#### **RESEARCH PAPER**



# Optimization of a sequential extraction procedure for trace elements in Arctic $PM_{10}$

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## Abstract

In this work, a two-step sequential extraction scheme for the determination of trace elements in Arctic  $PM_{10}$  samples was optimized by using two certified reference materials (CRMs). By means of an experimental design for qualitative variables, the five most common extracting solutions for particulate matter (PM) sequential extraction (high purity water (HPW), 0.032 M HNO<sub>3</sub>, 0.022 M HCl, 0.11 M CH<sub>3</sub>COOH, and 0.012 M CH<sub>3</sub>COOH/CH<sub>3</sub>COONH<sub>4</sub> buffer) and two different extraction methods (stirring and ultrasounds) were compared. The purpose of the study was the identification of the procedure which gives the best estimation of the anthropogenic portion of the elements present in  $PM_{10}$  samples. The use of ultrasounds instead of stirring induced a low but significant decrease of the extraction of all the elements and a decrease in the repeatability of the procedure. Diluted HNO<sub>3</sub> was the extractant which allowed to maximize the extraction of anthropogenic elements (As, Cd, Pb, Zn) with respect to crustal ones (Al, Si, Ti). The optimized procedure proved successful in avoiding contaminations and, therefore, suitable to be applied to PM samples having extremely low concentrations, such as samples collected in polar or other remote areas. The chosen procedure was applied to ten Arctic  $PM_{10}$  samples, allowing for a better identification of their sources. Indeed, it was possible to hypothesize that even though the concentrations of As, Cd, K, Mg, Mn, and Ni in spring and summer were different, their mobility and, therefore, their chemical form in the analyzed  $PM_{10}$  samples were probably similar.

Keywords Aerosol particulate matter · Sequential extraction · Trace elements · Source identification

# Introduction

Most of the studies on the trace element content of Arctic aerosol particulate matter (PM) consist of the determination of the total concentrations, without distinguishing between the

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various species, i.e., the different chemical forms of each element [1-4]. Indeed, the small quantity of sample typically collected in the Arctic, together with the chemical complexity of PM and the extremely small particle sizes, can pose significant problems for element speciation [5]. In many cases, the exact distribution of an element between well-defined chemical species is impossible to determine, due to the large number of individual species and to the lack of a universal analytical technique able to both identify and quantify all of them. Alternatively, sequential extraction procedures are often applied, and the classification of analytes is made according to their physical (e.g., size, solubility) or chemical (e.g., bonding, reactivity) properties [5-11]. Apart from the evaluation of the health and environmental impact of PM [7, 12–14], this kind of classification can also be a valid tool for the identification of emission sources [15-17]. Even though speciation obtained by sequential extraction has an operational nature and sometimes lacks selectivity [9], it is possible—in principle—to estimate the anthropogenic portion of the elements present in the sample [18]. Indeed, the anthropogenic portion of the elements in PM is mainly water or acid soluble [19].

Many researchers have worked on the design of extraction schemes for the sequential solubilization of metals from sediments, leading to the development of two commonly accepted sequential extraction procedures: the five-step Tessier protocol [20] and the three-step procedure proposed by the Community Bureau of Reference (BCR) [21]. The latter protocol has the advantage of being harmonized and standardized, and the certified reference material (CRM) BCR 701 ("lake sediment") is available; on the other hand, the former gives more information about the metal fractions bound to different phases of the sample [22]. Different studies about the application of these extracting schemes or their successive adaptions to PM samples were published, stating that the most interesting and representative fractions are the mobile and the residual ones [23, 24]. As a consequence, many researchers started to develop extraction schemes providing only two fractions, considered a good compromise between costs, analytical times, and resulting data [8, 15-17, 24-34]. Moreover, when dealing with Arctic PM, the application of multi-steps sequential extraction schemes increases the risk of obtaining results below the detection limits, and two-step schemes should be preferred.

Different extracting solutions, different extraction methods, and different techniques for the separation of the extract from the solid residue are currently used for this purpose, thus reducing the possibility to compare results between different studies [13, 24, 28, 32, 35].

The most common extracting solution for PM sequential extraction is deionized or ultrapure water, due to its high representativeness of the natural solubility processes taking place in the environment [16, 19, 22, 24, 30–39]. Nevertheless, water extraction is affected by spontaneous pH changes of the solution, which in turn are influenced by the concentrations of ammonium sulfate and nitrate (secondary species) in PM. For source identification studies, this fact is not desirable, since the results of PM sequential extraction should be directly related to the emission sources and not governed by external factors [8].

Diluted acids are other commonly used extractants, generally allowing a good control of the external factors that could influence the extraction, such as adsorption phenomena on particle surface, complexes formation with organic and inorganic species, and solubility equilibria changes of salts and hydroxides toward acids and bases present in the matrix [13, 15, 19, 35, 36, 40, 41]. The pH generally ranges from 1 to 3, determining the dissolution of sulfates and carbonates but not of iron oxides and silicates [13]. Both weak and strong acids are used, but 0.11 M acetic acid is the most frequent, due to its use in the BCR sequential extraction protocol. Their main drawbacks are the strong overestimation of bioavailability and mobility, and the low selectivity with respect to emission sources [8].

A good alternative of extracting solution for source identification studies is represented by buffers since they are able to maintain a good pH control throughout the extraction. The selected pH is usually very similar to the PM spontaneous one, in order to mimic the interactions naturally occurring in the environment [25, 29].

In the attempt to imitate the conditions of PM interactions with biological systems, many different biological fluids or complexing solutions have also been used [7, 13], but they are not further considered in the present work due to their irrelevance for environmental studies.

