ORIGINAL ARTICLE

Economic evaluation of caspofungin vs liposomal amphotericin B for empirical therapy of suspected systemic fungal infection in the German hospital setting

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Abstract As antifungal agents are frequently used in hematology and oncology, economic data on the empirical therapy of suspected systemic fungal infection are pivotal. Data were analyzed according to: (1) the rate of nephrotoxicity related to treatment with caspofungin in comparison to liposomal amphotericin B (L-AmB) from a randomized clinical trial, (2) the effect of nephrotoxicity on length of hospital stay from a European observational study, and (3) an example of total

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O. A. Cornely Hematology and Oncology, Infectious Diseases, Cologne University Hospital, Kerpener Strasse 62, 50937 Cologne, Germany bottom-up cost in a department of hematology in Germany. All estimates include 95% confidence intervals (CI) using twostage Monte Carlo simulation on binominal and Gaussian random variables from separate studies with comparable populations. Overall, 8.9 (95% CI 5.9–12.1) fewer patients (of 100 randomized) experienced worsening of renal function with caspofungin vs L-AmB, giving a number needed to treat for one patient to be harmed by L-AmB of 12 (95% CI 8–17). This was estimated to translate into 5.3 extra days in hospital (95% CI 1.6–9.1) per event or 0.48 days (95% CI 0.14–0.88) worth €298 (95% CI 89–554) per patient receiving L-AmB rather than caspofungin. From the hospital perspective, use of caspofungin was estimated to be cost-neutral compared to L-

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AmB at a per diem total hospital cost of \notin 428 with, and \notin 1284 without, consideration of supplementary reimbursement (Zusatzentgelt) of both L-AmB and caspofungin. The data presented in this scenario show that use of caspofungin in hematology–oncology departments in Germany results in shorter hospital stays and is at least cost-neutral compared to use of L-AmB.

Keywords Economic evaluation · Caspofungin · Liposomal amphotericin B · Bottom-up study · Nephrotoxicity

Introduction

Patients with hematological disorders are at considerable risk for systemic fungal infections [1-3]. In particular, infections caused by organisms of the genera *Aspergillus* and *Candida* are an increasingly recognized threat to immunocompromised patients. This results in a high burden to the European healthcare system [1-4].

Early clinical diagnosis of invasive fungal infection is difficult due to the non-specific clinical picture and the plethora of risk factors [3]. Microbiological challenges include availability of tissue, cytology and cultures, and/or non-specific microbiological culture results [1]. Radiological confirmation of diagnosis can be delayed due to the limitations of chest radiographs, and the specificity of CT scans can be limited [5, 6].

To overcome these issues, the concept of empirical therapy of suspected fungal infection has been established for patients at risk of infection who show persistent fever of unknown origin despite broad-spectrum antibiotic therapy [3].

At present, liposomal amphotericin B (L-AmB) and caspofungin are approved for empirical therapy of suspected fungal infection. In a randomized controlled trial (RCT) in patients with persistent fever and neutropenia, the efficacy of caspofungin was recently found to be non-inferior to that of L-AmB [7]. In addition, caspofungin was found to be far better tolerated than L-AmB in terms of nephrotoxicity (2.6 vs 11.5%; p < 0.001) [7]. The occurrence of nephrotoxicity in association with liposomal and other formulations of AmB has been shown to prolong the length of hospital stay in Europe by an average of 5.3 days [8]. No concrete economic data are available as yet on the financial impact of this on hospitals that treat these patients [4]. However, now that reimbursement of services in different countries is increasingly being based on diagnostic-related groups (DRGs), the economic impact on the economics of less tolerable agents on hematology-oncology departments could be considerable.

The objective of the study was to evaluate the bottom-up costs and the clinical consequences of empirical therapy of suspected systemic fungal infection from the perspective of a department of hematology of a German tertiary care hospital.

Materials and methods

Summary of clinical trials

Head-to-head trial caspofungin vs L-AmB

In a recently published double-blind RCT performed in hematology-oncology patients with persistent fever and neutropenia, the efficacy of caspofungin was found to be non-inferior to that of L-AmB [7]. In this trial, 556 patients received caspofungin and 539 received L-AmB (mean duration, 13 and 12.5 days, respectively). In most of the patients, the underlying condition was acute myeloid leukemia (AML), diagnosed in 364 caspofungin patients (65.5%) and 339 L-AmB patients (62.9%). The primary efficacy endpoint, a favorable overall response, was achieved by 33.9% of patients in the caspofungin group and 33.7% in the L-AmB group (95.2% CI -5.6, 6.0) [7]. Nephrotoxicity [defined as doubling of the serum creatinine level or, if the serum creatinine level was elevated at enrolment, an increase of at least 1 mg per deciliter (88 µmol per liter)] formed part of the safety analyses. There was less nephrotoxicity (2.6% of patients treated with caspofungin vs 11.5% of patients treated with L-AmB, p <0.001) [7].

