

Long Monotone Paths in Line Arrangements*

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Abstract. We show how to construct an arrangement of n lines having a monotone path of length $\Omega(n^{2-(d/\sqrt{\log n})})$, where $d > 0$ is some constant, and thus nearly settle the long standing question on monotone path length in line arrangements.

1. Introduction

Let $L = \{\ell_1, \dots, \ell_n\}$ be a set of n given lines in \mathbb{R}^2 . A path in the arrangement $A(L)$ is a simple polygonal chain joining a set of distinct vertices in $V = \{\ell_i \cap \ell_j, i < j\}$ by segments which are on lines in L . The length of a path is one plus the number of vertices in V at which the path turns. A path is *monotone in direction* (a, b) if its sequence of vertices is monotone when projected orthogonally along the line with equation $ay - bx = 0$. An interesting open question asks for the value of λ_n , the maximal monotone path length that can occur in an arrangement of n lines.¹ Clearly $\lambda_n \leq \binom{n}{2} + 1$.

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¹ Clearly, this is equivalent to the usual definition that considers paths monotone in the direction of the x -axis.

A sequence of results by Sharir (see [2]), Matoušek [3], and Radoičić and Tóth [4] established that $\lambda_n = \Omega(n^{3/2})$, $\lambda_n = \Omega(n^{5/3})$, $\lambda_n = \Omega(n^{7/4})$, respectively. The last paper also showed $\lambda_n \leq 5n^2/12$. Here we give an explicit construction that proves

Theorem 1. *For any integers $n, m > 0$ such that $m \leq \frac{1}{2}\sqrt{\log n}$, there is an arrangement of at most $2n + 2(30^m)n$ lines in which there is a monotone path of length at least $2^{-m} \cdot n^{2-1/(m+1)}$.*

Notice that for $m = 3$ this gives the previously best bound $\lambda_n = \Omega(n^{7/4})$.

Corollary 1. *The maximal monotone path length satisfies*

$$\lambda_n = \Omega(n^{2-(d/\sqrt{\log n})}),$$

where $d > 0$ is some constant.

Proof. Let m be $\frac{1}{2}\sqrt{\log n}$. Then Theorem 1 gives a monotone path of length at least $n^{2-(3/\sqrt{\log n})}$ using at most $2n + 2(30^{\sqrt{\log n}/2})n$ lines. A straightforward calculation gives the claimed bound on λ_n . \square

2. The Construction

2.1. The Basic Setup

Observe that k parallel horizontal lines and k parallel vertical lines give a path that is monotone in any direction (a, b) with $a, b > 0$, has length $n = 2k$, and uses n lines. We call this path a “staircase” (see Fig. 1). Given an integer $m > 0$ let $\alpha_k = 1/((k+1)(k+2)), 0 \leq k < m$, and $\alpha_m = 1/(m+1)$. Since $\alpha_0 + \dots + \alpha_k = (k+1)/(k+2)$,

$$\alpha_0 + \dots + \alpha_m = \frac{1}{2} + \frac{1}{6} + \dots + \frac{1}{m(m+1)} + \frac{1}{m+1} = 1. \tag{1}$$

In the course of the proof we shall set an $\varepsilon > 0$ that will be suitably small. For now we treat ε as an infinitesimal quantity. We develop a notation to describe points in a

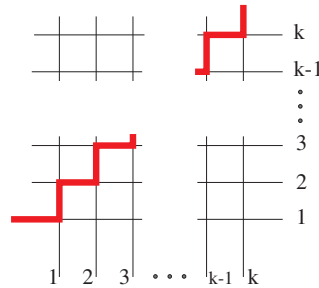


Fig. 1. A “staircase” with $n = 2k$ lines, and having length n .

hierarchical construction. For $\varepsilon > 0$, the vector-matrix product

$$(1, \varepsilon, \varepsilon^2, \dots, \varepsilon^m) \begin{pmatrix} i_0 & i_1 & \cdots & i_m \\ j_0 & j_1 & \cdots & j_m \end{pmatrix}^T$$

is a point of the plane that we denote by

$$\begin{bmatrix} i_0 & i_1 & \cdots & i_m \\ j_0 & j_1 & \cdots & j_m \end{bmatrix}.$$

