

More on an Erdős–Szekeres-Type Problem for Interior Points

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Abstract An interior point of a finite planar point set is a point of the set that is not on the boundary of the convex hull of the set. For any integer $k \geq 1$, let $g(k)$ be the smallest integer such that every planar point set in general position with at least $g(k)$ interior points has a convex subset of points with exactly k interior points of P . In this article, we prove that $g(3) = 9$.

Keywords Interior point · General position · Empty convex polygon · Splitter · Deficient point set

1 Introduction

In this article, we consider only point sets in the plane. All points are assumed to be in *general position*, that is, no three of the points are collinear. The most famous and attractive problem concerning finite point sets is the following Erdős–Szekeres Problem posed in 1935 (see [5–7, 13]): *For any integer $n \geq 3$, determine the smallest positive integer $N(n)$ such that any set of at least $N(n)$ points contains n points that are the vertices of a convex n -gon.* In their first joint paper [6], Erdős and Szekeres proved the existence of the number $N(n)$ by two different methods. In [7] they obtained the lower bound $N(n) \geq 2^{n-2} + 1$ for all $n \geq 3$. $N(3) = 3$ is trivial. The only known nontrivial exact values of $N(n)$ are $N(4) = 5$ (see [4]) and $N(5) = 9$

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(see [11]). For generalizations of Erdős–Szekeres Problem to families of convex sets, see [14] and [15].

In 2001, Avis, Hosono, and Urabe [2] investigated the following question: *For any integer $k \geq 1$, determine the smallest integer $g(k)$ such that every set of points containing at least $g(k)$ interior points has a subset containing exactly k interior points.*

In [2] the authors showed that $g(1) = 1$, $g(2) = 4$, and $g(3) \geq 8$ and also that every point set with at least k interior points contains a subset with between k and $\lfloor \frac{3k}{2} \rfloor$ interior points, and every point set with at least 3 interior points contains a subset with either 3 or 4 interior points. In 2005, Hosono [9] presented the following result: if a point set has at least 8 interior points and no empty convex hexagons, then it contains a subset with precisely 3 interior points. The progress in the investigation of the lower bound of $g(k)$ for integer k is as follows: In [2] it is proved that $g(k) \geq k+2$ for $k \geq 4$. In [1] it is proved that $g(k) \geq \lceil (3k+3)/2 \rceil$ for $k \geq 6$. Fevens [8] showed that $g(k) \geq 3k-1$ for $k \geq 3$. Recently, we improved the lower bound by proving that $g(k) \geq 3k$ for $k \geq 3$ (see [17]).

The existence or finiteness of $g(k)$ for any nonnegative integer k is still an open problem. Let $g_{\Delta}(k)$ be the smallest integer such that every set of points whose convex hull is a triangle and which has at least $g_{\Delta}(k)$ interior points also contains a subset with exactly k interior points. By using some results from [12] and [16], Hosono et al. [10] and Bisztriczky et al. [3] proved that if $g_{\Delta}(k)$ is finite, $g(k)$ is also finite for every nonnegative integer k .

In this paper, we discuss the existence of a point subset with exactly 3 interior points of P and obtain that $g(3) = 9$.

2 Definitions and Notation

Let P be a finite planar point set in general position. Let $CH(P)$ denote the convex hull of P , and $V(P) = \{v_1, v_2, \dots, v_m\}$ be the vertex set of $CH(P)$, or briefly, of P . Here the vertices v_1, v_2, \dots, v_m are always in counter-clockwise order. An interior point of P is a point of P that is not on the boundary of $CH(P)$. Let $I(P)$ denote the interior point set of P . Let $Q \subset P$ be a subset of P ; we say that Q contains an interior point p of P if $p \in I(P) \cap intCH(Q)$, where $intCH(Q)$ is the interior of the convex hull of Q , and we shorten $I(P) \cap intCH(Q)$ to $I^*(Q)$. It is easy to give examples showing that $I(Q) \neq I^*(Q)$. Denote by $i^*(Q) = |I^*(Q)|$ the number of interior points of P contained in Q . When $i^*(Q) = k$, we say that Q is a “subset with exactly k interior points of P ,” or a “subset with exactly k interior points” for brevity. If a point set R (a connected region or a finite point set in the plane) contains no interior point of P , we say that R is *empty*, which is denoted by $R \approx \emptyset$.

Using the above notation, $g(k)$ can be defined as follows:

$$g(k) = \min\{s : |I(P)| \geq s \Rightarrow \exists Q \subset P \text{ such that } i^*(Q) = |I(P) \cap intCH(Q)| = k\}.$$

A point set T is called a triangle and denoted by $T = \Delta v_1 v_2 v_3$ if $V(T) = \{v_1, v_2, v_3\}$. An interior point of a triangle T is called an (x, y, z) -splitter, or a splitter of type (x, y, z) of T if it partitions T into three triangles with $x \geq y \geq z$ interior

points, respectively. In the case $z = 0$, we abbreviate it to (x, y) -splitter. Let $H(ab; c)$ denote the *half plane* bounded by the straight line ab with c in the interior of the half plane, and $C(a; b, c)$ a *convex cone*, where a is the apex of the cone and the two rays ab and ac form the boundary of the cone. We say that a point set Q is *in convex position*, or *convex*, when it forms the vertex set of a point set.

To investigate the lower bounds for $g(k)$, we need the concept of deficient point sets (see [2]). A point set P is called a *deficient point set of type $P(m, s, k)$* and denoted as $P = P(m, s, k)$ if $|V(P)| = m$, $|I(P)| = s$, and for any $Q \subset P$, $i^*(Q) \neq k$. For brevity, we may use $P(m, s, k)$ to stand for the deficient set itself. The existence of a deficient point set $P = P(m, s, k)$ implies that $g(k) \geq s + 1$.

For a point set P , an edge of $CH(P)$ is also called an edge of P . If for an edge xy of $P(3, s, 3)$, there exists a subset $Q \subset P$ with $i^*(Q) = k$ such that xy is an edge of Q , we say the edge xy is of *type- k* , where $k \neq 3$ and $k \leq s - 1$.

We call a subset Q of P a *3-int subset* when $i^*(Q) = 3$, that is, when Q contains exactly 3 interior points of P .

According to [1], a configuration of a deficient point set P with certain required properties is called a *monster*.

3 Basic Lemmas

For the proof of $g(3) = 9$, we need the following properties of deficient point sets of type $P(3, s, 3)$ with $4 \leq s \leq 8$, which are proved in [18].

Lemma 1 [18] *A deficient point set $P = P(3, 4, 3)$ has the following properties:*

1. *Every edge of P is of type-1 or of type-2;*
2. *P has at least two edges each of which is of both type-1 and type-2.*

$P(3, 5, 3)$ has the same properties.

