



# On semi-equivalence of generically-finite polynomial mappings

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**Abstract** Let  $f, g : X \rightarrow Y$  be continuous mappings. We say that  $f$  is topologically equivalent to  $g$  if there exist homeomorphisms  $\Phi : X \rightarrow X$  and  $\Psi : Y \rightarrow Y$  such that  $\Psi \circ f \circ \Phi = g$ . Moreover, we say that  $f$  is topologically semi-equivalent to  $g$  if there exist open, dense subsets  $U, V \subset X$  and homeomorphisms  $\Phi : U \rightarrow V$  and  $\Psi : Y \rightarrow Y$  such that  $\Psi \circ f \circ \Phi|_U = g|_U$ . Let  $X, Y$  be smooth irreducible affine complex varieties. We show that every algebraic family  $F : M \times X \ni (m, x) \mapsto F(m, x) = f_m(x) \in Y$  of polynomial mappings contains only a finite number of topologically non-equivalent proper mappings and only a finite number of topologically non-semi-equivalent generically-finite mappings. In particular there are only a finite number of classes of topologically non-equivalent proper polynomial mappings  $f : \mathbb{C}^n \rightarrow \mathbb{C}^m$  of a bounded (algebraic) degree. The same is true for a number of classes of topologically non-semi-equivalent generically-finite polynomial mappings  $f : \mathbb{C}^n \rightarrow \mathbb{C}^m$  of a bounded (algebraic) degree.

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## 1 Introduction

Let  $f, g : X \rightarrow Y$  be continuous mappings. We say that  $f$  is *topologically equivalent* to  $g$  if there exist homeomorphisms  $\Phi : X \rightarrow X$  and  $\Psi : Y \rightarrow Y$  such that  $\Psi \circ f \circ \Phi = g$ . Moreover, we say that  $f$  is topologically semi-equivalent to  $g$  if there exist open, dense subsets  $U, V \subset X$  and homeomorphisms  $\Phi : U \rightarrow V$  and  $\Psi : Y \rightarrow Y$  such that  $\Psi \circ f \circ \Phi|_U = g|_U$ .

In the case  $X = \mathbb{C}^n$  and  $Y = \mathbb{C}$  René Thom stated a Conjecture that there are only finitely many topological types of polynomials  $f : X \rightarrow Y$  of bounded degree. This Conjecture was confirmed by Fukuda [2]. Also a more general problem was considered: how many

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topological types are there in the family  $P(n, m, k)$  of polynomial mapping  $f : \mathbb{C}^n \rightarrow \mathbb{C}^m$  of degree bounded by  $k$ ? Aoki and Noguchi [1] showed that there are only a finite number of topologically non-equivalent mappings in the family  $P(2, 2, k)$ . Finally Nakai [8] showed that each family  $P(n, m, k)$ , where  $n, m, k > 3$ , contains infinitely many different topological types even if we consider only generically-finite mappings. Hence the General Thom Conjecture is not true even for generically-finite mappings. However, we show in this paper that there are only a finite number of classes of topologically semi-equivalent generically-finite polynomial mappings  $f : \mathbb{C}^n \rightarrow \mathbb{C}^m$  of a bounded (algebraic) degree. As a by product of our considerations we give a simple proof of the following interesting fact: for every  $n, m$  and  $k$  there are only a finite number of topological types of *proper* polynomial mappings  $f : \mathbb{C}^n \rightarrow \mathbb{C}^m$  of (algebraic) degree bounded by  $k$ . Hence we can say that Thom Conjecture is true for proper polynomial mappings. We show also that if  $n \leq m$  and  $\Omega_n(d_1, \dots, d_m)$  denotes the family of all polynomial mappings  $F = (f_1, \dots, f_m) : \mathbb{C}^n \rightarrow \mathbb{C}^m$  of a multi-degree bounded by  $(d_1, \dots, d_m)$ , then any two general member of this family are topologically equivalent.

In fact we prove more: if  $X, Y$  are smooth affine irreducible varieties, then every algebraic family  $\mathcal{F}$  of polynomial mappings from  $X$  to  $Y$  contains only a finite number of topologically non-semi-equivalent (non-equivalent) generically-finite (proper) mappings. Moreover, if a family  $\mathcal{F}$  is irreducible, then two generic members of  $\mathcal{F}$  are in the same equivalence class.

Let us recall here, that a mapping  $f : X \rightarrow Y$  is generically finite, if for general  $x \in X$  the set  $f^{-1}(f(x))$  is finite. Our proof goes as follows. Let  $M$  be a smooth affine irreducible variety and let  $\mathcal{F}$  be a family of polynomial mappings induced by a regular mapping  $F : M \times X \rightarrow Y$ , i.e.,  $\mathcal{F} := \{f_m : X \ni x \mapsto F(m, x) \in Y, m \in M\}$ . Let us recall that if  $f : X \rightarrow Z$  is a generically finite polynomial mapping of affine varieties, then the *bifurcation set*  $B(f)$  of  $f$  is the set  $\{z \in Z : z \in \text{Sing}(Z) \text{ or } \#f^{-1}(z) \neq \mu(f)\}$ , where  $\mu(f)$  is the topological degree of  $f$ . The set  $B(f)$  is always closed in  $Z$ . We show that there exists a Zariski open, dense subset  $U$  of  $M$  such that

- (1) for every  $m \in U$  we have  $\mu(f_m) = \mu(\mathcal{F})$ , where we treat  $f_m$  as a mapping  $f_m : X \rightarrow Z_m := \overline{f_m(X)}$ ,
- (2) for every  $m_1, m_2 \in U$  the pairs  $(\overline{f_{m_1}(X)}, B(f_{m_1}))$  and  $(\overline{f_{m_2}(X)}, B(f_{m_2}))$  are equivalent via a homeomorphism, i.e., there is a homeomorphism  $\Psi : Y \rightarrow Y$  such that  $\Psi(\overline{f_{m_1}(X)}) = \overline{f_{m_2}(X)}$  and  $\Psi(B(f_{m_1})) = B(f_{m_2})$ .

