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Effects of mechanical ventilation on the extracellular matrix

Received: 15 September 2006 Accepted: 11 November 2007 Published online: 9 February 2008 © Springer-Verlag 2007

This article is discussed in the editorial available at: http://dx.doi.org/10.1007/s00134-007-965-8.

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Introduction

Mechanical ventilation may worsen pre-existing lung disease due to inhomogeneous distribution of insufflated air, resulting in regional overdistension (volutrauma and barotrauma) and derecruitment, which leads to repeated opening and closing of collapsed alveoli (atelectrauma) [1, 2]. Ventilator-induced lung injury (VILI) is the result of a complex interplay among various mechanical forces acting on lung structures during mechanical ventilation [2]. Critical physical forces contributing to VILI have been defined as "stress" (force per unit of area) or "strain" (force along longitudinal axis) [3], and their primary possible targets include: (1) the epithelial [4] and (2) endothelial [5] cells, (3) the extracellular matrix (ECM) [6, 7], and (4) the peripheral airways [8, 9].

Abstract The extracellular matrix (ECM) plays an important role in the biomechanical behaviour of the lung parenchyma. The ECM is composed of a three-dimensional fibre mesh filled with different macromolecules, including the glycosaminoglycans and the proteoglycans, which have important functions in many lung pathophysiological processes: (1) regulating the hydration and water homeostasis, (2) maintaining the structure and function, (3) modulating the inflammatory response, and (4) influencing tissue repair and remodelling. Ventilator-induced lung injury is the result of a complex interplay among various mechanical forces acting on lung structures such as the epithelial and endothelial cells, the extracellular matrix, and the peripheral

airways during mechanical ventilation. Although excellent reviews have synthesized our current knowledge of the role of repeated cyclic stretch and high tidal volume ventilation on alveolar and endothelial cells, few have addressed the effects of mechanical ventilation on the ECM. The present review focused on the organization of the ECM, mechanotransduction and ECM interactions, and the effects of mechanical ventilation on the ECM. The study of the ECM may be useful to improve our understanding of the pathophysiology of lung damage induced by mechanical ventilation.

Keywords Extracellular matrix · Mechanical ventilation · Parenchyma remodelling · Acute respiratory distress syndrome

Although excellent reviews have synthesized our current knowledge of the role of repeated cyclic stretch and high tidal volume ventilation on alveolar and endothelial cells, few have addressed the effects of mechanical ventilation on the ECM.

In this review, we will discuss: (a) the organization of the ECM; (b) mechanotransduction and ECM interactions; and (c) the effects of mechanical ventilation on the ECM.

Extracellular matrix organization

The ECM is not only a scaffold, having a mechanical role in supporting and maintaining tissue structure, but is also a complex and dynamic meshwork influencing many biological cell functions such as lung development, cell migration, and proliferation. The macromolecules that composed of microfibrils and a small amount of elastin; constitute the ECM are: (1) fibrous proteins (collagen and elastin); (2) structural or adhesive proteins (fibronectin and laminin); and (3) proteoglycans and glycosaminoglycans (Fig. 1). In addition, matrix metalloproteinases play a relevant role at maintaining the turnover of ECM molecules.

Collagen

Collagen fibre constitutes the main component of ECM. The most important collagen fibres are: types I, II, III (fibrillar) and IV, V, VI (non-fibrillar). The turnover of collagen fibres is a dynamic process, necessary for the maintenance of the normal lung architecture [10]. The amount of collagen deposition depends on the extent of the alveolar injury and the intensity of inflammatory mediator release in the lung parenchyma [11]. Type III collagen fibre, which is more flexible and susceptible to breakdown, predominates early in the course of lung injury, whereas type I collagen (comprised of thicker and cross-linked fibrils) is more prevalent in the late phase [12, 13].

Elastin

Elastic fibres comprise three components defined according to their amount of elastin and fibril orientation: (1) oxytalan, composed of a bundle of microfibrils; (2) elaunin,

and (3) fully developed elastic fibres, composed of microfibrils and abundant elastin [10]. Chondroblasts, myofibroblasts, and smooth muscle cells synthesize these fibres. Due to their mechanical properties, elastic fibres provide recoil tension to restore the parenchyma to its previous configuration after the stimulus for inspiration has ceased. In normal alveolar septa, a subepithelial layer of elastic fibres composed mainly of fully mature elastic fibres confers great elasticity to the alveolar tissue in normal situations [10]. The elastic component of the ECM represents one of the structures potentially involved in alveolar remodelling as well as in the consequent loss of pulmonary compliance observed in ARDS [11].