Lemma 2 [18] *Every edge of a deficient point set $P(3, 6, 3)$ is of both type-1 and type-2. $P(3, 7, 3)$ and $P(3, 8, 3)$ have the same property.*

In the proof of Lemma 1, we obtain two different configurations of $P(3, 5, 3)$ called a *5-I-monster* and a *5-II-monster* and shown in Fig. 1. In a *5-I-monster*, one

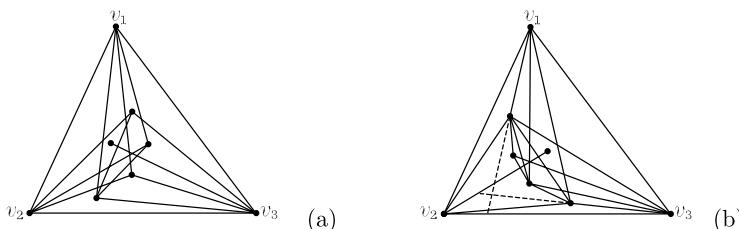


Fig. 1 (a) 5-I-monster; (b) 5-II-monster

edge v_1v_3 is of type-2 but not of type-1 (see Fig. 1a). In a *5-II-monster*, one edge v_1v_3 is of type-1 but not of type-2 (see Fig. 1b).

In the proof of Lemma 2, we obtain three different configurations of $P(3, 8, 3)$ called an *8-I-monster*, an *8-II-monster*, and an *8-III-monster*, respectively.

We also need the following lemma.

Reduction Lemma [2] *Let P be a planar point set with $m \geq 3$ vertices and $s \geq 0$ interior points. There exists a vertex v_i of P such that $P' = P \setminus \{v_i\}$ has m' vertices, s' interior points, and either (a) $m' = m - 1$ and $s' = s$ or (b) $m' = m + t$ and $s' = s - t - 1$, where $t = 0, 1, 2, \dots, \lfloor s/m \rfloor$.*

4 The Main Result and Its Proof

Theorem $g(3) = 9$.

The existence of $P(3, 8, 3)$ (see Fig. 2) shows that $g(3) \geq 9$. Therefore, to prove that $g(3) = 9$, it suffices to prove that $g(3) \leq 9$.

According to the definition of $g(3)$, what we need to prove is that *for any point set P with $|I(P)| \geq 9$, there always exists a 3-int subset of P* .

We split our conclusion into several lemmas. For brevity, in the proofs of the following lemmas, we always assume that every triangle Δ with $i^*(\Delta) = 4, 5, 6, 7$, or 8 is a deficient point set (or monster), and do not consider (x, y) -splitters with $x = 3$ or $y = 3$, since otherwise we obtain a 3-int subset, and we are done.

Lemma 3 *If $|I(P)| = 9$ and $|V(P)| = 3$, then P has a 3-int subset.*

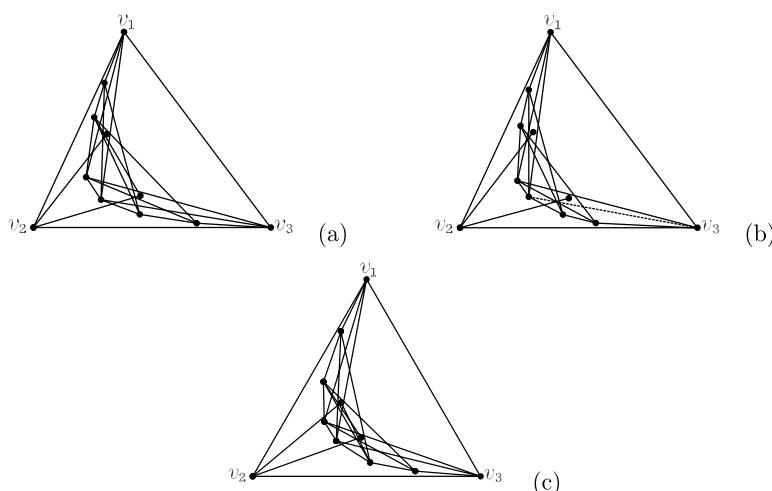


Fig. 2 (a) 8-I-monster; (b) 8-II-monster; (c) 8-III-monster

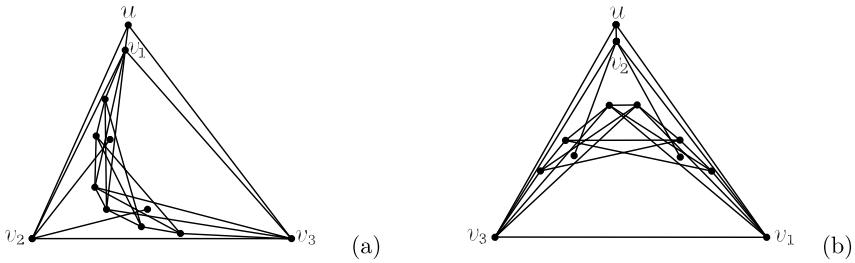


Fig. 3 $\triangle v_1 v_2 v_3$ is an 8-II-monster in Fig. 2: (a) v_1 is an $(8, 0)$ -splitter; (b) v_2 is an $(8, 0)$ -splitter

Proof It suffices to consider (x, y) -splitters of P of types $(8, 0)$, $(7, 1)$, $(6, 2)$, and $(4, 4)$.

Case 1 P has an $(8, 0)$ -splitter.

Let $\triangle v_1 v_2 v_3$ be an 8-monster as shown in Fig. 2. We may assume that $\triangle v_1 v_2 v_3$ is an 8-II-monster. The arguments for 8-I-monster and 8-III-monster are similar. Let $V(\triangle v_1 v_2 v_3 \setminus \{v_2\}) = \{v_1, p_1, p_2, p_3, p_4, p_5, p_6, v_3\}$ and $I^*(\triangle v_1 v_2 v_3 \setminus \{v_2\}) = \{q_1, q_2\}$, where $q_1 \in C(v_2; p_2, p_3)$ and $q_2 \in C(v_2; p_4, p_5)$.

- (a) v_1 is an $(8, 0)$ -splitter, $V(P) = \{u, v_2, v_3\}$, and $i^*(\triangle u v_2 v_3) = 9$. See Fig. 3a, in which $\triangle v_1 v_2 v_3$ is an 8-II-monster as shown in Fig. 2. Let $r_u \in I^*(\triangle u v_2 v_3)$ be such that $C(u; v_2, r_u) \approx \emptyset$.

If $r_u = v_1$, then $I^*(\triangle u v_2 q_1) = \{v_1, p_1, p_2\}$. If $r_u = p_1$, then $I^*(\triangle u v_2 v_3 \setminus \{v_2\}) = \{v_1, q_1, q_2\}$. If $r_u = p_2$, then $I^*(\{u, p_2, q_2, v_3\}) = \{v_1, q_1, p_1\}$. Next suppose that $r_u = p_3$. If $v_1 \in H(q_2 u; v_3)$, then $I^*(\{u, p_3, q_2, v_1\}) = \{p_1, p_2, q_1\}$. Otherwise $I^*(\triangle u p_2 q_2) = \{v_1, p_1, q_1\}$. Now assume that $r_u = p_4$. If $p_1 \in H(q_2 u; v_3)$, then $I^*(\{u, p_4, q_2, p_1\}) = \{p_2, p_3, q_1\}$. Otherwise, if $v_1 \in H(q_2 u; v_3)$, then $I^*(\triangle u p_3 q_2) = \{p_1, p_2, q_1\}$; if $v_1 \in H(q_2 u; v_2)$, then $I^*(\triangle u p_2 q_2) = \{v_1, p_1, q_1\}$. Lastly consider the case where $r_u = p_5$ or p_6 . If $q_1 \in H(p_2 u; v_2)$, then $I^*(\triangle u q_1 v_3) = \{v_1, p_1, p_2\}$. Otherwise, if $q_1 \in C(u; p_2, p_1)$, then $I^*(\triangle u p_5 q_1) = \{p_2, p_3, p_4\}$; if $q_1 \in C(u; p_1, v_1)$, then $I^*(\triangle u p_4 q_1) = \{p_1, p_2, p_3\}$; if $q_1 \in H(u v_1; v_3)$, then $I^*(\triangle u p_3 q_1) = \{v_1, p_1, p_2\}$.