European study on use of various formulations of amphotericin B

Very recently, data of a prospective longitudinal evaluation of antifungal drugs (LEAD) study performed in 418 European adults with suspected, probable, or proven invasive fungal infection with various formulations of AmB have been published [8]. In the majority of cases, the underlying disease was leukemia or lymphoma (81%). Length of hospital stay was significantly prolonged (5.3 days) in patients who developed nephrotoxicity (creatinine value in the peripheral blood \geq 1.5 times greater than baseline) while on AmB formulations [8].

Direct cost of hospital stay in a department of hematology in Germany

The background for the economic analysis was a bottom-up study to investigate hospital care (including day clinic) costs in all patients aged 16 to 60 years with AML receiving standard chemotherapy at the hematology unit, First Department of Medicine, Bonn University, a German tertiary care hospital in 2002. Demographic variables, information on disease status and length of hospital stay, and direct medical and non-medical costs were documented retrospectively by chart review. Components of the per diem costs relating to housing and general charges were combined with more precise calculation of the medical treatment costs associated with individual patients [9, 10]. The cost of a day of institutional care was calculated on the basis of the annual case-load of the entire institution or of the individual department, where applicable. The following depleted resources were identified, measured, and valued in descriptive fashion (Table 1) [11]: (1) medical care by healthcare professionals and its associated cost; (2) medication and blood product cost, comprising individual drugs, other medication, and transfusions; and (3) other costs incurred by transportation, materials, medical infrastructure, diagnostic procedures in microbiology, and housing. The direct bottom-up cost per day of hospital stay was calculated by dividing the total direct medical cost (in \in) by mean total length of hospital stay (in days). For certain direct medical and non-medical resource consumption items, proxies were estimated based on German medical flat rates, as no bottom-up cost data were available [11]. For the present analysis, patients were censored in the event of treatment change due to transfer, treatment failure, or change in AML therapy.

Cost of antifungal agents in the German hospital setting in 2007

Drug costs [including 19% value added tax (VAT)] for a patient with a weight of 70 kg were calculated based on official list

prices and high-user hospital pharmacy drug acquisition cost for caspofungin and L-AmB, respectively. The calculations were based on the dosing scheme used in the RCT [7], i.e., caspofungin 70 mg on day 1 followed by caspofungin 50 mg for 12 days, or L-AmB 3 mg/ kg bodyweight for 12.5 days.

Reimbursement of antifungal agents in the German hospital setting in 2007

In 2005, two types of reimbursement were introduced into the German hospital reimbursement system: Besides DRGbased reimbursement and independently of clinical complexity, certain drugs that cannot be allocated to a single DRG but typically used in a tertiary care hospital setting are covered by the system. The actual reimbursement depends on the total amount of the respective medicine used for the individual patient. This 'supplementary reimbursement' (Zusatzentgelt) scheme, based on the average high-user hospital pharmacy drug acquisition costs (including VAT), is adjusted annually. Each year, the individual hospital has to negotiate the amount of this reimbursement with the statutory health insurance funds (Krankenkassen). As this supplementary reimbursement forms part of the overall budget of the hospital, its value to the individual hospital is not yet clear. On the other hand, individual departments may benefit greatly from the scheme, as medications covered are reimbursed in addition to DRGs and an

Table 1 Breakdown of direct costs (\in) from a bottom-up study of 71 hospital stays (20 patients with acute myeloid leukemia) in a hematology-oncology tertiary care hospital ward in 2002

(All cost in \in)	Mean	SE	95% CI	Median
Staff				
Medical care ^a	1,386	60	1,266-1,506	1,299
Nursing care ^a	3,153	147	2,860-3,446	2,843
Medication/blood products				
Individual drugs ^b	5,999	503	4,995-7,002	4,067
Other drugs ^c	449	20	410-489	421
Transfusion ^d	1,666	131	1,405-1,927	1,092
Transplantation	1,331	224	883-1,778	0
Other				
Materials ^e	720	37	647–793	660
Medical infrastructure ^f	1376	60	1,257-1,496	1,290
Microbiology	589	24	542-636	572
Base cost ^g	1,806	79	1,649–1,963	1,692
Total	19,039	998	17,050-21,029	16,067

