



# On the gradient rearrangement of functions

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## Abstract

In this paper, we introduce a symmetrization technique for the gradient of a BV function, which separates its absolutely continuous part from its singular part (sum of jump and Cantorian part). In particular, we prove a  $L^1$  comparison between the function and the symmetrization just mentioned. Furthermore, we apply this result to obtain Saint-Venant type inequalities for some geometric functionals.

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## 1 Introduction

Let  $\Omega$  be a bounded open set of  $\mathbb{R}^n$  with finite perimeter (see Sect. 2 for its definition) and let us denote, as in [7], by

$$BV_0(\Omega) := \{u \in BV(\mathbb{R}^n) : u \equiv 0 \text{ in } \mathbb{R}^n \setminus \Omega\}.$$

The aim of the present paper is to define a symmetrization of the distributional gradient of a BV function.

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The interest in this topic essentially derives from the work [17] where the authors deal with the following problems involving Hamilton-Jacobi equation

$$\begin{cases} H(\nabla u) = f & \text{a.e. in } \Omega \\ u = 0 & \text{on } \partial\Omega \end{cases} \tag{1.1a}$$

$$\begin{cases} K(|\nabla v|) = f_{\sharp} & \text{a.e. in } \Omega^{\sharp} \\ v = 0 & \text{on } \partial\Omega^{\sharp} \end{cases} \tag{1.1b}$$

where  $\Omega^{\sharp}$  is the ball centered at the origin with the same measure as  $\Omega$  (in the sequel just centered ball),  $H : \mathbb{R}^n \rightarrow \mathbb{R}$  and  $K : \mathbb{R} \rightarrow \mathbb{R}$  are measurable functions,  $u, v \in W_0^{1,p}$  and  $f_{\sharp}$  is the increasing rearrangement of  $f$  (see Sect. 2 for its definition).

In particular, under suitable assumptions on  $H$  and  $K$ , it is proven ([17, Theorem 2.2]) that whenever  $u, v$  are solutions to (1.1a) and (1.1b) respectively, then

$$\|u\|_{L^1(\Omega)} \leq \|v\|_{L^1(\Omega^{\sharp})}.$$

In [2] the authors study the problem of maximization of the  $L^q$  norm among functions with prescribed gradient rearrangement. Precisely, the following cases are considered

- $1 \leq q \leq \frac{np}{n-p}$  if  $p < n$ ,
- $1 \leq q < +\infty$  if  $p = n$ ,
- $1 \leq q \leq +\infty$  if  $p > n$ ,

and for a fixed  $\varphi = \varphi^* \in L^p(0, |\Omega|)$ , they define

$$I(\Omega) := \sup \left\{ \|v\|_{L^q} : \begin{array}{l} |\nabla v| \leq f \text{ a.e. in } \Omega, \\ v \in W_0^{1,p}(\Omega) \\ f \geq 0, f^* = \varphi^* \end{array} \right\},$$

and they proved the following

**Theorem 1.1** [2, Theorem 3.1] *Let  $\Omega$  be a bounded open set in  $\mathbb{R}^n$ , let  $\Omega^{\sharp}$  be the centered ball, let  $R$  be its radius and let  $p, q, \varphi$  be as defined above.*

*Then, there exist  $v, g$  spherically symmetric on  $\Omega^{\sharp}$  such that  $g^* = \varphi$ ,  $I(\Omega^{\sharp}) = \|v\|_{L^q}$ , and thus*

$$v \in W_0^{1,p}(\Omega), v \geq 0, |\nabla v| = g \quad \text{a.e. in } \Omega^{\sharp}.$$

*Furthermore  $I(\Omega^{\sharp}) \geq I(\Omega)$  for all open sets  $\Omega$  in  $\mathbb{R}^n$  with  $|\Omega^{\sharp}| = |\Omega|$ .*

In [9] the author proved a representation formula for the function  $g$ , the existence of which was proved in Theorem 1.1.

Let us also mention that in [14, 15] the authors studied the optimization of the norm of a Sobolev function in the class of functions with prescribed rearrangement of the gradient.

The case of a Sobolev non-zero trace function for  $q = 1$  is instead studied in [4].

The literature concerning rearrangements in the spaces  $W^{1,p}$  is exhaustive, whereas, to our knowledge, results on BV functions are rarer. One of the most relevant papers in this framework is [10] where the authors extend the validity of Polya-Szegö inequality to BV functions. More specifically, they proved that if  $u \in \text{BV}(\mathbb{R}^n)$ , then its Schwarz rearrangement  $u^\sharp$  (see Sect. 2 for its definition) belongs to  $\text{BV}(\mathbb{R}^n)$  and it holds [10, Theorem 1.3]

$$\begin{aligned} |Du^\sharp|(\mathbb{R}^n) &\leq |Du|(\mathbb{R}^n) \\ |D^s u^\sharp|(\mathbb{R}^n) &\leq |D^s u|(\mathbb{R}^n) \\ |D^j u^\sharp|(\mathbb{R}^n) &\leq |D^j u|(\mathbb{R}^n) \end{aligned} \tag{1.2}$$

where  $D^s$  and  $D^j$  denote respectively the singular and the jump part of the gradient (see [10] for their definitions). There is no analogue of (1.2) for the absolutely continuous and the Cantorian part of the gradient, i.e. in the symmetrization procedure the total variation of  $D^a$  and  $D^c$  can be increased, as shown in the example given in [10].

In this paper, we want to introduce a symmetrization that keeps the absolutely continuous part separate from the singular part (sum of jump and Cantorian part) of the gradient. To be more precise, we define the radial function  $u^\star \in W^{1,1}(\Omega^\sharp) \cap \text{BV}_0(\Omega^\sharp) \cap L^\infty(\Omega^\sharp)$  such that

$$\begin{cases} |\nabla u^\star|(x) = |\nabla^a u|_\sharp(x) & \text{a.e. in } \Omega^\sharp \\ u^\star(x) = \frac{1}{\text{Per}(\Omega^\sharp)} |D^s u|(\mathbb{R}^n) & \text{on } \partial\Omega^\sharp \end{cases}, \tag{1.3}$$

where  $\nabla^a u$  and  $D^s u$  will be defined in Sect. 2.

