

Samir Jaber  
Jean-Marc Delay  
Stefan Matecki  
Mustapha Sebbane  
Jean-Jacques Eledjam  
Laurent Brochard

## Volume-guaranteed pressure-support ventilation facing acute changes in ventilatory demand

Received: 3 December 2004  
Accepted: 21 June 2005  
Published online: 20 July 2005  
© Springer-Verlag 2005

Electronic Supplementary Material  
Supplementary material is available for this article if you access the article at <http://dx.doi.org/10.1007/s00134-005-2726-x>. A link in the frame on the left on that page takes you directly to the supplementary material.

S. Jaber (✉) · J.-M. Delay · M. Sebbane · J.-J. Eledjam  
Intensive Care Unit, Anesthesia and Critical Care Department B, Saint Eloi Teaching Hospital, 34000 Montpellier, France  
e-mail: s-jaber@chu-montpellier.fr  
Tel.: +33-4-67337271  
Fax: +33-4-67337448

S. Matecki  
Clinical Physiology Center, Arnaud de Villeneuve Teaching Hospital, Montpellier, France

L. Brochard  
Intensive Care Unit, Henri Mondor Teaching Hospital, Créteil, AP-HP, Paris 12 University and INSERM U492, Créteil, France

**Abstract Objective:** To compare volume support ventilation (VSV) in which the pressure support level is continuously adjusted to deliver a preset tidal volume, with pressure support ventilation (PSV), in terms of patient behavior and ventilator response when ventilatory demand was increased by addition of dead space to the circuit. **Design and setting:** Randomized cross-over study in an intensive care unit university hospital. **Interventions:** We assessed in ten patients being weaned off mechanical ventilation the effect of increasing the ventilatory demand by adding a heat-and-moisture exchanger to augment the dead space with a fixed level of PSV and VSV. **Measurements and results:** Arterial blood gases, breathing pattern, and respiratory effort parameters at the end of each of the four steps. Adding dead space significantly increased minute ventilation and PaCO<sub>2</sub> values with both PSV and VSV. Indexes of respiratory effort (pressure-time index of respiratory muscles and work of breathing) increased with both ventilatory

modes after dead-space augmentation. This increase was 2.5–4 times with VSV than with PSV and induced overt respiratory distress in two patients. The assistance delivered during VSV decreased significantly after dead-space augmentation, from 15.0±6.5 to 9.1±4.8 cmH<sub>2</sub>O, whereas no change occurred with PSV. **Conclusions:** With a fixed level of VSV, but not of PSV, an increase in ventilatory demand results in a decrease in the pressure support provided by the ventilator, opposite to the desired response. VSV may conceivably result in respiratory distress in clinical settings.

**Keywords** Mechanical ventilation · Dual-modes · Pressure support ventilation · Weaning

### Introduction

Pressure-targeted modes have a number of limitations related to their dependency on respiratory mechanics. New ventilatory modes have been designed to offer a better response of the ventilator to changes in respiratory mechanics [1, 2]. Indeed, an increase in resistance or

elastance during pressure support normally leads to a drop in tidal volume if no compensation occurs, from the patient or from the ventilator. These new modes, often called dual-control modes, use closed-loop feedback control systems that adapt the ventilator output based on the difference between the measured results of ventilation and a predefined target [1, 2, 3]. To overcome the theo-

**Table 1** Characteristics of the ten study patients (*SAPS II* Simplified Acute Physiology Score II, *COPD* chronic obstructive pulmonary disease, *ARDS* acute respiratory distress syndrome)

No.	Age (years)	Sex	Height (cm)	Weight (kg)	SAPS II	Cause of respiratory failure	Duration of mechanical ventilation (days)	Outcome
1	73	M	174	62	55	Pulmonary infection	15	Survived
2	74	M	168	65	45	Postoperative COPD	17	Died
3	77	M	165	50	36	Pulmonary infection	12	Survived
4	68	M	170	80	49	Peritonitis, ARDS	4	Survived
5	31	M	165	58	21	Pancreatitis, ARDS	28	Survived
6	58	M	165	76	39	Pancreatitis, ARDS	69	Died
7	45	F	185	92	25	Pancreatitis	13	Survived
8	73	M	170	63	41	Postoperative	27	Survived
9	57	F	162	61	28	Postoperative	18	Died
10	82	M	175	72	32	Postoperative	13	Survived
Mean	64	–	171	68	37	–	22	–
SD	16	–	8	12	11	–	18	–

retical limitations of pressure support ventilation volume-support ventilation (VSV) was introduced in the 1990s with the Siemens Servo 300 ventilator (Siemens Elema, Solna, Sweden). VSV is a pressure-limited mode that uses a target tidal volume and minute ventilation for feedback control. Thus the level of pressure support is continuously adjusted to deliver the preset VT. Two anecdotal reports with VSV [4, 5] and one randomized controlled trial [6] have shown variable results. Similar modes of ventilation working on the same principle-volume targeted pressure-regulated mode are now widely proposed by manufacturers on many of the new ventilators, without extensive clinical validation. No physiological studies, however, have specifically checked the efficacy of VSV in terms of adjustment to spontaneous changes in mechanics. Of greater concern, no study had looked at the response of such modes in the case of changes in ventilatory demand, such as those occurring for different states of wakefulness, nutrition, episodes of sepsis, pain, etc.