Glycosaminoglycans and proteoglycans

In the connective tissue, proteoglycans (PGs) form a gelatinous and hydrated substance embedding the fibrous proteins. Proteoglycans are constituted of a central protein bound to one or more polysaccharides, denominated glycosaminoglycans (GAGs).

Glycosaminoglycans

GAGs are long, linear, and heterogeneous polysaccharides that consist of repeating disaccharide units. There are two

Fig. 1 Extracellular matrix components in lung parenchyma. Note the predominance of versican in the pulmonary interstitium, perlecan in the vascular basement membrane, and decorin in the interstitium and in the epithelial basement membrane linked with collagen fibrils, syndecan, and glypican on the cell surface. CS, Chondroitin sulphate; HS, heparan sulphate; DS, dermatan sulphate



main types of GAGs: (1) non-sulphated GAG (hyaluronic Basal lamina molecules acid) and (2) sulphated GAGs (heparan sulphate and heparin, chondroitin sulphate, dermatan sulphate, and keratan sulphate). With the exception of hyaluronic acid, GAGs are usually covalently attached to a protein core, forming an overall structure referred to as proteoglycan [14].

Non sulphated glycosaminoglycan (hyaluronic acid): Hyaluronic acid is the most abundant and the largest nonsulphated GAG in the ECM. It is primarily synthesized by mesenchymal cells, being a necessary molecule for the assembly of a connective tissue matrix and an important stabilizing constituent of the loose connective tissue. Hyaluronic acid is an important determinant of tissue hydration [15] and is also involved in tissue repair [16] and in protection against infections and proteolytic granulocyte enzymes [17].

Sulphated glycosaminoglycans: These other GAGs are synthesized intracellularly, sulphated, secreted, and usually covalently bound to a protein core to form the proteoglycans. The most important sulphated GAGs are chondroitin sulphate, heparan sulphate, and dermatan sulphate. The polyanionic nature of GAGs is the main determinant of the physical properties of proteoglycan molecules, allowing them to resist compressive forces and to simultaneously maintain tissue hydration [18].

Proteoglycans

In the lung, the main proteoglycan families may be distinguished based on GAGs' composition, molecular weight, and function: chondroitin-sulphate-containing proteoglycan (versican), heparan-sulphate-containing proteoglycans (perlecan and glypican), chondroitin- and heparan-sulphate-containing proteoglycan (syndecan), and dermatan-sulphate-containing proteoglycan (decorin). They are localized in different areas of the ECM: versican in the pulmonary interstitium; perlecan in the vascular basement membrane; decorin in the interstitium and in the epithelial basement membrane linked with collagen fibrils; syndecan and glypican at the cell surface [19] (Fig. 1).

Proteoglycans have a number of different biological functions. Versican, due to the high ionic charge of its multiple GAG side-chains, plays a critical role in determining the water content or turgor of extracellular matrices, influencing tissue viscoelastic behaviour as well as cell migration and proliferation. Additionally, perlecan acts as a filtration barrier [20] and syndecan functions primarily as a cell surface receptor for matrix ligands [21]. Decorin and biglycan bind to collagen and affect fibrinogenesis and matrix assembly [22]. Proteoglycans also bind various growth factors, such as transforming growth factor (TGF)- β and fibroblast growth factor (FGF), and modulate their effect on cell proliferation and matrix deposition by influencing their bioavailability [23].

The basal lamina of pulmonary cells is composed of different molecules, including like laminin, nidogen, and perlecan [24]: (1) Laminin is a long sword-shaped trimer [25]. The end of the "sword" can bind cell receptors, and the crosspieces allow laminin to bind to other laminin molecules. Other sites for nidogen and perlecan binding are also present in the molecule. (2) Nidogen, also known as entactin, bridges between the laminin and collagen layers and perlecan in the basal lamina. (3) Perlecan is the predominant proteoglycan in the basal lamina. It binds collagen, laminin, itself, and nidogen [26] (Fig. 1).

