# Pumping Lemmas Can be "Harmful" 

Jingnan Xie ${ }^{1} \cdot$ Harry B. Hunt III ${ }^{2}$ •Richard E. Stearns ${ }^{2}$

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#### Abstract

A pumping lemma for a class of languages $\mathcal{C}$ is often used to show particular languages are not in $\mathcal{C}$. In contrast, we show that a pumping lemma for a class of languages $\mathcal{C}$ can be used to study the computational complexity of the predicate " $\in \mathcal{C}$ " via highly efficient many-one reductions. In this paper, we use extended regular expressions (EXREGs, introduced in Câmpeanu et al. (Int. J. Foundations Comput. Sci. 14(6), $1007-1018,2003)$ ) as an example to illustrate the proof technique and establish the complexity of the predicate "is an EXREG language" for several classes of languages. Due to the efficiency of the reductions, both productiveness (a stronger form of nonrecursive enumerability) and complexity results can be obtained simultaneously. For example, we show that the predicate "is an EXREG language" is productive (hence, not recursively enumerable) for context-free grammars, and is Co-NEXPTIME-hard for context-free grammars generating bounded languages. The proof technique is easy to use and requires only a few conditions. This suggests that for any class of languages $\mathcal{C}$ having a pumping lemma, the language class comparison problems (e.g., does a given context-free grammar generate a language in $\mathcal{C}$ ?) are almost guaranteed to be hard. So, pumping lemmas sometimes could be "harmful" when studying computational complexity results.


Keywords Extended regular expressions • Pumping lemmas • Undecidability • Productiveness • EDT0L • Synchronized regular expressions

[^0]
## 1 Introduction

Extended regular expressions (EXREGs) introduced by Campeanu et al. [1] are standard regular expressions augmented with backreferences (as defined in [2]) to match the same text again. Campeanu et al. showed that EXREGs represent a family of languages that is larger than the family of regular languages and is incomparable with the family of context-free languages. So it is desirable to study the language comparison problem between context-free languages and EXREGs (i.e., does a given context-free grammar generate an EXREG language?).

Similarly, another extension of regular expressions - synchronized regular expressions (SRE) is defined and studied in [3]. SRE may allow to find if certain subexpressions are repeated the same number of times in a text. This can be useful for integrity checks, especially when mixed with other extensions such as backreferences. Della Penna et al. used SRE to present a formal study of the backreferences extension and of a new extension called the synchronized exponents proposed by them. In [4], Carle showed that the family of languages expressed by SRE properly contains the family of languages expressed by EXREGs. So it is also desirable to study the language comparison problem between SRE and EXREGs (i.e., does a given synchronized regular expression generate an EXREG language?)

Lindenmayer systems (L-systems) were introduced by Aristid Lindenmayer in 1968 [5] to model the development of simple multi-cellular organisms in terms of division, growth, and death of individual cells. EDT0L systems (discussed in [6]) are a special type of L-systems with great research value (for example, see [7, 8]). How hard is it to determine whether a given EDT0L system generates an EXREG language?

All these problems can be solved due to the existence of a pumping lemma for EXREGs. In [1], a pumping lemma for the languages expressed by EXREGs is proven. Often, a pumping lemma for a class of languages $\mathcal{C}$ is used to show particular languages are not in $\mathcal{C}$. In contrast, we use this pumping lemma to study the complexity of the predicate "is an EXREG language" via highly efficient many-one reductions. Due to the efficiency of these reductions, both productiveness (a stronger form of non-recursive enumerability) and complexity results are obtained simultaneously. The proof technique we illustrate in this paper is easy to use and requires very little. This suggests that for any class of languages $\mathcal{C}$ having a pumping lemma, the predicate " $\in \mathcal{C}$ " is almost guaranteed to be hard. Since developing pumping lemmas is still an important research topic in the theory of formal languages (for example, see [9-11]), the proof technique in this paper has the potential to be used for many classes of languages.

This paper is organized as follows.
In Section 2, we review the definitions of EXREGs, SRE, and EDT0L systems to make the paper more self-contained. The definition and importance of productiveness are discussed. Several preliminary definitions and notations are also explained.

In Section 3, we establish our major results on the predicate "is an EXREG language". We show that the predicate "is an EXREG language" is productive, hence non-recursively enumerable for non-deterministic 1-reversal bounded 1-counter machines, linear context-free grammars, context-free grammars, and SRE. We also show that the predicate "is an EXREG language" is Co-NEXPTIME-hard for contextfree grammars generating bounded languages, and is PSPACE-hard for EDT0L systems generating bounded languages. It is worth mentioning that even for a polynomial time recognizable subset whose elements only generate bounded languages,
the predicate "is an EXREG language" is already hard. This means that the problem is hard independent of the complexity of testing whether a language is bounded.

## 2 Definitions and Notations

In this section, we review the definitions of EXREGs, SRE, and EDT0L systems from [1,3], and [6], respectively. The importance of productiveness and several preliminary definitions and notations in language theory are also explained. The reader is referred to [12] for all unexplained notations and terminologies in language theory.

We use $\lambda$ to denote the empty string and $\emptyset$ to denote the empty set. We use $\mathbb{N}$ to denote the set of natural numbers. Let $\mathbf{P}$ denote the class of sets that can be recognized in polynomial time by a deterministic Turing Machine. Let PSPACE denote the class of sets that can be recognized using polynomial space by a Turing Machine. Let NEXPTIME denote the class of sets that can be recognized in exponential time by a non-deterministic Turing Machine. We use Co-NEXPTIME to denote the set of the complements of the languages in NEXPTIME. If $A$ is many-one reducible to $B$, we write $A \leqslant_{m} B$; if this reduction is polynomial-time bounded, we write $A \leqslant_{\text {ptime }} B$.