- (b) v_3 is an $(8, 0)$ -splitter, $V(P) = \{u, v_1, v_2\}$, $i^*(\triangle u v_1 v_2) = 9$. $\triangle v_1 v_2 v_3$ is an 8-II-monster as shown in Fig. 2. The proof is similar to that of (a).

- (c) v_2 is a $(8, 0)$ -splitter, $V(P) = \{u, v_3, v_1\}$, and $i^*(\triangle u v_3 v_1) = 9$. See Fig. 3b in which $\triangle v_1 v_2 v_3$ is an 8-II-monster as shown in Fig. 2.

If $v_2 \in H(p_3 u; v_1)$, then $I^*(\triangle u p_3 v_1) = \{v_2, p_1, p_2\}$. So we can assume that $v_2 \in H(p_3 u; v_3)$. If $v_2 \in H(p_6 u; v_1)$, then $I^*(\triangle u p_6 p_3) = \{v_2, p_4, p_5\}$. Otherwise $I^*(\triangle u v_3 p_4) = \{v_2, p_5, p_6\}$.

Case 2 P has a $(7, 1)$ -splitter but no $(8, 0)$ -splitter.

Let $V(P) = \{v_1, v_2, v_3\}$. Assume that u_1 is a $(7, 1)$ -splitter, where $i^*(\triangle u_1 v_2 v_3) = 7$, $i^*(\triangle v_1 u_1 v_3) = 1$ with $I^*(\triangle v_1 u_1 v_3) = \{u_2\}$, and $u_2 \in H(v_2 u_1; v_3)$. If u_2 is a $(4, 4)$ -splitter of $\triangle v_1 v_2 v_3$, then $i^*(\triangle v_2 u_2 u_1) = 3$. If u_2 is a $(6, 2)$ -splitter of $\triangle v_1 v_2 v_3$, then by Lemma 2, there exists a 3-int subset. For example, suppose that $i^*(\triangle v_2 v_3 u_2) = 6$, then by Lemma 2, the edge $v_2 u_2$ is of type-2 in $\triangle v_2 v_3 u_2$, that is, there exists a subset Q with $v_2 u_2$ as an edge such that $i^*(Q) = 2$, as shown in the

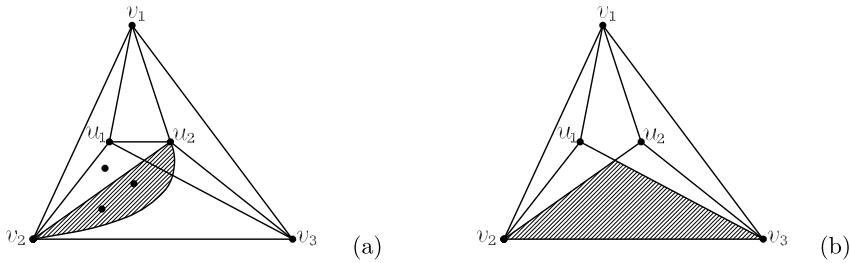


Fig. 4 (a) The convex hull of the union of the shaded region and u_1 contains 3 interior points; (b) Both $\Delta u_1 v_2 v_3$ and $\Delta u_2 v_2 v_3$ are 7-monsters

shaded region of Fig. 4a, and $i^*(Q \cup \{u_1\}) = 3$. If u_2 is a $(7, 1)$ -splitter of $\Delta v_1 v_2 v_3$, suppose that $i^*(\Delta v_1 v_2 u_2) = 7$, then by Lemma 2, there exists a 3-int subset. So assume that $i^*(\Delta u_2 v_2 v_3) = 7$. Thus both $\Delta u_1 v_2 v_3$ and $\Delta u_2 v_2 v_3$ are 7-monsters. See Fig. 4b.

Let $w_1 \in I^*(\Delta v_2 v_3 u_2)$ be such that $C(v_2; v_3, w_1) \approx \emptyset$, where w_1 is a $(6, 0)$ -, $(5, 1)$ - or $(4, 2)$ -splitter of $\Delta u_2 v_2 v_3$, and w_1 is a $(7, 1)$ -, $(6, 2)$ -, or $(4, 4)$ -splitter of $\Delta v_1 v_2 v_3$.

Assume that $w_1 \in H(v_1 u_1; v_2)$ (for $w_1 \in C(v_1; u_1, u_2)$ or $w_1 \in H(v_1 u_2; v_3)$, the proof is similar).

Suppose that w_1 is a $(6, 0)$ -splitter of $\Delta u_2 v_2 v_3$. If $i^*(\Delta u_2 w_1 v_3) = 6$, then w_1 is an $(8, 0)$ -splitter of $\Delta v_1 v_2 v_3$, contradicts to our assumption. If $i^*(\Delta u_2 v_2 w_1) = 6$, then by Lemma 2, we can find a 3-int subset.

Suppose that w_1 is a $(5, 1)$ -splitter of $\Delta u_2 v_2 v_3$. If w_1 is a $(7, 1)$ - or $(6, 2)$ -splitter of $\Delta v_1 v_2 v_3$, then $i^*(\Delta v_1 w_1 v_3) = 7$ or 6, and we can find a 3-int subset as before. So we only need to consider the case where w_1 is a $(4, 4)$ -splitter of $\Delta v_1 v_2 v_3$. Then $i^*(\Delta u_2 v_2 w_1) = 5$ and $i^*(\Delta u_2 w_1 v_3) = 1$, say $I^*(\Delta u_2 w_1 v_3) = \{w_2\}$. If $w_2 \in H(v_1 u_2; v_2)$, then applying Lemma 2 to $\Delta u_2 v_2 v_3$, we can find a 3-int subset of P . So assume that $w_2 \in H(v_1 u_2; v_3)$. Then $i^*(\Delta v_1 w_1 w_2) = 3$.

Suppose that w_1 is a $(4, 2)$ -splitter of $\Delta u_2 v_2 v_3$. As before, if w_1 is a $(7, 1)$ - or $(6, 2)$ -splitter of $\Delta v_1 v_2 v_3$, then $i^*(\Delta v_1 w_1 v_3) = 7$ or 6, and we can find a 3-int subset. So we only need to consider the case where w_1 is a $(4, 4)$ -splitter of $\Delta v_1 v_2 v_3$. Then $i^*(\Delta u_2 v_2 w_1) = 4$ and $i^*(\Delta u_2 w_1 v_3) = 2$, say $I^*(\Delta u_2 w_1 v_3) = \{w_2, w_3\}$. We may assume that at least one of w_2, w_3 , say $w_2 \in H(v_1 u_2; v_3)$, since otherwise by applying Lemma 2 to $\Delta u_2 v_2 v_3$ we can find a 3-int subset. If $w_3 \in I^*(\Delta v_1 w_1 w_2)$, then $i^*(\Delta v_1 w_1 w_2) = 3$. If $w_3 \notin I^*(\Delta v_1 w_1 w_2)$, then $i^*(\Delta v_1 w_1 w_2) = 2$ and $i^*(\Delta v_1 w_1 u_2) = 1$. By property (1) of Lemma 1, the edge $v_1 w_1$ is of type-1 or type-2 in $\Delta v_1 v_2 w_1$, that is, there exists a subset Q with $v_1 w_1$ as an edge such that $i^*(Q) = 1$ or 2. Thus $i^*(Q \cup \{w_2\}) = 3$ or $i^*(Q \cup \{u_2\}) = 3$.

