittal vertical axis, LL lumbar lordosis

that in DLK group at L4/L5 and L5/S1. ES rCSA in DLS group was larger than that in DLK group at L2/L3-L5/S1, and ES FI in DLS group was lower in L1/L2-L3/L4. There was no significant difference in PS rCSA and FI between the two groups. The paraspinal muscle rCSA and FI of DLS and DLK group are summarized in Table 2.

The comparison of ES/MF rCSA ratio between the two groups showed that the patients in the DLK group had smaller ratios in each segment of L1-L5 (Table 3).

In order to study the muscle degeneration segmentally, we compared the paraspinal muscles of each segment in both DLS and DLK groups. The rCSA and FI data for all muscles showed significant segmental differences, and for MF and ES, there were significant differences between patient groups. In addition, the FI of MF and ES has significant interaction effects between patient groups and lumbar segments (Table 4). It shows that the lumbar segments have different effects on the FI of MF and ES in the two groups. Result of post hoc comparisons showed MF FI was significantly different between all adjacent segments in both DLS and DLK group. In DLS group, ES FI was significantly different between L2/L3 to L3/L4 and L4/L5 to L5/S1, while in DLK group, the ES FI difference between all adjacent segments was not significant (Tables 5 and 6).

Intraobserver ICC was excellent for rCSA for PS, ES and MF [ICC=0.994 (95% CI=0.982-0.997); ICC=0.998 (95% CI=0.996-0.999); ICC=0.962 (95% CI=0.936-0.988)]. The mean interobserver reliability was also good to excellent in measurement of the rCSA of PS, ES and MF in MRI. [ICC=0.972 (95% CI=0.966-0.986); ICC=0.982 (95% 0.964-0.992); ICC=0.966 (95% CI=0.942-0.987)].

#### Correlation analysis

In order to determine the influence of sagittal spinal alignment on paraspinal muscle parameters, correlation analysis was conducted between both rCSA and FI of MF, ES and PS and the LL in DLS and DLK groups. In the DLK group, the ES FI in each segment was negatively correlated with LL (Fig. 2). Other parameters showed no significant correlation with LL.

#### Discussion

### Different degeneration patterns of paraspinal muscles

The paraspinal muscles have been recognized as lumbar stabilizer and a protective factor in degenerative lumbar disease. Atrophy and fatty infiltration are the two major features of muscle degeneration [22, 23]. Researches in the past two decades have shown that the degeneration and function of Table 2Muscle degenerationof multifidus muscle, erectorspinae and psoas major in DLSand DLK group

FI		
value		
104		
911		
267		
0.001*		
0.001*		
0.001*		
0.001*		
)32*		
231		
261		
525		
751		
910		
188		
466		

All values are expressed as mean value  $\pm$  standard deviation

*rCSA* Relative paraspinal muscle cross area, *FI* fatty infiltration, *DLS* degenerative lumbar spondylolisthesis, *DLK* degenerative lumbar kyphosis, *MF* multifidus muscle, *ES* erector spinae, *PS* psoas major

Table 3 Ratio of rCSA-ES/MF in DLS and DLK group

rCSA-ES/MF	DLS	DLK	P value
L1/L2	$1.84 \pm 0.77$	$1.57 \pm 0.49$	0.012*
L2/L3	$1.59 \pm 0.59$	$1.21 \pm 0.51$	< 0.001*
L3/L4	$0.99 \pm 0.41$	$0.71 \pm 0.34$	< 0.001*
L4/L5	$0.73 \pm 0.31$	$0.50 \pm 0.20$	< 0.001*
L5/S1	$0.66 \pm 0.33$	$0.46 \pm 0.25$	< 0.001*

All values are expressed as mean value ± standard deviation

*rCSA* relative paraspinal muscle cross-sectional area, *DLS* degenerative lumbar spondylolisthesis, *DLK* degenerative lumbar kyphosis, *MF* multifidus muscle, *ES* erector spinae

 Table 4
 Muscles parameters comparison between lumbar segments and patient groups

		Patient group	Segments	Interaction effect
MF	rCSA	0.005*	< 0.001*	0.143
	FI	< 0.001*	< 0.001*	< 0.001*
ES	rCSA	0.002*	< 0.001*	0.110
	FI	< 0.001*	< 0.001*	< 0.001*
PS	rCSA	0.130	< 0.001*	0.208
	FI	0.823	< 0.001*	0.079

*rCSA* Relative paraspinal muscle cross area, *FI* fatty infiltration, *MF* multifidus muscle, *ES* erector spinae, *PS* psoas major