In particular the group  $G = \pi_1(\overline{f_m(X)} \setminus B(f_m))$  does not depend on  $m \in U$ . Using elementary facts from the theory of topological coverings, we show that the number of topological semi-types (types) of generically-finite (proper) mappings in the family  $\mathcal{F}|_U$  is bounded by the number of subgroups of  $G$  of index  $\mu(\mathcal{F})$ , hence it is finite. Then we conclude the proof by induction. Finally, the case of arbitrary  $M$  can be easily reduced to the smooth, irreducible, affine case.

*Remark 1.1* In this paper we use the term ‘‘polynomial mapping’’ for every regular mapping  $f : X \rightarrow Y$  of affine varieties.

## 2 Bifurcation set

Let  $X, Z$  be affine irreducible varieties of the same dimension and assume that  $X$  is smooth. Let  $f : X \rightarrow Z$  be a dominant polynomial mapping. It is well known that there is a Zariski open non-empty subset  $U$  of  $Z$  such that for every  $x_1, x_2 \in U$  the fibers  $f^{-1}(x_1), f^{-1}(x_2)$

have the same number  $\mu(f)$  of points. We say that  $\mu(f)$  is the topological degree of  $f$ . Recall the following (see [5,6]).

**Definition 2.1** Let  $X, Z$  be as above and let  $f : X \rightarrow Z$  be a dominant polynomial mapping. We say that  $f$  is finite at a point  $z \in Z$  if there exists an open neighborhood  $U$  of  $z$  such that the mapping  $f|_{f^{-1}(U)} : f^{-1}(U) \rightarrow U$  is proper.

It is well-known that the set  $S_f$  of points at which the mapping  $f$  is not finite is either empty or it is a hypersurface (see [5,6]). We say that  $S_f$  is the set of non-properness of  $f$ .

**Definition 2.2** Let  $X$  be a smooth affine  $n$ -dimensional variety and let  $Z$  be an affine variety of the same dimension. Let  $f : X \rightarrow Z$  be a generically finite dominant polynomial mapping of geometric degree  $\mu(f)$ . The bifurcation set of  $f$  is

$$B(f) = \{z \in Z : z \in \text{Sing}(Z) \text{ or } \#f^{-1}(z) \neq \mu(f)\}.$$

*Remark 2.3* The same definition makes sense for those continuous mapping  $f : X \rightarrow Z$ , for which we can define the topological degree  $\mu(f)$  and singularities of  $Z$ . In particular if  $Z_1, Z_2$  are affine algebraic varieties,  $f : X \rightarrow Z_1$  is a dominant polynomial mapping and  $\Phi : Z_1 \rightarrow Z_2$  is a homeomorphism which preserves singularities, then we can define  $B(\Phi \circ f)$  as  $\Phi(B(f))$ . Moreover, the mapping  $\Phi \circ f$  behaves topologically as an analytic covering. We will use this facts in the proof of Theorem 3.5.

We have the following theorem (see also [7]).

**Theorem 2.4** Let  $X, Z$  be affine irreducible complex varieties of the same dimension and suppose  $X$  is smooth. Let  $f : X \rightarrow Z$  be a polynomial dominant mapping. Then the set  $B(f)$  is closed and  $B(f) = K_0 \cup S_f \cup \text{Sing}(Z)$ .

*Proof* Let us note that outside the set  $S_f \cup \text{Sing}(Z)$  the mapping  $f$  is a (ramified) analytic covering of degree  $\mu(f)$ . By Lemma 2.5 below, if  $z \notin \text{Sing}(Z)$  we have  $\#f^{-1}(z) \leq \mu(f)$ . Moreover, since  $f$  is an analytic covering outside  $S_f \cup \text{Sing}(Z)$  we see that for  $y \notin S_f \cup \text{Sing}(Z)$  the fiber  $f^{-1}(z)$  has exactly  $\mu(f)$  points counted with multiplicity. Take  $X_0 := X \setminus f^{-1}(\text{Sing}(Z) \cup S_f)$ . If  $z \in K_0(f|_{X_0})$ , the set of critical values of  $f|_{X_0}$ , then  $\#f^{-1}(z) < \mu(f)$ .

Now let  $z \in S_f \setminus \text{Sing}(Z)$ . There are two possibilities:

- (a)  $\#f^{-1}(z) = \infty$ .
- (b)  $\#f^{-1}(z) < \infty$ .