The main theorem can be stated as follows.

**Theorem 1.2** *Let  $\Omega \subset \mathbb{R}^n$  be a bounded open set with finite perimeter and let  $\Omega^\sharp$  be the centered ball. Assume that  $u$  is a non-negative function belonging to  $\text{BV}_0(\Omega)$  and assume that  $u^\star$  is defined as in (1.3), then*

$$\|u\|_{L^1(\Omega)} \leq \|u^\star\|_{L^1(\Omega^\sharp)}.$$

We will also deal with some applications, in particular we will consider

- a penalized torsional rigidity problem

$$T_{\mathcal{F}}(\Omega, \Lambda) := - \inf_{\psi \in H_0^1(\Omega)} \left( \frac{1}{2} \int_{\Omega} |\nabla \psi|^2 dx - \int_{\Omega} |\psi| dx + \Lambda |\{|\nabla \psi| \neq 0\}| \right);$$

- a modified torsional rigidity

$$\frac{1}{T_G(\Omega, m)} := \inf_{\psi \in H^1(\Omega)} \frac{\int_{\Omega} |\nabla \psi|^2 dx + \frac{1}{m} \left( \int_{\partial\Omega} |\psi| d\mathcal{H}^{n-1} \right)^2}{\left( \int_{\Omega} |\psi| dx \right)^2}.$$

In both cases, we will prove a Saint-Venant type inequality:

$$T_{\mathcal{F}}(\Omega, \Lambda) \leq T_{\mathcal{F}}(\Omega^{\sharp}, \Lambda), \quad T_{\mathcal{G}}(\Omega, m) \leq T_{\mathcal{G}}(\Omega^{\sharp}, m).$$

The paper is organized as follows: in Sect. 2 we recall some preliminary results and useful tools for our aim, in Sect. 3 we prove our main result on the symmetrization of the gradient for a BV function, while in Sect. 4 we present some applications of this kind of symmetrization.

## 2 Notations and preliminaries

### 2.1 Functions of bounded variation

Let us summarize some basic notions concerning BV functions, for all the details we refer for instance to [6, 10, 13].

In the following,  $\Omega$  will be an open set of  $\mathbb{R}^n$ .

**Definition 2.1** A function  $u \in L^1(\Omega)$  is said to be a **function of bounded variation** in  $\Omega$  if its distributional derivative is a Radon measure, i.e.

$$\int_{\Omega} u \frac{\partial \varphi}{\partial x_i} dx = \int_{\Omega} \varphi dD^i u \quad \forall \varphi \in C_C^{\infty}(\Omega),$$

with  $Du$  a  $\mathbb{R}^n$ -valued measure in  $\Omega$ . The total variation of  $Du$  will be denoted with  $|Du|$ .

The set of functions of bounded variation in  $\Omega$  is denoted by  $BV(\Omega)$  and it is a Banach space with respect to the norm  $\|u\|_{BV(\Omega)} := \|u\|_{L^1(\Omega)} + |Du|(\Omega)$ .

**Definition 2.2** Let  $E$  be a  $\mathcal{L}^n$ -measurable set. The **perimeter** of  $E$  inside  $\Omega$  is defined as

$$\text{Per}(E, \Omega) := |D\chi_E|(\Omega),$$

and we say that  $E$  is a **set of finite perimeter** in  $\Omega$  if  $\chi_E \in BV(\Omega)$ . If  $\Omega = \mathbb{R}^n$ , we denote  $\text{Per}(E) := \text{Per}(E, \mathbb{R}^n)$ .

It is also worth mentioning the isoperimetric inequality for sets of finite perimeter.

**Theorem 2.1** (Isoperimetric inequality) *Let  $E \subset \mathbb{R}^n$  be a bounded set of finite measure. Then it holds*

$$|E| \leq n^{-\frac{n}{n-1}} \omega_n^{-\frac{1}{n-1}} [\text{Per}(E)]^{\frac{n}{n-1}},$$

where  $\omega_n$  is the measure of  $n$ -dimensional ball of radius 1.

By the Lebesgue decomposition Theorem, each component of  $Du$  can be decomposed with respect to the Lebesgue measure, namely

$$D_i u = D_i^a u + D_i^s u \quad \text{with } D_i^a u \ll \mathcal{L}^n, \quad D_i^s u \perp \mathcal{L}^n.$$

and

$$D_i^a u = f_i \lrcorner \mathcal{L}^n,$$

for some  $f_i \in L^1(\Omega)$ . So, defining

$$\frac{\partial u}{\partial x_i} := f_i, \quad \nabla^a u = \left( \frac{\partial u}{\partial x_1}, \dots, \frac{\partial u}{\partial x_n} \right) \quad \text{and} \quad D^s u = (D_1^s u, \dots, D_n^s u),$$

we can write

$$dDu = \nabla^a u \lrcorner \mathcal{L}^n + dD^s u.$$

Clearly it holds

$$|Du|(A) = |D^a u|(A) + |D^s u|(A) = \int_A |\nabla^a u| dx + |D^s u|(A),$$

for every Borel set  $A \subseteq \Omega$ .