 Table 5
 Post hoc comparisons of muscles degeneration between adjacent segments in DLS group

		L1/L2-L2/ L3	L2/L3-L3/ L4	L3/L4-L4/ L5	L4/L5-L5/S1
MF	rCSA	0.007*	< 0.001*	0.110	1.000
	FI	< 0.001*	< 0.001*	< 0.001*	0.001*
ES	rCSA	1.000	0.217	0.566	1.000
	FI	1.000	< 0.001*	1.000	0.001*
PS	rCSA	0.001*	< 0.001*	< 0.001*	1.000
	FI	< 0.001*	< 0.001*	1.000	0.045*

*P* values of the post hoc comparisons are shown in the table

rCSA Relative paraspinal muscle cross area, FI fatty infiltration;, MF multifidus muscle, ES erector spinae, PS psoas major

paraspinal muscles are closely related to the process of lumbar degeneration and can affect the long-term clinical outcome of lumbar spine degenerative diseases [14, 16, 24–28]. Previous studies have indicated that in both DLS and DLK patients, MF and ES are significantly degenerated compared with healthy asymptomatic subjects [16, 29]. However, paraspinal muscle is a complex of iliopsoas, quadratus lumborum, ES and MF. Although these muscles are anatomical adjacent and radiographically contiguous, they are with different biomechanical properties and innervation. These

 Table 6
 Post hoc comparisons of muscles degeneration between adjacent segments in DLK group

		L1/L2-L2/ L3	L2/L3-L3/ L4	L3/L4-L4/ L5	L4/L5-L5/S1
MF	rCSA	0.001*	< 0.001*	0.653	1.000
	FI	< 0.001*	< 0.001*	< 0.001*	0.001*
ES	rCSA	1.000	0.007*	0.090	1.000
	FI	0.152	1.000	1.000	1.000
PS	rCSA	< 0.001*	< 0.001*	0.001*	1.000
	FI	< 0.001*	< 0.001*	0.999	< 0.001*

P values of the post hoc comparisons are shown in the table

*rCSA* Relative paraspinal muscle cross area, *FI* fatty infiltration, *MF* multifidus muscle, *ES* erector spinae, *PS* psoas major

muscles play different roles in the lumbar spine motion and exhibit different degenerative processes. At the same time, different degenerative lumbar diseases have different biomechanical changes. As two commonly seen degenerative diseases of the lumbar spine, the biomechanical changes of DLS and DLK are discriminative. DLS manifests as segmental lumbar instability, local slippage and increased LL [30, 31], while DLK presents a loss of physiological curvature of lumbar spine. This difference in biomechanical changes has led to different paraspinal muscles degeneration patterns.

# Degeneration patterns of MF and ES in degenerative lumbar diseases

The present study showed MF atrophy is more significant in DLS patients compared with DLK, and DLS patients showed heavier MF fatty infiltration in the lower lumbar spine when compared to DLK patients. DLK patients showed more significant atrophy of ES at L2/L3 to L5/S1 segments, and heavier ES fatty infiltration at L1/L2 to L3/ 14 segments when compared to DLS patients. Furthermore, ES and MF showed different patterns of degeneration. In both DLS and DLK patients, MF fatty infiltration is segmental and increased in the lower lumbar spine. However, there is no segmental difference in the level of ES fatty infiltration in DLK patients, which is manifested as diffuse degeneration. The present study confirmed and extended the previous results. Xia et al. conducted a study on 32 patients with degenerative spinal kyphosis, and they measured rCSA of MF, ES and PS, and spinal-pelvic parameters including C7 sagittal vertical axis (SVA), thoracic kyphosis (TK), thoracolumbar kyphosis (TLK), LL, pelvic incidence (PI), sacral slope (SS) and pelvic tilt in DSK patients. The results showed the MF rCSA correlated with SVA, TK, LL and TLK, while the ES rCSA correlated with PI and SS, which indicated that MF and ES have different roles in maintaining the sagittal alignment of the spine,

and there is no correlation between the PS and sagittal parameters [32]. Thakar et al. showed that segmental MF atrophy can be seen in patients with DLS; the ES may appear hypertrophic, which may be a compensation of the paraspinal muscles [29, 33]. These results, along with the findings of the present study, may indicate that MF acts as a stabilizer of the lumbar spine segments, while the ES maintains the spinal sagittal balance.

