



# Sign of Life is Associated with Return of Spontaneous Circulation After Resuscitative Thoracotomy: Single Trauma Center Experience of Republic of Korea

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## Abstract

**Background** Resuscitative thoracotomy (RT) can be a lifesaving treatment, but it has not yet been performed in Korea. In this study, we review our experience of RT after a regional trauma center was constructed.

**Methods** This is a retrospective study of RT conducted at a single Korean trauma center from May 2014 to March 2018. The primary outcome was survival, and the secondary outcome was return of spontaneous circulation (ROSC). The clinical characteristics of the patients were compared between the ROSC and non-ROSC groups. Survivors were also reviewed.

**Results** A total of 62 patients were reviewed, and 60 patients had experienced blunt injury. Thirty-nine patients had ROSC. The ROSC group had short cardiopulmonary resuscitation (CPR) time (6 [2–10] min vs 11 [8–12] min,  $p < 0.001$ ), the presence of sign of life at the trauma bay [32 (86.5%) vs 7 (28.0%),  $p < 0.001$ ], and a low Injury Severity Score [26 (25–39) vs 37 (30–75),  $p = 0.038$ ] compared to the non-ROSC group. On multivariate analysis, only the presence of sign of life was significantly associated with ROSC [11.297 (1.496–85.309) OR (95% CI),  $p = 0.019$ ]. The 24-h survival rate was 8.1%, and the successful discharge rate was 4.8%.

**Conclusion** The outcome of RT in a Korean trauma center was favorable. ROSC after RT was strongly associated with the presence of sign of life, and RT may be performed in the presence of sign of life regardless of prehospital CPR time.

## Introduction

Resuscitative thoracotomy (RT) is considered the last option when performing resuscitation on cardiac arrest patients with severe trauma. It can be performed for several reasons, such as to release the pericardial tamponade, control cardiac hemorrhage, control intrathoracic bleeding, evacuate a massive air embolism, perform open cardiac massage, and temporarily occlude the descending thoracic

aorta [1]. RT is performed not only in thoracic trauma patients but also in non-thoracic trauma patients for resuscitation; therefore, it differs from emergency thoracotomy performed in the operation room [2]. With strict indications, the overall survival rate after RT has improved from 5 to 14%, but performing the procedure after a blunt trauma remains controversial [3].

Because RT requires many resources such as skills, manpower, facilities, and time, it was impossible to previously perform it in Korea. Moreover, blunt trauma, for which RT is not effective, is a major occurrence in Korea [4]. However, the Korean trauma system has been recently established with the creation of regional trauma centers all over the country since 2012 [5]. Our institution is one of such trauma centers and has been trying to improve the

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trauma system, including RT. To the best of our knowledge, until recently, our institution was only center that actively performed RT in Korea.

Many reports on RT are available in the USA, and only a few have been published in Asia, especially in Japan [6–8]. Therefore, the aim of our study was to review the experience and outcome of RT at a trauma center in Korea.

## Methods

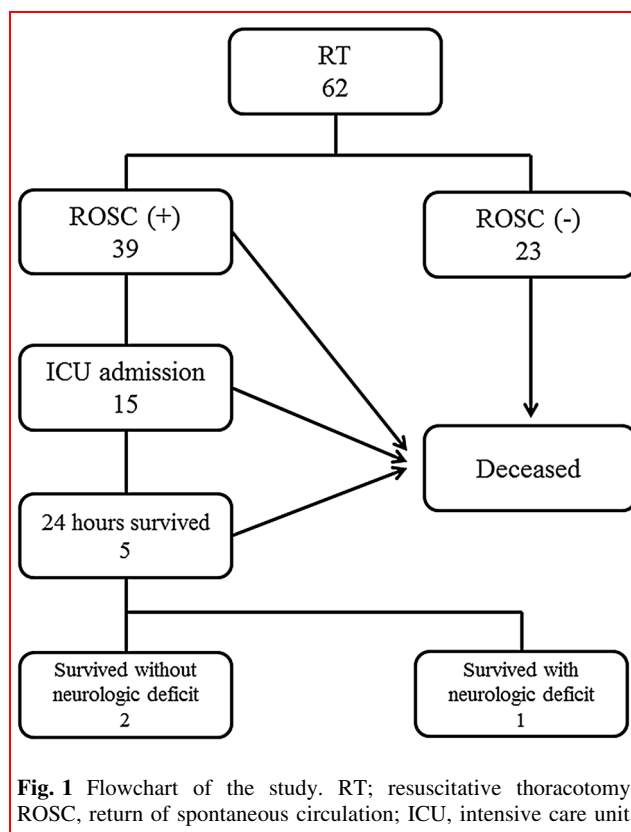
This was a retrospective study conducted from May 2014 to March 2018 and was approved by the Institutional Review Board of our institution (AJIRB-MED-OBS-18-126). Our center was nominated as a regional level I trauma center at the end of 2012, but until 2015, the resources were limited, and emergency physicians usually examined severe trauma patients first. With national support, a new trauma center was constructed in 2016 and trauma bay has been operated separately from emergency room. RT was initiated just before the dedicated trauma bay opened and has been performed more frequently since the transition. However, the RT protocol has not changed.

