On equal-width length-scale control in topology optimization

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Abstract

This paper deals with equal-width length-scale control in topology optimization. To realize this aim, we first review different notions of minimum and maximum length-scale control and highlight some perhaps counterintuitive consequences of the various definitions. Here, we implement equal-width control within the moving morphable components (MMC) framework by imposing the same upper and lower bounds on the width of the components. To avoid partially overlapping beams and nearly parallel beams, as well as beams crossing at small angles, we introduce penalty functions of the angle difference and the minimum distance between any two beams. A penalized optimization formulation of compliance minimization is established and studied in several numerical examples with different load cases and boundary conditions. The numerical results show that equal-width length-scale control can be obtained by using the proposed penalty function in combination with a continuation approach for the amount of penalization.

Keywords Topology optimization · Size control · Moving morphable components · Mathematical morphology · Medial axis transform

1 Introduction

Design optimization aims at finding the optimal placement of materials within a given *design domain* $\Omega \subset \mathbb{R}^N$. In this paper, we limit the discussion to the case of two material types, for simplicity henceforth referred to as material and void, which occupy the regions $M \subset \Omega$ and $V \subset \Omega$, respectively. Design optimization aims to find the “best” material region $M^* \subset \Omega$, or more precisely the region that extremizes a given performance measure subject to given constraints.

The task of obtaining size control in some sense has attracted increasing interest over the last two decades. Early attempts to obtain size control in the context of material distribution topology optimization focused on limiting the

spatial variation of the density field, for example, by using linear density filtering (Bruns and Tortorelli 2001; Bourdin 2001) or by adding slope constraints (Petersson and Sigmund 1998). Linear density filtering has the disadvantage of not being able to provide sharp transitions between material and void regions. During the last 15 years, there has been an intense interest in developing new non-linear filtering strategies (Guest et al. 2004; Sigmund 2007; Xu et al. 2010; Wang et al. 2011; Svanberg and Svärd 2013) that have the advantage of allowing (almost) sharp transitions between material and void. Recently, Wadbro and Hägg (2015) presented a general filtering framework that includes the vast majority of available filters, which enables a unified analysis of a large class of material distribution methods. Lazarov et al. (2016) comprehensively review different techniques based on density filtering for imposing length-scale and manufacturability in topology optimization. The problem of controlling the minimum and maximum length-scales of the design in topology optimization has attracted much interest. In some cases, optimized designs, obtained by using filters similar to the open–close or close–open filters introduced by Sigmund (2007), possess a minimum length-scale on both phases (Hägg and Wadbro 2017; Sigmund 2007). However, the existence of a minimum length-scale on both phases cannot be guaranteed (Schevenels and Sigmund 2016). The maximum and minimum length-scales have been controlled by Guest (2009), who introduced local

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constraints for maximum length-scale control together with some Heaviside based filtering for minimum length-scale control. Zhang et al. (2014) introduced constraints, essentially based on length-scale definition (4) below, to the problem formulation and were able to control both the minimum and maximum length-scale of one phase.

2 On length-scale of sets in $\mathbb{R}^N$

Throughout this paper, we assume that the material region M is a nonempty N -dimensional open set, so $M \subset \mathbb{R}^N$. Here, we use another set $B \subset \mathbb{R}^N$, called the *structuring element*, to define and obtain information about the minimum and maximum length-scale of M , respectively. For $r \in \mathbb{R}^+$ and $y \in \mathbb{R}^N$, we let

$$rB = \{x \in \mathbb{R}^N \mid r^{-1}x \in B\} \quad (1)$$

and

$$y + rB = \{x \mid x - y \in rB\}. \quad (2)$$

That is, rB denotes the set obtained by scaling B by r and $y + rB$ denotes the set obtained by translating rB by y .

Here, we restrict our attention to the case where the structuring element contains $\mathbf{0}$ and is open, bounded, symmetric, and convex. Hence, B is the open unit ball for some metric on $\mathbb{R}^N$; more precisely, the Minkowski functional $p_B(x) = \inf\{r > 0 \mid x \in rB\}$ is a norm on $\mathbb{R}^N$.

Following Hägg and Wadbro (2018), we start by defining the *local length-scale* relative B at $x \in M$, $\hat{R}_B(M; x)$, as the radius of the largest ball (scaled translate of B) that contains x and is contained within M . That is,

$$\hat{R}_B(M; x) = \sup \left\{ r > 0 \mid \exists y \in M \text{ such that } x \in (y + rB) \subset M \right\}. \quad (3)$$

By using this local length-scale, the *minimum length-scale* of M relative B is defined as

$$R_B(M) = \inf_{x \in M} \hat{R}_B(M; x). \quad (4)$$

Similarly, the *maximum length-scale* of M relative B is

$$L_B(M) = \sup_{x \in M} \hat{R}_B(M; x). \quad (5)$$

Note that, definition (3) specifies a length-scale for each point $x \in M$, while definitions (4) and (5) establish a notion of minimum and maximum length-scale, respectively, for domain M . In words, definitions (4) and (5) characterize the minimum and maximum length-scale for domain M as the smallest and largest local length scale of the points in M , respectively.