Let us recall the following **Fleming-Rishel formula** (see [16] or [13]):

**Theorem 2.2** (Fleming-Rishel formula) *Let  $\Omega \subset \mathbb{R}^n$  be an open set and let  $u \in BV(\Omega)$ , then for almost every  $t \in (-\infty, +\infty)$  the set  $\{u > t\}$  has finite perimeter in  $\Omega$  and it holds*

$$|Du|(\Omega) = \int_{-\infty}^{+\infty} Per(\{u > t\}, \Omega) dt. \tag{2.1}$$

Moreover if  $u \in L^1(\Omega)$  and

$$\int_{-\infty}^{+\infty} Per(\{u > t\}, \Omega) dt < +\infty,$$

then  $u \in BV(\Omega)$  and consequently (2.1) holds.

## 2.2 Rearrangements of functions

We now briefly recall some notions about rearrangements. We refer for instance to [18, 19, 23] for all the details.

**Definition 2.3** Let  $\Omega$  be a measurable set and let  $u : \Omega \rightarrow \mathbb{R}$  be a measurable function, the **distribution function** of  $u$  is defined as

$$\mu : [0, +\infty) \rightarrow [0, +\infty) \quad \mu(t) = |\{x \in \Omega : |u(x)| > t\}|$$

where, here and throughout the paper,  $|E|$  denotes the  $n$ -dimensional Lebesgue measure of a measurable set  $E$ .

It can be proved that

- $\mu$  is a decreasing function in  $[0, +\infty)$ ;
- $\mu$  is right-continuous;

- $\mu(0) = |\text{supp}u|$  and  $\mu(+\infty) = 0$ ;
- $\mu(t^-) = |\{x \in \Omega : |u(x)| \geq t\}|$ .

**Definition 2.4** Let  $u : \Omega \rightarrow \mathbb{R}$  be a measurable function, the **decreasing rearrangement** of  $u$  is defined as

$$u^* : \mathbb{R}^+ \rightarrow \mathbb{R}^+ \quad u^*(s) = \inf \{t > 0 : \mu(t) \leq s\}$$

and the **increasing rearrangement** of  $u$  as

$$u_* : [0, |\Omega|] \rightarrow \mathbb{R}^+ \quad u_*(s) = u^*(|\Omega| - s)$$

It can be proved that

- $u^*(u_*)$  is a decreasing (increasing) function in  $[0, +\infty)$ ;
- $u^*$  and  $u_*$  are lower semi-continuous;
- whenever  $u \in L^\infty(\Omega)$   $u^*(0) = \|u\|_{L^\infty(\Omega)}$  and  $u^*(t) = 0 \forall t \geq |\text{supp}u|$ ;
- $u_*(|\Omega|) = \|u\|_{L^\infty(\Omega)}$  and  $u_*(t) = 0 \forall 0 \leq t \leq |\Omega| - |\text{supp}u|$ ;
- $u^*$  and  $u_*$  have the same distribution function as  $u$ , so by Cavalieri’s principle the  $L^p$  norms are equal for every  $p$ ;
- $u^*(\mu(t)) \leq t$  for every non-negative  $t$ ,  $\mu(u^*(s)) \leq s$  for every non-negative  $s$ ;
- $u^*(\mu(t)^-) \geq t$  for every non-negative  $t$ ,  $\mu(u^*(s)^-) \geq s$  for every non-negative  $s$ ;
- the **Hardy-Littlewood inequality**: for any  $u, v : \Omega \subseteq \mathbb{R}^n \rightarrow \mathbb{R}$

$$\int_{\Omega} |u(x)v(x)| dx \leq \int_{\Omega^\sharp} u^*(x)v^*(x) dx = \int_{\Omega} u_*(x)v_*(x) dx \quad (2.2)$$

**Definition 2.5** Let  $u : \Omega \rightarrow \mathbb{R}$  be a measurable function. The **Schwarz rearrangement** or the **spherically symmetric decreasing rearrangement** of  $u$  is defined as

$$u^\sharp : \mathbb{R}^n \rightarrow \mathbb{R}^+ \quad u^\sharp(x) = u^*(\omega_n|x|^n)$$

where  $\omega_n$  is the Lebesgue measure of the unit  $n$ -dimensional ball.

Moreover the **spherically symmetric increasing rearrangement** of  $u$  is defined as

$$u_\sharp : \mathbb{R}^n \rightarrow \mathbb{R}^+ \quad u_\sharp(x) = u_*(\omega_n|x|^n)$$

It can be proved that

- $u^\sharp(u_\sharp)$  is non-negative, radial and radially decreasing (increasing);
- $u^\sharp, u_\sharp$  and  $u$  are equally distributed which means they have the same distribution function;
- the Polya-Szegö inequality holds true [21]: if  $u \in W_0^{1,p}(\Omega)$ , then  $u^\sharp \in W_0^{1,p}(\Omega^\sharp)$  and

$$\|\nabla u^\sharp\|_{L^p(\Omega^\sharp)} \leq \|\nabla u\|_{L^p(\Omega)}.$$

We recall the Theorem of Giarrusso and Nunziante ([17, Theorem 2.2]).

**Theorem 2.3** Let  $\Omega \subset \mathbb{R}^n$  be a bounded open set, let  $\Omega^\sharp$  be the centered ball, let  $p \geq 1$ , let  $f : \Omega \rightarrow \mathbb{R}$  be a measurable function, let  $H : \mathbb{R}^n \rightarrow \mathbb{R}$  be measurable non-negative functions and let  $K : [0, +\infty) \rightarrow [0, +\infty)$  be a strictly increasing real-valued function such that

$$0 \leq K(|y|) \leq H(y) \quad \forall y \in \mathbb{R}^n \quad \text{and } K^{-1}(f) \in L^p(\Omega).$$

Let  $v \in W_0^{1,p}(\Omega)$  be a function that satisfies

$$\begin{cases} H(\nabla v) = f(x) & \text{a.e. in } \Omega \\ v = 0 & \text{on } \partial\Omega \end{cases},$$

denoting by  $z \in W_0^{1,p}(\Omega^\sharp)$  the unique spherically decreasing symmetric solution to

$$\begin{cases} K(|\nabla z|) = f_\sharp(x) & \text{a.e. in } \Omega^\sharp \\ z = 0 & \text{on } \partial\Omega^\sharp \end{cases},$$

then

$$\|v\|_{L^1(\Omega)} \leq \|z\|_{L^1(\Omega^\sharp)}.$$

Moreover, in [20] the following uniqueness result is proved:

