

# Beta cells under attack: toward a better understanding of type 1 diabetes immunopathology

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The fact that type 1 diabetes (T1D) is caused by an aberrant autoimmune response against beta cells has been known for almost half a century. Today, several decades after the first report on immune cells invading the pancreatic islets from recently diagnosed children [1], our knowledge on the disease's immunopathology has dramatically improved. Yet, despite extensive research, diagnosed individuals are still condemned to a lifetime of meticulous glucose monitoring and insulin replacement therapy. A more detailed understanding of disease mechanisms is required in order to efficiently curb islet autoimmunity and ultimately design appropriate cures. Islet transplantation may serve as an example as the majority of recipients develop recurrent islet autoimmunity, indicating that simply replenishing beta cell mass is not a feasible option unless the underlying immune response is tackled [2, 3]. The aim of this special issue is to review some of the immunopathologic features that make T1D such a complex autoimmune condition. This opening chapter will provide an introduction to the topics covered by some leading specialists.

## Histological studies

The pancreas is a very difficult organ to study, for obvious reasons. It is a diffuse organ roughly located

between spleen and stomach, deep inside the abdominal cavity. The islets of Langerhans are found scattered throughout the exocrine portion of the pancreas, with the latter producing large amounts of digestive enzymes. Obtaining biopsy samples under these conditions is considered extremely risky and is generally avoided for research purposes. There have been some Japanese studies showing that the procedure can be performed safely, but the approach yields only very small samples [4, 5], which brings us to another caveat in studying T1D, i.e., its patchy, lobular distribution, a hallmark that's been acknowledged since the early studies by the late Willy Gepts in the 1960s and decades later by Foulis and coworkers [6].

Our methodological options in studying immune responses at the islet site are thus extremely limited. Histological studies have traditionally applied immunohistochemical or immunofluorescent detection procedures and valuable insights were obtained particularly with samples from recently diagnosed individuals. Important contributions were made by Foulis and coworkers in the 1980s, all of which were based on a patient cohort consisting of recently diagnosed children [6]. Sarah Richardson has recently revisited the immunopathology of the same samples using modern antibodies and she will elaborate on this analysis in a later chapter [7]. Based on the early detection of autoantibodies in prediabetic individuals, we can reasonably assume that clinical diabetes onset represents the outcome of several years of ongoing islet autoimmunity. Results by In 't Veld and colleagues were surprising at first sight, finding insulinitis in only two out of 62 nondiabetic autoantibody-positive organ donors, in less than 10% of the islets [8]. These two patients with insulinitis, however, displayed positivity for three autoantibodies which is strongly predictive of T1D development. The lack of insulinitis in the other cases

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may be indicative of the subtle nature of the diabetogenic process, or may reflect a relapsing–remitting course [9]. It is conceivable that disease mechanisms and progression patterns will be heterogeneous among different type 1 diabetic patients.

The advent of coordinated procurement programs such as the network for Pancreatic Organs Donors (nPOD) are anticipated to challenge many of the conclusions drawn from old samples. The first results originating from nPOD samples were reported by Gianani and coworkers and revealed some intriguing characteristics of longstanding T1D, including upregulation of stress markers such as human leukocyte antigen (HLA) class I and survivin [10]. A particularly hopeful message comes from pancreata obtained from “Joslin Medalists”, individuals who have a documented 50-year history of T1D. In all nine cadaveric cases that were examined, insulin-producing beta cells were demonstrated and approximately 70% of living medalists exhibited remaining C-peptide levels [11]. It can be concluded that beta cells may possess stronger survival or recovery mechanisms than previously assumed. Just like most other tissues, beta cells do have regenerative capacity and respond to inflammatory conditions with vigorous proliferation [12, 13].

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Some outstanding questions pertaining to T1D immunopathology

-A better understanding of early events in islets from prediabetic, at-risk individuals

-More systematic histology data in recent-onset pancreata: CD4/CD8 T cell subsets, B cell/macrophage involvement, beta cell proliferation, and apoptosis rate

-What are the mechanisms of beta cell death in humans?

-How do we noninvasively quantify beta cell mass?

-What do insulinitic T cells see?

-Are T1D-associated T cell specificities found in the blood functionally relevant?

-Where do Treg primarily exert their immunoregulatory action?

-The role of viral infections: when can which strain(s) be detected and where?

