

# Circumscribed Polygons of Small Area

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**Abstract** Given any plane strictly convex region  $K$  and any positive integer  $n \geq 3$ , there exists an inscribed  $2n$ -gon  $Q_{2n}$  and a circumscribed  $n$ -gon  $P_n$  such that

$$\frac{\text{Area}(P_n)}{\text{Area}(Q_{2n})} \leq \sec \frac{\pi}{n}.$$

The inequality is the best possible, as can be easily seen by letting  $K$  be an ellipse. As a corollary, it follows that for any convex region  $K$  and any  $n \geq 3$ , there exists a circumscribed  $n$ -gon  $P_n$  such that

$$\frac{\text{Area}(P_n)}{\text{Area}(K)} \leq \sec \frac{\pi}{n}.$$

This improves the existing bounds for  $5 \leq n \leq 11$ .

**Keywords** Convex regions · Circumscribed polygons

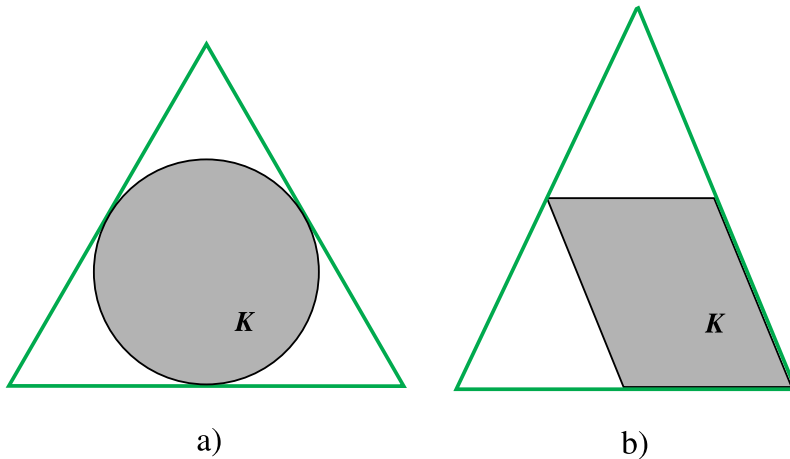
## 1 Introduction

Given a plane convex region  $K$  and a positive integer  $n \geq 3$ , let  $C_n(K)$  denote the circumscribed  $n$ -gon of minimum area and let  $I_n(K)$  denote the inscribed  $n$ -gon of maximum area. Notice that both  $C_n(K)$  and  $I_n(K)$  may not necessarily be proper  $n$ -gons. For instance if  $K$  is a triangle, then  $C_n(K) = I_n(K) = K$  for every  $n \geq 3$ .

In order to avoid dealing with these special situations, in the following we will consider only bodies that are *strictly convex*, that is, their boundaries do not contain line segments. This restriction ensures that both  $C_n(K)$  and  $I_n(K)$  are proper convex

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**Fig. 1** (a)  $K =$  circle of unit area,  $\text{Area}(C_3(K)) = \frac{3\sqrt{3}}{\pi} \approx 1.65 \dots$  (b)  $K =$  parallelogram of unit area,  $\text{Area}(C_3(K)) = 2$

$n$ -gons. The results we obtain for the class of strictly convex bodies can then be extended to arbitrary convex bodies by a standard approximation argument.

The problems of finding  $i_n := \inf \text{Area}(I_n(K))$  and  $c_n := \sup \text{Area}(C_n(K))$  for a given integer  $n \geq 3$  when  $K$  ranges over all convex domains of unit area have been studied for their intrinsic interest as well as for their connection with packing and covering problems (see, e.g., [1, Sects. 22 and 27], [15], [13], [7, Chap. II, Sect. 4], and [2]).

It is well known that these quantities are actually attained, because the space of affine equivalence classes of convex regions is compact (see [12]). This motivates the problem of finding the convex regions  $K$  that produce the extreme values  $i_n$  and  $c_n$ .