**Theorem 2.4** Let  $\Omega \subset \mathbb{R}^n$  be a bounded open set, let  $v \in W_0^{1,1}(\Omega)$  be a non-negative function. Denote by  $f(x) = |\nabla v|(x)$  and by  $w \in W_0^{1,1}(\Omega^\sharp)$  the decreasing spherically symmetric solution to

$$|\nabla w| = f_\sharp.$$

If  $\|v\|_{L^1} = \|w\|_{L^1}$  then there exists  $x_0 \in \mathbb{R}^n$  such that  $\Omega = x_0 + \Omega^\sharp$ ,  $f = f_\sharp(\cdot + x_0)$  and  $v = w(\cdot + x_0)$ .

From now on  $\Omega \subset \mathbb{R}^n$  is a bounded open set with finite perimeter. Let us consider

$$BV_0(\Omega) := \{u \in BV(\mathbb{R}^n) : u \equiv 0 \text{ in } \mathbb{R}^n \setminus \Omega\},$$

and  $u$  a non-negative function belonging to  $BV_0(\Omega)$ . Let us define

$$f(x, s) = (u - u^*(s))_+(x) \quad x \in \mathbb{R}^n, s \in [0, +\infty). \tag{2.3}$$

The function  $f(\cdot, s)$  belongs to  $BV_0(\Omega)$  for every  $s \in [0, +\infty)$  since it is a truncation of  $u$  (See [6, Theorem 3.96]). Moreover, for every  $s \in [0, +\infty)$  we denote by

$$G(s) = |Df(\cdot, s)|(\mathbb{R}^n) = |D^a f(\cdot, s)|(\mathbb{R}^n) + |D^s f(\cdot, s)|(\mathbb{R}^n) = G_1(s) + G_2(s), \tag{2.4}$$

where  $D^a f$  and  $D^s f$  are, respectively, the absolutely continuous part and singular part of the measure  $Df$ .

The following corollary holds.

**Corollary 2.5** *Let  $u$  be a non-negative function belonging to  $BV_0(\Omega)$  and let  $G(s)$  be the function defined as in (2.4). Then for a.e.  $s \in [0, +\infty)$ :*

$$G(s) = \int_{u^*(s)}^{+\infty} \text{Per}(\{u > \xi\}) \, d\xi. \tag{2.5}$$

**Proof** For a.e.  $s \in [0, +\infty)$ , applying 2.2 with  $E = \mathbb{R}^n$  to the function  $f(\cdot, s)$  defined in (2.3), we have

$$G(s) = \left| D((u - u^*(s))_+) \right|(\mathbb{R}^n) = \int_{-\infty}^{+\infty} \text{Per}(\{(u - u^*(s))_+ > \xi\}) \, d\xi. \tag{2.6}$$

Moreover, we have

$$\int_{-\infty}^{+\infty} \text{Per}(\{(u - u^*(s))_+ > \xi\}) \, d\xi = \int_0^{+\infty} \text{Per}(\{u - u^*(s) > \xi\}) \, d\xi,$$

and a change of variables gives (2.5).

The following properties hold:

1.  $G$  is an increasing function on  $(0, +\infty)$  by (2.5), constant in  $(|\Omega|, +\infty)$ , it belongs to  $BV_{\text{loc}}([0, +\infty))$ . Then, there exists a positive measure  $\sigma$  such that

$$G(s) = \int_{(0,s]} d\sigma(\tau) \quad \forall s \in [0, +\infty); \tag{2.7}$$

2.  $G_1(s) = \int_{\{u > u^*(s)\}} |\nabla^a u| \, dx$  is increasing and AC on  $[0, +\infty)$ , then there exists a function  $F_1$  belonging to  $L^1([0, +\infty))$ :

$$G_1(s) = \int_0^s F_1(\tau) \, d\tau \quad \forall s \in [0, +\infty);$$

3.  $G_2$  is an increasing function belonging to  $BV_{\text{loc}}([0, +\infty))$ , so there exists a positive measure  $\sigma_2$  such that

$$G_2(s) = \int_{(0,s]} d\sigma_2(\tau) \quad \forall s \in [0, +\infty).$$

Then,  $\forall s \geq 0$

$$G(s) = \sigma((0, s]) = \int_{(0,s]} d\sigma(\tau) = \int_0^s F_1(\tau) \, d\tau + \int_{(0,s]} d\sigma_2(\tau) \tag{2.8}$$

We will need the following technical lemma which can be proved by arguing as [3, Lemma 2.1].



**Lemma 2.6** *Let  $\Omega$  be a bounded open set in  $\mathbb{R}^n$ . If  $g \in L^1([0, |\Omega|])$ , then there exists a sequence of functions  $\{g_k\}$  such that  $g_k^* = g^*$  and*

$$\lim_k \int_0^{|\Omega|} g_k(s)\varphi(s) dx = \int_0^{|\Omega|} g(s)\varphi(s) ds, \quad \forall \varphi \in BV([0, |\Omega|]). \tag{2.9}$$

### 3 Proof of Theorem 1.2

Let us define the following function

$$v(s) := \int_s^{+\infty} \frac{1}{n\omega_n^{\frac{1}{n}} \tau^{1-\frac{1}{n}}} d\sigma(\tau) \quad \forall s \in [0, +\infty), \tag{3.1}$$

where  $\sigma$  is defined in (2.7). We observe that, since  $\text{supp}(\sigma) \subseteq [0, |\Omega|]$ ,  $v$  is identically 0 on  $(|\Omega|, +\infty)$ , hence  $v \in BV_0([0, |\Omega|])$ .

