

Antoine Roch
Renaud Lepaul-Ercole
Dominique Grisoli
Jacques Bessereau
Olivier Brissy
Matthias Castanier
Stephanie Dizier
Jean-Marie Forel
Christophe Guervilly
Vlad Gariboldi
Frederic Collart
Pierre Michelet
Gilles Perrin
Remi Charrel
Laurent Papazian

Extracorporeal membrane oxygenation for severe influenza A (H1N1) acute respiratory distress syndrome: a prospective observational comparative study

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A. Roch (✉) · R. Lepaul-Ercole ·
O. Brissy · M. Castanier · S. Dizier ·
J.-M. Forel · C. Guervilly ·
G. Perrin · L. Papazian
Service de Réanimation, Hôpital Sainte
Marguerite, Hôpitaux Sud,
13274 Marseille Cedex 9, France
e-mail: antoine.roch@ap-hm.fr
Tel.: +33-491744948
Fax: +33-491745435

D. Grisoli · V. Gariboldi · F. Collart
Service de Chirurgie Cardiaque,
Hôpital de la Timone, Marseille, France

J. Bessereau · P. Michelet
Service d'Aide Médicale Urgente (SAMU),
Hôpital de la Timone, Marseille, France

R. Charrel
Laboratoire de Virologie,
Hôpital de la Timone, Marseille, France

Abstract Purpose: To compare characteristics, clinical evolution and outcome in adult patients with influenza A (H1N1) acute respiratory distress syndrome (ARDS) treated with or without extracorporeal membrane oxygenation (ECMO).

Methods: A prospective observational study of patients treated in Marseille South Hospital from October 2009 to January 2010 for confirmed influenza A (H1N1)-related ARDS. Clinical features, pulmonary dysfunction and mortality were compared between patients treated with and without ECMO.

Results: Of 18 patients admitted, 6 were treated with veno-venous and 3 with veno-arterial ECMO after median (interquartile, IQR) duration of mechanical ventilation of 10 (6–96) h. Six ECMO were initiated in a referral hospital by a mobile team, a median (IQR) of 3 (2–4) h after phone contact. Before ECMO, patients had severe respiratory failure with median (IQR) PaO₂ to FiO₂ ratio of 52 (50–60) mmHg and PaCO₂ of

85 (69–91) mmHg. Patients treated with or without ECMO had the same hospital mortality rate (56%, 5/9). Duration of ECMO therapy was 9 (4–14) days in survivors and 5 (2–25) days in non-survivors. Early improvement of PaO₂ to FiO₂ ratio was greater in ECMO survivors than non-survivors after ECMO initiation [295 (151–439) versus 131 (106–144) mmHg, $p < 0.05$]. Haemorrhagic complications occurred in four patients under ECMO, but none required surgical treatment. **Conclusions:** ECMO may be an effective salvage treatment for patients with influenza A (H1N1)-related ARDS presenting rapid refractory respiratory failure, particularly when provided by a mobile team allowing early cannulation prior to transfer to a reference centre.

Keywords Acute respiratory distress syndrome (ARDS) · Extracorporeal membrane oxygenation · Influenza A (H1N1) · Pneumonia

Introduction

Extracorporeal membrane oxygenation (ECMO) uses cardiopulmonary bypass technology to assist gas exchange in acute respiratory distress syndrome (ARDS) patients, allowing ventilator settings to be reduced, thus providing

time for treatment and recovery. It has been provided in specialized centres as an alternative therapy for patients responding poorly to conventional mechanical ventilation. Until recently, use of ECMO remained controversial because of negative results reported in previous randomized studies [1, 2]. However, over the last two decades, the

technique itself and ventilator management have progressed considerably, and several studies have reported encouraging survival rates [3, 4]. Indeed, recently an ECMO-based management protocol for selected ARDS patients transferred to a reference centre was shown to improve 6-month disability-free survival [5]. From 2009, the influenza A (H1N1) worldwide pandemic has been responsible for severe cases of pneumonia and ARDS [6–10]. In Canada, only six patients (3.6%) were treated with ECMO for influenza H1N1-related ARDS, with a mortality rate of 33% [11]. Conversely, use of ECMO was more frequent in Southern Hemisphere intensive care units (ICUs), with 68 of 201 (33.8%) mechanically ventilated influenza H1N1 patients treated with ECMO. The corresponding mortality rate was 21% [8].