The aim of the present study was to compare VSV to PSV in terms of patient behavior and ventilator response when ventilatory demand was increased by addition of dead space to the circuit. The primary evaluation criteria were effort to breathe, as assessed by the respiratory muscle pressure-time index, work of breathing, and gas exchange. This work has been presented previously in abstract format [3].

## Material and methods

### Patients

Ten patients were prospectively enrolled who had been on mechanical ventilation via an endotracheal tube for more than 48 h and were ventilated with PSV levels of 10–20 cmH<sub>2</sub>O above positive end-expiratory pressure (PEEP). Exclusion criteria were as follows: coma or need for sedation, contraindication to gastric catheter insertion, hemoglobin level below 8 g/dl, body temperature above

38.5°C, and poor tolerance of PSV defined either as a respiratory rate greater than 30 breaths per minute and tidal volume lower than 6 ml/kg or use of the accessory inspiratory muscles. Patients' characteristics are listed in Table 1. The experimental protocol was approved by the Ethics Committee of Saint-Eloi Teaching Hospital, Montpellier, and written informed consent was provided by each of the patients.

### Protocol

During VSV the ventilator is designed to automatically adapt the pressure support level in response to changes in thoracopulmonary mechanical properties and in the patient's inspiratory effort by comparing the observed tidal volume to the tidal volume target selected by the physician, taking into account a minimal calculated minute ventilation [2, 4, 5, 6]. As with PSV, the patient controls the respiratory rate, inspiratory time, and flow. The ventilator initiates VSV by delivering a test breath when patient effort is detected, with a peak pressure of 5 cmH<sub>2</sub>O (first-generation Siemens Servo 300 ventilator) or 10 cmH<sub>2</sub>O (second generation Siemens Servo 300 ventilator). The delivered tidal volume is measured and the ventilator makes a rough calculation of the impedance of the respiratory system over the first cycles. The ventilator then applies enough pressure to achieve the target tidal volume on a breath-by-breath basis. Once the target tidal volume is reached, the ventilator's response to a rise in impedance is an increase in the pressure support level until the actual tidal volume matches the target tidal volume. Conversely, when the patient's impedance decreases (i.e., when tidal volume becomes higher for a given level of pressure support), the ventilator reduces the level of pressure support. From breath-to-breath, the maximum pressure change is 3 cmH<sub>2</sub>O and can range from 0 cmH<sub>2</sub>O above PEEP to 5 cmH<sub>2</sub>O below the high-pressure alarm setting [1, 5, 6].

With VSV the respiratory rate must be set and serves three functions.

1. Based on the preset tidal volume the ventilator uses the preset respiratory rate to compute the "minimum minute ventilation" (respiratory rate multiplied by tidal volume). If the patient's respiratory rate is lower than the preset respiratory rate, the minimum minute ventilation cannot be reached. The ventilator then computes a new target tidal volume as the reference for regulating pressure support and increases the applied pressure until this higher target tidal volume is reached in order to achieve the target minimum minute ventilation. The new tidal volume may be up to 150% of the preset tidal volume. For example, with a preset tidal volume of

600 ml and a preset respiratory rate of 10 breaths per minute the minimum minute ventilation is 6 l/min. If the respiratory rate drops below 10 breaths per minute, the maximum new target tidal volume is 900 ml.

2. The respiratory rate defines the respiratory cycle time. When the inspiration time exceeds 80% of the total set respiratory cycle time, the ventilator terminates the inspiration. The Siemens Servo 300 ventilator uses this as a secondary mechanism for terminating inspiration when the main criterion, i.e., and inspiratory flow decline to 5% of peak inspiratory flow, is not reached.

3. The respiratory rate serves as the ventilator rate if the patient becomes apneic, which activates the backup controlled mode.

The effect of an increase in ventilatory demand, obtained by adding dead space to the circuit, was assessed with a fixed level of PSV and with VSV, in random order. The patients were studied in a comfortable semirecumbent position. With both modes the following sequence was applied in the same order: after a 20 min period of stable ventilation ("baseline") a heat-and-moisture exchanger representing a dead space of 100 ml [7, 8, 9] was inserted between the tracheal tube and the Y-piece of the ventilatory circuit and left for 20 min (dead space period). Between the two modes 15 min of assist-control ventilation was used. Patients were not informed of the details of the changes during the two test periods.

Prior to the study period PSV was applied for 5 min to allow determination of the inspiratory pressure level that achieved a tidal volume between 6 and 8 ml/kg with a respiratory rate between 20 and 30 breaths per minute; the resulting values of pressure and tidal volume were used for PSV and VSV, respectively, and were applied throughout the study. With VSV the minimal respiratory rate was set at 10 breaths per minute. The extrinsic PEEP level was set at 4–6 cmH<sub>2</sub>O and kept constant throughout the study. FIO<sub>2</sub> was set to achieve oxygen saturation greater than 95%.