SE Standard error; 95% CI 95% confidence interval; calculated with SAS PROC SURVEYMEANS

^a Including ward stand-by duty, temporary help, and extra hours

^b Drugs ordered for the individual patients ≥1€ based on ward total pharmacy consumption

^c Flat rate for "ward requirement" drugs and drugs <1€

^d Including blood substitutes

^e All patient-related medical ward supplies

^fAll non-patient-related medical ward supplies

^g Housing and general service

increase in overall budget is therefore possible. In 2007, both caspofungin and L-AmB were included in this scheme [12]. In 2007, the reimbursement per stay in hospital for a total dose of L-AmB of between 2,150 and 3,150 mg is ϵ 4,744.70, while that for a total dose of caspofungin of between 600 and 700 mg is ϵ 5,150.90.

Number needed to treat for one patient to be harmed

Laupacis et al. [13] introduced the concept of the number needed to treat (NNT) to observe one less adverse event. NNT is the reciprocal of the absolute risk reduction. Based on the outcome of the original RCT [7], we calculated the NNT for one patient to be harmed for nephrotoxicity. To assess uncertainty of the estimation, a 95% confidence interval was obtained by inverting and exchanging the confidence limits for the absolute risk reduction as described by Bender according to Wilson [14].

Health economic evaluation

Perspective

The analysis assessed the direct medical and non-medical costs of resource utilization attributable to prolongation of

hospital stay due to L-AmB-related nephrotoxicity. Responsibility for managing hematology–oncology patients with invasive fungal infections lies primarily with the tertiary care sector. Therefore, the perspective of the tertiary care sector, rather than that of German statutory health insurance or society, was chosen for the present analysis.

Economic analysis framework

Our evaluation of costs and its consequences is based on (1) difference in nephrotoxicity rates in the RCT [7]; (2) data on prolongation of hospital stay due to AmB and lipid formulation-related nephrotoxicity in hematology-oncology patients in Europe [8]; (3) cost of antifungal agents [15]; and (4) bottom-up data on direct cost of hospital stay per day in hematology-oncology in Germany. All costs were adjusted for inflation and calculated in 2007 euros [16]. As the evaluation focuses on short-term cost and consequences with immediate benefits, economic analyses were undiscounted. Calculations (for a 70-kg patient; see Table 2) were based on patient-individualized doses per treatment episode per treatment arm of the RCT [7], the official German price list [15], and German high-user hospital pharmacy drug acquisition cost including 19% VAT (provided by German university hospital pharmacists).

Table 2 Data input for the calculations

Parameter	Caspofungin group	Liposomal amphotericin B group ^a	
Nephrotoxicity risk ^b	2.6% ^c (95% CI 1.55–4.24; <i>n</i> =547)	11.5% ^c (95% CI 9.05–14.53; <i>n</i> =522)	
Absolute risk difference ^c	-8.9% (95% CI 5.9-12.0)		
Treatment days per stay ^c	13	12.5	
Drug cost according to official German price list (200	7, incl. 19% VAT)		
Per bottle, 70 mg	€799.57 ^d	-	
Per bottle, 50 mg	€630.68 ^e	€209.43	
Per day of treatment	(see above)	€879.61	
Total	€8,368	€10,995	
Drug cost according to high-user hospital pharmacy de	rug acquisition cost (2007, incl. 19% VAT)		
Per bottle, 70 mg	€559.30 (day 1)	_	
Per bottle, 50 mg	€428.40 (days 2–13)	€96.99	
Per day of treatment	(see above)	€407.34	
Total	€5,700	€5,092	
Supplementary reimbursement (Zusatzentgelt, 2007)			
Treatment-related code (mg range applicable)	39.12 (600 mg-<700 mg)	43.16 (2,150 mg-<3,150 mg)	
Supplementary reimbursement (ZE)	€5,151	€4,745	

ZE Zusatzentgelt, a treatment-related extra reimbursement scheme that is paid besides DRG for medicines and devices that cannot be allocated to a single DRG. In 2007, L-AmB as well as caspofungin are covered under this scheme.

