Der Ophthalmologe

Originalien

Ophthalmologe 2021 · 118 (Suppl 2):S166–S175 https://doi.org/10.1007/s00347-021-01411-7 Accepted: 27 April 2021

Published online: 4 June 2021 © Springer Medizin Verlag GmbH, ein Teil von Springer Nature 2021



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Impact of the SARS-CoV-2 pandemic on ophthalmic care in Germany

Background

In the first months of 2020, the SARS-CoV-2 (severe acute respiratory syndrome coronavirus-2) pandemic led to massive restrictions in medical care worldwide and a drastic reduction in non-urgent outpatient and inpatient treatment [1-12]. Ophthalmology, a specialty with a high proportion of outpatient and planned surgical interventions for non-life-threatening indications, was particularly affected. The rapidly implemented conversion of hospitals in the early months of the pandemic to deal with the anticipated care of coronavirus disease 2019 (COVID-19) patients by providing the largest possible intensive care capacities, combined with considerable restrictions on access to hospitals and practices, greatly reduced and delayed patient admissions, the observance of hygiene and social-distancing rules and consequent longer waiting times, the ban on access to assistive accompanying persons, and, last but not least, the fear held by many patients of infection during a stay in a medical setting resulted in a clear decline in the number of patients in hospital outpatient departments and practices [1, 13, 14].

A recent paper on the crisis strategies implemented by hospitals during the pandemic reported that emergencies and urgent referrals were reduced to as low as 30% of the usual number of cases after the treatment of ophthalmic patients was largely limited to urgent care in March and April [1]. However, no reliable figures on case numbers or shifts in the treatment spectrum have been available to date. It has also not yet been possible to assess the different effects of the pandemic on the various areas of ophthalmology, the extent to which outpatient and inpatient care structures were affected, or whether there were changes in the treatment of emergencies. Similarly, data were not available on the question of which factors led to a reduction in ophthalmic care and whether these factors had different effects on the various types of ophthalmic facilities. The present article, which is based on a survey conducted by the commission for cross-sectoral ophthalmology (Kommission Sektorenübergreifende Augenheilkunde), a joint commission of the German Ophthalmological Society

The German version of this article can be found under https://doi.org/10.1007/s00347-020-01220-4

Table 1Number of participants in the survey on the impact of the SARS-CoV-2 pandemic byfederal state		
Baden-Württemberg	137	11.5%
Bavaria	191	16.1%
Berlin	53	4.5%
Brandenburg	34	2.9%
Bremen	14	1.2%
Hamburg	38	3.2%
Hesse	90	7.6%
Mecklenburg-Western Pomerania	23	1.9%
Lower Saxony	99	8.3%
North Rhine-Westphalia	263	22.1%
Rhineland-Palatinate	54	4.5%
Saarland	17	1.4%
Saxony	62	5.2%
Saxony-Anhalt	33	2.8%
Schleswig-Holstein	46	3.9%
Thuringia	25	2.1%
Not specified	11	0.9%

(DOG) and the Professional Association of German Ophthalmologists (BVA), is intended to provide the most comprehensive overview possible of the effects of the SARS-CoV-2 pandemic on ophthalmic care in Germany.

Materials and methods

The questions were distributed by means of an online survey conducted by the DOG of all 7291 working members of the DOG and BVA, totaling countrywide 7866 ophthalmologists employed predominantly in the outpatient sector, as well as in 103 university or municipal hospital departments of ophthalmology.

Questions and answers were previously agreed upon by the members of the commission on cross-sectoral ophthalmology, as well as the DOG and BVA. The survey was conducted anonymously. All respondents were asked to provide one response only from each eye center.