## Measurements

All measurements were performed during the last 5 min of the four study periods. The air flow rate was measured using a heated and calibrated pneumotachograph (Fleisch no. 1, Fleisch, Lausanne, Switzerland) that was linear over the experimental flow range. Airway pressure (Paw) was measured close to the pneumotachograph using a differential pressure transducer (MP45, ±100 cmH<sub>2</sub>O, Validyne, Northridge, Calif., USA). Esophageal and gastric pressures (Pes and Pga, respectively) were measured using a double-balloon catheter (Marquat, Boissy-Saint-Léger, France) inserted through a nostril after topical anesthesia then advanced until the distal and proximal balloons were in the stomach and midesophagus, respectively. Each balloon was filled with 0.5–1 ml air and connected to its own differential pressure transducer (MP45, ±100 cmH<sub>2</sub>O, Validyne). Placement of the esophageal balloon was assessed using the airway occlusion test [10] and placement of the gastric balloon by checking that smooth manual pressure on the abdomen produced Pga fluctuations and that swallowing produced a sharp Pes peak related to esophageal contraction with no Pga peak [11]. Transdiaphragmatic pressure (Pdi) was obtained by subtracting the Pes signal from the Pga signal (Pdi=Pga–Pes). Flow and pressure signals were digitized at 128 Hz and sampled using an analog-to-digital converter system (MP100, BIOPAC Systems, Santa Barbara, Calif., USA). After elimination of artifact cycles caused by coughing or esophageal or gastric spasms 15–20 consecutive breaths were used to compute mean values. Tidal volume was computed by integration of the flow signal and respiratory rate, inspiratory and expiratory times, duty cycle, and mean inspiratory flow rate were determined from the flow signal. Minute ventilation was calculated as the product of tidal volume by respiratory rate.

## Data analysis and assessment of patient's effort

The patient's inspiratory effort was evaluated based on pressure indexes and inspiratory work of breathing (WOB). We measured the esophageal and transdiaphragmatic pressure swings ( $\Delta$ Pes and  $\Delta$ Pdi, respectively) and calculated the esophageal pressure-time product (PTPeso) and the transdiaphragmatic pressure-time product (PTPdi). The esophageal pressure-time product per cycle (PTPeso/br) was obtained by measuring the area under the Pes signal between the onset and end of inspiration and was normalized for the chest wall static recoil pressure-time relationship [12, 13]. The mean PTPeso/br (cmH<sub>2</sub>O s<sup>-1</sup>) value was multiplied by respiratory rate to obtain PTPeso/min (cmH<sub>2</sub>O s<sup>-1</sup> min<sup>-1</sup>). PTPdi was calculated as the integral of transdiaphragmatic pressure over time during the phase of inspiratory muscular effort [12]. PTPdi per breath (PTPdi/br) was calculated as the area under the Pdi signal from the onset of its positive deflection to its return to baseline [14]. The mean PTPdi/br (cmH<sub>2</sub>O s<sup>-1</sup>) value was multiplied by RR to obtain PTPdi/min (cmH<sub>2</sub>O s<sup>-1</sup> min<sup>-1</sup>).

Inspiratory WOB was computed from Pes and tidal volume loops as previously described [13, 15]. The inspiratory WOB per breath was calculated from a Campbell diagram by computing the area enclosed between the inspiratory esophageal pressure-tidal volume curve and the static esophageal pressure-volume curve of the chest wall, using a theoretical value for chest wall compliance (4% of the predicted value for the vital capacity per cmH<sub>2</sub>O). Although the use of this theoretical value may result in some error, this error would be identical for all periods and therefore would not affect the validity of comparisons. Inspiratory WOB is expressed as joules per breath, as the work per volume unit (joules per liter, or as the work per time unit (joules per minute). We hypothesized that no change in the mechanical properties of the respiratory system occurred during the study period.

Blood samples were obtained for arterial blood gas analysis (ABL 520 analyzer; Radiometer, Copenhagen) at the time of respiratory measurements through a 20-G catheter inserted in a radial or femoral artery. Standard three-lead monitoring electrodes continuously recorded heart rate and rhythm. Systolic and diastolic arterial blood pressures were continuously monitored through the radial artery signal. Oxygen saturation was continuously monitored using pulse oxymetry.

## Statistical analysis

Values are expressed as mean ± standard deviation. The comparisons performed in the study were as follows: (a) baseline, VSV vs. PSV; (b) during VSV, baseline vs. dead space; (c) during PSV, baseline vs. dead space, and (d) delta (baseline–dead space), VSV vs. PSV. Nonparametric analysis of variance (Friedman's test) and the Wilcoxon rank sum test were used for comparisons. Stat-view 5.0 software (SAS Institute) was employed. Differences at the level of  $p < 0.05$  were considered statistically significant.

## Results

The mean values of the main ventilatory parameters are presented in Table 2 and blood gas and hemodynamic parameters in Table 3. None of the study parameters (ventilatory pattern, inspiratory effort, and hemodynamics) differed significantly between PSV and VSV during the baseline period (Tables 2, 3, 4). With PSV, tolerance was good during the baseline and dead-space periods in all patients. With VSV, however, two patients (nos. 2, 7)

**Table 2** Effects of dead space addition on breathing pattern and mechanics under pressure support ventilation (PSV) and volume support ventilation (VSV) [RR respiratory rate, VT tidal volume, VE volume per minute, Ti inspiratory time, Te expiratory time, Ttot

total respiratory time, VT/Ti mean inspiratory flow, Paw max maximal airway pressure, PEEPe external positive end-expiratory pressure, support insufflation pressure (Pimax-PEEPe), PEEPi intrinsic positive end-expiratory pressure]