Case 3 P has a $(6, 2)$ -splitter, but no $(8, 0)$ -splitter and no $(7, 1)$ -splitter.

Let $V(P) = \{v_1, v_2, v_3\}$. Let u_1 be a $(6, 2)$ -splitter, where $i^*(\Delta u_1 v_2 v_3) = 6$ and $i^*(\Delta v_1 u_1 v_3) = 2$, and 2 interior points of $\Delta v_1 u_1 v_3$ lie in $H(v_2 u_1; v_3)$. Let $u_2 \in I^*(\Delta v_1 u_1 v_3)$ such that $I^*(\Delta v_1 u_2 v_3) = \emptyset$, where u_2 is a $(1, 0)$ -splitter of $\Delta v_1 u_1 v_3$. We can assume that $i^*(\Delta v_1 u_1 u_2) = 1$ with $I^*(\Delta v_1 u_1 u_2) = \{u\}$, and u lies in $H(v_3 u_2; v_2)$, since otherwise by applying Lemma 2 to $\Delta u_1 v_2 v_3$ we obtain a 3-int

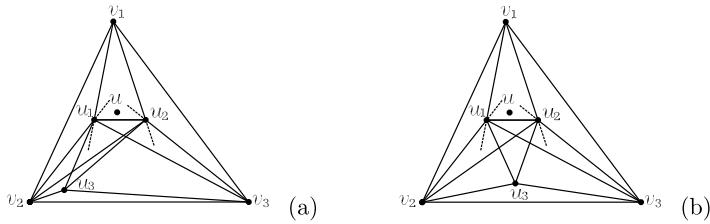


Fig. 5 $i^*(\Delta u_1 v_2 v_3) = 6$, and $I^*(\Delta u_3 v_2 v_3) = \emptyset$

subset. See Fig. 5. Let $u_3 \in I^*(\Delta v_2 v_3 u_2)$ be such that $C(v_2; v_3, u_3) \approx \emptyset$, where u_3 is a (5, 0)-splitter or (4, 1)-splitter of $\Delta u_1 v_2 v_3$.

(a) $u_3 \in H(v_1 u_1; v_2)$ (see Fig. 5a).

Assume that u_3 is a (4, 1)-splitter of $\Delta u_1 v_2 v_3$. If $i^*(\Delta u_1 u_3 v_3) = 4$, then u_3 is an (8, 0)- or (7, 1)-splitter of $\Delta v_1 v_2 v_3$, contradicting the assumption. So assume that $i^*(\Delta u_1 v_2 u_3) = 4$ and $i^*(\Delta u_1 u_3 v_3) = 1$ with $I^*(\Delta u_1 u_3 v_3) = \{w\}$. First suppose that $w \in H(u_3 u_2; u_1)$. If u_2 is a (4, 4)-splitter of $\Delta v_1 v_2 v_3$, then $i^*(\Delta v_2 u_3 u_2) = 3$. If u_2 is a (6, 2)-splitter of $\Delta v_1 v_2 v_3$, then by Lemma 2, P has a 3-int subset. Secondly suppose that $w \in H(u_3 u_2; v_3)$. If u_3 is a (6, 2)-splitter of $\Delta v_1 v_2 v_3$, then $i^*(\Delta v_1 u_3 v_3) = 6$, and hence $i^*(\Delta v_1 u_3 u) = 3$. If u_3 is a (4, 4)-splitter of $\Delta v_1 v_2 v_3$, then applying property (1) of Lemma 1 to $\Delta v_1 v_2 u_3$, the edge $v_1 u_3$ is of type-1 (or type-2) in $\Delta v_1 v_2 u_3$, that is, there exists a subset Q with $v_1 u_3$ as an edge such that $i^*(Q) = 1$ (or 2) and $i^*(Q \cup \{u_2\}) = 3$ (or $i^*(Q \cup \{u\}) = 3$).

Assume that u_3 is a (5, 0)-splitter of $\Delta u_1 v_2 v_3$. Then $i^*(\Delta u_1 v_2 u_3) = 5$, since otherwise u_3 is a (8, 0)-splitter of $\Delta v_1 v_2 v_3$. If u_3 is a (4, 4)-splitter of $\Delta v_1 v_2 v_3$, then $i^*(\Delta v_1 u_3 u_2) = 3$. If u_3 is a (6, 2)-splitter of $\Delta v_1 v_2 v_3$, then $i^*(\Delta v_1 u_3 v_3) = 6$, and hence $i^*(\Delta u_1 v_1 u_3) = 3$.

(b) $u_3 \in H(v_1 u_1; v_3)$ (see Fig. 5b).

Assume that u_3 is a (4, 1)-splitter of $\Delta u_1 v_2 v_3$. Then $i^*(\Delta u_1 v_2 u_3) = 1$, say $I^*(\Delta u_1 v_2 u_3) = \{w\}$, since otherwise $i^*(\{v_1, u_1, u_3, v_3\}) = 3$. If $w \in H(v_1 u_1; v_3)$, then applying Lemma 2 to $\Delta u_1 v_2 v_3$, we obtain a 3-int subset. So we can assume that $w \in H(v_1 u_1; v_2)$. If w is a (4, 4)-splitter of $\Delta v_1 v_2 v_3$, then $i^*(\Delta w u_3 v_3) = 3$. If w is a (6, 2)-splitter of $\Delta v_1 v_2 v_3$, then $i^*(\Delta v_1 w v_3) = 6$, and hence $i^*(\Delta u_1 w v_3) = 3$.

Assume that u_3 is a (5, 0)-splitter of $\Delta u_1 v_2 v_3$. Then $i^*(\Delta u_1 v_2 u_3) = 5$, since otherwise by applying Lemma 2 to $\Delta u_1 v_2 v_3$ we obtain a 3-int subset. If u_2 is a (4, 4)-splitter of $\Delta v_1 v_2 v_3$, then $i^*(\Delta v_2 u_3 u_2) = 3$. If u_2 is a (6, 2)-splitter, and if $u_3 \in H(v_1 u_2; v_2)$, then due to Lemma 2, there exists a 3-int subset; and if $u_3 \in H(v_1 u_2; v_3)$, then u_3 is an (8, 0)-splitter of $\Delta v_1 v_2 v_3$, a contradiction to our assumption.

Case 4 Every (x, y) -splitter is a (4, 4)-splitter.

