ORIGINAL ARTICLE



Pharmacodynamic effects of the PARP inhibitor talazoparib (MDV3800, BMN 673) in patients with *BRCA*-mutated advanced solid tumors

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Abstract

Purpose Talazoparib is an inhibitor of the poly (ADP-ribose) polymerase (PARP) family of enzymes and is FDA-approved for patients with (suspected) deleterious germline *BRCA1/2*-mutated, HER2-negative, locally advanced or metastatic breast cancer. Because knowledge of the pharmacodynamic (PD) effects of talazoparib in patients has been limited to studies of PARP enzymatic activity (PARylation) in peripheral blood mononuclear cells, we developed a study to assess tumoral PD response to talazoparib treatment (NCT01989546).

Methods We administered single-agent talazoparib (1 mg/day) orally in 28-day cycles to adult patients with advanced solid tumors harboring (suspected) deleterious *BRCA1* or *BRCA2* mutations. The primary objective was to examine the PD effects of talazoparib; the secondary objective was to determine overall response rate (ORR). Tumor biopsies were mandatory at baseline and post-treatment on day 8 (optional at disease progression). Biopsies were analyzed for PARylation, DNA damage response (γ H2AX), and epithelial–mesenchymal transition.

Results Nine patients enrolled in this trial. Four of six patients (67%) evaluable for the primary PD endpoint exhibited a nuclear γ H2AX response on day 8 of treatment, and five of six (83%) also exhibited strong suppression of PARylation. A transition towards a more mesenchymal phenotype was seen in 4 of 6 carcinoma patients, but this biological change did not affect γ H2AX or PAR responses. The ORR was 55% with the five partial responses lasting a median of six cycles.

Conclusion Intra-tumoral DNA damage response and inhibition of PARP enzymatic activity were confirmed in patients with advanced solid tumors harboring *BRCA1/2* mutations after 8 days of talazoparib treatment.

Keywords Clinical trial \cdot Targeted agent \cdot Poly-ADP-ribosylation \cdot DNA damage repair \cdot Homologous recombination repair \cdot Pharmacology

Previous presentations Meehan et al. *Abstract* 4678: *Pilot trial of talazoparib (BMN 673), an oral PARP inhibitor, in patients with advanced solid tumors carrying deleterious BRCA mutations.* Presented at the 2017 Annual Meeting of the American Association for Cancer Research, April 1–5, 2017, in Washington, DC.

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Introduction

Poly-ADP-ribosylation of histones and other nuclear proteins by poly (ADP-ribose) polymerases 1 and 2 (PARP1/2) occurs after single- or double-stranded DNA damage, [1] and PARP activity is essential for the repair of singlestranded DNA breaks through the base excision repair (BER) pathway [2, 3]. The loss of BER caused by inhibitors of PARP enzymatic activity results in single-stranded DNA breaks that persist through DNA synthesis, causing replication fork collapse and single-ended DNA doublestrand breaks. In *BRCA1-* or *BRCA2-* (*BRCA1/2*) deficient cells, these double-stranded breaks cannot be repaired by homologous recombination, resulting in increased chromatid breaks and cell death [4–6]. Inhibiting PARP in the setting of *BRCA1/2* loss-of-function mutations leads to synthetic lethality in *BRCA1/2*-mutated cells. An important putative mechanism for this lethality is the trapping of PARP at the site of DNA damage, which enhances the persistence of single-stranded DNA breaks that cannot then be repaired in cancer cells lacking functional homologous recombination pathways [7–9].

Several inhibitors of the PARP1/2 enzymes have entered clinical development over the last two decades. Olaparib was the first agent in this class to be approved by the FDA, and received an indication as maintenance therapy in patients with advanced ovarian cancer harboring a BRCA mutation who had received three or more prior lines of chemotherapy [10]. Olaparib was subsequently approved for several other indications in ovarian, breast, pancreatic, and prostate cancers [11]. Rucaparib first received approval from the FDA in 2016 as maintenance therapy for patients with advanced ovarian cancer and germline or somatic BRCA mutations, with a subsequent approval in BRCA-mutated metastatic castrate-resistant prostate cancer [12, 13]. Niraparib received FDA approval in 2017 for recurrent platinum-sensitive ovarian cancer, irrespective of BRCA mutation and homologous recombination deficiency status [14]. Another PARP inhibitor, veliparib, has undergone extensive clinical investigation, with 25 completed clinical trials as a single agent or in combination with chemotherapy or radiation [15]. This includes the BROCADE 3 randomized phase 3 study of the addition of veliparib or placebo to carboplatin/paclitaxel in advanced HER2-negative breast cancer patients, which demonstrated a progression free survival (PFS) benefit in the veliparib arm compared to placebo [16]. While showing promising results in this and other studies, veliparib has not yet received FDA approval.