	PSV			VSV			p <sup>a</sup>
	Baseline	Dead space	p	Baseline	Dead space	p	
RR (breaths/min)	24.8±6.6	25.9±6.6	0.31	22.2±5.7	28.7±8.2	0.01	0.06
VT (ml)	479±116	532±164	0.01	504±196	490±168	0.72	0.08
VE (l/min)	11.1±3.5	13.3±3.8	0.02	10.6±3.5	13.6±4.9	0.02	0.08
Ti (s)	1.1±0.3	1.1±0.3	0.29	1.2±0.4	1.0±0.4	0.02	0.11
Te (s)	1.5±0.4	1.4±0.45	0.45	1.7±0.5	1.3±0.4	0.01	0.12
Ti/Ttot (%)	44±7	44±6	0.72	42±8	42±6	0.39	0.17
VT/Ti (l/min)	0.42±0.10	0.52±0.14	0.04	0.43±0.11	0.51±0.14	0.03	0.29
RR/VT (breaths min <sup>-1</sup> l <sup>-1</sup> )	59.7±28.6	54.4±24.9	0.05	54.1±34.1	65.2±29.8	0.09	0.29
Paw max (cm H <sub>2</sub> O)	18.2±5.9	18.6±6.6	0.54	18.2±7.6	12.4±5.4	0.02	0.32
PEEPe (cm H <sub>2</sub> O)	3.3±2.2	3.2±2.2	0.62	3.5±2.5	3.2±2.2	0.14	0.45
Support (cm H <sub>2</sub> O)	14.9±4.6	15.4±5.7	0.39	15.0±6.5	9.1±4.8	0.01	0.58
PEEPi (cm H <sub>2</sub> O)	3.4±4.1	3.5±5.1	0.52	2.7±4.1	4.0±4.6	0.08	0.80
Compliance (ml/cmH <sub>2</sub> O)	46±22	48±25	0.16	53±33	43±19	0.65	0.72

<sup>a</sup> ΔPSV vs. ΔVSV

**Table 3** Effects of dead space on arterial blood gas and hemodynamic parameters under pressure support ventilation (PSV) and volume support ventilation (VSV) (HR heart rate, SBP systolic blood pressure, DBP diastolic blood pressure)

	PSV			VSV			p <sup>a</sup>
	Baseline	Dead space	p	Baseline	Dead space	p	
pH	7.44±0.06	7.42±0.06	0.01	7.44±0.05	7.40±0.05	0.01	0.61
PaO <sub>2</sub> (mmHg)	132±51	134±50	0.24	122±50	128±47	0.29	0.07
PaO <sub>2</sub> /FIO <sub>2</sub> (mmHg)	243±93	259±86	0.03	242±92	241±75	0.11	0.88
PaCO <sub>2</sub> (mmHg)	39.0±13.0	41.6±13.2	0.01	39.4±10.6	42.7±12.9	0.01	0.74
HR (bpm)	82±13	86±14	0.04	82±9	85±12	0.26	0.28
SBP (mmHg)	145±17	152±20	0.14	137±18	156±28	0.02	0.28
DBP (mmHg)	77±11	73±18	0.01	71±19	83±21	0.01	0.02

<sup>a</sup> ΔPSV vs. ΔVSV

**Table 4** Effects of dead space on inspiratory muscle effort under pressure support ventilation (PSV) and volume support ventilation (VSV) (ΔPes esophageal pressure, PTPes esophageal pressure-time

product, ΔPdi transdiaphragmatic pressure, PTPdi transdiaphragmatic pressure-time product, WOB work of breathing)

	PSV			VSV			p <sup>a</sup>
	Baseline	Dead space	p	Baseline	Dead space	p	
ΔPes (cmH <sub>2</sub> O)	6.4±4.5	8.3±5.1	0.013	5.8±4.1	13.3±8.6	0.005	0.025
PTPes (cmH <sub>2</sub> O s <sup>-1</sup> )	5.4±4.3	7.0±4.9	0.017	4.8±3.4	9.8±6.8	0.005	0.013
PTPes×RR (cmH <sub>2</sub> O s <sup>-1</sup> min <sup>-1</sup> )	124.7±83.8	167.2±105.3	0.017	105.2±64.3	270.4±176.8	0.005	0.025
ΔPdi (cmH <sub>2</sub> O)	6.9±5.2	9.0±6.1	0.019	6.4±4.7	13.2±9.9	0.009	0.037
PTPdi (cmH <sub>2</sub> O s <sup>-1</sup> )	5.6±4.5	6.6±4.9	0.036	4.7±3.4	9.1±7.1	0.037	0.075
PTPdi×RR (cmH <sub>2</sub> O s <sup>-1</sup> min <sup>-1</sup> )	127.8±88.1	157.8±98.1	0.028	97.9±66.5	248.1±158.9	0.017	0.047
WOB (J/breath)	0.24±0.22	0.40±0.34	0.005	0.23±0.18	0.54±0.46	0.005	0.038
WOB (J/l)	0.52±0.47	0.76±0.55	0.005	0.47±0.38	1.04±0.71	0.008	0.047
WOB (J/min)	5.75±4.67	10.05±7.17	0.008	4.77±3.58	14.60±10.15	0.005	0.017