In case (b) we can assume that  $f^{-1}(z) \neq \emptyset$ . Let  $U$  be an affine neighborhood of  $z$  disjoint from  $\text{Sing}(z)$  over which the mapping  $f$  has finite fibers. Let  $V = f^{-1}(U)$ . By the Zariski Main Theorem in the version given by Grothendieck (see [3]), there exists a normal variety  $\bar{V}$  and a finite mapping  $\bar{f} : \bar{V} \rightarrow U$  such that

- (1)  $V \subset \bar{V}$ ,
- (2)  $\bar{f}|_V = f$ .

Since  $z \in \bar{f}(\bar{V} \setminus V)$ , it follows from Lemma 2.5 below that  $\#f^{-1}(z) < \mu(f)$ . Consequently, if  $z \in S_f$ , we have  $\#f^{-1}(z) < \mu(f)$ . Finally, we have  $B(f) = K_0(f|_{X_0}) \cup S_f \cup \text{Sing}(Z)$ . However, the set  $K_0(f|_{X_0})$  is closed in  $Z \setminus (S_f \cup \text{Sing}(Z))$ . Hence  $B(f)$  is closed in  $Z$ . □

**Lemma 2.5** Let  $X, Z$  be affine normal varieties of the same dimension. Let  $f : X \rightarrow Z$  be a finite mapping. Then for every  $z \in Z$  we have  $\#f^{-1}(z) \leq \mu(f)$ .

*Proof* Let  $\#f^{-1}(z) = \{x_1, \dots, x_r\}$ . We can choose a function  $h \in \mathbb{C}[X]$  which separates all  $x_i$  (in particular we can take as  $h$  the equation of a general hyperplane section). Since  $f$  is finite, the minimal equation of  $h$  over the field  $\mathbb{C}(Z)$  is of the form:

$$T^s + a_1(f)T^{s-1} + \dots + a_s(f) \in f^*\mathbb{C}[Z][T],$$

where  $s \leq \mu(f)$ . If we substitute  $f = z$  into this equation we get the desired result. □

### 3 Main result

We start with the following:

**Lemma 3.1** *Let  $f : X^k \rightarrow Y^l$  be a dominant polynomial mapping of affine irreducible varieties. There exists a Zariski open non-empty subset  $U \subset Y$  such that for any  $y \in U$  we have  $Sing(f^{-1}(y)) = f^{-1}(y) \cap Sing(X)$ .*

*Proof* We can assume that  $Y$  is smooth. Since there exists a mapping  $\pi : Y^l \rightarrow \mathbb{C}^l$  which is generically etale, we can assume that  $Y = \mathbb{C}^l$ . Let us recall that if  $Z$  is an algebraic variety, then a point  $z \in Z$  is smooth if and only if the local ring  $\mathcal{O}_z(Z)$  is regular, or equivalently  $\dim_{\mathbb{C}} \mathfrak{m}/\mathfrak{m}^2 = \dim Z$ , where  $\mathfrak{m}$  denotes the maximal ideal of  $\mathcal{O}_z(Z)$ .

Let  $y = (y_1, \dots, y_l) \in \mathbb{C}^l$  be a sufficiently generic point. Then by Sard’s Theorem the fiber  $Z = f^{-1}(y)$  is smooth outside  $Sing(X)$  and  $\dim Z = \dim X - l = k - l$ . Note that the generic (scheme-theoretic) fiber  $F$  of  $f$  is reduced. Indeed, this fiber  $F = Spec(\mathbb{C}(Y) \otimes_{\mathbb{C}[Y]} \mathbb{C}[X])$  is the spectrum of a localization of  $\mathbb{C}[X]$  and so a domain. Since we are in characteristic zero, the reduced  $\mathbb{C}(Y)$ -algebra  $\mathbb{C}(Y) \otimes_{\mathbb{C}[Y]} \mathbb{C}[X]$  is necessarily geometrically reduced (i.e. stays reduced after extending to an algebraic closure of  $\mathbb{C}(Y)$ ). Since the property of fibres being geometrically reduced is open on the base, i.e. on  $Y$ , thus the fibres over an open subset of  $Y$  will be reduced. Consequently, there is a Zariski open, non-empty subset  $U \subset Y$  such that for  $y \in U$  the fiber  $f^{-1}(y)$  is reduced. Hence we can assume that  $Z$  is reduced. It is enough to show that every point  $z \in Z \cap Sing(X)$  is singular on  $Z$ .

Assume that  $z \in Z \cap Sing(X)$  is smooth on  $Z$ . Let  $f : X \rightarrow \mathbb{C}^l$  be given as  $f = (f_1, \dots, f_l)$ , where  $f_i \in \mathbb{C}[X]$ . Then  $\mathcal{O}_z(Z) = \mathcal{O}_z(X)/(f_1 - y_1, \dots, f_l - y_l)$ . In particular if  $\mathfrak{m}'$  denotes the maximal ideal of  $\mathcal{O}_z(Z)$  and  $\mathfrak{m}$  denotes the maximal ideal of  $\mathcal{O}_z(X)$  then  $\mathfrak{m}' = \mathfrak{m}/(f_1 - y_1, \dots, f_l - y_l)$ . Let  $\alpha_i$  denote the class of the polynomial  $f_i - y_i$  in  $\mathfrak{m}/\mathfrak{m}^2$ . Let us note that

$$\mathfrak{m}'/\mathfrak{m}'^2 = \mathfrak{m}/(\mathfrak{m}^2 + (\alpha_1, \dots, \alpha_l)). \tag{1}$$