Talazoparib (BMN 673) is a highly selective and potent PARP inhibitor that has shown robust anticancer activity in preclinical models, inhibiting PARP at a lower concentration and trapping PARP more potently and completely than the other PARP inhibitors in development, demonstrating the strongest cytotoxic effect in vitro [9, 17–22]. The phase 1, first-in-human trial of talazoparib as a single agent enrolled patients with advanced solid tumors predicted to be sensitive to PARP inhibition. These included tumors harboring germline BRCA1/2 mutations, triple-negative breast, high-grade gynecological, castration-resistant prostate, and pancreatic cancers. The trial also included patients with Ewing sarcoma and small cell lung cancer (SCLC) based on promising preclinical data [23, 24]. A recommended phase 2 dose of 1 mg/day talazoparib was identified and thrombocytopenia, anemia, and fatigue were observed as the most common adverse events. Promising efficacy was demonstrated with overall response rates (ORR) of 50% and 42% in patients with *BRCA1/2*-mutated breast and ovarian cancers, respectively. Patients with pancreatic cancer had a modest ORR of 20%, while responses were much lower in patients with SCLC (8.7%) and Ewing sarcoma (0%). Pharmacodynamic (PD) studies assessing PARP activity in peripheral blood mononuclear cells (PBMCs) as a surrogate for intratumoral activity determined that talazoparib treatment decreased PARP enzymatic activity (PARylation) in PBMCs in a doseand particularly exposure-dependent manner; however, this trial did not evaluate the PD effects of talazoparib within patients' tumors [23].

The phase 2 ABRAZO trial studied single-agent talazoparib in patients with BRCA-mutated advanced breast cancer, divided into two cohorts. The response rate was 21% in patients who had responded to prior platinum chemotherapy and 37% in those who had received three or more platinumfree chemotherapy regimens [25]. The subsequent randomized phase 3 EMBRACA trial in patients with BRCA1/2mutated advanced breast cancer showed an improvement compared to standard therapy in progression-free survival (PFS) (8.6 vs. 5.6 months) [26]. The results of this trial led the FDA to approve talazoparib for patients with deleterious or suspected deleterious germline BRCA1/2-mutated, HER2-negative, locally advanced or metastatic breast cancer. There are also several ongoing phase 2 and 3 trials of talazoparib either as monotherapy or in combination with other agents, including a randomized phase 3 trial testing enzalutamide with or without talazoparib in patients with DNA damage response (DDR) gene-mutated metastatic castrate-sensitive prostate cancer (NCT04821622), and another in patients with metastatic castrate-resistant prostate cancer (NCT03395197).

The substantial data regarding the preclinical potency and clinical efficacy of talazoparib, coupled with the lack of demonstration of a primary (*i.e.*, direct) PD response to this agent in patient tumors, made talazoparib interesting to our multidisciplinary drug development group, particularly in the wake of the recognition that the agent iniparib, which was developed as a PARP inhibitor, did not inhibit PARP at clinically relevant doses and likely achieved its anti-neoplastic effects through a different mechanism of action [27, 28]. To address this knowledge gap in talazoparib pharmacology, we designed a pilot study with the primary objective of evaluating the PD effects of talazoparib in tumor using validated assays for two biomarkers in core needle biopsy specimens: intracellular levels of PARylated protein to assess target engagement and nuclear levels of yH2AX to assess DNA damage response [29]. The study also assessed the antitumor efficacy of talazoparib in patients with advanced ovarian, breast, or other solid tumors harboring (suspected) deleterious BRCA1/2 mutations, and patients' tumor biopsies were evaluated for E-cadherin and vimentin, phenotypic biomarkers of epithelial-mesenchymal state, to further understand the potential for carcinomas to adapt to treatment by undergoing epithelial-mesenchymal transition (EMT) and the implications of initial epithelial-mesenchymal phenotype on the response of patients to talazoparib.