On one side of the cell membrane, the basal lamina is linked to the cell by means of integrins [27, 28], while on the other side it is liked to the ECM through links to collagen type IV. Integrins are adhesive membrane receptors that exist as heterodimers. They exhibit a "Velcro" effect: they have strength in numbers, but are individually easy to disrupt. They require Ca²⁺ or Mg²⁺ to bind, and their job is to link the ECM to the cytoskeleton. Fibronectin reinforces the connections between the basal lamina with both the cell membrane and the other ECM components.

Extracellular matrix metalloproteinases

Matrix metalloproteinases (MMPs) are a family of enzymes that degrade components of the ECM, including collagens, fibronectin, laminin, proteoglycans, entactins, and elastin [29]. In particular, they are very important in: (a) the breakdown of ECM and basement membrane, (b) tissue remodelling and angiogenesis, and (c) the restoration of functional connective tissue in the wound-healing process.

The 72-kDa gelatinase A (MMP-2), is the most widely distributed of all the MMPs and is expressed constitutively by a number of cells, including endothelial and epithelial cells. The 92-kDa gelatinase B (MMP-9) is produced by several types of inflammatory cells, including PMNs and alveolar macrophages, as well as stimulated connective tissue cells. Gelatinase A, along with gelatinase B, plays an important role in pericellular basement membrane turnover by degrading type IV collagen, a main component of the basement membrane [30].

Because MMPs may cause significant host damage, their proteolytic activity is tightly regulated. Thus, MMPs are rarely stored, but require gene transcription before secretion, with the exception of neutrophil MMP-9. In fact, these are either secreted as pro-enzymes that require proteolytic cleavage or are activated intracellularly by pro-protein convertases such as furin. Specific inhibitors of MMPs, the tissue inhibitors of metalloproteinases (TIMPs), which bind MMPs in a 1:1 manner, are secreted to prevent enzymatic activity; as a result, the balance between MMPs and TIMPs determines matrix turnover, where either an excess of MMPs or a deficit of TIMPs may result in excess ECM degradation [30].

The majority of MMPs are not expressed in normal healthy tissues, but are expressed in diseased tissues that are inflamed or undergoing repair and remodelling [31]. MMP expression may be upregulated by exogenous stimuli, cytokines, and cell–cell contact. Conversely, cytokines such as interferon- γ and interleukins (IL)-4 and -10 may downregulate MMP expression. Both inflammatory and stromal cells can express MMPs, although the profile is both cell and stimulus specific.

Mechanotransduction and the ECM

Mechanotransduction is the conversion of mechanical stimuli into biochemical and biomolecular signals [32, 33]. The mechanosensor is defined as the system that detects the mechanical stimuli on the cell and converts it into a biological signal [34]. While much has been elucidated regarding the ability of pulmonary cells to sense and integrate information from mechanical distortion, little is known about how this information alters the ECM and affects outcome in patients on mechanical ventilation.

Living cells often need to integrate biochemical signals with mechanical information from their microenvironment [35]. This mechanotransduction is powerful, eliciting proliferation, differentiation, or apoptosis in a manner dependent upon the extent of physical deformation. The cell's internal "pre-stressed" structure and its "hardwired" interaction with the ECM appear to confer the ability to filter biochemical signals and decide between divergent cell functions influenced by the nature of signals from the mechanical environment. In some instances mechanical signalling through the tissue microenvironment has been shown to be dominant over genomic signals. Indeed, mechanical interactions between cells and the matrix are known to modulate cell contractility and myosin light chain phosphorylation [36], cell rheology [37], and focal adhesion assembly [38], all of which are critical for the control of cell adhesion, migration, growth, contractility, and viability. Additionally, the mechanical properties of the ECM may influence angiogenesis [39], as well as connective tissue homeostasis itself [40]. The direct interaction between the ECM and cellular biochemistry also has important implications for the biomechanical properties of the connective tissues. Consequently, when we discuss the pathological effects of mechanical force on the ECM, it is also fundamental that we keep in mind the "normal" and beneficial role of cyclic stretch on lung form and function.