The construction uses the set S of points for which $i_0, j_0, \dots, i_m, j_m$ are integers with

$$\begin{aligned} 0 \leq i_0, j_0 &\leq \lfloor n^{\alpha_0} \rfloor - 1 \stackrel{\text{def}}{=} D_0, \\ 0 \leq i_1, j_1 &\leq \lfloor n^{\alpha_1} \rfloor - 1 \stackrel{\text{def}}{=} D_1, \\ &\vdots \\ 0 \leq i_m, j_m &\leq \lfloor n^{\alpha_m} \rfloor - 1 \stackrel{\text{def}}{=} D_m. \end{aligned}$$

In view of (1), the number of points in S is at most $(n^{\alpha_0})^2 (n^{\alpha_1})^2 \cdots (n^{\alpha_m})^2 = n^2$.

For $k < m$ write B_k for the subset of S where $i_r = j_r = 0, r > k$. That is,

$$B_k = \left\{ P = \begin{bmatrix} i_0 & i_1 & \cdots & i_{k-1} & i_k & 0 & \cdots & 0 \\ j_0 & j_1 & \cdots & j_{k-1} & j_k & 0 & \cdots & 0 \end{bmatrix} \right\}. \quad (2)$$

There are at most $(n^{\alpha_0})^2 \cdots (n^{\alpha_k})^2 = n^{2-2/(k+2)}$ such points.

Another way to think about B_k is as follows: we call the square $[x, x+t) \times [y, y+t) \subseteq \mathbb{R}^2$ the “square of side t at (x, y) .” The points of B_0 are given by the intersection of the integer lattice $\mathbb{Z} \times \mathbb{Z} \subseteq \mathbb{R}^2$ with the square of side $\lfloor \sqrt{n} \rfloor$ at $(0, 0)$. To get the points of B_1 , the next level of the hierarchy, replace each point $P \in B_0$ by the intersection of the square of side $\varepsilon \lfloor n^{\alpha_1} \rfloor$ at P with the points $P + \varepsilon(\mathbb{Z} \times \mathbb{Z})$. For $1 \leq k < m - 1$ we construct B_{k+1} by replacing each point $P \in B_k$ by the intersection of the square of side $\varepsilon^{k+1} \lfloor n^{\alpha_{k+1}} \rfloor$ at P and the points $P + \varepsilon^{k+1}(\mathbb{Z} \times \mathbb{Z})$. For example in Fig. 2, P_1, P_2, P_3, P_4 are neighboring points in B_k , each the lower-left corner of a square of side $\varepsilon^{k+1} \lfloor n^{\alpha_{k+1}} \rfloor$ that contains $\lfloor n^{\alpha_{k+1}} \rfloor^2$ grid points. If in Fig. 2 P_1 has coordinates

$$\begin{bmatrix} i_0 & \cdots & i_{k-1} & I & 0 & \cdots & 0 \\ j_0 & \cdots & j_{k-1} & J & 0 & \cdots & 0 \end{bmatrix} \in B_k,$$

then P_2 and P_4 have $i_k = I + 1$, and P_3 and P_4 have $j_k = J + 1$.

We now pick a direction in which we want our path to be monotone (see Fig. 3). Our choice is $\mathbf{w} = (\sqrt{2}, 1)$. Orthogonal to this is the direction $\mathbf{w}' = (-1, \sqrt{2})$. A vector is said to *point forward* if it has positive scalar product with $(\sqrt{2}, 1)$. In particular, $(1, 0)$ and $(0, 1)$ point forward. For $p, q > 0$ the vector $(-q, p)$ points forward iff $p/q > \sqrt{2}$, and $(q, -p)$ points forward iff $p/q < \sqrt{2}$. In the first case we say p/q *approximates $\sqrt{2}$ from above*; in the second, p/q *approximates $\sqrt{2}$ from below*.