Let $\mathcal{D}$ be a class of language descriptors that describe languages over $\Sigma$. In this paper, we only consider finite $\Sigma$. Then, $\forall d \in \mathcal{D}, \mathcal{L}(d)=\left\{w \in \Sigma^{*} \mid w\right.$ is described by $d\}$ and $\mathcal{L}(\mathcal{D})=\left\{L \subseteq \Sigma^{*} \mid \exists d \in \mathcal{D}\right.$ such that $\left.L=\mathcal{L}(d)\right\} . \forall d \in \mathcal{D}$, let $|d|$ denote the size of $d$. The size of a context-free grammar is the number of symbols of all its productions. For example, the following context-free grammar $d$ accepts the language $\{0,1\}^{*} . d=\left(\left\{s_{1}\right\},\{0,1\},\left\{\left(s_{1}, 0 s_{1}\right),\left(s_{1}, 1 s_{1}\right),\left(s_{1}, \lambda\right)\right\}, s_{1}\right)$. The size of $d$ is 8 (denoted by $|d|=8$ ).

A language class comparison problem is defined as follows: for two classes of language descriptors $\mathcal{D}_{1}$ and $\mathcal{D}_{2}$, determine for any $a \in \mathcal{D}_{1}$, whether $\mathcal{L}(a) \in \mathcal{L}\left(\mathcal{D}_{2}\right)$ ?

Definition 1 An non-deterministic 1-reversal bounded 1-counter machine (denoted by N 1-rbd 1-CM) is a pushdown automaton where the cardinality of the stack alphabet is two(including the bottom symbol) and the machine makes at most one single reversal on the stack. Hence, the class of languages accepted by N 1-rbd 1-CMs is a proper subset of linear context-free languages. Throughout the paper, we use N11CM to denote the set of N 1 -rbd 1-CMs with input alphabet $\{0,1\}$.

Definition 2 The synchronized regular expressions on an alphabet $\Sigma$, a set of variables $V$ and a set of exponents $X$ are defined as follows:

$$
\begin{aligned}
& \emptyset \in S R E \text { (empty set) } \\
& \lambda \in S R E \text { (empty string) } \\
& \forall a \in \Sigma: a \in S R E \text { (letters) } \\
& \forall v \in V: v \in S R E \text { (variables) }
\end{aligned}
$$

If $e_{1}, e_{2} \in S R E$ then:

1. $e_{1}^{*} \in S R E$ (star)
2. $\forall x \in X: e_{1}^{x} \in S R E$ (exponentiation)
3. $\forall v \in V: e_{1} \% v \in S R E$ (variable binding)
4. $e_{1} e_{2} \in S R E$ (concatenation)
5. $e_{1}+e_{2} \in S R E$ (union)

Beyond these basic syntactic definitions, a synchronized regular expression must meet the following conditions to be considered valid.

Definition 3 The SRE validity test is defined as follows:

1. Each variable occurs in a binding operation no more than once in the expression.
2. Each occurrence of a variable in the expression is preceded by a binding of that variable somewhere to the left of the occurrence in the expression.

Throughout this paper, let $\mathbf{S R E}(\{\mathbf{0}, \mathbf{1}\})$ denote the set of valid synchronized regular expressions over alphabet $\{0,1\}$.

Unless otherwise specified, any mention of SRE in this paper refers to valid SRE. The following examples are used in later proofs of this paper and can help the readers better understand SRE.

Example 2.1 The synchronized regular expression $0^{x} 1^{x}$ specifies the language $\left\{0^{n} 1^{n} \mid\right.$ $n \geq 0\}$.

Example 2.2 The synchronized regular expression $(0+1)^{x} \#(0+1)^{x}$ specifies the language $\left\{x \# y\left|x, y \in\{0,1\}^{*},|x|=|y|\right\}\right.$.

Example 2.3 The synchronized regular expression $(0+1) * \% A \cdot A(A$ is a variable $)$ specifies the language $\left\{w w \mid w \in\{0,1\}^{*}\right\}$.

The syntax of extended regular expressions (EXREGs) is defined in [1]. EXREGs are standard regular expressions augmented with backreferences. The backreference $\backslash n$ stands for the string previously matched by the regular expression between the $n^{\text {th }}$ left parenthesis and the corresponding right parenthesis. A formal definition of matching a string with an EXREG is given in [4]. Here we give that definition with a slight modification. To present the definition, we need to define the following notation.

Definition 4 We use ( to denote the $i^{t h}$ left parenthesis and ) denote its corresponding right parenthesis. For an EXREG $e=\alpha(r) \beta$ where ( is the $i^{\text {th }}$ left parenthesis of $e$ and ) is its corresponding right parenthesis, we use $\underset{i}{(r)} \underset{i}{ }$ to denote $(r) .{ }^{1}$

As in [1] we assume that any occurrence of a backreference $\backslash m$ in an EXREG is preceded by ).