Patients and methods

Patient population

This single-center study enrolled adult patients with documented deleterious or suspected deleterious somatic or germline *BRCA1* or *BRCA2* mutations and histologically confirmed solid tumors. Patients with ovarian cancer were required to have received at least one prior platinum-based chemotherapeutic regimen, and those with platinum-refractory disease were not eligible. Patients with HER2-positive advanced breast cancer or ovarian cancer were required to have received at least two lines of systemic therapy in the advanced setting. All other patients must have had tumors that progressed through at least one line of standard therapy or have had no acceptable standard treatment options. Patients with metastatic disease must have received at least one line of standard treatment for metastatic disease prior to enrollment.

Additional eligibility criteria were an Eastern Cooperative Group (ECOG) performance status of 0–2, the ability to swallow whole capsules, and adequate organ and marrow function. Patients were required to have disease amenable to biopsy and be willing to undergo paired tumor biopsies. Previous treatments must have been completed at least 4 weeks prior to enrollment. Exclusion criteria included prior treatment with any PARP inhibitors, active brain metastases, or HIV-positive patients on combination antiretroviral therapy because of the potential for pharmacokinetic interactions with talazoparib.

Study design and treatment

This was an open-label pilot study of single-agent talazoparib with the primary objective of determining the PD effect of talazoparib treatment via evaluation of tumor biopsies and a secondary objective of determining the response rate of talazoparib treatment in patients with solid tumors harboring (suspected) deleterious *BRCA1/2* mutations. Talazoparib was supplied by the Division of Cancer Treatment and Diagnosis of the National Cancer Institute (NCI), under a cooperative research and development agreement with Medivation LLC, a Pfizer company; the drug was formerly under development with BioMarin Pharmaceutical, Inc. Talazoparib was administered orally at the FDA-approved dose of 1 mg/day daily in 28-day cycles [30]. Patients were required to maintain a diary documenting when drugs were taken but there were no restrictions on food consumption.

Toxicities were graded according to the Common Terminology Criteria for Adverse Events (CTCAE version 4.0). The talazoparib dose was reduced for grade ≥ 2 non-hematologic and grade 4 hematologic toxicities. Toxicities were required to have resolved to \leq grade 2 (except anemia, lymphopenia, or leucopenia in the absence of grade 4 neutropenia) prior to re-initiating treatment at the next lower dose level (750 or 500 µg/day). Radiologic response assessments by computerized tomography (CT) scans were performed at baseline and every 2 cycles. Tumor response was evaluated according to the Response Evaluation Criteria in Solid Tumors (RECIST version 1.1) [31]. History and physical examination and laboratory evaluations (complete blood count and serum chemistries) were performed prior to treatment, after 8 days of treatment, and at the beginning of each subsequent 28 day cycle. Investigators obtained informed consent from each participant and this trial was conducted in accordance with the Declaration of Helsinki, under an NCI-sponsored IND with institutional review board approval [ClinicalTrials. gov Identifier: NCT01989546].

Pharmacokinetic analyses

Plasma levels of talazoparib were measured using a validated liquid chromatography-tandem mass spectrometry assay. Metabolite levels were not assessed as talazoparib is minimally metabolized and metabolites have not been detected in plasma [32]. Blood samples were collected prior to treatment; 0.5, 1, 2, 3, 4, 6, 8, and 24 h after the first dose; 3–6 h after the day 8 dose; and before and 3–6 h after the cycle 2, day 1 dose. Standard pharmacokinetic parameters were calculated using a noncompartmental method (Phoenix WinNonlin[®] 6.3; Certera, Princeton, NJ).

Pharmacodynamic endpoint analyses

Mandatory paired tumor biopsies (18-gauge core needle biopsies, 2 cores) were obtained from subjects at baseline and 3 to 6 h after treatment on day 8 of cycle 1. In addition, optional tumor biopsies were collected at the time of disease progression. All biopsy cores were flash frozen within 2 min of collection. One core from each time point was extracted and analyzed for levels of PAR attached to macromolecules (a PARP reaction product) using a previously validated, fit-for-purpose ELISA method [33, 34]. The second core was thawed and fixed in neutral buffered formalin, paraffinembedded (FFPE), sectioned, and Hematoxylin and Eosin (H&E) stained for pathologist review. Biopsies with sufficient viable tumor content (>5%) were analyzed for the DNA damage marker yH2AX, as described previously [29, 35]. The EMT markers E-cadherin and vimentin were also assessed using a fit-for-purpose immunofluorescence assay (IFA), validation of which has been described by Navas,

