

A characterization of ordinary abelian varieties by the Frobenius push-forward of the structure sheaf

Akiyoshi Sannai $1 \cdot \text{Hiromu Tanaka}^2$

Received: 30 May 2015 / Revised: 7 December 2015 / Published online: 9 January 2016 © The Author(s) 2016. This article is published with open access at Springerlink.com

Abstract For an ordinary abelian variety X, $F_*^e \mathcal{O}_X$ is decomposed into line bundles for every positive integer *e*. Conversely, if a smooth projective variety *X* satisfies this property and the Kodaira dimension of *X* is non-negative, then *X* is an ordinary abelian variety.

Mathematics Subject Classification 14K05 · 13A35

Contents

1	Introduction	8
2	Preliminaries	1
	2.1 Notation	1
	2.2 Albanese varieties	2
	2.3 The number of p^e -torsion line bundles	2
3	Basic properties	3
4	A characterization of ordinary abelian varieties	5
5	On the behavior of $F_*^e \mathcal{O}_X$ for some special varieties	3
	5.1 Abelian varieties	3
	5.2 Curves	
Re	eferences	7

 Hiromu Tanaka h.tanaka@imperial.ac.uk
 Akiyoshi Sannai

sannai@ms.u-tokyo.ac.jp

¹ Graduate School of Mathematical Sciences, University of Tokyo, Meguro, Tokyo 153-9814, Japan

² Department of Mathematics, Imperial College London, 180 Queen's Gate, London SW7 2AZ, UK

1 Introduction

Let *k* be an algebraically closed field of characteristic p > 0. Let *X* be a smooth proper variety over *k*. When does *X* satisfy the following property (*)?

(*) $F_*\mathcal{O}_X \simeq \bigoplus_j M_j$ where $F: X \to X$ is the absolute Frobenius morphism and each M_j is a line bundle.

For example, an arbitrary smooth proper toric variety satisfies this property (*) (cf. [1,19]). Thus there are many varieties which satisfy (*). But every toric variety has negative Kodaira dimension. On the other hand, we show that ordinary abelian varieties satisfy (*). The main theorem of this paper is the following inverse result.

Theorem 1.1 (Theorem 4.7) Let k be an algebraically closed field of characteristic p > 0. Let X be a smooth projective variety over k. Assume the following conditions.

- For infinitely many $e \in \mathbb{Z}_{>0}$, $F_*^e \mathcal{O}_X \simeq \bigoplus_j M_j^{(e)}$ where each $M_j^{(e)}$ is an invertible sheaf.
- K_X is pseudo-effective (e.g. the Kodaira dimension of X is non-negative).

Then X is an ordinary abelian variety.

On the other hand, how about the opposite problem? More precisely, when does X satisfy the following property (**)?

(**) $F_*\mathcal{O}_X$ is indecomposable, that is, if $F_*\mathcal{O}_X = E_1 \oplus E_2$ holds for some coherent sheaves E_1 and E_2 , then $E_1 = 0$ or $E_2 = 0$.

We study this problem for abelian varieties and curves.

Theorem 1.2 (Theorem 5.3) Let k be an algebraically closed field of characteristic p > 0. Let X be an abelian variety over k. Set r_X to be the p-rank of X. Then, for every $e \in \mathbb{Z}_{>0}$,

$$F^e_*\mathcal{O}_X\simeq E_1\oplus\cdots\oplus E_{p^{er_X}}$$

where each E_i is an indecomposable locally free sheaf of rank $p^{e(\dim X - r_X)}$. In particular, $F_*^e \mathcal{O}_X$ is indecomposable if and only if $r_X = 0$.

Theorem 1.3 (Theorem 5.5) Let k be an algebraically closed field of characteristic p > 0. Let X be a smooth projective curve of genus g. Fix an arbitrary integer $e \in \mathbb{Z}_{>0}$. Then the following assertions hold.

- (0) If g = 0, then $F_*^e \mathcal{O}_X \simeq \bigoplus L_i$ where every L_i is a line bundle.
- (1or) If g = 1 and X is an ordinary elliptic curve, then $F_*^e \mathcal{O}_X \simeq \bigoplus L_j$ where every L_j is a line bundle.
- (1ss) If g = 1 and X is a supersingular elliptic curve, then $F_*^e \mathcal{O}_X$ is indecomposable.
 - (2) If $g \ge 2$, then $F_*^e \mathcal{O}_X$ is indecomposable.

By Theorem 1.3(2), it is natural to ask whether $F_*\mathcal{O}_X$ is indecomposable for every smooth projective variety of general type X. If we drop the assumption that X is smooth, then the following theorem gives a negative answer to this question.

Theorem 1.4 Let k be an algebraically closed field of characteristic p > 0. Then, there exists a projective normal surface X over k which satisfies the following properties.

- (1) The singularities of X are at worst canonical.
- (2) K_X is ample.
- (3) $F_*\mathcal{O}_X$ is not indecomposable.

Remark 1.5 By [17], if X is a smooth projective curve of genus $g \ge 2$, then F_*E is a stable vector bundle whenever so is E. Theorem 1.4 shows that there exists a projective normal canonical surface of general type X such that $F_*\mathcal{O}_X$ is not a stable vector bundle with respect to an arbitrary ample invertible sheaf H on X.

Proof of Theorem 1.1: We overview the proof of Theorem 1.1. First of all, we can show that X is F-split, that is, $\mathcal{O}_X \to F_*\mathcal{O}_X$ splits as an \mathcal{O}_X -module homomorphism. This implies

$$H^0(X, -(p-1)K_X) \neq 0.$$

Since K_X is pseudo-effective, we obtain $(p-1)K_X \sim 0$. Then, by [6], we see that the Albanese map $\alpha : X \to Alb(X)$ is surjective. There are two main difficulties as follows.

- (1) To show that α is generically finite.
- (2) To treat the case where α is a finite surjective inseparable morphism.

(1) Let us overview how to show that α is generically finite. Set r_X to be the *p*-rank of Alb(X). It suffices to show dim $X = r_X$. Note that $\alpha : X \to Alb(X)$ induces the following bijective group homomorphism:

$$\alpha^* : \operatorname{Pic}^0(\operatorname{Alb}(X)) \xrightarrow{\simeq} \operatorname{Pic}^0(X), \ L \mapsto \alpha^* L$$

Roughly speaking, since $\operatorname{Pic}^{\tau}(X)/\operatorname{Pic}^{0}(X)$ is a finite group, r_X can be calculated by the asymptotic behavior of the number of p^e -torsion line bundles on X. Thus, we count the number of p^e -torsion line bundles on X. More precisely, we prove that the number of p^e -torsion line bundles on X is $p^{e \dim X}$ for infinitely many e.

Now we have

$$F^e_*\mathcal{O}_X = \bigoplus_{1 \le j \le p^{e \dim X}} M_j$$

where each M_j is a line bundle. In our situation, we can show that every p^e -torsion line bundle L is isomorphic to some M_j (cf. Lemma 3.3). Therefore, it suffices to prove that each M_j is p^e -torsion. Tensor M_j^{-1} with the above equation and take H^0 . Then,

we obtain $H^0(X, M_j^{-p^e}) \neq 0$. If we have $H^0(X, M_j^{p^e}) \neq 0$, then we are done. For this, we take the duality, that is, apply $\mathcal{H}om_{\mathcal{O}_X}(-, \omega_X)$ to the above direct summand decomposition. Then we can also show $H^0(X, M^{p^e}) \neq 0$. For more details on this argument, see Lemma 4.4.