Definition 5 Matching a string with an extended regular expression is often defined as follows:

1. If $t$ is a symbol in the alphabet, then t matches t ;

[^1]2. if $r$ matches a string $w$, then $\underset{\substack{~ \\ i}}{(r)}$ matches $w$. Once $\underset{i}{(r)}$ matches a string $w$, the string $w$ is assigned to $\backslash i$ and any occurrence of $\backslash i$ matches $w$;
3. if $r_{1}$ and $r_{2}$ are EXREGs, then $r_{1}+r_{2}$ matches any string matched by either $r_{1}$ or $r_{2}$;
4. if $r_{1}$ and $r_{2}$ are EXREGs, then $r_{1} r_{2}$ matches any string of the form $x y$ where $r_{1}$ matches $x$ and $r_{2}$ matches $y$; and
5. if $r$ is an EXREG, then $r^{*}$ matches any string of the form $x_{1} x_{2} \ldots x_{n}$ for any $n \geq 0$, where r matches each $x_{i}(1 \leq i \leq n)$.

Example 2.4 The EXREG $\left(0^{+}\right)\left(1^{+}\right) \backslash 1 \backslash 2$ specifies the language $\left\{0^{i} 1^{j} 0^{i} 1^{j} \mid i, j>0\right\}$.
Example 2.5 The EXREG $\left((0+1)^{*}\right) \backslash 1$ specifies the language $\left\{w w \mid w \in\{0,1\}^{*}\right\}$.
Definition 6 A finite substitution $\sigma$ over alphabet $\Sigma$ is a mapping of $\Sigma^{*}$ into the set of all finite nonempty languages (possibly over another alphabet $\Delta$ ) defined as follows. For each letter $a \in \Sigma, \sigma(a)$ is a finite nonempty language, $\sigma(\lambda)=\{\lambda\}$ and for all $w_{1}, w_{2} \in \Sigma^{*}$,

$$
\sigma\left(w_{1} w_{2}\right)=\sigma\left(w_{1}\right) \sigma\left(w_{2}\right) .
$$

For any language $L$ over $\Sigma, \sigma(L)=\bigcup_{w \in L} \sigma(w)$.
If $\forall a \in \Sigma, \lambda \notin \sigma(a)$, the substitution $\sigma$ is referred to as $\lambda$-free or non-erasing. If each $\sigma(a)$ contains a single string, $\sigma$ is called a morphism.

In this paper, we only consider L-systems over the terminal alphabet $\{0,1\}$. This restriction has been taken into account in the following definitions.

Definition 7 A $0 L$ system is a triple $G=(\{0,1\}, \sigma, s)$ where $\sigma$ is a finite substitution over $\{0,1\}$ and $s \in\{0,1\}^{*}$ is the axiom. The 0 L system $G$ generates the language

$$
\mathcal{L}(G)=\{s\} \cup \sigma(s) \cup \sigma(\sigma(s)) \cup \ldots=\bigcup_{i \geq 0} \sigma^{i}(s) .
$$

A OL system is deterministic or a DOL system if and only if $\sigma$ is a morphism.
The letter E ("extended") in the name of an L system means that the use of nonterminals is allowed. Thus, an E0L system is a 0 L system augmented with nonterminals.

Definition 8 An EOL system is a 4-tuple $G=(\{0,1\}, V, \sigma, s)$ where $V$ is the set of nonterminals (disjoint with $\{0,1\}$ ), $\sigma$ is a finite substitution over $V \cup\{0,1\}$ and $s \in(V \cup\{0,1\})^{*}$ is the axiom. The E0L system $G$ generates the language

$$
\mathcal{L}(G)=\bigcup_{i \geq 0} \sigma^{i}(s) \cap\{0,1\}^{*} .
$$

An EOL system is deterministic or a EDOL system if and only if $\sigma$ is a morphism.
The letter T ("table") in the name of an L system means instead of having one finite substitution, the system has a finite number of finite substitutions.

Definition 9 A TOL system is a triple $G=(\{0,1\}, P, s)$ where $P$ is a finite set of finite substitutions such that for each $\sigma \in P,(\{0,1\}, \sigma, s)$ is a 0 L system. For a T0L system $G=(\{0,1\}, P, s)$,

1. let $X=x_{1} x_{2} \ldots x_{k}(k \geq 1)$ where $x_{i}(1 \leq i \leq k) \in\{0,1\}$. Let $\sigma$ be a finite substitution in $P$ and let $Y \in\{0,1\}^{*}$. We write $X \rightarrow_{\sigma} Y$ if there exist $y_{1}, y_{2}, \ldots, y_{k} \in\{0,1\}^{*}$ such that $y_{i} \in \sigma\left(x_{i}\right)(1 \leq i \leq k)$ and $Y=y_{1} y_{2} \ldots y_{k}$. We write $X \rightarrow_{P} Y$ if there exists $\sigma \in P$ such that $X \rightarrow_{\sigma} Y$;
2. $\rightarrow_{P}^{*}$ denotes the transitive and reflexive closure of the binary relation $\rightarrow_{P}$; and
3. $\mathcal{L}(G)=\left\{w \in\{0,1\}^{*} \mid s \rightarrow_{P}^{*} w\right\}$.

An ETOL system is a 4-tuple $G=(\{0,1\}, V, P, s)$ where $V$ is the set of nonterminals (disjoint with $\{0,1\}$ ), $P$ is a finite set of finite substitutions over $V \cup\{0,1\}$ and $s \in(V \cup\{0,1\})^{*}$ is the axiom. For an ET0L $G=(\{0,1\}, V, P, s)$,

1. let $X=x_{1} x_{2} \ldots x_{k}(k \geq 1)$ where $x_{i}(1 \leq i \leq k) \in(V \cup\{0,1\})$. Let $\sigma$ be a finite substitution in $P$ and let $Y \in(V \cup\{0,1\})^{*}$. We write $X \rightarrow_{\sigma} Y$ if there exist $y_{1}, y_{2}, \ldots, y_{k} \in(V \cup\{0,1\})^{*}$ such that $y_{i} \in \sigma\left(x_{i}\right)(1 \leq i \leq k)$ and $Y=y_{1} y_{2} \ldots y_{k}$. We write $X \rightarrow_{P} Y$ if there exists $\sigma \in P$ such that $X \rightarrow_{\sigma} Y$;
2. $\rightarrow_{P}^{*}$ denotes the transitive and reflexive closure of the binary relation $\rightarrow_{P}$; and
3. $\mathcal{L}(G)=\left\{w \in\{0,1\}^{*} \mid s \rightarrow_{P}^{*} w\right\}$.

