

Bo Zhu Zhiqiang Li Li Jiang Bin Du Qi Jiang Meiping Wang Ran Lou Xiuming Xi ()

Effect of a quality improvement program on weaning from mechanical ventilation: a cluster randomized trial

Received: 28 March 2015 Accepted: 29 June 2015 Published online: 9 July 2015 © Springer-Verlag Berlin Heidelberg and ESICM 2015

Take-home message: A quality improvement program as described here is an important measure in introducing an evidence-based mechanical ventilation weaning protocol into the practice setting. A multifaceted quality improvement intervention can be associated with significant improvements in clinical outcomes and hospital utilization.

B. Zhu and Z. Li equally contributed to this work.

Electronic supplementary material The online version of this article (doi:10.1007/s00134-015-3958-z) contains supplementary material, which is available to authorized users.

B. Zhu · L. Jiang · Q. Jiang · M. Wang ·
R. Lou · X. Xi (⊠)
Department of Critical Care Medicine, Fu
Xing Hospital, Capital Medical University,
No. 20 Fuxingmenwai Street, Xicheng
District, Beijing 100038, China
e-mail: xxm2937@sina.com
Tel.: 086 113801244610

B. Zhu e-mail: zhubo123123@sina.com

L. Jiang e-mail: jiangli@sina.com Q. Jiang e-mail: jiangqi7676@sina.com

M. Wang e-mail: xiaolajiao001326@126.com

R. Lou e-mail: springin12@sina.com

Z. Li

Department of Critical Care Medicine, Hebei United University Affiliated Hospital, Tangshan, China e-mail: lzq671@sina.com

B. Du

Medical Intensive Care Unit, Peking Union Medical College Hospital, Beijing, China e-mail: dubin98@gmail.com

Abstract *Purpose:* To evaluate the efficacy of a quality improvement (QI) program for protocol-directed weaning from mechanical ventilation. *Methods:* This was a prospective, cluster randomized controlled trial. The study consisted of a baseline phase and a QI phase. Fourteen intensive care units (ICUs) in Beijing, China, were randomized into the QI group and non-QI group. The QI group received a QI program to improve the compliance with protocol-directed weaning during the QI phase. *Results:* A total of 444 patients were enrolled in the non-QI group (193 for the baseline, 251 for

the OI phase) and 440 in the OI group (199 for the baseline, 241 for the OI phase). During the QI phase in the QI group, compared with the non-QI group, total duration of mechanical ventilation decreased from 7.0 to 3.0 days (p = 0.003), the time before the first weaning attempt decreased from 3.63 to 1.96 days (p = 0.003), length of ICU stay decreased from 10.0 to 6.0 days (p = 0.004), length of hospital stay decreased from 23.0 to 19.0 days (p < 0.001). These differences were also significant in the QI group when the QI phase was compared with the baseline phase. In addition, there was a significant reduction in the percentage of mechanical ventilation exceeding 21 days (p = 0.001) when the baseline phase was compared with the OI phase in the QI group. Conclu*sions:* The QI program involving protocol-directed weaning is associated with beneficial clinical outcomes in mechanically ventilated patients.

Keywords Mechanical ventilation · Ventilator weaning · Quality improvement · Critical care · Intensive care units ·

Randomized controlled trial

Introduction

Mechanical ventilation is invasive, expensive, and associated with potentially serious complications [1-4]. Hence, it is a high priority to identify strategies that reduce the duration of mechanical ventilation. Plenty of evidence has suggested that protocol-directed weaning should be an effective strategy and should be implemented for managing mechanical ventilation [1, 5]. In fact, although the use of weaning protocols has been recommended in clinical guidelines [6-8], the practical application of these protocols was often delayed and may not be utilized fully, if at all. Ely et al. [9] reported that after completion of several educational sessions for healthcare workers before implementation of protocoldirected weaning, full compliance was initially 10 % and only improved to 30 % with additional educational sessions. Li et al. [10] conducted a questionnaire in intensive care unit (ICU) staff from tertiary hospitals in 30 provinces and municipalities of China, finding that only 40.9 % performed a spontaneous breathing trial (SBT) before weaning, while the proportion of those that did not know, never performed, or occasionally performed an SBT was 13.4, 12.8, and 25.4 %, respectively. Hence, improving the translation of research findings into clinical practice, and thereby improving patient outcomes, presents many challenges.

Quality improvement (QI) is defined here as systematic, data-guided activities designed to bring about immediate improvements in healthcare delivery in particular settings [11]. Several randomized controlled trials have revealed that QI intervention could improve the processes [12–16] and outcomes [17, 18] of caring for critically ill patients. Although the same positive outcomes were found in mechanically ventilated patients in previous studies [19-22], those were all based on nonrandomized trials with historical controls. The design might overestimate the effect of the intervention and may not be sufficient to establish a causal relationship between the interventions and the beneficial outcomes. The purpose of our study was to conduct a cluster randomized controlled trial to examine the effect on clinical outcomes of implementing a QI program aiming to improve the compliance with protocol-directed weaning.

Methods

Ethical approval

Ethics approval was obtained from Fuxing Hospital, Capital Medical University (FXHEC-KY2011038) and all participating sites. The need for informed consent was waived on the basis that the intervention was a quality improvement initiative.