As intermediate step towards Theorem 1.2, we prove the following proposition.

**Proposition 3.1** *Let  $\Omega \subset \mathbb{R}^n$  be a bounded open set with finite perimeter and assume that  $u$  is a non-negative function belonging to  $BV_0(\Omega)$ . If  $v(s)$  is the function defined as in (3.1), then*

$$u^*(s) \leq v(s) \quad \text{for a.e. } s \in [0, +\infty). \tag{3.2}$$

**Proof** The isoperimetric inequality implies

$$n\omega_n^{\frac{1}{n}} \mu(t)^{1-\frac{1}{n}} \leq \text{Per}(\{u > t\}) \quad \forall t \in [0, +\infty),$$

by (2.5) and (2.8) we have

$$G(s) = \int_{u^*(s)}^{+\infty} \text{Per}(\{u > \xi\}) d\xi = \int_{(0,s]} d\sigma(\tau) \quad \text{for a.e. } s \in [0, +\infty).$$

Hence, for all  $0 \leq s_1 < s_2 < +\infty$  we have

$$\begin{aligned} \sigma((s_1, s_2)) &= \int_{s_1}^{s_2} d\sigma(\tau) = \lim_{s \rightarrow s_2^-} G(s) - G(s_1) \\ &= \lim_{s \rightarrow s_2^-} \int_{u^*(s)}^{u^*(s_1)} \text{Per}(\{u > \xi\}) d\xi \\ &\geq \lim_{s \rightarrow s_2^-} \int_{u^*(s)}^{u^*(s_1)} n\omega_n^{\frac{1}{n}} \mu(\xi)^{1-\frac{1}{n}} d\xi = D[H(u^*)]((s_1, s_2)), \end{aligned}$$

where

$$H(\tau) = \int_{\tau}^{+\infty} n\omega_n^{\frac{1}{n}} \mu(\xi)^{1-\frac{1}{n}} d\xi.$$

Since this holds for every open interval  $(s_1, s_2)$ , we have

$$\sigma(A) \geq D[H(u^*)](A) \quad \forall A \subseteq [0, +\infty) \text{ Borel set.} \tag{3.3}$$

Observing that  $H$  is a Lipschitz function,  $D[H(u^*)]$  is given by (see [5])

$$D[H(u^*)] = \begin{cases} -n\omega_n^{\frac{1}{n}} s^{1-\frac{1}{n}} Du^* & \text{on } [0, +\infty) \setminus J_{u^*} \\ -n\omega_n^{\frac{1}{n}} s^{1-\frac{1}{n}} ((u^*)^+ - (u^*)^-), & \text{on } J_{u^*} \end{cases}$$

since  $\mu(u^*(s)) = s$  a.e. with respect  $Du^*$  (by the properties of the rearrangements) and since for  $s \in J_{u^*}$

$$\begin{aligned} H(((u^*)^+(s)) - H(((u^*)^-(s)) &= \int_{u^*(s)}^{u^*(s^-)} n\omega_n^{\frac{1}{n}} \mu(\xi)^{1-\frac{1}{n}} d\xi \\ &= -n\omega_n^{\frac{1}{n}} s^{1-\frac{1}{n}} ((u^*)^+(s) - (u^*)^-(s)). \end{aligned}$$

Then we can write

$$\frac{dD[H(u^*)]}{dDu^*} = -n\omega_n^{\frac{1}{n}} s^{1-\frac{1}{n}}. \tag{3.4}$$

Therefore, by means of (3.3), (3.4), we have

$$u^*(s) = - \int_s^{+\infty} d(Du^*)(\tau) = \int_s^{+\infty} \frac{dD[H(u^*)](\tau)}{n\omega_n^{\frac{1}{n}} \tau^{1-\frac{1}{n}}} \leq \int_s^{+\infty} \frac{d\sigma(\tau)}{n\omega_n^{\frac{1}{n}} \tau^{1-\frac{1}{n}}} = v(s).$$

Now we are in position to prove the main theorem.

**Proof of Theorem 1.2** First of all, let us emphasize that the decreasing rearrangement of  $u^*$ , defined in (1.3), is

$$(u^*)^*(s) = \int_s^{+\infty} \frac{|\nabla^a u|_*(t)}{n\omega_n^{\frac{1}{n}} t^{1-\frac{1}{n}}} dt + \frac{1}{\text{Per}(\Omega^\sharp)} |D^s u|(\mathbb{R}^n) \chi_{[0, |\Omega|]}(s) \quad \forall s \in [0, +\infty).$$

Now, let us integrate (3.2) between 0 and  $+\infty$  and let us use Fubini’s Theorem to obtain

$$\begin{aligned} \int_0^{+\infty} u^*(s) ds &\leq \int_0^{+\infty} v(s) ds \\ &= \frac{1}{n\omega_n^{\frac{1}{n}}} \int_0^{+\infty} \left( \int_s^{+\infty} \frac{d\sigma(t)}{t^{1-\frac{1}{n}}} \right) ds \\ &= \frac{1}{n\omega_n^{\frac{1}{n}}} \int_0^{+\infty} \left( \int_0^t \frac{ds}{t^{1-\frac{1}{n}}} \right) d\sigma(t) \end{aligned}$$

$$\begin{aligned}
 &= \frac{1}{n\omega_n^{\frac{1}{n}}} \int_0^{+\infty} t^{\frac{1}{n}} d\sigma(t) \\
 &= \frac{1}{n\omega_n^{\frac{1}{n}}} \left[ \int_0^{+\infty} t^{\frac{1}{n}} F_1(t) dt + \int_0^{+\infty} t^{\frac{1}{n}} d\sigma_2(t) \right].
 \end{aligned}$$