Marseille South Hospital is a general acute-care, university hospital. It is a reference centre for treatment of severe respiratory infections. Since we expected a high rate of severe ARDS due to influenza H1N1 requiring ECMO therapy based on the data from the Southern Hemisphere [8], we established an ECMO-based protocol in autumn 2009. This protocol included a mobile unit that could initiate ECMO in referral hospitals of our region before transfer to our centre.

This study compared epidemiological characteristics, clinical features and outcome of adult patients with confirmed influenza H1N1-related ARDS treated in our centre with or without ECMO.

Methods

We included all adult patients with influenza H1N1-related ARDS admitted initially or secondarily to our ICU. Positive rapid influenza diagnostic test results were confirmed using quantitative real-time polymerase chain reaction (PCR) assays on nasal swabs. The protocol was approved by the local research ethics committee, who waived the need for informed consent, according to French legislation.

Prospectively collected data included demographic data, presence of identified risk factors for severe influenza H1N1 pneumonia and major co-morbidities, respiratory and haemodynamic parameters at admission, before ECMO initiation and throughout ARDS evolution, technical characteristics of ECMO therapy, complications and outcome. Severity of illness was assessed using the Murray Lung Injury Score [12], the Simplified Acute Physiology Score (SAPS) II [13] at ICU admission and the Sequential Organ Failure Assessment (SOFA) [14] score before ECMO initiation or over the 6 h following initiation of mechanical ventilation in patients not receiving ECMO.

ECMO-based management protocol

Before consideration for ECMO, patients were sedated using continuous neuromuscular blockade and ventilated with volume-controlled ventilation using tidal volume (V_t) of 5–7 ml/kg predicted body weight and positive end-expiratory pressure (PEEP) level ≥ 10 cmH₂O. ECMO therapy was indicated if patients presented PaO₂ to FiO₂ ratio of less than 70 mmHg for at least 2 h under FiO₂ of 1 and PEEP level adjusted to obtain a plateau pressure (Pplat) of 30 cmH₂O, or PaO₂ to FiO₂ ratio of less than 100 mmHg associated with Pplat > 35 cmH₂O, or respiratory acidosis with pH ≤ 7.15 despite respiratory rate ≥ 35 /min. Absolute contraindications included: any contraindication to heparin treatment, chronic disease expected to be fatal within 5 years, Sequential Organ Failure Assessment (SOFA) score > 16 or age > 70 years. Relative contraindications were: body mass index (BMI) > 40 kg/m² and duration of ARDS ≥ 7 days.

ECMO was initiated in our ICU, or in the admitting referral hospital located in our region (31,400 km² area). In the latter case, the patient was transferred to our ICU immediately after ECMO initiation by a team comprising: one intensivist from our ICU, the cardiac surgeon who cannulated the patient and a perfusionist. Venovenous ECMO was instituted using surgical cannulation. However, echocardiography was performed in all patients before cannulation and each day under ECMO. This allowed venoarterial cannulation to be performed initially or later in patients presenting echographic left heart failure, defined as $< 30\%$ left ventricular ejection fraction. The surgical approach was chosen because of the experience and availability of cardiac surgeons in our institution. We used centrifugal pumps (Bio-console 560; Medtronic Perfusion Systems, Minneapolis, MN, USA) with flow of 3–5 l/min in all patients. Circuits were heparin-coated and composed of Quadrox D with Bioline Coating oxygenators (Maquet, Hirrlingen, Germany), 20 Fr cannulae (Edwards Lifesciences, Irvine, CA, USA) and Intersept polyvinyl chloride (PVC) class VI tubing (Medtronic). Initial ventilator settings were: Pplat 20–30 cmH₂O, PEEP 15–20 cmH₂O, respiratory rate 5–10/min and FiO₂ adjusted to obtain arterial O₂ saturation of 90–95% while FiO₂ was set at 1 on the oxygenator. Continuous heparin infusion maintained activated partial thromboplastin time (APTT) at 40–50 s. Triggering limits for transfusion were 80×10^3 /ml for platelets and 10 g/dl for haemoglobin. ECMO was managed by the ICU team with daily intermittent perfusionist support. It was continued until lung recovery, or until irreversible multiorgan failure. Patients were weaned from venovenous ECMO when the following criteria were met: PaO₂ to FiO₂ ratio > 200 mmHg with PEEP ≤ 12 cmH₂O, Pplat < 32 cmH₂O with V_t 5–7 ml/kg, with FiO₂

of 0.21 on ECMO, blood flow of 1 l/min and gas flow of 1 l/min.