Prehospital variables including injury mechanism, injury time, and prehospital cardiopulmonary resuscitation (CPR) times were collected from prehospital records. In addition, as CPR time is an indication for RT, we asked emergency medical service (EMS) personnel and recorded the prehospital CPR times. Baseline demographic and clinical data were collected from medical record and surgical descriptions. Sign of life in the trauma bay was defined as the presence of one of following: detectable blood pressure, respiratory or motor effort, cardiac electric activity, and pupillary activity. Injury Severity Score (ISS) was calculated by a trauma coordinator. However, since autopsy is not routinely performed in Korea, ISS could not be calculated in some deceased patients. Nevertheless, major injury sites could be identified because sonography and simple radiography were performed for suspicious lesion after death. Major injury sites and cause of cardiac arrest were obtained from death certificates, ISS, and medical chart provided by the attending staff. Major injury sites were defined according to ISS lesions.

In-hospital mortality was the primary outcome, but only few patients were expected to survive. Therefore, return of spontaneous circulation (ROSC) after RT was the secondary outcome. The study flow is presented in Fig. 1.

### Resuscitative thoracotomy indication

The following indications of RT at our institution were according to the current guidelines [9, 10]: (1) blunt trauma with prehospital cardiac arrest time < 10 min, (2)



**Fig. 1** Flowchart of the study. RT; resuscitative thoracotomy; ROSC, return of spontaneous circulation; ICU, intensive care unit

penetrating trauma with prehospital cardiac arrest time < 15 min, and (3) impending cardiac arrest.

RT was usually performed in the trauma bay; however, if the patient information was known to us, i.e., they were transferred from a local hospital, the patient was directly admitted to the operation room (OR) and RT was performed there regardless of ROSC. In addition, because trauma surgeons participate in physician-staffed helicopter EMS in our trauma center, sometimes RT is performed in a helicopter if indicated [11]. If ROSC was observed in the trauma bay, the patient was immediately transferred to OR to control hemorrhage.

### Surgical technique

Trauma surgeons certified by the boards of general surgery, thoracic surgery, or emergency medicine performed RT. Left anterolateral thoracotomy was performed in the fifth intercostal space. After dissection with a scalpel or metzenbaum scissor, a rib spreader was used. Cross-clamping of the descending aorta was routinely conducted, and open cardiac massage was performed. If needed, we extended the incision into clamshell type; however, it was not performed in the trauma bay but in OR.

## Statistical analysis

Continuous variables were expressed as median values and interquartile ranges because they did not follow normal distribution. Categorical variables were presented numbers. Chi-square test and Fisher's exact test, and Mann–Whitney U test were applied for categorical and continuous variables, respectively. To identify the associated factors for ROSC after RT, univariate analysis was performed to compare the ROSC and non-ROSC groups. The presence of sign of life, CPR time, ISS, sex, age, admission route, injury mechanism, admission time from injury, and cause of cardiac arrest were selected for univariate analysis. Multivariate analysis was performed using logistic regression with an enter method, and variables were selected for inclusion in the model on the basis of the results of the univariate analysis. A *p* value of < 0.10 was considered statistically significant. SPSS version 23 (IBM, Chicago, IL, USA) was used for analysis.