The options for specifying the type of facility included the differentiation into "maximum care provider," "surgical/ conservative care," or "conservative care," as well as "clinic" (i.e., hospital departments with inpatient beds included in the state bed plan), "medical care center (MCC)/group practice," "inpatient ward," and "private practice." Respondents were also able to indicate "other." Questions on the restriction of ophthalmic care during the pandemic were related to the period from March 15 to April 15, 2020. To this end, participants were asked to what extent it was still possible to maintain outpatient or consulting hours, whereby it was possible to distinguish between "unrestricted," "reduced care," and "emergency care only," as well as "reduced intensity of treatment per case." The latter response option was intended to record qualitative restrictions in addition to quantitative restrictions on care, e.g., in cases where only a telephone consultation was possible.

In order to measure the reduction in conservative care compared to normal activity, information was requested on the percentage reduction or the number of cases compared to the period from January 15 to February 15, 2020. For information on restrictions in outpatient and inpatient surgeries, it was possible to specify the categories "unrestricted," "reduced care," and "emergency care only." In addition, information on the percentage reduction or the reduction in the number of cases compared to the period January 15 to February 15, 2020, was requested.

In order to record changes in the treatment of emergencies during the pandemic, case numbers for specific disorders during the period from March 15 to April 15, 2020, compared to the period from January 15 to February 15, 2020, were surveyed. These included the following diagnoses: retinal detachment, endophthalmitis, perforating eyeball injury, acute glaucoma, central retinal artery occlusion (CRAO), and anterior ischemic optic neuropathy (AION). Case numbers for keratoplasty and amniotic membrane transplantation were also surveyed.

The following response options were available to state the reasons that had led to a reduction in care during this period: legal, institutional, or professional policy requirements; operational/ physical restrictions; avoidance of risk to own health (e.g., due to a lack of protective equipment); or appointment cancellations by patients. In addition, questions were asked about staff absences due to SARS-CoV-2 infections or quarantine measures. Participants from institutions with inpatient beds were also asked to indicate the extent to which there had been a reduction in the number of beds and the deployment of personnel to other departments. Quantitative information was provided by the participating institution and expressed as percentages or absolute numbers. In the absence of percentages, absolute figures were converted into percentages in order to perform an overall comparison. Where numerical values were required for the analysis of specific questions, only answers with complete datasets were considered.

Results

A total of 1190 completed questionnaires were returned. The numbers of participants from the individual federal states are shown in **Table 1**. Quantitative information was generally provided as percentage values. A total of 205 complete datasets were used for subgroup analyses of questions for which absolute numerical values were required.

Regarding the type of facility, 148 (12.4%) reported being a maximum care provider, 485 (40.8%) provided surgical and conservative care, and 556 (46.7%) conservative care. In one case (0.1%), no information was given in this regard. A total of 149 (12.5%) of the participants

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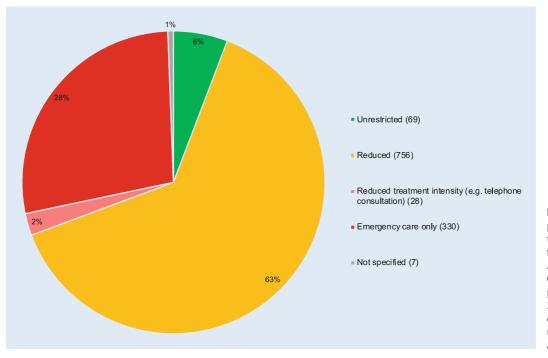


Fig. 1 ◀ Outpatient and practice-based consultations of all participants from March 15, 2020 to April 15, 2020 (*n* = 1190). Of a total of 91.0%, 63.0% provided reduced care and 28.0% emergency care only. Only 8.0% stated that unrestricted care had been available

contacted gave the designation clinic, 493 (41.4%) stated that they were run as an MCC or group practice, 16 (1.3%) as an external physician with inpatient beds, 509 (42.8%) as a private practice, and 21 (1.8%) as "other" (including, e.g., eye bank, Medical Specialist Center of the German Armed Forces, nursing home care, etc.).