An ETOL system is deterministic or an EDTOL system if every finite substitution in $P$ is a morphism. Throughout this paper, let EDT0L denote the set of EDT0L systems over terminal alphabet $\{0,1\}$.

For a better understanding of these definitions, we give several examples here.
Example 2.6 Let the D0L system $G=(\{0,1\}, h, 01)$ with $h(0)=\{0\}$ and $h(1)=$ \{01\}.
Hence, $h(01)=\{001\}, h(h(01))=\{0001\}, h(h(h(01)))=\{00001\}, \ldots$
Then, $\mathcal{L}(G)=\left\{0^{n} 1 \mid n \geq 1\right\}$.
Example 2.7 Let the 0 L system $G=(\{0,1\}, h, 0)$ with $h(0)=\{\lambda, 1,0,00,01\}$ and $h(1)=\{1,10,11\}$. Then $\mathcal{L}(G)=\{0,1\}^{*}$.

Example 2.8 Let the EDT0L system $G=(\{0,1\},\{A, B, C, D\}, P, C D)$ where $P=$ $\left\{h_{1}, h_{2}, h_{3}\right\}$ and
$h_{1}(0)=\{0\}, h_{1}(1)=\{1\}, h_{1}(A)=\{A\}, h_{1}(B)=\{B\}, h_{1}(C)=\{A C B\}, h_{1}(D)=$ $\{D A\}$;
$h_{2}(0)=\{0\}, h_{2}(1)=\{1\}, h_{2}(A)=\{A\}, h_{2}(B)=\{B\}, h_{2}(C)=\{C B\}, h_{2}(D)=$ $\{D\}$;
$h_{3}(0)=\{0\}, h_{3}(1)=\{1\}, h_{3}(A)=\{0\}, h_{3}(B)=\{1\}, h_{3}(C)=\{\lambda\}, h_{3}(D)=\{\lambda\}$. Then $\mathcal{L}(G)=\left\{0^{n} 1^{m} 0^{n} \mid n \geq 0, m \geq n\right\}$.

At last, we discuss the definition and importance of productiveness. Productive sets and their properties are a standard topic in mathematical logic/recursion theory textbooks such as [13] and [14]. Productiveness is a recursion-theoretic abstraction of what causes Gödel's first incompleteness theorem to hold. Definition 10 recalls the definition of a productive set on $\mathbb{N}$, as developed in [13].

Definition 10 Let $W$ be an effective Gödel numbering of the recursively enumerable sets. A set $A$ of natural numbers is called productive if there exists a total recursive function $f$ so that for all $i \in \mathbb{N}$, if $W_{i} \subseteq A$ then $f(i) \in A-W_{i}$. The function $f$ is called the productive function for $A$.

From this definition, we can see that no productive set is recursively enumerable. It is well-known that the set of all provable sentences in an effective axiomatic system is always a recursively enumerable set. So for any effective axiomatic system $F$, if a set $A$ of $\mathrm{G} \ddot{\mathrm{d}} \mathrm{del}$ numbers of true sentences in $F$ is productive, then there is at least one element in $A$ which is true but cannot be proven in $F$. Moreover, there is an effective procedure to produce such an element.

Let $W$ be an effective Gödel numbering of the recursively enumerable sets. K denotes the set $\left\{i \in \mathbb{N} \mid i \in W_{i}\right\} . \overline{\mathbf{K}}$ denotes the set $\left\{i \in \mathbb{N} \mid i \notin W_{i}\right\}$. Two well-known facts of productive sets (see [13]) that are necessary for the research developed here are as follows:

Proposition 1 1. $\overline{\boldsymbol{K}}$ is productive.
2. For all $A \subseteq \mathbb{N}, A$ is productive if and only if $\overline{\boldsymbol{K}} \leq_{m} A$.

The following proposition is proven in [15] and is used to prove productiveness results. It also shows in which way the productiveness is stronger than non-recursive enumerability, i.e., every productive set A has an infinite recursively enumerable subset, and for any sound proof procedure P , one can effectively construct an element that is in A , but not provable in P .

Proposition 2 Let $A \subseteq \Sigma^{*}, B \subseteq \Delta^{*}$, and $A \leq_{m} B$. Then, the following hold:

1. If $A$ is productive, then so is $B$.
2. If $A$ is productive, then there exists a total recursive function $\Psi: \Sigma^{*} \rightarrow \Sigma^{*}$, called a productive function for A, such that for all $x \in \Sigma^{*}$,
$\mathcal{L}\left(M_{x}\right) \subseteq A \Rightarrow \Psi(x) \in A-\mathcal{L}\left(M_{x}\right)$, where $\left\{M_{x} \mid x \in \Sigma^{*}\right\}$ is some Gödelnumbering of Turing machines over alphabet $\Sigma$.
3. If $A$ is productive, then $A$ is not recursively enumerable ( $R E$ ). However, $A$ does have an infinite RE subset.