Let $V(P) = \{v_1, v_2, v_3\}$. Let u_1 be a (4, 4)-splitter, where $i^*(\Delta v_1 u_1 v_3) = 4$ and $i^*(\Delta u_1 v_2 v_3) = 4$. No point lies in $H(v_2 u_1; v_1)$, and no point lies in $H(v_1 u_1; v_2)$. Let $u_2 \in I^*(\Delta v_1 u_1 v_3)$ such that $I^*(\Delta v_1 u_2 v_3) = \emptyset$, where u_2 is a (2, 1)-splitter of $\Delta v_1 u_1 v_3$. No point lies in $H(v_3 u_2; v_1)$, and no point lies in $H(v_1 u_2; v_3)$. Let

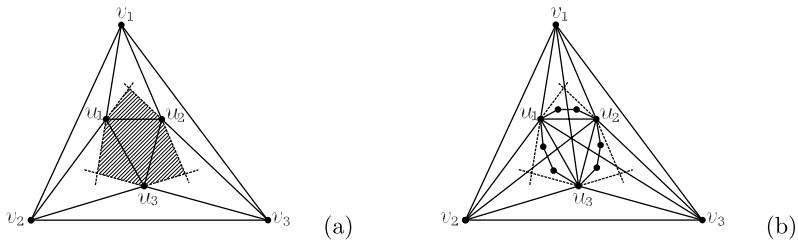


Fig. 6 (a) The points of $I(P)$ lie in the shaded region; (b) $I(P)$ is a convex 9-gon

$u_3 \in I^*(\Delta u_1 u_2 v_3)$ be such that $I^*(\Delta u_3 v_2 v_3) = \emptyset$, where u_3 is a $(2, 1)$ -splitter of $\Delta u_1 u_2 v_3$. No point lies in $H(v_3 u_3; v_2)$, and no point lies in $H(v_2 u_3; v_3)$. See Fig. 6a. So we may assume that either $i^*(\Delta u_1 u_3 v_3) = i^*(\Delta u_1 v_3 u_2) = 1$ or $i^*(\Delta u_1 u_3 v_3) = i^*(\Delta u_1 v_3 u_2) = 2$, since otherwise $i^*(\{u_1, u_3, v_3, u_2\}) = 3$.

(a) $i^*(\Delta u_1 u_3 v_3) = i^*(\Delta u_1 v_3 u_2) = 2$.

Then $i^*(\Delta v_1 u_1 u_2) = i^*(\Delta v_2 u_3 u_1) = 1$. If $i^*(\Delta u_1 u_3 u_2) = 4$, then $i^*(\{v_1, u_1, w, u_2\}) = 3$ or $i^*(\{v_2, u_3, w, u_1\}) = 3$, where $w \in I^*(\Delta u_1 u_3 u_2)$ such that $I^*(\Delta w u_3 u_2) = \emptyset$, and w is a $(2, 1)$ -splitter of $\Delta u_1 u_3 u_2$. If $i^*(\Delta u_1 u_3 u_2) = 3$, then we are done. If $i^*(\Delta u_1 u_3 u_2) = 2$, then $i^*(\{v_1, u_1, u_3, u_2\}) = 3$. If $i^*(\Delta u_1 u_3 u_2) = 1$, then $i^*(\Delta u_3 v_3 u_2) = 3$. If $i^*(\Delta u_1 u_3 u_2) = 0$, then $i^*(\{v_1, v_2, u_3, u_2\}) = 3$.

(b) $i^*(\Delta u_1 u_3 v_3) = i^*(\Delta u_1 v_3 u_2) = 1$.

Then $i^*(\Delta v_1 u_1 u_2) = i^*(\Delta v_2 u_3 u_1) = 2$. If $i^*(\Delta u_1 u_3 u_2) = 2$, then $i^*(\{v_1, u_1, w, u_2\}) = 3$ or $i^*(\{v_2, u_3, w, u_1\}) = 3$, where $w \in I^*(\Delta u_1 u_3 u_2)$ such that $I^*(\Delta w u_3 u_2) = \emptyset$, and w is a $(1, 0)$ -splitter of $\Delta u_1 u_3 u_2$. If $i^*(\Delta u_1 u_3 u_2) = 1$, then $i^*(\{v_1, u_1, u_3, u_2\}) = 3$. If $i^*(\Delta u_1 u_3 u_2) = 0$, then $I(P)$ is a convex 9-gon, see Fig. 6b, since otherwise there exists a 3-int subset. Then it is easy to see that P has a 3-int subset. \square

Lemma 4 *If $|I(P)| = 9$ and $|V(P)| \geq 4$, then P has a 3-int subset.*

Proof Let $V(P) = \{v_1, v_2, \dots, v_m\}$, where $m = |V(P)|$. Triangulate P by joining vertex v_1 to each of the other vertices in $V(P)$, and we obtain $m - 2$ triangles. If there exists a triangle Δ such that $i^*(\Delta) = 3$ or 9, we are done. If there exists a triangle Δ such that $i^*(\Delta) = 6, 7$, or 8, due to Lemma 2, there exists a 3-int subset. If for any triangle Δ , $i^*(\Delta) \leq 4$, then due to Lemma 1 it is easy to verify that we may find a 3-int subset of P by concatenating a set of adjacent triangles. If one triangle contains 5 interior points and each of the other triangles contains at most 2 interior points, then by Lemma 1, it is easy to verify that we may find a 3-int subset. So we can assume that $i^*(\Delta v_1 v_i v_{i+1}) = 5$ and $i^*(\Delta v_1 v_j v_{j+1}) = 4$, and the other remaining triangles contain no interior point, where $i + 1 \leq j$.

Case 1 The two triangles $\Delta v_1 v_i v_{i+1}$ and $\Delta v_1 v_j v_{j+1}$ are adjacent.

Without loss of generality, we may assume that $i^*(\Delta v_1 v_2 v_3) = 5$ and $i^*(\Delta v_1 v_3 v_4) = 4$. By Lemma 1, it suffices to consider the case where $\Delta v_1 v_2 v_3$ is a 5-I-monster, that is, the edge $v_1 v_3$ is of type-2 but not of type-1 in $\Delta v_1 v_2 v_3$, or

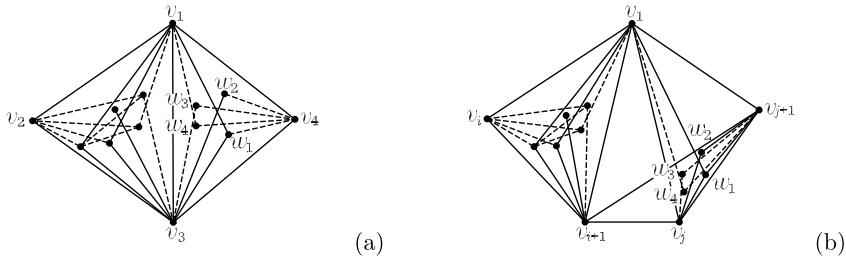


Fig. 7 $\Delta v_1 v_2 v_3$ is a 5-I-monster

a 5-II-monster, that is, the edge $v_1 v_3$ is of type-1 but not of type-2 in $\Delta v_1 v_2 v_3$. If $i^*(\Delta v_1 v_2 v_4) \geq 6$ or ≤ 3 , then by Lemma 3 or Lemma 2, P has a 3-int subset. So assume that $i^*(\Delta v_1 v_2 v_4) = 4$ or 5.

(a) $\Delta v_1 v_2 v_3$ is a 5-I-monster. See Fig. 1a.

Rotate the edge $v_1 v_3$ counter clockwise with v_3 as center in $\Delta v_1 v_2 v_3$, and label the points the edge $v_1 v_3$ meets consecutively by a_1, a_2, a_3, a_4, a_5 .