Outpatient and consultation activities

Of a total of 1190 participants surveyed, 69 (5.8%) stated that they had carried out their outpatient clinic or consultation activities without restriction in the period from March 15 to April 15, 2020. A total of 756 (63.5%) participants responded that they had maintained reduced care during this period, while 330 (27.7%) had maintained emergency care only; 28 (2.4%) of the respondents reported a reduction in the intensity of treatment per case, e.g., by telephone consultations; in seven cases (0.6%), this question was not answered (**D** Fig. 1).

The extent to which conservative activity was restricted in relation to the type of institution is shown in **Fig. 2** (n = 1008). This shows that conservative care of patients was reduced or limited to the treatment of emergencies in 89.0-98.0% of cases, regardless of the type of institution. Unrestricted outpatient or practice-based consultations was provided in 2.0% (clinics) to 9.0% (private practices providing conservative care) of all institutions. Significant differences were found in the restrictions to the emergency-only care of patients requiring conservative treatment, which was the case in 49.0% of clinics, only 8.0% of inpatient wards, 20.0-32.0% of surgical MCCs and group practices, and 26.0-29.0% of individual practices. Overall, based on an intact intersectoral cooperation, regular ophthalmic care for all cases requiring medical attention was maintained throughout the SARS-CoV-2 pandemic. Primary outpatient care was continuously provided by ophthalmic practices, and emergency care requiring conservative or surgical inpatient treatment was always ensured.

Surgical activity

A total of 467 datasets on surgical activities were evaluated, 85 of which were for individual practices, 257 for MCCs or group practices, 12 for inpatient wards, 105 for clinics, and eight for other facilities. On average, a reduction of 63.4% was reported for surgical activity, with inpatient wards reporting the highest at 67.0%, and MCCs and joint practices reporting on average the lowest value at 61.9%. The extent of the reduction in surgical capacity is shown in **Fig. 3**. In 60.0% of all cases, there was a reduction in surgical capacity of 60.0% to 100.0%. Only 6.0% reported a reduction of less than 20.0%. • Fig. 4 shows the extent of restriction in outpatient and inpatient surgical activities in relation to the type of facility. According to this, the performance of inpatient procedures during the pandemic was limited to emergency care only in 75.0% of inpatient wards and in 71.0% of clinics. Furthermore, in 68.0% of clinics and in 42.0% of inpatient wards, outpatient surgery was also restricted to emergency surgery, while this was the case in 45% of surgical MCCs and group practices and 0% in private surgical practices. Unrestricted surgical care was exclusively provided on an outpatient basis and limited to 8% of private practices, 6% of MCCs and group practices, and 8% of inpatient wards.

An analysis of available complete datasets (n = 205) with case number values for surgical activities for the period from March 15, 2020, to April 15, 2020, compared to the period from January 15, 2020, to February 15, 2020, revealed that the relative number of reduced surgeries in relation to the size of the institution