## Results

### Patients' characteristics

A total of 62 patients underwent RT during the 4-year study period. Most patients were men with blunt trauma, and median age was 52 years. Two patients with penetrating trauma had thorax injury. Thirty-nine patients experienced ROSC after RT. The baseline characteristics are presented in Table 1. The ROSC group presented shorter CPR times than the non-ROSC group (6 [2–10] min vs 11 [8–12] min, *p* < 0.001), more presence of sign of life in the trauma bay (32 (86.5%) vs 7 (28.0%), *p* < 0.001), and less ISS (26 [25–39] vs 37 [30–75], *p* = 0.038). However, ISS could not be calculated for nine patients in each group because adequate evaluation was not performed. Two cases of RT were performed in the helicopter, and although they experienced ROSC, none survived.

**Table 1** Baseline demographic characteristics

	All (62)	ROSC (39)	Non-ROSC (23)	<i>p</i>
Age (years)	52 [37–67]	47 [35–62]	62 [45–74]	0.374
Sex (M/F ratio)	47:15	27:12	20:3	0.115
Injury mechanism				0.701
Blunt	60	38	22	
Penetrating	2	1	1	
ISS <sup>†</sup>	31 [25–41]	26 [25–39]	37 [30–75]	0.038
CPR time before RT (min)	9 [4–11]	6 [2–10]	11 [8–12]	<0.001
Presence of sign of life	37	32	5	<0.001
Admission time from injury (min)	38 [25–127]	38 [22–154]	62 [27–123]	0.959
Admission route				0.425
Direct	42	25	17	
Transfer	20	14	6	
Major injury site				0.176
Head	8	3	5	
Thorax	28	16	12	
Abdomen	15	12	3	
Pelvic or extremity	11	8	3	
Cause of cardiac arrest				0.134 <sup>a</sup>
Bleeding	54	36	18	
Brain	8	3	5	

Values in parenthesis are median [quartile]

ROSC return of spontaneous circulation after resuscitative thoracotomy, ISS Injury Severity Score, CPR cardiopulmonary resuscitation, and RT resuscitative Thoracotomy

<sup>†</sup>18 patients could not be calculated the ISS. Nine patients in each group could not be calculated the ISS

<sup>a</sup>Fisher's exact test

**Table 2** Location of RT

	Trauma bay (45)	OR (15)	Helicopter (2)	<i>p</i>
Age (years)	47 [36–64]	52 [38–62]	47 [24–69]	0.771
Sex (M/F ratio)	33:12	13:2	1:1	0.398
ISS	34 [25–54]	26 [25–32]	32 [26–38]	0.466
CPR time before RT (min)	9 [5–11]	5 [1–11]	5 [5, 6]	0.476
Presence of sign of life	25 (55.6%)	12 (80.0%)	0 (0%)	0.054
ROSC	26 (57.8%)	11 (73.3%)	2 (100%)	0.303
Survival	2 (4.4%)	2 (13.3%)	0 (0%)	0.446

Values in parenthesis are median [quartile]

OR operation room, ISS Injury Severity Score, CPR cardiopulmonary resuscitation, RT resuscitative thoracotomy, and ROSC return of spontaneous circulation after resuscitative thoracotomy

**Table 3** Univariate analysis of associated factors for ROSC

	Odds ratio	95% Confidence interval	<i>p</i>
Presence of sign of life	16.457	4.554–59.471	<0.001
CPR time	0.767	0.655–0.897	0.001
ISS	0.961	0.926–0.998	0.040
Injury site			
Head/thorax (reference)	1.000		
Abdomen/pelvic <sup>a</sup>	2.982	0.970–9.166	0.056
Sex (female)	2.963	0.737–11.908	0.126
Age	0.987	0.960–1.015	0.987
Admission route	1.587	0.509–4.950	0.426
Injury mechanism	0.579	0.034–9.724	0.704
Admission time from injury	1.001	0.997–1.006	0.532
Cause of cardiac arrest	0.300	0.064–1.398	0.125

ROSC return of spontaneous circulation after resuscitative thoracotomy, CPR cardiopulmonary resuscitation, and ISS Injury Severity Score

## Location of RT

Of 62 patients, 42 underwent RT in the new trauma bay, 15 in the OR, three in the original emergency department, and two in the transport helicopter. No significant difference was observed between location and ROSC rate. Two patients who were performed RT in helicopter presented ROSC after RT, but did not survive (Table 2).