^aLiposomal amphotericin B, 3 mg per kg bodyweight per day, 70-kg patient

^bCaspofungin vs liposomal amphotericin B

^c Data from the original publication

^d Day 1

^e Days 2–13

Probabilistic sensitivity analysis for health economic evaluation

Modifying the work done by Spiegelhalter et al. [17], a two-stage Monte Carlo simulation on binominal and Gaussian random variables from separate studies with comparable populations was performed. Stage 1 included (1) simulation of the binominal distribution of the initial risk of nephrotoxicity due to L-AmB and caspofungin use, respectively [7], followed by simulation of absolute risk reduction and (2) simulation of the density of another normally distributed random variable 'prolonged length of hospital stay due to L-AmB use' [8]. In stage 2, 'cost of hospital stay per day in hematology-oncology' (gamma distributed random variable) and 'high-user hospital pharmacy drug acquisition cost of the respective antifungal agent' (constant) were added to the model. Freely available WinBUGS 1.4.1 software based on SAS 9.1.3 was used to calculate mean, standard error, median, confidence intervals [18, 19], and the probability of cost savings [20]. The per diem total hospital costs at which the use of caspofungin were estimated to be cost-neutral compared to L-AmB were obtained from the inverse of the extra days in hospital due to nephrotoxicity multiplied by the difference in cost for caspofungin and L-AmB before and after accounting for their supplementary reimbursement [19]. Results obtained by WinBUGS were imported into SAS and formatted and processed into SAS data sets to produce tables [21].

Results

Number needed to treat for one patient to be harmed

The NNTs for one patient to be harmed by nephrotoxicity for L-AmB vs caspofungin from the RCT [7] was 12 (95% CI 8-17).

Direct cost of hematology-oncology stay in a tertiary hospital in Germany

Seventy-one hospital stays (mean, 34 days; minimum, 1 day; and maximum, 96 days) of 20 patients with AML treated between 2001 and 2003 were included. Overall staff times and associated costs, medication and blood product costs, and other costs are shown in Table 1. Treatment cost for AML at Bonn university also included costs for induction and consolidation therapy. Patients undergoing auto-SCT are included. The direct bottom-up cost per hospital stay per day in the hematology unit at Bonn University Hospital, Germany was estimated at ϵ 626

(95% CI 550–702), equivalent to €587 in 2002 (95% CI €516–€658).

Overall medical care cost consisted of one physician (one full time equivalent/FTE), two interns (two FTE), and two consultants (0.45 and 0.05 FTE). Night duties and extra hours were included. Cost of nursing care, in Germany traditionally characterized by cost per nursing staff regulation minute, was $\notin 0.51$ /min. Total direct cost of autologous SCT was $\notin 20,679$ (2002). This includes materials ($\notin 4,154$), stem cell mobilization and separation ($\notin 10,744$), preparatory steps before re-infusion ($\notin 5,239$), and quality assurance measures ($\notin 542$). In 2002, the total number of hospitalizations in this hematology ward at Bonn university was 338, and the total number of myelosuppressive chemotherapy cycles was 154, respectively.

As access to the cost of some medical service units of the hospital was limited, the following costs were estimated based on German medical flat rates [11]: (1) medical services, including electrocardiograms and X-rays, mean \notin 265 (95% CI 230–301); (2) consultancy fees (internal calculation), mean \notin 25 (95% CI 20–31); (3) hematology lab, mean \notin 185 (95% CI 169–201); (4) immunology lab, mean \notin 40 (95% CI 27–52); and (5) other lab services, mean \notin 323 (95% CI 275–370).

Incremental cost due to nephrotoxicity

Based on the data from the RCT [7] and the LEAD study [8], the nephrotoxicity-related prolongation of hospital stay per patient was 0.48 days (95% CI 0.14–0.88). Based on the bottom-up cost data presented in this paper, this results in an additional cost of \notin 298 (2007; 95% CI 89–554) for each patient treated with L-AmB.

Based on the official German price list (2007; including 19% VAT) [15], the difference in cost of medication is ϵ 2,627 in favor of caspofungin (see Table 2).

Based on high-user hospital pharmacy drug acquisition cost (2007; including 19% VAT), the difference in cost of medication is €608 in favor of L-AmB. With consideration of supplementary reimbursement (Zusatzentgelt), at a direct cost per day of hospital stay of €428 (95% CI €162; €809) or more the incremental cost due to L-AmB-related nephrotoxicity [7] offsets the higher frequent user acquisition cost of caspofungin. Without consideration of supplementary reimbursement (Zusatzentgelt) of both L-AmB and caspofungin, respectively, this figure was ≥€1,284 (95%) CI €479; €2,449). Based on the point estimates and variances of the costing study presented in this paper, the cost savings per patient treated with caspofungin compared to L-AmB is estimated at €96, ranging from savings of €352 up to incremental cost of €113, with 95% confidence (see Table 3). The probability of savings was 79% (Figs. 1 and 2).