In 1939, Sas [16] proved that

$$i_n = \frac{n}{2\pi} \sin \frac{2\pi}{n}, \quad (1)$$

the infimum being reached if and only if  $K$  is an ellipse.

One would expect a similar statement to hold for circumscribed polygons. If  $K$  is an ellipse of unit area, it is easy to prove that the circumscribed  $n$ -gon of minimum area is affinely regular and its area is  $\frac{n}{\pi} \tan \frac{\pi}{n}$ . It would therefore be tempting to conjecture that  $c_n = \frac{n}{\pi} \tan \frac{\pi}{n}$ . However, one can readily check that this equality does not hold. It is sufficient to note that for  $n = 3$  the area of  $C_3(K)$  equals 2 if  $K$  is a parallelogram of unit area while it is only  $\sqrt{27}/\pi = 1.65 \dots$  if  $K$  is a circle (or an ellipse) of unit area; see Fig. 1.

It has been proven by many authors (see [4, 5, 8]) that  $c_3 = 2$  and the extremal domains are the parallelograms. For  $n \geq 4$  the extremal domains are not known and all we can realistically hope for is to obtain good bounds for  $c_n$ .

To illustrate how difficult it is to find the *exact* value of  $c_n$  for  $n \geq 4$ , let us mention that the problem of finding  $c_6$  is related to the still unsolved problem of determining the centrally symmetric convex region of lowest packing density. An old conjecture

of Reinhardt [15] states that the extremal domain is the so-called “smoothed octagon”, a convex region resulting from a regular octagon by cutting off each vertex  $v_i$  with a hyperbolic arc from the hyperbola that is tangent to  $v_i v_{i-1}$  and  $v_i v_{i+1}$  and has the supporting lines  $v_{i-1} v_{i-2}$  and  $v_{i+1} v_{i+2}$  as asymptotes. Since by a result of Fejes Tóth (see [7]) the packing density of any centrally symmetric convex region  $K$  equals the ratio  $Area(K)/Area(C_6(K))$  solving Reinhardt’s conjecture is equivalent to determining  $c'_6 := \sup Area(C_6(K))$  when  $K$  ranges over all *centrally symmetric* convex domains of unit area.

Modifying the averaging technique Sas employed to prove (1), Fejes Tóth [6] showed that

$$c_n \leq \frac{n - 2}{\pi} \tan \frac{\pi}{n - 2} \quad \text{for every } n \geq 5. \tag{2}$$

This is currently the best asymptotic result. Fejes Tóth also proved the following theorem.

**Theorem 1.1** ([7, Chap. II, Sect. 4]) *Let  $K$  be a convex domain whose boundary contains from a circle of perimeter  $L$  two diametrically opposite arcs with total length  $4L/n$ . Then one can circumscribe about  $K$  an  $n$ -gon  $U_n$  such that  $Area(U_n) \leq Area(K) \frac{n}{\pi} \tan \frac{\pi}{n}$ .*

This suggests that for large values of  $n$  the extremal domains are approximately ellipses. The problem of estimating  $c_n$  is therefore more interesting for small values of  $n$ . Chakerian [4] proved that  $c_4 \leq \sqrt{2}$  (the equality sign being later removed by Kuperberg in [9]) and that

$$c_n \leq \frac{2\pi}{n} \csc \frac{2\pi}{n} \quad \text{for every } n \geq 5. \tag{3}$$

Notice that Chakerian’s estimate (3) is better than Fejes Tóth’s bound (2) only for  $n \leq 7$ .

The main result of this paper is given by the following theorem.