2.1 Relation to morphological operators

In mathematical morphology, the aim is to gain information about M by probing it by B . The basic morphological operators are erosion, dilation, closing, and opening. Following Heijmans (1995), we define the *dilation* and *erosion* of M by B as

$$\mathcal{D}_B(M) = \{m + b \mid m \in M, b \in B\} \quad (6)$$

and

$$\mathcal{E}_B(M) = \{y \mid y + b \in M \ \forall b \in B\}, \quad (7)$$

respectively. That is, $\mathcal{D}_B(M)$ equals the Minkowski sum $M \oplus B$ and $\mathcal{E}_B(M)$ equals the Minkowski difference $M \ominus B$. The closing of M by B and the opening of M by B are defined as

$$\mathcal{C}_B(M) = \mathcal{E}_B(\mathcal{D}_B(M)), \quad (8)$$

$$\mathcal{O}_B(M) = \mathcal{D}_B(\mathcal{E}_B(M)), \quad (9)$$

respectively. Figure 1 illustrates these four basic morphological operators defined by the Euclidean unit ball B acting on a set $M \subset \mathbb{R}^2$. Here, M is the union of a rectangular region (upper right part of M) and a polygon with a rectangular hole (lower left part of M). In colloquial terms, given a brush with shape B , $\mathcal{D}_B(M)$ is the area that is filled by placing this brush's center at all points inside M and $\mathcal{O}_B(M)$ is the largest area that can be filled by using this brush without touching any point outside M . $\mathcal{E}_B(M)$ and $\mathcal{C}_B(M)$ are the dual operators of $\mathcal{D}_B(M)$ and $\mathcal{O}_B(M)$, respectively. Colloquially, given a fully filled space and an eraser with shape B , $\mathcal{E}_B(M)$ is the area that remains filled after having erased as much as possible keeping the eraser's center outside M and $\mathcal{C}_B(M)$ is the area that remains filled after having erased as much as possible without erasing any point in M .

Fig. 1 Illustration of the four basic morphological operators defined by the structuring element B acting on a set M

The minimum and maximum length-scales R_B and L_B can also be defined by using the morphological operators and the convention $\sup \emptyset = 0$ as

$$R_B(M) = \sup\{r > 0 \mid M = \mathcal{O}_{rB}(M)\} \quad (10)$$

and

$$L_B(M) = \inf\{r > 0 \mid \emptyset = \mathcal{E}_{rB}(M)\}, \quad (11)$$

respectively; recall that rB is the unit ball scaled by r . A proof of the equivalence between minimum length-scale definitions (4) and (10) is given by Hägg and Wadbro (2018, Theorem 3). The equivalence of definitions (5) and (11) can be shown similarly.

The equivalence between definitions (4) and (10) as well as definitions (5) and (11) provides us with alternative interpretations of length-scales R_B and L_B . Minimum length-scale R_B is the largest radius r so that M can be completely pained with a brush with shape rB without touching any point outside M . Maximum length-scale L_B is the smallest radius r so that M is completely removed by an eraser with shape rB keeping the eraser's center outside M .

2.2 Relation to the medial axis transform

The medial axis transform, which is extensively used to describe shapes in the field of computer graphics and pattern recognition (Loncaric 1998). Blum (1967) introduced the concept of describing the shape of an object occupying a domain M by its medial axis or skeleton S and a distance map $d : S \mapsto \mathbb{R}^+$. The skeleton consists of all points in M for which the closest point in $\mathbb{R}^N \setminus M$ is not unique, that is

$$S = \{x \in M \mid \Pi_{\mathbb{R}^N \setminus M}(x) \text{ is not a singleton}\}, \quad (12)$$

where $\Pi_{\mathbb{R}^N \setminus M}(x)$ is the set of projections of x onto $\mathbb{R}^N \setminus M$ or, in other words, $\Pi_{\mathbb{R}^N \setminus M}(x)$ consists of all points in $\mathbb{R}^N \setminus M$ with infimum distance to x . The distance map $d : S \mapsto \mathbb{R}^+$, is defined so that

$$M = \{x + yd(x) \mid x \in S, y \in B\}. \quad (13)$$

Metrics defined via distance functions have been used extensively as a practical tool in the field of computer visualization, while these metrics primarily have been used as a theoretical tool for shape calculus, see for example Delfour & Zolesio's book (Delfour and Zolésio 2011).

Note that given M and its skeleton S , there may be multiple distance maps d that satisfy condition (13). To strictly define minimum and maximum length-scales based on the medial axis transform, we need to add a requirement

on the distance map d . More precisely, we will, in addition to require that d satisfies condition (13), also stipulate that $d(x)$ is maximal for each $x \in S$. Provided that d is selected as stipulated above, minimum and maximum length-scales can also be defined by using the distance map d associated with the medial axis transform, as suggested in the context of topology optimization by Zhang et al. (2014). The minimum length-scale $R_B(M)$ can also be defined as

$$R_B(M) = \inf_{x \in S} d(x) \quad (14)$$

and the maximum length-scale $L_B(M)$ can also be defined as

$$L_B(M) = \sup_{x \in S} d(x). \quad (15)$$

That is, the minimum and maximum length scales of domain M may be defined as the smallest and largest value of the distance map for all points on S , respectively.

2.3 Alternative minimum length-scale definition based on thickness

Allaire et al. (2016) proposed an alternative minimum length-scale definition based on the thickness of the design. Consider a point x on the boundary ∂M and assume that its outward directed unit normal $n(x)$ at x is well-defined. Then, the local thickness $\hat{T}(M; x)$ of M at x is defined as

$$\hat{T}(M; x) = \inf\{t > 0 \mid x - tn(x) \notin M\}. \quad (16)$$

In other words, $\hat{T}(M; x)$ is the largest t such that for any $s \in (0, t)$, it holds that $x - sn(x) \in M$. The minimum thickness $T(M)$ of M is then defined as

$$T(M) = \inf_{x \in \partial M} \hat{T}(M; x). \quad (17)$$

Later in this manuscript we will also refer to $T(M)$ as the minimum width of the domain M .

We remark that, as also Allaire et al. (2016) acknowledged, the behavior of the minimum thickness $T(M)$ and the minimum length-scale $R_B(M)$ can be rather different. The minimum thickness of a square with side length 1 and rounded corners is 1, while R_B for this domain is the rounding radius of the corners provided that B is the unit sphere. To impose minimum thickness via constraints or penalties in the problem formulation, Allaire et al. (2016) reformulated the thickness definition by using the signed distance function d_M . Note that: for a point in the interior of M , the value of the signed distance function d_M is the negative distance from the point to the boundary of M ; for a point on the boundary of M , d_M is 0; and for a point in the exterior of M , d_M is the distance from the point to the boundary.