Table 3 Bayesian model outputs

Parameter	Caspofungin	Liposomal amphotericin B	Difference ^a
Analysis of the original head-to-head trial			
NNT for one patient to be harmed	-	12 (95% CI 9–17)	n. a.
Additional stay per treated patient per NNT for	or one patient to be ha	rmed	
Bootstrap calculation; days	-	0.48 (95% CI 0.14-0.88)	n. a.
Cost per additional stay per patient per day de	ue to amphotericin B-r	elated nephrotoxicity	
At direct cost of €626 per day	_	€298 (95% CI €89–€554)	n. a.
Total cost at cost per day of hospital stay of €	626		
Drug cost (incl. 19% VAT)	€5,700	€5,092	€608
Incremental cost due to nephrotoxicity	-	€298 (95% CI €89– €554)	-€298 (95% CI -€554€89)
./. Supplementary reimbursement	€5,151 ^b	€4,745 ^c	-€406
Cost	€549	€645 (95% CI €436–€901)*	–€96 (95% CI –352–113) ^d

^a Cost of caspofingin./.cost of L-AmB

^b Supplementary reimbursement ZE 39.12

^c Supplementary reimbursement ZE 43.16

^d In favor of caspofungin. Probability that total difference is $\leq \in 0$ is 79% (see Fig. 2).

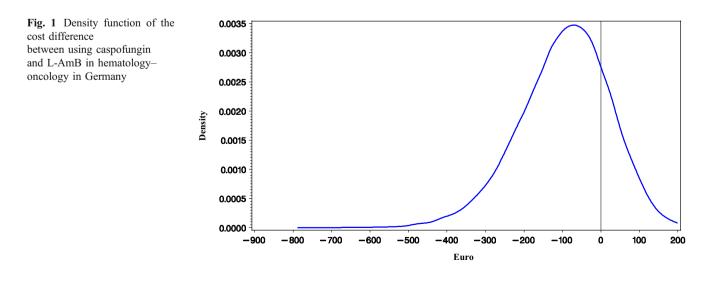
Discussion

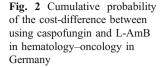
Systemic fungal infections are a major cause of mortality and morbidity in patients with hematologic diseases and persistent neutropenia [1–3]. Infections caused by organisms of the genera *Aspergillus* and *Candida* constitute a challenge for physicians responsible for the care of these patients [2–4]. The studies from which our calculations are based [7, 8] and the cost study presented in this paper were performed in comparable populations at high risk for systemic fungal infections. Both the products under discussion are approved for use in the indication under consideration.

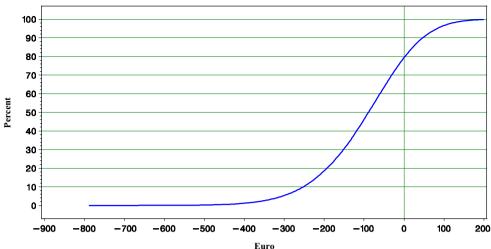
The RCT upon which the calculations are based [7] showed the efficacy of caspofungin to be non-inferior to that of L-AmB. As caspofungin was better tolerated than L-AmB [7], the present analysis focuses on the most clinically relevant tolerability endpoint, which, according to the

literature, is the nephrotoxicity associated with antifungal agents [7, 8, 22, 23].

According to the international literature on this subject, the cost of AmB-associated nephrotoxicity in hematology– oncology patients amounts to US\$24,756 to 41,083 with projected cost of treating L-AmB associated impaired renal function per patient at risk of US\$3,173 [24]. On the other hand, in a single-center study conducted in the USA, Harbarth et al. [25] were unable to identify any increase in costs or any prolongation of hospital stay despite increased mortality. In contrast to the data presented in this paper, all the studies referred to use 'top-down' approaches [24–26], which allocate a total budget to specific services and thus provide less precise estimates [9]. Moreover, the findings of these studies are based on tariffs and fees applicable in the various countries concerned and therefore cannot be extrapolated to the German health system. We deliberately







undertook a micro-costing ('bottom-up') approach, evaluating the situation for all the costs of individual items related to the treatment and care of AML. This approach provides a more specific insight into the relationships between characteristics of activities and their costs and the relative o

importance of separate activities [9]. The published literature contains only one article that specifies the 'bottom-up' costs per day of hospital stay in Germany [27], albeit for intensive care. To our knowledge, the microeconomic analysis presented in this paper is the first of its kind in hematology–oncology that refers to the German health system.