(2) We overview how to treat the inseparable case. To clarify the idea, we assume that α is a finite surjective purely inseparable morphism of degree *p*. Then, Frobenius map F_A of *A* factors through α :

$$F_A: A \to X \xrightarrow{\alpha} A$$

By using the fact that X is F-split, we can show that

$$(F_A)_*\mathcal{O}_A\simeq \alpha_*\mathcal{O}_X\oplus E$$

for some coherent sheaf E. Since $(F_A)_*\mathcal{O}_A$ is the direct sum of the p-torsion line bundles, we obtain

$$lpha_*\mathcal{O}_X\simeq igoplus_{j=1}^p M_j$$

where M_1, \ldots, M_p are mutually distinct *p*-torsion line bundles. One of them, say M_1 , satisfies $\alpha^* M_1 \not\simeq \mathcal{O}_X$. By tensoring M_1^{-1} , we obtain

$$\alpha_*(\alpha^*M_1^{-1}) \simeq \mathcal{O}_A \oplus \bigoplus_{j=2}^p (M_j \otimes_{\mathcal{O}_A} M_1^{-1})$$

which induces the following contradiction:

$$0 = H^0(X, \alpha^* M_1^{-1}) \simeq H^0(A, \mathcal{O}_A) \oplus H^0\left(A, \bigoplus_{j=2}^p \left(M_j \otimes_{\mathcal{O}_A} M_1^{-1}\right)\right) \neq 0.$$

In the proof of Theorem 1.1, there appear other technical difficulties. For more details on the inseparable case, see Step 5 of the proof of Theorem 4.7.

Related results:

- (1) In [6], Hacon and Patakfalvi give a characterization of the varieties birational to ordinary abelian varieties.
- (2) Achinger [1] gives a characterization of smooth projective toric varieties as follows. For a smooth projective variety X in positive characteristic, X is toric if and only if F_{*}L splits into line bundles for every line bundle L.

2 Preliminaries

2.1 Notation

We will not distinguish the notations line bundles, invertible sheaves and Cartier divisors. For example, we will write L + M for line bundles L and M.

Throughout this paper, we work over an algebraically closed field k of characteristic p > 0. For example, a projective scheme means a scheme which is projective over k.

Let *X* be a noetherian scheme. For a coherent sheaf *F* on *X* and a line bundle *L* on *X*, we define $F(L) := F \otimes_{\mathcal{O}_X} L$.

In this paper, a *variety* means an integral scheme which is separated and of finite type over k. A *curve* or a *surface* means a variety whose dimension is one or two, respectively.

For a proper scheme X, let Pic(X) be the group of line bundles on X and let $Pic^{0}(X)$ (resp. $Pic^{\tau}(X)$) be the subgroup of Pic(X) of line bundles which are algebraically (resp. numerically) equivalent to zero:

$$\operatorname{Pic}^{0}(X) \subset \operatorname{Pic}^{\tau}(X) \subset \operatorname{Pic}(X).$$

For a normal variety X and a coherent sheaf M on X, we say M is *reflexive* if the natural map $M \to \mathcal{H}om_{\mathcal{O}_X}(\mathcal{H}om_{\mathcal{O}_X}(M, \mathcal{O}_X), \mathcal{O}_X)$ is an isomorphism. We say M is *divisorial* if M is reflexive and $M|_{\mathcal{O}_{X,\xi}}$ is rank one where ξ is the generic point. It is well-known that a divisorial sheaf M is isomorphic to the sheaf $\mathcal{O}_X(D)$ associated to a Weil divisor D on X.

Let X be a scheme of finite type over k. We say X is F-split if the absolute Frobenius

$$\mathcal{O}_X \to F_*\mathcal{O}_X, \ a \mapsto a^p$$

splits as an \mathcal{O}_X -module homomorphism.

We say a coherent sheaf F is *indecomposable* if, for every isomorphism $F \simeq F_1 \oplus F_2$ with coherent sheaves F_1 and F_2 , we obtain $F_1 = 0$ or $F_2 = 0$.

We recall the definition of ordinary abelian varieties.

Definition-Proposition 2.1 Let *X* be an abelian variety. We say *X* is *ordinary* if one of the following conditions hold. Moreover, the following conditions are equivalent.

- (1) For some $e \in \mathbb{Z}_{>0}$, the number of p^e -torsion points is $p^{e \cdot \dim X}$.
- (2) For every $e \in \mathbb{Z}_{>0}$, the number of p^e -torsion points is $p^{e \cdot \dim X}$.
- (3) $F: H^1(X, \mathcal{O}_X) \to H^1(X, \mathcal{O}_X)$ is bijective.
- (4) $F: H^i(X, \mathcal{O}_X) \to H^i(X, \mathcal{O}_X)$ is bijective for every $i \ge 0$.
- (5) X is F-split.

Proof (1) and (2) are equivalent by [13, Section 15, The*p*-rank]. (2) and (3) are equivalent by [13, Section 15, Theorem 3]. (Note that, in older editions of [13], there are two Theorem 2 in Section 15.) (3) and (4) are equivalent by [14, Example 5.4]. (4) and (5) are equivalent by [12, Lemma 1.1].

2.2 Albanese varieties

In this subsection, we recall the definition and fundamental properties of the Albanese varieties. For details, see [4, Section 9].

For a projective normal variety X and a closed point $x \in X$, there uniquely exists a morphism $\alpha_X : X \to Alb(X)$ to an abelian variety Alb(X), called the *Albanese* variety of X, such that $\alpha_X(x) = 0$ and that every morphism to an abelian variety $g : X \to B$, with $g(x) = 0_B$, factors through α_X (cf. [4, Remark 9.5.25]). Note that $Alb(X) \simeq \underline{Pic}^0(\underline{Pic}^0(X)_{red})$, where $\underline{Pic}(X) := \mathbf{Pic}_{X/k}$ in the sense of [4, Section 9].

The Albanese morphism $\alpha_X : X \to Alb(X)$ induces a natural morphism

$$\alpha_X^* : \underline{\operatorname{Pic}}^0(\operatorname{Alb}(X)) \to \underline{\operatorname{Pic}}^0(X)_{\operatorname{red}}.$$

It is well-known that α_X^* is an isomorphism. In particular, the induced group homomorphism

$$\alpha_X^* : \operatorname{Pic}^0(\operatorname{Alb}(X)) \to \operatorname{Pic}^0(X)$$

is bijective.

2.3 The number of p^e -torsion line bundles

The asymptotic behavior of the number of p^e -torsion line bundles is determined by the *p*-rank of the Picard variety Pic⁰(X)_{red}.

Proposition 2.2 Let X be a projective normal variety. Then, the following assertions hold.

(1) There exists the following exact sequence

$$0 \to \operatorname{Pic}^{0}(X) \to \operatorname{Pic}^{\tau}(X) \to G(X) \to 0$$

where G(X) is a finite group.

(2) If r_X is the *p*-rank of $\underline{\text{Pic}}^0(X)_{\text{red}}$, then there exists $\xi \in \mathbb{Z}_{>0}$ such that

$$p^{er_X} \leq |\operatorname{Pic}(X)[p^e]| \leq p^{er_X} \times \xi$$

for every $e \in \mathbb{Z}_{>0}$ where $\operatorname{Pic}(X)[p^e]$ is the group of p^e -torsion line bundles.

Proof The assertion (1) holds by [4, 9.6.17]. The assertion (2) follows from (1). \Box

As a consequence, we see that the *p*-rank of the Picard variety is stable under purely inseparable covers.

Proposition 2.3 Let $f : X \to Y$ be a finite surjective purely inseparable morphism between projective normal varieties. Set r_X and r_Y to be the *p*-ranks of $\underline{\text{Pic}}^0(X)_{\text{red}}$ and $\underline{\text{Pic}}^0(Y)_{\text{red}}$, respectively. Then, $r_X = r_Y$.