-Immunological characterization of the “honeymoon” phase as an ideal therapeutic window

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A major technical hurdle has been the dynamic, noninvasive follow-up of beta cell mass fluctuations at

various stages of the disease and during experimental treatment through imaging. PET-based applications were successfully applied in animal models, but are still not available as a standardized procedure in the clinic [14]. At present, C-peptide measurement remains the standard, but its accuracy as a surrogate for true beta cell mass is doubtful. The transient honeymoon phase, a recovery period experienced by most diagnosed individuals, illustrates how C-peptide readouts may be misleading. This temporary window of normalization is thought to be the consequence of regained insulin production by nonfunctional beta cells rather than short-term expansion of total beta cell mass (see below).

### Diabetogenic T cells

Whereas a pivotal role has been ascribed to other immune cell subsets (discussed in a subsequent chapter by Francesco Dotta), T cells remain prime suspects with regard to the infliction of beta cell damage. Notable progress has been made in the discovery of disease-associated T cell epitopes, and we now have a rapidly developing idea of what these T cells are “seeing”. The notion that many of the targeted molecules are not specific to the beta cell (e.g., glutamic acid decarboxylase) suggests stringently localized presentation and regulatory mechanisms or, alternatively, the occurrence of intermolecular epitope spreading. In the non-obese diabetic (NOD) mouse, it was shown that up to 60% of CD8 T cells obtained from diabetic islets recognize either insulin, islet-specific glucose-6-phosphatase catalytic subunit-related protein, or dystrophin myotonia kinase [15]. Such data favor the idea that we have identified the majority of key T cell antigenic determinants, at least in NOD mice. However, these answers immediately bring up important new questions with regard to the functional relevance of these specificities in driving the disease. George Eisenbarth and colleagues have made a strong case for insulin as the initiating antigen in the NOD mouse [16] which would imply a secondary role for all other diabetogenic T cells in subsequent stages. The cardinal limitation of the available dataset is undoubtedly the fact that tissue sampling from T1D subjects is currently largely restricted to peripheral blood. As a consequence, our hypotheses are usually built on the premise that the T cell population found in the blood at least to some extent mirrors the one that infiltrates the islets. There is evidence from the NOD mouse showing that there is indeed a considerable degree of correspondence [17, 18], but profound fluctuations in time are noticeable and confirmation in humans is still lacking. Likewise, autoantibodies

remain a very superficial parameter in characterizing events at the islet site. Whereas their combined detection profile enables us to predict disease development with high specificity and sensitivity, autoantibodies should still be regarded as “smoke” originating from distant “fire”, i. e., insulinitis in the pancreas. Mark Atkinson’s contribution here is appropriately titled “The pancreas in T1D” and focuses on the events in the target organ.

Provided that the appropriate technical approach is employed, tracking T cell responses in peripheral blood can be a reliable T1D-associated parameter [19]. The recent development of combinatorial major histocompatibility complex (MHC) class I multimers to simultaneously detect multiple islet-specific CD8 T cells in small sample volumes should add to the established array of protocols [20]. Using conventional HLA class I tetramers, Velthuis and colleagues were able to detect islet-reactive specificities in an inflamed pancreas allograft of a T1D patient, be it in much higher frequencies than in the blood [21]. Such evidence indicates that any T cell measurement in the blood, both in terms of frequencies and functionality, likely represents only the tip of the iceberg. Nevertheless, such analysis may suffice for the continuous monitoring of disease status, efficacy of beta cell replacement, or follow-up during immunomodulatory therapies.

