RESEARCH



Swallowing-related quality of life in children with oesophageal atresia: a national cohort study

Sandra Bergmann¹ · Laura Antonia Ritz² · Anke Widenmann-Grolig³ · Stephan Jechalke³ · Dietrich von Schweinitz¹ · Jochen Hubertus⁴ · Eberhard Lurz⁵

Received: 8 July 2022 / Revised: 21 October 2022 / Accepted: 23 October 2022 / Published online: 4 November 2022 © The Author(s) 2022

Abstract

Swallowing and feeding disorders are a major concern for children with oesophageal atresia (OA) after primary or staged OA repair. Primary OA repair is associated with higher rates of short-term complications in preterm infants with very low birth weight (VLBW) or extreme low birth weight (ELBW). On the other hand, primary repair may have the benefit of early commencement of oral feedings. We hypothesize that also in the medium-term, swallowing-related quality of life is better after primary oesophageal repair. We conducted a prospective cross-sectional study on swallowing quality in a national cohort of former VLBW and ELBW children with OA, using the structured paediatric swallowing quality of life (*pedSWAL-QOL*) questionnaire. Results were correlated with surgical approach and baseline clinical data. Principal component analysis of *pedSWAL-QOL* domains was performed. In total, 44 complete data sets of 78 children were available. The mean age of children was 8.5 years (SD=7.4), and 23 children (52%) had primary OA repair. The overall median *pedSWAL-QOL* score was 2 (IQR=0–3), representing a high swallowing-related quality of life, independent of surgical technique (p=0.008). Children with a history of intracranial haemorrhage (ICH) (p=0.002) and those with VACTERL association (p=0.008) had significantly decreased enjoyment with eating. In addition, children with VACTERL association had problems to find suitable foods (p=0.04).

Conclusion: In this national cohort of VLBW and ELBW preterm-born children with OA, swallowing-related quality of life is good, mostly independent of initial surgery. Children with OA and ICH or VACTERL association may require more intense support with feeding.

What is Known:

- Dysphagia, resembling feeding and swallowing disorders, is common in children and adults with repaired oesophageal atresia. Nevertheless, dysphagia in children with oesophageal atresia decreases with age.
- Parents of younger children suffer from increased anxiety and fear regarding eating and swallowing abilities of their children.

What is New:

- Swallowing-related quality of life in former preterm children with oesophageal atresia is good, independent of initial surgical approach (primary vs. staged repair), even in very low birth weight or extreme low birth weight infants.
- Children suffering from VACTERL association or intracranial haemorrhage show decreased enjoyment with eating.

Keywords Oesophageal atresia (OA) \cdot Swallowing quality (SWAL-QoL) \cdot Very low birth weight (VLBW) \cdot Extreme low birth weight (ELBW) \cdot Oesophageal repair

| | Abbreviations | | |
|---|---------------|---------------------------------------|--|
| Communicated by Peter de Winter | OA/EA | Oesophageal/esophageal atresia | |
| | ELBW | Extreme low birth weight | |
| Jochen Hubertus and Eberhard Lurz contributed equally in the | EoE | Eosinophilic esophagitis | |
| making of this manuscript. | FEES | Fibreoptic endoscopic evaluation of | |
| Sandra Bergmann | | swallowing | |
| sandra.bergmann@med.uni-muenchen.de | GERD | Gastroesophageal reflux disease | |
| Eberhard Lurz | ICH | Intracranial haemorrhage | |
| eberhard.lurz@med.uni-muenchen.de | pedSWAL-QOL | Paediatric swallowing quality of life | |
| Enter de double a la forma d'an anni llable an de la deman a foto a diale | | questionnaire | |

Extended author information available on the last page of the article

| Speech-language therapists |
|------------------------------------|
| Swallowing-related quality of life |
| Tracheoesophageal fistula |
| Videofluoroscopic swallow studies |
| Very low birth weight |
| |

Statistical abbreviations

| sis |
|-----|
| |
| |

Introduction

Oesophageal atresia (OA) is the most common congenital anomaly of the oesophagus, and children with OA are born with interrupted oesophageal continuity, often combined with a tracheoesophageal fistula (TEF). OA is categorized by gross (1953) into five types (A to E), depending on the presence or absence, number and location of TEF. During the last decades surgical management has improved, and overall survival rates are greater than 90% [1]. Regardless of anastomotic strictures, re-fistulas, oesophageal dysmotility, gastroesophageal reflux disease (GERD), recurrent respiratory tract infections and wheezing, eosinophilic esophagitis (EoE), dysphagia and failure to thrive are common clinical problems [2].

Primary and staged repair are both established, but complex surgical approaches for neonates with OA [3, 4]. In primary repair, ligation of a TEF and oesophageal anastomosis are performed concurrently. In primary repair direct anastomosis of the oesophagus leads to early oesophageal continuity, allows for early oral feeding and hence might improve swallowing quality [5]. In staged repair, a gastrostomy is performed during the first operation, and if present, TEF is closed, whereas oesophageal anastomosis and introduction of oral feeding are performed at a later stage [6, 7]. Several authors suggest that this approach is a valid option especially for vulnerable very low birth weight (VLBW) and extreme low birth weight (ELBW), children with OA, as it goes along with less short-term surgical complications[3, 6, 7].

