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# Outcome of critically ill lung transplant candidates on invasive respiratory support

Received: 22 June 2011 Accepted: 24 February 2012 Published online: 14 April 2012 © Copyright jointly held by Springer and ESICM 2012

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**Electronic supplementary material** The online version of this article (doi:10.1007/s00134-012-2551-y) contains supplementary material, which is available to authorized users.

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J. Hadem · O. Wiesner Medical Intensive Care Unit, Hannover Medical School, Hannover, Germany Abstract Purpose: Lung transplantation (LTx) of patients on mechanical ventilation (MV) or extracorporeal support (ECS) is controversial because of impaired survival. Prognostic factors to predict survival should be identified. Meth*ods:* A retrospective analysis was performed in a single centre of all ventilated LTx-candidates awarded an Eurotransplant (ET) high-urgency (HU) status between November 2004 and July 2009. Clinical data were collected on the first day of HU-status from intubated patients with an approved HU status. Single parameters as well as the lung allocation score (LAS), the Sequential Organ Failure Assessment score (SOFA) and the Simplified Acute Physiology Score (SAPS 2) were calculated. The association of these variables with survival was evaluated. *Results:* А total of 100 intubated patients (median age 38 years, 56 % female) fulfilled the inclusion criteria, of whom 60 also required ECS. The main indications were cystic fibrosis (25 %) and idiopathic pulmonary fibrosis (24 %). Median time with HU

status was 12 days [interguartile range (IOR) 6-21 days]. Sixty patients were transplanted, five were weaned from mechanical ventilation and 38 died while on the wait list. One-year-survival rates were 57, 36 and 5 % for transplanted patients, all candidates and non-transplanted candidates, respectively (p < 0.001). A SAPS score >24 (median 30, IQR 27-35), a procalcitonin level of  $>0.5 \mu g/l$  (median 0.4, IOR  $0.1-1.4 \mu g/l$ ) and any escalation of bridging strategy were independently associated with mortality (p = 0.021.) = 0.003, and < 0.001, respectively). The LAS (median 88, IQR 8-90) did not predict survival (p = 0.92). Conclusions: High-urgency LTx improves survival in critically ill intubated candidates. Higher SAPS scores, escalating therapy and an abnormal procalcitonin level were associated with a poor outcome.

Keywords Lung transplantation · Mechanical ventilation · Ventilator weaning · Patient selection · Lung allocation score

# Introduction

Historically, transplant specialists have been reluctant to perform lung transplantation (LTx) in patients on invasive respiratory support. The prevailing argument has been that these patients had become too sick and thus no longer

in the "transplant window" because survival after LTx was unlikely. Invasive respiratory support may be provided by mechanical ventilation (MV) with associated extracorporeal support (ECS) when necessary. Intensive care unit (ICU) complications, such as pressure ulcers, vascular complications, nosocomial infections, delirium,

critical illness polyneuropathy/myopathy and airway colonization, might increase waiting list mortality as well as mortality after LTx in patients on invasive respiratory support. Lung transplant recipients requiring MV have a 1.59-fold relative risk of 1-year mortality according to a recent registry report [1]. Single-centre case series including 6–23 patients on MV who were subsequently transplanted [2–11] reported 1-year survival rates of between 25 and 87 %.

In contrast to this historical tendency, the lung allocation score (LAS), which was introduced in the USA in 2005, bestows ventilated candidates with a specific advantage because allocation is directed towards patients in more critical condition [12]. The need for continuous MV is a heavily weighted factor in the LAS model [13].

Our institution has a history of more than 20 years for accepting critically ill ventilated patients for LTx [11]. We therefore conducted a retrospective analysis of mechanically ventilated critically ill patients accepted for LTx in our programme to study the outcome of these candidates and to identify factors beneficial for survival following LTx.