Let $w_1 \in I^*(\Delta v_1 v_3 v_4)$ such that $C(v_3; v_4, w_1) \approx \emptyset$, where w_1 is a (2, 1)-splitter of $\Delta v_1 v_3 v_4$. If $i^*(\Delta v_1 v_3 w_1) = 1$, then $i^*(\{v_1, a_3, v_3, w_1\}) = 3$. So assume that $i^*(\Delta v_1 w_1 v_4) = 1$ with $I^*(\Delta v_1 w_1 v_4) = \{w_2\}$ and $i^*(\Delta v_1 v_3 w_1) = 2$ with $I^*(\Delta v_1 v_3 w_1) = \{w_3, w_4\}$. Then $\{v_1, v_3, w_4, w_3\}$ is convex, since otherwise $I^*(\{v_1, a_3, v_3, w_3\}) = \{a_1, a_2, w_4\}$ or $I^*(\{v_1, a_3, v_3, w_4\}) = \{a_1, a_2, w_3\}$. Suppose that $w_3, w_4 \in H(v_3 w_2; v_1)$, since otherwise $I^*(\{v_1, a_3, v_3, w_2\}) = \{a_1, a_2, w_3\}$ or $I^*(\Delta v_3 v_4 w_2) = \{w_1, w_3, w_4\}$. Then $w_3, w_4 \in C(v_4; w_1, w_2)$, since otherwise $I^*(\Delta v_3 v_4 w_3) = \{w_1, w_2, w_4\}$ or $I^*(\Delta v_1 w_4 v_4) = \{w_1, w_2, w_3\}$. See Fig. 7a.

Suppose that $a_3 \in H(v_2 v_4; v_1)$ and $w_4 \in H(v_2 v_4; v_3)$. If $a_2 \in H(a_3 w_4; v_3)$, then $I^*(\{v_2, v_3, w_4, a_3\}) = \{a_2, a_4, a_5\}$. Otherwise $I^*(\{v_1, a_3, w_4, w_2\}) = \{a_1, a_2, w_3\}$. Suppose that $a_3 \in H(v_2 v_4; v_3)$ and $w_4 \in H(v_2 v_4; v_1)$. If $w_3, w_4 \in H(a_2 w_2; v_1)$, then $I^*(\{v_1, a_3, a_2, w_2\}) = \{a_1, w_3, w_4\}$; if $w_3, w_4 \in H(a_2 w_2; v_3)$, then $I^*(\{v_3, v_4, w_2, a_2\}) = \{w_1, w_3, w_4\}$; otherwise $I^*(\{v_1, v_2, a_2, w_2\}) = \{a_1, a_3, w_3\}$. Suppose that $a_3, w_4 \in H(v_2 v_4; v_1)$. Then $I^*(\Delta v_1 v_2 v_4) = \{a_1, a_3, w_2, w_3, w_4\}$. If $w_3, w_4 \in H(a_1 w_1; v_1)$, then $I^*(\{v_1, a_1, w_1, v_4\}) = \{w_2, w_3, w_4\}$; if $w_3, w_4 \in H(a_1 w_1; v_3)$, then $I^*(\{a_1, a_3, v_3, w_1\}) = \{a_2, w_3, w_4\}$; otherwise $I^*(\{a_1, a_5, v_3, w_1\}) = \{a_2, a_4, w_4\}$. Suppose that $a_3, w_4 \in H(v_2 v_4; v_3)$. Then $i^*(\Delta v_2 v_3 v_4) \geq 6$, and we are done.

(b) $\Delta v_1 v_2 v_3$ is a 5-II-monster (see Fig. 1b).

Rotate the edge $v_1 v_3$ counter clockwise with v_3 as center in $\Delta v_1 v_2 v_3$, and label the points the edge $v_1 v_3$ meets consecutively by a_1, a_2, a_3, a_4, a_5 .

Let $w_1 \in I^*(\Delta v_1 v_3 v_4)$ be such that $C(v_3; v_4, w_1) \approx \emptyset$, where w_1 is a (2, 1)-splitter of $\Delta v_1 v_3 v_4$. Then assume that $i^*(\Delta v_1 v_3 w_1) = 1$ with $I^*(\Delta v_1 v_3 w_1) = \{w_2\}$ and $i^*(\Delta v_1 w_1 v_4) = 2$ with $I^*(\Delta v_1 w_1 v_4) = \{w_3, w_4\}$, since otherwise $i^*(\{v_1, a_1, a_5, v_3, w_1\}) = 3$. Suppose that $|V(\Delta v_1 v_3 v_4 \setminus \{v_4\})| = 5$ with $V(\Delta v_1 v_3 v_4 \setminus \{v_4\}) = \{v_1, v_3, w_1, w_4, w_3\}$, since otherwise there exists a 3-int subset. Suppose that $w_2 \in H(v_3 w_3; v_1)$, since otherwise $I^*(\Delta v_3 v_4 w_3) = \{w_1, w_2, w_4\}$. See Fig. 8a.

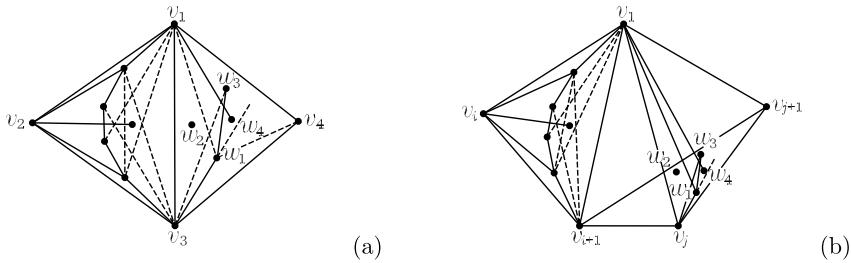


Fig. 8 $\Delta v_1 v_2 v_3$ is a 5-II-monster

When $a_2, w_4 \in H(v_2 v_4; v_1)$, $I^*(\{a_2, v_2, v_3, w_1\}) = \{a_4, a_5, w_2\}$ or $I^*(\{v_1, a_2, w_1, v_4\}) = \{w_2, w_3, w_4\}$. When $a_2, w_4 \in H(v_2 v_4; v_3)$, $I^*(\{v_1, v_2, a_2, w_3\}) = \{a_1, a_3, w_2\}$ or $I^*(\{a_2, v_3, v_4, w_3\}) = \{w_1, w_2, w_4\}$. When $a_2 \in H(v_2 v_4; v_3)$ and $w_4 \in H(v_2 v_4; v_1)$, $I^*(\{v_1, v_2, a_2, w_3\}) = \{a_1, a_3, w_2\}$ or $I^*(\{a_2, v_3, v_4, w_3\}) = \{w_1, w_2, w_4\}$. When $a_2 \in H(v_2 v_4; v_1)$ and $w_4 \in H(v_2 v_4; v_3)$, $I^*(\{v_1, a_2, w_1, v_4\}) = \{w_2, w_3, w_4\}$ or $I^*(\{a_2, v_2, v_3, w_1\}) = \{w_2, a_4, a_5\}$.

Case 2 The two triangles $\Delta v_1 v_i v_{i+1}$ and $\Delta v_1 v_j v_{j+1}$ are not adjacent.