Regarding extraction methods, the BCR sequential extraction protocol provides 16 h of stirring. However, in the attempt to reduce the extraction times and/or to enhance the power of the extracting solutions, many alternatives have been tested in the recent years, including the use of ultrasounds (US) [13, 16, 31, 33, 34, 42] or microwaves [24].

As for the separation of the extract from the solid residue, most extraction schemes rely on centrifugation, especially when more than two fractions are involved [6, 22, 37–40]. In two-step extraction schemes, filtration is also quite common, as the filter may subsequently be digested for obtaining the second fraction [26, 34]; nevertheless, a wide variety of filters and filtration systems exist, thus further reducing the comparability of results. Alternatively, some researchers prefer non-sequential extraction schemes, realized by cutting the filter containing the PM sample in two or more parts, from which they obtain the two or more desired fractions [13, 15, 24, 33].

In this study, the most common extracting solutions and extraction methods for PM sequential extraction were compared, in order to optimize a two-step sequential extraction scheme for the operationally valid identification of different chemical forms of trace elements in PM<sub>10</sub> samples and lay the foundation for a future harmonization of the procedures. The objective of the study was the identification of the procedure which gives the best estimation of the anthropogenic portion of the elements present in PM<sub>10</sub> samples or, in other words, to identify the procedure which allows to maximize the extraction of elements mainly having an anthropogenic origin and to minimize the extraction of elements mainly having a crustal origin. The CRM NIST 1648a ("urban particulate matter") and BCR 701 ("lake sediment") were used for the purpose. A wide variety of elements were analyzed, i.e., Al, As, Ba, Ca, Cd, Co, Cr, Cu, Fe, K, Mg, Mn, Na, Ni, Pb, Sb, Si, Ti, V, and Zn.

In order to evaluate the source identification potential of the optimized procedure, the chosen sequential extraction scheme was applied to ten samples collected in Ny-Ålesund (Svalbard Islands, Norwegian Arctic) in 2010 and 2012, i.e., five samples collected in spring and five samples collected in summer. The choice was made according to previous studies [1, 3], in which these samples appeared the most strongly affected by mid-latitude pollution sources and by local ship emissions, respectively.

## Experimental

# Apparatus and reagents

A Milestone ETHOS One microwave laboratory unit was used for the dissolution of the solid residues. The analysis of extracts and mineralized residuals were carried out using a high-resolution inductively coupled plasma mass spectrometer (HR-ICP-MS) and a inductively coupled plasma optical emission spectrometer (ICP-OES), depending on the concentration levels; model and features of the instruments are reported in Table 1, while operating conditions are reported in Table S1 (see Electronic Supplementary Material, ESM). The reagents used were of analytical purity. Nitric acid, hydrochloric acid, acetic acid, and ammonia were further purified by sub-boiling (s.b.) distillation in a quartz apparatus, from 65%, 37%, 99.8%, and 35% analytical grade solutions respectively. For the digestion of samples, ultrapure (u.p.) hydrogen peroxide (30%) and s.b. nitric acid were used. Water was purified in a Milli-Q system, resulting in high purity water (HPW) with a resistivity of 18 M $\Omega$  cm. Element standard solutions were prepared from concentrated (1000 mg  $L^{-1}$ ) stock solutions (Sigma-Aldrich TraceCERT).

# **Optimization of the procedure**

The most common extracting solutions and extraction methods for PM sequential extraction were compared by means of an experimental design for qualitative variables [43]. Five different extractants, i.e., the most commonly found in literature, were tested, namely HPW [16, 19, 22, 24, 30-37, 42, 44, 45], 0.032 M HNO<sub>3</sub> (pH = 1.5) [44, 46], 0.022 M HCl (pH = 1.7) [35, 36], 0.11 M CH<sub>3</sub>COOH (pH = 3.0) [21, 40, 41, 47, 48], and 0.012 M  $CH_3COOH/CH_3COONH_4$  buffer (pH = 4.5) [8, 17, 25, 26, 28, 29, 48]. Ammonium was chosen as a cation for the buffer due to the possibility of s.b. distillation of ammonia for further purification. For the preparation of the buffer, 6 mL s.b. ammonia, 11 mL s.b. acetic acid, and 33 mL HPW were used; the solution thus obtained was then diluted 3:1000 prior to be used. The procedure consisted in the introduction of 10 mg of CRM NIST 1648a and 10 mL of the extractant in a 30-mL polycarbonate bottle, which was then either stirred for 16 h at 200 rpm, as required by the BCR protocol [21, 47], or sonicated for 15 min at 500 kW [8, 44]. Afterwards, the suspension was vacuum-filtered through Advantec mixed cellulose ester filter membranes. The solution (fraction I) was then acidified with 100 µL s.b. HNO<sub>3</sub>, and HPW was added up to 20 mL. The filter membrane, containing the insoluble fraction of the sample, was subsequently cut into four pieces with stainless steel scissors and microwave-digested using the vessel-inside-vessel technology [49]: the 30-mL tetrafluoromethoxyl (TFM) vessels containing the samples and the digestion mixture were inserted into 100-mL TFM vessels. According to the current legislation of the European Community in the field of air quality monitoring (UNI EN 14902:2005), the digestion mixture was composed of 2 mL s.b. HNO<sub>3</sub> and 0.5 mL u.p. H<sub>2</sub>O<sub>2</sub>; a mixture of 10 mL HPW and 1 mL H<sub>2</sub>O<sub>2</sub> was introduced in the bigger vessel and the temperature was ramped up to 220 °C within 20 min, followed by a dwell time of another 20 min. The obtained solution (fraction II) was subsequently filtered through a Whatman Grade 5 cellulose filter (porosity 2.5 µm) to remove any insoluble particles, and HPW was added up to 20 mL. All the possible steps of the sequential extraction procedure were performed in a clean environment under a Class-100 laminar flow bench-hood, to avoid any possible contamination. Considering that no HF was used for the digestion, silicates and other refractory compounds were not solubilized by the digestion mixture. Therefore, extraction percentages were calculated with respect to the sum of fraction I and fraction II. Each extraction procedure was performed at least in triplicate. Moreover, an additional repetition of each test was performed for analyzing the residue by means of a scanning electron microscopy-energy dispersive X-ray spectrometer (SEM-EDS); in this case, a quartz filter (Pallflex Tissuquartz) was used to filter the solution. All the possible combinations of the five extracting solutions and the two extraction methods were tested; therefore, a total of ten procedures was applied.