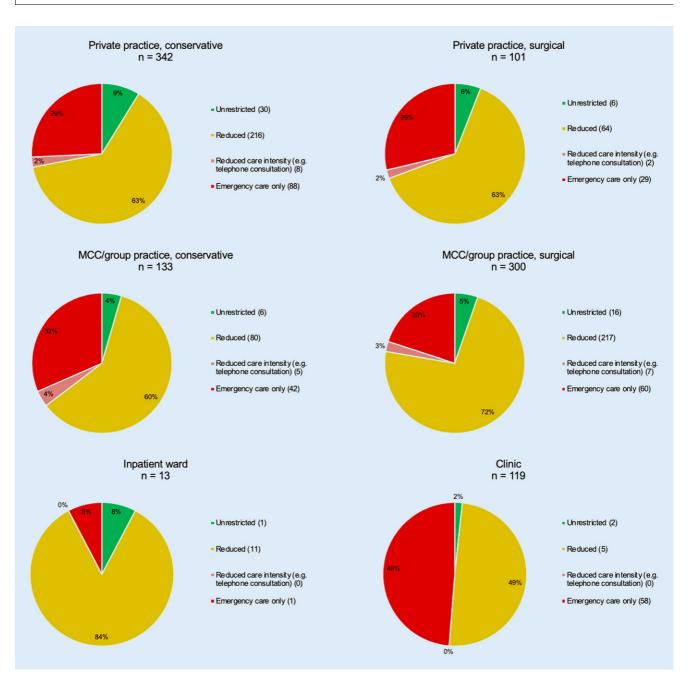


Fig. 2 \blacktriangle Extent of restriction of conservative patients care in relation to the type of institution (n = 1008). Regardless of the type of facility, 89.0–98.0% offered reduced or limited emergency care. The latter, however, showed considerable variability with a share of 49.0% in clinics, 20–32% in surgical and conservative medical care centers (MCC), 26–29% in conservative or surgical private practices, and 8.0% in inpatient wards

was highest in clinics, followed by inpatient wards, surgical MCCs and group practices, as well as private surgical practices (**Fig. 5**). The relative decrease in the number of surgeries was 60.0% on average, with a minimum of 50.1% (inpatient wards) and a maximum of 62.1% (MCCs and group practices).

Emergencies and urgent procedures

An analysis of the case numbers for retinal detachments, endophthalmitis, perforating eyeball injuries, acute glaucoma, CRAO and AION, as well as procedure numbers for penetrating keratoplasty and amniotic membrane transplantation from January 15 to February 15, 2020, and March 15 to April 15, 2020, with a total number of 2526 vs. 3804 cases, showed a significant decrease of 34.0% in the number of treated emergencies and urgent indications.

A comparison with regard to the type of disorder or indication is shown in **Fig. 6.** A decrease in the number of emergencies was observed for the diagnoses retinal detachment (748 vs. 1148)

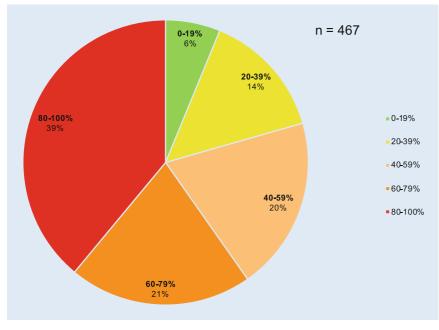


Fig. 3 \blacktriangle Distribution of the extent of the reduction in surgical capacity (n = 467). In a total of 60.0% of cases, there was a reduction in surgical capacity from 60.0% to 100%. Only 6.0% reported a reduction of less than 20.0%

at -34.9%, perforating eyeball injuries (51 vs. 55) at -7.3%, acute glaucoma (198 vs. 242) at -17.8%, CRAO and AION (256 vs. 371) at -31.0%, and "others" (1027 vs. 1487) at a reduced number of -31.0%. The number of cases for penetrating keratoplasty and amniotic membrane transplantation (180 vs. 440) also decreased significantly at -59.1%, whereas an increase of +8.2% (66 vs. 61) was recorded for the diagnosis of endophthalmitis.

Reduction in inpatient ward capacities

Overall, 77.3% of all facilities with inpatient wards were affected by a loss of capacities, either by a reduction in the number of beds (35.2%) or ward closures (42.1%). Capacity reductions affected clinics (80.0%) more often than inpatient wards (73.0%), but these consisted more often of ward closures in inpatient wards (50.0%) than in clinics (37.0%; **Fig. 7**). Departments affected by reductions of inpatient capacities or ward closures gave up 78.5% of their beds on average. Beds in inpatient wards were reduced on average by 88.3%, and in clinics by 72.6%.