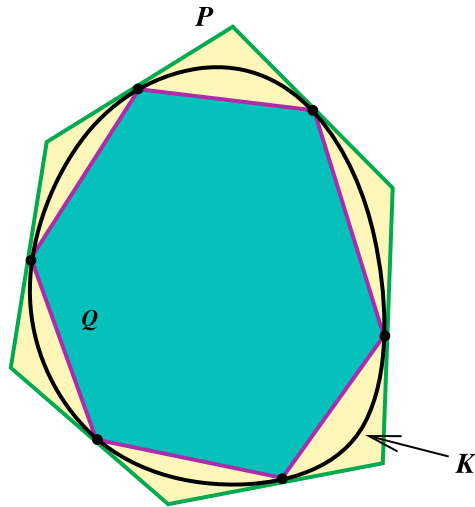
**Theorem 1.2** *For every plane strictly convex region  $K$  there exists an inscribed  $2n$ -gon  $Q_{2n}$  and a circumscribed  $n$ -gon  $P_n$  such that*

$$\frac{Area(P_n)}{Area(Q_{2n})} \leq \sec \frac{\pi}{n}.$$

The inequality is the best possible, as can be seen by letting  $K$  be an (unit area) ellipse. It can be shown that, in this case, both the circumscribed  $n$ -gon of minimum area and the inscribed  $2n$ -gon of maximum area are affinely regular and their areas are  $\frac{n}{\pi} \tan \frac{\pi}{n}$  and  $\frac{n}{\pi} \sin \frac{\pi}{n}$ , respectively.

It follows that the upper bound in Theorem 1.2 cannot be improved. Besides extending the known results  $c_3 = 2$  and  $c_4 \leq \sqrt{2}$ , Theorem 1.2 provides a new upper bound for  $c_n$ .

**Fig. 2** The inscribed  $n$ -gon  $Q$  cuts off triangles of equal area from the circumscribed  $n$ -gon  $P$ . For each such pair inequality (4) holds



### Corollary 1.3

$$c_n \leq \sec \frac{\pi}{n} \quad \text{for every } n \geq 3.$$

This improves Chakerian's estimate (3) for every  $n \geq 5$  and it is better than Fejes Tóth's bound (2) for all  $5 \leq n \leq 11$ .

## 2 The Tools

For the proof of Theorem 1.2 we are going to need two results. The first one is due to Lázár ([10], see also [7]).

**Theorem 2.1** *Given a plane strictly convex region  $K$  and a positive integer  $n \geq 3$ , there exists a circumscribed convex  $n$ -gon  $P$  such that*

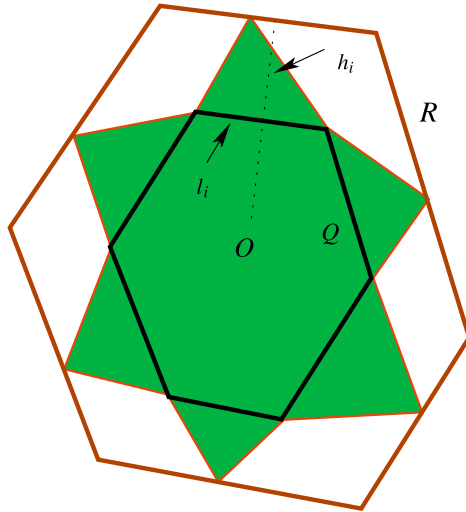
$$\frac{\text{Area}(P)}{\text{Area}(Q)} \leq \sec^2 \frac{\pi}{n}, \quad (4)$$

where  $Q$  is the  $n$ -gon spanned by the points where  $P$  touches the boundary of  $K$ .

Lázár's original result is actually stronger. He proves that if the circumscribed  $n$ -gon  $P$  has the property that the triangles cut off from  $P$  by the sides of the  $n$ -gon  $Q$  have the same area, then the above inequality holds (see Fig. 2).

The other result to be used later is a corollary of the Brunn–Minkowski inequality. This inequality is valid in a much more general setting than used here. We refer the interested reader to the original works of Brunn [3] and Minkowski [14]; for a modern treatment one should consult [17].