Proof We may assume that [K(X) : K(Y)] = p. Then, the absolute Frobenius morphism $F : Y \to Y$ factors through $f : X \to Y$:

$$F: Y \xrightarrow{g} X \xrightarrow{f} Y.$$

Thus, it suffices to show $r_Y \leq r_X$.

We show that the following inequality

$$p^{ery} \leq |\operatorname{Pic}(X)[p^{e+1}]|$$

holds for every $e \in \mathbb{Z}_{>0}$. Fix $e \in \mathbb{Z}_{>0}$. Let $L_1, \ldots, L_{p^{ery}}$ be mutually distinct p^e -torsion line bundles in Pic⁰(Y). Then, since $\underline{\text{Pic}}^0(Y)_{\text{red}}$ is an abelian variety, we can find line bundles $M_1, \ldots, M_{p^{ery}}$ such that $M_j^p \simeq L_j$ for every $1 \le j \le p^{ery}$. We see that, for each j,

$$L_j \simeq M_j^p = F^* M_j \simeq g^* f^* M_j$$

and that $f^*M_1, \ldots, f^*M_{p^{er_Y}}$ are mutually distinct p^{e+1} -torsion line bundles on *X*. Thus, we obtain the required inequality $p^{er_Y} \leq |\operatorname{Pic}(X)[p^{e+1}]|$.

By Proposition 2.2(2), we can find $\xi \in \mathbb{Z}_{>0}$ such that the inequalities

$$p^{er_Y} \le |\operatorname{Pic}(X)[p^{e+1}]| \le p^{(e+1)r_X} \times \xi$$

hold for every $e \in \mathbb{Z}_{>0}$. By taking the limit $e \to \infty$, we obtain $r_Y \leq r_X$.

3 Basic properties

In the main theorem (Theorem 1.1), we treat varieties such that $F_*^e \mathcal{O}_X$ is decomposed into line bundles. In this section, we summarize basic properties of such varieties. Since such varieties are *F*-split (Lemma 3.2), we also study *F*-split varieties. First, we give characterizations of *F*-split varieties.

Lemma 3.1 Let X be a scheme of finite type over k. Then, the following assertions are equivalent.

- (1) X is F-split.
- (2) For every $e \in \mathbb{Z}_{>0}$, there exists a coherent sheaf E such that $F_*^e \mathcal{O}_X \simeq \mathcal{O}_X \oplus E$.
- (3) $F^e_*\mathcal{O}_X \simeq \mathcal{O}_X \oplus E$ for some $e \in \mathbb{Z}_{>0}$ and coherent sheaf E.
- (4) $F_*^e \mathcal{O}_X \simeq L \oplus E$ for some $e \in \mathbb{Z}_{>0}$, p^e -torsion line bundle L and coherent sheaf *E*.

Proof It is well-known that (1), (2) and (3) are equivalent. It is clear that (3) implies (4). We see that (4) implies (3) by tensoring L^{-1} with $F_*^e \mathcal{O}_X \simeq L \oplus E$.

We are interested in varieties such that $F_*^e \mathcal{O}_X$ is decomposed into line bundles. By the following lemma, such varieties are *F*-split.

Lemma 3.2 Let X be a proper normal variety. Assume that $F^e_*\mathcal{O}_X \simeq \bigoplus_j M_j$ for some $e \in \mathbb{Z}_{>0}$ and divisorial sheaves M_j . Then, X is F-split.

Proof We obtain the following

$$0 \neq H^0(X, F^e_*\mathcal{O}_X) \simeq \bigoplus_j H^0(X, M_j).$$

Therefore, we see $H^0(X, M_{j_0}) \neq 0$ for some j_0 .

We have $M_{j_0} \simeq \mathcal{O}_X(E)$ for some effective divisor E on X. By Lemma 3.1, it is enough to show E = 0. Tensor $\mathcal{O}_X(-E)$ with

$$F^e_*\mathcal{O}_X \simeq \bigoplus_j M_j \simeq \mathcal{O}_X(E) \oplus \left(\bigoplus_{j \neq j_0} M_j\right)$$

and take the double dual. We obtain the following decomposition:

$$F^{e}_{*}(\mathcal{O}_{X}(-p^{e}E)) \simeq \mathcal{O}_{X} \oplus \left(\bigoplus_{j \neq j_{0}} \left(M_{j} \otimes_{\mathcal{O}_{X}} (-E)\right)^{**}\right)$$

Thus, $H^0(X, \mathcal{O}_X(-p^e E)) \neq 0$. This implies E = 0.

The following result gives an upper bound of the number of p^e -torsion line bundles for *F*-split varieties.

Lemma 3.3 Let X be a proper variety. Assume that X is F-split. Fix $e \in \mathbb{Z}_{>0}$. Let $F_*^e \mathcal{O}_X \simeq \bigoplus_{j \in J} M_j$ be a decomposition into indecomposable coherent sheaves M_j . Then, the following assertions hold.

- (1) Let L be a line bundle with $L^{p^e} \simeq \mathcal{O}_X$. Then, $L \simeq M_{j_1}$ for some $j_1 \in J$.
- (2) Let $j_1, j_2 \in J$ with $j_1 \neq j_2$. If M_{j_1} and M_{j_2} are line bundles and satisfy $M_{j_1}^{p^e} \simeq \mathcal{O}_X$ and $M_{j_2}^{p^e} \simeq \mathcal{O}_X$, then $M_{j_1} \not\simeq M_{j_2}$.
- (3) The number of p^{e} -torsion line bundles on X is at most $p^{e \cdot \dim X}$.

Proof (1) Tensor L^{-1} with $F^e_* \mathcal{O}_X \simeq \bigoplus_j M_j$ and we obtain

$$F^e_*\mathcal{O}_X \simeq F^e_*(L^{-p^e}) \simeq F^e_*\mathcal{O}_X \otimes_{\mathcal{O}_X} L^{-1} \simeq \bigoplus_j (M_j \otimes_{\mathcal{O}_X} L^{-1}).$$

Since *X* is *F*-split, we have

$$F^e_*\mathcal{O}_X\simeq\mathcal{O}_X\oplus\left(\bigoplus_iN_i\right)$$

where each N_i is an indecomposable sheaf. Then, the Krull–Schmidt theorem ([2, Theorem 2]) implies $M_{j_1} \otimes_{\mathcal{O}_X} L^{-1} \simeq \mathcal{O}_X$ for some j_1 .

(2) Assume that, for some $j_1 \neq j_2$, M_{j_1} and M_{j_2} are line bundles such that $M_{j_1}^{p^e} \simeq \mathcal{O}_X$, $M_{j_2}^{p^e} \simeq \mathcal{O}_X$ and $M_{j_1} \simeq M_{j_2}$. Let us derive a contradiction. Tensor $M_{j_1}^{-1}$ and we obtain

$$F^e_*\mathcal{O}_X \simeq F^e_*(M^{-p^e}_{j_1}) \simeq \mathcal{O}_X \oplus \mathcal{O}_X \oplus \left(\bigoplus_{j \neq j_1, j_2} \left(M_j \otimes M^{-1}_{j_1}\right)\right)$$

Taking H^0 , we obtain a contradiction.

(3) The assertion immediately follows from (1) and (2).

The following lemma is used in the next section and well-known for experts on F-singularities (cf. the proof of [16, Theorem 4.3]).

Lemma 3.4 Let X be a smooth proper variety. Assume that X is F-split. Then, for every $e \in \mathbb{Z}_{>0}$,

$$H^0(X, -(p^e - 1)K_X) \neq 0.$$

In particular, $\kappa(X) \leq 0$.