# Methods

A retrospective analysis was performed in a single university centre. Between November 2004 and July 2009 all intubated and mechanically ventilated patients listed for lung transplantation who had been awarded a high urgency (HU) status in Eurotransplant and fulfilled the following inclusion criteria were included:

- inspiratory oxygen fraction of >0.5 or
- presence of ECS

Patients on non-controlled ventilation were excluded. The study subjects were followed until June 30, 2010, or until death. Wait list survival was defined as surviving 1 year after HU status approval or until LTx. Overall survival was defined as surviving 1 year after HU status approval. Post-transplant survival was defined as surviving 1 year after LTx. Donor data, patient and graft survival of the transplanted group with a HU status on ventilator was compared to a cohort of 425 patients without prior ventilation transplanted between January 2005 and December 2009. The mean age of the control group was older (48 vs. 38 years in the MV group), while the MV group contained more patients with chronic obstructive pulmonary disease (COPD)/emphysema (30 vs. 7 %) and fewer patients with pulmonary fibrosis (22 vs. 34 %). In general, the elderly and COPD patients have worse outcome from LTx than patients with pulmonary

fibrosis [1]. However, as shown in Fig. 3, the outcome of the patients on MV as a bridging treatment to transplant was worse than that of the controls.

After February 2007, quality of life, as measured by the Short Form 36 (SF36) questionnaire, and the activity of daily living (ADL) index were recorded at the end of the patients' rehabilitation programme. The results of a subgroup of 125 not previously intubated lung transplant recipients were available for a comparison of the quality of life and ADL measurements during this period.

The rules for LTx in EUROTRANSPLANT and the management of patients on the transplant waiting list are described in detail in the Electronic Supplementary Material (ESM).

Day 1 of support was defined as the first day that the patient was on invasive respiratory support with accepted HU status. Data on the following variables were collected on day 1 of the HU listing: age, height, weight, underlying disease, pulmonary hypertension as estimated by echocardiography (right ventricular pressure >50 mmHg) or pulmonary artery catheterization (mean pulmonary artery pressure >30 mm Hg), date of intubation, team decision vote, bilirubin, creatinine, glomerular filtration rate (GFR) as calculated according to the modification of diet in Modification of Diet in Renal Disease (MDRD) Study Group [14], need for vasopressors, type of ECS, escalation of support, duration of support, urea, leukocyte count, platelets, bicarbonate, partial pressure carbon dioxide  $(pCO_2)$ , maximum and minimum  $pCO_2$  during current hospital stay, fraction of inspired oxygen (FiO<sub>2</sub>), sodium, potassium, C-reactive protein (CRP) and procalcitonin. Donor variables were weight, height, ventilator days, smoking history, chest X-ray opacities, bronchoscopy findings and oxygenation index and cold ischemic time.

In transplanted patients, days on ventilator posttransplant, hospital length of stay, airway complications, quality of life (SF36) and activity index at end of rehabilitation, use of cardiopulmonary bypass, primary graft dysfunction 48 h after LTx, graft size reduction, tracheostomy and need for renal replacement therapy were recorded.

The Sequential Organ Failure Assessment score (SOFA) was calculated from raw data on the first day of HU approval as previously published [15].

The Simplified Acute Physiology Score (SAPS 2) was calculated on the first day of HU approval according its original description [16]. To calculate SAPS and SOFA scores, a Glasgow coma scale of 15 was assumed in the case of sedation to calculate the SOFA score. The LAS was calculated according to its modification of 1 July 2009 [13]. Default values for pulmonary artery and wedge pressures were used if a pulmonary artery catheter was not performed. A "zero" was entered for the 6-min walk distance and forced vital capacity.

A donor score (0–16 points) consisting of donor age, oxygenation index, chest X-ray, bronchoscopy finding and smoking history was calculated for each transplanted patient as previously described [17]. This score was modified by truncating the donor's smoking history to a maximum of 1, if present. A score of >7 was defined as an extended donor.