ICUs and participants

Fourteen tertiary teaching hospital ICUs were recruited by direct invitation to participate in the study. They were all intensivist-run closed ICUs and had full-time intensivists and 24-h coverage. Most intensivists remained in the ICUs for their entire working hours, and 2–3 of them stay overnight. The intensivists attended structured twice-daily bedside rounds that last about 2 h. The operation of mechanical ventilators can be implemented by junior intensivists, but the decision about weaning and extubation was mainly made by senior intensivists.

Eligible patients were those admitted to the ICUs between October 2012 and October 2013 who required mechanical ventilation for greater than 24 h. The criteria for exclusion were patients transferred from other facilities who had already been intubated, dependence on mechanical ventilation for at least 2 weeks before enrollment, death within 24 h after ICU admission, Glasgow coma scale lower than 7, and enrollment in other studies that controlled weaning.

Baseline phase

The study was divided into two phases as shown in Fig. 1. During the baseline phase (8 October 2012 to 7 April 2013), data were collected in each participating ICU for 6 months as part of the baseline assessment. Before study initiation, a data collector was selected from each ICU to receive training in data collection. Patients' data, such as demographic characteristics, diagnoses, underlying diseases, mechanical ventilation settings, and outcome measures, were recorded using the standardized paper forms. It was specified not to implement management changes related to the study targets during the baseline observational period.

Randomization

A statistician who had no other involvement in the study allocated the ICUs to the QI group or non-QI group using a computer-generated randomization scheme. The coordinating center informed participating ICUs at the end of the baseline phase of their randomized allocation. As a result of the nature of the intervention, it was not possible to blind participants or those involved in providing the strategy. The study protocol is available from the Chinese clinical trials registry at www.chictr.org/cn/ (clinical trial number ChiCTR-TRC-00000532).

Weaning program development

The coordinating center and co-investigators from intervention ICUs participated in a 1-day weaning protocol **Fig. 1** Diagram showing flow of patients recruited. *QI* quality improvement, *ICU* intensive care unit, *MV* mechanical ventilation, *GCS* Glasgow coma scale



consensus conference in April 2013. The weaning protocol implemented in this study was developed according to the guidelines of mechanical ventilation in China [8] (Table 1).

QI interventions

The main elements of the QI program were delivered in the QI group during the QI phase (8 April 2013 to 7 October 2013) during which each center continued to collect data. The non-QI group did not receive any QI implication at any stage.

The QI program combined a series of evidence-based QI components—educational outreach visits [23], academic detailing [24], audit and feedback [25], and

reminders [26, 27]—to facilitate the translation into practice of the protocol-directed weaning program.

At each intervention ICU, the project's chief investigator provided the relevant ICU staff with a formal educational session immediately before implementing the QI program to describe the study design, the necessity for QI, and detailed steps of how to implement the weaning protocol. The following educational outreach visit after 3 months was performed by the same chief investigator to increase trust in data quality, to identify potential deficiencies, and to optimize compliance with the weaning protocol. The coordinating center was responsible for monthly site inspections and audits of data collection at each ICU. Moreover, staff compliance with the weaning protocol was evaluated by reviewing 2–3 mechanical

Table 1 The weaning program implemented in this study

Screen 1: daily screening

All patients receiving mechanical ventilation should be assessed by using the following criteria from 0700 to 1700 hours every day 1. Resolution of the underlying cause for respiratory failure

2. Adequate cough

3. Adequate oxygenation: PaO₂/FiO₂ ratio >150; PEEP \leq 5 cmH₂O; FiO₂ \leq 0.4; and pH \geq 7.25 (COPD patients: pH \geq 7.30, PaO₂ >50 mmHg, FiO₂ \leq 0.35)

4. Hemodynamic stability: the absence of active myocardial ischemia; and the absence of clinically significant hypotension

(SBP >90 mmHg requiring no vasopressor or with only low-dose vasopressor such as dopamine or dobutamine, <5 µg/kg/min)

5. The capability to trigger the ventilator

If the patient meets all of the above criteria, progress to Screen 2

If the patient does not meet at least one of the above criteria, continue to ventilate the patient with the prior ventilator settings. Next day, the patients should be assessed again according to the above criteria

Screen 2: 3-min CPAP trial

Place the patient on CPAP at 5 cmH₂O, with prior FiO₂ level for 3 min

1. 8 bpm < RR < 35 bpm

2. Tidal volume >4 mL/kg

3. SpO₂ >90 %

4. $\hat{HR} < 140$ bpm or HR not changed >20 % or no new arrhythmias

If the patient meets all of the above criteria, progress to Screen 3

If the patient does not meet at least one of the above criteria, the patient was returned to the prior ventilator settings. Next day, the patients should be assessed again according to Screen 1 criteria

Screen 3: spontaneous breathing test, SBT

1. SpO₂ >90 %

2. RR <35 bpm or RR not changed >50 %

3. HR <140 bpm or HR not changed >20 % or no new arrhythmias

4. SBP <180 mmHg and >90 mmHg; BP not changed >20 %, no vasopressors required

5. No change in mental status (e.g., somnolence, coma, agitation, anxiety)

6. No respiratory distress (e.g., use of accessory muscle, abdominal paradox, diaphoresis)

Screen 3a: if the patient is ventilated <72 h, T-piece 3-8 LPM was suggested for 120 min

If the patient meets all of the above criteria, the intubation will be extubated

If the patient does not meet any of the above criteria, then the patient is returned to their prior ventilator settings. Next day, the patient should be assessed again according to Screen 1 criteria