Proof By the Grothendieck duality, we can check

$$\mathcal{H}om_{\mathcal{O}_X}(F^e_*\mathcal{O}_X,\omega_X)\simeq F^e_*\omega_X.$$

This implies that ω_X is a direct summand of $F_*^e \omega_X$, which is equivalent to the assertion that \mathcal{O}_X is a direct summand of $F_*^e (\omega_X^{1-p^e})$.

4 A characterization of ordinary abelian varieties

In this section, we show the main theorem of this paper: Theorem 4.7. In the proof, we use [6, Theorem 1.1.1]. For this, it is necessary to show $\kappa_S(X) = 0$. We check this in Lemma 4.3. First, we recall the definition of $\kappa_S(X)$.

Definition 4.1 Let *X* be a smooth proper variety.

(1) Fix $m \in \mathbb{Z}_{>0}$. We define

$$S^{0}(X, mK_{X}) := \bigcap_{e \ge 0} \operatorname{Image} \left(\operatorname{Tr} : H^{0}(X, K_{X} + (m-1)p^{e}K_{X}) \to H^{0}(X, mK_{X}) \right)$$

where Tr is defined by the trace map $F_*^e \omega_X \to \omega_X$. For more details, see Remark 4.2 and [6, Lemma 2.2.3].

(2) We define

 $\kappa_S(X) := \max\{r \mid \dim S^0(X, mK_X) = O(m^r) \text{ for sufficiently divisible } m\}.$

This definition is the same as the one of [6, Subsection 4.1].

Remark 4.2 The trace map $F^e_*\omega_X \to \omega_X$ in Definition 4.1 is obtained by applying the functor $\mathcal{H}om_{\mathcal{O}_X}(-,\omega_X)$ to the Frobenius $\mathcal{O}_X \to F^e_*\mathcal{O}_X$. Indeed, the Grothendieck duality implies $\mathcal{H}om_{\mathcal{O}_X}(F^e_*\mathcal{O}_X,\omega_X) \simeq F^e_*\omega_X$. Thus, we obtain the trace map $F^e_*\omega_X \to \omega_X$.

By the construction, if X is F-split, then the trace map $F_*^e \omega_X \to \omega_X$ is a split surjection. Therefore, in this case, $H^0(X, mK_X) \neq 0$ (resp. $\kappa(X) \geq 0$) implies $S^0(X, mK_X) \neq 0$ (resp. $\kappa_S(X) \geq 0$).

We check $\kappa_S(X) = 0$ to apply [6, Theorem 1.1.1] in the proof of Theorem 4.7.

Lemma 4.3 Let X be a smooth projective variety. If X is F-split and K_X is pseudo-effective, then the following assertions hold.

- (1) $(p^e 1)K_X \sim 0$ for every $e \in \mathbb{Z}_{>0}$.
- (2) $\kappa_S(X) = 0.$
- *Proof* (1) By Lemma 3.4, we obtain $-(p^e 1)K_X \sim E$ where *E* is an effective divisor. Then, the pseudo-effectiveness of K_X implies that E = 0 (cf. [5, Lemma 5.4]).
- (2) By (1), we obtain $\kappa(X) = 0$. By [6, Lemma 4.1.3], it suffices to show $\kappa_S(X) \ge 0$. By Remark 4.2, $\kappa(X) \ge 0$ implies $\kappa_S(X) \ge 0$.

The following lemma is a key to show Theorem 4.7.

Lemma 4.4 Let X be a smooth projective variety. Fix $e \in \mathbb{Z}_{>0}$. Assume the following conditions.

- $F^e_*\mathcal{O}_X \simeq \bigoplus_i M_j$ where each M_j is a line bundle.
- K_X is pseudo-effective.

Then, the following assertions hold.

- (1) $M_i^{p^e} \simeq \mathcal{O}_X$ for every *j*.
- (2) The number of p^{e} -torsion line bundles on X is equal to $p^{e \cdot \dim X}$.

Proof (1) By Lemma 3.2, X is F-split. Thus, Lemma 4.3 implies $(p^e - 1)K_X \sim 0$. Fix an index j_0 and we show $M_{j_0}^{p^e} \simeq \mathcal{O}_X$. We can write

$$F^e_*\mathcal{O}_X = M_{j_0} \oplus \left(\bigoplus_{j \neq j_0} M_j\right).$$

Tensor $M_{i_0}^{-1}$ and we obtain

$$H^0(X, M_{j_0}^{-p^e}) \simeq H^0(X, \mathcal{O}_X) \oplus \cdots$$

In particular, we obtain $H^0(X, M_{j_0}^{-p^e}) \neq 0$. On the other hand, by applying $\mathcal{H}om_{\mathcal{O}_X}(-, \omega_X)$, we have

$$F^e_*\omega_X \simeq \mathcal{H}om_{\mathcal{O}_X}(F^e_*\mathcal{O}_X,\omega_X)$$
 $\simeq \mathcal{H}om_{\mathcal{O}_X}\left(igoplus_j M_j,\omega_X
ight)$ $\simeq igoplus_j (M_j^{-1}\otimes\omega_X)$

where the first isomorphism follows from the Grothendieck duality theorem for finite morphisms. Tensor ω_X^{-1} and we obtain

$$F^{e}_{*}\mathcal{O}_{X} \simeq F^{e}_{*}(\omega_{X}^{1-p^{e}}) \simeq (F^{e}_{*}\omega_{X}) \otimes_{\mathcal{O}_{X}} \omega_{X}^{-1} \simeq \bigoplus_{j} M^{-1}_{j}$$

Then, tensor M_{j_0} , and we obtain $H^0(X, M_{j_0}^{p^e}) \neq 0$. Therefore, $M_{j_0}^{p^e} \simeq \mathcal{O}_X$. (2) By Lemma 3.2, X is *F*-split. Then, the assertion follows from (1) and Lemma 3.3.

Ordinary abelian varieties satisfy the condition that $F_*^e \mathcal{O}_X$ is decomposed into line bundles.

Lemma 4.5 Let A be a d-dimensional ordinary abelian variety. Fix $e \in \mathbb{Z}_{>0}$. Let $\{M_j^{(e)}\}_{j \in J}$ be the set of the p^e -torsion line bundles on X. Then, the following assertions hold.

(1) $F^e_* \mathcal{O}_A \simeq \bigoplus_{j \in J} M^{(e)}_j$. (2) $M^{(e)}_j \in \operatorname{Pic}^0(A)$ for every $j \in J$.

Proof The number of p^e -torsion line bundles in $Pic^0(X)$ is p^{ed} . Apply Lemma 3.3 and we obtain the assertion.

We also need the following lemma.

Lemma 4.6 Let X be a proper normal variety. Fix $e \in \mathbb{Z}_{>0}$. Assume that there are mutually distinct p^e -torsion line bundles $L_1, \ldots, L_{p^e \dim X}$ on X. Let $F^e_* \mathcal{O}_X \simeq E \oplus E'$ where $E \neq 0$ is an indecomposable coherent sheaf and E' is a coherent sheaf. Then, the following assertions hold.

(1) If rank E < p, then $F_*^e \mathcal{O}_X \simeq \bigoplus_{i=1}^{p^{e \dim X}} L_i$.

D Springer

(2) If rank E = p, then $E \otimes_{\mathcal{O}_X} L_i \simeq E \otimes_{\mathcal{O}_X} L_j$ for some $1 \le i < j \le p^{e \dim X}$.

Proof Set $X_{\text{reg}} \subset X$ to be the regular locus of X. Since $(F_*^e \mathcal{O}_X)|_{X_{\text{reg}}}$ is locally free, $E|_{X_{\text{reg}}}$ is also locally free.