Cold ischemic time was defined as the time interval between the application of the aortic cross-clamp during harvesting and reperfusion of the graft in the recipient. In the case of a double lung transplantation (DLTx), the maximal raft ischemic time of the second side was used. Patients usually on veno-venous ECS were switched to veno-arterial mode intraoperatively. LTx performed on extracorporeal membrane oxygenation (ECMO) was rated as being performed on cardiopulmonary bypass.

Primary graft dysfunction (PGD) was graded according to the International Society of Heart and Lung Transplantation [18]. The presence of PGD was recorded (grade 0–3) at 48 h after LTx.

The activities of daily living (ADL) index as a measure of functional independence was calculated as previously described with a maximum of 100 points [19]. The SF-36 as a measure of functional health and wellbeing scores was used in its validated German form [20, 21]. Quality of life and ADL at end of rehabilitation period was compared to that of 125 non-ventilated patients transplanted successfully between April 2007 and July 2009.

Escalation of mechanical support was defined as intubation for >24 h after prior ECS in spontaneously breathing patients, installation of ECS for >24 h after intubation or any switch of ECS mode (arterio–venous, veno–venous, veno–arterial).

# Statistics

Continuous variables are here reported as the median and interquartile ranges (IQRs). All reported *P* values are twosided, unless otherwise indicated. Medians were compared with the Mann–Whitney *U* test, and means were analysed with Student's *t* test. Category variables were analysed using either a  $\chi^2$  test or Fisher's exact test. For all analyses, *P* values <0.05 were considered to be statistically significant.

Survival analysis was limited to 1 year after HU approval or after transplantation. Kaplan–Meier curves were plotted to compare survival. The log-rank test was applied to compare survival. A multivariate analysis using Cox stepwise forward proportional hazards analysis was used to compare overall, wait list and transplant survival for each significant variable from the univariate analysis separately. All variables with a *P* value  $\leq 0.10$  were included, and variables with a *P* value of >0.10 were excluded in this multivariate analysis.

### Results

From among the 296 lung transplant candidates for whom a HU status had been requested during the study period in our centre, 100 (34 %) fulfilled the inclusion criteria of our study. In all but four patients (96 %), HU status was awarded upon first request. In the remaining candidates a second HU application was successful within a couple of days. No application for HU was refused upon re-evaluation. Patient characteristics and modes of ECS are given in Table 1. Of 12 candidates with bronchiolitis obliterans syndrome (BOS), five developed this syndrome after allogenic hematopoietic stem cell transplantation and seven had a previous lung transplant and were listed for re-do transplantation.

All patients were intubated, and 60 were on ECS. Of all LTx candidates, 26% were intubated in an external hospital without any prior evaluation as LTx candidate.

 Table 1
 Patient demographics

Demographic and clinical variables	Values
Age (years)	38 (28-53)
Gender female, $n$ (%)	55 (55)
Body mass index (kg/m <sup>2</sup> )	22 (18-25)
External intubation, $n$ (%)	26 (27)
Underlying disease, $n$ (%)	
Emphysema	7 (7)
Pulmonary fibrosis	34 (34)
Cystic fibrosis	25 (25)
Bronchiolitis obliterans syndrome	12 (12)
Other	22 (22)
Bridging time (days)	12 (6-21)
Time intubated before HU status (days)	1 (0-4)
SAPS 2 score on 1st day of HU	25 (22-30)
SOFA score on 1st day of HU	4 (2-6)
Extracorporeal support, $n$ (%)	60 (60)
Interventional lung assist, $n$ (%)	29 (29)
Veno-venous ECMO, $n$ (%)	19 (19)
Veno–arterial ECMO, $n$ (%)	10 (10)
Pulmonal artery–left atrium (PALA), n (%)	2 (2)
$FiO_2$ on 1st day of HU	0.65 (0.50-0.90)
PaO2/FiO <sub>2</sub> on 1st day of HU (mmol/l)	127 (89–160)
pCO <sub>2</sub> on 1st day of HU (mmHg)	59 (44–73)
maximum pCO <sub>2</sub> during ICU stay (mmHg)	70 (54–94)
CRP on 1st day of HU (mg/l)	100 (41–153)
PCT on 1st day of HU (µg/l)	0.4 (0.2–1.15)
GFR (MDRD) on 1st day of HU (ml/min/ 1.73 m <sup>2</sup> )	133 (99–183)
LAS on 1st day of HU	88 (83-90)
Follow-up (days)	39 (13–952)