Since the point  $z$  is smooth on  $Z$  we have  $\dim_{\mathbb{C}} \mathfrak{m}'/\mathfrak{m}'^2 = \dim Z = \dim X - l$ . Take a basis  $\beta_1, \dots, \beta_{k-l}$  of the space  $\mathfrak{m}'/\mathfrak{m}'^2$  and let  $\overline{\beta_i} \in \mathfrak{m}/\mathfrak{m}^2$  correspond to  $\beta_i$  under the correspondence (1). Note that the vectors  $\overline{\beta_1}, \dots, \overline{\beta_{k-l}}, \alpha_1, \dots, \alpha_l$  generate the space  $\mathfrak{m}/\mathfrak{m}^2$ . This means that  $\dim_{\mathbb{C}} \mathfrak{m}/\mathfrak{m}^2 \leq k - l + l = k = \dim X$ . Hence the point  $z$  is smooth on  $X$ , a contradiction. □

We have:

**Lemma 3.2** *Let  $X, Y$  be smooth complex irreducible algebraic varieties and  $f : X \rightarrow Y$  a regular dominant mapping. Let  $N \subset W \subset X$  be closed subvarieties of  $X$ . Then there exists a non-empty Zariski open subset  $U \subset Y$  such that for every  $y_1, y_2 \in U$  the triples  $(f^{-1}(y_1), W \cap f^{-1}(y_1), N \cap f^{-1}(y_1))$  and  $(f^{-1}(y_2), W \cap f^{-1}(y_2), N \cap f^{-1}(y_2))$  are homeomorphic.*

*Proof* Let  $X_1$  be an algebraic completion of  $X$  and let  $\bar{Y}$  be a smooth algebraic completion of  $Y$ . Take  $X'_1 := \overline{\text{graph}(f)} \subset X_1 \times \bar{Y}$  and let  $X_2$  be a desingularization of  $X'_1$ .

We can assume that  $X \subset X_2$ . We have an induced mapping  $\bar{f} : X_2 \rightarrow \bar{Y}$  such that  $\bar{f}|_X = f$ . Let  $Z = X_2 \setminus X$ . Denote by  $\bar{N}, \bar{W}$  the closures of  $N$  and  $W$  in  $X_2$ . Let  $\mathcal{R} = \{\bar{N} \cap Z, \bar{W} \cap Z, \bar{N}, \bar{W}, Z\}$ , a collection of algebraic subvarieties of  $X_2$ . There is a Whitney stratification  $\mathcal{S}$  of  $X_2$  which is compatible with  $\mathcal{R}$ .

For any smooth strata  $S_i \in \mathcal{S}$  let  $B_i$  be the set of critical values of the mapping  $\bar{f}|_{S_i}$  and denote  $B = \bigcup B_i$ . Take  $X_3 = X_2 \setminus \bar{f}^{-1}(B)$ . The restriction of the stratification  $\mathcal{S}$  to  $X_3$  gives a Whitney stratification which is compatible with the family  $\mathcal{R}' := \mathcal{R} \cap X_3$ . We have a proper mapping  $f' := \bar{f}|_{X_3} : X_3 \rightarrow \bar{Y} \setminus B$  which is a submersion on each stratum. By the Thom first isotopy theorem there is a trivialization of  $f'$  which preserves the strata. It is an easy observation that this trivialization gives a trivialization of the mapping  $f : X \setminus f^{-1}(B) \rightarrow Y \setminus B := U$ . In particular the fibers  $f^{-1}(y_1)$  and  $f^{-1}(y_2)$  are homeomorphic via a stratum preserving homeomorphism. This means that the triples  $(f^{-1}(y_1), W \cap f^{-1}(y_1), N \cap f^{-1}(y_1))$  and  $(f^{-1}(y_2), W \cap f^{-1}(y_2), N \cap f^{-1}(y_2))$  are homeomorphic.  $\square$

We also need the following:

**Definition 3.3** Let  $X, Y$  be smooth affine varieties. By a family of regular mappings  $\mathcal{F}_M(X, Y, F) := \mathcal{F}$  we mean a regular mapping  $F : M \times X \rightarrow Y$ , where  $M$  is an algebraic variety. The members of a family  $\mathcal{F}$  are the mappings  $f_m : X \ni x \rightarrow F(m, x) \in Y$ . Let

$$G : M \times X \ni (m, x) \mapsto (m, F(m, x)) \in Z = \overline{G(M \times X)} \subset M \times Y.$$

If  $G$  is generically finite, then by the topological degree  $\mu(\mathcal{F})$  we mean the number  $\mu(G)$ . Otherwise we put  $\mu(\mathcal{F}) = 0$ .

Later we will sometimes identify the mapping  $f_m$  with the mapping  $G(m, \cdot) = (m, f_m) : X \rightarrow m \times Y$ . The following lemma is important:

**Lemma 3.4** Let  $X, Y$  be smooth affine complex varieties. Let  $M$  be a smooth affine irreducible variety and let  $\mathcal{F}$  be the family induced by a mapping  $F : M \times X \rightarrow Y$ , i.e.,  $\mathcal{F} = \{f_m : X \ni x \mapsto F(m, x) \in Y, m \in M\}$ . Assume that  $\mu(\mathcal{F}) > 0$ . Take  $Z = \overline{G(M \times X)}$  and put  $Z_m = (m \times Y) \cap Z$ .