We show that E is reflexive. Let

$$F^e_*\mathcal{O}_X\simeq E_1\oplus\cdots\oplus E_s$$

be a decomposition into indecomposable sheaves with $E_1 \simeq E$. Take the double dual. Since $F_*^e \mathcal{O}_X$ is reflexive, each E_i is reflexive by the Krull–Schmidt theorem ([2, Theorem 2]).

(1) We show that

$$E \otimes_{\mathcal{O}_X} L_i \not\simeq E \otimes_{\mathcal{O}_X} L_j$$

for every $1 \le i < j \le p^{e \dim X}$. Assume $E \otimes_{\mathcal{O}_X} L_i \simeq E \otimes_{\mathcal{O}_X} L_j$ for some $1 \le i < j \le p^{e \dim X}$. Then, we obtain

$$\det (E|_{X_{\text{reg}}}) \otimes_{\mathcal{O}_{X_{\text{reg}}}} (L_i|_{X_{\text{reg}}})^{\operatorname{rank} E} \simeq \det (E|_{X_{\text{reg}}}) \otimes_{\mathcal{O}_{X_{\text{reg}}}} (L_j|_{X_{\text{reg}}})^{\operatorname{rank} E}.$$

By $1 \leq \operatorname{rank} E < p$, we obtain $L_i \simeq L_j$, which is a contradiction.

Thus $E \otimes_{\mathcal{O}_X} L_i$ is also an indecomposable direct summand of $F^e_*\mathcal{O}_X$. Therefore, we see rank E = 1 and

$$F^{e}_{*}\mathcal{O}_{X} \simeq \bigoplus_{i=1}^{p^{e\dim X}} E \otimes_{\mathcal{O}_{X}} L_{i}.$$

Since *E* is a divisorial sheaf, *X* is *F*-split by Lemma 3.2. Then, the assertion follows from Lemma 3.3.

(2) Assume that $E \otimes_{\mathcal{O}_X} L_i \not\simeq E \otimes_{\mathcal{O}_X} L_j$ for every $1 \le i < j \le p^{e \dim X}$. Let us derive a contradiction. Since *E* is indecomposable, so is $E \otimes_{\mathcal{O}_X} L_i$ for every *i*. Moreover, $E \otimes_{\mathcal{O}_X} L_i$ is also a direct summand of $F^e_*\mathcal{O}_X$. Thus, by the Krull–Schmidt theorem ([2, Theorem 2]), we obtain

$$F^{e}_{*}\mathcal{O}_{X} \simeq \bigoplus_{i=1}^{p^{e \dim X}} E \otimes_{\mathcal{O}_{X}} L_{i} \oplus \cdots$$

Then, we obtain the following contradiction:

$$p^{e \dim X} = \operatorname{rank}(F^e_* \mathcal{O}_X) \ge p^{e \dim X} \times \operatorname{rank} E = p^{e \dim X} \times p.$$

We show the main theorem of this paper.

Theorem 4.7 Let X be a smooth projective variety. Assume that the following conditions hold.

- For infinitely many $e \in \mathbb{Z}_{>0}$, $F_*^e \mathcal{O}_X \simeq \bigoplus_i M_i^{(e)}$ where each $M_i^{(e)}$ is a line bundle.
- *K_X* is pseudo-effective.

Then, X is an ordinary abelian variety.

Proof Let

$$\alpha: X \to A := Alb(X)$$

be the Albanese morphism.

Step 1. In this step, we show the following assertions.

- (1) The Albanese morphism $\alpha : X \to A$ is surjective.
- (2) The Albanese variety A is an ordinary abelian variety such that dim $X = \dim A$.
- (3) For every $e \in \mathbb{Z}_{>0}$, $F_*^e \mathcal{O}_X \simeq \bigoplus_j M_j^{(e)}$ where each $M_j^{(e)}$ is a p^e -torsion line bundle.
- *Proof of Step 1.* (1) Lemma 3.2 implies that X is F-split. By Lemma 4.3, we see $\kappa_S(X) = 0$. Thus we can apply [6, Theorem 1.1.1(1)]. Then, the Albanese morphism $\alpha : X \to Alb(X)$ is surjective.
- (2) By (1), we obtain dim $\operatorname{Pic}^{0}(X)_{\operatorname{red}} \leq \dim X$. Set r_X to be the *p*-rank of $\operatorname{Pic}^{0}(X)_{\operatorname{red}}$. It suffices to show that $r_X = \dim X$. By Lemma 4.4 and an assumption, the number of p^e -torsion line bundles is equal to $p^{e \dim X}$ for infinitely many $e \in \mathbb{Z}_{>0}$. By Proposition 2.2(2), we can find an integer $\xi > 0$ such that

$$p^{er_X} \le p^{e \dim X} = |\operatorname{Pic}(X)[p^e]| \le p^{er_X} \times \xi,$$

for infinitely many e > 0. Taking the limit $e \to \infty$, we obtain $r_X = \dim X$.

(3) The assertion follows from (2) and Lemma 3.3. This completes the proof of Step 1.

By Step 1, the Albanese morphism $\alpha : X \to A$ is a generically finite surjective morphism and A is an ordinary abelian variety. We obtain the following decomposition

$$\alpha: X \xrightarrow{f} Y \xrightarrow{g} Z \xrightarrow{h} A$$

such that

- Y and Z are projective normal varieties.
- *f* is a birational morphism, and *g* and *h* are finite surjective morphisms.
- g is purely inseparable and h is separable.

Note that we can find such a decomposition as follows. First, we take the Stein factorization of α and we obtain *Y*. Then $f : X \to Y$ is birational and $Y \to A$ is finite. Second, take the separable closure *L* of K(A) in K(X) = K(Y) and consider the normalization *Z* of *A* in *L*.

Step 2. Y is smooth.

Proof of Step 2. Since $f_*\mathcal{O}_X = \mathcal{O}_Y$, *Y* is *F*-split. By Lemma 4.5, there are the mutually distinct *p*-torsion line bundles $M_1, \ldots, M_{p^{\dim X}}$ on *A* such that $M_i \in \text{Pic}^0(A)$. By Sect. 2.2, $\alpha^*M_1, \ldots, \alpha^*M_{p^{\dim X}}$ are mutually distinct *p*-torsion line bundles on *X*. Thus, the number of *p*-torsion line bundles on *Y* is at least $p^{\dim X} = p^{\dim Y}$. Then, by Lemma 3.3, $F_*\mathcal{O}_Y \simeq \bigoplus_{j \in J} L_j$ for some *p*-torsion line bundles L_j on *Y*. Therefore *Y* is smooth by Kunz's criterion.

Step 3. f is an isomorphism.

Proof of Step 3. We can write

$$K_X = f^* K_Y + E$$

where *E* is an *f*-exceptional divisor. Since *Y* is smooth and hence terminal (cf. [9, Section 2.3]), *E* is effective. Since $K_X \equiv 0$, we see that *E* is *f*-nef. By the negativity lemma (cf. [9, Lemma 3.39]), we see E = 0. Therefore, $K_X = f^*K_Y$. Thus, the codimension of Ex(f) in *X* is at least two. Since *Y* is smooth, *f* is an isomorphism.

Now, we have

$$\alpha: X \xrightarrow{g} Z \xrightarrow{h} A$$

such that

- Z is projective normal variety.
- g is a finite surjective purely inseparable morphism.
- *h* is a finite surjective separable morphism.

Step 4. If g is an isomorphism, then α is also an isomorphism.