## Associated factors of ROSC after RT

In the univariate analysis, the presence of sign of life, CPR time, abdomen/pelvic injury, and ISS were selected for multivariate analysis (Table 3). However, only the presence of sign of life (11.297 [1.496–85.309] odds ratio [95% CI],  $p = 0.019$ ) was significant in the final multivariate model (Table 4).

**Table 4** Multivariate analysis of associated factors for ROSC

	Odds ratio	95% Confidence interval	<i>p</i>
Presence of sign of life	11.297	1.496–85.309	0.019
CPR time	0.805	0.632–1.026	0.079
ISS	0.967	0.923–1.013	0.154
Injury site			
Head/thorax (reference)	1.000		
Abdomen/pelvic <sup>a</sup>	2.039	0.254–1.013	0.154

Hosmer–Lemeshow goodness of fit (DF = 8), Chi-square 10.030, and  $p = 0.263$

The presence of sign of life, CPR time, ISS, abdomen/pelvic injury, sex, age, admission route, injury mechanism, admission time from injury and cause of cardiac arrest were selected for univariate analysis. After univariate analysis, variables ( $p < 0.10$ ) were selected for multivariate analysis and other variables ( $p > 0.10$ ) were not presented in table

ROSC return of spontaneous circulation after resuscitative thoracotomy, CI confidence interval, CPR cardiopulmonary resuscitation, and ISS Injury Severity Score

## Survivors

Five patients survived after RT, and all patients presented signs of life (Table 5). However, one patient died due to septic shock 16 days postoperatively and one patient became an organ donor because of severe anoxic brain damage. Three patients were successfully discharged, and the overall survival rate was 4.8% (3/62) (Table 4). Two patients (3.2%) were discharged without neurological deficit. A 22-year-old woman was admitted to our trauma center after a 4-m fall. She presented with cardiac arrest before arrival to the hospital. CPR was performed by EMS personnel for approximately 9 min. After RT, circulation was recovered but active bleeding of thorax was not identified during the operation. Massive transfusion and resuscitation were performed in the intensive care unit. However, she was later diagnosed with skull fracture, brain hemorrhage, facial bone fracture, rib fracture,

**Table 5** Characteristics of survivors

Sex/age	Injury mechanism	CPR time (min)	Admission from injury (min)	ISS	Major injury	ICU LOS	Ventilator LOS	Outcome
M/51	Blunt	2	155	NA	Brain	5	5	Organ donor
M/45	Blunt	13	22	16	Hemothorax	70	43	Neurological deficit (+)
F/22	Blunt	9	15	22	Brain	88	47	Neurological deficit (–)
M/38	Penetrate	1	168	16	Hemothorax	10	1	Neurological deficit (–)
M/75	Blunt	15	29	54	Hemothorax	16	16	Neurological deficit (+) Deceased after 16 days

CPR time cardiopulmonary resuscitation, ISS Injury Severity Score, ICU intensive care unit, and LOS length of stay

pneumothorax, clavicle fracture, cervical and thoracic spine fracture, and multiple deep lacerations. She underwent many operations conducted by an orthopedic surgeon and recovered well without neurological deficit. Another surviving patient was a 38-year-old man who was transferred from a local hospital. He was stabbed with a knife in the left anterior chest wall, and a massive hemothorax was identified. He was transferred to our center by helicopter and directly moved to OR. Just before operation, cardiac arrest was identified and approximately 1 min of CPR was performed before preparing for RT. The patient was diagnosed with massive hemothorax due to internal thoracic artery injury, intercostal artery injury, pericardium laceration, and diaphragm laceration. Simultaneous thoracotomy and laparotomy were performed because the diaphragm was opened till the abdominal cavity, but no definite abdominal organ injury was observed. The patient recovered well without any neurological deficit.

## Discussion

In the present study, the 24-h survival rate of RT was 8.1% and overall survival rate was 4.8%. Sign of life was significantly associated with ROSC after RT, regardless of CPR time.