et al. [36]. Briefly, flanking 5 μ M serial sections were stained either with H&E for pathologist review to define regions of interest with viable tumor content or with monoclonal antibody-fluorescent dye conjugates of E-cadherin, vimentin, and β -catenin, along with 40,6-diamidino-2-phenylindole (DAPI). After imaging, a custom image analysis algorithm was used to create a detailed tumor-stroma segmentation map of each image by combining β -catenin staining intensity with cellular morphology. Then, E-cadherin and vimentin staining for individual, pathologist-defined regions of interest, areas of marker overlap, and total number of nuclei (*i.e.*, cells) were reported for each biopsy and patient. Mann–Whitney statistical tests were performed using Graph-Pad Prism 9.

Whole blood was collected for isolation and analysis of circulating tumor cells (CTCs) before treatment, twice after the day 1 treatment, once after the day 8 treatment, and once after the first treatment of cycle 2. Later patients also had blood collected prior to the first treatment of every subsequent cycle and at disease progression. Blood samples were enriched for CTCs using the ApoStream System (ApoCell) and enriched cell fractions were analyzed using slide-based multiplex immunofluorescence assays with appropriate tumor and phenotypic markers based on the patient's diagnosis.

Statistical analysis

The trial design planned to accrue up to 24 patients to assure at least 12 patients with matched, evaluable baseline and day 8 biopsies. The primary endpoint was the percent of patients who achieve a significant PD response, defined as at least 4% tumor nuclear area positive (NAP) for γ H2AX in the day 8 biopsy [29]. In previous clinical testing, a γ H2AX NAP value $\geq 4\%$ has been seen in tumor tissues from untreated patients in less than 5% of cases. Observing at least 3 out of the 16 (19%) patients with evaluable baseline and day 8 biopsies achieving this level of PD response would declare the agent promising by means of the PD assay. This design gives 90% power to detect a true 30% PD response rate, across patients with less than 0.04 probability of a false positive in the event that the agent has no effect and the true likelihood of such a PD response, for an individual patient, is less than 5%.

Results

Patient population and disposition

A total of nine patients, each with a unique deleterious or suspected deleterious somatic BRCA1/2 mutation (Supplemental Figure S1), were enrolled and treated when, due to a change in pharmaceutical sponsorship and the signs of clinical and PD activities already seen, the decision was made to terminate the trial. All patients had metastatic tumors: three were diagnosed with prostate cancer, two with ovarian cancer, two with breast cancer, one with uterine sarcoma, and one with pancreatic cancer (Table 1). Most patients had been heavily pre-treated with a median of 5 prior lines of systemic therapy (range: 1–9). One patient (patient #9, pancreatic cancer) had clinical progression and was taken off study during the first treatment cycle, after their day 8 biopsy was collected. The remaining 8 patients eventually came off study due to progressive disease. Mean time on study was seven cycles (range: 0-18), and five of the nine (55%) had documented partial responses (PR) lasting between 4 and 12 cycles (median: 6 cycles). A further two patients had a best response of stable disease (SD) for 4 to 6 cycles, while the remaining two patients progressed, respectively, during the first cycle and at the first scheduled restaging (2 cycles) (Fig. 1).

lable I	Patient demographics	

Patient	Sex	Age	Diagnosis	Eligibility Mutation	Prior Lines of Therapy	Prior Plati- num
1	F	69	Uterine Sarcoma	BRCA2 g3237delCA	5	Y
2	F	59	Ovarian	BRCA1 gR1751X (5379C>T)	9	Y
3	F	65	Ovarian	BRCA1 g187delAG	8	Y
4	М	73	Prostate	BRCA2 gK1872X (5842A>T)	1	Ν
5	М	61	Prostate	BRCA1 g Q1756fs*74	6	Ν
6	F	52	Breast	BRCA1 g2576delC	7	Y
7	М	61	Prostate	BRCA2 g c.476-2A > G	1	Ν
8	F	33	Breast	BRCA2 g3917delC	4	Ν
9	М	73	Pancreatic	BRCA1 g3171ins5	1	Y

Fig. 1 Patient outcomes. a Best proportion change in RECIST measurements from baseline (listed below each bar) is plotted for all patients except for patient #9, who left the trial due to clinical progression before their first restaging. b Number of cycles on treatment for all patients colored by diagnosis. Response and *BRCA1/2* eligibility mutation are shown for each patient



Adverse events

Treatment-related adverse events (trAE) are described in Table 2. The most common grade 2 or higher trAEs were related to decreased blood counts: white blood cell count decrease (67%), neutrophil count decrease (67%), lymphocyte count decrease (56%), platelet count decrease (44%), and anemia (33%). Grade 3 or 4 trAEs also primarily involved blood counts (lymphocyte decrease, platelet count decrease, neutrophil count decrease, and anemia), while 1 patient experienced an increase in alkaline phosphatase. There were no grade 5 events, and no patients were taken off study for toxicity.