## 3 On the Predicate "is an EXREG Language"

In this section, a meta theorem is developed to show the predicate "is an EXREG language" is as hard as the universality problem (" $=\{0,1\}^{* ")}$ ) for many classes of languages under certain conditions. Several authors have investigated the existence and applicability of analogues of Rice's Theorem for different classes of languages. For example, in [15, 16], sufficient conditions are given for a language predicate to be as hard as the language predicate " $=\{0,1\}^{* "}$ such as requiring the language predicate to be closed under left or right derivatives. Here, we take a different approach and show that having a pumping lemma for a class of languages $\mathcal{C}$ could cause the predicate " $\in \mathcal{C}$ " to be as hard as " $=\{0,1\}^{* "}$. Besides the predicate " $=\{0,1\}^{* "}$, the proof technique
can also be applied to reductions of other sources. Since the proof technique requires very little to use, we believe it has the potential to have a wide range of applications.

The following lemma is necessary to prove Theorem 3.1. Both productiveness and complexity results can be derived from Theorem 3.1 due to the high efficiency of the reduction in its proof.

Lemma 3.1 [17] EXREG languages are closed under intersection with regular sets.
Theorem 3.1 Let $\mathcal{D}$ be any class of language descriptors over alphabet $\{0,1\}$ such that

1. $\mathcal{L}(\mathcal{D})$ is efficiently closed under union, concatenation with regular sets and a 1-1 homomorphism $h:\{0,1\}^{*} \mapsto\{0,1\}^{*}$ defined by $h(0)=00$ and $h(1)=01$; and
2. there exists a language $L_{f} \in \mathcal{L}(\mathcal{D})$ such that $\forall w \in\{0,1\}^{*}$, the language $L_{f} \cdot\{w\}$ (or $\{w\} \cdot L_{f}$ ) is not an EXREG language.
Then $\left\{d \mid d \in \mathcal{D}, \mathcal{L}(d)=\{0,1\}^{*}\right\} \leqslant$ ptime $\{d \mid d \in \mathcal{D}, \mathcal{L}(d)$ is an EXREG language $\}$.
Proof For any $G \in \mathcal{D}$, we can efficiently construct a $H \in \mathcal{D}$ such that

$$
\begin{array}{r}
\mathcal{L}(H)=\{0,1\}^{*} \cdot\{11\} \cdot h(\mathcal{L}(G)) \\
\cup \\
L_{f} \cdot\{11\} \cdot\{00,01\}^{*} \\
\frac{\cup}{\{0,1\}^{*} \cdot\{11\} \cdot\{00,01\}^{*}}
\end{array}
$$

where $h:\{0,1\}^{*} \mapsto\{0,1\}^{*}$ is the homomorphism defined by $h(0)=00$ and $h(1)=$ 01.

If $\mathcal{L}(G)=\{0,1\}^{*}$, it is clear that $\mathcal{L}(H)=\{0,1\}^{*}$ which is an EXREG language.
Otherwise, we want to show the language $\mathcal{L}(H)$ is not an EXREG language. Assume $\mathcal{L}(H)$ is an EXREG language. Since $\mathcal{L}(G) \neq\{0,1\}^{*}$, there exists a string $w \notin \mathcal{L}(G)$ such that $\mathcal{L}(H) \cap\{0,1\}^{*} \cdot\{11 h(w)\}=L_{f} \cdot\{11 h(w)\}$. Since EXREG languages are closed under intersection with regular sets, $L_{f} \cdot\{11 h(w)\}$ is an EXREG language. This is a contradiction. So $\mathcal{L}(H)$ is not an EXREG language. Since $L_{f}$ is a fixed language, the construction of $H$ only depends on $G$ in polynomial time in $|G|$.

Generally, a pumping lemma states that for a language to be in a class of language, any sufficiently long string in the language must contain a section that can be removed or repeated any number of times with the resulting string remaining in the language. So, if we can use a pumping lemma to prove that a language $L_{f}$ is not in a class of languages, the same proof works for showing that the language $L_{f} \cdot\{w\}$ or $\{w\} \cdot L_{f}$, for all $w \in\{0,1\}^{*}$, is not in that class of languages. Hence, to satisfy condition 2 of Theorem 3.1, the existence of a pumping lemma for EXREGs is sufficient. We use two examples to illustrate the broad applicability of Theorem 3.1 and its ease of use. In [15], the universality problem is shown to be productive for SRE and N 1-rbd 1-CMs, and the reductions in the proofs are highly efficient. Hence, $\overline{\mathbf{K}} \leqslant_{\text {ptime }}\{d \mid d \in \mathbf{N} 11 \mathbf{C M}$, $\left.\mathcal{L}(d)=\{0,1\}^{*}\right\}$, and $\overline{\mathbf{K}} \leqslant{ }_{\text {ptime }}\left\{d \mid d \in \operatorname{SRE}\{\mathbf{0}, \mathbf{1}\}, \mathcal{L}(d)=\{0,1\}^{*}\right\}$. With the
following pumping lemma for EXREGs, we can get two important productiveness results.

Lemma 3.2 [1] Let $\alpha$ be an extended regular expression. Then there is a constant $N>0$ such that if $w \in \mathcal{L}(\alpha)$ and $|w|>N$, then there is a decomposition $w=$ $x_{0} y x_{1} y \cdots y x_{m}$ for some $m \geq 1$, such that

1. $\left|x_{0} y\right|<N$,
2. $|y| \geq 1$, and
3. $x_{0} y^{i} x_{1} y^{i} \cdots y^{i} x_{m} \in \mathcal{L}(\alpha)$ for all $i>0$.