Our results demonstrate that 4.8% of patients who were discharged had survived, which is a low proportion but comparable with outcomes in previous studies, which demonstrated overall survival of 7–10% [12, 13]. In blunt trauma, RT remains controversial. Moore et al. [3] demonstrated that the overall survival rate of RT has improved to approximately 14%, but penetrating trauma was associated with a better outcome than blunt trauma. In a recent meta-analysis on blunt trauma, only 21 of 1369 (1.5%) patients survived with a good neurological outcome after RT [14]. In addition, Moriwaki et al. demonstrated that 13 of 408 (3.2%) patients survived after blunt trauma with prehospital cardiac arrest after RT [7]. These patients present vital signs at the time of injury or in the emergency

room. In Korea, the trauma mechanism in most cases is blunt force [4]. In addition, the trauma treatment system was not fully developed and the trauma center was only recently constructed [15]. Nevertheless, the outcome was comparable to that in developed countries and it may improve after the trauma treatment system is completely developed.

Indication of RT is important. It results in improved outcomes, as well as prevents waste of resources. Passos et al. [16] reported that among 63 cases of inappropriate RT, three cases comprised needle-stick injuries, which involved the use of 335 U of blood products and occupied six OR spaces. In the present study, approximately 1000 U of blood products were used for non-survivors. Indication of RT in our center was blunt trauma with prehospital cardiac arrest time of < 10 min because short CPR time is associated with a chance of ROSC. However, there were 17 patients with prehospital cardiac arrest time of > 10 min. The longest prehospital cardiac arrest time was 15 min. In an emergency situation, accurate prehospital cardiac arrest time cannot be calculated and is usually assumed to be approximately 10 min, which is usually the time taken to reach the hospital from the injury. Moreover, trauma surgeons are required to make a prompt decision regarding RT, and most often, there is not enough time available to discuss CPR time with the EMS personnel. Sometimes, EMS personnel are unsure of the exact prehospital CPR time. Sign of life could be a good indicator in this situation. In the present study, patients who presented sign of life in the trauma bay had a significantly high chance of ROSC. Two patients with cardiac arrest time of > 10 min survived after RT, although severe neurological deficit was observed; however, they presented sign of life in the trauma bay. Furthermore, recent guidelines recommend against RT without the presence of sign of life, especially in blunt trauma [10, 17]. Therefore, sign of life should be considered as an indication regardless of CPR time. Using this indication, the 24-h survival rate was 13.5% and overall survival rate was 8.1%.

Although two cases of RT were performed in the helicopter, they were not conclusive procedures. Matsumoto et al. [18] reported that 44 cases of emergency RT were performed in the helicopter but no patients survived. However, ROSC rate was significantly high and two cases in our study also presented ROSC. On the other hand, surgical procedures, such as cardiac suture, are limited and blood product is not available in helicopters. If cardiac injury is observed, there may be no method available for treatment. Further studies are needed to evaluate the feasibility of performing RT in helicopters.

In the present study, we analyzed 20 patients who were transferred from local hospitals. Their median admission time from the injury was 157 [120–188] min. If they were admitted to trauma centers earlier, RT may not have been required. Early hemorrhagic control is important in trauma patients, especially in those with life-threatening bleeding [19]. A regional level I trauma center was constructed since 2012, but the trauma system, including triage, has not yet been developed. RT can be performed to treat only a few people, but with an effective trauma system, more people can be treated without RT.

The limitations of this study include its retrospective nature and small number of patients, especially with penetration injuries. In addition, the outcomes of three patients, who were examined before the trauma center was built, were included in our study. However, during this period, the RT indication did not change. RT was performed by various trauma surgeons; therefore, surgical skills and RT time for all cases may be different.

In conclusion, RT in a Korean trauma center remains challenging and the outcome of RT was less favorable than that in developed countries. This may be because of the high proportion of blunt traumas and inappropriate indication for RT. Because ROSC after RT is strongly associated with the presence of sign of life, sign of life may be considered as an indication for RT regardless of prehospital CPR time.

#### Compliance with ethical standards

**Conflict of interest** The authors declare that they have no conflict of interest.

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