Dysphagia, resembling feeding and swallowing disorders, is common in children and adults with repaired OA [8–18]. Moreover, feeding and swallowing disorders are complex and] multifactorial in nature and are related not just to the health of children but also to the well-being of their families [10, 16–20]. Underlying aetiology, comorbidities, individual growth, neuro-cognitive development and overall health can cause feeding and swallowing difficulties. They may also affect the manifestation and clinical presentation [17, 21,

22] of these difficulties. Unfortunately, several definitions for dysphagia exist with a summary of potentially associated symptoms such as feeding difficulties, coughing, choking, slow eating and stressful mealtimes, making it difficult to estimate its prevalence in children post OA repair [8, 11, 12, 16, 18, 23, 24]. Hence, the prevalence of dysphagia in infants, children, adolescents and adults with repaired OA is reported with great variability from 21 to 84% [2, 8, 10, 15, 16]. Therefore, for over 10 years, the American Speech-Language-Hearing Association prefer "feeding and swallowing disorders" as a more inclusive phrase for dysphagia, delays and disorders in eating and drinking skills and their development [19, 25]. In addition to the clinical and instrumental assessment of swallowing motor skills [21], the assessment of swallowing quality of life, using established questionnaires for caregivers or clinicians, such as the swallowing quality of life (SWAL-QOL) questionnaire, is of high relevance [26].

The validated *SWAL-QOL* questionnaire was first developed for adult patients in the year 2000 and then adapted for the use in children by Clayburgh et al. in 2011 [27–29]. This questionnaire does not just capture eating and drinking skills but also its very important influence on family life, making it a suitable test to assess swallowing quality of life for children post-surgical OA repair [19, 30].

Given the benefit of early commencement of oral feedings in primary OA repair, we hypothesized that primary repair goes along with higher swallowing quality of life in VLBW and ELBW children with OA. The aim of this study was to identify swallowing-related quality of life in former VLBW and ELBW preterm children with repaired OA, using the *pedSWAL-QOL*.

Methods

Data was collected from the German patient support organization for patients with diseases of the oesophagus (KEKS e.V.). First, structured questionnaires were sent to patient families of former premature infants with OA and VLBW (birth weight < 1500 g) or ELBW (\leq 1000 g). The responses were anonymized by KEKS e.V. and provided back to our institution for analysis. The questionnaires included demographic details (gender and date of birth), clinical features (birth weight, type of OA, long-gap, congenital heart disease, heart function, intracranial haemorrhage (ICH), congenital anomalies, associated syndromes), questions on clinical/surgical management (pre-surgical ventilation, time of first operation, primary or staged repair, gastrostomy, jejunostomy, cervical oesophagostomy, weight at staged anastomosis, age at staged anastomosis) and 10 questions on outcome after surgery (post-surgical ventilation, number of operations in the first 6 months of life, anastomotic leak, recurrent fistulas, number of dilations, gastroesophageal reflux, medical treatment, fundoplication) (see Table 1 and Supplemental Table 1). To assess symptoms of dysphagia and swallowing associated quality of life, the *pedSWAL-QOL* was used (see Supplemental Table 2). The adapted version for use with paediatric patients from Clayburgh et al. [30] was translated into German (Supplemental Table 3). Unlike the original questionnaire with Likert scales ranging from 0 to 100, a scale from 0 to 10 points was used (see Supplemental Tables 2 and 3). Each response was equally weighted. An overall outcome score was built by the sum of responses. Additionally, questions were clustered into 8 domains (see Table 2), as suggested by Clayburgh et al. [30]. The responses of each subgroup were summed to an overall outcome score. For statistical analysis, the overall outcome score of the *pedSWAL-QOL* and the outcome scores of the 8 domains were clustered into severity groups (see Table 1). All patients born with OA and VLBW or ELBW were included. Exclusion criteria were birth weight > 1500 g and/or incomplete dataset.

Written informed consent was obtained from all participants caregivers. The study protocol was approved by the Institutional Research Ethics Board. The study was approved by the ethics committee of the Ludwig-Maximilian's University Munich, Germany (Reference number 18–585). Statistical analysis was performed in collaboration with the Institute for Medical Information Processing, Biometry, and Epidemiology of the Ludwig-Maximilian's University, Munich Germany using SPSS (IBM® SPSS Statistics, version 26).

Descriptive statistics were performed using Chi-square test for categorical data. Continuous variables were expressed as mean and standard deviation (SD) or median with interquartile range (IQR) as indicated. Linear univariate regression analysis and odds ratios were calculated for associations of clinical variables, surgical technique and *pedSWAL-QOL* scores. Principal component analysis was performed to identify clusters of *pedSWAL-QOL* subdomains. A *p* value of < 0.05 was considered as statistically significant.

Results

Questionnaires were sent to 78 patients and their parents or caregivers. The return rate was almost two-thirds (n = 50). Six incomplete datasets were excluded from analysis. Full epidemiological data for 44 (56%) patients was available for analysis and is listed in Table 1.