Screen 3b: if the patient is ventilated \geq 72 h or with severe heart and lung disease or failed T-piece SBT, PSV mode (PS at 8 cmH₂O and PEEP 5 cmH₂O) was suggested for 120 min

If the patient meets all of the above criteria, the patient will be extubated

If the patient does not meet any of above criteria, then add PS level to maintain RR within range 25–35 bpm and check the cause of failure. PS level can be reduced by 1–2 cmH₂O every 2 h. Next day, the patients should be assessed again according to Screen 1 criteria

A junior intensivist can make the actual decision about weaning and extubation if the patient meets the corresponding criteria, without the permission of senior intensivist

CPAP continuous positive airway pressure, *PEEP* positive end-expiratory pressure, *PS* pressure support, *PSV* pressure support ventilation, *RR* respiratory rate, *SBP* systolic blood pressure, *HR* heart rate, SpO_2 oxygen saturation

ventilation documentation forms and progress notes during the QI phase for the QI group.

Each intervention ICU was asked to set up a local QI team involving a senior intensivist and a junior intensivist and to nominate the senior intensivist as the local opinion leader who took charge of change initiatives [28, 29]. The local opinion leader learned the content of the weaning protocol and was provided with supporting documents and educational tools. Afterwards, he/she disseminated the information to their colleagues in the ICU. The local opinion leader was instructed to organize at least one monthly interactive workshop among the involved ICU staff to assess the compliance with the weaning protocol, discuss the strengths and weaknesses in the current practice, and formulate strategies to improve practice. In addition, one-on-one meetings were held on request to provide opportunities for discussing any staff members who were reluctant to adopt the

weaning protocol and for encouraging changes by providing scientific evidence.

Active reminders were made by the local leader who monitored compliance with the protocol on structured rounds and convinced his/her colleagues to follow the weaning protocol. As passive reminders, each intervention unit received a color poster that summarized the key steps of the weaning protocol and this was posted in hightraffic areas, by the patients' bedside, and next to each ICU computer station. Pocket cards were also given to the intensivists.

Outcome measures

The primary outcome was the total duration of mechanical ventilation. Secondary outcomes included the time before the first weaning attempt (the first weaning attempt might be performed by standard SBT; if not, it might be performed by different weaning methods as ordered by the physicians, namely non-protocolized weaning), weaning time (defined as the time from the first weaning attempt to successful discontinuation of mechanical ventilation), and the length of stay in ICU and hospital, ICU mortality, hospital mortality, and 60-day mortality, and the frequency of complications (e.g., re-intubation, selfextubation, tracheotomy, and mechanical ventilation for ≥ 21 days).

Sample size and statistical analysis

An individually randomized trial would require 228 patients to detect a 1-day difference in total duration of mechanical ventilation at a 5 % significance level with 80 % power. Assuming an intra-cluster correlation coefficient of 0.06 (based on data from the pilot study) and 14 centers recruiting an average of 40 patients resulted in the sample size being inflated to 761. Accounting for a 15 % loss to follow-up resulted in a target of 876 recruited patients.

Data were presented as counts (percentages) for categorical variables, means (standard deviations) for normally distributed continuous variables, or medians (interquartile ranges) for other continuous variables. Baseline balance of proportions was assessed using the Chi-square test or Fisher's exact test, and continuous variables were assessed using two-tailed t tests or the Mann–Whitney U test, as appropriate. A two-tailed p value less than 0.25 was accepted to denote the presence of important confounding in baseline variables [30] which were adjusted using a multivariate model. The statistical analysis of outcome measures was done using generalized linear mixed methods with random effects to account for the cluster effect and confounding variables. All continuous outcome variables were positively skewed and were logarithmically transformed as target variables. Kaplan-Meier survival analyses were used to assess the probability of successful weaning over time. Cox proportional hazards modeling was used to assess differences between groups or phases after adjustment for baseline variables. The 238 patients who died during the study period were classified as censored cases as these patients had not undergone weaning from mechanical ventilation. A twotailed p value of 0.05 or less was accepted to be statistically significant for the final analysis.

Results

A total of 884 patients were enrolled from 14 ICUs (7 in the QI group and 7 in the non-QI group). Among the

participants, 440 were in the OI group (199 for the baseline phase, 241 for the QI phase) and 444 were in the non-QI group (193 for the baseline phase, 251 for the QI phase). A flowchart of patients screened is shown in Fig. 1. Baseline characteristics of the ICUs are described in Electronic Supplementary Material (ESM) 1. There was no crossover of patients, nurses, or physicians among the participating ICUs. The staffing pattern had no change before and after the intervention. Baseline characteristics of the patients are shown in Table 2. During the baseline phase, the non-OI group had more cardiovascular patients as compared with the QI group (52.8 vs. 43.2 %, p = 0.056) and the percentage of long-term dialysis patients in the non-QI group was larger during the QI phase than that during the baseline phase (14.5 vs. 9.2 %, p = 0.08). Patients were more likely to be admitted from a surgical ward (45.0 %) and to be in a postoperative state (35.9 %) for mechanical ventilation. There was a lower minimum PaO₂/FiO₂ in patients during the baseline phase.