Analysis

Descriptive statistics included frequency analysis (percentages) for categorical variables and medians and interquartile ranges (IQR) for continuous variables. Comparisons used the Fisher exact test for categorical variables and Mann–Whitney *U* test for continuous variables. Statistical analysis was performed using SPSS 12.0 software (SPSS, Chicago, IL, USA).

Results

Patient characteristics

Between October 2009 and January 2010, 139 patients were admitted to our institution for confirmed influenza A (H1N1). Among the 22 patients requiring mechanical

ventilation, 18 were admitted to our ICU for ARDS. No cases of bacterial superinfection were diagnosed on admission. Among the ten patients who met the criteria for ECMO, nine received it whereas one patient died of cardiac arrest due to hypoxaemia before ECMO could be organized.

Table 1 summarises patient characteristics. Early-stage chronic lymphocytic leukaemia was detected in two patients receiving ECMO. Of these, one died and one was diagnosed during ICU stay. Of the patients not receiving ECMO, two suffered from cancer (end-stage sarcoma and chronic lymphocytic leukaemia); both died. Compared with patients treated without ECMO, those receiving ECMO presented more often with shock requiring vaso-pressors (7/9 patients versus 2/9, $p = 0.05$); they also had higher median (IQR) lactate levels [4.9 (1.9–12.6) versus 1.6 (1.4–2) mmol/l, $p < 0.05$].

All patients treated with ECMO had refractory hypoxaemia (Table 2) associated with respiratory acidosis (four had $\text{pH} < 7.15$). Prior to ECMO, prone position was used in two patients, and nitric oxide in six. Two patients treated with ECMO had $\text{BMI} > 40 \text{ kg/m}^2$, and one patient required emergency Caesarean before ECMO initiation.

Table 1 Comparison of influenza A (H1N1) ARDS patients receiving ECMO with those receiving mechanical ventilation without ECMO

	ECMO ($n = 9$)	Without ECMO ($n = 9$)
Age, median (IQR), years	49 (26–57)	54 (43–60)
Male sex	3 (33)	4 (44)
BMI, median (IQR), kg/m^2	30 (25–30)	30 (24–35)
Time from onset of flu symptoms to ICU admission, median (IQR), days	4 (2–6)	3 (1–5)
SAPS II at ICU admission, median (IQR)	47 (30–52)	37 (33–48)
Lactate ^a , median (IQR), mmol/l	4.9 (1.9–12.6)	1.7 (1.5–2.4)*
Pregnancy or postpartum	1 (11)	0
Diabetes mellitus	1 (11)	2 (22)
COPD	0	2 (22)
Congestive heart failure	1 (11)	0
Immunosuppressant medications	1 (11)	0
Cancer	2 (22)	2 (22)
At ICU admission		
Mechanical ventilation	7 (78)	3 (33)
Vasopressor	6 (67)	2 (22)
Renal replacement therapy	3 (33)	1 (11)
Duration or length of stay, median (IQR), days		
Mechanical ventilation	27 (20–31)	12 (8–38)
ICU	28 (21–33)	13 (8–48)
Hospital	28 (21–40)	28 (8–50)
Mortality		
ICU	5 (56)	5 (56)
Hospital	5 (56)	5 (56)
Corticosteroids for ARDS	5 (56)	3 (33)
Cause of death		
Intractable respiratory failure	2 (22)	1 (11)
Multiorgan failure	3 (33)	4 (44)

Data are presented as n (%) unless otherwise specified

ECMO extracorporeal membrane oxygenation, BMI body mass index (calculated as weight in kilograms divided by height in meters squared), SAPS II Simplified Acute Physiology Score, COPD chronic obstructive pulmonary disease, ICU intensive care unit

^a Highest lactate level over the 24 h following ECMO initiation in patients with ECMO, or over the 24 h following initiation of mechanical ventilation in patients without ECMO

* $p < 0.05$ versus patients receiving ECMO

Table 2 Severity of illness in the 6 h preceding ECMO or in the 6 h following initiation of mechanical ventilation in patients without ECMO