Of all patients, 24 (54%) were female. Patients were born between August 1993 and May 2019. The mean age at questionnaire assessment was 8.5 ± 7.4 years. The mean birth weight was 1209 ± 232 g. OA gross type C was predominant with 36 cases (82%), followed by 6 patients (14%) with type A and 1 patient (2%) with type B. In one case (2%), OA type is unknown. The primary repair of OA was performed in 23 patients (52%) and staged repair in 21 patients (48%). The median postoperative mechanical ventilation time was 10 days (IQR = 7–30). No dilation of stenosis was needed in 11 patients (25%), and 9 patients (21%) needed less than three dilations, whereas 21 patients (48%) needed more than three dilations. Ten patients (23%) were diagnosed with VACTERL association; intracranial haemorrhage (ICH) was seen in 11 patients (25%) (see Table1).

Our results show that swallowing-related quality of life was high with low median (IQR) pedSWAL-QOL scores 2 (IQR 0-3). No swallowing disorders were claimed by 31 patients (70%). Minor swallowing problems were stated by 10 patients (23%) and severe problems by three patients (7%). Parents of younger children (<5 years; n = 19) had more fear of choking (domain *Fear*; p = 0.042) and 21% scored problems as severe (n=4). In univariate analysis, no significant correlation could be revealed between the severity of swallowing-related quality of life and epidemiological parameters (gender, birth weight, type of OA, age, number of dilations, ventilations days, VACTERL, ICH) (see Table 1). SWAL-QOL was also independent of surgical approach (p=0.086) with a median *pedSWAL-QOL* of 0.5 (IOR 0-2) for children after primary repair and 2 (IOR 1-3) for children after staged repair, respectively.

Analysis of *pedSWAL-QOL* domains revealed that children with ICH (n = 11; p = 0.002) or VACTERL association (n = 10; p = 0.008) had significantly less enjoyment and interest in eating (domain *Desire/Enjoyableness*) compared to the overall group. Two (18%) patients with ICH and one patient (10%) suffering from VACTERL mentioned severe problems in this domain. Children with VACTERL were more often concerned by problems finding suitable food to eat (domain *selection*; p = 0.039). Half of this subgroup (n = 5) suffered from restrictions within *selection* and one patient was reporting severe problems finding foods that they liked and could eat.

PedSWAL-QOL subdomains were analysed. In principal component analysis (PCA), two principal components could be detected (Bartlett test p = 0.000) for the entire cohort. Together, they explain 73% of result variance in *pedSWAL-QOL*. Component 1 holds 60% of variance with an eigenvalue of 4.807. This component is mainly defined by domains *level of stress* (0.860), *fear of choking* (0.851) and *swallowing-related burden* (0.824). Component 2 only explains 13% of variance (eigenvalue 1.026) mainly explained by *duration of meals* (0.876) and *desire* (0.805). Detailed results for each domain are shown in Fig. 1.

In subgroup analysis of children with primary repair, component 1 explains 55% of variance with an eigenvalue of 4.377. In patients after staged repair, a different component mostly defined by *level of stress* (0.876),

| Parameter | <i>n</i> (all)* | Primary repair $n = 23$ | Staged repair $n = 21$ | <i>p</i> value** regarding severity of dysphagia SWAL-QOL |
|--|-------------------------|-------------------------|-------------------------|---|
| Severity of SWAL-QUOL | | | | 0.086 (Op-technique) |
| No problems (Likert 0–2) | 31 | 19 | 12 | |
| Problems (Likert 3–7) | 10 | 4 | 6 | |
| Severe problems (Likert 8-10) | 3 | 0 | 3 | |
| Gender | | | | 0.877 |
| Male | 20 | 8 | 12 | |
| Female | 24 | 15 | 9 | |
| Birth weight (mean) | 1209 g (SD = 232) | 1228 g (SD=228) | 1188 g (SD=240) | |
| Birth weight | | | | 0.553 |
| VLBW (> $1000 - \le 1500 \text{ g}$) | 34 | 19 | 15 | |
| ELBW (≤1000 g) | 10 | 4 | 6 | |
| Type of EA (gross) | | | | |
| Type A | 6 | 0 | 6 | |
| Type B | 1 | 1 | 0 | |
| Type C | 36 | 21 | 15 | |
| Unknown | 1 | 1 | 0 | |
| Time of secondary oesophageal anastomosis | | | | |
| <1 month | | | 1 | |
| > 1 month < 3 months | | | 7 | |
| > 3 months $<$ 6 months | | | 7 | |
| > 6 months | | | 3 | |
| Unknown | | | 3 | |
| Weight at secondary oesophageal anastomosis | | | | |
| 2–2.5 kg | | | 6 | |
| >2.5–3.5 kg | | | 11 | |
| Unknown | | | 4 | |
| Time of fistula closure | | | | |
| No fistula | 6 | 0 | 6 | |
| <24 h | 10 | 7 | 3 | |
| > 24 h < 48 h | 7 | 5 | 2 | |
| > 48 h $<$ 5 days | 8 | 4 | 4 | |
| > 5 days < 7 days | 2 | 1 | 1 | |
| >7 days | 8 | 5 | 3 | |
| Unknown | 3 | 1 | 2 | |
| Recurrent fistula/fistula relapse | | | | |
| Yes | 6 | 5 | 1 | |
| No | 27 | 12 | 15 | |
| Unknown | 11 | 6 | 5 | |
| Age at questionnaire (mean) | 8.5 years (SD = 7.4) | 9.4 years (SD $=$ 8.2) | 7.1 years (SD = 6.1) | |
| Age group | | | | 0.374 |
| <5 years | 20 | 10 | 10 | 0.042 (fear of choking) |
| \geq 5 years | 24 | 13 | 11 | |
| Number of dilations | | | | 0.634 |
| 1. None | 11 | 5 | 6 | |
| 2. Less than 3 or 3 dilations (within the first 2 years after surgery) | 8 | 4 | 4 | |
| 3. More than 3 dilations(within the | 19 | 10 | 9 | |