Ninety-six case report forms indicated better compliance with the weaning protocol during the QI phase for the QI group. Daily screen was performed in 360 of 378 patient-days (95.2 %) of mechanical ventilation. After passing the daily screen, a 3-min continuous positive airway pressure (CPAP) test was followed in 230 of 239 patient-days (96.2 %). There were 161 patient-days that passed the CPAP test and subsequently 90.1 % of the patients underwent an SBT. Among the 56 patients who were successfully weaned from mechanical ventilation, 48 were extubated after the first SBT, 6 patients after the second SBT, and 2 patient after the third SBT.

The total median durations of mechanical ventilation during the baseline and QI phase were 8.25 and 7.0 days for the non-QI group and 5.92 and 3.0 days for the QI group, respectively. Compared with the baseline phase, there was a significant reduction in total duration of mechanical ventilation during the QI phase for the QI group (p < 0.001; Table 3; Fig. 2a), but not in the non-QI group. In addition, the total duration of mechanical ventilation significantly differed between the QI group and the non-QI group during the QI phase (P = 0.003; Table 3; Fig. 2a). Kaplan–Meier survival analysis showed that mechanical ventilation was successfully discontinued earlier during the QI phase for the QI group (Fig. 2b). After adjustment for baseline imbalance, a Cox proportional hazards analysis showed that mechanical ventilation was discontinued more rapidly in the QI group than in the non-QI group during the QI period (relative risk of successful weaning 1.07; 95 % confidence interval 1.03-1.10; p < 0.001) (ESM 2).

The time before the first weaning attempt showed significant improvement during the QI phase for the QI group as compared with the baseline phase (p < 0.001) and with the non-QI group (p = 0.003) (Table 3). There

Characteristics	QI group		Non-QI group		<i>p</i> value ^c	<i>p</i> value ^d	<i>p</i> value ^e	p value ^f
	Baseline phase $(n = 199)$	QI phase $(n = 241)$	Baseline phase $(n = 193)$	QI phase $(n = 251)$				
Age (vears)	68 (52 70)	71 (53 80)	72 (59 80)	72 (57 70)	0 178	0 788	0 604	0 589
Female sex	70 (35.2)	89 (36.9)	(2, 34.7)	94 (37.5)	0.924	0.703	0.552	0.905
Actual body weight (kg)	65 (58, 75)	65 (59, 75)	65 (57.3, 75)	65 (58, 72)	0.824	0.684	0.405	0.110
Height (cm)	170 (160, 173)	168 (160, 173)	170(160, 175)	170 (160, 175)	0.402	0.461	0.655	0.196
APACHE II score	17 (11, 22)	16 (11, 21)	17 (12, 21)	16 (10, 25)	0.766	0.490	0.521	0.105
Routes of admission					0.850	0.939	0.630	0.506
Emergency department	51 (25.6)	67 (27.8)	53 (27.5)	83 (33.1)				
Medical ward	44 (22.1)	49 (20.3)	40 (20.7)	50(19.9)				
Surgical ward	94 (47.2)	114 (47.3)	87 (45.1)	104(41.4)				
Others	10 (5.0)	11 (4.6)	13 (6.7)	14(5.6)				
Underlying disease								
Respiratory	29 (14.6)	20 (8.3)	30 (15.5)	30 (12.0)	0.788	0.037	0.272	0.180
Cardiovascular	86 (43.2)	121 (50.2)	102 (52.8)	123 (49.0)	0.056	0.144	0.422	0.790
Liver cirrhosis	5 (2.5)	1(0.4)	3 (1.6)	5(2.0)	0.724	0.059	0.731	0.216
Immunosuppression	34 (17.1)	40 (16.6)	41 (21.2)	46 (18.3)	0.295	0.892	0.443	0.614
Long-term dialysis	21 (10.6)	26 (10.8)	28 (14.5)	23 (9.2)	0.237	0.937	0.08	0.547
Diabetes mellitus	46 (23.1)	56 (23.2)	52 (26.9)	73 (29.1)	0.382	0.976	0.619	0.140
Smoking	81 (40.7)	80 (33.2)	71 (36.8)	88 (35.1)	0.426	0.104	0.707	0.663
Reasons for MV					0.619	0.263	0.278	0.220
ARDS	25 (12.6)	50 (20.7)	29 (15.0)	47 (18.7)				
Pneumonia	45 (22.6)	40(16.6)	40 (20.7)	38 (15.1)				
COPD or asthma ^a	20 (10.1)	18 (7.5)	21 (10.9)	19 (7.6)				
Trauma	3 (1.5)	2(0.8)	1 (0.5)	3 (1.2)				
Cardiopulmonary arrest	3 (1.5)	5 (2.1)	6 (3.1)	10(4.0)				
Postoperative state	78 (39.2)	95 (39.4)	63 (32.6)	81 (32.3)				
Pulmonary edema	10 (5.0)	13 (5.4)	9 (4.7)	26(10.4)				
Neurologic emergency	8 (4.0)	14 (5.8)	13 (6.7)	16(6.4)				
Others	7 (3.5)	4 (1.7)	11 (5.7)	11 (4.4)				
Sedation/analgesia protocol	170 (85.4)	211 (87.6)	160 (82.9)	212 (84.5)	0.493	0.515	0.658	0.324
Mode of ventilation					0.108	0.335	0.518	0.397
VCV	32 (16.1)	49 (20.3)	28 (14.5)	50(19.9)				
PCV	35 (17.6)	30 (12.4)	26 (13.5)	33 (13.1)				
PSV	52 (26.1)	55 (22.8)	41 (21.2)	39 (15.5)				
SIMV	15 (7.5)	13 (5.4)	8 (4.1)	13 (5.2)				
SIMV-PSV	62 (31.2)	91 (37.8)	85 (44.0)	111 (44.2)				
Others	3 (1.5)	3 (1.2)	5 (2.6)	5 (2.0)				
PaO ₂ /FiO ₂ ratio ^b	226.0 (151.0, 303.0)	253 (180.0, 336.5)	210.0 (144.5, 274.0)	224 (170.0, 305.0)	0.119	0.051	0.050	0.062
Data are presented as median (i	nterquartile range) for continuou	is outcomes and counts (p	roportions) for binary outcomes					