Unless otherwise indicated, data are presented as the median, with the interquartile range (IQR) given in parenthesis

*CRP* C-reactive protein, *ECMO* extracorporal membrane oxygenation, *FiO*<sub>2</sub> fraction of inspired oxygen, *GFR* glomerular filtration rate, *HU* high urgency, *ICU* intensive care unit, *LAS* lung allocation score, *MDRD* Modification of Diet in Renal Disease, *pCO*<sub>2</sub> partial pressure carbon dioxide, *PCT* procalcitonin, *SAPS* Simplified Acute Physiology Score, *SOFA* Sequential Organ Failure Assessment score

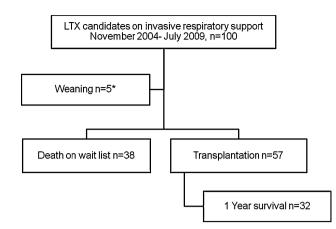


Fig. 1 Flow chart of patient outcome. Asterisk Three initially weaned patients who were transplanted, adding up to a total of 60 patients undergoing lung transplantation (LTx) (see details in text)

Details of patient outcome are displayed in Fig. 1. Thirty-eight patients died before an organ was available. Of the five patients who were weaned from the ventilator, three were transplanted 21, 40 and 74 days, respectively, after HU approval, and two of these survived the first postoperative year. The remaining two weaned patients recovered; one patient, with Wegener's granulomatosis, remained free of oxygen, and the second patient, who suffered from bleomycin-induced fibrosis, remained on oxygen during the follow-up but refused LTx. Both patients were subsequently removed from the waiting list.

Sixty patients (including the three who had been weaned from support prior to LTx) were transplanted during their hospital stay. Of these, 37 (62 %) survived to hospital discharge, with 34 (57 %) surviving 1 year after LTx. Three patients died 127, 197 and 327 days after initial hospital discharge from graft failure and sepsis (n = 2). Invasive respiratory support was escalated in 24 patients: ten patients were put on ECS more than 24 h after intubation, eight patients were switched onto ECS mode and six candidates were intubated after prior ECMO.

No patient was lost to follow-up. Of the 60 transplanted patients, 57, 48 and 42 % survived 1, 3 and 5 years, respectively, compared to 82, 69 and 60 % of the non-critically ill reference population (n = 425) (Fig. 3). Graft survival in the ventilated cohort was 55, 46 and 40 % after 1, 3 and 5 years, respectively, compared to 82, 66 and 56 % in the reference cohort.

#### Survival analysis

Details of the univariate and multivariate analysis are shown in Figs. 2 and 3 (and in Figs. 5–8 in the ESM) and Table 2. Overall survival of lung transplant candidates was significantly improved compared to patients who

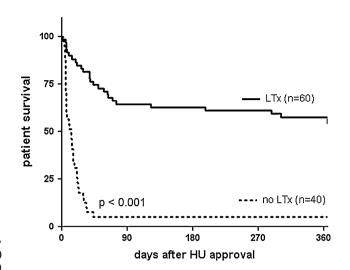


Fig. 2 Kaplan–Meier curves for overall 1-year mortality of candidates on invasive respiratory support after first high-urgency (HU) approval for the effect of LTx versus non-LTx. Survival was calculated from the time of HU approval to the time of death