Fig. 2 A material region comprising two beams of width 2 and infinite length that cross each other

2.4 The equal length-scale paradox

The end-goal of this work is to find designs with equal member width, or in other words, we want to find designs whose minimum and maximum length-scale coincide. However, this requirement together with length-scale definitions (4) and (5) implies that we cannot have designs that contain equal-width bars that cross. Figure 2 illustrates two bars of width 2 and infinite length that cross. At the crossing point, the local length-scale is $\sqrt{2}$; away from the crossing region, the local length-scale is one. More precisely, in this case, $R_B = 1 < \sqrt{2} = L_B$. To avoid this paradoxical consequence of definitions (4) and (5), we choose to use an alternative definition of the maximum length-scale.

2.5 Maximum length-scale revisited

Instead of defining the maximum length-scale of M by using the local length-scales or directly by using the distance map and skeleton associated with the medial axis transform, one could try to mix concepts from the medial axis transform and mathematical morphology. First, note that the structural skeleton S may include more points than C , the set of all center points of the balls defining the local

length-scale. Formally C may be defined as

$$C = \left\{ y \in \mathbb{R}^N \mid \begin{array}{l} \exists x \in M \text{ such that} \\ x \in y + \hat{R}_B(M; x)B \text{ and} \\ y + \hat{R}_B(M; x)B \subset M \end{array} \right\}. \quad (18)$$

For the domain in Fig. 2 where two crossing beams comprise M , the left and right images in Fig. 3 illustrate the sets C and S , respectively. The skeleton S includes all points in M that do not have a unique closest point on the boundary of M , while the set of all center points C holds only the center points of the circles that define the local length-scale $\hat{R}_B(M; x)$ for any point $x \in M$.

We define the maximum width $D_B(M)$ as twice the smallest scaling factor r such that M is a subset of its skeleton dilated by rB . That is,

$$D_B(M) = 2 \inf\{r > 0 \mid M \subset S \oplus rB = \mathcal{D}_{rB}(S)\}. \quad (19)$$

The factor 2 is included to account for that the thickness of a long bar is twice the radius of the largest ball that fits inside the bar. We remark that by using the maximum length-scale constraint proposed by Zhang et al. (2014) (More precisely, when we let parameter $\epsilon_2 \rightarrow 0$ in problem (3.1) in their article.), we get length-scale control according to maximum length-scale definition (19), but not necessarily according to maximum length-scale definition (5). (Recall that distance map d is not uniquely defined by expression (13).)

In the context when two bars cross at a right angle, recall Fig. 2, definition (19) results in a maximum width of 2, which is the same as the minimal thickness according to definition (17). However, in other cases, such as the one detailed below, maximum width definition (19) produces unintuitive results. Consider a sequence of domains M_n , where each domain is the union of the unit square and $4 \cdot 2^n$ balls of radius $r_n = 2^{-n-1}$ centered at $(0, a_k)$, $(1, a_k)$, $(a_k, 0)$, and $(a_k, 1)$ for $k = 1, 2, \dots, 2^n$ and where $a_k = (2k - 1)r_n$. The domains M_2 , M_3 , and M_4 are illustrated in Fig. 4. For domain M_n , the minimum length-scale following definition (4) is $R_B(M_n) = 2^{-n-1}$ and the maximum length-scale following definition (5) is $L_B(M_n) = 0.5$. (Recall that the side length of the unit square is 1, while the

Fig. 3 Illustration of the sets C and S (thick solid lines in the left and right image), see definitions (18) and (12), respectively. Here, M is the same as in Fig. 2; its boundary is illustrated by the thin dashed lines

Fig. 4 A sequence of domains obtained as the union of the unit square and balls of radius 1/4 (left) 1/8 (middle) and 1/16 (right) centered along the boundary of the unit square

radius of the unit ball is 1.) However, the maximum length-scale according to definition (19) is $D(M_n) = 2^{-n-1}$. Note that, the skeleton (illustrated by the black lines in Fig. 4) becomes increasingly dense in each step. In essence, the closest point from a point inside the unit square to the boundary of M_n is one of the points where the boundary of M_n intersects. In the limit $n \rightarrow \infty$, we have that $R_B(M_n) = D(M_n) \rightarrow 0$, while $L_B(M_n) = 0.5$ for all n . Moreover, the local thickness $\hat{T}$ at $(r_n + r_n/\sqrt{2}, -r_n/\sqrt{2})$ is $(2 + \sqrt{2})r_n$ and thus $T(M_n) \leq (2 + \sqrt{2})r_n \rightarrow 0$ as $n \rightarrow \infty$; so $T(M_n) \rightarrow 0$ as $n \rightarrow \infty$.

2.6 Discussion

Above, we have discussed various possibilities of quantifying the minimum and maximum length-scales of a domain M . To proceed towards our end-goal of imposing equal-width length-scale, we need to select which definitions to use. In this study, we use definition (17) for the minimum length-scale and definition (19) for the maximum length-scale. By using these definitions, we avoid the equal-width paradox discussed in Section 2.4. Therefore, we can use these definitions for the equal-width length-scale control without inconsistency. However, we may still arrive at the case illustrated in Fig. 4, where the maximum length behaves unintuitively. The problem is that, due to the wiggly boundary of the domain, the skeleton includes many lines that are located close together.