	ECMO (<i>n</i> = 9)	Without ECMO (<i>n</i> = 9)	<i>p</i> value
Ventilation parameters, median (IQR)			
Lowest PaO ₂ /FiO ₂ ratio (mmHg)	52 (50–60)	96 (89–143)	<0.001
Highest PEEP (cmH ₂ O)	12 (11–14)	10 (10–13)	NS
Highest Pplat (cmH ₂ O)	31 (30–35)	26 (25–29)	<0.05
Lowest pH	7.17 (7.04–7.25)	7.36 (7.3–7.37)	<0.001
Highest PaCO ₂ (mmHg)	85 (69–91)	45 (44–53)	<0.001
Lung Injury Score, median (IQR)	3.6 (3.3–3.7)	3 (2.5–3.5)	<0.01
SOFA, median (IQR)	9 (8–10)	7 (6–8)	<0.01

p value, by Mann–Whitney *U* test

PEEP positive end-expiratory pressure, Pplat plateau pressure, SOFA Sequential Organ Failure Assessment

Details and effects of ECMO support

Median (IQR) duration of mechanical ventilation before ECMO therapy was 10 (6–96) h. Cannulation was performed in a referral hospital by the mobile unit for six patients. Median (IQR) time between contact from the referral hospital and patient cannulation was 3 (2–4) h; transfer to our centre was within 7 (3–8) h. No complication was noted during transfer. Six patients were treated with veno-venous ECMO and three with initial veno-arterial cannulation. Vascular cannulae were inserted through femoral veins or arteries. Median (IQR) ECMO flow was 4.2 (3.7–4.6) l/min on day 1, 3.3 (3.1–3.6) on day 2 and 3.8 (3.6–4) on day 3. Median (IQR) heparin dosage infused during ECMO therapy was 9 (7–11) UI/kg/h. ECMO associated with protective ventilation rapidly decreased PaCO₂ in all patients. In contrast, improvement in PaO₂ was more marked in survivors, despite similar ventilator parameters (Table 3) and similar ECMO settings [pump flow 4 (3.7–4.5) l/min in survivors on first day versus 4.2 (3.4–4.6) in non-survivors, FiO₂ = 1]. Decreasing vasopressor doses and lactate levels were also faster in ECMO survivors.

Outcomes and complications

Patients treated with or without ECMO had the same hospital mortality rate (56%, 5/9, Table 1). The five ECMO non-survivors died during therapy after a median (range) of 5 (2–25) days, two of intractable hypoxaemia and three of multiorgan failure. The four ECMO survivors had median (range) duration of ECMO therapy of 9 (4–14) days and were ventilated for median (IQR) duration of 25

(22–33) days after stopping ECMO. Age of ECMO survivors was similar to non-survivors [42 (25–57) versus 49 (36–51) years], as was BMI [27 (23–30) versus 30 (29–42) kg/m²]. However, a lower peak lactate level [2 (1.7–3.5) versus 12.6 (4.9–13.8) mmol/l, *p* < 0.05] and a non-statistically significant trend to a lower SAPS II score at ICU admission [34 (20–47) versus 53 (47–59)] were observed in survivors. Despite this, ECMO survivors did not present less severe respiratory failure before ECMO (Table 3) or lower Lung Injury Score [3.4 (3.2–3.6) versus 3.6 (3.5–3.7)]. Of the three patients receiving veno-arterial ECMO, two survived compared with only two of the six patients receiving veno-venous ECMO. Among the five non-survivors treated without ECMO, four died of multi-organ failure and one of cardiac arrest due to hypoxaemia before ECMO could be performed, after median (IQR) duration of mechanical ventilation of 8 (2–8) days.

Haemorrhagic complications occurred in four patients under ECMO: respiratory tract haemorrhage in three, epistaxis requiring nasal packing in one, and diffuse bleeding due to fibrinolysis, including haemothorax, occurring 10 days after surgical lung biopsy in one. This latter complication occurred after 13 days of ECMO in the post partum patient concomitant with ECMO weaning; it was followed by a favourable outcome without surgical treatment. While under ECMO, patients were transfused a median (IQR) of 7 (4–15) units of red blood cells (1 (1–1) U/day), 3 (2–4) units of frozen plasma and 1 (0–1) unit of platelets. Patients with respiratory tract haemorrhage or epistaxis required daily, but not massive, red blood cell transfusion. The patient presenting with fibrinolysis received six red blood cell, six frozen plasma and one platelet unit(s). In one case, membrane exchange was required during ECMO therapy.