were not transplanted (Fig. 2). The wait list survival was lower for cystic fibrosis patients. Patients with BOS survived more frequently to transplant and post-transplant. Overall survival and post-transplant survival were not associated with underlying diseases. Age, body mass index (BMI), gender and duration of bridging to transplant did not influence survival. A split team decision was associated with lower overall survival and increased mortality after LTx and wait list survival. In the multivariate analysis, neither of these effects was demonstrated to be independent. The LAS was unable to identify survivors, and the SAPS 2 score (cut-off >24 points) was independently associated with overall survival. A procalcitonin (PCT) level of  $\geq 0.5$  mg/ml was independently associated with overall mortality (Figs. 6–8 in ESM).

Of 16 supported candidates without escalation of support, with a PCT <0.5  $\mu$ g/l and a SAPS  $\leq$ 24 at time of HU approval, 15 (94 %) reached LTx and 13 of these 15 (87 %) survived LTx. None of the four patients with escalation therapy, a PCT >0.5  $\mu$ g/l and a SAPS >24 survived, and a single patient of these reached LTx.

Two patients were re-transplanted for PGD (6 and 32 days after primary LTx, respectively) during the 1 year after being awarded HU status.

#### Post-transplant outcome

Details of transplant and early postoperative results are given in Table 3. There was a trend (P < 0.10) towards shorter cold ischemia times in transplanted patients (Table 3) with prior mechanical ventilation compared to our total cohort of 2005–2009. In our total cohort, cold ischemic times were 462 (range 380–598) min. The

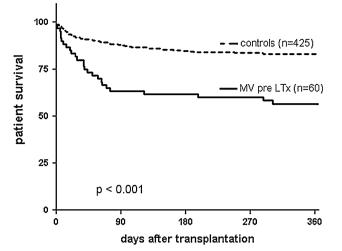


Fig. 3 Kaplan–Meier curves for overall 1-year mortality of patients on mechanical ventilation (MV) pre-LTx versus non-ventilated controls. Survival was calculated from the time of HU approval to the time of death

proportion of extended donors in our total cohort was 24 % compared to 15 % in intubated recipients.

### Quality of life

After a median hospital stay of 60 (29–89) days post-LTx, surviving patients were discharged to a rehabilitation facility. The median time spent in in-patient rehabilitation was 27 days; in comparison, in the control group of 125 patients, the median length of stay was 21 days (p < 0.001). The SF36 and ADL results were available for 21/34 patients at the end of rehabilitation program. The results of the SF-36 questionnaire are given in Fig. 4 in comparison to the patients without prior MV before LTx. Significant differences were noted for the subscales physical functioning, general health perception, vitality and mental health.

All but one patient (94 %) had no activity limitations with an ADL index of 100 at the end of rehabilitation programme. A single female patient had an ADL of 65.

# Discussion

In this analysis we demonstrated that 59 % of lung transplant candidates on invasive respiratory support survive up to transplant and that more than every second organ recipient survives the first postoperative year. LTx significantly improved the 1-year survival in this critically ill cohort, and prognostic factors could be identified.

Ten small single-centre case series reported on between 6 and 23 patients on MV who were subsequently

transplanted [2–11]. These publications describe ventilator times of between 8 and 162 days before transplantation compared to 12 days in our cohort. The authors of these studies reported 1-year survival rates of between 25 and 87 %. Very long pre-transplant ventilator times and a 1-year survival rate comparable to that of non-intubated transplant recipients can be explained by the inclusion of stable ventilator patients who are usually non-sedated and have had less invasive respiratory support. In our study, stable long-term ventilated patients were excluded. Previous publications have shown that stable patients have much better survival rates than critically ill transplant recipients [4].