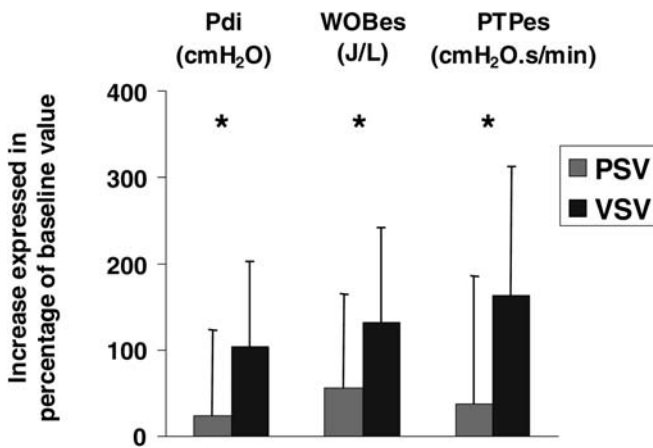
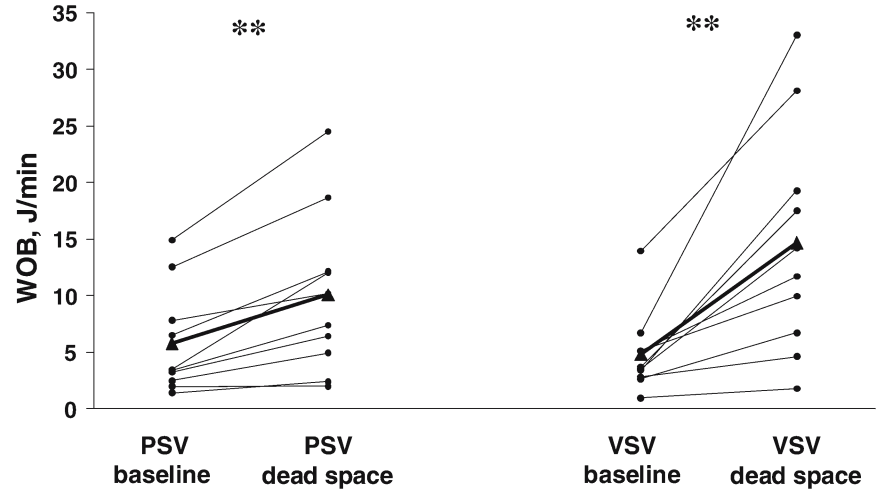
<sup>a</sup> ΔPSV vs. ΔVSV

experienced overt clinical respiratory distress during the dead-space period, which had to be stopped prematurely. The data for the dead-space VSV period in these two patients were therefore recorded for about 5 min after addition of dead space.

Adding dead space significantly increased minute ventilation and PaCO<sub>2</sub> values with both PSV and VSV

(Tables 2, 3). Respiratory effort indexes at the end of the four studied conditions are summarized in Table 4 and individual values for WOB in Fig. 1. With both PSV and VSV all respiratory effort indexes increased after addition of dead space. With VSV the increases were about 2.5–4 times greater than with PSV, as shown in Fig. 2 for several indexes of inspiratory effort (ΔPdi, WOB, and

**Fig. 1** Individual changes in WOB (J/min) in each condition. Thick bars Mean values.  $**p<0.01$



**Fig. 2** Increase in the main respiratory effort parameters: trans-diaphragmatic pressure ( $P_{di}$ ), work of breathing ( $WOB$ , J/L) and pressure-time product of the respiratory muscles ( $PTP_{es}$ ,  $\text{cmH}_2\text{O} \cdot \text{s} \cdot \text{min}^{-1}$ ) with added dead space expressed in percentage of baseline value. Note that the increases with VSV were about 3–4 times those with PSV.  $*p<0.05$

$PTP_{es}$ ). Recordings from an illustrative patient (no. 2) during the four conditions who had difficulty tolerating dead-space under VSV are shown in Fig. 3. Systolic and diastolic blood pressure increased significantly when dead space was applied with VSV, but not with PSV.

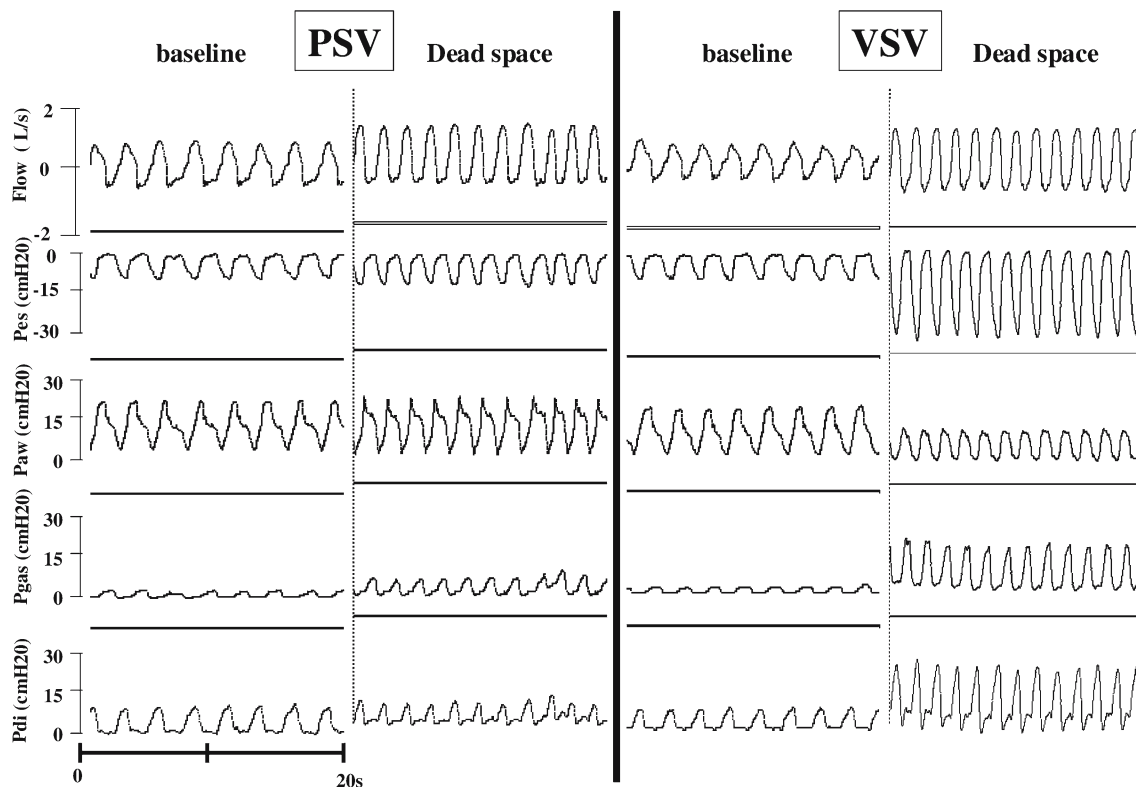
The assistance delivered by the ventilator decreased significantly after addition of dead-space with VSV, from  $15.0 \pm 6.5$  to  $9.1 \pm 4.8$   $\text{cmH}_2\text{O}$  ( $p<0.05$ ); no change occurred with PSV. Figure 4 illustrates the changes in  $\Delta P_{es}$  swings and in the pressure support level delivered by the ventilator ( $P_{aw}$ ) immediately after addition of dead-space with VSV in a representative patient (no. 6). Pressure support decreased gradually after 2 min, from 15 to 8  $\text{cmH}_2\text{O}$ .