The results of the present analysis are based upon data derived from the year 2002 (before the introduction of DRGs in Germany). We have adjusted cost for inflation. The transition from per diem charges to diagnosis related charges—which started in 2003 and will be completed nationwide in 2010—may have initiated efforts to optimize the costing structure in German hospitals.

We believe that our analyses are conservative. Firstly, the proportion of patients who developed L-AmB related nephrotoxicity defined as a twofold increase in serum creatinine compared to baseline was similar in the randomized controlled trial—which gave rise to the number needed to harm of L-AmB vs caspofungin—and the LEAD study [7, 8]. The LEAD study, however, found already a 1.5-fold increase in serum creatinine compared to baseline—which comprises a far higher proportion of patients [8, 23]—to be associated with longer stays in hospital [8]. In addition, no account was taken of the other tolerability advantages of caspofungin [7], e.g., fewer side effects during the infusion. On the other hand, L-AmB associated nephrotoxicity may also lead to dose reductions and thus lower cost of some antibiotics and other medications.

Secondly, the data from Bonn University Hospital presented in this paper exclude the costs of allogeneic stem cell transplantation, as patients were transferred to another tertiary care hospital to undergo that procedure. The cost of transplantation as a component of direct medical costs may therefore have been considerably underestimated in the present analysis. In two Norwegian studies, the mean cost of autologous and allogeneic stem cell transplantation was found to be US\$32,160 (2001) [28] and US\$106,825 (1999) [29], respectively.

In the year 2002, the mean direct cost of treating AML at Bonn University Hospital was found to be €19,039. Hospital funding in Germany is a case-mix system based on diagnosis-related groups (DRGs) in which the basic DRG indicates the underlying condition. Secondary diagnoses are indicated by patient clinical complexity levels (PCCL). Discrepancies between DRG reimbursement and real cost are possible and have been identified by the German competence network 'Acute and chronic leukaemias' [4]. Thus, where the underlying condition is AML and the clinical complexity level is increased due to the presence of systemic fungal infection, a hospital stay of 34 days in the year 2007 is to be reimbursed by €9,669, [10, 30].

The present analysis refers to the costs and reimbursement of systemic antifungal agents in tertiary care hospitals. The recent introduction of supplementary reimbursement (Zusatzentgelt) makes such reimbursement possible [11] when a definable service of no fixed assignment occurs sporadically across a number of DRGs and causes significant costs to the system as a whole. As such supplementary reimbursements are paid besides DRG payments but within the total budget of the individual hospital, this form of reimbursement spares the base rate of tertiary care hospitals and makes these hospitals competitive compared to lower levels of service provision at a high level of quality care. Thus, the supplementary reimbursement (Zusatzentgelt) in Germany helps to maintain the budget of the individual hospital, and it helps the department caring for an individual patient to get the expenses covered.

The development of nephrotoxicity during treatment with liposomal and other formulations of AmB prolongs hospital stays [8]. From the perspective of the hospital operator, the additional costs due to this nephrotoxicity are therefore directly dependent on the mean direct medical cost per day of hospital stay. Based on the better tolerability of caspofungin, high-user hospital pharmacy drug acquisition cost including 19% VAT and the outcome of the costing study presented in this paper, we estimated that with a probability of 79%, use of this substance is more costeffective for German hospitals than use of L-AmB. In general terms, caspofungin was found to be cost-saving compared to L-AmB at any direct medical cost greater than €428 per day of hospital stay. If supplementary reimbursement (Zusatzentgelt, 2007) is excluded from the calculation, which is usually not done, this break-even point is €1,284 per day of hospital stay. On the other hand, these figures could be considerably lower if other efficacy and safety endpoints [7] were included in the calculation.

The present analysis of the risk and costs of nephrotoxicity for tertiary care hospitals with hematology–oncology departments is based on the best available evidence. The present system of DRG-based reimbursement for the treatment of AML per se does not cover all costs. The better renal tolerability of caspofungin compared to L-AmB results in shorter hospital stays and therefore more economical use of health care resources. The data presented in this paper show that on the basis of nephrotoxicity alone, use of caspofungin in hematology–oncology departments in Germany is at least cost-neutral compared to use of L-AmB.

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