Staff shortages and reasons for restrictions on care

A total of 76.0% of survey participants stated that legal, institutional, or professional policy requirements had led to restrictions on ophthalmic care. Operational or physical restrictions were mentioned in 15.5%, "avoidance of risk to own health" was cited as the most frequent reason in 39.0%, and appointment cancellations by patients in 84.0% (**Fig. 8**). A total of 25.0% of respondents stated that they had experienced staff shortages due to SARS-CoV-2 infections or quarantine measures. Participants at clinics with inpatient beds also reported having reassigned specialists (2.9%), assistant physicians (6.3%), nursing staff (5.9%), and medical assistants (5.6%) to other departments.

Discussion

The results of the survey conducted by the commission for cross-sectoral ophthalmology of the German Ophthalmological Society (DOG) and the Professional Association of German Ophthalmologists (BVA) provide the first overall impression of the occasionally dramatic changes in ophthalmic care in Germany during the first weeks of the SARS-CoV-2 pandemic. This resulted in considerable restrictions on the performance of elective treatments and procedures worldwide and across disciplines due to the conversion of hospitals to deal with the expected care of COVID-19 patients and the provision of the largest possible intensive care bed capacities, as well as the implementation of social distancing and hygiene rules [1–12].

The present results of an evaluation of a total of 1190 participants show that all sectors of ophthalmology, both outpatient and inpatient care, were affected in this historically unprecedented and exceptional medical situation, with only few exceptions. Thus, only 5.8% of respondents stated that they had carried out their outpatient or consultation activities without restrictions in the period from March 15 to April 15, 2020. In contrast, 63.5% of participants responded that they had maintained reduced treatment during this period, and 27.5% emergency treatment only. The treatment of patients apparently continued to be provided in an unrestricted manner, since only 2.4% indicated a reduced intensity of treatment, e.g., by telephone consultations only [15, 16]. As a result, practices were permanently available for treatment rather than being closed. Regardless of the type of medical institution, conservative treatment of patients was reduced in 89.0 to 98.0% of cases due to the pandemic-related "lockdown" or limited to the treatment of emergencies, i.e., regardless of whether it was a private outpatient practice or an inpatient clinic. In contrast, only 2.0 to 9.0% provided unrestricted outpatient or consultation hours.

Overall, ophthalmic care providers have contributed their share to overcoming the pandemic-related crisis in Germany and yet, at the same time, have been able to maintain care focused on emergency and necessary treatments within the shortest possible time. Thus, the trend in ophthalmic care in the first weeks of the pandemic largely reflects the development of care strategies in other disciplines [4–12]. However, the current data also demonstrate that one focus of the measures taken to overcome

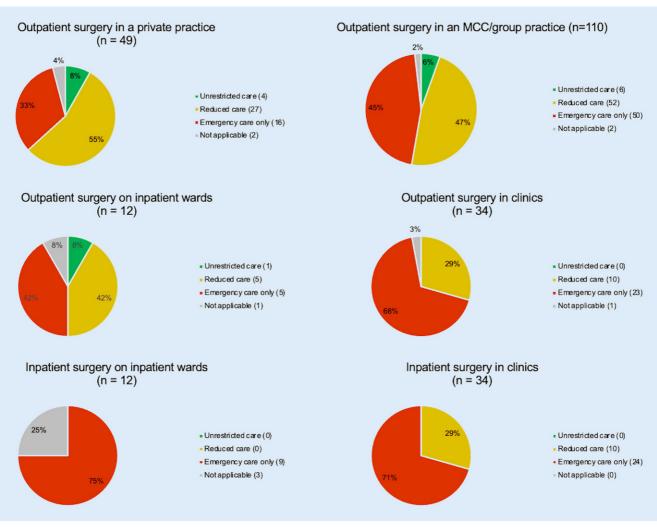


Fig. 4 A Restriction of surgical activities related to the type of facility. The performance of inpatient procedures was limited to emergency care only in 75.0% of inpatient wards and in 71.0% of clinics, as was outpatient surgery in 68.0% of clinics and in 42.0% of inpatient wards, while this proportion was 45.0% in surgical medical care centers (*MCCs*) and group practices and 33.0% in private surgical practices

the crisis was to provide inpatient bed and treatment capacities. In particular, inpatient procedures during the pandemic were largely limited to emergency care. This was the case in 75.0% of inpatient wards and 71.0% of clinics. In addition, 68.0% of clinics and 42.0% of inpatient wards provided outpatient surgery exclusively for the surgical treatment of emergencies, whereas this was the case in only 33.0% of private surgical practices.