The five studied extractants were also tested on the CRM BCR 701, by applying 16-h stirring as the only extraction method. Exclusively, the six certified elements, namely Cd, Cr, Cu, Ni, Pb, and Zn, were determined in the BCR 701 extracts. In this case, extraction percentages were calculated

 Table 1
 Instrumental techniques used for the analysis

Technique	Model	Features	Analytes
ICP-OES	Perkin Elmer Optima 7000 DV	Mira Mist nebulizer, cyclonic spray chamber, dual Échelle monochro-mator, dual CCD detector	Al, Ca, Fe, K, Mg, Na, Pb, Si, Ti, Zn
HR-ICP-MS	Thermo Finnigan Element 2	Conikal nebulizer, cyclonic spray chamber, magnetic and electric sector, SEM detector	As, Ba, Cd, Co, Cr, Cu, Fe, Mn, Ni, Pb, Sb, Ti, V

with respect to the certified total content, in order to be able to compare our results with the certified fractions.

### Test of the procedure on Arctic PM<sub>10</sub> samples

In order to evaluate the source identification potential of the optimized procedure, the chosen sequential extraction protocol was applied to ten samples collected in Ny-Ålesund (Svalbard Islands, Norwegian Arctic) in 2010 and 2012. During the 2010 and 2012 sampling campaigns, PM<sub>10</sub> samples were collected from 16th March to 16th September 2010 and from 17th April to 7th September 2012, with a 4-day resolution. For this work, five samples collected in spring (namely 23Mar10, 27Mar10, 17Apr12, 25Apr12, and 29Apr12) and five samples collected in summer (namely 26Jun10, 9Jul10, 18Jul12, 22Jul12, and 26Jul12) were chosen. The sample name corresponds to the sampling start date. The choice was made according to previous studies [1, 3], in which these samples appeared the most strongly affected by mid-latitude pollution sources and by local ship emissions, respectively. Even though an anthropogenic contribution was identified in the selected samples, the concentrations were quite low, in line with previous works on Arctic and Antarctic PM<sub>10</sub> samples [1, 3, 50].

The sampling was performed by means of PTFE hydrophilic filters (Advantec, product code: H100A090C, 90-mm diameter, efficiency > 99% for 0.3- $\mu$ m particles) and an Echo HiVol sampler (TCR Tecora, 200 L/min). After each sampling, filters were placed in polycarbonate Petri dishes, sealed and immediately frozen; samples were maintained at – 20 °C during all stages of transportation to Italy and storage. More information on the sampling location and strategy can be found elsewhere [1, 3, 51, 52].

The application of the sequential extraction procedure to the  $PM_{10}$  samples collected in Ny-Ålesund was performed exactly as explained in the "Optimization of the procedure" in the "Experimental" section for the CRM powder. However, in this case, one-half of each filter was cut into small pieces with stainless steel scissors and immediately introduced into the polycarbonate bottle. After the extraction, the suspension containing the insoluble fraction of the sample and the pieces of the PTFE filter was vacuum-filtered. The filter membrane was subsequently cut into four pieces with stainless steel scissors and microwave-digested, together with the pieces of the sampling PTFE filter. Extraction percentages were calculated with respect to the sum of fraction I and fraction II.

Procedural blanks were prepared similarly to the samples, by applying the sequential extraction procedure to three blank filter halves which had undergone the transport to and from the sampling site; procedural blank concentrations (PB) were subtracted from sample concentrations, in order to eliminate the filter, travel, and storage contributions.

#### Statistic data analysis

The experimental design (or design of experiments—DOE) is a mathematical modeling approach widely used in chemistry for the optimization of processes or procedures [53–56]. A response surface, in which both single and interaction effects of the variables of interest are taken into account, is generally built by means of a regression analysis. An experimental design for qualitative (categorical) variables [43] was performed by means of the R-based software CAT (Chemometric Agile Tool) [57]. The investigated variables were the extractant and the extraction method, as explained in the "Optimization of the procedure" in the "Experimental" section. Considering that the purpose of the study was to identify the procedure which allows to maximize the extraction of elements mainly having an anthropogenic origin (hereafter referred to as "anthropogenic elements") and to minimize the extraction of elements mainly having a crustal origin (hereafter referred to as "crustal elements"), two different responses were alternatively used for computing the multilinear regression model, i.e., the mean of the extraction percentages obtained for some anthropogenic elements (namely As, Cd, Pb, and Zn) and the mean of the extraction percentages obtained for some crustal elements (namely Al, Si, and Ti). The attribution of the main element sources in the CRM NIST 1648a was made according to Marine and Crustal Enrichment Factors (MEFs and CEFs, ESM Table S2), calculated for this CRM with respect to the average sea and upper crust composition [58, 59], by using Na and Al as reference elements, respectively [1].