first 2 years after surgery)

Table 1 (continued)

| Parameter | <i>n</i> (all)* | Primary repair $n = 23$ | Staged repair $n = 21$ | <i>p</i> value** regarding severity of dysphagia SWAL-QOL |
|--|-----------------|-------------------------|------------------------|---|
| 4. Less than 3 or 3 dilations (after the 2nd birthday) | 1 | 1 | 0 | |
| 5. More than 3 dilations (after the 2nd birthday) | 2 | 2 | 0 | |
| Ventilations days | | | | 0.679 |
| \leq 7 days | 10 | 5 | 5 | |
| 8–30 days | 20 | 14 | 6 | |
| \geq 31 days | 9 | 3 | 6 | |
| Unknown | 5 | | | |
| Congenital anomalies | | | | 0.461 |
| Yes | 19 | 11 | 8 | |
| No | 24 | 11 | 13 | |
| Unknown | 1 | 1 | 0 | |
| VACTERL | | | | 0.348 |
| Yes | 10 | 5 | 5 | 0.039 (selection of food) |
| No | 33 | 17 | 16 | 0.008 (desire, enjoyableness resp.) |
| Congenital syndromes | | | | |
| Yes | 1 | 0 | 1 | |
| No | 43 | 23 | 21 | |
| ICH | | | | 0.459 |
| Yes | 11 | 7 | 4 | 0.002 (desire, enjoyableness resp.) |
| No | 32 | 15 | 17 | |
| Unknown | 1 | | | |

Statistically significant p values are marked in bold

*Shows the number (*n*) of complete data sets for each item, median (IQR) and/or mean (SD). Statistical correlation of primary/staged repair and each category (e.g. severity of *pedSWAL-QOL*, birth weight, age) was tested

**The p value relates to the category, not the single parameter in a category, and is calculated without unknown cases performing chi-square test

Table 2 Domains of adapted paediatric swallowing quality of life questionnaire (*pedSWAL-QOL*), direction of the questions and their single item content. The used terms are in bold

| Cluster | Directed at | Items (shortform) |
|--|-------------------|---|
| Swallowing-related burden | Parents/caregiver | Dealing with child's swallowing problem; child's swallowing problem as major distraction in family's life |
| Duration of meals | Child | Takes longer to eat; takes forever to eat a meal |
| Desire (enjoyableness of eating) | Child | Does not enjoy eating anymore; loses interest in eating |
| Selection of food | Parents/caregiver | Difficult to find foods; figuring out what the child can eat as family problem |
| Fear of choking | Parents/caregiver | Fear choking when eating solid food; worried about child getting pneumonias; never knowing when child is going to choke |
| Mental health (level of stress) | Parents/caregiver | Having to be so careful is annoying; discouraged by child's swallowing problem; frustrated by child's swallowing problem; getting impatient dealing with child's swallowing problem |
| Social function (swallowing- related restrictions within family life) | Child/family | Swallowing problem makes it difficult to socialize with other children; families' usual activities changed because of child's swallowing problem; social gatherings less enjoyable because of child's swallowing problem; eating outside difficult because of the child's swallowing problem |
| Symptoms of dysphagia | Child | Coughing; choking on solid food; choking on liquids; thick saliva or phlegm; excess saliva or phlegm; gagging; chewing problems; having to clear the throat; food sticking in the throat; food sticking in the mouth; food or liquid dribbling out of the mouth; food or liquid coming out of the nose; coughing out food or liquid of the mouth when it gets stuck |



Fig. 1 Eight subdomains (x-axis) of paediatric swallowing quality of life questionnaire (*pedSWAL-QOL*) and respective Likert scale results (y-axis). Bars and whiskers display medians (interquartile range (IQR)). *Duration* was significantly higher rated as *desire/enjoyable-ness*, selection of food, mental health/level of stress, social function/restrictions within family life and symptoms. Using one-way ANOVA and Tukey's multiple comparisons test (****p < 0,0001)

swallowing-related burden (0.869) and *symptoms of dys-phagia* (0.816) holds 60% of variance with an eigenvalue of 4.840.