### **Regulatory T cells and how to therapeutically exploit them**

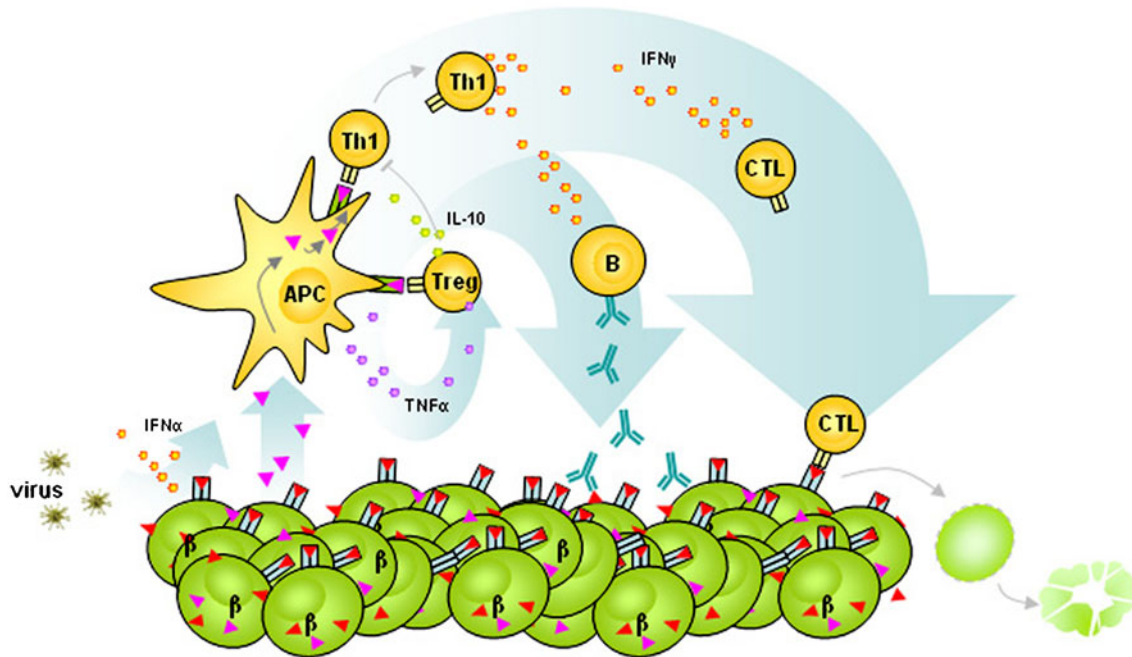
In healthy individuals, potentially diabetogenic T cells are thought to be kept in check by the immunoregulatory action of regulatory T cells (Treg). Recent experimental immune interventions aimed at expanding this cellular compartment have shown promise in mouse models and humans, but few data exist on their role during the natural course of T1D development. There appears to be no consistent difference in the frequency of CD4+CD25+ T cells in T1D patients as compared to control subjects [22, 23], and Treg were a very rare finding in the pancreas sections studied histologically by Willcox et al. [7], as far as phenotypic studies can tell, given the lack of distinct Treg markers in humans. However, naturally occurring Tregs isolated from T1D patients do show inferior suppression, suggesting that future research should characterize these cells from a functional rather than quantitative perspective. More recent work claims that effector T cells of type 1 diabetic patients seem resistant to immune regulation by nTregs, adding to the immune imbalance in favor of autoimmune beta cell destruction [24]. Whether differences between islet antigen-specific Tregs [25] can

distinguish healthy and type 1 diabetic patients remains to be determined.

A therapeutic option that was recently explored consists of repeated low-dose IL-2 administrations as a means to specifically expand the pancreatic Treg population [26]. Previous work had identified the relative IL-2 deficiency in the NOD mouse pancreas as a cause for intra-islet Treg cell dysfunction [27]. The same group also demonstrated that Treg exhibit extraordinary flexibility in their transcriptional profile and functional phenotype and can convert into effector T cells under conditions of inflammation [28]. When it turns out that immune therapies such as IL-2 predominantly act by expanding or recruiting local Treg to the islets, it will be essential to ascertain that functional stability is sufficient so as to avoid later conversion should autoimmunity recur.

In conclusion, most of what we know about Treg and, in particular, their numbers and functionality in the pancreas is derived from animal models at this point and largely limited to naturally occurring Tregs rather than antigen-specific suppressor T cells. An ideal, albeit complicated approach to assess the presence, phenotypes, and functionality of the islet-infiltrating population would be to sample pancreas tissue from prediabetic or recent-onset cadaveric donors followed by flow cytometric analysis. In parallel, immunohistochemistry studies for Foxp3 as performed by Willcox et al. should be expanded to complete the picture.

Immunopathogenesis of type 1 diabetes—This cartoon provides an overview of the disease mechanisms that are thought to be involved at various stages of T1D development. Whereas the etiology is still unresolved, it is thought that an environmental trigger, such as viral infection, is required for susceptible individuals to develop disease. The beta cells, in response, produce inflammatory mediators such as interferon-alpha and upregulate their surface expression of MHC class I. Under such conditions of stress, beta cell antigens are released and taken up by antigen-presenting cells (APCs). The APCs migrate to the pancreatic draining lymph nodes and cross-present beta cell autoantigens to both Th1 cells and regulatory T cells (Treg), with a critical role for TNF-alpha in generation of Treg. In normal individuals, Treg inhibit the action of Th1 cells via cytokines such as IL-10. In susceptible individuals, however, a detrimental Th1 response and interferon (IFN)-gamma production is initiated, leading to B cell activation and autoantibody production. Together with direct recognition and killing of beta cells by cytotoxic T lymphocytes (CTL), these effector mechanisms ultimately lead to beta cell death and insulin insufficiency.