3 Problem formulation and design specification

Here, we study a standard test-problem, compliance minimization of a cantilever beam. We define our design domain Ω_D to be a rectangle with height H and length L as illustrated in Fig. 5. The structure is clamped at its left boundary Γ_D and subject to a traction force $\mathbf{f}$ over the right boundary Γ_L . Note that $\mathbf{f}$ does neither need to be constant nor non-zero over the full boundary Γ_L ; for each experiment below, we will specify the load condition. The material is isotropic

with Young's modulus 1 and Poisson's ratio 0.3. Below, we assume that material occupies the region $\Omega \subset \Omega_D$ (and that $\text{supp}\{\mathbf{f}\} \subset \partial\Omega$, which is Lipschitz). We let $\mathbf{u}$ denote the steady state displacement of the domain. The task is to minimize the compliance of the structure, that is to minimize the integral of $\mathbf{f} \cdot \mathbf{u}$ over Γ_L .

In the present study, we use the so-called Moving Morphable Components (MMC) approach by Guo et al. (2014). This method provides an explicit and geometric description of the topological designs. In principle, the domain occupied by material is composed of N_c components, that is, $\Omega = \bigcup_{n=1}^{N_c} \Omega_n$, where Ω_n denotes the region occupied by the n th component. In MMC, each component is described by its center position (x^c, y^c) , length $L > 0$, width (or thickness) $t > 0$, and orientation (directional sine) $\eta \in [-1, 1]$. That is, the domain Ω_n occupied by the n th component is parameterized by vector $\mathbf{d}_n = [x_n^c, y_n^c, L_n, t_n, \eta_n]^T$. Thus, to describe the layout of a structure comprising N_c components, we use a design vector $\mathbf{d} = [\mathbf{d}_1^T, \dots, \mathbf{d}_{N_c}^T]^T$.

The minimum compliance of the structure with the constraint on the volume of material can be formulated as:

$$\begin{aligned} \min_{\mathbf{d} \in \mathcal{U}_d} \quad & C(\mathbf{d}) \\ \text{subject to} \quad & V(\mathbf{d}) \leq \bar{V}, \end{aligned} \quad (20)$$

Fig. 5 The material region Ω is a subset of the rectangular shaped design domain Ω_D . The structure is fixed along the left wall Γ_D and a traction force is applied on the boundary segment Γ_L

where $\mathcal{U}_d$ is the set of feasible designs and $C(\mathbf{d})$ is evaluated as $\ell(\mathbf{u})$ for the displacements $\mathbf{u}$ that solve equilibrium equation, detailed below, for the domain defined by the design $\mathbf{d}$.

Instead of describing accurately the boundary of the components using X-FEM approach or adaptive meshing along boundary as proposed by Guo et al. (2014), we use a fictitious domain approach (also called ersatz material model) by adopting the MMC implementation by Zhang et al. (2016). That is, we use a fixed and regular finite element mesh, and the element stiffness is interpolated based on the values of the topological description functions (TDF) of the nodes of the corresponding element. There is one topological description function for each component, e.g., the region Ω_n occupied by the n th component can be described by the TDF ϕ_n , defined so that

$$\begin{cases} \phi_n(\mathbf{x}) > 0, & \text{if } \mathbf{x} \in \Omega_n, \\ \phi_n(\mathbf{x}) = 0, & \text{if } \mathbf{x} \in \partial\Omega_n, \\ \phi_n(\mathbf{x}) < 0, & \text{if } \mathbf{x} \in \Omega_D \setminus \Omega_n, \end{cases} \quad (21)$$

where $\mathbf{x}$ is an arbitrary point in the design domain Ω_D and $\partial\Omega_n$ is the boundary of Ω_n .

The structure is fixed at Γ_D ; thus, the set of admissible kinematic displacements are

$$\mathcal{U} = \left\{ \mathbf{u} \in H^1(\Omega_D)^2 \mid \mathbf{u}|_{\Gamma_D} \equiv 0 \right\}. \quad (22)$$

The state problem is to find the displacements $\mathbf{u} \in \mathcal{U}$ such that

$$a(\mathbf{u}, \mathbf{v}) = \ell(\mathbf{v}) \quad \forall \mathbf{v} \in \mathcal{U}. \quad (23)$$

Here, the bilinear form a and the linear form ℓ are defined as

$$\begin{aligned} a(\mathbf{u}, \mathbf{v}) &= \int_{\Omega} \boldsymbol{\epsilon}(\mathbf{u}) : \mathbf{E} : \boldsymbol{\epsilon}(\mathbf{v}) \, d\Omega, \\ \ell(\mathbf{v}) &= \int_{\Gamma_L} \mathbf{f} \cdot \mathbf{v} \, ds, \end{aligned} \quad (24)$$

where $\mathbf{E}$ is a constant fourth-order elasticity tensor corresponding to the given isotropic material, $\boldsymbol{\epsilon}(\mathbf{u})$ is the second order strain tensor of $\mathbf{u}$, the colon “:” denotes the contraction of two tensors.

To realize equal-width control with a pre-specified width t_{eq} , the upper and lower bounds of the design variables corresponding to component width must all be the same; that is, $t_n = t_{eq}$ for $n = 1, \dots, N_c$. However, this condition is not sufficient to guarantee that the full structure has equal structural width overall. Fig. 6 illustrates two such cases. Hence, to avoid partial overlapping beams as well as beams crossing at very small angles as well as nearly parallel beams, we choose to penalize such configurations as detailed in the next section.

4 Penalty formulation

As discussed above, simply ensuring that the width of all components is the same is not sufficient to ensure equal-width length-scale control. To obtain size control, we need to ensure that unwanted configurations, such as those illustrated in Figs. 4 and 6, are suppressed.