Discussion

In this national cohort of ELBW and VLBW pretermborn children with OA, we demonstrate good swallowingrelated quality of life, measured by the *pedSWAL-QOL* questionnaire, independent of initial surgical approach, with 70% of children not reporting any complaints. Rather, comorbidities such as VACTERL association and ICH impact swallowing quality of life, mostly driven by *ped-SWAL-QOL* domains *desire/enjoyableness* and *selection*.

Even though high rates of post-surgical complications are reported in the short-term, especially in ELBW and VLBW after primary OA repair[3, 6], in the medium-term, this does not seem to be that relevant for swallowingrelated quality of life in this cohort. A high level of anxiety during mealtimes in parents of younger children was seen in our cohort where 60% of parents of infants and toddlers show significant more *fear of choking* compared to 42% parents of older children. Herewith, we confirm previous study results, where young children with OA (<5 years) are affected by any kind of feeding or swallowing problems in about 55–70% of cases, compared to only about

18-30% of older children with OA [2, 8, 16, 31]. In a recent study from the Netherlands, self-reported health status in children with OA was also increasing with age [32]. These changes with age might be due to an accommodation of the swallowing ability and integration of feeding disorders by time [15]. As soon as children and parents cope with symptoms and adapt to altered eating abilities, they consider problems as minor or not as a problem at all, leading to a lower internal and external perception of swallowing-related problems by patients and their family members [10, 15]. Hence, the high rates of good swallowingrelated quality of life in this cohort might rather resemble good adaptation to a lower level of eating skills by parents and children by time, rather than a good development in eating and swallowing skills as also suggested by Ax and colleagues[15, 31]. Courbette et al. actually did show that even though propagation of food boluses was impaired in a small number of children with OA compared to healthy controls, using high-resolution manometry, dysphagia was not related to the objective swallow assessment [33]. In fact, patients perceived swallowing quality of life might be a more important patient reported outcome (PRO) compared to objective oropharyngeal skills and perception of caregivers. Another explanation might be decreasing airway symptoms with age as reported by Dellenmark and others [34], which in general were not reported frequently in this cohort.

By using the *pedSWAL-QOL*, we were able to further analyse this concept and performed subdomain analysis. Results point in the same direction as *pedSWAL-QOL* subdomains level of stress, fear of choking and swallowing-related burden, duration of meals and desire mostly impacted the final score and not symptoms of dysphagia. Tanny et al. discuss similar experiences in their study on quality of life impact on caregivers of 100 children with OA and detected anxiety as a common phenomenon in caregivers [35]. This finding affirms Bevilaqua et al. who interviewed 51 parents of children with OA at the age of 3 years and found anxiety in 39% of cases [13]. In a retrospective audit with 75 children with OA, Menzies and Hughes further detected parental concerns about choking as a risk factor for less exposure to age-appropriate foods and textures [16]. Furthermore, in their work on impact of feeding and swallowing disorders on caregivers of 64 children with OA and TEF, Arslan et al. detected a relationship between late start of oral feeding and the risk of increased parental concerns [17]. Hence, we suggest early expert support for parents and caregivers to reduce fear and prevent children from unnecessary food restrictions. Interestingly, on the contrary, dysphagia and related symptoms such as coughing were low in this cohort, as the swallowing-specific domain symptoms of dysphagia had less impact on the final pedSWAL-QOL score. This might be related to a high proportion of OA gross type C, as more oropharyngeal motor problems are commonly seen in patients with non-type C OA, and hence, our results might be skewed towards less severe impaired eating skills[12, 20].

As a subgroup, especially children with VACTERL and ICH had poor *pedSWAL-QOL* which was heavily related to the *duration of meals* and *desire* with a remarkably high median score of 7 (IQR = 2-9) for the statement "It takes my child longer to eat than other children". Furthermore, patients with VACTERL association were more affected by aggravated selection of food. VACTERL is a combination of various birth defects, such as vertebral defects, anorectal malformations, cardiac defects, OA, tracheo-oesophageal fistula and renal and limb malformations which can cause physical dysfunction. Self-perceived health status in children with OA and VACTERL is also reported to be worse compared to children with OA only [32]. VACTERL associated life-long conditions such as neurodevelopmental delay and attention deficit may lead to abnormal eating habits. These coupled with possible food bolus obstruction and intestinal dysmotility make feeding probably more complicated in children with OA and VACTERL association [32, 36, 37]. Food selectivity in these children cannot only be considered as a problem but also as a coping strategy to avoid unpleasant feeding complications [24].

Children with ICH are at higher risk for neurological impairment which is strongly related to deficits in oral motor skills [16, 22, 38]. If ICH is followed by cerebral palsy, oral sensitivity and volitional oro-facial movements as well as more reflexively parts of the swallowing act may appear dysfunctional in various grades [19]. Hence, dysphagia is highly prevalent in about 66% of children with any grade of cerebral palsy [38]. Whereas dysphagia in children with OA is often related to abnormal motor function of the oesophagus [15], in children with ICH, the main problems are located in the oropharyngeal area [19, 39]. Impairment of voluntary oral movements directly affects duration of oral phase and can well explain here reported long *duration of mealtimes* [38].