## Discussion

The present study provides the first illustration of the response of a ventilator set on volume-guaranteed pressure-control mode to an increase in ventilatory demand compared with the same ventilator on pressure-support mode. In published studies of conventional PSV increasing the ventilatory demand by adding dead space was followed by increases in minute ventilation and inspiratory effort, without any change in the assistance delivered by the ventilator [16, 17, 18]. Our main finding is that an experimentally induced increase in ventilatory demand required far more effort on the part of the patient with VSV, as assessed by WOB and pressure-time product, than with PSV (Table 4, Figs. 1, and 2). This was due to the fact that the pressure level delivered by the ventilator decreased with VSV but remained unchanged with PSV in response to the increased ventilatory demand. The decrease with VSV was in direct contradiction to the desired response, which was provision of additional support. In other words, with VSV the more the patient worked, the less the ventilator assisted the patient (Figs. 3, 4). These results are somewhat expected from the algorithm of VSV, but have never been reported in clinical conditions in ICU patients.

Despite interest in dual-control modes such as VSV no published studies have evaluated patient-ventilator interactions in adults mechanically ventilated patients receiving VSV. Keenan and Martin [4] retrospectively reviewed their experience with 20 children treated with VSV for a total of 119 days. Of the 20 patients 12 were successfully extubated from VSV. Intolerance to VSV was noted in a single patient and due to an inconsistent respiratory drive. The authors concluded that VSV can be used successfully in a selected population of critically ill pediatric patients. There was no established protocol for VSV use, however, and no control group was available for comparison. Furthermore, the authors reported difficulty in choosing the





**Fig. 3** Experimental recordings in an illustrative patient (no. 2) during the four conditions, who had difficulty tolerating adding dead space under VSV. The esophageal (*Pes*) and transdiaphragmatic (*Pdi*) pressure swings were comparable at baseline for PSV and VSV, with a similar level of assist delivered by the ventilator. When dead space was applied with PSV, increases occurred in

breathing rate and in esophageal and transdiaphragmatic pressure swings, but airway pressure remained unchanged. On the other hand, when dead space was applied with VSV, large increases were noted in breathing rate and in esophageal and transdiaphragmatic pressure swings, whereas the pressure-assist delivered by the ventilator decreased markedly

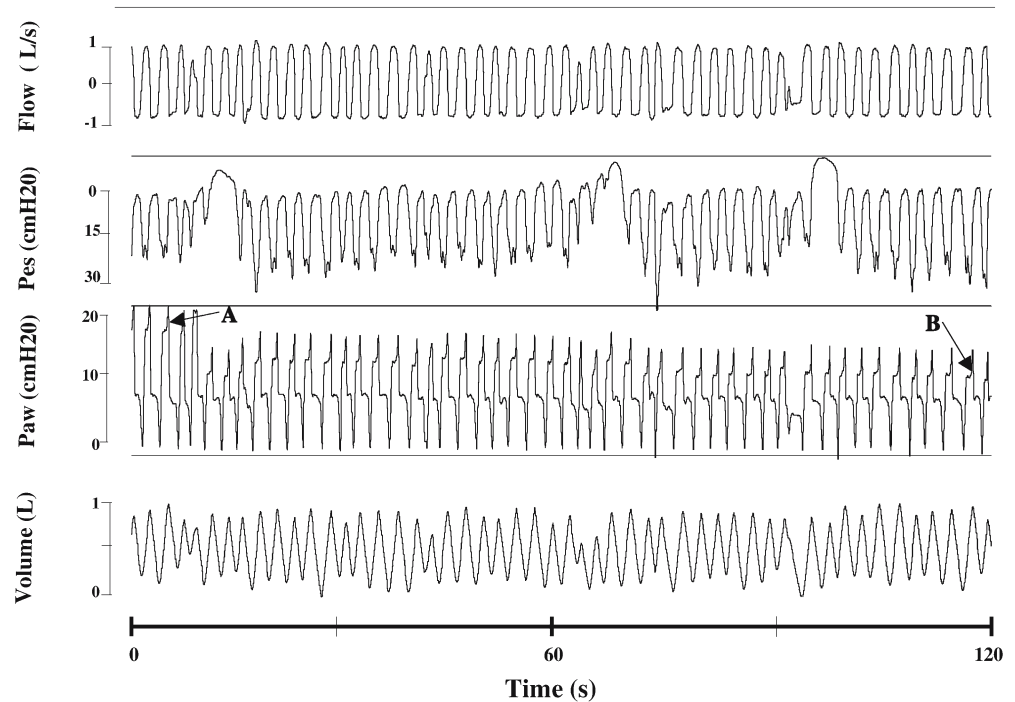
initial ventilator settings and in determining when the patients were ready for extubation. Recently Sottiaux [5] reported that patient-ventilator asynchrony developing during PSV can be aggravated during VSV [19, 20]. Ineffective efforts are particularly frequent in patients with chronic obstructive pulmonary disease [21, 22]. In VSV mode, if the patient makes numerous ineffective efforts, the frequency of effective efforts recorded by the ventilator may be lower than the set frequency, in which case a new target tidal volume is calculated automatically to achieve the preset minute ventilation. This further increases the number of ineffective efforts and aggravates dyssynchrony.