APACHE II acute physiology and chronic health evaluation II, MV mechanical ventilation, ARDS acute respiratory distress syndrome, COPD chronic obstructive pulmonary disease, VCV volume-control ventilation, PCV pressure-control ventilation, SIMV synchronized intermittent mandatory ventilation, PSV pressure support ventilation, FiO₂ fraction of inspired oxygen, PaO₂ partial pressure of oxygen in arterial blood

 a COPD/asthma exacerbation without infiltrates on chest X-ray b Worst PaO_2/FiO_2 ratio recorded on the day of study inclusion

^c Comparing 2 groups at baseline phase

^d Comparing the QI phase with the baseline phase in the QI group ^e Comparing the QI phase with the baseline phase in non-QI group ^f Comparing 2 groups at QI phase

Table 2 Characteristics of the study patients

Table 3 Comparison of primary and secondary outcomes

End points	QI group	Non-QI group	p value ^a	p value ^b	p value ^c	p value ^d
Total duration of M	V (days)					
Baseline phase	5.92 (2.75, 12.0)	8.25 (2.44, 15.44)	0.517	< 0.001	0.456	0.003
OI phase	3.0 (1.75, 6.45)	7.0 (3.46, 13.5)				
Time before 1st wea	aning attempt (days)					
Baseline phase	3.0 (1.69, 6.63)	2.92 (1.08, 7.81)	0.801	< 0.001	0.577	0.003
QI phase	1.96 (1.21, 3.52)	3.63 (1.83, 7.46)				
Weaning time (days)					
Baseline phase	2.4 (0.86, 13.47)	3.0 (0.4, 9.22)	0.144	0.122	0.621	0.825
QI phase	2.21 (0.8, 8.15)	2.13 (0.26, 8.43)				
Length of ICU stay	(days)					
Baseline phase	8.0 (5.0, 19.0)	11.0 (5.0, 20.0)	0.764	< 0.001	0.373	0.004
QI phase	6.0 (3.0, 12.0)	10.0 (6.0, 20.0)				
Length of hospital st	tay (days)					
Baseline phase	23.0 (14.0, 39.0)	24.0 (14.0, 39.5)	0.612	< 0.001	0.934	< 0.001
QI phase	19.0 (10.5, 30.0)	23.0 (15.0, 38.0)				
ICU mortality						
Baseline phase	69 (34.7)	71 (36.8)	0.965	0.107	0.292	0.822
QI phase	64 (26.6)	73 (29.1)				
Hospital mortality						
Baseline phase	74 (37.2)	74 (38.3)	0.877	0.118	0.294	0.924
QI phase	70 (29.0)	78 (31.1)				
60-day mortality						
Baseline phase	80 (40.2)	83 (43.0)	0.941	0.298	0.094	0.634
QI phase	81 (33.6)	81 (32.3)				
Re-intubation rate						
Baseline phase	14 (7.0)	10 (5.2)	0.417	0.265	0.134	0.654
QI phase	10 (4.1)	8 (3.2)				
Self-extubation						
Baseline phase	2 (1.0)	4 (2.1)	0.855	0.897	0.847	0.888
QI phase	1 (0.4)	3 (1.2)				
Tracheotomy						
Baseline phase	36 (18.1)	27 (14.0)	0.307	0.163	0.377	0.326
QI phase	29 (12.0)	46 (18.3)				
MV >21 days						
Baseline phase	32 (16.1)	21 (10.9)	0.125	0.001	0.881	0.052
QI phase	12 (5.0)	29 (11.6)				

Data are presented as median (interquartile range) for continuous outcomes and counts (proportions) for binary outcomes. All p values adjusted for baseline imbalance (i.e., variables p < 0.25 in Table 1) and cluster effects

^a Comparing 2 groups at baseline phase

^b Comparing the QI phase with the baseline phase in the QI group

^c Comparing the QI phase with the baseline phase in non-QI group ^d Comparing 2 groups at QI phase

QI quality improvement, *MV* mechanical ventilation, *ICU* intensive

care unit

was no significant difference in weaning time between the two groups and between the two phases (Table 3).

The patients during the QI phase for the QI group had shorter ICU and hospital stay in both between-group comparison of the QI phase and intra-group comparison before and after the QI intervention (all p < 0.001; Table 3). There were no significant differences in the ICU, hospital, and 60-day mortality between the two phases and between the two groups (Table 3).

There were fewer patients during the QI phase for the QI group requiring mechanical ventilation for more than 21 days as compared with the baseline (p = 0.001) and with the non-QI group (p = 0.052) (Table 3). No significant differences were found in other complications between the two phases and between the two groups (Table 3).

