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Estimation of $\dot{V}O_{2\max}$ from the ratio between HR_{\max} and HR_{rest} – the Heart Rate Ratio Method

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The Appendix contained errors that were overlooked in the proof. The correct version is printed below.

Appendix

Derivation of an equation for a relationship between $\dot{V}O_{2\max}$ and the ratio between HR_{\max} and HR_{rest}

According to the Fick principle, $\dot{V}O_2$ may be expressed as the product of cardiac output (\dot{Q}) and the arterio-venous O_2 difference ($CaO_2 - C\bar{v}O_2$).

$$\dot{V}O_2 = \dot{Q} \cdot (CaO_2 - C\bar{v}O_2) \quad (1)$$

Thus, since \dot{Q} is the product of HR and stroke volume (SV), $\dot{V}O_2$ can be expressed as:

$$\dot{V}O_2 = HR \cdot SV \cdot (CaO_2 - C\bar{v}O_2) \quad (2)$$

When applied to rest $\dot{V}O_2$ can be expressed as:

$$\dot{V}O_{2\text{rest}} = HR_{\text{rest}} \cdot SV_{\text{rest}} \cdot (CaO_2 - C\bar{v}O_2)_{\text{rest}} \quad (3)$$

implying that:

$$\frac{\dot{V}O_{2\text{rest}}}{HR_{\text{rest}} \cdot SV_{\text{rest}} \cdot (CaO_2 - C\bar{v}O_2)_{\text{rest}}} = 1 \quad (4)$$

During maximal exercise the Fick equation reads:

$$\dot{V}O_{2\max} = HR_{\max} \cdot SV_{\max} \cdot (CaO_2 - C\bar{v}O_2)_{\max} \quad (5)$$

By multiplying the right side of Eq. 5 with 1 in the form of Eq. 4 it follows that:

$$\dot{V}O_{2\max} = \frac{HR_{\max} \cdot SV_{\max} \cdot (CaO_2 - C\bar{v}O_2)_{\max}}{HR_{\text{rest}} \cdot SV_{\text{rest}} \cdot (CaO_2 - C\bar{v}O_2)_{\text{rest}}} \cdot \dot{V}O_{2\text{rest}} \quad (6)$$

or

$$\dot{V}O_{2\max} = \left(\frac{HR_{\max}}{HR_{\text{rest}}} \right) \cdot \left(\frac{SV_{\max}}{SV_{\text{rest}}} \right) \cdot \left(\frac{(CaO_2 - C\bar{v}O_2)_{\max}}{(CaO_2 - C\bar{v}O_2)_{\text{rest}}} \right) \cdot \dot{V}O_{2\text{rest}} \quad (7)$$

This implies that $\dot{V}O_{2\max}$ may be calculated as the product of $\dot{V}O_{2\text{rest}}$ and the ratios of maximal versus resting values of, respectively, HR, SV, and ($CaO_2 - C\bar{v}O_2$).

$\dot{V}O_{2\text{rest}}$ is dependent on and increases with the individual's body mass. Åstrand and Rodahl (1986) suggest that, relative to body mass (BM), resting $\dot{V}O_2$ equals about $3.5 \text{ ml} \cdot \text{min}^{-1} \cdot \text{kg}^{-1}$ (one MET), but slightly lower values were reported by McCann and Adams (2002) (3.3 for men and 3.1 for women, respectively). As a compromise we chose $3.4 \text{ ml} \cdot \text{min}^{-1} \cdot \text{kg}^{-1}$ to represent the mass-specific resting $\dot{V}O_2$. Accordingly, $\dot{V}O_{2\text{rest}}$ ($\text{ml} \cdot \text{min}^{-1}$) may be expressed as $3.4 \text{ ml} \cdot \text{min}^{-1} \cdot \text{kg}^{-1}$ times BM in kg.

$$\dot{V}O_{2\max} = \left(\frac{HR_{\max}}{HR_{\text{rest}}} \right) \cdot \left(\frac{SV_{\max}}{SV_{\text{rest}}} \right) \cdot \left(\frac{(CaO_2 - C\bar{v}O_2)_{\max}}{(CaO_2 - C\bar{v}O_2)_{\text{rest}}} \right) \cdot BM \cdot 3.4 \text{ ml} \cdot \text{min}^{-1} \cdot \text{kg}^{-1} \quad (8)$$

From a test perspective only the HR_{\max} -to- HR_{rest} ratio is readily obtainable. The other two ratios in the equation involve complicated measurements, in fact

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more complicated than the measurement of $\dot{V}O_2$ itself. Equation 8 suggests, however, that if the max-to-rest ratios of SV and $(CaO_2 - C\bar{v}O_2)$ were approximately constant across individuals, $\dot{V}O_{2max}$ per kg BM may be estimated by experimentally determining the HR_{max} -to- HR_{rest} ratio, and multiplying this ratio with these constants and $3.4 \text{ ml} \cdot \text{min}^{-1} \cdot \text{kg}^{-1}$. Nottin et al. (2002) and Chapman et al. (1960) reported the average $SV_{max} \cdot SV_{rest}^{-1}$ to be 1.28 and 1.29, respectively, in men, when measured in the supine position. Thus, according to the studies mentioned it appears that $SV_{max} \cdot SV_{rest}^{-1}$ may be replaced by a dimensionless value of approximately 1.3.

The arterio-venous oxygen difference increases from rest to maximal exercise. Chapman et al. (1960) found

the average ratio between maximal and resting $(CaO_2 - C\bar{v}O_2)$ to be 3.4 in men. We therefore replaced $(CaO_2 - C\bar{v}O_2)_{max} \cdot (CaO_2 - C\bar{v}O_2)_{rest}^{-1}$ in Eq. 8 with 3.4. Altogether, data from the literature suggest that Eq. 8 may be simplified to the approximation:

$$\begin{aligned}\dot{V}O_{2max} &= (1.3 \cdot 3.4 \cdot 3.4 \text{ ml} \cdot \text{min}^{-1} \cdot \text{kg}^{-1}) \cdot \text{BM}(\text{kg}) \cdot \frac{HR_{max}}{HR_{rest}} \\ &= 15.0 \text{ ml} \cdot \text{min}^{-1} \cdot \text{kg}^{-1} \cdot \text{BM}(\text{kg}) \cdot \frac{HR_{max}}{HR_{rest}}, \text{ or}\end{aligned}\quad (9)$$

Mass - specific

$$\dot{V}O_{2max} = \left(15.0 \text{ ml} \cdot \text{min}^{-1} \cdot \text{kg}^{-1} \right) \frac{HR_{max}}{HR_{rest}} \quad (10)$$