Randolph et al. [6] performed a controlled randomized trial in 182 infants and children who had been receiving ventilator support for more than 24 h. They evaluated whether a weaning protocol using VSV was superior over a weaning protocol using PSV or over standard care (i.e., no defined protocol). No differences occurred across the three groups for duration of weaning or management of sedative drugs. No complications were recorded in either the PSV or the VSV group. The authors found that, in contrast to adults, most infants and children were weaned

off the ventilator within 2 days, and they noted no differences between the PSV and the VSV protocols [6].

Currently available closed-loop modes such as VSV and other dual modes use a single input to control a single output. To replicate the complexity of the respiratory system, which receives multiple inputs, a greater level of sophistication is needed [23, 24]. Although the one input-one output concept may generate appropriate ventilator responses to simple changes in respiratory system impedance, the present study illustrates clearly the limitations of this concept when changes in ventilatory demand occur. For instance, choosing low minute ventilation and tidal volume targets may lead to patient distress with tachypnea. When this occurs, the patient needs more assistance, yet according to our findings will receive less assistance. On the other hand, choosing high minute ventilation and tidal volume targets reduces arterial carbon dioxide tension, which may result in respiratory center depression. We chose to evaluate the effect of dead-space application instead of changes in respiratory elastance and/or resistance because it mimics a realistic increase in ventilatory demand which frequently occur in clinical practice (e.g., fever, pain, anxiety). However, the results of

**Fig. 4** Changes in esophageal pressure swings ( $P_{es}$ ), flow, tidal volume, and level of pressure assist delivered by the ventilator ( $P_{aw}$ ) recorded during VSV immediately after dead-space addition in a representative patient (no. 6). The pressure delivered by the ventilator decreased progressively after 2 min, from 15 cmH<sub>2</sub>O (point A on the airway-pressure waveform) to 8 cmH<sub>2</sub>O (point B on the airway-pressure waveform)



our study do not exclude that the behavior of VSV can differ substantially from that of PSV in other experimental settings, for example, mimicking clinical conditions characterized by an increase in resistance or elastance.

It may be interesting to compare VSV with proportional-assist ventilation (PAV), a pressure-assisted method of ventilatory support designed to provide inspiratory assistance in proportion to the instantaneous inspiratory muscle effort [25, 26]. PAV instantaneously adjusts the level of ventilator assistance to changes in the patient's respiratory drive and workload [16, 17, 25, 26]. In this mode the ventilator generates pressure in proportion to both instantaneous flow and volume. In consequence the greater the inspiratory muscle effort, the higher is the  $P_{aw}$ , and vice versa. Several studies [16, 17, 18, 25, 26, 27] have demonstrated that with PAV the respiratory loop gain increases significantly with increasing CO<sub>2</sub> stimulus

but remains constant with PSV and decreases with assist-controlled ventilation. We found that VSV did not function as a pressure-controlled mode when the ventilatory demand increased but instead resembled a volume-controlled mode. Indeed, as with assist-controlled ventilation, the respiratory loop gain decreased as respiratory effort increased [18, 27, 28].

In conclusion, our findings show that during VSV, a dual-control mode responsive to tidal volume, a ventilatory demand increase induced by addition of dead space leads to a decrease in pressure support, whereas no change occurs during standard PSV. The response to an added respiratory load required greater effort during VSV than during PSV. In clinical situations increased assistance from the ventilator should be the desired response of an adaptive mode to increased ventilatory demand (e.g., due to sepsis, fever, shivering, agitation, or pain).

## References

- Ranieri M (1997) Optimization of patient-ventilator interactions: closed-loop technology to turn the century. *Intensive Care Med* 23:936–939
- Branson R, MacIntyre N (1996) Dual-control modes of mechanical ventilation. *Respir Care* 41:294–305
- Jaber S, Delay J, Sebbane M, Matecki S, Chanques G, Eledjam J, Brochard L (2003) Evaluation d'un mode ventilatoire en pression asservi sur le volume (abstract). *Reanimation Soins Intensifs Med Urgence SP171*
- Keenan H, Martin L (1997) Volume support ventilation in infants and children: analysis of a case series. *Respir Care* 42:281–287
- Sottiaux TM (2001) Patient-ventilator interactions during volume-support ventilation: asynchrony and tidal volume instability—a report of three cases. *Respir Care* 46:255–262