### The honeymoon phase—therapeutic window par excellence

A sizeable impediment to efficient treatment is that at the time of clinical T1D manifestation, patients have lost somewhere between 60% and 90% of their functional beta cell mass. Studies in the NOD mouse, however, have found a substantial pool of nonfunctional, insulin-depleted beta cells at the onset of hyperglycemia [12]. This notion underscores our current limitation to study beta function, which may be a rather poor surrogate of actual beta cell mass. Depending on the quantity of dysfunctional yet live beta cells, one could therapeutically target these degranulated beta cells to regain insulin secretion, which may constitute a more achievable short-term goal as compared to beta cell regeneration. Indeed, however controversial it may be, the nonmyeloablative intervention therapy assessed in Brazil leading to extended insulin independency in a large proportion of recent-onset type 1 diabetic patients suggests that aggressive immune intervention combined with beta cell recovery may offer lasting remission in clinical type 1 diabetes [29]. Another interesting window of opportunity in this respect is the transient remission of some degree after initiation of insulin treatment in up to 60% of T1D patients, a period termed the “honeymoon phase”. During this phase, which often lasts 3–6 months but may continue for 2 years, insulin doses can be greatly reduced or even withdrawn completely. The mechanisms governing improved beta cell function are poorly understood, but it is thought that the constant hyperglycemic stimulus exhausts beta cells. Initiation of insulin treatment relieves this stress factor

which in turn allows dysfunctional beta cells to temporarily replenish their insulin content. Our group has studied the remission phase from an immunological perspective in a small cohort of patients, focusing on antigen-specific cytokine responses from T cells in the peripheral blood. Surprisingly, we found lower FoxP3 levels in CD4+CD25+ Tregs and lower numbers of IL-10-producing cells in remission patients versus new-onset cases [30]. In a limited cross-sectional prospective study, we found that higher FoxP3 expression at diagnosis predicted worse glycemic control while higher mean numbers of IL-10 cells were associated with better future glucose control. Another follow-up study in a large cohort of pediatric new-onset type 1 diabetes patients showed evidence for associations of adiponectin, interleukin (IL)-1ra, inducible protein 10, IL-6, and number of islet autoantibodies with progression patterns of type 1 diabetes the first year after diagnosis [31]. These data suggest that there may be an immunological component underlying the honeymoon phase and serve as an argument for curbing autoimmunity by antigen-specific immunomodulation soon after diagnosis. Yet, it also underscores the current lack of knowledge of disease progression after diagnosis and the lack of biomarkers thereof [32].

### The environment—a viral cause for T1D?

While we are gaining ground in discovering the islet antigens that are recognized and characterizing the T cells that recognize them, much less is known about what triggers their activation. Genetic susceptibility is certainly critical in most

scenarios, with a virtually endless list of putative susceptibility genes and combinations thereof within the immune activation pathway. The majority of genetic predisposition lies in the MHC region, but the list of other predisposing polymorphisms reads like a “who’s who” in the immune cell activation field. The emerging thought is that the need for an environmental T1D trigger depends on the level of genetic predisposition, both of which interact at a continuous threshold. For instance, patients with mutations in the Treg master transcriptional regulator *Foxp3* are at the far end of the spectrum and will develop T1D regardless of environmental impact. At the opposite side, one could envision the recently characterized Japanese subset of autoantibody-negative, “fulminant diabetes”, where most islets seem to be infected by enterovirus [33]. Most T1D cases are situated in between both extremes and would benefit from the detailed characterization of infectious agents that can potentially elicit islet autoimmunity.

Among the many viruses that have been implicated in T1D etiology, enteroviruses and in particular coxsackieviruses have received the majority of attention. Righteously so, since numerous studies have found an increased frequency of enteroviral infection around T1D onset and animal data clearly indicate that these viruses can both prevent or accelerate disease [34]. A substantial body of evidence has come from the Finnish population, which harbors the world’s highest number of T1D patients. Finnish investigator Heikki Hyoty will discuss arguments favoring the involvement of virus and will speculate on how this may interfere with a T1D-susceptible immune system. The T1D incidence in Finland has been documented as the world’s highest, but was recently found to be increasing even more rapidly than before [35]. Since genetic constitution of the population cannot be responsible for any increases in such a narrow time span, these data suggest that something in the environment is gradually changing. In combination with the long-known seasonal onset pattern of T1D, no complicated experimental setup is required to suspect that infectious agents may play a role [36]. A wide variety of possible etiologic mechanisms have been postulated, one of which is that enteroviruses may be able to chronically infect beta cells and directly provoke upregulation of MHC class I and interferon-alpha followed by CTL-mediated killing [37]. Direct evidence for this hypothesis was provided recently by immunohistochemical selective detection of enteroviral protein in beta cells in islets from a small series of recent-onset T1D patients [38], and corroborated by a much larger series, where 44 out of 72 recent-onset patients (the same set as described by Alan Foulis in the 1980s) versus three out of 50 controls [39]. The finding that the same applied for ten out of 25 type 2 diabetics suggests that some phenomena may be shared between T1D and T2D.