This difference can be explained by the fact that most hospitals have a very consistent strategy for responding to the challenges of the COVID-19 pandemic by focusing exclusively on emergency and urgent care, thereby ensuring a continuous capacity of intensive care and monitoring beds required for the treatment of COVID 19 patients [1-3, 8-10]. In particular, inpatient surgery became a scarce resource, which is also reflected in the reduction in bed capacity. A total of 77.3% of all facilities with inpatient beds were affected by a reduction in the number of beds or ward closures, characterized by an average loss of 78.5% of their beds. This effect was further exacerbated by the deployment of ophthalmic personnel to infectious disease outpatient clinics set up in many places at the beginning of the pandemic to maintain COVID-19 treatment capacity, as well as the frequent practice of splitting medical teams in hospitals with inpatient beds to ensure

a reserve of personnel. In addition, inpatient clinics also reported transferring 2.8% of their specialists, 6.3% of their residents, 5.9% of their nurses, and 5.6% of their medical assistants to other specialty areas.

A comparison of the case numbers for penetrating keratoplasty and amniotic membrane transplantation for the periods from January 15 to February 15, 2020, and March 15 to April 15, 2020 [1], shows that the considerable reduction of inpatient capacities in ophthalmology also had an effect on the performance of procedures that were classified as urgent according to the crisis plan for basic, non-elective ophthalmic care. Accordingly, there was a significant decrease of

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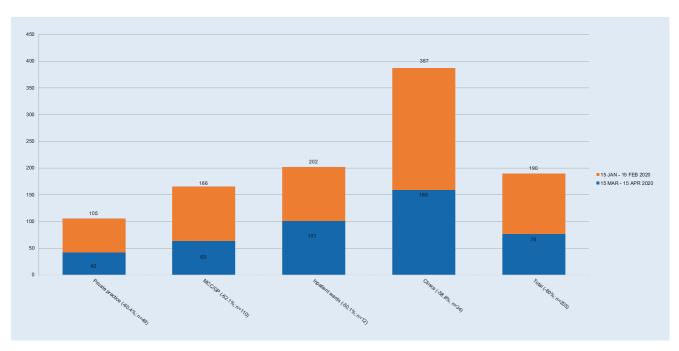


Fig. 5 A Trend in the number of surgeries in the period from March 15, 2020, to April 15, 2020, compared to January 15, 2020, to February 15, 2020 (n = 205). While the absolute number of reduced surgeries in relation to the type of facility was highest in clinics, the relative share was 58.8%, which corresponds to an average for all facilities of 60.0%. *MCC* medical care center, *GP* group practices