Discussion

Our cluster randomized control trial assessed the effect of a comprehensive QI intervention that was focused on weaning from mechanical ventilation. We demonstrated that a QI program could lead to a significant decrease in total duration of mechanical ventilation, the time before the first weaning attempt, ICU and hospital length of stays, and percentage of the patients requiring long-term mechanical ventilation. These positive findings suggested the importance of a QI program to manage weaning from mechanical ventilation.

A before-and-after study investigated the effects of weaning protocol that was implemented as a hospitalwide QI program on clinical and economic outcomes. A



Fig. 2 a Box-and-whisker plot of total duration of mechanical ventilation. *Horizontal line* median; *box* 25th to 75th percentile; *whiskers* min and max values. *Comparing 2 groups at QI phase, p = 0.003; **comparing the QI phase with the baseline phase in the QI group, p < 0.001. **b** Kaplan–Meier analysis of the duration of mechanical ventilation at the QI phase

large reduction in the duration of mechanical ventilation, length of stay, percentage of patients requiring tracheotomy, and hospital costs was found when baseline was compared with the first 2 years after protocol implementation [19]. McLean et al. [20] reported an implementation program that was used to assess the effect on improving staff compliance with weaning protocol and clinical outcomes. After the intervention, the failed weaning rate decreased and the understanding of and compliance with the weaning protocol significantly improved. Another two observational studies [21, 22] also revealed the significant effect of a process improvement project on clinical and financial outcomes in mechanically ventilated patients.

However, previous QI studies typically applied before–after study designs, rendering them vulnerable to spurious causal inferences due to secular trends over time.

To our knowledge, our study is the first cluster randomized controlled trial demonstrating that an evidencepractice gap in mechanical ventilation could be successfully addressed using a multifaceted practice change strategy. The study design was carefully developed to take account of known sources of bias in other randomized controlled trials conducted in this area. The appropriate methods were chosen for statistical analysis that was adjusted for the cluster randomization. The data were collected from daily clinical practice and the study was feasible for investigators, which ensured a complete follow-up period with relatively few missing data and high follow-up rates.

Without high compliance of ICU staff, the weaning protocol would be ineffective. In order to avoid the Hawthorne effect [31] and the contamination risk, we did not evaluate the weaning practice of the staff each time step by step during the baseline phase and the OI phase for the non-QI group. However, staff compliance with the weaning protocol was evaluated by a site inspection and review of the mechanical ventilation documentation form and progress notes during the QI phase for the QI group. The compliance with weaning protocol was higher than that reported in other studies [9, 32, 33]. Additionally, we adopted an intermediary process measure, i.e., the time before the first weaning attempt, so as to evaluate the compliance with weaning protocol by ICU staff. It was found in our study that the time before the first weaning attempt during the QI phase for the QI group was obviously shorter than the baseline phase or than the non-QI group, which further illustrated that staff awareness of weaning was improved via the QI intervention. Thus, the weaning process was accelerated. Other published studies also confirmed the reduction in the time before meeting weaning criteria in the protocolized weaning group [32, 34]. The QI program that was implemented in our study was an evidence-based process that guides healthcare teams in making procedural changes [23–29]. Therefore, it is reasonable to believe that the procedural changes achieved in the QI program could have been translated into improvement in clinical outcomes of patients.

Our study also has several limitations. First, although the randomized controlled design might help to reduce the probability of any systematic bias in patient selection or reporting, characteristics of the patient did not match well between the phases and groups. Although the APACHE II score was similar between the phases and between the groups, the PaO_2/FiO_2 was lower in patients during the baseline phase. However, we minimized the influence of this variability by adjusting imbalanced baseline variables in our multivariate analysis. Second, we recruited more patients on mechanical ventilation for less than 3 days who may be more easily weaned. The weaning protocols described in previous studies were most likely to be effective in surgical ICU patients [1]. Third, the observation of clinical practice for data collection may have changed behavior both in the QI and non-QI group. For example, there was a reduction tendency in weaning time in the non-QI group when the QI phase was compared with the baseline phase. Therefore it was likely that Hawthorne effects [31] contributed toward the non-QI group during the QI phase. Finally, the QI intervention was delivered for a period of 6 months, whereas, in practice, QI should be an ongoing routine activity supported by healthcare institutions. The study was not designed to assess the effect of the QI intervention on clinical outcomes beyond the end of the study, but this could be the aim of larger longitudinal studies that evaluate QI in the future.

Conclusions

Our study has shown that a comprehensive QI intervention could improve the clinical outcome in mechanically ventilated patients. We speculate that this benefit may be attributed to the introduction of an evidence-based weaning protocol into the practice setting.

Acknowledgments This study was supported in part by grants from Beijing's financial and technological funds. We gratefully thank the following participating centers who contributed data and samples and have made this work possible: Department of Critical Care Medicine, Peking University Third Hospital, Beijing, China; Department of Critical Care Medicine, Beijing Friendship Hospital, Capital Medical University, Beijing, China; Department of Critical Care Medicine, China-Japan Friendship Hospital, Beijing, China; Department of Critical Care Medicine, the First Affiliated Hospital of General Hospital of People's Liberation Army, Beijing, China; Department of Critical Care Medicine, Beijing Shijitan Hospital, Capital Medical University, Beijing, China; Department of Critical Care Medicine, the 309th Hospital of Chinese People's Liberation Army, Beijing, China; Intensive Care Unit, Xuan Wu Hospital, Capital Medical University, Beijing, China; Department of Critical Care Medicine, Beijing Tong Ren Hospital, Capital Medical University, Beijing, China; Department of Critical Care Medicine, Beijing, China; Department of Critical University, Beijing, China; Department of Critical University, Beijing, China; Department of Critical Care Medicine, Beijing Tian Tan Hospital, Capital Medical University, Beijing,

China; Department of Critical Care Medicine, Beijing Haidian Hospital, Beijing, China; Intensive Care Unit, Beijing Chao-Yang Hospital, Capital Medical University, Beijing, China; Medical Intensive Care Unit, Peking Union Medical College Hospital, Beijing, China.