#### Factors of degeneration patterns for MF and ES

The unique anatomical and biomechanical characteristics may have led to different degeneration patterns. MF is the largest and most medial of the deep lumbar paraspinal muscles, originates from the sacrum and the ilium and inserts into the spinous processes and laminae of the lumbar vertebrae in a fanning pattern. MF distributed segmentally and exert independent biomechanical effects in each segment. Also, MF is innervated by the medial branch of the dorsal rami uni-segmentally, each band of multifidus muscle receiving its innervation from one dorsal ramus only [34]. These independent anatomical features make MF tend to develop segmental degeneration. In DLS patients, due to intervertebral disc and facet joint degeneration, the segmental stability is compromised. As the stabilizer of the lumbar spine, MF bears a greater load on the segment, where slippage occurs which may lead to MF degeneration. On the other hand, lower MF function including innate weaker MF and segmental MF degeneration could also result in lumbar instability, accelerating the progression of DLS.

The ES of DLK patients exhibited multi-segmental degeneration, which is different from the segmental degeneration of MF. The MF degeneration of the present study was more significant compared to the healthy controls of previous reports. Niemeläinen et al. found the FI of MF in healthy controls was between 17 and 28% from L3 to S1, which is smaller than the MF FI in the present study (27–64%) [35]. Also, Dorien et al. measured the parameters of lumbar muscles in patients with LBP and found MF FI was between 5 and 17% [36]. Lee et al. measured FI of the muscle compartment through L1–L4 levels in healthy controls with a mean value of 15.9%, which is lower than both the MF FI and ES FI in the present study [19]. As a major force in maintaining spinal sagittal alignment, the ES loading increases segmentally in DLK because of the reduced muscle lever arms, resulting in severe degeneration [11]. Lumbar ES has a medial and lateral division, namely the longissimus and iliocostalis. Contrary to the MF, ES innervation shows intersegmental collateralization via intersegmental communicating loops [8, 37]. These Fig. 2 Correlation between Lumbar lordosis (LL) and Erector spinae fatty infiltration (ES FI) for each segment in the degenerative lumbar kyphosis (DLK) group



characteristics explain the multi-segmental ES degeneration in degenerative lumbar diseases.

# Paraspinal muscles degeneration in degenerative lumbar diseases

MF and ES have different degeneration patterns in degenerative lumbar diseases. MF showed greater levels of fatty infiltration in the lower lumbar spine when compared to ES. Moreover, the segmental degeneration is more significant in patients with DLS compared to those with DLK. In contrast, the ES degeneration is more significant in DLK patients. The severity of ES degeneration is correlated with the degree of kyphosis.

Paraspinal muscles are closely related to lumbar degeneration and affect the lumbar spine surgery outcome [38, 39]. Previous studies have shown that MF is important in maintaining lumbar lordosis [6]. Ineffectual muscular stabilization is one of the three major local reasons that probably lead to the development of DLS [40]. The traditional posterior approach dissects and seriously affects the paraspinal muscles, which interferes with postoperative recovery and normal spinal sequence maintenance. Studies have shown that patients with postoperative low back pain exhibit MF degeneration, including muscular atrophy, intramuscular adipose tissue accumulation and denervation [34]. The local anatomic structures make the ES and medial MF vulnerable to injury during traditional PLIF procedure [41]. The injury factors mainly include dissection, retraction, denervation and immobility. Procedures with less dissection and retraction, such as mini-invasive transforaminal lumbar interbody fusion can prevent the paraspinal muscles from injury [42, 43]. Appropriate surgical approach and effective exercise can protect and strengthen the paraspinal muscles for potential positive impacts on clinical results, especially for the elderly patients [33].

### Conclusions

Paraspinal muscles have different degeneration patterns in degenerative lumbar diseases. MF degeneration is segmental in both DLS and DLK patients, while ES degenerated diffusely in DLK patients and correlated with the severity of kyphosis. MF degeneration is more significant in the DLS group, while ES degeneration is more significant in DLK patients. MF is the stabilizer of the lumbar spine segments, while the ES tends to maintain the spinal sagittal balance. Appropriate surgical approach can be adopted to protect the paraspinal muscles for potential positive impacts on clinical results.

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**Data availability** The datasets used or analyzed during the current study are available from the corresponding author on reasonable request.

#### Declarations

**Conflict of interest** There are no conflicts of interest for the current study.

**Ethics approval** This study has been approved by the Center's Medical Ethics Committee.

**Consent to participate** All parties participated are fully aware of the confidentiality of the Helsinki Declaration.

**Consent for publication** Written informed consent for publication was obtained from all participants.

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