Mechanosensing by the ECM

Virtually all organs and tissues are organized as prestressed structural hierarchies that exhibit immediate

mechanical responsiveness and increase their stiffness in direct proportion to the applied mechanical stress [27]. In the lung, the residual filling pressure that remains after expiration is responsible for: (1) tensing and stiffening the ECMs (basement membranes, collagen, elastin) that surround each alveolus, and (2) resisting surface tension forces acting on the epithelium [41]. Lung expiration and inspiration influence this force balance and produce complex micromechanical responses in the lung parenchyma, including lengthening and shortening (and tension and compression) of alveolar walls depending on the direction of the applied stress [42, 43]. This is accompanied by extension and linearization of some collagen fibres on inspiration, as well as buckling of the same fibres on expiration. Breathing also causes the lateral intercellular spaces between epithelial cells to reversibly shrink and expand without compromising the structural integrity of the tissue. This form of reversible mechanical deformation might activate intracellular signalling within surrounding alveolar cells by altering the local concentration of soluble ligands for epidermal growth factor receptors [28]. Other systems have developed alternative mechanosensing strategies that differ markedly from the ones presumed to be functional in the lung. In cartilage, for example, changes in ion concentrations in the surrounding interstitium can influence whole tissue mechanics by altering the swelling of proteoglycans and consequently the pressure in the cartilage [44].

Mechanotransduction and integrins

The leading hypothesis regarding how the ECM senses mechanical force has been proposed by Ingber [45]. Based on the tensegrity model, the proposal is that the interstitium senses mechanical forces via the integrin adhesion receptors that connect the cytoskeleton to the ECM. Cells sense distortion of the ECM, or an associated increase in its rigidity, as a tug on these adhesion receptors. Integrins connect to the cytoskeleton through focal adhesions that contain multiple actin-associated proteins such as talin, vinculin, paxillin, and zyxin (Fig. 2). The cytoskeleton, in turn, responds mechanically to forces transferred over the ECM and channelled through integrins by rearranging its interlinked actin microfilaments, microtubules, and intermediate filaments, as well as associated organelles (e.g., mitochondria) and nuclei, thereby strengthening the whole cell against the potential deleterious effects of mechanical distortion [27]. The ability to channel mechanical forces over discrete molecular paths to sites deep inside the cytoplasm and nucleus explains how cell distortion or mechanical stress application to ECM and bound cell surface integrins result in predictable and focused changes in nuclear activity and, hence, biomolecular changes in cell form and function.

Fig. 2 Organization of matrix components and receptors that mediate mechanical signalling coming from the outside in alveolar epithelial cells. Stretching deflects matrix fibres that transduce signals via dystroglycan on the surface of alveolar epithelial cells



Mechanotransduction and laminin

In addition to collagen, integrin receptors can also anchor to other ECM molecules such as fibronectin or laminin. Recently, Jones and colleagues [25] demonstrated a novel mechanism for mechanotransduction in type II alveolar cells. These cells secrete ECM rich in anastomosing fibres composed of the $\alpha 3$ laminin subunits (i. e. laminin 6), and perlecan. Using an in vitro model, cyclic stretch (30 cycles/min, 10% strain) induced activation of mitogenactivated protein kinase (MAPK) that could not be inhibited by treatment with an antagonist to the α 3 laminin subunit (function-inhibiting antibody) (Fig. 2). In contrast, when dystroglycan is knocked down using short hairpin RNA, MAPK activation is inhibited. These results support the hypothesis that, in addition to integrins, signals generated by mechanical stretch could be internalized by laminin-6, via interaction with dystroglycan. Stretching deflects matrix fibres that transduce signals via dystroglycan on the surface of alveolar epithelial cells. Further studies are required to determine whether the choice of different mechanisms represent a cell- or dose-specific response and how this can be integrated at tissue level.

Mechanotransduction and proteoglycans

Collagen and elastin are thought to dominate the elasticity of the connective tissue, including lung parenchyma. The

GAGs or the proteoglycans may also play a role because osmolarity of interstitial fluid can alter the repulsive forces on the negatively charged GAGs, allowing them to collapse or inflate, which can affect the stretching and folding pattern of the fibres. Hence, the elasticity of lung has been hypothesized to arise primarily from: (1) the topology of the collagen–elastin network and (2) the mechanical interaction between proteoglycans and fibres. More recently, it has also been suggested that proteoglycans may play a fundamental role in stabilizing the collagen–elastin network of connective tissue and contributing to lung elasticity and alveolar stability at medium lung volumes [46].

Further studies are required to understand how signals generated by proteoglycan swelling may lead to this process and the pathophysiology of VILI.