Bo Zhu, Zhiqiang Li, Li Jiang and Xiuming Xi designed this study, analyzed the results, and revised manuscript; Bo Zhu and Zhiqiang Li wrote the manuscript; Bo Zhu, Bin Du, Xi Zhu, Ang Li, Gang Li, Zhongjie He, Wei Chen, Penglin Ma, Jianguo Jia, Yuan Xu, Jianxin Zhou, Long Qin, Qingyuan Zhan, Wenxiong Li, Qi Jiang, Meiping Wang, and Ran Lou performed experiments and revised the manuscript.

Conflicts of interest The authors declare that they have no conflict of interest.

References

- Blackwood B, Burns KE, Cardwell CR, O'Halloran P (2014) Protocolized versus non-protocolized weaning for reducing the duration of mechanical ventilation in critically ill adult patients. Cochrane Database Syst Rev 11:CD006904
- Plötz FB, Slutsky AS, van Vught AJ, Heijnen CJ (2004) Ventilator-induced lung injury and multiple system organ failure: a critical review of facts and hypotheses. Intensive Care Med 30:1865–1872
- Cox CE, Carson SS, Govert JA, Chelluri L, Sanders GD (2007) An economic evaluation of prolonged mechanical ventilation. Crit Care Med 35:1918–1927
- Sellares J, Ferrer M, Cano E, Loureiro H, Valencia M, Torres A (2011) Predictors of prolonged weaning and survival during ventilator weaning in a respiratory ICU. Intensive Care Med 37:775–784
- Frutos-Vivar F, Esteban A (2014) Our paper 20 years later: how has withdrawal from mechanical ventilation changed? Intensive Care Med 40:1449–1459

- 6. MacIntyre NR, Cook DJ, Ely EW Jr, Epstein SK, Fink JB, Heffner JE, Hess D, Hubmayer RD, Scheinhorn DJ, American College of Chest Physicians, AmericanAssociation for Respiratory Care, American College of Critical Care Medicine (2001) Evidence-based guidelines for weaning and discontinuing ventilator support: a collective task force facilitated by the American College of Chest Physicians; the American Association for Respiratory Care; and the American College of Critical Care Medicine. Chest 120:375S–395S
- Boles JM, Bion J, Connors A, Herridge M, Marsh B, Melot C, Pearl R, Silverman H, Stanchina M, Vieillard-Baron A, Welte T (2007) Weaning from mechanical ventilation. Eur Respir J 29:1033–1056
- Society of Critical Care Medicine, Chinese Medical Association (2007) Practical guidelines for mechanical ventilation (2006). Zhong Wei Zhong Bing Ji Jiu Yi Xue 19:65–72

- Ely EW, Bennett PA, Bowton DL, Murphy SM, Florance AM, Haponik EF (1999) Large scale implementation of a respiratory therapist-driven protocol for ventilator weaning. Am J Respir Crit Care Med 159:439–446
- 10. Li J, Zhan QY, Liang ZA, Du ML, Dai HP, Sun B, Yao XL, Luo ZJ, Xia JG, Wang C (2009) A questionnaire survey on the current practices of respiratory care in intensive care unit in 30 provinces. Zhongguo Wei Zhong Bing Ji Jiu Yi Xue 21:211–214
- Lynn J, Baily MA, Bottrell M, Jennings B, Levine RJ, Davidoff F, Casarett D, Corrigan J, Fox E, Wynia MK, Agich GJ, O'Kane M, Speroff T, Schyve P, Batalden P, Tunis S, Berlinger N, Cronenwett L, Fitzmaurice JM, Dubler NN, James B (2007) The ethics of using quality improvement methods in healthcare. Ann Intern Med 146:666–673