Using Lemma 3.2, we get the following results.
Lemma 3.3 $\forall w \in\{0,1\}^{*}$, the language $L_{w}=\left\{0^{n} 1^{n} \mid n>0\right\} \cdot\{w\}$ is not an EXREG language.

Proof Assume $L_{w}$ is an EXREG language. Consider the string $t=0^{N} 1^{N} w$ for some constant $N>0$. It is easy to see $t \in L_{w}$. Hence, $t=x_{0} y x_{1} y \cdots y x_{m}$ where $\left|x_{0} y\right|<N$ and $|y| \geq 1$. Hence, $y \in\{0\}^{+}$which implies $x_{0} y^{2} x_{1} y^{2} \cdots y^{2} x_{m} \notin L_{w}$, which is a contradiction.

Lemma 3.4 $\forall w \in\{0,1\}^{*}$, the language $L=\left\{w_{1} \# w_{2} \# \cdots w_{n} \# \mid w_{1}, \ldots, w_{n} \in\right.$ $\left.\{0,1\}^{*},\left|w_{1}\right|=\left|w_{2}\right|=\ldots=\left|w_{n}\right|, n \geq 0\right\} \cdot\{w\}$ is not an EXREG language, but can be expressed by a synchronized regular expression.

Proof The poof can be seen in [4].
Corollary $1 \overline{\boldsymbol{K}} \leqslant_{\text {ptime }}\{d \mid d \in \mathbf{N 1 1 C M}, \mathcal{L}(d)$ is an EXREG language $\}$. Hence, the predicate "is an EXREG language" is productive (not recursively enumerable) for $N$ 1-rbd 1-CMs, linear context-free grammars, and context-free grammars.

Proof Let $L_{f}=\left\{0^{n} 1^{n} \mid n>0\right\}$. From Lemma 3.3, we know that $\forall w \in\{0,1\}^{*}$, the language $L_{f} \cdot\{w\}$ is not an EXREG language. This satisfies condition 2 of Theorem 3.1.

Corollary $\mathbf{2} \overline{\boldsymbol{K}} \leqslant_{\text {ptime }}\{d \mid d \in \operatorname{SRE}\{\mathbf{0}, \mathbf{1}\}, \mathcal{L}(d)$ is an EXREG language $\}$. Hence, the predicate "is an EXREG language" is productive (not recursively enumerable) for SRE.

Proof Let $L_{f}=\left\{h\left(w_{1}\right) \cdot 11 \cdot h\left(w_{2}\right) \cdot 11 \cdots h\left(w_{n}\right) \cdot 11\left|w_{1}, \ldots, w_{n} \in\{0,1\}^{*},\left|w_{1}\right|=\right.\right.$ $\left.\left|w_{2}\right|=\ldots=\left|w_{n}\right|, n \geq 0\right\}$ where $h$ is a 1-1 homomorphism defined by $h(0)=00$ and $h(1)=01$. Here, the string 11 is treated as the special maker \# of $L$ defined in Lemma 3.4. So the proof of Lemma 3.4 can also prove that $\forall w \in\{0,1\}^{*}$, the language $L_{f} \cdot\{w\}$ is not an EXREG language. This satisfies condition 2 of Theorem 3.1.

Besides the predicate " $=\{0,1\}^{* "}$, this proof technique can also be applied to reductions of other sources. For example, one theorem in [18] states that the predicate $"=\{0,1, \lambda\}^{2^{c n} "}$ is Co-NEXPTIME-hard for context-free grammars generating finite languages. Here we state that theorem with a slight modification. The proof is the same as in [18]. Let $C F G_{\text {fin }}$ be the set of context-free grammars over terminal alphabet $\{0,1\}$ generating finite languages.

Theorem 3.2 [18] There exists a constant $c>0$ such that
$\mathbf{C o}$ - NEXPTIME $\leqslant_{\text {ptime }}\left\{d \mid d \in C F G_{\text {fin }}, \mathcal{L}(d)=\{0,1, \lambda\}^{2^{c n}}\right.$ where $\left.n=|d|\right\}$.
The following theorem shows that for context-free grammars generating bounded languages, the predicate "is an EXREG language" is Co-NEXPTIME-hard. Moreover we show that even for an easily recognizable subset $D$ of $\mathbf{C F G}(\{\mathbf{0}, \mathbf{1}\})$ whose elements only generate bounded languages, the predicate "is an EXREG language" is already Co-NEXPTIME-hard. This means that the problem is hard independent of the complexity of testing whether a context-free grammar generates a bounded language. Results of this type occur throughout this paper and have many applications, especially for promise problems. We first give the definition of a bounded language.

Definition 11 A language $L$ is bounded if $L \subseteq\left\{w_{1}\right\}^{*} \cdot\left\{w_{2}\right\}^{*} \cdots\left\{w_{m}\right\}^{*}$ for some strings $w_{1}, w_{2}, \ldots, w_{m} \in\{0,1\}^{*}, m \geq 1$.

Theorem 3.3 There exists a subset $D$ of $\boldsymbol{C F G}(\{0,1\})$ such that

1. $D \in \mathbf{P}$;
2. $\forall d \in D, \mathcal{L}(d)$ is bounded; and
3. Co-NEXPTIME $\leqslant$ ptime $\{d \mid d \in D, \mathcal{L}(d)$ is an EXREG language $\}$.