For each point in S consider the horizontal line and the vertical line that go through this point and let L be the union of all these lines. The points of S have at most n distinct

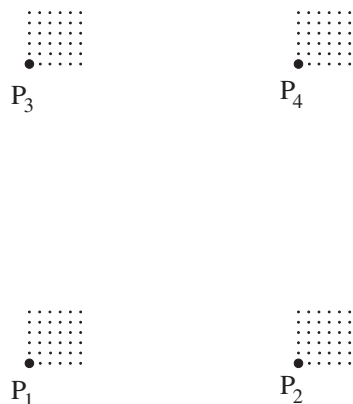


Fig. 2. Some points in B_{k+1}

x coordinates and at most n distinct y coordinates, so L has at most $2n$ lines. As we will see later, our monotone path goes through every point in B_{m-1} . Whenever it reaches a point

$$\begin{bmatrix} i_0 & \cdots & i_{m-1} & 0 \\ j_0 & \cdots & j_{m-1} & 0 \end{bmatrix} \in B_{m-1},$$

it follows the staircase to

$$\begin{bmatrix} i_0 & \cdots & i_{m-1} & D_m \\ j_0 & \cdots & j_{m-1} & D_m \end{bmatrix} \in S.$$

This staircase is a monotone path because $(1, 0)$ and $(0, 1)$ both point forward. We use the following coarse lower bound on the number of staircases (which is good enough for our claim):

$$\lfloor n^{\alpha_0} \rfloor^2 \cdots \lfloor n^{\alpha_{m-1}} \rfloor^2 \geq 2^{-m} (n^{\alpha_0})^2 \cdots (n^{\alpha_{m-1}})^2 = 2^{-m} n^{2-2/(m+1)},$$

where the first inequality holds since $n^{\alpha_k} \geq n^{2/\log n} = 4$ for all $0 \leq k \leq m-1$. On each of these staircases the path makes $2\lfloor n^{1/(m+1)} \rfloor - 1 \geq n^{1/(m+1)}$ turns, so if we could move from staircase to staircase in a monotone fashion, the resulting path would have length at least $2^{-m} n^{2-1/(m+1)}$, as required.

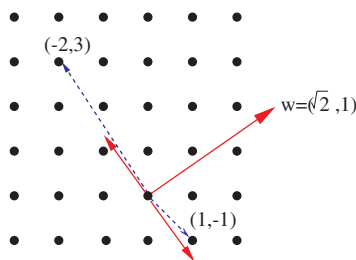


Fig. 3. w is the chosen direction of monotonicity. $(-2, 3)$ and $(1, -1)$ point forward, since $\frac{3}{2}$ approximates $\sqrt{2}$ from above and $\frac{1}{2}$ from below.

2.2. Helping Lines

In this section we complete the construction by showing how to connect the staircases using at most $2(30^m)n$ extra lines, and moving along each in a direction that points forward with respect to \mathbf{w} .

Suppose we project the points of S orthogonally onto the line ℓ given by the equation $\sqrt{2}y - x = 0$. The points in B_0 project to distinct points on ℓ and are ordered by these projections. When each point in B_0 is replaced by a square of side $\varepsilon \lfloor n^{\alpha_1} \rfloor$, each square projects to an interval, and if ε is suitably small, these intervals will be disjoint. This gives an ordering for the points in B_1 based first on the ordering for B_0 , and then on the ordering for points with the same i_0, j_0 . Inductively, the points in B_k are ordered, and when we replace each by a square of side $\varepsilon^{k+1} \lfloor n^{\alpha_{k+1}} \rfloor$, each square projects to an interval; if ε is suitably small, these intervals will be disjoint. This gives an ordering for the points in B_{k+1} , first based on the ordering of points in B_k , and then on the ordering of points with the same values of $i_r, j_r, r \leq k$.