In the United Network for Organ Sharing (UNOS) analysis carried out between 1987 and 2008 [22], 587 ventilated patients and 51 patients on ECS had a 1-year survival rate of 62 and 50 %, respectively, which is comparable to the 59 % reported in our study. While only 8.5 % of the patients in the UNOS analysis were on ECS, 60 % of our cohort were on ECS. The mean LAS in the UNOS study was 54 compared to 87 in our study, with 88% of our candidates having a LAS score of >75, which is above the 98 % percentile of candidates in a recent registry analysis [23]. The use of ECS did not significantly affect overall post-transplant survival, but any escalating use of ECS resulted in a dismal survival on the wait list (33 %) and post-transplantation (25 %).

In a recent analysis, Smits et al. [24] demonstrated that, overall, the LAS accurately predicted mortality in a cohort of candidates in Eurotransplant with HU status. In this published study, which included 317 patients (median LAS 35), 18 % were ventilated and 7 % were on ECS. An adjustment of the original LAS may, however, be indicated according to Smits et al. [24] in order to accurately predict wait list mortality in the sickest patients. A major problem is that the LAS does not include ECMO.

To the best of our knowledge, our study is the first in which risk stratification of LTx candidates on invasive respiratory support has been analysed. The factors we identified as having an impact on 1-year survival are clinically relevant. The level of PCT in the blood stream may be increased in response to a proinflammatory stimulus, especially that of bacterial origin, and can be used as a marker of severe sepsis-a potential contraindication to transplantation. It is important to stress that our study cohort represents a highly selected group of candidates. General contraindications to LTx, such as history of malignancy, morbid obesity, airway colonization with Burkholderia cenocepacia, smoking, and nonadherence, should be strictly enforced [25]. A further concern of performing LTx in ventilated patients is uncertain neurological status, especially after emergency intubation or even cardiopulmonary resuscitation. Previously unlisted candidates are usually unacceptable because they cannot be completely evaluated and they cannot provide informed consent. A consensus in the