Proof of 3: $L_{f}=\left\{0^{n} 1^{n} \mid n \geq 0\right\}$ is not an EXREG language and it is bounded by $\{0\}^{*} \cdot\{1\}^{*}$. For any $g \in C F G_{\text {fin }}$, let $c$ and $n$ be the same as defined in Theorem 3.2. We can efficiently construct a context-free grammar $H$ such that

$$
\begin{array}{r}
\mathcal{L}(H)=\{0\}^{*} \cdot\{1\}^{*} \cdot\{11\} \cdot h(\mathcal{L}(g)) \\
\cup \\
L_{f} \cdot\{11\} \cdot h\left(\{0,1, \lambda\}^{2^{c n}}\right)
\end{array}
$$

where $h:\{0,1\}^{*} \mapsto\{0,1\}^{*}$ is the homomorphism defined by $h(0)=00$ and $h(1)=$ 01. If $\mathcal{L}(g)=\{0,1, \lambda\}^{c n}, \mathcal{L}(H)=\{0\}^{*} \cdot\{1\}^{*} \cdot\{11\} \cdot h(\mathcal{L}(g))$ which is regular. So $\mathcal{L}(H)$ is an EXREG language. Otherwise, assume that $\mathcal{L}(H)$ is an EXREG language. There exists a string $w \notin \mathcal{L}(g)$ such that $\mathcal{L}(H) \cap\{0,1\}^{*} \cdot\{11 h(w)\}=L_{f} \cdot\{11 h(w)\}$. Hence, $L_{f} \cdot\{11 h(w)\}$ is an EXREG since EXREG languages are closed under intersection with regular sets. From Lemma 3.3, this is a contradiction. So $\mathcal{L}(H)$ is not an EXREG language.

Proof of 1, 2: Let $H$ be constructed in a certain way so that $H$ has a special format. For example, $H$ must contain two non-terminals such that the first nonterminal generates $\{0\}^{*} \cdot\{1\}^{*} \cdot\{11\} \cdot h(\mathcal{L}(g))$, and the second non-terminal generates $L_{f} \cdot\{11\} \cdot h\left(\{0,1, \lambda\}^{2 n}\right)$. Let $D$ be the set of $H$. It is easy to see $\forall d \in D, \mathcal{L}(d)$ is bounded. Since $L_{f}$ is fixed and $H$ is constructed with a special format, we can determine $g$ from $H$ in polynomial time in $|H| . C F G_{f i n} \in \mathbf{P} \Rightarrow D \in \mathbf{P}$.

We can also apply the proof technique to reductions from the emptiness problem. In [19], the emptiness problem for EDTOL systems is shown to be PSPACE-complete. We modify the proof of this PSPACE-completeness result and get a stronger theorem. It shows that for a polynomial time recognizable subset $D$ of EDT0L whose elements
only generate $\emptyset$ or singleton languages (i.e., $\{w\}$ where $w \in\{0,1\}^{*}$ ), the emptiness problem is already PSPACE-hard.

Theorem 3.4 There exists a subset D of EDT0L such that

1. $D \in \mathbf{P}$;
2. $\forall d \in D,|\mathcal{L}(d)| \leq 1$; and
3. $\boldsymbol{P S P A C E} \leqslant$ ptime $\{d \mid d \in D, \mathcal{L}(d)=\emptyset\}$.

Proof In [20] Theorem 3.4, Xie et al. showed that for a polynomial time recognizable subset $R$ of $(\cup, \cdot *)$-regular expressions where each element in $R$ only generates $\{0,1\}^{*}$ or $\{0,1\}^{*}-\{w\}$ where $w \in\{0,1\}^{*}$, the predicate " $=\{0,1\}^{* "}$ is PSPACE-hard. In this proof, let $R$ be the same as defined in [20]. It is well-known that $(\cup, \cdot \cdot *)$-regular expressions can be transformed into NFAs in polynomial time. Let $N$ be the set of NFAs transformed from $R$. For any NFA $M=\left(Q,\{0,1\}, \sigma, q_{0}, F\right) \in N$ where

1. $Q$ is the finite set of states;
2. $F \subseteq Q$ is the set of accepting states;
3. $\{0,1\}$ is the input alphabet;
4. $\sigma:(Q \times\{0,1, \lambda\}) \mapsto 2^{Q}$ is the transition function; and
5. $q_{0}$ is the initial state.

Here $2^{Q}$ denotes the power set of $Q$. We give every state in $Q$ a distinct name $q_{0}, q_{1}, q_{2}, \ldots, q_{|Q|-1}$ and define the total order $<$ by $q_{0}<q_{1}<q_{2}<\ldots<q_{|Q|-1}$. From $M$, we can efficiently construct an EDT0L system $G=\left(Q-F, F, P, q_{0}\right)$ where

1. $Q-F$ is the terminal alphabet;
2. $F$ is the nonterminal alphabet;
3. $q_{0}$ is the axiom; and
4. $P=\left\{P_{0}, P_{1}\right\}$ where $P_{0}$ and $P_{1}$ are finite substitutions and for each $x \in\{0,1\}, \forall q \in$ $Q$, if $\sigma(q, x)=\left\{q_{i_{1}}, q_{i_{2}}, \ldots q_{i_{k}}\right\}$ where $q_{i_{1}}<q_{i_{2}}<\ldots<q_{i_{k}}$, then $q_{i_{1}} q_{i_{2}} q_{i_{3}} \ldots q_{i_{k}} \in$ $P_{x}(q)$.

We define a function $\hat{\sigma}:\left(Q \times\{0,1\}^{*}\right) \mapsto 2^{Q}$ such that

1. $\hat{\sigma}(q, \lambda)=\{q\}$;
2. $\hat{\sigma}(q, w a)=\bigcup_{q^{\prime} \in \hat{\sigma}(q, w)} \sigma\left(q^{\prime}, a\right)$.