To sum up, we obtain a lexicographic ordering of the points in S . We define $Q \in S$ to be the *successor* of $P \in S$ if it comes immediately after P in this ordering. These observations imply that the set of staircases can be connected in a monotone manner. We also obtain

Lemma 1. *Let*

$$P = \begin{bmatrix} i_0 & \cdots & i_{k-1} & i_k & D_{k+1} & \cdots & D_m \\ j_0 & \cdots & j_{k-1} & j_k & D_{k+1} & \cdots & D_m \end{bmatrix}$$

be a point in S with either $i_k \neq D_k$ or $j_k \neq D_k$, and $k < m$. The successor of P is a point

$$Q = \begin{bmatrix} i_0 & \cdots & i_{k-1} & i'_k & 0 & \cdots & 0 \\ j_0 & \cdots & j_{k-1} & j'_k & 0 & \cdots & 0 \end{bmatrix}$$

with either $i'_k \neq i_k, j'_k \neq j_k$, or both.

The point P can be seen as the top of a staircase at level k . Let us define this notion more precisely: for $0 \leq k < m$ define $T_k \subseteq S$ as

$$T_k = \left\{ P = \begin{bmatrix} i_0 & \cdots & i_k & D_{k+1} & \cdots & D_m \\ j_0 & \cdots & j_k & D_{k+1} & \cdots & D_m \end{bmatrix} \in S : (i_k, j_k) \neq (D_k, D_k) \right\}. \quad (3)$$

These points are the **tops of staircases at level k** of the hierarchy. Consider Fig. 4 for some fixed $k < m$. All the points in the figure except P_2 and P_5 are in B_{k+1} . Moreover, the points that are at the bottom left of the shaded squares are also in B_k . P_2 is in T_k and P_5 is in T_{k-1} . Hence, we can write

$$P_1 = \begin{bmatrix} i_0 & \cdots & i_{k-1} & i_k & 0 & \cdots & 0 \\ j_0 & \cdots & j_{k-1} & j_k & 0 & \cdots & 0 \end{bmatrix} \in B_k,$$

$$P_2 = \begin{bmatrix} i_0 & \cdots & i_{k-1} & i_k & D_{k+1} & \cdots & D_m \\ j_0 & \cdots & j_{k-1} & j_k & D_{k+1} & \cdots & D_m \end{bmatrix} \in T_k,$$

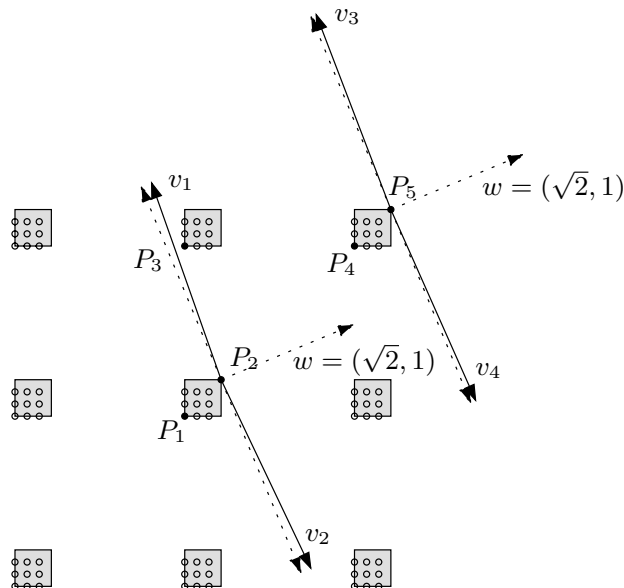


Fig. 4. Successors at level k .

$$P_4 = \begin{bmatrix} i_0 & \cdots & i_{k-1} & D_k & 0 & \cdots & 0 \\ j_0 & \cdots & j_{k-1} & D_k & 0 & \cdots & 0 \end{bmatrix} \in B_k,$$

$$P_5 = \begin{bmatrix} i_0 & \cdots & i_{k-1} & D_k & \cdots & D_m \\ j_0 & \cdots & j_{k-1} & D_k & \cdots & D_m \end{bmatrix} \in T_{k-1}.$$

Finally, notice that $P_3 \in B_k$ is the successor of $P_2 \in T_k$ while the successor of $P_5 \in T_{k-1}$ is some point from B_{k-1} which is not shown.