6. Randolph AG, Wypij D, Venkataraman ST, Hanson JH, Gedeit RG, Meert KL, Luckett PM, Forbes P, Lilley M, Thompson J, Cheifetz IM, Hibberd P, Wetzel R, Cox PN, Arnold JH (2002) Effect of mechanical ventilator weaning protocols on respiratory outcomes in infants and children: a randomized controlled trial. *JAMA* 288:2561–2568
7. Pelosi P, Solca M, Ravagnan I, Tubiolo D, Ferrario L, Gattinoni L (1996) Effects of heat and moisture exchangers on minute ventilation, ventilatory drive, and work of breathing during pressure-support ventilation in acute respiratory failure. *Crit Care Med* 24:1184–1188
8. Jaber S, Chanques G, Matecki S, Ramonatxo M, Perrigault P, Souche B, Eledjam J (2002) Comparison of the effects of heat and moisture exchangers and heated humidifiers on ventilation and gas exchange during noninvasive ventilation. *Intensive Care Med* 28:1590–1594
9. Lellouche F, Maggiore SM, Deye N, Taille S, Pigeot J, Harf A, Brochard L (2002) Effect of the humidification device on the work of breathing during noninvasive ventilation. *Intensive Care Medicine* 28:1582–1589
10. Baydur A, Behrakis P, Zin W, Jaeger M, Milic-Emili J (1982) A simple method for assessing the validity of the esophageal balloon technique. *Am Rev Respir Dis* 126:788–791
11. Diehl JL, Lofaso F, Deleuze P, Similowski T, Lemaire F, Brochard L (1994) Clinically relevant diaphragmatic dysfunction after cardiac operations. *J Thorac Cardiovasc Surg* 107:487–498
12. Sassoon C, Light R, Lodia R, Sieck G, Mahutte C (1991) Pressure-time product during continuous positive airway pressure, pressure support ventilation and T-piece during weaning from mechanical ventilation. *Am Rev Respir Dis* 143:459–475
13. Brochard L, Harf A, Lorino H, Lemaire F (1989) Inspiratory pressure support prevents diaphragmatic fatigue during weaning from mechanical ventilation. *Am Rev Respir Dis* 139:513–521
14. Barnard PA, Levine S (1986) Critique on application of diaphragmatic time tension index to spontaneously breathing humans. *J Appl Physiol* 54:1067–1072
15. Jaber S, Fodil R, Carlucci A, Boussarsar M, Pigeot J, Lemaire F, Harf A, Lofaso F, Isabey D, Brochard L (2000) Non-invasive ventilation with helium-oxygen in acute exacerbations of chronic obstructive pulmonary disease. *Am J Respir Crit Care Med* 161:1191–1200
16. Ranieri V, Giuliani R, Mascia L, Grasso S, Petruzelli V, Puntillo N, Perchiazzi G, Fiore T, Brienza A (1996) Patient-ventilator interaction during acute hypercapnia: pressure support vs. proportional assist ventilation. *J Appl Physiol* 81:426–436
17. Grasso S, Puntillo F, Mascia L, Ancona G, Fiore T, Bruno F, Slutsky AS, Ranieri VM (2000) Compensation for increase in respiratory workload during mechanical ventilation. Pressure-support versus proportional-assist ventilation. *Am J Respir Crit Care Med* 161:819–826
18. Chiumello D, Pelosi P, Calvi E, Bigatello L, Gattinoni L (2002) Different modes of assisted ventilation in patients with acute respiratory failure. *Eur Respir J* 20:925–933
19. Tobin M, Jubran A, Laghi F (2001) Patient-ventilator interaction. *Am J Respir Crit Care Med* 163:1059–1063
20. Brochard L (2002) When ventilator and patient's end of inspiration don't coincide; what's the matter? *Am J Respir Crit Care Med* 166:2–3
21. Jubran A, Van de Graaff WB, Tobin MJ (1995) Variability of patient-ventilator interaction with pressure support ventilation in patients with chronic obstructive pulmonary disease. *Am J Respir Crit Care Med* 152:129–136
22. Nava S, Bruschi C, Rubini F, Palo A, Iotti G, Braschi A (1995) Respiratory response and inspiratory effort during pressure support ventilation in COPD patients. *Intensive Care Med* 21:871–879
23. Dojat M, Harf A, Touchard D, Laforest M, Lemaire F, Brochard L (1996) Evaluation of a knowledge-based system providing ventilatory management and decision for extubation. *Am J Respir Crit Care Med* 153:997–1004
24. Dojat M, Harf A, Touchard D, Lemaire F, Brochard L (2000) Clinical evaluation of a computer-controlled pressure support mode. *Am J Respir Crit Care Med* 161:1161–1166
25. Younes M (1992) Proportional assist ventilation, a new approach to ventilatory support. I. Theory. *Am Rev Respir Dis* 145:114–120
26. Younes M, Puddy A, Roberts D, Light RB, Quesada A, Taylor K, Oppenheimer L, Cramp H (1992) Proportional assist ventilation. Results of an initial clinical trial. *Am Rev Respir Dis* 145:121–129
27. Mitrouska J, Xirouchaki N, Patakas D, Siafakas N, Georgopoulos D (1999) Effects of chemical feedback on respiratory motor and ventilatory output during different modes of assisted mechanical ventilation. *Eur Respir J* 13:873–882
28. Georgopoulos D, Mitrouska I, Webster K, Bshouty Z, Younes M (1997) Effect of inspiratory muscle unloading on the response of respiratory motor output to CO<sub>2</sub>. *Am J Respir Crit Care Med* 155:2000–2009