Proof of Step 4. We see that the albanese morphism

$$\alpha = h : X \to A$$

is a finite surjective separable morphism. Since K_X is numerically trivial and $K_A \sim 0$, $\alpha : X \to A$ is etale in codimension one. Then, by the Zariski–Nagata purity, α is etale. By [13, Section 18, Theorem], X is also an ordinary abelian variety. This completes the proof of Step 4.

Step 5. g is an isomorphism.

Proof of Step 5. Assume that g is not an isomorphism. Then, we can find

 $\alpha: X \xrightarrow{\varphi} W \to Z \to A, \quad \beta: W \to A$

which satisfies the following properties.

- W is a projective normal variety.
- $\varphi : X \to W$ and $W \to Z$ are finite surjective purely inseparable morphisms with [K(X) : K(W)] = p.

Since *A* is an ordinary abelian variety, there are mutually distinct *p*-torsion line bundles $M_1, \ldots, M_{p^{\dim X}}$ on *A* which form a subgroup of Pic⁰A (Lemma 4.5). **Claim** We prove the following assertions.

- (a) $F_*\mathcal{O}_W \simeq \varphi_*\mathcal{O}_X \oplus E$ for some coherent sheaf E.
- (b) $F_*\mathcal{O}_W \simeq \beta^* M_1 \oplus \cdots \oplus \beta^* M_{p^{\dim X}}$.

Proof of Claim (a) Since [K(X) : K(W)] = p, the Frobenius map F_W factors through φ :

$$F_W: W \xrightarrow{\mu} X \xrightarrow{\varphi} W.$$

Since μ is a finite purely inseparable morphism, there is $e \in \mathbb{Z}_{>0}$ such that F_X^e factors through μ :

$$F_X^e: X \to W \xrightarrow{\mu} X.$$

Since X is F-split, the identity homomorphism $id_{\mathcal{O}_X}$ factors through $\mu_*\mathcal{O}_W$:

 $\mathrm{id}_{\mathcal{O}_X}: \mathcal{O}_X \to \mu_*\mathcal{O}_W \to (F_X^e)_*\mathcal{O}_X \to \mathcal{O}_X.$

Thus, we see

$$\mu_*\mathcal{O}_W\simeq\mathcal{O}_X\oplus E_1$$

for some coherent sheaf E_1 on X. Take the push-forward by φ and we obtain

$$(F_W)_*\mathcal{O}_W \simeq \varphi_*\mathcal{O}_X \oplus \varphi_*E_1.$$

(b) Set $L_i := \beta^* M_i$. By Sect. 2.2, $L_1, \ldots, L_{p^{\dim X}}$ are mutually distinct *p*-torsion line bundles on *W* such that $\{L_1, \ldots, L_{p^{\dim X}}\}$ forms a subgroup of Pic *W* and that

$$\varphi^* L_i \simeq \varphi^* L_j$$

for every $1 \le i < j \le p^{\dim X}$. There are the following two cases:

- $\varphi_* \mathcal{O}_X$ is not indecomposable.
- $\varphi_* \mathcal{O}_X$ is indecomposable.

Assume that $\varphi_* \mathcal{O}_X$ is not indecomposable. Then, $F_* \mathcal{O}_W$ has an indecomposable direct summand of rank < p. Therefore, by Lemma 4.6(1), we obtain

$$F_*\mathcal{O}_W \simeq \beta^* M_1 \oplus \cdots \oplus \beta^* M_{p^{\dim X}}.$$

This is what we want to show.

Assume that $\varphi_* \mathcal{O}_X$ is indecomposable. Since rank $(\varphi_* \mathcal{O}_X) = p$, we can apply Lemma 4.6(2) and can find

$$\varphi_*\mathcal{O}_X\otimes L_i\simeq \varphi_*\mathcal{O}_X\otimes L_j$$

for some $1 \le i < j \le p^{\dim X}$. Since $\{L_1, \ldots, L_{p^{\dim X}}\}$ is a group, we obtain $L_i^{-1} \otimes_{\mathcal{O}_X} L_j \simeq L_r$ for some $1 \le r \le p^{\dim X}$ with $\varphi^* L_r \not\simeq \mathcal{O}_X$. Tensor L_i^{-1} and we see

$$\varphi_*\mathcal{O}_X \simeq \varphi_*\mathcal{O}_X \otimes L_r \simeq \varphi_*(\varphi^*L_r).$$

Then, taking H^0 , we obtain the following contradiction

$$0 \neq H^0(X, \mathcal{O}_X) \simeq H^0(X, \varphi^* L_r) = 0,$$

where the last equality holds because $\varphi^* L_r$ is a non-trivial *p*-torsion line bundle. This completes the proof of Claim.

By the Krull–Schmidt theorem ([2, Theorem 2]), the assertions (a) and (b) in Claim imply

$$\varphi_*\mathcal{O}_X = \bigoplus_{j \in J} \beta^* M_j$$

for some $J \subset \{1, \ldots, p^{\dim X}\}$. Since #J = p, we obtain $M_{j_0} \simeq \mathcal{O}_A$ for some $j_0 \in J$.

By Sect. 2.2, we see that $\alpha^* M_{j_0} \not\simeq \mathcal{O}_X$. Since $\alpha^* M_{j_0}$ is a non-trivial *p*-torsion line bundle, we obtain

$$H^0(X, \alpha^* M_{j_0}^{-1}) = 0.$$

On the other hand, we obtain

$$arphi_*lpha^*M_{j_0}^{-1}\simeq arphi_*arphi^*\beta^*M_{j_0}^{-1}\simeq arphi_*\mathcal{O}_X\otimes eta^*M_{j_0}^{-1}$$

$$\simeq \left(igoplus_{j \in J} eta^* M_j
ight) \otimes eta^* M_{j_0}^{-1} \simeq \mathcal{O}_W \oplus \left(igoplus_{j
eq j_0} eta^* M_j \otimes eta^* M_{j_0}^{-1}
ight),$$

which implies

$$H^0(X, \alpha^* M_{i_0}^{-1}) \neq 0.$$

This is a contradiction. Thus, $g: X \to Z$ is an isomorphism. This completes the proof of Step 5.

Step 4 and Step 5 imply the assertion in the theorem.

5 On the behavior of $F^e_* \mathcal{O}_X$ for some special varieties

In the former sections, we investigate varieties X such that $F_*\mathcal{O}_X$ is decomposed into line bundles. In this section, we study the behavior of $F_*\mathcal{O}_X$ for some special varieties.

5.1 Abelian varieties

In this subsection, we show Theorem 5.3. We recall some results essentially obtained by [15].

Theorem 5.1 (Oda) Let $f : X \to Y$ be an isogeny of abelian varieties over k. Set $\hat{f} : \hat{Y} \to \hat{X}$ to be the dual of f. Let $L \in \text{Pic}^{0}(X)$. Then,

$$f_*L \simeq \operatorname{pr}_{1*}(\mathcal{P}_Y|_{Y \times \hat{f}^{-1}([L])})$$

where \mathcal{P}_Y is the normalized Poincare line bundle of (Y, 0) and pr_1 is the first projection.

Proof We can apply the same argument as [15, Corollary 1.7].

Theorem 5.2 (Oda) Let X be an abelian variety. Let $S \subset \hat{X}$ be a closed subscheme of the dual abelian variety \hat{X} . If S is zero-dimensional and Gorenstein, then the following assertions hold.

(1) There exists an isomorphism between non-commutative k-algebras:

$$\operatorname{End}_{\mathcal{O}_X}(\operatorname{pr}_{1*}(\mathcal{P}_X|_{X\times S})) \simeq \Gamma(S, \mathcal{O}_S).$$

In particular, $\operatorname{End}_{\mathcal{O}_X}(\operatorname{pr}_{1*}(\mathcal{P}_X|_{X\times S}))$ is a commutative ring.