We now discuss the issues concerning the choice of lines used to move from a point to its successor. We call these lines *helping lines*. We first use Fig. 4 to describe the main ideas. From points in T_k we either follow a line in direction v_1 or a line in direction v_2 . The actual choice is determined by the position of the successor: for example, from P_2 we choose the direction v_1 because P_3 is above P_2 . In order to be able to move from a point in T_k to its successor in B_k , the directions v_1 and v_2 must be almost orthogonal to w . However, as we explain next, it is crucial that neither v_1 nor v_2 are completely orthogonal to w .

As we said above, we need a helping line for every point in T_k . However, there are as many as $n^{2-2/(k+2)} \gg 2(30^m)n$ such points! The main idea is to *reuse each helping line many times*. Hence, even though we define a helping line for every point in T_k , the number of *distinct* helping lines is actually much smaller than $|T_k|$. The way to reuse a line is the following: when we move to the successor of a point in T_{k-1} we do so on a helping line that is more orthogonal to w than the helping line used for points in T_k . For example, in Fig. 4, v_3 and v_4 point less forward than v_1 and v_2 . This essentially allows us to cross v_1 and v_2 on the way to the successor and then to use them again. We now describe the choice of the helping lines more formally.

Definition 1. A best upper approximator of $\sqrt{2}$ is a rational number $p/q > \sqrt{2}$ such that no other rational p'/q' with $q' \leq q$ approximates $\sqrt{2}$ better from either above or below. A best lower approximator of $\sqrt{2}$ is a rational $r/s < \sqrt{2}$ such that no other rational r'/s' with $s' \leq s$ approximates $\sqrt{2}$ better from either above or below.

Lemma 2. For every $t \geq 1$ there is a best upper approximator p/q and a best lower approximator p'/q' of $\sqrt{2}$ such that $t < q, q' \leq 10t$.

Proof. The convergents of the simple continued fraction for $\sqrt{2}$ are $1, \frac{3}{2}, \frac{7}{5}, \frac{17}{12}, \dots$. They can be defined by r_i/s_i where $s_0 = r_0 = 1, r_{i+1} = r_i + 2s_i$, and $s_{i+1} = r_i + s_i$. It is easy to see that for $j \geq 0$,

$$\frac{r_{2j}}{s_{2j}} < \frac{r_{2j+2}}{s_{2j+2}} < \sqrt{2} < \frac{r_{2j+3}}{s_{2j+3}} < \frac{r_{2j+1}}{s_{2j+1}}.$$

It is also well known (and easy to check) that r_{2j}/s_{2j} is a best lower approximator of $\sqrt{2}$ and r_{2j+1}/s_{2j+1} is a best upper approximator of $\sqrt{2}$. Since $s_{i+1} = r_i + s_i \leq 3s_i$, for every $t \geq 1$ there exists some $i \geq 0$ such that $t < s_i < s_{i+1} \leq 10t$. \square

For $0 \leq k < m$, let p_k/q_k be a best upper approximator of $\sqrt{2}$ such that $n^{\alpha_k} < q_k \leq 10n^{\alpha_k}$ and let p'_k/q'_k be a best lower approximator of $\sqrt{2}$ such that $n^{\alpha_k} < q'_k \leq 10n^{\alpha_k}$. We can now define for every point $P \in T_k$ two lines that are incident with P : one in direction $(-q_k, p_k)$ (an upper helping line, like v_1 and v_3 in Fig. 4) and one in direction $(q'_k, -p'_k)$ (a lower helping line, like v_2 and v_4 in Fig. 4). Formally, L_k^{up} denotes the set of lines of slope $-p_k/q_k$ through the points of T_k and L_k^{down} , the lines of slope $-p'_k/q'_k$ through these points. As mentioned above, the monotone path will actually follow only one of these lines but for simplicity we define both.

Lemma 3. From each point in $P \in T_k$ there is a monotone path to its successor Q , that either follows the line in L_k^{up} through P or the line in L_k^{down} through P , and then follows a horizontal line to Q (see Fig. 5).