Strengths and limitations of our study

Our study benefits from a homogenous cohort of VLBW and ELBW children with mainly type C OA. By using the validated and established *pedSWAL-QOL* questionnaire, a more comprehensive assessment, rather than focusing on oral feeding skills only, was possible [9]. Still, the *ped-SWAL-QOL* differentiates between various eating skills and oral motor abilities [8, 19, 30] and therefore allows specific interpretations of these factors. Our study supplements further work on impact health-related quality of life of patients with OA [11, 34].

Nevertheless, our study has several limitations to consider. Due to the analysis of an anonymized data set

provided by the patient support group KEKS e.V., it is neither possible to follow-up with each individual nor collect additional data on medical care. Our results therefore might be skewed as treatments with, e.g. proton pump inhibitors, or the frequency and method of oesophageal dilatations as well as the age of children at the (first) time of intervention might impact medium-term outcome of swallowing, feeding and eating. Furthermore, we have to consider a wide range regarding age at the time of survey which has an impact on the significance of our results. The poor return rate of 64%, as experienced by others assessing disease-specific health-related quality of life in children with OA [34], and additional missing data points in six more cases, led to a smaller cohort as initially targeted, and hence, our results might not be applicable to all VLBW and ELBW preterm-born infants due to potential reporting bias. Furthermore, we were not able to elaborate differences within the types of OA due to low case numbers for type A and B. Regardless of well-chosen questions, the pedSWAL-QOL is mainly a parent-focused tool with the risk of less or unbalanced validity from the children's point of view. As our study was based on the analysis of a list of anonymously answered questionnaires from children with OA by the German patient support organization KEKS e.V. without outpatient or inpatient clinic visits, further clinical evaluation including growth, another significant problem in children with OA, was not possible. Direct patient approach was therewith not possible to complete missing items. Especially, correlation of *pedSWAL-QOL* results with clinical swallowing assessment tools offered by speech-language therapists, e.g. clinical swallowing assessment, videofluoroscopic swallow studies (VFSS) and fibreoptic endoscopic evaluation of swallowing (FEES), would have been interesting but could not be performed. Finally, the validated English version of *pedSWAL-QOL* was freely translated into German in a non-structured way, making it potentially less accurate.

Conclusion

In conclusion, independent of initial surgical approach, ELBW and VLBW preterm-born children with OA achieve a good swallowing-related quality of life in the mediumterm, which is probably in part due to an adaptation of children and families to their eating and swallowing habits by time. This result might alleviate stress for the patients and care takers to be able to focus more on swallowing quality than on swallowing skills. Implementing questionnaires such as the *pedSWAL-QOL* in routine followup of children with OA allows better understanding of the respective needs of children after OA repair and give the opportunity to perform larger prospective longitudinal long-term studies towards a more precise medical approach of children with OA.

Supplementary Information The online version contains supplementary material available at https://doi.org/10.1007/s00431-022-04677-4.

Acknowledgements We thank all participants and KEKS e.V. for providing anonymized data. We thank Harald Lothaller of KUG Graz for additional support in methodical questions and Julia Faulkner of TOFS/EAT for critical review of the manuscript and language editing.

Authors' contributions All authors contributed to the study conception and design. Material preparation was performed by Jochen Hubertus, Eberhard Lurz and Laura Ritz. Data collection was performed by Laura Ritz and Sandra Bergmann. Data analysis was done, and the first draft of manuscript was written by Sandra Bergmann, Jochen Hubertus and Eberhard Lurz. Figures and tables were prepared by Sandra Bergmann and Eberhard Lurz. The manuscript was critically reviewed, and the final version was approved by all authors.

Funding Open Access funding enabled and organized by Projekt DEAL.

Declarations

Ethics approval The study protocol was approved by the Institutional Research Ethics Board. The study was approved by the ethics committee of the Ludwig-Maximilian's University Munich, Germany (Reference number 18–585).

Consent to participate Written informed consent was obtained from all participant caregivers.

Consent for publication Not applicable.

Competing interests The authors declare no competing interests.

Open Access This article is licensed under a Creative Commons Attribution 4.0 International License, which permits use, sharing, adaptation, distribution and reproduction in any medium or format, as long as you give appropriate credit to the original author(s) and the source, provide a link to the Creative Commons licence, and indicate if changes were made. The images or other third party material in this article are included in the article's Creative Commons licence, unless indicated otherwise in a credit line to the material. If material is not included in the article's Creative Commons licence and your intended use is not permitted by statutory regulation or exceeds the permitted use, you will need to obtain permission directly from the copyright holder. To view a copy of this licence, visit http://creativecommons.org/licenses/by/4.0/.