Due to Lemma 1, it suffices to consider the case where $\Delta v_1 v_i v_{i+1}$ is a 5-I-monster or a 5-II-monster. Connect v_{i+1} and v_{j+1} . If $i^*(\Delta v_1 v_{i+1} v_{j+1}) \geq 1$, then the conclusion is correct as before. So suppose that $i^*(\Delta v_1 v_{i+1} v_j v_{j+1}) = 4$. Rotate the edge $v_1 v_{i+1}$ counter clockwise with v_{i+1} as center in $\Delta v_1 v_i v_{i+1}$, and label the points the edge $v_1 v_{i+1}$ meets consecutively by a_1, a_2, a_3, a_4, a_5 .

(a) $\Delta v_1 v_i v_{i+1}$ is a 5-I-monster.

The proof is similar to that of Case 1(a), and we label the four interior points of $\Delta v_1 v_j v_{j+1}$ as shown in Fig. 8b. Then $I^*(\{v_1, a_3, v_{i+1}, w_3, v_{j+1}\}) = \{a_1, a_2, w_2\}$.

(b) $\Delta v_1 v_i v_{i+1}$ is a 5-II-monster.

The proof is similar to that of Case 1(b), and we label the four interior points of $\Delta v_1 v_j v_{j+1}$ as shown in Fig. 8b. If $w_2 \in H(w_1 v_{i+1}; v_1)$, then $I^*(\Delta v_{i+1} w_1 v_{j+1}) = \{w_2, w_3, w_4\}$. Otherwise $I^*(\{v_1, a_1, a_5, v_{i+1}, w_1, v_{j+1}\}) = \{a_2, w_3, w_4\}$. \square

Lemma 5 *If $|I(P)| = 10$ and $|V(P)| = 3$, then P has a 3-int subset.*

Proof Let $V(P) = \{v_1, v_2, v_3\}$. If P has a $(9, 0)$ -splitter, then by Lemma 3, we are done. So it remains to consider (x, y) -splitters of P of types $(8, 1)$, or $(7, 2)$, or $(5, 4)$.

Case 1 P has an $(8, 1)$ -splitter.

The proof is similar to that of Case 1 of Lemma 3.

Case 2 P has a $(7, 2)$ -splitter but no $(8, 1)$ -splitter.

Let u_1 be a $(7, 2)$ -splitter, where $i^*(\Delta u_1 v_2 v_3) = 7$, and $i^*(\Delta v_1 u_1 v_3) = 2$ with $\Delta v_1 u_1 v_3 = \{u_2, u_3\}$, $u_2, u_3 \in H(v_2 u_1; v_3)$. Let $u_2 \in I^*(\Delta v_1 u_1 v_3)$ be such that $I^*(\Delta v_1 u_2 v_3) = \emptyset$, where u_2 is a $(1, 0)$ -splitter of $\Delta v_1 u_1 v_3$. Assume that $i^*(\Delta v_1 u_1 u_2) = 1$ with $I^*(\Delta v_1 u_1 u_2) = \{u_3\}$, and u_3 lies in $H(v_3 u_2; u_1)$, since otherwise by applying Lemma 2 to $\Delta u_1 v_2 v_3$ we obtain a 3-int subset.

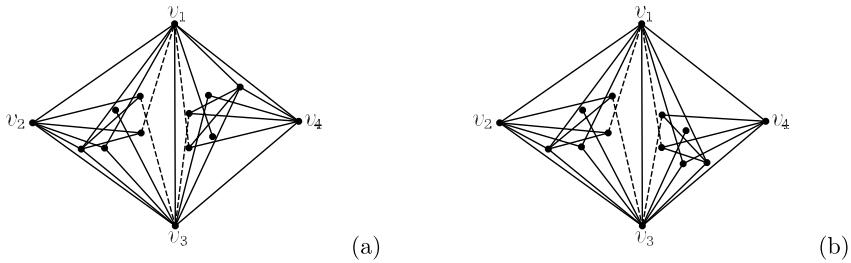


Fig. 9 Two triangles are adjacent, both $\Delta v_1 v_2 v_3$ and $\Delta v_1 v_3 v_4$ are 5-I-monsters

(a) u_2 is a (5, 4)-splitter of $\Delta v_1 v_2 v_3$.

The proof is similar to that in the previous discussion and is omitted.

(b) u_2 is a (7, 2)-splitter of $\Delta v_1 v_2 v_3$.

If $i^*(\Delta v_1 v_2 u_2) = 7$, then applying Lemma 2 to $\Delta v_1 v_2 u_2$ we obtain a 3-int subset. So we may assume that $i^*(\Delta v_2 v_3 u_2) = 7$. Thus both $\Delta u_1 v_2 v_3$ and $\Delta u_2 v_2 v_3$ are 7-monsters. As in Case 2 of Lemma 3, it is easy to prove that P has a 3-int subset.

Case 3 Every (x, y) -splitter is a (5, 4)-splitter.

Let u_1 be a (5, 4)-splitter, where $i^*(\Delta u_1 v_2 v_3) = 5$, $i^*(\Delta v_1 u_1 v_3) = 4$, and 4 interior points of $\Delta v_1 u_1 v_3$ lie in $H(v_2 u_1; v_3)$. By Lemma 1, it suffices to consider the case where $\Delta u_1 v_2 v_3$ is a 5-I-monster or a 5-II-monster. As in Case 1 of Lemma 4, we can obtain a 3-int subset. \square

Lemma 6 *If $|I(P)| = 10$ and $|V(P)| \geq 4$, then P has a 3-int subset.*

Proof Let $V(P) = \{v_1, v_2, \dots, v_m\}$, where $m = |V(P)|$. Similarly to Lemma 4, we need only to consider the case where there are two triangles, each of which contains 5 interior points.

Case 1 The two triangles are adjacent.

Without loss of generality, we assume that $i^*(\Delta v_1 v_2 v_3) = i^*(\Delta v_1 v_3 v_4) = 5$. Due to Lemma 1, it suffices to consider the cases where both $\Delta v_1 v_2 v_3$ and $\Delta v_1 v_3 v_4$ are 5-I-monsters or 5-II-monsters. If $i^*(\Delta v_1 v_2 v_4) \geq 6$ or ≤ 4 , then by Lemmas 5, 3, or 2, P has a 3-int subset. So assume that $i^*(\Delta v_1 v_2 v_4) = 5$, and hence $i^*(\Delta v_2 v_3 v_4) = 5$ as well.

(a) Both $\Delta v_1 v_2 v_3$ and $\Delta v_1 v_3 v_4$ are 5-I-monsters.

Then the edge $v_1 v_3$ is of type-2 but not of type-1 in $\Delta v_1 v_2 v_3$, and the edge $v_1 v_3$ is of type-2 but not of type-1 in $\Delta v_1 v_3 v_4$. We obtain two configurations as shown in Fig. 9.

In Fig. 9a, for $\Delta v_1 v_2 v_3$, we rotate the edge $v_1 v_3$ counter clockwise with v_3 as center and label the points the edge $v_1 v_3$ meets consecutively by a_1, a_2, a_3, a_4, a_5 ; and for $\Delta v_1 v_3 v_4$, rotate the edge $v_1 v_4$ counter clockwise with v_4 as center and label the points the edge $v_1 v_4$ meets consecutively by a_6, a_7, a_8, a_9, a_0 .

Suppose that $a_1, a_9 \in H(v_2 v_4; v_3)$. Then $i^*(\Delta v_2 v_3 v_4) \geq 7$, and we are done.