(2) If S is one point, that is, $\Gamma(S, \mathcal{O}_S)$ is a local ring, then $\operatorname{pr}_{1*}(\mathcal{P}_X|_{X\times S})$ is an indecomposable sheaf.

Proof (1) holds from [15, Corollary 1.12]. We show (2). Assuming $\operatorname{pr}_{1*}(\mathcal{P}_X|_{X\times S}) \simeq E_1 \oplus E_2$ with $E_i \neq 0$, we derive a contradiction. By (1), the ring $\operatorname{End}_{\mathcal{O}_X}(\operatorname{pr}_{1*}(\mathcal{P}_X|_{X\times S}))$ is a commutative ring. We obtain idempotents $\operatorname{id}_{E_1} \times 0_{E_2}$ and $0_{E_1} \times \operatorname{id}_{E_2}$ such that $\operatorname{id}_{E_1} \times 0_{E_2} + 0_{E_1} \times \operatorname{id}_{E_2}$ is the unity of the ring $\operatorname{End}_{\mathcal{O}_X}(\operatorname{pr}_{1*}(\mathcal{P}_X|_{X\times S}))$. Therefore, we obtain

$$\Gamma(S, \mathcal{O}_S) \simeq \operatorname{End}_{\mathcal{O}_X}(\operatorname{pr}_{1*}(\mathcal{P}_X|_{X \times S})) \simeq A \times B$$

for some non-zero rings A and B. But, $\Gamma(S, \mathcal{O}_S)$ is a local ring. This is a contradiction.

We show the main theorem of this subsection.

Theorem 5.3 Let X be an abelian variety. Set r_X to be the p-rank of X. Let $L \in \text{Pic}^0(X)$. Then, for every $e \in \mathbb{Z}_{>0}$, we obtain

$$F_*^e L \simeq E_1 \oplus \cdots \oplus E_{p^{er_X}}$$

where each E_i is an indecomposable locally free sheaf of rank $p^{e(\dim X - r_X)}$.

Proof Fix $e \in \mathbb{Z}_{>0}$. Consider the absolute Frobenius morphism $F_X^e : X \to X$. Set $X^{(p^e)} := X \times_{k, F_k^e} k$ and we obtain

$$F_X^e: X \xrightarrow{F_X^{e, \mathrm{rel}}} X^{(p^e)} \xrightarrow{\beta} X.$$

where β is a non-k-linear isomorphism of schemes and

$$F_X^{e,\mathrm{rel}}: X \to X^{(p^e)}$$

is k-linear. Thus, it suffices to show that

$$(F_X^{e, \operatorname{rel}})_*L \simeq E_1' \oplus \cdots \oplus E_{p^{er_X}}'$$

for some indecomposable locally free sheaves E'_i of rank $p^{e(\dim X - r_X)}$. Take the dual of $F_X^{e,rel}$:

$$\widehat{(F_X^{e,\mathrm{rel}})}:\widehat{X^{(p^e)}}\to \hat{X}.$$

We show that the number of the fiber of every closed point of $(\widehat{F_X^{e,\text{rel}}})$ is p^{er_X} . Since $(\widehat{F_X^{e,\text{rel}}})(k)$ is a group homomorphism, the numbers of all the fibers are the same. Thus, it suffices to prove that the number of $(\widehat{F_X^{e,\text{rel}}})^{-1}(0_{\hat{X}}) = \text{Ker}((\widehat{F_X^{e,\text{rel}}})(k))$ is p^{er_X} . This is equivalent to show that the number of line bundles $M \in \text{Pic}^0(X^{(p^e)}) = \widehat{X^{(p^e)}}(k)$ such that $(F_X^{e,\text{rel}})^*M \simeq \mathcal{O}_X$ is p^{er_X} . Since $\beta : X^{(p^e)} \to X$ is an isomorphism, we prove that the number of line bundles $N \in \text{Pic}^0(X)$ such that $N^{p^e} = (F_X^e)^*N \simeq \mathcal{O}_X$ is p^{er_X} . This follows from the definition of the *p*-rank.

Taking the separable closure, we obtain

$$\widehat{\left(F_X^{e,\mathrm{rel}}\right)}:\widehat{X^{(p^e)}} \xrightarrow{g} Y \xrightarrow{h} \hat{X},$$

where Y is a normal projective variety, g is a finite surjective purely inseparable morphism and h is a finite surjective separable morphism. Since the numbers of every fiber of $(\widehat{F_X^{e,rel}})$ are the same, h is an etale morphism. In particular, Y is an abelian variety ([13, Section 18, Theorem]) and we may assume that g and h are isogenies. Take the duals again and we obtain

$$F_X^{e, \text{rel}} : X \xrightarrow{\hat{h}} \hat{Y} \xrightarrow{\hat{g}} X^{(p^e)}$$

Let

$$\operatorname{Ker}(\widehat{F_X^{e,\operatorname{rel}}}) = g^{-1}([M_1]) \amalg \cdots \amalg g^{-1}([M_{p^{er_X}}])$$

🖉 Springer

be the decomposition into one point schemes. By Theorem 5.1, we obtain

$$(F_X^{e,\mathrm{rel}})_*\mathcal{O}_X \simeq \mathrm{pr}_{1*}(\mathcal{P}_{X^{(p^e)}}|_{X^{(p^e)}\times\mathrm{Ker}(\widetilde{F_X^{(rel)}})})$$

$$\simeq \mathrm{pr}_{1*}(\mathcal{P}_{X^{(p^e)}}|_{X^{(p^e)}\times g^{-1}([M_1])}) \oplus \cdots \oplus \mathrm{pr}_{1*}(\mathcal{P}_{X^{(p^e)}}|_{X^{(p^e)}\times g^{-1}([M_{p^{er_X}}])})$$

$$\simeq \hat{g}_*M_1 \oplus \cdots \oplus \hat{g}_*M_{p^{er_X}}.$$

Thus, it suffices to show that each locally free sheaf

$$\operatorname{pr}_{1*}(\mathcal{P}_{X^{(p^e)}}|_{X^{(p^e)}\times g^{-1}([M_i])}) \simeq \hat{g}_*M_j$$

is indecomposable. We see that $g^{-1}([M_j])$ is one point. Thus, if $g^{-1}([M_j])$ is Gorenstein, then $\operatorname{pr}_{1*}(\mathcal{P}_{X^{(p^e)}}|_{X^{(p^e)}\times g^{-1}}([M_j]))$ is indecomposable by Theorem 5.2(2). Since g is finite and Y is smooth, $g^{-1}([M_j])$ is a local complete intersection scheme. In particular, $g^{-1}([M_j])$ is Gorenstein.

5.2 Curves

In this subsection, we show Theorem 5.5. We need the following result from the theory of stable vector bundles.

Theorem 5.4 Let X be a smooth projective curve of genus $g \ge 2$. Let L be a line bundle on X. Then, $F_*^e L$ is indecomposable for every $e \in \mathbb{Z}_{>0}$.

Proof Since *L* is a line bundle, *L* is a stable vector bundle. Then, by [17, Theorem 2.2], $F_*^e L$ is also a stable vector bundle. Since stable vector bundles are indecomposable, $F_*^e L$ is indecomposable.

We show the main theorem of this subsection.

Theorem 5.5 Let X be a smooth projective curve of genus g. Fix an arbitrary positive integer e. Then the following assertions hold.