References

- van Lennep M, Singendonk MMJ, Dall'Oglio L et al (2019) Oesophageal atresia Nat Rev Dis Primers 5:26. https://doi.org/ 10.1038/s41572-019-0077-0
- Mousa H, Krishnan U, Hassan M et al (2017) How to care for patients with EA-TEF: the known and the unknown. Curr Gastroenterol Rep 19:65. https://doi.org/10.1007/s11894-017-0605-6
- 3. Ritz LA, Widenmann-Grolig A, Jechalke S et al (2020) Outcome of Patients with esophageal atresia and very low birth

weight (≤ 1,500 g). Front Pediatr 8:587285. https://doi.org/10. 3389/fped.2020.587285

- Schmidt A, Obermayr F, Lieber J et al (2017) Outcome of primary repair in extremely and very low-birth-weight infants with esophageal atresia/distal tracheoesophageal fistula. J Pediatr Surg 52:1567–1570. https://doi.org/10.1016/j.jpedsurg.2017.05.011
- Khan KM, Krosch TC, Eickhoff JC et al (2009) Achievement of feeding milestones after primary repair of long-gap esophageal atresia. Early Hum Dev 85:387–392. https://doi.org/10.1016/j. earlhumdev.2009.01.006
- Petrosyan M, Estrada J, Hunter C et al (2009) Esophageal atresia/tracheoesophageal fistula in very low-birth-weight neonates: improved outcomes with staged repair. J Pediatr Surg 44:2278– 2281. https://doi.org/10.1016/j.jpedsurg.2009.07.047
- Zani A, Wolinska J, Cobellis G et al (2016) Outcome of esophageal atresia/tracheoesophageal fistula in extremely low birth weight neonates (<1000 grams). Pediatr Surg Int 32:83–88. https://doi.org/10.1007/s00383-015-3816-7
- Coppens CH, van den Engel-Hoek L, Scharbatke H et al (2016) Dysphagia in children with repaired oesophageal atresia. Eur J Pediatr 175:1209–1217. https://doi.org/10.1007/ s00431-016-2760-4
- Gibreel W, Zendejas B, Antiel RM et al (2017) Swallowing dysfunction and quality of life in adults with surgically corrected esophageal atresia/tracheoesophageal fistula as infants: forty years of follow-up. Ann Surg 266:305–310. https://doi.org/10. 1097/SLA.000000000001978
- Krishnan U, Mousa H, Dall'Oglio L et al (2016) ESPGHAN-NASPGHAN guidelines for the evaluation and treatment of gastrointestinal and nutritional complications in children with esophageal atresia-tracheoesophageal fistula. J Pediatr Gastroenterol Nutr 63:550–570. https://doi.org/10.1097/MPG.000000000001401
- Conforti A, Valfré L, Falbo M et al (2015) Feeding and swallowing disorders in esophageal atresia patients: a review of a critical issue. Eur J Pediatr Surg 25:318–325. https://doi.org/10. 1055/s-0035-1559819
- Menzies J, Hughes J (2020) Parental feeding concerns of infants and young children with oesophageal atresia. J Paediatr Child Health 56:1791–1794. https://doi.org/10.1111/jpc.14840
- 13. Bevilacqua F, Ragni B, Conforti A et al (2020) Fixed the gap, solved the problem? Eating skills in esophageal atresia patients at 3 years. Dis Esophagus. https://doi.org/10.1093/dote/doz102
- Golonka NR, Hayashi AH (2008) Early "sham" feeding of neonates promotes oral feeding after delayed primary repair of major congenital esophageal anomalies. Am J Surg 195:659–62; discussion 662. https://doi.org/10.1016/j.amjsurg.2008.02.001
- Legrand C, Michaud L, Salleron J et al (2012) Long-term outcome of children with oesophageal atresia type III. Arch Dis Child 97:808–811. https://doi.org/10.1136/archdischild-2012-301730
- Menzies J, Hughes J, Leach S et al (2017) Prevalence of malnutrition and feeding difficulties in children with esophageal atresia. J Pediatr Gastroenterol Nutr 64:e100–e105. https://doi. org/10.1097/MPG.00000000001436
- Serel Arslan S, Demir N, Karaduman AA et al (2020) Assessment of the concerns of caregivers of children with repaired esophageal atresia-tracheoesophageal fistula related to feeding-swallowing difficulties. Dysphagia 35:438–442. https://doi.org/10.1007/ s00455-019-10046-5
- Ramsay M, Birnbaum R (2013) Feeding difficulties in children with esophageal atresia: treatment by a multidisciplinary team. Dis Esophagus 26:410–412. https://doi.org/10.1111/dote.12062
- van den Engel-Hoek L, Harding C, van Gerven M et al (2017) Pediatric feeding and swallowing rehabilitation: an overview. J Pediatr Rehabil Med 10:95–105. https://doi.org/10.3233/ PRM-170435