Then

- (1) There is an open non-empty subset  $U_1 \subset M$  such that for every  $m \in U_1$  we have  $\mu(f_m) = \mu(\mathcal{F})$ ;
- (2) There is a non-empty open subset  $U_2 \subset U_1$  such that for every  $m \in U_2$  we have  $\overline{f_m(X)} = Z_m := (m \times Y) \cap Z$  and  $B(f_m) = B(G)_m := (m \times Y) \cap B(G)$ ;
- (3) There is a non-empty open subset  $U_3 \subset U_2$  such that for every  $m_1, m_2 \in U_3$  the pairs  $(\overline{f_{m_1}(X)}, B(f_{m_1}))$  and  $(\overline{f_{m_2}(X)}, B(f_{m_2}))$  are equivalent by means of a homeomorphism, i.e., there is a homeomorphism  $\Psi : Y \rightarrow Y$  such that  $\Psi(\overline{f_{m_1}(X)}) = \overline{f_{m_2}(X)}$  and  $\Psi(B(f_{m_1})) = B(f_{m_2})$ .

*Proof* (1) Take  $G : M \times X \ni (m, x) \mapsto (m, F(m, x)) \in Z$ . The mapping  $G : M \times X \ni (m, x) \mapsto (m, F(m, x)) \in Z$  has a constant number of points in the fibers outside the bifurcation set  $B(G) \subset Z$ . Take  $U = Z \setminus B(G)$ . By Theorem 2.4 the set  $U$  is open. Let  $\pi : Z \ni (m, y) \mapsto m \in M$  be the projection. We show that the constructible set  $\pi(U)$  is dense in  $M$ . Indeed, assume that  $\overline{\pi(U)} = N$  is a proper subset of  $M$ . Since  $U$  is dense in  $Z$ , we have  $\pi(Z) \subset N$ , i.e.,  $Z \subset N \times Y$ . This is a contradiction. In particular the set  $\pi(U)$  is dense in  $M$  and it contains a Zariski open, non-empty subset  $U_1 \subset M$ . Of course  $\mu(f_m) = \mu(\mathcal{F})$  for  $m \in U_1$ .

- (2) Consider the projection  $\pi : Z \ni (m, y) \mapsto m \in M$ . As we know from (1), the mapping  $\pi$  is dominant. By a well known result, after shrinking  $U_1$  we can assume that every fiber  $Z_m$  of  $\pi$  ( $m \in U_2 \subset U_1$ ) is of pure dimension  $d = \dim Z - \dim M = \dim X$ . However,  $Z_m = \overline{f_m(X)} \cup B(G)_m$ . Generically the dimension of  $B(G)_m$  is less than  $d$ . Hence if we possibly shrink  $U_2$ , we get  $Z_m = \overline{f_m(X)}$  for  $m \in U_2$ . Moreover, by Lemma 3.1 (after shrinking  $U_2$  if necessary), we can assume that  $Sing(Z_m) = Sing(Z)_m := (m \times Y) \cap Sing(Z)$ . Now it is easy to see that  $B(f_m) = B(G)_m$ .
- (3) We have  $\overline{f_m(X)} = Z_m$  and  $B(f_m) = B(G)_m$  for  $m \in U_2$ . Now apply Lemma 3.2 with  $X = U_2 \times Y$ ,  $W = (U_2 \times Y) \cap Z$ ,  $N = (U_2 \times Y) \cap B(G)$  and  $f : U_1 \times Y \ni (m, y) \mapsto m \in U_1$ .

□

Now we are ready to prove our main result:

**Theorem 3.5** *Let  $X, Y$  be smooth affine irreducible varieties. Every algebraic family  $\mathcal{F}$  of polynomial mappings from  $X$  to  $Y$  contains only a finite number of topologically non-semi-equivalent (non-equivalent) generically-finite (proper) mappings.*

*Proof* The proof is by induction on  $\dim M$ . We can assume that  $M$  is affine, irreducible and smooth. Indeed,  $M$  can be covered by a finite number of affine subsets  $M_i$ , and we can consider the families  $\mathcal{F}|_{M_i}$  separately. For the same reason we can assume that  $M$  is irreducible. Finally  $\dim M \setminus Reg(M) < \dim M$  and we can use induction to reduce the general case to the smooth one.

Assume that  $M$  is smooth and affine. If  $\mu(\mathcal{F}) = 0$ , then  $\mathcal{F}$  does not contain any generically-finite mapping. Hence we can assume that  $\mu(\mathcal{F}) = k > 0$ . By Lemma 3.4 there is a non-empty open subset  $U \subset M$  such that for every  $m_1, m_2 \in U$  we have

- (1)  $\mu(f_{m_1}) = \mu(f_{m_2}) = k$ ,
- (2) The pairs  $(f_{m_1}(X), B(f_{m_1}))$  and  $(\overline{f_{m_2}(X)}, B(f_{m_2}))$  are equivalent by means of a homeomorphism, i.e., there is a homeomorphism  $\Psi : Y \rightarrow Y$  such that  $\Psi(\overline{f_{m_1}(X)}) = \overline{f_{m_2}(X)}$  and  $\Psi(B(f_{m_1})) = B(f_{m_2})$ .