- (0) If g = 0, then $F_*^e \mathcal{O}_X \simeq \bigoplus L_i$ where every L_i is a line bundle.
- (1or) If g = 1 and X is an ordinary elliptic curve, then $F_*^e \mathcal{O}_X \simeq \bigoplus L_j$ where every L_j is a line bundle.
- (1ss) If g = 1 and X is a supersingular elliptic curve, then $F_*^e \mathcal{O}_X$ is indecomposable.
 - (2) If $g \ge 2$, then $F_*^e \mathcal{O}_X$ is indecomposable.

Proof The assertion (0) immediately follows from the fact that every locally free sheaf of finite rank on \mathbb{P}^1 is decomposed into the direct sum of line bundles.

The assertions (1or) and (1ss) hold by Theorem 5.3. The assertion (2) follows from Theorem 5.4. $\hfill \Box$

By Theorem 5.5, it is natural to ask the following question.

Question 5.6 If X is a smooth projective surface X of general type, then is $F_*\mathcal{O}_X$ indecomposable?

As far as the authors know, this question is open. On the other hand, if we drop the assumption that X is smooth, then there exists a counter-example as follows. For a related result, see also [7, Example 3.5].

Theorem 5.7 *There exists a projective normal surface X which satisfies the following properties.*

- (1) The singularities of X are at worst canonical.
- (2) K_X is ample.
- (3) $F_*\mathcal{O}_X$ is not indecomposable.

Proof Let *S* be an ordinary abelian surface. Fix a very ample line bundle *H* on *S*. Let $s \in H^0(X, H^p)$ be a general element and set

$$\pi: X := \operatorname{Spec}_{S}(\mathcal{O}_{S} \oplus H^{-1} \oplus \cdots \oplus H^{-(p-1)}) \to S$$

to be the finite purely inseparable morphism where the \mathcal{O}_S -algebra $\mathcal{O}_S \oplus H^{-1} \oplus \cdots \oplus H^{-(p-1)}$ is defined by $s \in H^0(X, H^p)$. By [10, Remark 3.5(1)], we can apply [10, Theorem 3.4] for $\mathcal{L} := H$. Since the scheme *X* constructed above is the same as the $\alpha_{\mathcal{L}}$ -torsor $\delta(s)$ appearing in [10, Theorem 3.4]. Therefore, *X* is normal and has at worst A_{p-1} -singularities. Thus (1) holds. We see

$$K_X = \pi^* K_S + (p-1)\pi^* H \sim (p-1)\pi^* H$$
,

which implies (2).

We show (3). Since $\pi : X \to S$ is a finite purely inseparable morphism of degree p, the absolute Frobenius morphisms of X and S factors through π :

$$F_S: S \to X \xrightarrow{\pi} S, \quad F_X: X \xrightarrow{\pi} S \xrightarrow{\varphi} X.$$

Since *S* is *F*-split, the identity homomorphism $id_{\mathcal{O}_S}$ factors through $\pi_*\mathcal{O}_X$:

$$\mathrm{id}_{\mathcal{O}_S}:\mathcal{O}_S\to\pi_*\mathcal{O}_X\to(F_S)_*\mathcal{O}_S\to\mathcal{O}_S.$$

This implies

$$\pi_*\mathcal{O}_X\simeq\mathcal{O}_S\oplus E$$

for some coherent sheaf E. Taking the push-forward by φ , we see

$$(F_X)_*\mathcal{O}_X = \varphi_*\pi_*\mathcal{O}_X \simeq \varphi_*\mathcal{O}_S \oplus \varphi_*E.$$

This implies (3).

🖄 Springer

Remark 5.8 If X is a smooth projective curve of general type, then $F_*\mathcal{O}_X$ is indecomposable by Theorem 5.4. Theorem 5.4 depends on the theory of the stable vector bundles. For the 2-dimensional case, a similar result is obtained by Kitadai–Sumihiro [8], Liu–Zhou [11], and Sun [18]. For example, [18, Theorem 4.9 and Remark 4.10] imply that $F_*\mathcal{O}_X$ is indecomposable under the assumptions that $\mu(\Omega_X^1) > 0$ and Ω_X^1 is semi-stable.

Acknowledgments The authors would like to thank Professors Piotr Achinger, Yoshinori Gongyo, Nobuo Hara, Masayuki Hirokado, Kazuhiko Kurano, and Shunsuke Takagi, Mingshuo Zhou for several useful comments. We are grateful to the referee for valuable comments. The first author is partially supported by the Grant-in-Aid for JSPS Fellows (24-0745).

Open Access This article is distributed under the terms of the Creative Commons Attribution 4.0 International License (http://creativecommons.org/licenses/by/4.0/), which permits unrestricted use, distribution, and reproduction in any medium, provided you give appropriate credit to the original author(s) and the source, provide a link to the Creative Commons license, and indicate if changes were made.

References

- 1. Achinger, P.: A characterization of toric varieties in characteristic *p*. Int. Math. Res. Notices (2015, to appear)
- Atiyah, M.F.: On the Krull-Schmidt theorem with application to sheaves. Bull. Soc. Math. France 84, 307–317 (1956)
- 3. Atiyah, M.F.: Vector bundles over an elliptic curve. Proc. London Math. Soc 7, 414-452 (1957)
- Fontechi, B., Göttsche, L., Illusie, L., Kleiman, S.L., Nithure, N., Vistoli, A.: Fundamental algebraic geometry: Grothendieck's FGA explained. Math. Surv. Monogr. vol. 123 (2005)
- Gongyo, Y., Li, Z., Patakfalvi, Z., Schwede, K., Tanaka, H., Zong, R.: On rational connectedness of globally F-regular threefolds. Adv. Math. 280, 47–78 (2015)
- Hacon, C.D., Patakfalvi, Z.: Generic vanishing in characteristic p 0 and the characterization of ordinary abelian varieties. Am. J. Math. (2015, to appear)
- Hirokado, M.: Zariski surfaces as quotients of Hirzebruch surfaces by 1-foliations. Yokohama Math. J. 47, 103–120 (2000)
- Kitadai, Y., Sumihiro, H.: Canonical filtrations and stability of direct images by Frobenius morphisms. Tohoku Math. J. 60, 287–301 (2008)
- Kollár, J., Mori, S.: Birational geometry of algebraic varieties. Cambridge Tracts in Mathematics, vol. 134 (1998)
- Liedtke, C.: The canonical map and horikawa surfaces in positive characteristic. Int. Math. Res. Notices 2013(2), 422–462 (2013)
- 11. Liu, C., Zhou, M.: Stability of Frobenius direct images over surfaces. Math. Z. 280, 841-850 (2015)
- Mehta, V.B., Srinivas, V.: Varieties in positive characteristic with trivial tangent bundle. Compos. Math. tome 64(2), 191–212 (1987)
- Mumford, D.: Abelian varieties. Tata Institute of Fundamental Research Studies in Mathematics, vol. 5 (1970)
- Mustaţă, M., Srinivas, V.: Ordinary varieties and the comparison between multiplier ideals and test ideals. Nagoya Math. J. 204, 125–157 (2011)
- 15. Oda, T.: Vector bundles on an elliptic curve. Nagoya Math. J. 43, 41–72 (1971)
- Schwede, K., Smith, K.E.: Globally F-regular and log Fano varieties. Adv. Math. 224(3), 863–894 (2010)
- 17. Sun, X.: Direct images of bundles under Frobenius morphism. J. Algebra 226(2), 865–874 (2000)
- Sun, X.: Frobenius morphism and semi-stable bundles, Advanced Studies in Pure Mathematics (2010), vol. 60. Algebraic Geometry in East Asia-Seoul, pp. 161–182 (2008)
- Thomsen, J.F.: Frobenius direct images of line bundles on toric varieties. J. Algebra 226(2), 865–874 (2000)