- 20. Baird R, Levesque D, Birnbaum R et al (2015) A pilot investigation of feeding problems in children with esophageal atresia. Dis Esophagus 28:224–228. https://doi.org/10.1111/dote.12178
- Arvedson JC, Lefton-Greif MA (2017) Instrumental assessment of pediatric dysphagia. Semin Speech Lang 38:135–146. https:// doi.org/10.1055/s-0037-1599111
- Mercado-Deane MG, Burton EM, Harlow SA et al (2001) Swallowing dysfunction in infants less than 1 year of age. Pediatr Radiol 31:423–428. https://doi.org/10.1007/s002470100456
- Mahoney L, Rosen R (2017) Feeding problems and their underlying mechanisms in the esophageal atresia-tracheoesophageal fistula patient. Front Pediatr 5:127. https://doi.org/10.3389/fped. 2017.00127
- Dellenmark-Blom M, Chaplin JE, Quitmann JH et al (2019) The prevalence and role of coping strategies in the nutritional intake of children born with esophageal atresia: a condition-specific approach. Dis Esophagus. https://doi.org/10.1093/dote/doz004
- American Speech-Language-Hearing Association (n.d). Pediatric dysphagia. www.asha.org/Practice-Portal/Clinical-Topics/Pediatric-Dysphagia/. Accessed 23 Oct 2021
- Heckathorn D-E, Speyer R, Taylor J et al (2016) Systematic review: non-instrumental swallowing and feeding assessments in pediatrics. Dysphagia 31:1–23. https://doi.org/10.1007/ s00455-015-9667-5
- McHorney CA, Bricker DE, Kramer AE et al (2000) The SWAL-QOL outcomes tool for oropharyngeal dysphagia in adults: I. Conceptual foundation and item development. Dysphagia 15:115–121. https://doi.org/10.1007/s004550010012
- McHorney CA, Bricker DE, Robbins J et al (2000) The SWAL-QOL outcomes tool for oropharyngeal dysphagia in adults: II. Item reduction and preliminary scaling. Dysphagia 15:122–133. https://doi.org/10.1007/s004550010013
- McHorney CA, Robbins J, Lomax K et al (2002) The SWAL-QOL and SWAL-CARE outcomes tool for oropharyngeal dysphagia in adults: III. Documentation of reliability and validity Dysphagia 17:97–114. https://doi.org/10.1007/s00455-001-0109-1
- Clayburgh D, Milczuk H, Gorsek S et al (2011) Efficacy of tonsillectomy for pediatric patients with dysphagia and tonsillar hypertrophy. Arch Otolaryngol Head Neck Surg 137:1197–1202. https://doi.org/10.1001/archoto.2011.196
- 31. Ax SÖ, Abrahamsson K, Gatzinsky V et al (2021) Parent-reported feeding difficulties among children born with esophageal atresia:

prevalence and early risk factors. Eur J Pediatr Surg 31:69–75. https://doi.org/10.1055/s-0040-1716880

- 32. ten Kate CA, Rietman AB, van de Wijngaert Y et al (2021) Longitudinal health status and quality of life after esophageal atresia repair. J Pediatr Gastroenterol Nutr 73:695–702. https://doi.org/ 10.1097/MPG.00000000003293
- Courbette O, Omari T, Aspirot A et al (2020) Characterization of esophageal motility in children with operated esophageal atresia using high-resolution impedance manometry and pressure flow analysis. J Pediatr Gastroenterol Nutr 71:304–309. https://doi.org/ 10.1097/MPG.00000000002806
- Dellenmark-Blom M, Quitmann J, Dingemann J et al (2020) Clinical factors affecting condition-specific quality-of-life domains in pediatric patients after repair of esophageal atresia: the Swedish-German EA-QOL study. Eur J Pediatr Surg 30:96–103. https:// doi.org/10.1055/s-0039-1693729
- Tan Tanny SP, Trajanovska M, Muscara F et al (2021) Quality of life outcomes in primary caregivers of children with esophageal atresia. J Pediatr 238:80-86.e3. https://doi.org/10.1016/j.jpeds. 2021.07.055
- 36. Kassa A-M, Engstrand Lilja H, Engvall G (2019) From crisis to self-confidence and adaptation; experiences of being a parent of a child with VACTERL association - a complex congenital malformation. PLoS ONE 14:e0215751. https://doi.org/10.1371/ journal.pone.0215751
- 37. Kassa A-M, Dellenmark-Blom M, Thorsell Cederberg J et al (2020) Children and adolescents with VACTERL association: health-related quality of life and psychological well-being in children and adolescents and their parents. Qual Life Res 29:913–924. https://doi.org/10.1007/s11136-019-02364-w
- Sullivan PB, Lambert B, Rose M et al (2000) Prevalence and severity of feeding and nutritional problems in children with neurological impairment: Oxford Feeding Study. Dev Med Child Neurol 42:674–680. https://doi.org/10.1017/s0012162200001249
- Arvedson JC (2013) Feeding children with cerebral palsy and swallowing difficulties. Eur J Clin Nutr 67(Suppl 2):S9-12. https://doi.org/10.1038/ejcn.2013.224

Publisher's Note Springer Nature remains neutral with regard to jurisdictional claims in published maps and institutional affiliations.

Authors and Affiliations

Sandra Bergmann¹ · Laura Antonia Ritz² · Anke Widenmann-Grolig³ · Stephan Jechalke³ · Dietrich von Schweinitz¹ · Jochen Hubertus⁴ · Eberhard Lurz⁵

- ¹ Department of Paediatric Surgery, Dr. von Hauner Children's Hospital, University Hospital, LMU Munich, Munich, Germany
- ² Sana Klinikum Lichtenberg, Berlin, Germany
- ³ Patient Support Group KEKS e.V., Stuttgart, Germany
- ⁴ Department of Paediatric Surgery, Marien Hospital Witten, University Hospital of the Ruhr University Bochum, Bochum, Germany
- ⁵ Department of Paediatrics, Dr. von Hauner Children's Hospital, University Hospital, LMU Munich, Munich, Germany