Fix a pair  $Q = \overline{f_{m_0}(X)}$ ,  $B = B(f_{m_0})$  for some  $m_0 \in U_3$ . For  $m \in U_3$  the mapping  $f_m : X \rightarrow Y$  is topologically equivalent to the continuous mapping  $f'_m = \Psi_m \circ f_m$  with  $\overline{f'_m(X)} = Q$  and  $B(f'_m) = B$  (Lemma 3.4). Every mapping  $f'_m$  induces a topological covering  $f'_m : X \setminus f'^{-1}_m(B) = P_{f'_m} \rightarrow R = Q \setminus B$ . Take a point  $a \in R$  and let  $a_{f'_m} \in f'^{-1}_m(a)$ . We have an induced homomorphism

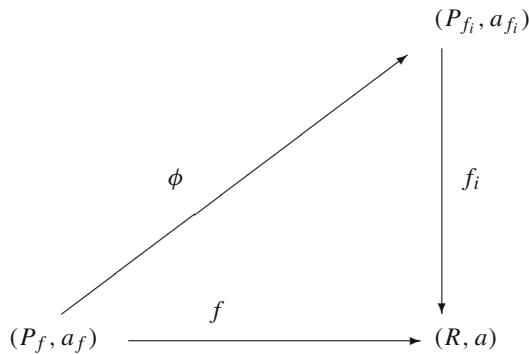
$$f_* : \pi_1(P_{f'_m}, a_{f'_m}) \rightarrow \pi_1(R, a).$$

Denote  $H_f = f_*(\pi_1(P_f, a_f))$  and  $G = \pi_1(R, a)$ . Hence  $[G : H_f] = k$ . It is well known that the fundamental group of a smooth algebraic variety is finitely generated. In particular the group  $G := \pi_1(Q \setminus B, a)$  is finitely generated. Let us recall the following result of M. Hall (see [4]):

**Lemma 3.6** *Let  $G$  be a finitely generated group and let  $k$  be a natural number. Then there are only a finite number of subgroups  $H \subset G$  such that  $[G : H] = k$ .*

By Lemma 3.6 there are only a finite number of subgroups  $H_1, \dots, H_r \subset G$  with index  $k$ . Choose generically-finite (proper) mappings  $f_i = f'_{m_i} = \Psi_i \circ f_{m_i} : X \rightarrow Y$  such that  $H_{f_i} = H_i$  (of course only if such a mapping  $f_i$  does exist). We show that every generically-finite (proper) mapping  $f'_m$  ( $m \in U$ ) is semi-equivalent (equivalent) to one of mappings  $f_i$ .

Indeed, let  $H_{f'_m} = H_{f_i}$  (here  $f'_m = \Psi_m \circ f_m$ ). We show that  $f'_m := f$  is equivalent to  $f_i$ . Let us consider two coverings  $f : (P_f, a_f) \rightarrow (R, a)$  and  $f_i : (P_{f_i}, a_{f_i}) \rightarrow (R, a)$ . Since  $f_{i*}(\pi_1(P_f, a_f)) = f_{i*}(\pi_1(P_{f_i}, a_{f_i}))$  we can lift the covering  $f$  to a homeomorphism  $\phi : P_f \rightarrow P_{f_i}$  such that following diagram commutes:



Hence for generically-finite mappings we have

$$(\Psi_i)^{-1} \circ \Psi_m \circ f_m \circ \phi^{-1}|_U = f_{m_i}|_U,$$

where  $V = X \setminus f_m^{-1}(B(f_m))$  and  $U = X \setminus f_{m_i}^{-1}(B(f_{m_i}))$ . Hence  $f_m$  is semi-equivalent to  $f_{m_i}$ .

In the case of proper mappings we show additionally that the mapping  $\phi$  can be extended to a continuous mapping  $\Phi$  on the whole of  $X$ . Indeed, take a point  $x \in f^{-1}(B)$  and let  $y = f(x)$ . The set  $f_i^{-1}(y) = \{b_1, \dots, b_s\}$  is finite. Take small open disjoint neighborhoods  $W_i(r)$  of  $b_i$ , such that  $W_i(r)$  shrinks to  $b_i$  as  $r$  tends to 0. We can choose an open neighborhood  $V(r)$  of  $y$  so small that  $f_i^{-1}(V(r)) \subset \bigcup_{j=1}^s W_j(r)$ . Now take a small connected neighborhood  $P_x(r)$  of  $x$  such that  $f(P_x(r)) \subset V(r)$ . The set  $P_x(r) \setminus f^{-1}(B)$  is still connected and it is transformed by  $\phi$  into one particular set  $W_{i_0}(r)$ . We take  $\Phi(x) = b_{i_0}$ . It is easy to see that the mapping  $\Phi$  so defined is a continuous extension of  $\phi$ . In fact  $\phi(P_x(r) \setminus f^{-1}(B))$  shrinks to  $b_{i_0}$  if  $r$  goes to 0. Moreover, we still have  $f = f_i \circ \Phi$ .

In a similar way the mapping  $\Lambda$  determined by  $\phi^{-1}$  is continuous. It is easy to see that  $\Lambda \circ \Phi = \Phi \circ \Lambda = \text{identity}$ , hence  $\Phi$  is a homeomorphism. Consequently, the mapping  $f_i \circ \Phi = \Psi_i \circ f_{m_i} \circ \Phi$  is equal to  $f = \Psi_m \circ f_m$ . Finally, we get

$$(\Psi_i)^{-1} \circ \Psi_m \circ f_m \circ \Phi^{-1} = f_{m_i}.$$

This means that the family  $\mathcal{F}|_U$  contains only a finite number of topologically non-semi-equivalent (non-equivalent) generically-finite (proper) mappings. In fact, the number of topological semi-types (types) of generically-finite (proper) mappings in  $\mathcal{F}|_U$  is bounded by the number of subgroups of  $G$  of index  $\mu(\mathcal{F})$ .