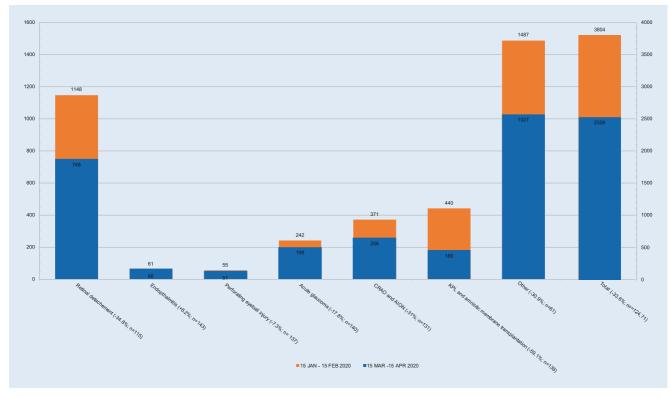


Fig. 6 ▲ Comparison of the case numbers for emergencies and urgent procedures between January 15 to February 15, 2020, and March 15 to April 15, 2020. With a total number of 2526 compared to 3804 cases, there was a significant decrease of -34.0%. The largest decreases were for retinal detachment (748 vs. 1148) at -34.8%, central retinal artery occlusion (*CRAO*) and anterior ischemic optic neuropathy (*AION*; 256 vs. 371) at -31.0%. There was also a significant decrease in the number of cases of penetrating keratoplasty (*KPL*) and amniotic membrane transplantation (180 vs. 440) at -59.1%. The only increase was reported for endophthalmitis at +8.2%

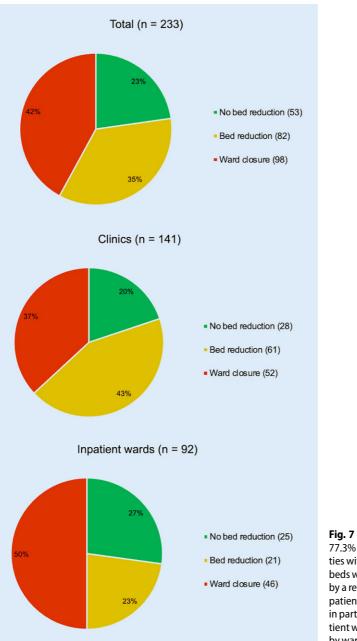


Fig. 7 ◀ A total of 77.3% of all facilities with inpatient beds were affected by a reduction of inpatient capacities, in particular inpatient wards (50.0%) by ward closures

-59.1% in the number of interventions for these indications during the initial phase of the pandemic.

A comparison of case numbers for the emergency diagnoses retinal detachment, endophthalmitis, perforating eyeball injury, acute glaucoma, central artery occlusion and anterior ischemic optic neuropathy in the period from March 15 to April 15, 2020, vs. January 15 to February 15, 2020, also showed a significant decrease of 34.0%. Particularly striking are the significantly lower treatment numbers for retinal detachment (-34.8%) and CRAO and AION (-31.0%), as well as acute glaucoma (-17.8%), although the main focus of care at most facilities was on emergency cases. "Other" (-30.9%) was also reported less frequently, indicating a general decline in both outpatient and inpatient sectors of ophthalmology. However, this observation also reflects a general trend toward a "downsizing" of medical care and a reduced number of consultation hours. For example, 76.0% of survey participants stated that legal, institutional, or professional policy requirements had led to a reduction in ophthalmic care. On the other hand, based on the current data, one cannot rule out that there was a change in patient flow during the pandemic, as the survey only covered a proportion of all ophthalmic facilities in Germany. Therefore, a direct comparison must be interpreted with caution. However, since retinal detachment is a disease that is not necessarily immediately noticed by affected patients, often being detected only by fundoscopy, one can assume that in some cases during the initial phase of the pandemic, patients failed to present or presented late to the ophthalmologist due to the absence of symptoms.

Similar trends during the pandemic have also been reported in other medical disciplines. For example, numerous publications now indicate that the number of cardiovascular emergencies such as myocardial infarction or stroke decreased during the pandemic, in some cases significantly [17-20]. It is assumed that this is not only due to delayed diagnosis due to limited treatment services, but possibly also to a protective effect of lifestyle changes (stress reduction through home office, avoidance of business trips, etc.) [20]. Thus, secondary effects of the pandemic may also have contributed to a reduction in the number of cases of CRAO and AION, for example.