The Mann-Whitney test was used for verifying if the results of the extractions performed with two alternative procedures or on two sets of samples were significantly different [60, 61]. XIStat 2018.1 software package, an add-on of Microsoft Excel, was used for performing the calculations.

# **Results and discussion**

## Optimization of the procedure

Figure 1 shows the extraction percentages obtained in fraction I for the CRM NIST 1648a with the five tested extracting solutions (HPW, buffer, CH<sub>3</sub>COOH, HCl, and HNO<sub>3</sub>) and the two applied extraction methods (16-h stirring and 15-min ultrasounds). Table 2 reports the calculated extraction percentages for fraction I, while Table S3 (see ESM) reports the recovery percentages calculated for the sum of the concentration obtained in fraction I and fraction II, with respect to the certified values. The relative standard deviation (RSD%) was generally lower than 5% and almost always lower than 10%. Occasional higher values were registered for Al, Fe, Pb, Si, and Ti in fraction I and for Ca, K, Na, and Si in fraction II.

Table 2 Extraction p	ercentages obtained in fraction	I for the CRM NIST 1	1648a with the ten tested	procedures
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	HPW—stir	Buffer—stir	CH <sub>3</sub> COOH—stir	HCl—stir	HNO <sub>3</sub> —stir	HPW—US	Buffer—US	CH <sub>3</sub> COOH—US	HCl—US	HNO3-US
Al	$0.32\pm0.01$	$4.4\pm0.9$	$10.9\pm0.6$	$18 \pm 2$	$16.6 \pm 0.9$	$1.0 \pm 0.3$	$2.2 \pm 0.5$	$7.6 \pm 0.8$	$12 \pm 1$	$14 \pm 2$
As	$33.4\pm0.4$	$39 \pm 1$	$47\pm2$	$65 \pm 2$	$68 \pm 1$	$26.5\pm0.7$	$32\pm2$	$39.8\pm0.7$	$53 \pm 2$	$57 \pm 2$
Ва	$1.03\pm0.05$	$9.1\pm0.5$	$13 \pm 2$	$23 \pm 1$	$26.5\pm0.7$	$2.4\pm0.1$	$7.6\pm0.7$	$10.2\pm0.9$	$20 \pm 1$	$21 \pm 2$
Ca	$76.3\pm0.3$	$87.5\pm0.5$	$93.3\pm0.2$	$96.8\pm0.2$	$94.4\pm0.3$	$73.1\pm0.7$	$81\pm1$	$90 \pm 1$	$91 \pm 2$	$95\pm1$
Cd	$31.5\pm0.5$	$68.7\pm0.6$	$74 \pm 2$	$78.4\pm0.4$	$77\pm1$	$40 \pm 1$	$65.6\pm0.9$	$75.1\pm0.5$	$78.7\pm0.7$	$79.0\pm0.8$
Co	$32.5\pm0.9$	$36 \pm 2$	$37 \pm 1$	$43\pm2$	$45\pm2$	$29 \pm 1$	$35\pm2$	$38 \pm 1$	$42\pm2$	$45 \pm 2$
Cr	$2.9\pm0.4$	$4.1\pm0.4$	$7.7\pm0.7$	$21 \pm 2$	$23\pm2$	$3.1\pm0.5$	$3.3\pm0.4$	$6.8\pm0.5$	$17 \pm 2$	$22 \pm 3$
Cu	$20.5\pm0.7$	$37 \pm 1$	$54\pm2$	$70 \pm 2$	$70 \pm 2$	$24.3\pm0.3$	$39\pm1$	$51\pm2$	$66.2\pm0.9$	$67 \pm 1$
Fe	$0.14\pm0.01$	$0.89\pm0.06$	$5.0\pm0.5$	$17.6\pm0.6$	$14.1\pm0.5$	$0.5\pm0.2$	$0.8\pm0.1$	$4.3\pm0.6$	$10.0\pm0.7$	$15 \pm 1$
Κ	$45\pm3$	$48\pm4$	$45\pm2$	$42 \pm 2$	$40\pm3$	$41 \pm 3$	$46\pm2$	$48 \pm 2$	$44 \pm 7$	$49\pm4$
Mg	$34.3\pm0.9$	$55\pm2$	$66.7\pm0.8$	$71 \pm 1$	$68.5\pm0.3$	$32.9\pm0.7$	$35\pm1$	$50 \pm 4$	$63\pm 5$	$66 \pm 5$
Mn	$37.1\pm0.4$	$42.6\pm0.2$	$45 \pm 1$	$53.8\pm0.5$	$56 \pm 1$	$34.8\pm0.5$	$42\pm1$	$43.7\pm0.4$	$47.8\pm0.9$	$52\pm 2$
Na	$66 \pm 1$	$57\pm2$	$67 \pm 2$	$75.5\pm0.6$	$68.3\pm0.8$	$63 \pm 3$	$54\pm3$	$72 \pm 2$	$67 \pm 4$	$76\pm4$
Ni	$36\pm2$	$38.7\pm0.8$	$38.3\pm0.6$	$43 \pm 1$	$46.6\pm0.9$	$34 \pm 2$	$37 \pm 1$	$40.0\pm0.5$	$42\pm3$	$46\pm3$
Pb	$0.57\pm0.07$	$5.3\pm0.8$	$62 \pm 4$	$93.5\pm0.2$	$94.3\pm0.4$	$1.5\pm0.1$	$8 \pm 1$	$60 \pm 3$	$90.9\pm0.5$	$92.0\pm0.8$
Sb	$22.3\pm0.2$	$22.6\pm0.7$	$24.3\pm0.3$	$36.7\pm0.5$	$38.7\pm0.9$	$18 \pm 2$	$20\pm1$	$21.3\pm0.9$	$31 \pm 2$	$35\pm2$
Si	$0.73\pm0.3$	$1.3\pm0.5$	$5 \pm 1$	$9\pm2$	$7\pm2$	$0.7\pm0.3$	$0.4\pm0.2$	$1.0 \pm 0.4$	$3\pm1$	$5\pm 2$
Ti	$0.08\pm0.04$	$0.07\pm0.02$	$0.22\pm0.04$	$5.9\pm0.3$	$7.0\pm0.3$	$0.5\pm0.3$	$0.2\pm0.1$	$0.50\pm0.01$	$4.1\pm0.5$	$5.8\pm0.6$
V	$27 \pm 1$	$26 \pm 1$	$45 \pm 2$	$59.2\pm0.9$	$59.8\pm0.8$	$17 \pm 2$	$22\pm 2$	$43 \pm 1$	$54\pm2$	$57 \pm 2$
Zn	$45.7\pm0.9$	$73.2\pm0.8$	$75.4\pm0.9$	$80.6\pm0.5$	$77 \pm 2$	$51.2\pm0.4$	69 ± 1	$78.2\pm0.4$	$78 \pm 1$	$82\pm1$