Suppose that $a_1, a_9 \in H(v_2 v_4; v_1)$. Then $I^*(\Delta v_1 v_2 v_4) = \{a_1, a_6, a_7, a_8, a_9\}$. If $a_0 \in H(a_2 a_9; v_3)$, then $I^*(\{a_2, a_9, v_1, v_2\}) = \{a_1, a_3, a_8\}$. Otherwise

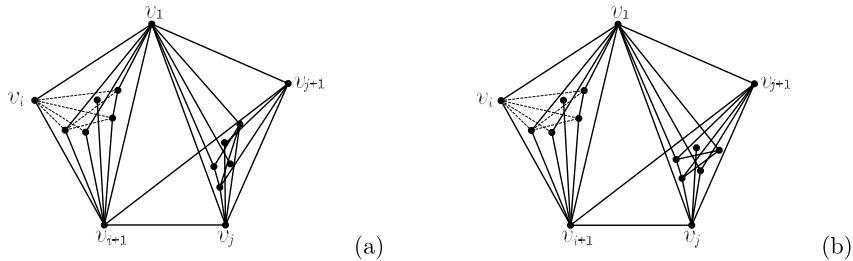


Fig. 10 Two triangles are not adjacent, both $\triangle v_1 v_2 v_3$ and $\triangle v_1 v_3 v_4$ are 5-I-monsters

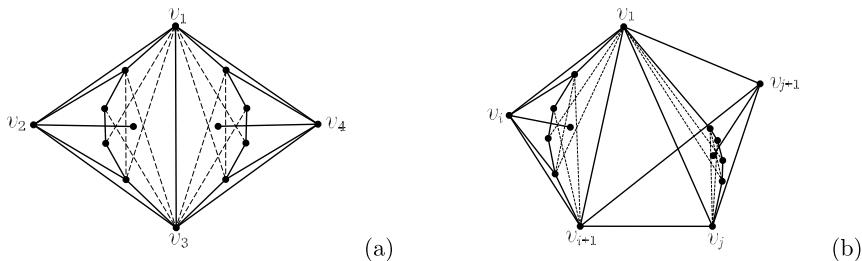


Fig. 11 Both $\triangle v_1 v_2 v_3$ and $\triangle v_1 v_3 v_4$ are 5-II-monsters

$I^*(\{v_1, a_3, a_2, a_9\}) = \{a_1, a_0, a_8\}$. Next, suppose that $a_1 \in H(v_2 v_4; v_1)$ and $a_9 \in H(v_2 v_4; v_3)$. If $a_8 \in H(a_1 a_9; v_1)$, then $I^*(\{v_1, a_1, a_9, v_4\}) = \{a_6, a_7, a_8\}$. Otherwise $I^*(\{a_1, a_4, v_3, a_9\}) = \{a_2, a_0, a_8\}$. Lastly suppose that $a_1 \in H(v_2 v_4; v_3)$ and $a_9 \in H(v_2 v_4; v_1)$. Then $I^*(\triangle v_1 v_2 v_4) = \{a_6, a_7, a_8, a_9, a_0\}$. If $a_1 \in H(a_3 a_8; v_3)$, then $I^*(\{a_3, v_3, a_9, a_8\}) = \{a_1, a_2, a_0\}$. Otherwise $I^*(\{a_3, v_3, v_4, a_8\}) = \{a_2, a_0, a_9\}$.

The proof for the case of Fig. 9b is similar to that of Fig. 9a, and we can obtain a subset $Q \subset P$ such that $i^*(Q) = 3$.

- (b) Both $\triangle v_1 v_2 v_3$ and $\triangle v_1 v_3 v_4$ are 5-II-monsters.

Then the edge $v_1 v_3$ is of type-1 but not of type-2 in $\triangle v_1 v_2 v_3$, and the edge $v_1 v_3$ is of type-1 but not of type-2 in $\triangle v_1 v_3 v_4$ too. See Fig. 11a. By an argument similar to that of Fig. 9a, we can find a subset $Q \subset P$ such that $i^*(Q) = 3$.

Case 2 The two triangles are not adjacent.

Without loss of generality, we assume that $i^*(\triangle v_1 v_i v_{i+1}) = 5$ and $i^*(\triangle v_1 v_j v_{j+1}) = 5$, where $i + 1 < j$. Due to Lemma 1, it suffices to consider the cases where both $\triangle v_1 v_i v_{i+1}$ and $\triangle v_1 v_j v_{j+1}$ are 5-I-monsters (or 5-II-monsters), as in Case 1. Connect v_{i+1} and v_{j+1} . If $i^*(\triangle v_1 v_{i+1} v_{j+1}) \geq 1$, the conclusion is correct as before, and we are done. So assume that $i^*(\triangle v_{i+1} v_j v_{j+1}) = 5$.

- (a) Both $\triangle v_1 v_i v_{i+1}$ and $\triangle v_1 v_j v_{j+1}$ are 5-I-monsters.

Then, as in Case 1 (a), we obtain two configurations as shown in Fig. 10. It is easy to find 3-int subsets.

- (b) Both $\triangle v_1 v_i v_{i+1}$ and $\triangle v_1 v_j v_{j+1}$ are 5-II-monster.

Then as shown in Fig. 11b, it is clear that P has a 3-int subset. \square

Lemma 7 If $|I(P)| = 11$ and $|V(P)| = 3$, then P has a 3-int subset.

Proof Let $V(P) = \{v_1, v_2, v_3\}$. If P has a $(10, 0)$ - or $(9, 1)$ -splitter, then by Lemma 5 or Lemma 3, we are done. So we need only to consider (x, y) -splitters of P of types $(8, 2)$ or $(6, 4)$, or $(5, 5)$. In each case, we obtain a 3-int subset. The proof is simple on the basis of the previous discussion and is omitted. \square

Lemma 8 If $|I(P)| = 11$ and $|V(P)| \geq 4$, then P has a 3-int subset.

Proof Let $|V(P)| = m$ and $|I(P)| = s$. If $m = 4$ or 5 , it is easy to prove that P has a 3-int subset as before. If $m \geq 6$, then the Reduction Lemma implies the existence of a proper subset $P' \subset P$ with $9 \leq i^*(P') < |I(P)|$: if (a) of the Reduction Lemma holds, we have a proper subset $P' \subset P$ with $i^*(P') = 10$; and if (b) of the Reduction Lemma holds, we have a proper subset $P' \subset P$ with $i^*(P') = 11 - \lfloor \frac{11}{m} \rfloor - 1 \geq 9$. Then by using the previous results we obtain a subset $Q \subset P'$ with $i^*(Q) = 3$, and hence P has a 3-int subset. \square

Lemma 9 If $|I(P)| \geq 12$ and $|V(P)| \geq 3$, then P has a 3-int subset.

Proof Let $|V(P)| = m$ and $|I(P)| = s$. Consider the case $s = 12$. If $m = 3$ or 4 , due to Lemmas 1 and 2, it is easy to prove the conclusion. For $m \geq 5$, we may apply the Reduction Lemma and an argument similar to that in Lemma 8. In the case $s = 13$, the proof is similar to that of the case $s = 12$. Finally, if $m \geq 3$ and $s \geq 14$, we have $s - \lfloor \frac{s}{m} \rfloor - 1 \geq 9$, and so the result follows by using the Reduction Lemma and the similar argument as above. \square

Combining Lemmas 3–9, we finish the proof for $g(3) \leq 9$ and reach the conclusion that $g(3) = 9$ at last.

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