Pharmacokinetic analysis

As no large-scale studies of human talazoparib pharmacokinetics had yet been published at the time this study was designed, plasma levels of talazoparib were measured with the hope of exploring the exposure–response relationships for talazoparib and its active metabolites with measures of effectiveness, toxicity, and PD biomarkers. The mean talazoparib plasma concentration–time profiles of once-daily talazoparib are shown in Fig. 2a and b. Considerable interpatient variability in talazoparib plasma concentrations was documented but the only correlation observed between plasma exposure and PD response in individual patient

Table 2 Adverse events

Adverse event*	Grade 2	Grade 3	Grade 4	Total
Alkaline phosphatase increased	_	1	_	1 (11%)
Anemia	_	2	1	3 (33%)
Anorexia	1	_	_	1 (11%)
Fatigue	2	_	_	2 (22%)
Fever	1	_	_	1 (11%)
Lymphocyte count decreased	4	1	_	5 (56%)
Nausea	2	_	_	2 (22%)
Neutrophil count decreased	4	2	_	6 (67%)
Platelet count decreased	3	_	1	4 (44%)
Tinnitus	1	_	_	1 (11%)
Weight loss	1	_	_	1 (11%)
White blood cell decreased	5	1	_	6 (67%)

*Worst grade (\geq grade 2) per patient that was at least possibly relatedto study agent is reported

Fig. 2 Pharmacokinetic analysis. Average talazoparib plasma levels (± standard deviation) for all 9 patients a during the 24 h following administration of the first dose and **b** during the entire collection period. Blood samples were collected prior to treatment; 0.5, 1, 2, 3, 4, 6, 8, and 24 h after the first dose; 3 to 6 h after the day 8 dose; and before and 3 to 6 h after the cycle 2, day 1 dose. c The most significant correlation between individual patient plasma exposure and PD effect was observed with the talazoparib maximal concentration (C_{Max}) and the proportion of PAR inhibition observed at day 8 compared to baseline (0.76 correlation [0.25, 0.94 80% CI]). d No correlation between day 8 plasma talazoparib levels (C_{Day 8}) and PAR inhibition was observed (0.35 correlation [- 0.5, 0.85 80% CI]), and neither did e CMax nor f C_{Day 8} talazoparib levels correlate with individual patient yH2AX levels (- 0.03 correlation [- 0.73, 0.7 80% CI] and 0.39 correlation [- 0.32, 0.82 80% CI], respectively)

tumors was seen between the proportion of PAR inhibition to the talazoparib maximal concentration (0.76 correlation [0.25, 0.94 80% CI]; Fig. 2c–f). Such correlations are difficult to observe as they rely on all patients achieving maximal PD effect at the same time after treatment and collection of the biopsy at that timepoint. The observed talazoparib PK profiles are consistent with recently published results; [37] however, the small number of patients precludes drawing any meaningful conclusions from these data.

Pharmacodynamic analysis

Because the primary objective of the trial was the PD response of the tumor to talazoparib treatment, tumor biopsies were mandatory at baseline and on day 8. Tissue cores suitable for γ H2AX assessment were obtained from 6 of 9 (67%) baseline biopsies collected and 6 of 8 (75%) day 8 biopsies collected, with exclusions due to very low (<5%) viable tumor cell content or significant tissue damage (Supplemental Table S1). One patient (#8) underwent



biopsy procedures only at baseline and disease progression (approximately 24 h after the last dose of talazoparib) and was therefore excluded from the primary PD biomarker analysis. Paired biopsy cores suitable for analysis of PARylation levels were obtained in 7 cases (78%), including the baseline/progression biopsy pair from patient #8.