Stir = 16-h stirring; US = 15-min ultrasounds; buffer = CH<sub>3</sub>COOH/CH<sub>3</sub>COONH<sub>4</sub> buffer

Regarding extraction percentages obtained in fraction I, most of the analyzed alkaline and alkali-earth metals (namely Ca, K, Mg, and Na), commonly deriving from marine and-to a lesser extent-crustal sources, showed extraction percentages ranging from 33 to 100%; the elements typically having a crustal origin (i.e., Al, Si, and Ti) are generally poorly dissolved and, accordingly, their extraction percentages ranged from 0.071 to 18%; the elements often having a mixed crustalanthropogenic origin (i.e., Ba, Co, Fe, Mn, Ni, and V) had extraction percentages ranging from 0.14 to 60%; the extraction percentages obtained for most of the elements typically having a prevalent anthropogenic origin (i.e., As, Cd, Cu, and Zn) were considerably higher, ranging from 21 to 82%; Cr, Pb, and Sb, which are typically anthropogenic, gave low extraction percentages, due to their specific chemistry: Cr (2.9-23%) and Sb (18-39%) can be completely extracted only by concentrated HF and HCl [18, 62], respectively, while the extraction of Pb (0.57–94%) was strongly affected by the extractant pH. With exception to alkaline metals (i.e., K and Na), the extraction percentages significantly increased when the pH of the extractant decreased. In particular, when stirring was used as the extraction method, the extraction percentages registered for Pb were 0.57%, 5.3%, 62%, and 94% for HPW, buffer (pH 4.5), CH<sub>3</sub>COOH (pH 3.0), and HCl or HNO<sub>3</sub> (pH 1.5–1.7), respectively. Other elements that show very different extraction percentages according to the extractant used included As, Cd, Cu, Mg, V, and Zn; most of them often derive from anthropogenic sources. In addition, the extraction of the elements generally having a crustal or a mixed crustalanthropogenic origin was also influenced by variations in the extractant pH.

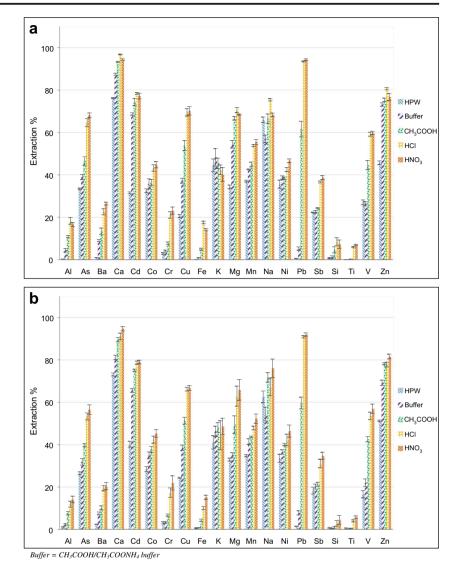
This behavior underlines the necessity of harmonizing the PM sequential extraction procedures, as the results obtained with different procedures are undoubtedly not comparable and, hence, not helpful for the identification of the element sources.

A multilinear regression model was computed for evaluating how the choice of the extractant and the extraction method influenced the extraction of anthropogenic elements. The resulting model is the following:

$$y = 28.1 + 49.3 e_1 + 48.4 e_2 + 34.9 e_3 + 16.2 e_4 + 1.6 m$$
(MOD1)

where  $e_1 - e_4$  represent the usage of HNO<sub>3</sub>, HCl, CH<sub>3</sub>COOH, and CH<sub>3</sub>COOH/CH<sub>3</sub>COONH<sub>4</sub> buffer as extractant, respectively, and *m* represents the usage of 16-h stirring as extraction method. For each factor, the reference level (HPW as extractant and US as extraction method) was the lowest, i.e., the one causing the smallest extraction of the selected anthropogenic elements. All the factors resulted significant (p < 0.001).