- 12. Soja SL, Pandharipande PP, Fleming SB, Cotton BA, Miller LR, Weaver SG, Lee BT, Ely EW (2008) Implementation, reliability testing, and compliance monitoring of the confusion assessment method for the intensive care unit in trauma patients. Intensive Care Med 34:1263-1268
- 13. Garrouste-Orgeas M, Soufir L, Tabah A, Schwebel C, Vesin A, Adrie C, Thuong M, Timsit JF, Outcomerea Study Group (2012) A multifaceted program for improving quality of care in intensive care units: IATROREF study. Crit Care Med 40:468-476
- 14. Scales DC, Dainty K, Hales B, Pinto R, Fowler RA, Adhikari NK, Zwarenstein M (2011) A multifaceted intervention for quality improvement in a network of intensive care units: a cluster randomized trial. JAMA 305:363-372
- 15. Doig GS, Simpson F, Finfer S, Delaney A, Davies AR, Dobb G, Nutrition Guidelines Investigators of the ANZICS Clinical Trials Group (2008) Effect of evidence-based feeding guidelines on mortality of critically ill adults: a cluster randomized controlled trial. JAMA 300:2731-2741
- 16. Dainty KN, Scales DC, Sinuff T, Zwarenstein M (2013) Competition in collaborative clothing: a qualitative case study of influences on collaborative quality improvement in the ICU. BMJ Qual Saf 22:317-323
- 17. Marsteller JA, Sexton JB, Hsu YJ, Hsiao CJ, Holzmueller CG, Pronovost PJ, Thompson DA (2012) A multicenter, phased, cluster-randomized controlled trial to reduce central lineassociated bloodstream infections in intensive care units. Crit Care Med 40:2933-2939
- 18. Lee SK, Aziz K, Singhal N, Cronin CM, James A, Lee DS, Matthew D, Ohlsson A, Sankaran K, Seshia M, Synnes A, Walker R, Whyte R, Langley J, MacNab YC, Stevens B, von Dadelszen P (2009) Improving the quality of care for infants: a cluster randomized controlled trial. CMAJ 181:469-476
- 19. Smyrnios NA, Connolly A, Wilson MM, Curley FJ, French CT, Heard SO, Irwin RS (2002) Effects of a multifaceted, multidisciplinary, hospital-wide QI program on weaning from mechanical ventilation. Crit Care Med 30:1224-1230

- 20. McLean SE, Jensen LA, Schroeder DG, 27. To T, Wang C, Dell SD, Fleming-Gibney NR, Skjodt NM (2006) Improving adherence to a mechanical ventilation weaning protocol for critically ill adults: outcomes after an implementation program. Am J Crit Care 15:299-309
- 21. Burns SM, Earven S, Fisher C, Lewis R, Merrell P, Schubart JR, Truwit JD, Bleck TP, University of Virginia Long Term Mechanical Ventilation Team (2003) Implementation of an institutional program to improve clinical and financial outcomes of mechanically ventilated patients: oneyear outcomes and lessons learned. Crit Care Med 31:2752–2763
- 22. Al-Faouri IG, AbuAlRub RF, Jumah DM (2014) The impact of educational interventions for nurses on mechanically ventilated patients' outcomes in a Jordanian university hospital. J Clin Nurs 23:2205–2213
- 23. O'Brien MA, Rogers S, Jamtvedt G, Oxman AD, Odgaard-Jensen J, Kristoffersen DT, Forsetlund L, Bainbridge D, Freemantle N, Davis DA, Haynes RB, Harvey EL (2007) Educational outreach visits: effects on professional practice and health care outcomes. Cochrane Database Syst Rev 4:CD000409
- 24. Chhina HK, Bhole VM, Goldsmith C, Hall W, Kaczorowski J, Lacaille D (2013) Effectiveness of academic detailing to optimize medication prescribing behaviour of family physicians. J Pharm Pharm Sci 16:511-529
- 25. Ivers N, Jamtvedt G, Flottorp S, Young JM. Odgaard-Jensen J. French SD. O'Brien MA, Johansen M, Grimshaw J, Oxman AD (2012) Audit and feedback: effects on professional practice and healthcare outcomes. Cochrane Database Syst Rev 6:CD000259
- 26. Giguère A, Légaré F, Grimshaw J, Turcotte S, Fiander M, Grudniewicz A, Makosso-Kallyth S, Wolf FM, Farmer AP, Gagnon MP (2012) Printed educational materials: effects on professional practice and healthcare outcomes. Cochrane Database Syst Rev 10:CD004398

- Carroll B, Parkin P, Scolnik D, Ungar WJ, Team PAMG (2010) Can an evidence-based guideline reminder card improve asthma management in the emergency department? Respir Med 104:1263-1270
- 28. Ten Have EC, Nap RE, Tulleken JE (2013) Quality improvement of interdisciplinary rounds by leadership training based on essential quality indicators of the interdisciplinary rounds assessment scale. Intensive Care Med 39:1800-1807
- 29. Flodgren G, Parmelli E, Doumit G, Gattellari M, O'Brien MA, Grimshaw J, Eccles MP (2011) Local opinion leaders: effects on professional practice and health care outcomes. Cochrane Database Syst Rev 8:CD000125
- 30. Hosmer DW, Lemeshow S (2000) Applied logistic regression. Wiley, New York
- 31. Fox NS, Brennan JS, Chasen ST (2008) Clinical estimation of fetal weight and the Hawthorne effect. Eur J Obstet Gynecol Reprod Biol 141:111-114
- 32. Kollef MH, Shapiro SD, Silver P, John RES, Prentice D, Sauer S, Ahrens TS, Shannon W, Baker-Clinkscale D (1997) A randomized, controlled trial of protocol-directed versus physiciandirected weaning from mechanical ventilation. Crit Care Med 25:567-574
- 33. Krishnan JA, Moore D, Robeson C, Rand CS, Fessler HE (2004) A prospective, controlled trial of a protocol-based strategy to discontinue mechanical ventilation. Am J Respir Crit Care Med 169:673-678
- 34. Marelich GP, Murin S, Battistella F, Inciardi J, Vierra T, Roby M (2000) Protocol weaning of mechanical ventilation in medical and surgical patients by respiratory care practitioners and nurses: effect on weaning time and incidence of ventilator-associated pneumonia. Chest 118:459-467