Tumor yH2AX response

The study's primary endpoint was identifying the proportion of patients achieving significant tumoral response of the nuclear PD biomarker of double-stranded DNA damage γ H2AX (\geq 4.0% NAP) after 8 days of treatment. Although baseline yH2AX in the biopsy from patient #3 was not evaluable due to inadequate viable tumor cell content, baseline γ H2AX in the 6 evaluable cases was < 4.0% (range, 0.3-2.5% NAP), consistent with previous case series that were not selected for BRCA1/2 defects [29]. Talazoparib treatment resulted in elevated levels of nuclear yH2AX in 4 of the 6 evaluable cases (67%), exceeding the study design threshold of 19% (1-sided significance level of p = 0.014) and indicating drug-induced DNA damage and the presence of double-strand breaks (Fig. 3a and Supplemental Table S1). The only patient (patient #8) with a biopsy at disease progression did not exhibit a yH2AX response, potentially due to the time elapsed since the final talazoparib treatment (approximately 24 h) or some type of acquired talazoparib resistance.

Tumor PARylation response

The primary pharmacodynamic effect (*i.e.*, the direct consequence of the inhibition of PARP1/2 enzymes) of talazoparib was assessed by measuring PARylation levels within the tumor. PAR levels decreased by 77-98% in 5 of 6 patients with evaluable paired biopsies, indicating that intra-tumoral talazoparib levels were sufficient 3 to 6 h after talazoparib treatment on day 8 to significantly inhibit PARP enzymatic activity (Fig. 3b). Similar PARylation responses were observed regardless of clinical or nuclear yH2AX response (Supplemental Table S1). PARylated product in patient #8 at progression (24 h after their last dose of talazoparib) was decreased by 79%, suggesting a sustained intra-tumoral effect consistent with drug PK, yet no evidence of DNA double-strand breaks was observed in the second biopsy core evaluated for yH2AX. Patient #2, who exhibited the most durable PR (12 cycles), was the only case in which significant PARylation inhibition was not observed; however, this may be due to their particularly low baseline PARylation levels. Unfortunately, this patients' second-pass biopsies evaluated for yH2AX did not contain sufficient viable tumor cell content for valid analysis, so we were not able to explore the potential connection between low baseline



Fig. 3 Tumoral γ H2AX and PAR changes. **a** Nuclear γ H2AX levels (the proportion of nuclear area positive, or %NAP, for γ H2AX) and **b** PAR levels in evaluable tumor biopsies collected at baseline and 3 to 6 h after talazoparib treatment on day 8, except for patient #8, whose on-treatment biopsy was taken at progression (after cycle 8, 24 h after last dose). The blue dotted line in (**a**) represents the γ H2AX effect level threshold established in Wilsker et al.[29] The proportion change in PAR from before to after treatment is shown above each patient's data points in (**b**). More tumor biopsy cores were evaluable with the validated PAR assay than the γ H2AX assay because some biopsy cores for the γ H2AX immunofluorescence assay were judged to have insufficient viable tumor cellularity for image analysis during the required pathologist's review

PARylation and talazoparib treatment-induced DNA damage (Supplemental Table S1).

Epithelial-mesenchymal phenotype in tumor and CTCs

Significant shifts towards a more mesenchymal phenotype (as indicated by an increase in the proportion of the mesenchymal marker vimentin to epithelial marker E-cadherin [36]) after talazoparib treatment were observed in the paired Fig. 4 Tumor epithelialmesenchymal phenotypes. EMT-IFA results from tumor biopsies collected at baseline and on-treatment in patients that had suitable paired biopsy samples with sufficient viable tumor cellularity for analysis: a Proportion of tumor area staining positive for vimentin only (V+E-, red), E-cadherin only (V-E+, green), co-localized vimentin and E-cadherin (V+E+, yellow); b The log(V/E) ratio of vimentin and E-cadherin-stained areas within regions of interest (ROIs) in a tissue section. In each case, significance, as calculated by the Mann-Whitney test treating each ROI as a separate observation, is shown with asterisks (* *p* < 0.05; ** *p* < 0.01; *** p < 0.001). The on-treatment biopsy for patient #8 was taken at progression (after cycle 8, 24 h after last dose), not day 8 as for all other patients, and therefore is separately analyzed



biopsies of 2 of the 4 carcinoma patients evaluable for the primary PD endpoint, as well as in the progression biopsy of patient #8 (Fig. 4). As expected, the uterine sarcoma of patient #1 was entirely mesenchymal before and after treatment. While statistically significant, these biological changes were relatively modest; there were no cases of carcinoma tumors converting completely from an epithelial to a mesenchymal phenotype. This could be because day 8 is early to observe a complete phenotypic change, but the similar magnitude of the change seen at progression in patient #8 argues against there being an overall phenotypic conversion at any point during talazoparib treatment. Furthermore, the ranges of nuclear yH2AX response and PARylation inhibition were similar between carcinomas that did and did not display epithelial-mesenchymal shifts (Supplemental Table S1).