Fig. 1 Extraction percentages obtained in fraction I for the CRM NIST 1648a with the five tested extracting solutions: **a** 16-h stirring; **b** 15-min ultrasounds. The error bars represent the standard deviations of the three replicates



Similarly, a multilinear regression model was computed for evaluating how the choice of the extractant and the extraction method influenced the extraction of crustal elements. The resulting model is the following:

$$y = (-0.3) + 8.5 e_1 + 7.9 e_2 + 3.5 e_3 (+0.8 e_4) + 1.9 m$$
(MOD2)

where the coefficients in brackets resulted not significant (p > 0.05), while all the others were significant (p < 0.001). For each factor, again, the reference level (HPW as extractant and US as extraction method) was the lowest, i.e., the one causing the smallest extraction of the selected crustal elements. Nevertheless, the difference between the extracting power of HPW and the extracting power of the buffer ( $e_4$ ) was not significant for these analytes.

The use of 15-min ultrasounds instead of the typical 16-h stirring induced a low but significant (p < 0.001) decrease of

the extraction of both anthropogenic and crustal elements. This result was confirmed by the composition of the residues analyzed by SEM-EDS as, for most of the analytes (including non-metals such as Cl, P, and S), the concentration was higher when ultrasounds were used instead of stirring. In addition, the Mann-Whitney test demonstrated that the use of stirring gave more reproducible results than ultrasounds, as the relative standard deviations of the latter procedure were significantly higher (p < 0.05) for many analytes, namely Al, Ca, Cr, Mg, Na, Sb, and Si. Therefore, the application of 16-h stirring should be preferred.

Even though most of the coefficients of MOD2 were lower than the coefficients of MOD1, all the signs were positive; therefore, it was not possible to identify a procedure able to maximize the extraction of anthropogenic analytes while minimizing the extraction of crustal ones. Nevertheless, by subtracting the coefficients of MOD2 from the ones computed in MOD1, it was possible to obtain a measure of the higher extractability of anthropogenic elements with respect to crustal ones:

$$y = 28.4 + 40.8 e_1 + 40.5 e_2 + 31.4 e_3$$
  
+ 17.0 e\_4-0.3 m (MOD3)

It is evident that even though anthropogenic elements are always more easily extractable than crustal elements, this difference is dependent on the extractant pH and is the highest for  $HNO_3$  ( $e_1$ ). This means that even though the use of a low-pH extractant caused an increase of the extraction percentages of both crustal and anthropogenic elements, the latter showed a more marked increase.

In order to clearly identify the extractant representing the best compromise, we critically compared these results with the ones obtained for the CRM BCR 701. Figure 2 shows the extraction percentages obtained in fraction I with the five tested extracting solutions; considering the results obtained for the CRM NIST 1648a, 16-h stirring was the only extraction method used. For comparison, the certified extraction percentages related to the BCR sequential extraction protocol are also reported in Fig. 2. Table S3 (see ESM) reports the calculated extraction II.

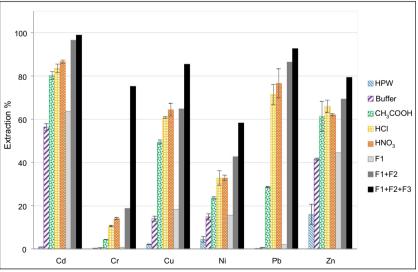
According to the general thought that the first fraction of the BCR protocol represents a good estimate of the anthropogenic portion of each metal [18, 19], it is possible to state that water gave underestimated results. Furthermore, taking into account that HPW extractions are strongly influenced by the pH of the sample [8, 63], the use of this extractant should be avoided.

The extraction percentages obtained with  $CH_3COOH/$  $CH_3COONH_4$  buffer were the nearest to the first certified fraction of the BCR protocol. Nevertheless, only the 5.3% of Pb was extracted when this procedure was applied to the CRM NIST 1648a. Considering that the PM which constitutes the CRM NIST 1648a was collected in an urban area in 1976–1977, when the use of tetraethyllead in gasoline was still wide-spread, we can hypothesize that the anthropogenic portion of Pb is much higher. Nevertheless, this hydrophobic organome-tallic form of Pb proved to be not extractable with this buffer. Therefore, this buffer is probably not suitable to discriminate between anthropogenic and crustal forms of Pb.

Similarly, an extraction percentage of 62% for Pb in the CRM NIST 1648a, found by extraction with CH<sub>3</sub>COOH, was likely an underestimation of the anthropogenic portion of Pb in the urban PM collected in those years. Nevertheless, it is interesting to note that the extraction percentages obtained with CH<sub>3</sub>COOH for the CRM BCR 701 were significantly higher than the expected ones (F1 in Fig. 2), even though the applied extractant and extraction method are the same. The reason of this discrepancy lies in the different solid/ extractant ratio chosen with respect to the one provided by the BCR protocol: the latter, which is designed to be applied to soil or sediment samples, provides a solid/extractant ratio of 25 g  $L^{-1}$  [21, 47], while in this work, we applied a solid/ extractant ratio of 1 g  $L^{-1}$ . Due to the small quantity of PM samples typically collected, the usage of the solid/extractant ratio provided by the BCR protocol would not be achievable. Nevertheless, some elements (e.g., Pb) are extremely sensitive to small changes of this ratio, according to their specific partition coefficient [64, 65]. As suggested by Bacon et al. (2008), it is extremely important to define a constant solid/ extractant ratio to be applied to the sequential extraction of PM samples, in order to reduce the operational nature of the results and to be able to compare data obtained by different researchers.