Variables	Waiting list mortality	mortality			Post-LTx mortality	mortality			Overall mortality	nortality			
	Univariate analysis	analysis		Multivariate analysis	Univariate analysis	analysis		Multivariate analysis	Univaria	Univariate analysis		Multivariate analysis	/sis
	Survivors, Non- n (%) surviv n (%)	Non- survivors, n (%)	P value	Hazard <i>P</i> value ratio (95 % CI)	s Survivors, n (%)	Non- survivors, n (%)	P value	Hazard <i>P</i> value ratio (95 % CI)	Le Survivors, $n (\%)$	s, Non- survivors, n (%)	P value	Hazard ratio (95 % CI)	P value
u	62	38			34	26			36	64			
BMI $>27$ kg/m <sup>2</sup> , yes	11 (18)	6 (16)	0.55		5 (15)	5 (19)	0.50		6 (17)	11 (17)	0.92		
BMI <17 kg/m <sup>2</sup> , yes	7 (11)	6 (17)	0.85		5 (15)	2 (8)	0.46		5 (14)	8 (13)	0.97		
Cystic fibrosis, yes	12 (19)	13 (34)	0.48		11 (32)	10 (39)	0.62		7 (19)	18 (28)	0.59		
Pulmonary fibrosis, yes	21 (34)	13 (34)			7 (21)	5 (19)			11 (31)	23 (36)			
BOS, yes	9 (15)	3 (8)			7 (21)	2 (8)			7 (19)	5 (8)			
Split decision, yes	15 (24)	21 (55)	3	2.66 (1.29–5.47) 0.008	5 (15)	9 (35)	0.039	0.89	6 (17)	30 (47)	<0.001		0.94
SAPS >24, yes	26 (42)	22 (58)	0.12		10 (30)	15 (58)	0.044	0.42		37 (58)	0.019	1.99 (1.11-3.58)	0.021
SOFA > 4, yes	27 (45)	20 (54)	0.043	0.48	10 (30)	17 (65)	0.007	5.07 (1.61–15.94) 0.006		37 (59)	0.015		0.44
CRP > 100 mg/l, yes	31 (53)	17 (45)	0.41		18 (56)	12 (48)	0.50		19 (58)	29 (46)	0.26		
PCT $\ge 0.5 \text{ mg/l}$ , yes	14 (27)	19 (58)	0.175		9 (31)	14 (67)	0.025	0.13	9 (30)	33 (61)	0.013	2.97 (1.60-5.50)	0.001
Age >55 years, yes	11 (16)	9 (24)	0.46		6 (18)	5 (19)	0.92		6 (17)	14 (22)	0.45		
Gender female, yes	33 (53)	22 (58)	0.66		21 (62)	11 (42)	0.16		22 (61)	33 (52)	0.60		
GFR $<90$ ml/min/1.73 m <sup>2</sup> , yes	7 (23)	10 (32)	0.014	0.116	4 (12)	3 (12)	0.93		4 (12)	13 (23)	0.069		
LAS >87, yes	34 (55)	23 (61)	0.29		22 (65)	12 (46)	0.18		22 (61)	35 (57)	0.92		
Extracorporeal support, yes	36 (59)	25 (66)	0.06		20 (59)	15 (60)	0.79		21 (58)	40 (63)	0.36		
ECMO, yes	19 (31)	12 (32)			9 (26)	10(40)	0.34			22 (35)	0.42		
Escalation therapy, yes	8 (13)	16 (42)	0.002	3.38 (1.58–7.25) 0.002	2 (6)	6 (23)	0.048	4.46 (1.16–15.94) 0.029	2 (6)	22 (34)	<0.001	2.64 (1.39-5.02)	0.003
Extended donor, yes					5 (15)	4 (15)	0.84						
PGD grade $>1$ at 48 h, yes					20 (63)	8 (35)	0.028	0.11					
Size reduction, yes					12 (40)	17 (71)	0.021	0.094					
Dialysis after LTx, yes					13 (42)	17 (71)	0.057						
LTx, yes									34 (94)	26 (40)	<0.001	0.08 (0.04-0.16)	<0.001
Survival was recorded 1 year after first day of high-urgency approval or after transplantation BMI Body mass index, CRP C-reactive protein, CI confidence interval, BOS bronchiolitis obliterans syndrome, PGD primary graft dysfunction, LTx lung transplantation, ECMO extracorporal membrane oxygenation, GFR	fter first day eactive prote	of high-urge in, CI confi	ancy appro dence inte	val or after transplantation rval, BOS bronchiolitis obl	iterans syndr	ome, PGD p	rimary grat	t dysfunction, <i>LTx</i> lung tr	ansplantation,	<i>ECMO</i> extra	corporal m	iembrane oxygenat	ion, GFR
glomerular filtration rate, LAS lung allocation score, PCT procalcitonin, SAPS Simplified Acute Physiology Score, SOFA Sequential Organ Failure Assessment score	ung allocatio	n score, PC.	T procalcit	onin, SAPS Simplified Ac-	ute Physiolog	y Score, SO	FA Sequen	tial Organ Failure Assess	nent score				

Table 2 Univariate (log rank) and mulitvariate (Cox) analysis

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Table 3 Post-transplant results

Transplant procedure	Values
SLTx, n (%)	7 (12)
DLTx, $n$ (%)	50 (83)
HLTx, $n$ (%)	3 (5)
Cardiopulmonary bypass use, $n$ (%)	45 (80)
Cold ischemic time (min)	347 (317-471)
Donor age (years)	41 (30-48)
Donor ventilator time (days)	3 (2–7)
Donor P/F ratio (mmHg)	432 (397-492)
Donor score (points)	4 (2–6)
Extended donor use, $n$ (%)	9 (15)
Time on HU status (days)	7 (5–16)
Anastomotic dehiscence, $n$ (%)	12 (20)
Obstructive airway complications, $n$ (%)	11 (18)
Primary graft dysfunction > grade 1 (48 h) $n$ (%)	28 (51)
Tracheostomy, $n$ (%)	51 (93)
ICU renal replacement therapy, $n$ (%)	30 (55)
Size reduction, $n$ (%)	29 (54)
Time on ventilator after LTx (days)	27 (15-45)
Hospital length of stay (days)	60 (29-89)
Follow-up (days)	477 (40–1240)