Two patients underwent longitudinal analysis of subpopulations of circulating tumor cells (CTC) in peripheral blood (1 SD and 1 PR). In both cases, early CTC numbers were relatively high, then low/not measurable while the drug was active, and rose in the last 1–2 cycles before progression (Fig. 5). In the case of patient #8 where epithelial-mesenchymal phenotype was evaluable both in tumor biopsy and CTCs, the trend towards a more mesenchymal phenotype at progression was echoed in the CTC analysis

Fig. 5 Longitudinal CTC collections. Venous blood specimens collected from patients #7 and #8 were analyzed for CTC numbers and histology- and EMT-related phenotypic markers throughout the course of treatment and progression. The dotted line represents the lower limit of quantitation (LLQ), which CTC counts at most timepoints were below for both patients; however, CTC counts rose at (patient #8) or near (patient #7) the time of disease progression and the distribution of epithelial-mesenchymaltransitional cell phenotypes differ between enrollment and progression

as the mesenchymal (Muc1/CEA⁺, vimentin⁺, CK⁻) and transitional (Muc1/CEA⁺, vimentin⁺, CK⁺) subpopulations of breast cancer CTCs became the dominant phenotypes at progression over the epithelial subpopulation of CTCs (Muc1/CEA⁺, vimentin⁻, CK⁺) that was the dominant phenotype at time of enrollment. Although a biopsy specimen is not available to corroborate the CTC finding, patient #7 showed a similar pattern, with epithelial phenotype dominating at enrollment but the mesenchymal phenotype becoming dominant by progression. Thus, 4 of 6 carcinoma patients showed evidence of talazoparib-induced EMT via either tumor biopsy or CTCs.

Discussion

Clinical trials have shown the activity of the PARP inhibitor talazoparib in patients with *BRCA*-mutated cancers; [23, 26, 38] however, neither the inhibition of PAR nor the predicted damage to DNA has previously been demonstrated within patient tumor tissues. Our data show that single-agent talazoparib leads to a strong suppression (77–96% decrease) of tumoral PARylation at day 8 of treatment in a heavily pre-treated population with advanced disease harboring *BRCA1/2* mutations. Furthermore, a



biopsy obtained at the time of tumor progression (patient #8) also had markedly suppressed levels of PAR, suggesting that this effect is sustained and was likely not altered when resistance to talazoparib developed in this patient. Interestingly, the only patient whose tumor PARylation was not significantly inhibited after talazoparib treatment (Patient #2) also exhibited a very low baseline level of PARylated product. This was lower than the drug-suppressed tumor levels of PARylated product on study day 8 in 4 of 6 molecular responders (including Patient #8 sampled at progression). Given the durable PR observed for this patient, it is possible that Patient #2's disease evolved toward a PARP1/2-independent mechanism of survival or that their particular BRCA1 mutation does not affect homologous recombination (although this truncated gene product is designated as pathogenic in the ClinVar database) [39]. Alternatively, it is possible that talazoparib quickly killed these cancer cells with naturally insufficient PARP1/2 activity by study day 8, leaving non-viable tumor tissue at the time of the on-treatment biopsy.

In addition to PARylation effects, talazoparib treatment also increases nuclear γ H2AX foci, a biomarker of doublestrand DNA damage [40]. γ H2AX belongs to the H2A family of histones and has been validated as a marker of DNA damage, especially after treatment with genotoxic agents. Damage to DNA or alteration of DNA metabolism leads to the formation of DNA double-strand breaks, which in turn cause rapid phosphorylation of γ H2AX and the formation of a focus at the site of the DNA double-strand break. Thus, measurement of γ H2AX levels can be used to identify the extent of DNA damage [41]. Our data show that levels of intra-tumoral γ H2AX were increased by day 8 of treatment, well before a detectable tumor response, suggesting rapid and increased DNA double-stranded breaks as a mechanism of tumor cell cytotoxicity.