In the discussion in this section, we first consider two beams, both of width t_{eq} , parameterized by $\mathbf{d}_i$ and $\mathbf{d}_j$ as described above, respectively. That two beams partially overlap is by itself not a problem, since the crossing angle may be large. Similarly, the presence of two parallel beams is by itself unproblematic, as they may be non-overlapping. However, we would like to avoid any situation where two beams are nearly parallel and partially overlap, except if the overlap occurs close to both beams'. Thus, we penalize the product of a function depending on the angle difference between the two beams and another function that depends on the minimum distance between the two beams. Below, we detail how we have selected these functions for the current application.

Fig. 6 Two cases where all components have the same thickness, but the overall structure has a larger maximal length-scale. Case 1 (top): Three parallel beams. Case 2 (bottom): Ten beams of varying length and rotation. Left: Each translucent rectangle represents a component. Right: The union of components on the left

4.1 Penalty for angle difference

We let $\theta_i = \arcsin \eta_i$ and $\theta_j = \arcsin \eta_j$; recall that η_i and η_j are the variables that determine the direction of the i th and j th component of Ω , respectively. First, let us start by considering the penalty with respect to angle difference. First, we want this penalty to be symmetric in that the numbering of the two beams should not matter, that is, only the absolute angle difference should matter. To avoid the non-differentiability of the absolute values, we use a penalty function that is based on the squared angle difference. More precisely, we let the penalty function depend on the approximate angle difference

$$\alpha(\theta_i, \theta_j) = \left(\sqrt{(\theta_i - \theta_j)^2 + \varepsilon^2} - \varepsilon \right) \frac{\pi}{\sqrt{\pi^2 + \varepsilon^2} - \varepsilon}, \quad (25)$$

where ε is a small positive regularization parameter. The function α is differentiable in θ_i and θ_j and approximates $|\theta_i - \theta_j|$ with a maximum error dictated by the regularization parameter ε . Moreover, we want the penalty function to have its maximum value when the bars are parallel, that is, when $\alpha(\theta_i, \theta_j) = 0$ and its minimum for $\alpha(\theta_i, \theta_j) = \pi/2$ and we require that this function be symmetric around $\pi/2$. One example of such a function, which we use in our numerical experiments, is

$$p_a(\theta_i, \theta_j) = \frac{1}{2} + \frac{1}{2} \sin \left(\frac{\pi}{2} \cos(2\alpha(\theta_i, \theta_j)) \right). \quad (26)$$

There are many choices of possible penalty function that satisfy the above conditions as well as smooth approximations of the absolute value function. The functions used here are merely used to serve as a proof of concept for the proposed approach to obtain equal-width length-scale control. Figure 7 graphs the angle penalty p_a and its exponentiation $(p_a)^q$ with power $q = 6$ as functions of the absolute angle difference $|\theta_i - \theta_j|$. Here, as well as in the numerical experiments, we use $\varepsilon = 10^{-4}$ to compute $\alpha(\theta_i, \theta_j)$ following definition (25). Figure 7 shows the p_a and p_a^6 as functions of the absolute angle difference $|\theta_i - \theta_j|$ for two crossing bars. The angle penalty is scaled so that it is 1 when the bars

Fig. 7 Penalty function p_a versus absolute angle difference $|\theta_i - \theta_j|$ for parameter $\varepsilon = 10^{-4}$

are parallel, or fully overlapping, while the angle penalty is negligible when the crossing angle is close to 90 degrees. For the basic angle penalty, p_a the amount of penalization is small only for a small range of angles around 90 degrees, and as a consequence, many of the bars that cross in the optimized designs will do so with a crossing angle around 90 degrees. By using the exponentiation $(p_a)^q$, for example, with power $q = 6$, as shown in Fig. 7, the angle penalty will have relatively small value in a wider range around 90 degrees. Thus, by using p_a^6 instead of p_a , bars may cross in a large range of angles with only a minor influence on the value of the angle penalty. In a practical situation, it is likely that one wishes to have more control, for example, be able to select at which angle the penalization “starts”. To obtain such control, one can use some projection-based technique, such techniques have been successfully used in density-based topology optimization (Xu et al. 2010; Wang et al. 2011).

4.2 Penalty for inter-component distance

We start by noticing that each point on the centerline of beam i can be written on the form $p_i(s_i) := (x_i^c, y_i^c) + s_i(\cos \theta_i, \eta_i)$, where s_i is a parameter satisfying $|s_i| \leq l_i/2$. Here, we are interested in computing the distance between the two beams. To do so, we study the minimum distance between a point on the centerline of beam i and a point on beam j ’s centerline. In principle, if this distance is larger than t_{eq} , the beams do not overlap. However, the beams need not overlap if the distance is smaller, for example, if the end-points of the two-beams are the points of minimum distance. However, if we only consider points $p_i(s_i) := (x_i^c, y_i^c) + s_i(\cos \theta_i, \eta_i)$ with $|s_i| \leq q_i = (l_i - w_{sp})/2$, then the two beams will (at least partially) overlap if the minimum distance between their centerlines’ mid-sections is smaller than t_{eq} . The symbol w_{sp} is defined as the predetermined space along the centerlines at the two ends of the beam. In cases where the length of the component is very short, that is if l_i is smaller than w_{sp} , then we will consider all points along the centerline by setting $q_i = l_i/2$. Thus, we compute

$$\beta(\mathbf{d}_i, \mathbf{d}_j)^2 = \min_{\substack{s_i \in [-q_i, q_i] \\ s_j \in [-q_j, q_j]}} \|p_i(s_i) - p_j(s_j)\|_2^2. \quad (27)$$

Next, we expand the objective function above to find that

$$\begin{aligned} & \|p_i(s_i) - p_j(s_j)\|_2^2 \\ &= [s_i \ s_j] \begin{bmatrix} 1 & -\cos(\theta_i - \theta_j) \\ -\cos(\theta_i - \theta_j) & 1 \end{bmatrix} \begin{bmatrix} s_i \\ s_j \end{bmatrix} + \\ & 2[s_i \ s_j] \begin{bmatrix} (x_i^c - x_j^c) \cos \theta_i + (y_i^c - y_j^c) \sin \theta_i \\ (x_j^c - x_i^c) \cos \theta_j + (y_j^c - y_i^c) \sin \theta_j \end{bmatrix} + \\ & \|p_i(0) - p_j(0)\|_2^2. \end{aligned} \quad (28)$$