Discussion

The results of this observational study show that the implementation of an ECMO-based management protocol including a mobile unit allowing ECMO initiation in referral hospitals followed by transfer to a reference centre resulted in an acceptable mortality rate in patients with influenza (H1N1)-related intractable respiratory failure.

ECMO is expected to be of greatest benefit when initiated early in the course of severe lung injury. Indeed, several reports have clearly shown that survival rate decreases as a function of the period of mechanical ventilation before institution of ECMO [4, 15, 16]. A possible reason for this is the impossibility of applying protective ventilation without ECMO in the most severe cases, which could result in ventilator-associated lung injury and in the initiation of multisystem disease. In the present study, patients treated with ECMO had not only refractory respiratory failure but also higher severity scores than patients

Table 3 Organ dysfunction over time in ECMO survivors ($n = 4$), ECMO non-survivors ($n = 5$) and patients receiving mechanical ventilation without ECMO ($n = 9$)

	Baseline ^a	Day 1	Day 2	Day 3 ^b
PaO ₂ /FiO ₂ ratio (mmHg)				
ECMO survivors	60 (58–61)	295 (151–439)*	173(141–220)	149 (115–228)
ECMO non-survivors	52 (50–58)	131 (106–144)	117 (89–142)	90 (74–131)
Without ECMO	96 (89–143)*	167 (143–176)	158 (125–170)	129 (124–164)
PaCO ₂ , mmHg				
ECMO survivors	80 (69–96)	40 (32–49)	42 (40–44)	41 (38–46)
ECMO non-survivors	86 (80–95)	42 (35–50)	36 (36–37)	41 (37–43)
Without ECMO	45 (44–53)*	44 (35–47)	42 (35–52)	41 (39–42)
PEEP, cmH ₂ O				
ECMO survivors	13 (12–16)	18 (15–21)	18 (16–20)	18 (15–20)
ECMO non-survivors	12 (10–12)	20 (16–20)	18 (17–19)	18 (15–21)
Without ECMO	10 (10–13)	10 (7–14)	10 (9–12)	9 (8–12)
Pplat, cmH ₂ O				
ECMO survivors	35 (33–35)	30 (28–31)	27 (25–30)	26 (25–29)
ECMO non-survivors	30 (30–32)	30 (28–32)	26 (25–30)	25 (24–28)
Without ECMO	26 (25–29)	25 (23–27)	25 (23–27)	24 (23–26)
Norepinephrin, n (%)				
ECMO survivors	4 (100)	1 (25)	1 (25)	2 (50)
ECMO non-survivors	3 (60)	5 (100)	4 (80)	3 (60)
Without ECMO	2 (22)	5 (56)	6 (67)	6 (67)
Norepinephrin dose, mg/h				
ECMO survivors	1.1 (0.5–2.4)	1.2	0.2	0.6 (0.4–0.7)
ECMO non-survivors	7 (4.5–7)*	6 (3–8.6)*	2 (1.6–3.7)	0.8 (0.7–1.7)
Without ECMO	1 (0.5–2)	0.8 (0.7–1)	0.8 (0.7–0.8)	0.8 (0.6–0.9)
Lactate, mmol/l				
ECMO survivors	– ^c	2 (1.7–3.5)	1.6 (1.5–2.4)	1.4 (1.3–1.6)
ECMO non-survivors	– ^c	12.6 (5–13.8)*	3.7 (1.8–5.7)	2.7 (2.1–5.4)
Without ECMO	1.6 (1.4–2)	1.7 (1.5–2.4)	1.6 (1.6–1.8)	1.5 (1.1–1.7)
Renal replacement therapy, n (%)				
ECMO survivors	1 (25)	2 (50)	2 (50)	2 (50)
ECMO non-survivors	0	2 (40)	2 (40)	2 (40)
Without ECMO	0	0	1 (11)	2 (22)

PEEP positive end-expiratory pressure, Pplat plateau pressure

^a In the 6 h preceding ECMO in patients receiving ECMO or in the 6 h following mechanical ventilation in patients not receiving ECMO

^b One patient died under ECMO on day 2

^c Not available before ECMO in most patients cannulated in referral hospitals; data presented as median (IQR) unless otherwise specified