**Fig. 3**  $Area(Q, R) = \frac{1}{2} \sum_{i=1}^n l_i h_i = \text{Area of the shaded polygon}$



Given two convex regions  $K$  and  $L$ , recall that  $Area(K, L)$ , the mixed area of  $K$  and  $L$ , is defined by the equality

$$Area(sK + (1 - s)L) = s^2 Area(K) + 2s(1 - s)Area(K, L) + (1 - s)^2 Area(L).$$

The Brunn–Minkowski inequality states that

$$Area(K, L) \geq \sqrt{Area(K) \cdot Area(L)}. \tag{5}$$

Let  $R$  and  $Q$  be two convex  $n$ -gons whose corresponding sides are parallel (see Fig. 3). Then  $Area(Q, R)$  can be easily described as follows. Let  $O$  be a fixed point inside  $Q$ . If  $l_i$  is the length of a side of  $Q$ , denote by  $h_i$  the distance from  $O$  to the corresponding side of  $R$ . Then

$$Area(Q, R) = \frac{1}{2} \sum_{i=1}^n l_i h_i. \tag{6}$$

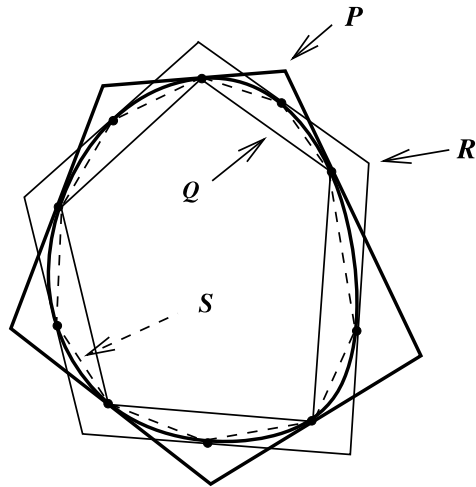
Lyusternik [11] presented an excellent introductory account of the properties of mixed areas as well as proofs of both (5) and (6).

### 3 Proof of the Main Theorem

Given  $K$  a plane strictly convex body consider the following polygons:

- $P$  := a circumscribed  $n$ -gon with the property from Theorem 2.1.
- $Q$  := the inscribed  $n$ -gon spanned by the points where  $P$  touches  $K$ .
- $R$  := the circumscribed  $n$ -gon parallel to  $Q$ .
- $S$  := the inscribed  $2n$ -gon spanned by the vertices of  $Q$  and by the points where  $R$  touches  $K$ ; Fig. 4 illustrates the case  $n = 5$ .

**Fig. 4** The polygons considered in the proof of the main theorem



By our choice of  $P$  we have that

$$Area(P) \leq Area(Q) \cdot \sec^2 \frac{\pi}{n}. \tag{7}$$

On the other hand, by our previous argument it is easy to see that

$$Area(S) = \frac{1}{2} \sum_{i=1}^n l_i h_i = Area(Q, R)$$

which by using (5) gives

$$Area(S) \geq \sqrt{Area(Q) \cdot Area(R)}. \tag{8}$$

From (7) and (8) we obtain that

$$\frac{\min(Area(P), Area(R))}{Area(S)} \leq \frac{\sqrt{Area(P) \cdot Area(R)}}{Area(S)} \leq \frac{\sqrt{Area(Q) \cdot Area(R)} \cdot \sec \frac{\pi}{n}}{Area(S)},$$

that is,

$$\frac{\min(Area(P), Area(R))}{Area(S)} \leq \sec \frac{\pi}{n}.$$

This proves the theorem.

### 4 Conclusions and Open Problems

In this paper we proved a new upper bound for  $c_n$  which improves the existing bounds for small values of  $n$ . As mentioned in the introduction, the problem of determining

the exact value of  $c_n$  is extremely difficult. It is apparent from the reference list that the progress has been slow and sporadic.

The only known exact value is  $c_3 = 2$ . One may consider the situation when  $n = 4$  as it seems to be the easiest unsolved case. It is known that  $c_4 < \sqrt{2} \approx 1.414\dots$ . On the other hand, Kuperberg [9] asked the following question:

**Open Problem** *Is it true that the (affinely) regular pentagon is the extremal region for which  $c_4$  is attained? In other words, is it true that  $c_4 = \frac{3}{\sqrt{5}} \approx 1.341\dots$ ?*

While proving this may be difficult, it would be of interest if one can narrow the gap between the lower and upper bounds for  $c_4$ .

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