So, evaluating the minimum distance between the two segments boils down to solving a quadratic programming problem. If the lines are not parallel, this problem has a unique solution that can be computed very quickly, for example, by using an active set algorithm. When evaluating derivatives, we can capitalize on that the same constraints will be active in the perturbed case as in the original when considering sufficiently small perturbations. (In some cases, we may need to settle for a one-sided difference.) Note that, if no constraints are active, then the segments cross and the distance between them is zero—after any small perturbation, the segments will still cross and thus the gradient will be zero.

On the other hand, if the lines are parallel, the quadratic problem may no longer have a unique solution. The case when the problem does not have a unique solution is the worst possible case; in this case, the two centerline segments are parallel and there are multiple pairs of closest points between these segments. In this case, one could argue that the gradient with respect to the length as well as rotation of the segments can be considered to be 0.

We note that if the distance between centerlines is greater than the imposed component thickness, then the segments do not overlap. Here, to also penalize configurations with nearly overlapping components, we use the following tanh inspired penalty function:

$$p_d(\mathbf{d}_i, \mathbf{d}_j) = \frac{1}{2} + \frac{1}{2} \tanh \left(k \frac{w - \beta(\mathbf{d}_i, \mathbf{d}_j)}{w} \right), \quad (29)$$

where k is a slope parameter. Figure 8 shows penalty function p_d as a function of inter-component centerline distance β for three values of parameter k . In the numerical experiments, we use $k = 5$.

4.3 Summing up the penalties

As mentioned already at the beginning of this section, we would like to penalize structures that include beams that

Fig. 8 Penalty function p_d versus inter-component centerline distance β for parameter $k = 1$ (dotted), 3 (dashed), and 5 (solid)

are nearly parallel and partially overlap. To do so, we form a total penalty function P by summing the product of the angle penalty and distance penalty for all pairs of beams in the structure; that is,

$$P(\mathbf{d}) = \sum_{i=1}^{N_c-1} \sum_{j=i+1}^{N_c} (p_a(\theta_i, \theta_j))^q p_d(\mathbf{d}_i, \mathbf{d}_j). \quad (30)$$

We add a scaled version of this total penalty function to the objective function and obtain our penalized optimization problem:

$$\min_{\mathbf{d} \in \mathcal{U}_d} C(\mathbf{d}) + \gamma P(\mathbf{d}) \quad (31)$$

$$\text{subject to } V(\mathbf{d}) \leq \bar{V}, \quad (32)$$

where γ is a penalty parameter.

5 Numerical experiments

5.1 Center loading

Here, the design domain Ω_D , illustrated in Fig. 5, has length $L = 1.5$, height $H = 1$, and is meshed into 300×200 elements. A concentrated traction force is applied at the center of Γ_L and at most 40 % of the design domain is allowed to be filled with material.

We first solve the problem without considering our end-goal of obtaining a structure with equal-width control. More precisely, we solve problem (31) with parameter $\gamma = 0$ to find a design with minimum compliance by the basic MMC method with the lower and upper thickness bounds set to 0.02 and 0.1, respectively. For all runs with central loading, a symmetry condition on the design along the mid-line in the horizontal direction is enforced throughout the optimization.

The initial layout, illustrated in Fig. 9, consists of 24 components: 4×3 crosses uniformly spaced in the design domain. Figure 10 shows the optimized design from this experiment. The compliance of the optimized beam is 40.00. As a second reference study, we set the lower and upper bounds for the thickness to $t_{eq} = 0.08$, but we do not include any penalty to promote design with components of equal-width, so $\gamma = 0$ also in this experiment. The compliance of the optimized beam shown in Fig. 11 is 40.90. These reference designs in Figs. 10 and 11 do not have the desired equal-width length-scale control. Each component in the design in Fig. 11 is restricted to have a specified thickness. However, the complete designs include multiple components that are nearly parallel and partially overlap; so, the full structure has a maximum width that is much larger than t_{eq} . For parameter $q = 1$, the values of total penalty function (30) for the designs in Fig. 10 and Fig. 11

Fig. 9 The initial layout of the components for the experiments with center loading

are 15.67 and 22.61, respectively; the corresponding values for parameter $q = 6$ are 12.78 and 14.08, respectively.

Having established our reference solutions, we turn to the problem of obtaining a design with equal-width length-scale control. To that aim, we solve optimization problem (31) by MMC, impose lower and upper bounds for the thickness by setting $t_{eq} = 0.08$, and use a so-called continuation strategy to increase penalty parameter γ and gradually increase γ from 0 to 60 during the optimization. The space w_{sp} is set to 0.01; and the distance w used to define distance penalty p_d is set to 0.2. Figures 12 and 13 show the optimized designs obtained when using total penalty (30) with parameter $q = 1$ and $q = 6$, respectively. The compliance corresponding to the optimized designs in Figs. 12 and 13 is 81.16 and 55.22, respectively.

From Figs. 12 and 13, it can be seen that all bars have the uniform width and no obvious partially overlapped or paralleled bars exist, which is also reflected by the relatively small value of the total penalty. More precisely, the total

Fig. 10 Cantilever beam optimized without equal-width length-scale control using the layout from Fig. 9 as initial design

Fig. 11 Cantilever beam optimized with an imposed width of all individual components, but without equal-width length-scale control. The layout from Fig. 9 was used as initial design for the optimization

penalty P is 0.22 and 0.02 for the results in Figs. 12 and 13, respectively. Note that the total penalty values above are computed with $q = 1$ and $q = 6$, respectively, so they are not directly comparable to each other. However, these numbers can be directly compared to and are magnitudes smaller than the corresponding value for the optimized designs (Figs. 10 and 11) for the unpenalized problem.