Impact of mechanical ventilation on ECM

Mechanical forces can modify the gene expression of several molecules related to the inflammatory [47–49] and remodelling [6, 50–53] processes. These forces induce direct secretion of various growth factors, such as TGF- β and connective tissue growth factor (CTGF), which accelerate the remodelling of the matrix and extracellular protein release, respectively [28, 54–56]. Because large amounts of TGF- β are present in both the alveoli and airways of healthy adults, much of the regulation of TGF- β occurs at the level of activation of stored latent complexes. In the

alveoli, much of this activation appears to be controlled through spatially restricted activation by the integrin α -v- β -6, but the mechanisms regulating TGF- β activity in the conducting airways remain to be determined [56]. Integrin expressed on the luminal surface can present active TGF- β to luminal macrophages, thereby inhibiting protease secretion and maintaining alveolar homeostasis. Integrin on the basal surface can present active TGF- β to fibroblasts, which in excess contributes to the development of pulmonary fibrosis and also to endothelial cells, which regulate pulmonary vascular permeability. Integrin on the lateral surface can present active TGF- β to adjacent epithelial cells, increasing epithelial permeability and decreasing the reabsorption of salt, and therefore water, from the alveolar space. TGF- β directly increases the permeability of both pulmonary endothelial and alveolar epithelial monolayers [57]. Furthermore, TGF- β leads to a dramatic reduction in the expression of the sodium channel ENaC on the apical surface of alveolar epithelial cells [58], thereby impairing the removal of salt and water from the alveolar lumen. Thus, it appears that activation of TGF- β by the α -v- β -6 integrin plays an essential role in adjusting the set point for the amount of active TGF- β at specific sites in the lung parenchyma, limiting damage caused by unrestricted inflammation as well as promoting alveolar repair.

High-volume ventilation initiates ECM remodelling in patients [59] and experimental models [6, 50, 52, 53, 60]. This event depends on an airway pressure gradient [6, 60] and a transpleural pressure gradient. Berg and colleagues [60] observed higher levels of mRNA for $\alpha 1$ [III] and $\alpha 2$ [IV] procollagen, fibronectin, FGF, and TGF- β 1 in lungs ventilated with high PEEP levels. In this regard, Parker and colleagues [6] found that ventilation with high peak airway pressures and low perfusion pressures led to greater type III procollagen mRNA expression than in unperfused lungs. Type III procollagen is the first collagen to be remodelled in the evolution of lung fibrogenesis and has been used as an early marker of lung parenchyma remodelling [6, 50, 52, 53]. Garcia and colleagues [50] demonstrated that the increase in the tissue stress induced by oscillation force, but not amplitude, increases procollagen type III mRNA expression in rat lung parenchymal strips. In addition, they observed that there is a threshold stress above which lung cells express mRNA for type III procollagen. Furthermore, Farias and colleagues [53] showed that even a short period (40 s) of lung mechanical stretch (40 cmH₂O of continuous positive airway pressure) increased type III procollagen mRNA expression. Along these lines, De Carvalho and colleagues reported that type III procollagen expression was higher in non-dependent regions and in ventilatory strategies that caused overdistension, and this response was partially attenuated by prone positioning [52]. In fact, in healthy rat lungs submitted to injurious ventilation either with high or low tidal volume (V_T) , ECM reacted with an increased

synthesis of mRNA for procollagen type III, which was more pronounced in non-dependent regions of the lungs [61]. This suggests an effect of regional transpleural forces that emerged due to lung heterogeneity in the context of VILI. Furthermore, high V_T leads to an increase in expression and release of gelatinases from epithelial and endothelial cells in the lung due to the mechanical stress on these cells. There is increasing evidence that cyclic mechanical stress affects the release and activation of MMPs and plays an important role in the regulation of ECM remodelling. Cyclic mechanical stress causes the activation of human alveolar macrophages in vitro and their release of gelatinase B [62]. Moreover, Foda and colleagues, in an in vivo rat model of high-volume ventilation, observed that MMPs play an important role in the development of VILI [63].