In accordance with their lower pH, the procedures in which diluted HCl and HNO<sub>3</sub> were used as extractants generally

**Fig. 2** Extraction percentages obtained in fraction I for the CRM BCR 701 with the five tested extracting solutions and 16-h stirring; for comparison, the certified extraction percentages for the BCR sequential extraction protocol are also reported (F1 = fraction 1; F1 + F2 = fraction 1 + fraction 2; F1 + F2 + F3 = fraction 1 + fraction 2 + fraction 3). The error bars represent the standard deviations of the three replicates



Buffer = CH<sub>3</sub>COOH/CH<sub>3</sub>COONH<sub>4</sub> buffer

gave the highest extraction percentages, which often reached but did not exceed the sum of the certified first and second fraction (F1 + F2 in Fig. 2). According to the Mann-Whitney test (p < 0.05), the extraction percentages obtained with the two acids were not significantly different, probably due to their very similar pH.

Considering the results obtained with the two CRMs, it is possible to state that HPW, CH<sub>3</sub>COOH, and CH<sub>3</sub>COOH/ CH<sub>3</sub>COONH<sub>4</sub> buffer are not appropriate extractants for giving a good estimation of the anthropogenic portion of the elements present in PM samples. HCl and HNO<sub>3</sub> generally gave very similar results, but it appears that HNO<sub>3</sub> was the extractant which allowed to maximize the extraction of anthropogenic elements with respect to crustal elements. Moreover, due to the formation of polyatomic interferences (e.g., ArCl and ClO), the use of HCl can cause problems in the ICP-MS determination of some elements (e.g., As and Cr). Consequently, when the sequential extraction procedure is intended for the determination of a wide variety of analytes, the use of HCl should be avoided and substituted with HNO<sub>3</sub>.

For all these reasons, the procedure tested on the ten Arctic  $PM_{10}$  samples was the one relying on the use of 0.032 M  $HNO_3$  as the extractant and 16-h stirring as the extraction method.

#### Test of the procedure on Arctic PM<sub>10</sub> samples

The results of the sequential extraction procedure (ESM Table S5) are expressed as percentages, with respect to the total extraction (i.e., sum of fraction I and fraction II). Except for two results for Cd, three results for Si and three results for Zn, all the determined concentrations were higher than the corresponding procedural blanks (PB). Therefore, due to the absence of a sufficient amount of data, Si and Zn were not included in the following statistical treatments. Despite the long sample manipulation that the procedure requires, the use of a laminar flow hood and of sub-boiling and ultrapure reagents proved successful in avoiding contaminations. Hence, the optimized procedure is suitable to be applied to PM samples having extremely low concentrations, such as samples collected in polar or other remote areas.

The results are generally consistent with the analyte primary sources inferable from the calculated EFs (ESM Table S6). As expected, prevalently geogenic analytes (i.e., Al, Fe, Si, and Ti), generally characterized by low CEFs, were mostly present in fraction II (Fig. 3). Indeed, these elements are often strongly bound to the mineral structure of the particles; therefore, they are not easily extractable. Cr is also mainly present in fraction II (ESM Fig. S1) but, as explained in the "Optimization of the procedure" in the "Results and discussion" section, this is due to its specific chemistry [18]. Conversely, both prevalently marine analytes (i.e., Ca, K, Na), characterized by low MEFs, and prevalently anthropogenic analytes (i.e., As, Cd, Co, Cu, Ni, Pb, V, and Zn), generally characterized by high CEFs and high MEFs, were prevalently present in fraction I (Fig. 3 and ESM Fig. S1). In fact, in PM samples collected in the vicinity of the sea, the former group of analytes is generally present in the form of soluble salts. On the other hand, anthropogenic elements are often weakly bound to atmospheric particles, by means of adsorption processes. Ba and Sb showed extremely variable extraction percentages, with fraction I ranging from 20 to 74% and from 16 to 74%, respectively, generally higher for spring samples than for summer samples (ESM Fig. S1). In addition, Mg and Mn showed extremely variable extraction percentages, remarkably lower for 2010 (12-29% and 19-51%, respectively) than for 2012 samples (85-98% and 50-82%, respectively) (ESM Fig. S1). This different chemical behavior can possibly reflect a variation of the main sources of these elements between the two sampling campaigns.

The Mann-Whitney test was performed on the results of the sequential extraction procedure for checking if significant differences were present between the two groups of samples, i.e., between the five samples collected in spring and the five samples collected in summer. The results obtained for the two groups of samples for As, Ca, Cd, Co, Cr, Cu, Fe, K, Mg, Mn, Na, Ni, Si, and Ti were not significantly different. Considering that the chemical behavior of these elements (i.e., their extractability) in the Arctic PM<sub>10</sub> samples did not change throughout the sampling campaigns, it is possible to hypothesize that their main sources remained the same all over the spring-summer seasons. On the other hand, significant differences were found for Ba, Pb, Sb, and V (p < 0.1) and for Al (p < 0.15). All these elements, except V, showed higher extraction percentages in spring samples than in summer samples. This result is coherent with the previous identification of long-range transport processes as the main source of PM<sub>10</sub> elements in spring, and of cruise ship emissions as the main source of V in summer. The latter is shown by the Ce-La-V ternary diagram of Fig. S2 (see ESM), in which the five samples collected in summer appeared the most strongly influenced by oil combustion sources. Indeed, for building this graph, the concentrations of Ce and La were multiplied by an adequate coefficient (1.54 and 3.1 respectively) so that the center of the diagram represents the composition of the upper crust [66]. In this way, PM samples that are strongly influenced by refinery emissions or by oil combustion processes (e.g., ship emissions) are generally located close to the lower left or right corner of the diagram, respectively, while PM samples strongly influenced by vehicular traffic emissions are likely located close to the triangle center, slightly toward Ce [1, 46, 67, 68].