By (2.9) applied to  $F_1$  and the Hardy-Littlewood inequality (2.2), we have

$$\begin{aligned}
 \int_0^{+\infty} t^{\frac{1}{n}} F_1(t) dt &= \int_0^{|\Omega|} t^{\frac{1}{n}} F_1(t) dt = \lim_k \int_0^{|\Omega|} t^{\frac{1}{n}} (F_1)_k(t) dt \\
 &\leq \int_0^{|\Omega|} t^{\frac{1}{n}} |\nabla^a u|_*(t) dt = \int_0^{+\infty} t^{\frac{1}{n}} |\nabla^a u|_*(t) dt,
 \end{aligned}$$

then

$$\begin{aligned}
 \int_0^{+\infty} u^*(s) ds &\leq \frac{1}{n\omega_n^{\frac{1}{n}}} \left[ \int_0^{+\infty} t^{\frac{1}{n}} |\nabla^a u|_*(t) dt + \int_0^{+\infty} t^{\frac{1}{n}} d\sigma_2(t) \right] \\
 &\leq \frac{1}{n\omega_n^{\frac{1}{n}}} \left[ \int_0^{+\infty} t^{\frac{1}{n}} |\nabla^a u|_*(t) dt + |\Omega|^{\frac{1}{n}} \int_0^{+\infty} d\sigma_2(t) \right],
 \end{aligned} \tag{3.5}$$

since  $F_2(A) = 0$  for all  $A \subset (|\Omega|, +\infty)$ .

Using again Fubini's Theorem, we can compute

$$\int_0^{+\infty} |\nabla^a u|_*(t) t^{\frac{1}{n}} dt = \int_0^{+\infty} \frac{|\nabla^a u|_*(t)}{t^{1-\frac{1}{n}}} \int_0^t ds = \int_0^{+\infty} \left( \int_s^{+\infty} \frac{|\nabla^a u|_*(t)}{t^{1-\frac{1}{n}}} dt \right) ds,$$

and

$$\begin{aligned}
 \frac{|\Omega|^{\frac{1}{n}}}{n\omega_n^{\frac{1}{n}}} \int_0^{+\infty} dF_2(t) &= |\Omega| \frac{1}{\text{Per}(\Omega^\sharp)} |D^s u|(\mathbb{R}^n) \\
 &= \int_0^{+\infty} \frac{1}{\text{Per}(\Omega^\sharp)} |D^s u|(\mathbb{R}^n) \chi_{[0, |\Omega|]}(s) ds.
 \end{aligned}$$

Hence, (3.5) can be written as

$$\begin{aligned}
 \|u\|_{L^1(\Omega)} &\leq \int_0^{+\infty} \left[ \int_s^{+\infty} \frac{|\nabla^a u|_*(t)}{n\omega_n^{\frac{1}{n}} t^{1-\frac{1}{n}}} dt + \frac{1}{\text{Per}(\Omega^\sharp)} |D^s u|(\mathbb{R}^n) \chi_{[0, |\Omega|]}(s) \right] ds \\
 &= \|u^*\|_{L^1(\Omega^\sharp)}.
 \end{aligned}$$

**Remark 3.1** We stress the following facts:

$$|D^a u|(\mathbb{R}^n) = \int_{\mathbb{R}^n} |\nabla^a u| dx = \int_{\Omega^\sharp} |\nabla^a u^*| dx \quad \text{and} \quad |D^s u|(\mathbb{R}^n) = |D^s u^*|(\mathbb{R}^n),$$

and then

$$|Du|(\mathbb{R}^n) = |Du^*|(\mathbb{R}^n).$$

### 4 Two versions of the torsional rigidity

For a given  $\Lambda > 0$  we consider

$$\mathcal{F}_\Lambda(\psi) := \frac{1}{2} \int_\Omega |\nabla\psi|^2 dx - \int_\Omega \psi dx + \Lambda|\{|\nabla\psi| \neq 0\}| \quad \psi \in H_0^1(\Omega), \quad (4.1)$$

and the associated minimum problem:

$$T_{\mathcal{F}}(\Omega, \Lambda) := - \inf_{\psi \in H_0^1(\Omega)} \mathcal{F}_\Lambda(\psi). \quad (4.2)$$

First of all, let us observe that the minimum can be found among non-negative functions. Indeed, passing from  $\psi$  to  $|\psi|$  it holds  $\mathcal{F}(\psi) \geq \mathcal{F}(|\psi|)$ .

Assuming that problem (4.2) admits a minimum  $u \in H_0^1(\Omega)$ , then it is also a maximum for the torsional rigidity defined by Diaz, Polya and Weinstein in [12, 22] of a multiply-connected cross-section with fixed measure of the holes, that is

$$T(\Omega) = \max_{\substack{\psi \in C_0(D) \cap C^1(\Omega) \\ \psi \text{ constant} \\ \text{in every } A_i}} \frac{\left(\int_D \psi dx\right)^2}{\int_D |\nabla\psi|^2 dx},$$

where  $A_i$  are the connected component of  $\{|\nabla u| = 0\}$  and  $D = \Omega \cup \bigcup_i A_i$ .

Functionals with penalizing terms are very common in the mathematical modelling of physical problems. The bibliography is very wide and some cornerstones are [1, 11].

However, in the literature, penalizing terms of the form  $|\{|\nabla\psi| \neq 0\}|$  are quite unusual. The main difficulty in the study of (4.2) is to prove the existence of a minimizer because of the lack of the lower semicontinuity of the functional.

For this reason, we prove the existence of a minimizer in the case when  $\Omega$  is a ball.