Another reason for the decrease in the number of emergencies observed across disciplines during the initial phase of the pandemic is assumed to be patients' avoidance behavior due to the fear of infection ("coronavirus angst") in medical facilities [13, 14]. Indeed, 84.0% of survey participants stated that appointment cancellations by patients had led to a reduction in ophthalmic care, and as many as 39.0% reported avoidance of risk to own health as a reason. In fact, 25.0% of survey participants stated that they had experienced staff shortages due to SARS-CoV-2 infections or quarantine measures. In the meantime, however, the risks of potential infection have been minimized by the knowledge gained during the first months of the pandemic and the widespread introduction of additional hygiene measures (face masks, plastic shields on slit lamps) [1, 21-36].

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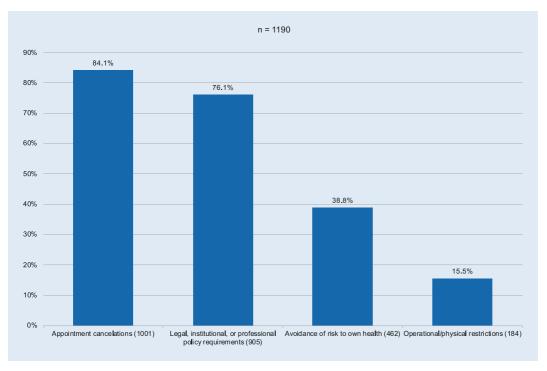


Fig. 8 ◄ Reasons for restricting treatment. Most frequently, legal, institutional, or professional policy requirements were cited as reasons for restrictions on ophthalmic care

Contrary to the trend in the aforementioned diagnoses, only a slight decrease was recorded for perforating eyeball injuries at -7.3% and an increase for endophthalmitis at +8.2%. While the incidence of perforating eyeball injuries can be explained by the general "lockdown," and both rare events by the range of fluctuation within the short observation periods, the consistently slightly increased number of endophthalmitis cases possibly also corresponds to the generally maintained surgical treatment and simultaneously increased severity of cases. At most facilities, the continuation of intravitreal injections, which are bound to a fixed treatment plan, was still possible to a partially reduced extent while complying with hygiene measures [1, 37]. However, there is a possible association between endophthalmitis risk and changes in behavior, e.g., excessive wearing of face masks by patients, which could have directly or indirectly led to an increased exposure to nasopharyngeal bacteria [38].

Conclusion

The analysis of the present survey conducted by the German commission for cross-sectoral ophthalmology, a joint commission of the DOG and the BVA, provides the first comprehensive overview of the effects of the SARS-CoV-2 pandemic on ophthalmic care in Germany. According to this survey, there was a massive reduction in non-urgent outpatient and inpatient as well as conservative and surgical ophthalmic care during the initial phase of the pandemic from March 15 to April 15, 2020, which affected all areas of ophthalmology.

Although the general medical "lockdown" focused on provisioning inpatient and intensive care capacities, which in particular reduced the provision of inpatient treatment exclusively to emergency care, the implementation of measures to maintain ophthalmic patient care was characterized by a considerable crosssectoral solidarity.

During the initial phase of the pandemic, emergency care and the treatment of urgent cases were maintained despite extensive restrictions imposed by legal, institutional, or professional policies, as well as the implementation of the necessary hygiene measures. At any time during this phase of the pandemic, primary outpatient care and continuous inpatient care were ensured by the facilities that remained open in both sectors.

However, similar to reports from other disciplines, a significant decrease in case

numbers was observed, which can be explained in part by the generally reduced scope of medical care, as well as by secondary effects of the pandemic. The current data demonstrate not only the importance of ophthalmic care, but also its adaptability and significance during the crisis, from which ophthalmology will emerge stronger for future challenges.

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Declarations

Conflict of interest. L.-O. Hattenbach, P. Heinz, N. Feltgen, H. Hoerauf, T. Kohnen, S. Priglinger, W. Bachmann, J. Rieks, N. Eter, and T. Reinhard declare that they have no competing interests.

For this paper the authors did not perform any studies in humans or animals. The ethical guidelines for the studies listed apply to the respective studies.

The supplement containing this article is not sponsored by industry.

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