Let  $T = M \setminus U$ . Hence  $\dim T < \dim M$ . By the induction the family  $\mathcal{F}|_T$  also contains only a finite number of topologically non-semi-equivalent (non-equivalent) generically-finite (proper) mappings. Consequently so does  $\mathcal{F}$ . □

**Corollary 3.7** *There is only a finite number of topologically non-semi-equivalent (non-equivalent) generically-finite (proper) polynomial mappings  $f : \mathbb{C}^n \rightarrow \mathbb{C}^m$  of a bounded algebraic degree.* □



### 4 Families of proper mappings

In this section we slightly extend our previous result in the case of irreducible families of proper (or generically-finite) mappings. First we prove a following lemma:

**Lemma 4.1** *Let  $Y = \mathbb{R}^n$  and let  $Z \subset Y$  be a linear subspace of  $Y$ . Fix  $\epsilon > 0$  and take  $\eta < \epsilon$ . Let  $B(0, \eta)$  be a ball of radius  $\eta$ . Let  $\gamma : I \ni t \mapsto \gamma(t) \in B(0, \eta) \cap Z$  be a smooth path. Then there exists a continuous family of diffeomorphisms  $\Phi_t : Y \rightarrow Y, t \in [0, 1]$  such that*

- (1)  $\Phi_t(\gamma(t)) = \gamma(0)$  and  $\Phi_t(z) = z$  for  $\|z\| \geq \epsilon$ .
- (2)  $\Phi_0 = \text{identity}$ .
- (3)  $\Phi_t(Z) = Z$ .

*Proof* Let  $v_t = \gamma(0) - \gamma(t) \in T\mathbb{R}^n$ . We construct a family of diffeomorphisms  $\Phi_t$ , which are interpolation between translation  $x \rightarrow x + v_t$  and identity.

Let  $\sigma : Y \rightarrow [0, 1]$  be a differentiable function such that  $\sigma = 1$  on  $B(0, \eta)$  and  $\sigma = 0$  outside  $B(0, \epsilon)$ . Define a vector field  $V(x) = \sigma(x)v_t$ . Integrating this vector field we get desired diffeomorphisms  $\Phi_t$ , for any  $t$ . □

**Corollary 4.2** *Let  $Y$  be a smooth manifold and  $Z$  be a smooth submanifold. For every point  $a \in Z$  and every open neighborhood  $V_a$  of the point  $a$ , there is an open connected neighborhood  $U_a$  of the point  $a$ , such that:*

- (a)  $\overline{U_a} \subset V_a$ ,
- (b) *if  $\gamma : I \ni t \mapsto \gamma(t) \in U_a \cap Z$  is a smooth path, then there is a continuous family of diffeomorphism  $\psi_t : Y \rightarrow Y, t \in [0, 1]$  such that*
  - (1)  $\psi_t(\gamma(t)) = \gamma(0)$ ,
  - (2)  $\psi_t(x) = x$  for  $x \notin V_a$  and  $\psi_0 = \text{identity}$ ,
  - (3)  $\psi_t(Z) = Z$ .

Now we are in a position to prove:

**Theorem 4.3** *Let  $X, Y$  be smooth affine irreducible varieties. Let  $\mathcal{F} : M \times X \rightarrow Y$  be an algebraic family of proper polynomial mappings from  $X$  to  $Y$ . Assume that  $M$  is an irreducible variety. Then there exists a Zariski open dense subset  $U \subset M$  such that for every  $m, m' \in U$  mappings  $f_m$  and  $f_{m'}$  are topologically equivalent.*

*Proof* We follow the proof of Theorem 3.5 and we use here the same notation. By Lemma 3.4 there is a non-empty open subset  $U \subset M$  such that for every  $m_1, m_2 \in U$  we have

- (1)  $\mu(f_{m_1}) = \mu(f_{m_2}) = k$ ,
- (2) The pairs  $(\overline{f_{m_1}(X)}, B(f_{m_1}))$  and  $(\overline{f_{m_2}(X)}, B(f_{m_2}))$  are equivalent by means of a homeomorphism, i.e., there is a homeomorphism  $\Psi : Y \rightarrow Y$  such that  $\Psi(\overline{f_{m_1}(X)}) = \overline{f_{m_2}(X)}$  and  $\Psi(B(f_{m_1})) = B(f_{m_2})$ .

Fix a pair  $(Q = \overline{f_{m_0}(X)}, B = B(f_{m_0}))$  for some  $m_0 \in U$ . For  $m \in U$  the mappings  $f_m$  and  $f_{m_0}$  can be connected by a continuous path  $f_t, f_0 = f_{m_0}, f_1 = f_m$ . Moreover we have also a continuous family of homeomorphisms  $\Psi_t : Y \rightarrow Y$  such that  $\overline{\Psi_t(f_t(X))} = \overline{f_0(X)}$  and  $\Psi(B(f_t)) = B(f_0)$ . It is enough to prove that mappings  $F_t = \Psi_t \circ f_t$  are locally (in the sense of parameter  $t$ ) equivalent.