Next, we investigate the influence of the initial guess for the MMC on the final result. More precisely, we study the same problem as above, with the load at the right-center point; but instead of starting from the design in Fig. 9, we start from the design in Fig. 14. This design consists of 20 components: 2×5 crosses uniformly spaced in the design domain. Also, in this case, we first solve the problem by MMC with the lower and upper thickness bounds of the individual components set to 0.02 and 0.1, respectively. The optimized design without equal-width control is shown in Fig. 15 and its compliance is 43.51. By just changing

Fig. 12 The optimized design with the equal length control $t_{eq} = 0.08$ —optimized compliance 81.16 and total penalty 0.22, where the total penalty function is applied and computed using the angle penalty p_a

Fig. 13 The optimized design with the equal length control $t_{eq} = 0.08$ —optimized compliance 55.22 and total penalty 0.02, where the total penalty function is applied and computed using the angle penalty p_a^6

the lower and upper thickness bounds of the individual components to $t_{eq} = 0.08$, we obtain an optimized design that is similar in structure to the design presented in Fig. 15 and has compliance 44.81.

Figures 16 and 17 show the optimized designs obtained when using the layout in Fig. 14 as an initial guess; total penalty (30) with parameter $q = 1$ and $q = 6$, respectively; $w_{sp} = 0.01$; $w = 0.2$; and a continuation approach gradually increasing penalty parameter γ from 0 to 60. The compliances corresponding to the optimized designs in Figs. 16 and 17 are 84.95 and 52.62, respectively. For the optimized beams in Figs. 16 and 17, all bars have the same width and there are no obvious partially overlapping or parallel bars.

5.2 Corner loading

As our second experiment, we aim for equal-width length-scale control while minimizing the compliance of a cantilever beam loaded by a concentrated traction force applied at the lower right corner of the computational

Fig. 14 Alternative initial layout of the components for the experiments with center loading

Fig. 15 Cantilever beam optimized by MMC without equal-width length-scale control using the layout from Fig. 14 as initial design

domain. Here, the design domain Ω_D , illustrated in Fig. 5, has length $L = 1.0$ and height $H = 0.5$, and is meshed into 200×100 elements. The maximum allowable volume fraction is set to 40 %, that is, $\bar{V} = 0.4|\Omega_D|$. The initial design is shown in Fig. 18 and consists of 12 components: 2×3 partially overlapping crosses.

Also, in this case, we present reference results obtained without equal-width control. Just as in the previous experiments, we first solve the problem of finding a design with minimum compliance with the lower and upper thickness bounds set to 0.04 and with penalty parameter $\gamma = 0$ throughout the optimization. Figure 19 shows final design, whose compliance is 89.02. Similarly as in the experiments for the center loading, simply setting the lower and upper thickness bounds to t_{eq} only yields minor changes in the overall layout for the optimized beam.

Next, we consider the penalized problem. Figures 20 and 21 show the optimized designs obtained when using the layout in Fig. 18 as initial guess; total penalty (30) with

Fig. 16 The optimized design with the equal length control $t_{eq} = 0.08$ —optimized compliance 84.95 and total penalty 2.29, where the total penalty function is applied and computed using the angle penalty p_a

Fig. 17 The optimized design with the equal length control $t_{eq} = 0.08$ —optimized compliance 52.62 and total penalty 0.02, where the total penalty function is applied and computed using the angle penalty p_a^6 .

parameter $q = 1$ and $q = 6$, respectively; $w_{sp} = 0.01$; $w = 0.1$; and gradually increasing penalty parameter γ from 0 to 20. The compliance corresponding to these optimized designs is 112.76 and 106.74, respectively.

Next, we try to optimize the beam with corner loading and setting the upper and lower thickness bounds to 0.02. That is, the bars have half the width compared to the earlier experiments. We mesh the design domain Ω_D of length $L = 1.0$ height $H = 0.5$ by 300×150 elements and set the maximum volume $\bar{V} = 0.3|\Omega_D|$. The initial design for this test case is shown in Fig. 22 and consists of 16 components: 2×4 partially overlapping crosses. We solve problem (31) using total penalty (30) with parameters $q = 1$, $w_{sp} = 0.01$, $w = 0.1$, and gradually increasing penalty parameter γ from 0 to 20. Figure 23 shows the final design, which has compliance 154.91 and total penalty 2.69.

5.3 Other boundary conditions

In our final experiment, we use another set of boundary conditions. Here, we consider the setup illustrated in

Fig. 18 The initial layout of the components for the experiments with corner loading.

Fig. 19 Cantilever beam optimized without equal-width length-scale control using the layout from Fig. 18 as initial design

Fig. 24. The design domain is rectangular and has length $L = 1.0$ and height $H = 0.5$ and is just as in the initial experiments for the corner loading meshed into 200×100 elements. The structure is fixed at the lower left and right corners of the design domain and is subject to a uniform distributed load over the middle 50 % of the design domain.

We use the layout in Fig. 25 as initial guess. Figures 26 and 27 show optimized beams obtained when using total penalty (30) with parameter $q = 1$ and $q = 6$, respectively; $w_{sp} = 0.01$; $w = 0.1$; and gradually increasing penalty parameter γ from 0 to 3. Here, the initial design consists of 15 bars, one horizontal bar placed so that the distributed force is applied over its top side; the remaining bars are placed in a pattern with four plus three crosses on two rows. The compliance corresponding to these optimized designs is 9.996 and 11.36, respectively.