* $p < 0.05$ versus other groups

not requiring ECMO, due to the severity of pneumonia. Moreover, ECMO non-survivors required much higher doses of norepinephrin and had higher lactate levels before ECMO initiation. This latter result confirms that ECMO may be less useful in patients with very severe shock and where multiorgan failure is already initiated. Although early ECMO was possible in the most severe cases through rapid intervention of our mobile team, it must be remembered that it was performed as a salvage therapy in at least two patients for whom multisystem disease had already initiated. The advantage of a mobile team allowing patient transfer under ECMO to a reference centre has previously been suggested [4]. Its potential benefit may be reinforced for fulminating ARDS such as that encountered with influenza H1N1. In the present study, it allowed ECMO initiation in referral hospitals for six patients who could not have been transported otherwise.

Although the severity of hypoxaemia or respiratory acidosis before ECMO was not different between ECMO survivors and non-survivors, we observed a greater

improvement in PaO₂ in survivors rapidly after ECMO initiation. Severity of hypoxaemia after ECMO initiation has previously been suggested to be linked with prognosis. Indeed, in a recent multicentre database report [16], FiO₂ required after 24 h of ECMO was higher in ECMO non-survivors.

In a multicentre observational study, 68 patients were reported as treated with ECMO for influenza H1N1-induced ARDS in Australian and New Zealand ICUs [8]. The mortality rate reported was 21%, although a few patients were still in ICU. Although our study was a single-centre study of only nine patients treated with ECMO, several other reasons could explain the discrepancy between the 56% mortality rate that we report and the much lower rate reported in Australia and New Zealand. First, we used inclusion criteria for ECMO based on a recent multicentre randomized controlled study [5]. In this study, a 37% ICU mortality rate was reported for 90 ARDS patients treated with ECMO. Conversely, no inclusion protocol was reported in the Australia/New Zealand report on ECMO for

influenza H1N1 ARDS [8]. This could have resulted in application of ECMO therapy in less severely affected patients. There is evidence of this: median (IQR) PaCO₂ was 69 (54–86) mmHg in the Australian report [4], whereas it was 85 (69–91) mmHg in our patients. PaCO₂ >70 mmHg has been associated with increased mortality in patients treated with ECMO [16]. Moreover, although patients with SOFA score >16 were not considered for ECMO therapy, decisions to use ECMO were always taken with extreme urgency in patients who had just been mechanically ventilated. In these conditions, early applied severity scores may not reflect exactly the risk of evolution to multiorgan failure. As a result, multiorgan failure contributed to death in most of our ECMO non-survivors, whereas no patients died of multiorgan failure in the Australia/New Zealand cohort [8]. Additionally, only five patients treated with ECMO (8%) required renal replacement therapy in the latter study, whereas it was necessary in five (56%) of our patients. Finally, our centre is considered as a regional reference centre for treatment of the most severe cases, which could explain the high levels of severity seen in our patients. We therefore cannot exclude that less severely ill patients might benefit from ECMO with a better outcome. Nonetheless, like us, most physicians will be concerned by the high mortality rate reported here in patients treated both with and without ECMO. Treatment decisions were always taken by a multidisciplinary team

comprising intensivists and cardiac surgeons, ruling out systematic errors in support. Finally, due to the low number of patients in our cohort, mortality comparisons with larger populations of ARDS patients are difficult. Nevertheless, the relatively high proportion of patients with severe comorbidities such as cancer probably contributed to our high mortality rate, particularly in the group of patients not receiving ECMO.

We used wire-reinforced 20 Fr cannulae to prevent kinking. The thin-wall technology allows higher flow rates with smaller cannulae. These allow a single cannula to be used while maintaining sufficient flow. Their use may help prevent venous injury and thrombosis. However, whether their use induces very strong suction pressures which may induce coagulation disorders remains to be evaluated.

Corticosteroids were notably administered in patients with persistent ARDS, preventing ECMO weaning after 7–10 days. In one patient who survived, they were given based on the result of a surgical lung biopsy showing recent lung fibrosis. The four other patients who received steroids under ECMO died. Post mortem biopsy performed in two of them revealed lung fibrosis.

In conclusion, ECMO may be an effective salvage treatment for patients with influenza A (H1N1)-related ARDS presenting rapid refractory respiratory failure, particularly when provided early in a specialized reference centre.

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