In vitro, talazoparib produces PARP-mediated cytotoxicity more potently and extensively than either olaparib or rucaparib while also demonstrating superior trapping of PARP at the site of DNA damage [9, 17–21]. This suggests that the inhibition of intracellular PAR may not be the only contributor to tumor cytotoxicity, or even the most important; however, directly assessing PARP trapping in patient specimens using laboratory methods developed for in vitro experiments with tumor cell lines has proven difficult. Researchers continue to search for a suitable secondary biomarker of PARP trapping that can be used in patient tissue samples, but no consensus has yet been reached [42]. Our study was designed before the importance of PARP trapping became clear (or clinical assays to measure it existed) and was not designed to answer questions about this mechanism. Instead, our group is currently probing this question with an ongoing, PD-driven clinical trial (NCT04550494) assessing Rad51 activation, a biomarker best known for its part in homologous recombination but whose role in replication fork protection may prove integral after PARP trapping [42].

Consistent with this mechanistic evidence of target engagement and its downstream consequences on DNA integrity, once daily talazoparib dosing demonstrated clinical activity in patients with different cancer histologies and BRCA1/2 mutations, including those who had received prior platinum chemotherapy. Talazoparib was generally well tolerated with no grade 5 events and no patients needing to be taken off trial for adverse events. In keeping with prior clinical trial results, the most common trAEs were related to decreased blood cell counts. Five patients experienced PRs, resulting in an ORR of 55%. Another two patients had a best response of stable disease for 4 to 6 cycles. Our data align with the ORR of 62.5% in breast cancer patients with BRCA1/2 mutations treated with talazoparib in the phase 3 EMBRACA trial [26]. Pharmacokinetic characteristics were also similar to those of prior clinical trials [23].

Epithelial-mesenchymal transition (EMT) plays a vital role in the malignant process and progression of many carcinomas, and biomarkers of EMT are associated with poor patient prognosis in a number of carcinoma types [43]. EMT and the epithelial-mesenchymal phenotype of carcinoma cells more broadly may also be of particular importance in understanding acquired drug resistance. The expression of mesenchymal-like features resulting from complete or partial EMT can cause increased resistance to chemotherapeutic and targeted agents, therefore driving acquired resistance such as in the case of PARP inhibitor resistance in models of BRCA2-mutated mammary tumors [44–46]. Additionally, the capability of cancer stem cells to seed and regenerate tumors may also be attributable to their primarily mesenchymal phenotype, itself a product of EMT [43, 47, 48]. In the present clinical study, we observed a statistically significant but biologically modest drug-induced transition of carcinomas toward a mesenchymal phenotype in two of four cases at day 8 and two of two cases at progression (one of these based only on CTC analysis). The EMT detected on day 8 did not appear to influence patients' clinical or molecular (PARylation or yH2AX) responses to talazoparib. PARP enzymes have been linked to the EMT program in several ways, including PARP1 ADP-ribosylation of Smamothers against decapentaplegic (Smad) family transcription factors dissociating Smad complexes from gene regulatory regions [49]. Because Smad proteins mediate broadly pro-EMT transcriptional program, [50] PARP1 activity would generally suppress EMT and inhibition of PARP enzymatic activity could create a more permissive environment for EMT to occur. Whether such a mechanism is behind the observed movement towards mesenchymal phenotypes in carcinoma tumor tissues after talazoparib treatment or whether the drug preferential kills more sensitive epithelial tumor cells and thereby selects for more inherently

resistant vimentin-positive mesenchymal phenotype cells unfortunately cannot be determined by the assay used this study. It may also be important to determine whether talazoparib exhibits the same potency and efficacy regardless of a tumor's initial epithelial-mesenchymal phenotype, but this would require a larger sample size to investigate.

A limitation of this study was the inability to meet the initially planned accrual goal after the new pharmaceutical company sponsor of the agent discontinued support. Additionally, biopsy pairs suitable for PD evaluations were not available in 3 of the 9 enrolled patients. Despite these limitations, the trial met its predefined primary endpoint of 3 or more patients displaying a significant tumoral PD response. The observations of early and robust suppression of intratumoral PARylated macromolecules and elevated nuclear γ H2AX levels after single-agent talazoparib in *BRCA1/2*-mutated tumors provide the first insights into the clinical mechanism of action of this approved drug, which underlies its clinical efficacy.

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Data availability The data generated in this study are included in this published article (and its supplementary information files) and are publicly available in the U.S. National Library of Medicine's ClinicalTrials.gov/database at https://clinicaltrials.gov/ct2/show/results/NCT01 989546.

Declarations

Conflict of interest The authors declare no potential conflicts of interest.

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