Mechanical strain also leads to modifications in proteoglycans and GAGs. Al Jamal et al. showed in a model of high V_T ventilation in healthy rats [7] that, at the highest V_T , the expression of versican, heparan-sulphate proteoglycan, and biglycan in lung tissue was increased. In addition, Moriondo and colleagues [64] observed heparan sulphate fragmentation at high V_T , suggesting ventilation-induced plasma membrane disruption. Altered proteoglycans may also contribute to the pathology seen in response to excessive ventilation through their putative pro-inflammatory effects (Fig. 3). GAGs have shown highly specific interactions with various



Fig. 3 Changes in extracellular matrix during spontaneous breathing and mechanical ventilation (MV) with normal or high tidal volume (V_T). In mechanical ventilation at normal tidal volume (6–8 ml/kg) an initial fragmentation of both heparan sulphate (HS) and chondroitin sulphate (CS) proteoglycans is triggered by the activation of a few metalloproteinases (*grey discs*). Deeper degradation of both heparan sulphate and chondroitin sulphate proteoglycans and loss of the entire ECM structure and function is observed in mechanical ventilation at high V_T. At normal V_T, matrix breakdown is associated with enhanced metalloproteinases' degradative digestion and is not associated with release of inflammatory mediators. W/D, Wetto-dry weight ratio

chemokines, such as CCL5/RANTES, CCL2, MCP-1, and CXCL8/IL-8 [65]. In addition, PGs may act as ligands for pro-inflammatory Toll-like receptors [66]. Therefore, fragmentation of GAGs and breakdown of proteoglycans may have an impact on the development of the inflammatory response seen in VILI.

Additionally, the concept of "matrikines" may be considered. Matrikines are a new class of ligands which exist as a domain within an ECM protein. Natural matrikines are those which signal directly from the ECM, while cryptic matrikines are those that require proteolytic breakdown for the ligand to be revealed [67]. Decorin is an example of a proteoglycan matrikine; it functions through the EGF receptor and activates downstream signalling pathways, such as ERK1/ERK2 [68]. Thus, breakdown of ECM proteins caused by high V_T results in an exposure of matrikines, which can then act as ligands to induce subsequent biologic effects [69].

Recent animal studies demonstrated that mechanical ventilation with low V_T may also cause histological damage to peripheral airways and interstitium in healthy lungs [8, 70–72]. These alterations are most likely the consequence of abnormal stresses that develop locally at the level of both the bronchiolar epithelium and the parenchyma, mainly at the alveolar-bronchiolar junctions, because of the cyclic opening and closing of peripheral airways at low end-expiratory lung volume [73]. Duggan and colleagues showed ultrastructural evidence of microvascular endothelial disruption during ventilation with V_T of 8 ml/kg and respiratory frequency of 40 breaths per minute [74]. Interestingly, microvascular injury in their model was more pronounced in animals that did not receive recruitment manoeuvre, suggesting that repeated cyclic stretch of collapsed alveoli, rather than overdistended ones, may play a critical role in injury to pulmonary structures. Moriondo and colleagues [64] reported marked fragmentation of the interstitial and basal membrane proteoglycans in anaesthetized rats ventilated for 4 h at 7 ml/kg V_T. In contrast, other studies

have shown that low- V_T ventilation does not adversely affect the ECM. Al Jamal and colleagues [7] did not find an increase in synthesis of proteoglycans in the ECM after 1 h of mechanical ventilation with V_T of 8 ml/kg. Farias and colleagues [53] reported that 1 h of ventilation with 6 ml/kg V_T in healthy rat lungs did not increase type III procollagen mRNA expression. An important question is whether these alterations are mainly due to activation of an inflammatory process or to direct mechanical damage associated with an ultrastructural lesion (Fig. 3).

Conclusions

Mechanical ventilation may induce physical forces such as stress and/or strain acting on different structures: epithelium, endothelium, peripheral airways, and extracellular matrix. Although the role of repeated cyclic stretch and high V_T and pressure on alveolar and endothelial cells are well studied, the impact of mechanical ventilation on the ECM needs to be unveiled. Mechanical ventilation affects the macromolecules that constitute the ECM (collagen, elastin, fibronectin, laminin, proteoglycan, and glycosaminoglycans) which suffer changes and impact the biomechanical behaviour of the lung parenchyma. Furthermore, changes in ECM alter the mechanical forces on the cells, influencing the way that cells remodel the interstitium. In this regard, the reduction in tidal volume or transpulmonary pressure is associated with a more uniform distribution of the stress and strain with less ECM disorganization, diminishing the risk of rupture and remodelling. The study of the ECM may help to improve our understanding of the pathophysiology of lung damage induced by mechanical ventilation.

Acknowledgements. We would like to express our gratitude to Prof. Rolf Hubmayr and Prof. Claudia Dos Santos for their suggestions.

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