Proof. The choice of p_k/q_k and p'_k/q'_k as best approximators with $q_k, q'_k > n^{\alpha_k}$ guarantee that if ε is small enough, the successor of P is on a line from P of slope less than $-p_k/q_k$ in the upper case, or greater than $-p'_k/q'_k$ in the lower case. \square

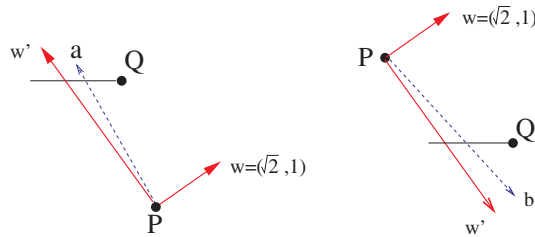


Fig. 5. Helping lines precede successors.

2.3. Counting

To complete the proof of the theorem we count the number of distinct helping lines used in the construction.

Lemma 4. *Let $|L_k^{\text{up}}|$ and $|L_k^{\text{down}}|$ denote the number of distinct lines in the respective sets, $k < m$. Then the total number of helping lines is*

$$\leq \sum_{k=0}^{m-1} (|L_k^{\text{up}}| + |L_k^{\text{down}}|) \leq 2(30^m)n. \quad (4)$$

Proof. Fix some $k < m$. We just treat $|L_k^{\text{up}}|$, the down case being completely analogous. Fix non-negative $I_r, J_r \leq D_r, r < k$, and consider the points in

$$A = \{P \in T_k : (i_r, j_r) = (I_r, J_r) \text{ for all } r < k\}.$$

There are at most $N = n^{2\alpha_k}$ such points, one for each possible pair $(i_k, j_k) \neq (D_k, D_k)$, and they require N distinct lines in L_k^{up} . Let R be the points in T_k which have the same values of i_r, j_r as do the points in A , for all $r < k - 1$; i.e.,

$$R = \{P \in T_k : (i_r, j_r) = (I_r, J_r) \text{ for all } r < k - 1\}.$$

The N lines just considered (for A) will also meet all points in R for which both $i_{k-1} = I_{k-1} - cq_k \geq 0$ and $j_{k-1} = J_{k-1} + cp_k \leq D_{k-1}$ for some integer c . For example, in Fig. 6, the square B is located q_k squares to the left of A and p_k squares above it and therefore the N lines going through A are the same as the N lines going through B . Similarly, C is located $2q_k$ squares to the left and $2p_k$ squares above A and also shares the same N lines.

This indicates that the number of *distinct* lines in L_k^{up} needed for all points in R is less than the trivial bound of $n^{2\alpha_{k-1}} \cdot N$. Indeed, consider the lines of slope $-p_k/q_k$ at those points with $(i_r, j_r) = (I_r, J_r), r < k - 1$, and with $i_{k-1} = 0, \dots, 2\lfloor n^{\alpha_{k-1}} \rfloor$ and

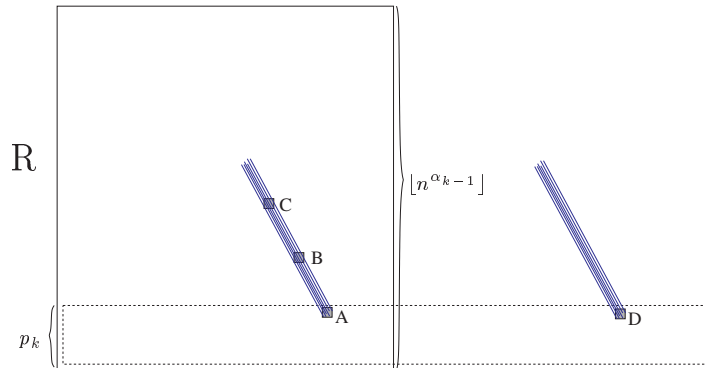


Fig. 6. Lines in L_k^{up} for points with the same $i_r, j_r, r < k - 1$.