PCA (ESM Fig. S3) allowed to visualize both the slight difference between the samples collected during the two sampling campaigns (PC1, 51.05% of the total variance—the extraction percentages of 2012 samples were generally higher than the ones obtained for 2010 samples) and the differences

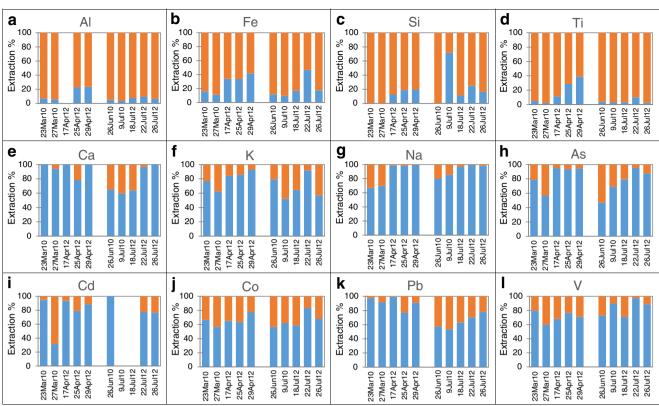


Fig. 3 Extraction percentages obtained for the ten Arctic  $PM_{10}$  samples for **a**–**d** prevalently crustal elements; **e**–**g** prevalently marine elements; **h**–**l** prevalently anthropogenic elements

between spring and summer samples (PC2, 14.06% of the total variance). Pb and V appeared to be the elements which most strongly determined a differentiation of the two groups, as they were much more easily extractable in spring and summer samples, respectively. This can be explained considering that these elements are typically anthropogenic, with Pb mainly deriving from mid-latitude pollution sources and V often deriving from local ship emissions. In addition, Al, Ba, Ca, Sb, and Ti appeared also slightly more easily extracted in spring samples, while Co, Cr, and Na appeared slightly more easily extracted in summer samples.

By performing the Mann-Whitney test on the concentrations obtained by direct digestion of these ten samples (ESM Table S7 [1, 3]), significant differences between the two groups of samples were found for As, Ba, Mn, Ni, Pb, and V at a significance level of 95% and for Cd, K, and Mg at a significance level of 95% and for Cd, K, and Mg at a significance level of 85%. On the other hand, the results obtained for Al, Ca, Co, Cr, Cu, Fe, Na, Ti, and Zn were not significantly different. No comparison could be made for Sb and Si, since these elements were not determined when the direct digestion of samples was performed. The results of the Mann-Whitney test implied that for Ba, Pb, and V, the sequential extraction procedure confirmed the source identification resulting from the direct digestion of the PM<sub>10</sub> samples. Moreover, through the sequential extraction procedure, it was possible to distinguish the two groups of samples by means of the concentrations of Al (which in the direct digestion was not

significantly different) and not by means of the concentrations of As, Cd, K, Mg, Mn, and Ni (which in the direct digestion were significantly different). This probably means that even though the concentrations of the latter group of analytes in the two investigated periods were different, their mobility and, therefore, their chemical form in the analyzed PM<sub>10</sub> samples were similar. This can be possibly due to a different contribution of the same source and not to the presence of different sources. The most likely sources for these elements, as detailed in our previous work [1], are continental anthropogenic activities (e.g., incinerators or industries) for As and Cd, marine spray for K and Mg, and occasional local ship emissions for Ni. On the contrary, even though the concentrations of Al in the two investigated periods were similar, the chemical behavior of this analyte and, therefore, its species present in the PM<sub>10</sub> samples were probably different. This can be possibly due to the presence, in the two seasons, of different crustal sources (e.g., weathering of the upper crust occurred in different geographical areas) [69].

# Conclusions

In this study, the most common extracting solutions and extraction methods for PM sequential extraction were compared, in order to optimize a two-step sequential extraction scheme and lay the foundation for a future harmonization of the

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procedures. Two CRMs were used for the purpose, i.e., NIST 1648a ("urban particulate matter") and BCR 701 ("lake sediment").

The use of 15-min ultrasounds instead of the typical 16-h stirring induced a low but significant decrease of the extraction of both anthropogenic and crustal elements and a decrease in the reproducibility of the procedure; the latter extraction method should therefore be preferred.

As expected, the extraction percentages generally increased when the pH of the extractant decreased. Diluted  $HNO_3$ (0.032 M) was the extractant which allowed to maximize the release of anthropogenic elements with respect to crustal elements. Moreover, this extractant allows to avoid the unnecessary formation of polyatomic interferences when ICP-MS is chosen as analytical technique.

In order to evaluate the source identification potential of the optimized procedure, the chosen sequential extraction scheme was applied to five samples collected in spring and five samples collected in summer in Ny-Ålesund in 2010 and 2012. The Mann-Whitney test was performed on both the results of the sequential extraction procedure and of direct digestion for checking if significant differences were present between the two groups of samples. For some analytes (namely Ba, Ca, Cu, Fe, Na, Pb, and V), the sequential extraction procedure confirmed the source identification resulting from the direct digestion of the PM<sub>10</sub> samples. However, for other analytes, the two tests gave different results, suggesting that even though the concentrations of As, Cd, K, Mg, Mn, and Ni in the two investigated periods were different, their mobility and, therefore, their chemical form in the analyzed PM<sub>10</sub> samples was probably similar.

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# **Compliance with ethical standards**

**Conflict of interest** The authors declare that they have no conflict of interests.

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