**Proposition 4.1** *Let  $\Lambda, R > 0$  and let  $B_R$  be the centered ball with radius  $R$ . Then the functional  $\mathcal{F}_\Lambda$  defined in (4.1) admits a minimizer  $v$  belonging to  $H_0^1(\Omega)$ . Such a minimizer is unique up to a sign, it is radially symmetric and  $|\nabla v|$  is radially increasing.*

**Proof** We divide the proof in 3 steps.

1. Boundedness from below.

First of all, let us prove that the functional  $\mathcal{F}_\Lambda$  is bounded from below for every choice of  $\Lambda$  and for every  $R > 0$ . For all  $\psi \in H_0^1(B_R)$ , sing Young and Poincaré

inequalities, we get

$$\begin{aligned}
 \mathcal{F}_\Lambda(\psi) &= \frac{1}{2} \int_{B_R} |\nabla\psi|^2 dx - \int_{B_R} \psi dx + \Lambda|\{\nabla\psi \neq 0\}| \\
 &\geq \frac{1}{2} \int_{B_R} |\nabla\psi|^2 dx - \varepsilon \int_{B_R} \frac{\psi^2}{2} - \frac{|B_R|}{2\varepsilon} \\
 &\geq \frac{1}{2} \int_{B_R} |\nabla\psi|^2 dx - \frac{\varepsilon C(n, B_R)}{2} \int_{B_R} |\nabla\psi|^2 dx - \frac{|B_R|}{2\varepsilon} \\
 &= \frac{(1 - \varepsilon C(n, B_R))}{2} \int_{B_R} |\nabla\psi|^2 dx - \frac{|B_R|}{2\varepsilon}.
 \end{aligned}$$

Choosing  $\varepsilon$  sufficiently small such that

$$0 < \varepsilon \leq \frac{1}{C(n, B_R)}$$

then

$$\mathcal{F}_\Lambda(\psi) \geq -\frac{|B_R|}{2C(n, B_r)} \geq -C(n, B_R) > -\infty$$

so

$$T(B_R, \Lambda) = - \inf_{\psi \in H_0^1(B_R)} \mathcal{F}_\Lambda(\psi) < \infty.$$

## 2. Compactness and semicontinuity.

Now we consider a minimizing sequence  $\{\psi_k\}$  for  $T_{\mathcal{F}}(B_R, \Lambda)$  and we prove that it is bounded in  $H_0^1(B_R)$ . We can assume that  $\mathcal{F}_\Lambda(\psi_k) \leq -T_{\mathcal{F}}(B_R, \Lambda) + 1$  and by Proposition 2.3 we can assume that  $\psi_k$  are radial function with  $|\nabla\psi_k|$  radially symmetric increasing.

Using Young and Poincaré inequalities, we obtain

$$\begin{aligned}
 \mathcal{F}_\Lambda(\psi_k) &= \frac{1}{2} \int_{B_R} |\nabla\psi_k|^2 dx - \int_{B_R} \psi_k dx + \Lambda|\{\nabla\psi_k \neq 0\}| \\
 &\geq \frac{1}{2} \int_{B_R} |\nabla\psi_k|^2 dx - \int_{B_R} \psi_k dx \\
 &\geq \frac{1}{2} \int_{B_R} |\nabla\psi_k|^2 dx - \varepsilon \int_{B_R} \frac{\psi_k^2}{2} - \frac{|B_R|}{2\varepsilon} \\
 &\geq \frac{1}{2} \int_{B_R} |\nabla\psi_k|^2 dx - \frac{\varepsilon C(n, B_r)}{2} \int_{B_R} |\nabla\psi_k|^2 dx - \frac{|B_R|}{2\varepsilon} \\
 &= \frac{1 - \varepsilon C(n, B_r)}{2} \int_{B_R} |\nabla\psi_k|^2 dx - \frac{|B_R|}{2\varepsilon}.
 \end{aligned}$$

Choosing  $\varepsilon < \frac{1}{C(n, B_R)}$  we have

$$-T_{\mathcal{F}}(B_R, \Lambda) + 1 \geq \mathcal{F}_\Lambda(\psi_k) \geq \frac{1}{4} \int_{B_R} |\nabla \psi_k|^2 dx - C(B_R)$$

then by Poincaré inequality, the sequence  $\{\psi_k\}$  is bounded in  $H_0^1(B_R)$ .

This implies that there exists a subsequence (still denoted by  $\psi_k$ ) and a function  $v \in H_0^1(B_R)$  such that  $\psi_k \rightarrow v$  strongly in  $L^2(\Omega)$ , a.e. in  $\Omega$  and  $\nabla \psi_k \rightharpoonup \nabla v$  weakly in  $L^2$ . Let us show that  $v$  is a minimum for  $\mathcal{F}_\Lambda$ .

The lower semicontinuity of the norms gives

$$\liminf_k \left[ \frac{1}{2} \int_{B_R} |\nabla \psi_k|^2 dx - \int_{B_R} \psi_k dx \right] \geq \frac{1}{2} \int_{B_R} |\nabla v|^2 dx - \int_{B_R} v dx. \tag{4.3}$$

Let us deal with the last term of  $\mathcal{F}_\Lambda$  and let us prove that

$$\liminf_k |\{|\nabla u_k| \neq 0\}| \geq |\{|\nabla v| \neq 0\}|.$$

Denoting by  $r_k$  the radius of the ball where  $|\nabla \psi_k| = 0$ , we can assume that  $r_k$  converges to some  $r \geq 0$ . Therefore

$$\liminf_k |\{|\nabla \psi_k| \neq 0\}| = \lim_k [\omega_n(R^n - r_k^n)] = \omega_n(R^n - r^n).$$

So we have just to prove that  $|\nabla v| = 0$  in  $B_r$ . Since  $\{\psi_k\}$  are radial functions, obviously  $v$  is radial too.

If  $r = 0$  there is nothing to prove.

If  $r > 0$ , assume by contradiction that there exists  $A \subset B_r$  with  $|A| > 0$  and that  $|\nabla v| \neq 0$  in  $A$ . Clearly there exists  $\varepsilon > 0$  such that  $|A \cap B_{r-\varepsilon}| > 0$ .