$j_{k-1} = 0, \dots, p_k - 1$ (in Fig. 6 these are the lines emanating from the squares inside the dashed rectangle, such as A and D). Because $p_k > q_k$ (i.e., the lines form an angle of more than 45° with the x -axis), all points in R will be covered. Each square uses at most N lines in L_k^{up} and we cover R with at most $2p_k n^{\alpha_{k-1}}$ squares. Hence, the number of distinct lines in L_k^{up} needed for all the points in $R \subseteq T_k$ is at most

$$2p_k \cdot n^{\alpha_{k-1}} N \leq (30n^{\alpha_k})n^{\alpha_{k-1}}n^{2\alpha_k},$$

where we used the fact that $p_k \leq 1.5q_k$ and $q_k \leq 10n^{\alpha_k}$.

Applying this argument again to points in T_k that have $(i_r, j_r) = (I_r, J_r)$ for $r < k - 2$ we deduce that at most

$$(30n^{\alpha_k})^2 n^{\alpha_{k-2}} n^{\alpha_{k-1}} n^{2\alpha_k}$$

lines in L_k^{up} are needed, and, continuing inductively, we see that T_k needs at most

$$(30n^{\alpha_k})^k n^{(\alpha_0 + \dots + \alpha_{k-1})} n^{2\alpha_k} = (30)^k n^{(\alpha_0 + \dots + \alpha_{k-1} + (k+2)\alpha_k)}$$

lines in L_k^{up} . Using the fact that $(k + 2)\alpha_k = 1/(k + 1)$ and $\alpha_0 + \dots + \alpha_{k-1} = k/(k + 1)$, we obtain

$$|L_k^{\text{up}}| \leq (30)^k n.$$

Applying this estimate for each k , we establish the bound in (4) and prove the lemma. \square

Proof of Theorem 1. We have constructed an arrangement of at most $2n + 2(30^m)n$ lines, at most n horizontal and at most n vertical lines used in the staircases, and the helping lines. Also, as mentioned above, the staircases alone comprise part of a monotone path of length at least $2^{-m} \cdot n^{2-1/(m+1)}$. \square

3. Remarks

1. One interesting open question concerns the quantity $\lambda_n(k)$, the length of the longest monotone path in an arrangement of n lines with at most k distinct slopes. Clearly, $\lambda_n(k)$ increases with k and is at most λ_n . The construction of Sharir used $k = 4$ different slopes, so $\lambda_n(4) \geq \Omega(n^{3/2})$. Matoušek's construction gives $\lambda_n(5) \geq \Omega(n^{5/3})$. For any constant m , our construction uses a set of $O(n)$ lines with $2m + 2$ distinct slopes. Hence, it implies $\lambda_n(2m + 2) \geq \Omega(n^{2-1/(m+1)})$. Recently, Dumitrescu [1] showed that $\lambda_n(k) \leq O(n^{2-1/F_{k-1}})$ where F_k is the k th Fibonacci number ($F_1 = F_2 = 1, F_3 = 2, F_4 = 3$, etc.). In particular, this provides tight upper bounds for $k = 4, 5$.

2. Matoušek [3] also studied arrangements of *pseudolines*; i.e., n continuous functions f_1, \dots, f_n with the same intersection rules as lines. Specifically, for each $i < j$ there is a point x_{ij} (a vertex) such that $(f_i(u) - f_j(u))(f_i(t) - f_j(t)) < 0$ whenever $(u - x_{ij})(t - x_{ij}) < 0$. General position would impose the condition that the vertices be distinct. A "path" moves along a function and may turn at a vertex. Matoušek constructed a pseudoline arrangement with an x -monotone path of length $\Omega(n^2/\log n)$. He also had conjectured that $\lambda_n = O(n^{5/3})$, i.e., that his lower bound for monotone path length in line arrangements was optimal. If this were true we would have a neat combinatorial

separation of line and pseudoline arrangements based on monotone path length. The result of this paper implies that such a strong separation is impossible. A weaker separation is still possible by showing a $o(n^2/\log n)$ upper bound for λ_n (but we do not even know how to show $\lambda_n = o(n^2!)$).

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