If $\mathcal{L}(M)=\{0,1\}^{*}$, then for any $w \in\{0,1\}^{*}, \hat{\sigma}\left(q_{0}, w\right)$ contains a state in $F . \Rightarrow$ $P\left(q_{0}\right) \cap(Q-F)^{*}=\emptyset . \Rightarrow \mathcal{L}(G)=\emptyset$. If $\mathcal{L}(M)=\{0,1\}^{*}-\{t\}$, then $t$ is the only string in $\{0,1\}^{*}$ such that $\hat{\sigma}\left(q_{0}, t\right)$ contains no state in $F$. Let $t=t_{1} t_{2} t_{3} \ldots t_{k}$ where $t_{i}(1 \leq i \leq k) \in\{0,1\}$. Then $\mathcal{L}(G)=P_{t_{k}}\left(P_{t_{k-1}} \ldots P_{t_{2}}\left(P_{t_{1}}\left(q_{0}\right)\right)\right)$. Since $P_{0}$ and $P_{1}$ are morphisms, clearly $|\mathcal{L}(G)|=1$. Let $D$ be the set of $G$ we construct. Then $R \in \mathbf{P} \Rightarrow N \in \mathbf{P} \Rightarrow D \in \mathbf{P}$.

With Theorem 3.4, we can study the predicate "is an EXREG language" for EDT0L systems and get the following theorem. It shows that even for an easily recognizable subset $E$ of EDT0L whose elements only generate bounded languages, the predicate


#### Abstract

"is an EXREG language" is already PSPACE-hard. This means that the problem is hard independent of the complexity of testing whether an EDT0L system generates a bounded language. Comparing with Theorems 3.1 and 3.3, the proof of Theorem 3.5 is easier and requires less. It suggests that if a class of languages $\mathcal{C}$ is effectively closed under concatenation and there exists a language $L_{f} \in \mathcal{C}$ such that $\forall w \in\{0,1\}^{*}$, the language $L_{f} \cdot\{w\}$ or $\{w\} \cdot L_{f}$ is not an EXREG language, then the predicate "is an EXREG language" is as hard as " $=\emptyset$ " for $\mathcal{C}$. Note that the closure property here does not need to be efficient, since the construction in Theorem 3.5 only requires concatenation with a fixed language.


Theorem 3.5 There exists a subset E of EDTOL such that

1. $E \in \mathbf{P}$;
2. $\forall d \in E, \mathcal{L}(d)$ is bounded; and
3. PSPACE $\leqslant_{\text {ptime }}\{d \mid d \in E, \mathcal{L}(d)$ is an EXREG language $\}$.

Proof Consider the set $D$ mentioned in Theorem 3.4. Recall that for any $G \in D$, $|\mathcal{L}(G)| \leq 1$. For any $G \in D$, we can construct an EDTOL system $H$ such that

$$
\mathcal{L}(H)=\left\{0^{n} 1^{n} \mid n>0\right\} \cdot \mathcal{L}(G)
$$

If $\mathcal{L}(G)=\emptyset$, then $\mathcal{L}(H)=\emptyset$. So $\mathcal{L}(H)$ is an EXREG language. Otherwise, $\mathcal{L}(G)=$ $\{w\}$. Then $\mathcal{L}(H)=\left\{0^{n} 1^{n} \mid n>0\right\} \cdot\{w\}$. According to Lemma 3.3, $\mathcal{L}(H)$ is not an EXREG language. Let $E$ be the set of $H$. Clearly, $\forall d \in E, \mathcal{L}(d)$ is bounded. From $H$ we can determine the system $G$ efficiently. $D \in \mathbf{P} \Rightarrow E \in \mathbf{P}$.

## 4 Conclusion

In the theory of formal languages, developing pumping lemmas for classes of languages remains an important research topic. A pumping lemma for a class of languages $\mathcal{C}$ is often used to show particular languages are not in $\mathcal{C}$. In contrast, we use EXREGs as an example to show that having a pumping lemma could be "harmful" and lead to productiveness and complexity results. In this paper, we show that the predicate "is an EXREG language" is productive, hence not recursively enumerable, for SRE, N 1-rbd 1-CMs, linear context-free grammars, and context-free grammars. We also show that the predicate "is an EXREG language" is Co-NEXPTIME-hard for a polynomial time recognizable set of context-free grammars only generating bounded languages, and is PSPACE-hard for a polynomial time recognizable set of EDT0L systems generating bounded languages. To obtain these results, a pumping lemma for EXREGs is needed to show that there exists a language $L_{f}$ such that for any single string $w,\{w\} \cdot L_{f}$ or $L_{f} \cdot\{w\}$ is not an EXREG language. The proof technique used in this paper requires very little and can be applied to reductions of many sources (for example, "= $\{0,1\}^{* "}$, $"=\emptyset "$, and " $=\{0,1, \lambda\}^{\left.2^{c n} "\right)}$. So we believe it has the potential to be used for many classes of languages.

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## Declarations

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[^0]:    Jingnan Xie
    jingnan.xie@millersville.edu
    Harry B. Hunt III
    hunt@cs.albany.edu
    Richard E. Stearns
    thestearns2@gmail.com
    1 Computer Science, Millersville University of PA, 40 Dilworth Rd, Millersville, PA 17551, USA
    2 Computer Science, University at Albany, SUNY, 1400 Washington Avenue, Albany, NY 12222, USA

[^1]:    ${ }^{1}$ If the number of the parenthesis is easily attainable, we may omit the index of the parenthesis.