Figure 28 shows the iteration history for the optimization process that resulted in the design in Fig. 27. The scaling factor for the penalty is chosen so that both functions are of the same order. Missing data for the compliance graph corresponds to iterations where the compliance is greater than 25.

Fig. 20 Optimized design for the corner loading with the equal length control $t_{eq} = 0.04$ —optimized compliance 112.76 and total penalty 1.09, where the total penalty function is applied and computed using the angle penalty p_a

Fig. 21 Optimized design for the corner loading with the equal length control $t_{eq} = 0.04$ —optimized compliance 106.74 and total penalty 0.06, where the total penalty function is applied and computed using the angle penalty p_a^6

Fig. 22 The initial layout of the components for the experiments with corner loading and thinner components

Fig. 23 Optimized design for the corner loading with the equal length control $t_{eq} = 0.02$ —optimized compliance 154.91 and total penalty 2.69, where the total penalty function is applied and computed using the angle penalty p_a

Fig. 24 For the final set of experiments, the structure is fixed at the lower left and right corners Γ_D and a distributed vertical traction force is applied on the boundary segment Γ_L occupying 50 % of the computational domain's upper side

Fig. 25 Initial design for the runs where the load is distributed over the middle 50 % of the design domain's upper side

Fig. 26 Optimized design for the distributed top loaded case equal length control $t_{eq} = 0.04$ —optimized compliance 9.996 and total penalty 1.07, where the total penalty function is applied and computed using the angle penalty p_a

Fig. 27 Optimized design for the distributed top loaded case equal length control $t_{eq} = 0.04$ —optimized compliance 11.36 and total penalty 0.08, where the total penalty function is applied and computed using the angle penalty p_a^6

6 Concluding discussion

Without any additional treatment, constraining the lower and upper bounds of the components' widths in the Moving Morphable Components (MMC) approach is not sufficient to get a resulting design that satisfies the desired length-scale constraints. This is due to that the components comprising the design may cross at very small angles as well as overlap. In this study, we added a penalty function that considers the angle difference and the distance between components to the optimization in order to promote designs with desired properties. By using a penalized optimization formulation, equal-width length-scale control has been successfully realized in several numerical examples with different loading cases.

To obtain good results with equal-width length-scale control, penalty parameter γ should be increased gradually. For example, for the results in Fig. 27, γ is zero until iteration 50. At iteration 50, γ is set to 0.025 and is then increased by 0.0005 per iteration during iterations 51–149. At iteration 150, γ is set to 0.15 and is then increased by 0.001 per iteration during iterations 151–299. During iterations 300–499, $\gamma = 2$; and after iteration 500, $\gamma = 3$. Our numerical experiments suggest that the compliance should be

Fig. 28 Iteration history illustrating how the compliance $C(d)$, the total penalty $P(d)$, as well as penalty parameter γ change during the optimization process that resulted in the design in Fig. 27

significantly larger than γ times the total penalty function at the beginning of the optimization. This ensures that the compliance drives the evolution of the design at the beginning of the optimization process. For the experiments in this paper, it is possible to keep the magnitude of the compliance larger than γ times the total penalty function throughout the optimization. Increasing the penalty parameter too fast, so that it dominates the objective function, may drive the design into an, from compliance minimization viewpoint, undesirable state. On the other hand, if the penalty contribution to the objective function is too small, then nearly parallel components will not be properly separated.

The effects of the imposed equal-width, the minimum distance between components, and the initial guesses on the optimization results are compared and discussed. Moreover, we tested two different powers, $q = 1$ and $q = 6$, for the angle penalty $(p_a)^q$. For $q = 1$, the penalty function drives bars to cross at an angle close to 90 degrees. Promoting bars to cross at a right angle may conflict with the aim of minimizing the compliance; this may also result in slow convergence and an “oscillatory” behavior of the intermediate designs. For $q = 6$, the penalty function is relatively small over a wider angle-interval; and the resulting designs have bars crossing at a larger variety of angles. Overall, in our numerical experiments, the choice $q = 6$ typically yielded better designs with a smaller value of the objective function as compared to the results obtained with $q = 1$. The settings for penalty parameter γ in problem (31) may have a large influence on the progress of the optimization and hence the optimized design. Here, we used a continuation approach, to gradually increase the amount of penalty applied during the optimization.

We remark that the figures showing the optimized designs show the layout of the components exactly as the MMC parameterization specifies. Some of these design includes imperfect joints, and in particular components that are nearly or only weakly connected; see, for example, Figs. 13 and 23. However, components that do not overlap may partially overlap one or more elements and thus be considered as connected from the finite element viewpoint as the ersatz material model only considers the relative area included for each element in the mesh. The incomplete connection among components could be improved by introducing a joint connection constraint for moving morphable components; see, for example, the work by Hoang and Jang (2017) who introduced masks to realize versatile thickness control and prevent overlap of components. One possible disadvantage of the penalized formulation is that the objective function may be dominated by the penalty term, which might result in that the optimized design deviates somewhat from the result of compliance minimization, for example, some hanging parts are present in Figs. 20 and 21. Another drawback of the proposed

method is that the number of terms included in penalty formulation (30) grows with the number of component pairs, that is as $O(N_c^2)$. Hence, the problem of obtaining equal-width length-scale control with a very large number of MMCs and very thin size is still very challenging due to large analysis cost and complexity of optimization.

Equal-width length-scale control is realized in the MMC framework, but a similar implementation may be realized in some other optimization parameterization. The designs obtained by MMC combined with our newly proposed penalty depend on the initial layout of components, which is expected since the designs obtained by the basic MMC also depend on the initial component layout. Moreover, as discussed earlier, the final design also depends on how the penalty parameter is adjusted during the optimization. Some possible extensions include to consider the design with multi-material, such as to use the ordered multi-material interpolation (Zuo and Saitou 2017) and consider the strength at the intersection.

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