Without the proper genetic constitution, however, viruses are unable to mediate progression to islet autoimmunity. The recent discovery of T1D-associated polymorphisms in the interferon-induced helicase 1 (IFIH1) region may highlight the link between genetic constitution and viral infections [40]. IFIH1 codes for an IFN-induced helicase that contributes to recognition of dsRNA from picornaviruses, and thus, serves as a cytoplasmic sensor for viral infection. Now, let it be a coincidence that coxsackieviruses belong to the picornavirus family. A genetic defect in IFIH1 could potentially interfere with proper detection and clearance of viral infections and leads to the production of Type I interferons and an aberrant diabetogenic immune response. The identification of rare T1D-protective IFIH1 variants, which reduce the protein’s functionality, is in line with this hypothesis [41].

What do we need to corroborate our data on the involvement of enteroviruses and how do we best intervene? With regard to the detection of virus in blood, stool, or postmortem pancreas tissue, a systematic approach on large sample numbers before or around onset should be the norm. Exciting new data from the DAISY study suggest that progression from islet autoimmunity to T1D may increase after an enterovirus infection [42]. Furthermore, almost all sequenced samples were categorized in the enterovirus genogroup II, to which the coxsackie B viruses belong. As support for a causal role is mounting, why don’t we start with the immunization of at-risk children? The main limitation at present is that the *Enterovirus* genus of the *Picornaviridae* family consists of five virus species. These virus species in turn contain many different strains and serologically distinct viruses [43]. Any one or a combination of these viruses could be the virus detected in pancreatic tissue of diabetes type 1 patients by the anti-VP1 antibody that is commonly used. Finnish groups are currently sorting out which are the most prevalent strains in T1D patients in order to clarify against which serotypes should be immunized [44]. Finally, caution is warranted as, depending on the genetic background of the host, there is a possibility that viruses may also confer protection, an observation that is now becoming fairly established in mouse models [45].

### Mouse models—imperfect yet indispensable tools

The development of animal models, and in particular the NOD mouse, has contributed in providing tools for in-depth mechanistic studies and preclinical assessment of potential therapeutics. Opponents traditionally cite the many discrepancies with human disease and the high failure rate in translating therapies from mouse to man. A few years ago, Shoda and coworkers performed a comprehensive literature search and found that over 200 agents are capable of preventing disease in the NOD mouse [46]. For instance,

repeated injections of saline were reported to do the job under certain conditions, obviously a therapeutic strategy unlikely to ever reach the clinic [47]. Whereas such limitations associated with animal research need to be taken into account, one cannot deny the crucial insights it has and continues to generate. One example is the notion that coxsackievirus infections can profoundly influence the disease course in the NOD mouse, which is exactly the kind of data that are rather difficult to obtain in humans [48]. David Serreze's contribution will zoom in on the important role for animal models in delineating disease mechanisms and future directions in improving translational research. Dr. Serreze has recently pioneered the design of humanized NOD mice carrying human class I constructs. These animals thus present epitopes restricted to human HLA molecules and develop a repertoire of diabetogenic, islet-infiltrating CD8 T cells that shows remarkable correspondence with specificities found in human peripheral blood [20, 49]. This kind of approach may aid in bridging the gap between mice and men and suggests that animal models will continue to play a crucial role in T1D research for many years to come. The challenge for the diabetes research community will be to distinguish between the rights and wrongs of preclinical models [50].

## Conclusion

While we proceed with the design of intervention strategies for T1D, we should continue to reconsider and challenge our opinion on the disease's immunopathological features. Many histological studies performed on pancreata from prediabetic or recent-onset individuals either study decades-old samples or are limited by small numbers. A more comprehensive procurement effort such as adopted by nPOD is required to answer questions about the cellular constitution of the insulitic infiltrate or the involvement of viruses. Meanwhile, the only routinely available source of information remains the peripheral blood, and T cell assays are rapidly evolving to become reliable surrogates in characterizing disease activity. Exploring the relevance of the T cells originating from this peripheral T cell pool as compared to the actual diabetogenic effectors in the islets will aid in exploiting them as biomarkers.

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