Unless otherwise indicated, data are presented as the median, with the IQR given in parenthesis

*ICU* intensive care unit, P/F pO<sub>2</sub>/FiO<sub>2</sub> ratio, *SLTx/DLTx/HLTx* single/double/heart–lung transplantation, *HU* high urgency

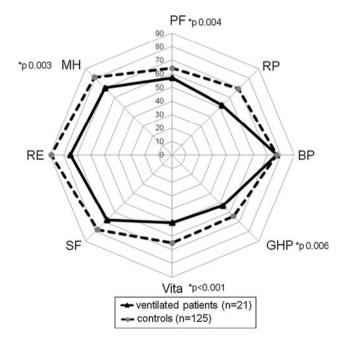


Fig. 4 Quality of life as measured by the Short Form 36 (SF36) at the end of the rehabilitation programme for LTx patients on invasive respiratory support in comparison to a non-ventilated cohort (n = 125). The eight sections of the SF-36 are: *PF* physical functioning, *RP* physical role functioning, *BP* bodily pain, *GHP* general health perceptions, *VITA* vitality, *SF* social role functioning, *RE* emotional role functioning, *MH* mental health

transplant team should be reached, and the possibility of the patient surviving without LTx should be discussed, especially when the patient has "non-classical" lung transplant indications. An individual escalation strategy is necessary after intubated candidates have been accepted for LTx, and daily re-evaluation of candidates on invasive respiratory support is mandatory.

Even brief periods of MV can cause diaphragmatic weakness, which impairs recovery after LTx. Weaning from the ventilator postoperatively becomes more difficult, and delayed mobilization increases the risk of postoperative complications. Although survival is markedly reduced in this high-risk population, in our opinion, LTx is not futile in selected candidates. To limit as much as possible any negative impact on the use of resources and overall transplant outcomes, these patients should only represent a reasonable proportion of patients admitted to LTx programmes (10 % in our centre during recent years).

ECS in intubated patients with end-stage lung disease can improve oxygenation, remove  $CO_2$  and reduce or even avoid ventilatory support [26]. In the case of rightsided heart failure, ECS can provide circulatory support. The disadvantages of ECS include bleeding complications, thromboembolism, limb perfusion deficits, infections, hemolysis and vascular damage. Long-term biliary consequences potentially associated with ECS (ischemic cholangiopathy) after prolonged support are of concern, especially because of the importance of hepatic metabolism after LTx [27].

Our group has recently published its first experience with percutaneous insertion of ECMO in non-intubated lung transplant candidates with right-sided heart failure [28]. In a case series of five patients in which this technique was applied, 80 % of patients were successfully bridged and 75 % survived transplant. These rates compared favorable to the results of intubated LTx candidates in the present study and demonstrate a promising new approach of respiratory support.

The major limitations of our study are its retrospective design and data being only available only on ICU admission. Future studies may include longitudinal changes in variables and scores.

In conclusion, HU LTx improves survival in critically ill candidates requiring invasive respiratory support. An increasing number of ventilated candidates are being accepted for LTx, which indicates the necessity to formulate guidelines for acceptance of this special cohort of patients. The combination of normal levels of PCT, a low SAPS 2 score and the absence of treatment escalation during bridging can help identify candidates on invasive respiratory support who are suitable for LTx with acceptable 1-year survival rates. With the majority of patients surviving the first year after transplantation it is still ethical to accept these candidates.

**Acknowledgments** This work was supported by a grant from the German Federal Ministry of Education and Research (reference number: 01EO0802). The contents of this article are the sole responsibility of the authors.

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