(1) *First step of the proof.* Let  $C_t \subset X$  denotes the preimage by  $F_t$  of the set  $B$  (in fact  $C_t = f_t^{-1}(B(f_t))$ ) and put  $X_t = X \setminus C_t$ . Put  $Q' := Q \setminus B$ . Assume that for all mappings  $F_t$  there is a point  $a \in (X \setminus \bigcup_{t \in I} C_t)$  such that for all  $t \in I$  we have  $F_t(a) = b$ .



We have an induced homomorphism  $G_{t*} : \pi_1(X_t, a) \rightarrow \pi_1(Q', b)$ . We show that the subgroup  $F_{t*}(\pi_1(X_t, a)) \subset \pi_1(Q', b)$  does not depend on  $t$ .

Indeed let  $\gamma_1, \dots, \gamma_s$  be generators of the group  $\pi_1(X_{t_0}, a)$ . Let  $U_i$  be an open relatively compact neighborhoods of  $\gamma_i$  such that  $\bar{U}_i \cap C_{t_0} = \emptyset$ . For sufficiently small number  $\epsilon > 0$  and  $t \in (t_0 - \epsilon, t_0 + \epsilon)$  we have  $\bar{U}_i \cap C_t = \emptyset$ . Let  $t \in (t_0 - \epsilon, t_0 + \epsilon)$ . Note that the loop  $F_t(\gamma_i)$  is homotopic with the loop  $F_{t_0}(\gamma_i)$ . In particular the group  $F_{t_0*}(\pi_1(X_{t_0}, a))$  is contained in the group  $F_{t*}(\pi_1(X_t, a))$ . Since they have the same (finite!) index in  $\pi_1(Y', b)$  they are equal. This means that the subgroup  $G_{t*}(\pi_1(X_t, a)) \subset \pi_1(Y', b)$  is locally constant, hence it is constant.

Let us consider two coverings  $F_t : (X_t, a) \rightarrow (Q', b)$  and  $F_0 : (X_0, a) \rightarrow (Q', b)$ . Since  $F_{t*}\pi_1(X_t, a) = F_{0*}\pi_1(X_0, a)$  we can lift the covering  $F_t$  to a homeomorphism  $\phi_t : X_t \rightarrow X_0$ . As before we can extend the homeomorphism  $\phi_t$  to the homeomorphism  $\Phi_t : X \rightarrow X$ , such that  $F_0 \circ \Phi_t = F_t$ .

(2) *The general case.* Now we can prove Theorem 4.3. Since in general there is no a point  $a \in (X \setminus \bigcup_{t \in I} C_t)$  such that for all  $t \in I$  we have  $F_t(a) = b$ , we have to modify our construction.

First we prove that for every  $t_0 \in I$  there exists  $\epsilon > 0$  and a family of homeomorphisms  $\Phi_t : X \rightarrow X, t \in (t_0 - \epsilon, t_0 + \epsilon)$  such that  $F_t = F_{t_0} \circ \Phi_t$  for  $t \in (t_0 - \epsilon, t_0 + \epsilon)$ . Take a point  $a \in X_{t_0}$  and choose  $\epsilon > 0$  so small that  $a \in X_t$  for  $t \in (t_0 - \epsilon, t_0 + \epsilon)$ . Put  $\gamma(t) \ni t \mapsto F_t(a) \in Y'$ . We can take  $\epsilon$  so small that the hypothesis of Corollary 4.2 is satisfied. Applying Corollary 4.2 with  $Y' = Y \setminus B$  and  $Z = Q \setminus B$  we have a continuous family of diffeomorphisms  $\psi_t : Y \rightarrow Y$  which preserves  $Q$  and  $B, t \in (t_0 - \epsilon, t_0 + \epsilon)$  such that  $\psi_t(F_t(a)) = F_0(a)$ . Take  $G_t = \psi_t \circ F_t$ . Arguing as in the first part of our proof all  $G_t$  are topologically equivalent for  $t \in (t_0 - \epsilon, t_0 + \epsilon)$ . Hence also all  $F_t$  are topologically equivalent for  $t \in (t_0 - \epsilon, t_0 + \epsilon)$ . Since  $F_t$  are locally topologically equivalent, they are topologically equivalent for every  $t \in I$ . □

**Corollary 4.4** *Let  $n \leq m$  and let  $\Omega_n(d_1, \dots, d_m)$  denotes the family of all polynomial mappings  $F = (f_1, \dots, f_m) : \mathbb{C}^n \rightarrow \mathbb{C}^m$  of a multi-degree bounded by  $(d_1, \dots, d_m)$ . Then any two general members of this family are topologically equivalent.*

*Proof* Indeed, it is enough to note that a generic mapping  $f \in \Omega_n(d_1, \dots, d_m)$  is proper. □

Using the same method we can prove:

**Theorem 4.5** *Let  $X, Y$  be smooth affine irreducible varieties. Let  $\mathcal{F} : M \times X \rightarrow Y$  be an algebraic family of generically-finite polynomial mappings from  $X$  to  $Y$ . Assume that  $M$  is an irreducible variety. Then there exists a Zariski open dense subset  $U \subset M$  such that for every  $m, m' \in U$  the mappings  $f_m$  and  $f_{m'}$  are topologically semi-equivalent.*

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