Since  $r_k \rightarrow r$  if we choose a function  $g \in C_C^\infty(B_R, \mathbb{R}^n)$  with support included in  $A \cap B_{r-\varepsilon}$  we have

$$\int_{B_R} \langle \nabla v, g \rangle dx = \lim_k \int_{B_R} \langle \nabla \psi_k, g \rangle dx = 0.$$

Since this must be true for every  $g \in C_C^\infty(A \cap B_{r-\varepsilon}, \mathbb{R}^n)$ , we get a contradiction.

Then in any case

$$\liminf_k |\{|\nabla \psi_k| \neq 0\}| \geq |\{|\nabla v| \neq 0\}|. \tag{4.4}$$

By (4.3) and(4.4), we get

$$-T_{\mathcal{F}}(B_R, \Lambda) = \liminf_k \mathcal{F}_{\Lambda}(\psi_k) \geq \mathcal{F}_{\Lambda}(v) \geq -T_{\mathcal{F}}(B_R, \Lambda)$$

so  $v$  is a minimum of  $\mathcal{F}_{\Lambda}$  in  $B_R$ .

3. Uniqueness.

Let us suppose that  $v$  is a minimum of  $\mathcal{F}_{\Lambda}(\psi)$ . By Theorem 2.3, it exists  $\bar{v} \in H_0^1(B_R)$  such that

$$\mathcal{F}_{\Lambda}(v) \geq \mathcal{F}_{\Lambda}(\bar{v})$$

and since  $v$  is minimum, it holds

$$\mathcal{F}_{\Lambda}(v) = \mathcal{F}_{\Lambda}(\bar{v}).$$

Since  $|\nabla v|$  is equally distributed with  $|\nabla \bar{v}|$ , the previous equality implies

$$\|v\|_{L^1} = \|\bar{v}\|_{L^1}$$

so Theorem 2.4 gives that  $|v| = \bar{v}$ .

**Remark 4.1** We highlight that Theorem 2.3 ensures us that the minimum when  $\Omega$  is a ball has gradient equal to zero only in a ball  $B_r$  centered at the origin with  $0 \leq r \leq R$ .

Now, as already mention in the introduction, we prove a Saint-Venant type inequality for  $T_{\mathcal{F}}(\Omega, \Lambda)$ .

**Corollary 4.2** *Let  $\Omega \subset \mathbb{R}^n$  be a bounded open set with finite perimeter and let  $\Omega^{\sharp}$  be the centered ball. If  $\Lambda > 0$ , then*

$$T_{\mathcal{F}}(\Omega, \Lambda) \leq T_{\mathcal{F}}(\Omega^{\sharp}, \Lambda).$$

**Proof** For every function  $\psi \in H_0^1(\Omega)$ , by Theorem 2.3 or 1.2, there exists  $\bar{\psi} \in H_0^1(\Omega^{\sharp})$  that satisfies

$$\mathcal{F}_{\Lambda}(\psi) \geq \mathcal{F}_{\Lambda}(\bar{\psi}) \geq -T_{\mathcal{F}}(\Omega^{\sharp}, \Lambda)$$

and then

$$T_{\mathcal{F}}(\Omega, \Lambda) \leq T_{\mathcal{F}}(\Omega^{\sharp}, \Lambda).$$

Now we deal with the functional

$$\mathcal{G}(\psi) := \frac{\int_{\Omega} |\nabla \psi|^2 dx + \frac{1}{m} \left( \int_{\partial\Omega} |\psi| d\mathcal{H}^{n-1} \right)^2}{\left( \int_{\Omega} |\psi| dx \right)^2} \quad \psi \in H^1(\Omega).$$

with  $m > 0$ .

The interest in this type of functional is related to the problem of optimal insulation in a given domain. Indeed, the minimum of  $\mathcal{G}$  gives the long-time distribution of temperature of the domain  $\Omega$  and the displacement around  $\Omega$  of a thin layer of insulator with total mass equal to  $m$ . We refer to [8] for more details.

If  $\Omega$  is a Lipschitz domain,  $\mathcal{G}(\psi)$  achieves its minimum among all  $H^1(\Omega)$  functions. So we define

$$\frac{1}{T_{\mathcal{G}}(\Omega, m)} := \min_{\psi \in H^1(\Omega)} \mathcal{G}(\psi).$$

It is easy to check that the Euler-Lagrange equation of this functional is

$$\begin{cases} -\Delta u = 1 & \text{in } \Omega \\ \frac{\partial u}{\partial \nu} + \frac{1}{m} \int_{\partial\Omega} |u| d\mathcal{H}^{n-1} = 0 & \text{on } \partial\Omega. \end{cases}$$

So Theorem 1.2 gives us the following Saint-Venant type inequality for  $T_{\mathcal{G}}(\Omega)$ .

**Corollary 4.3** *Let  $\Omega \subset \mathbb{R}^n$  be a bounded open set with finite perimeter and let  $\Omega^{\sharp}$  be the centered ball. If  $m > 0$ , then*

$$T_{\mathcal{G}}(\Omega, m) \leq T_{\mathcal{G}}(\Omega^{\sharp}, m).$$

**Proof** For every function  $\psi \in H^1(\Omega)$ , by 1.2, there exists  $\bar{\psi} \in H^1(\Omega^{\sharp})$  that satisfies

$$\mathcal{G}(\psi) \geq \mathcal{G}(\bar{\psi}) \geq \frac{1}{T_{\mathcal{G}}(\Omega^{\sharp}, m)}$$

and then

$$T_{\mathcal{G}}(\Omega, m) \leq T_{\mathcal{G}}(\Omega^{\sharp}, m).$$

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## Declarations

**Conflict of interest** The authors have no Conflict of interest as defined by Springer, or other interests that might be perceived to influence the results and/or discussion reported in this paper.

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