

## Association of diabetic neuropathy with Na/K ATPase gene polymorphism

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**Summary** Diabetes mellitus induces a decrease in Na/K ATPase activity in man and animals, and this decrease plays a role in the development of diabetic neuropathy. Na/K ATPase is encoded by various genes, of which the ATP1 A1 gene is expressed predominantly in peripheral nerves and in erythrocytes. To investigate whether a polymorphism in the Na/K ATPase genes could explain the predisposition of some patients with insulin-dependent diabetes mellitus (IDDM) to develop polyneuropathy, a restriction fragment length polymorphism (RFLP) of the ATP1 A1 gene was studied together with erythrocyte Na/K ATPase activity in 81 Caucasian patients with more than 10 years' duration of IDDM. Associations with diabetic neuropathy, retinopathy and nephropathy were sought. Digestion of the first intron of the ATP1 A1 gene by the Bgl II restriction enzyme revealed a dimorphic allelism. Frequency of the restricted allele was 0.18 in this selected series (however, it was 0.10 in representative samples of IDDM patients and of normal subjects in our area). Mean erythrocyte Na/K ATPase activity was lower in diabetic patients than in 42 control subjects ( $292 \pm 10$ , vs  $402 \pm 13$  nmol Pi · mg protein<sup>-1</sup> · h<sup>-1</sup>,  $p < 0.0001$ ) and was not related to HbA<sub>1c</sub> value or to diabetes duration. It was lower in the group of the 28 patients bearing the restricted allele ( $241 \pm 10$  vs  $319 \pm 11$  nmol Pi · mg protein<sup>-1</sup> · h<sup>-1</sup>,  $p < 0.0001$ ).

Neuropathy was absent in 50 patients, mild in 15 and severe in 16. When classified accordingly the three groups of patients did not differ with respect to sex, age and duration of diabetes. The respective frequency of the restricted allele among the groups was 10, 73 and 81 %, ( $p < 0.0001$ ) and mean erythrocyte Na/K ATPase activity was respectively:  $322 \pm 10.7$  nmol Pi · mg protein<sup>-1</sup> · h<sup>-1</sup>,  $268 \pm 15$  and  $229 \pm 17$ , ( $p < 0.001$ ). A borderline association between renal status or retinal status and repartition of polymorphism and a borderline correlation between renal status and Na/K ATPase activity were found, but significance disappeared after checking for the presence or absence of neuropathy. IDDM patients bearing the ATP1 A1 variant detected by Bgl II RFLP are much more frequently affected by neuropathy (relative risk 6.5, with 95 % CI 3.3–13). Identification of this risk factor may help to prevent this complication. It is suggested that the restricted allele is in linkage disequilibrium with a genomic mutation allowing diabetes to induce a greater impairment of Na/K ATPase activity which could in turn favour the development of neuropathy. [Diabetologia (1997) 40: 506–511]

**Keywords** Diabetic neuropathy, Na/K ATPase activity, Na/K ATPase gene polymorphism, risk factor, genetic susceptibility.

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**Abbreviations:** IDDM, Insulin-dependent diabetes mellitus; NIDDM, non-insulin-dependent diabetes mellitus; PCR, polymerase chain reaction; kb, kilobases; RFLP, restriction fragment length polymorphism

Neuropathy is a leading cause of disability in diabetes mellitus. Unlike diabetic retinopathy which is an almost constant long-term complication, diabetic neuropathy occurs in only 50 % of cases [1]. This phenomenon is comparable to that observed with diabetic nephropathy [2] which has been associated with genetic susceptibility [3, 4]. A number of facts suggest

that diabetic neuropathy may also involve genetic susceptibility. Although it is widely accepted that duration of diabetes and poor metabolic control [1, 5, 6] are contributory factors, there is no satisfying explanation for interindividual difference with regard to the development of neuropathy. The influence of sex, age, height, and smoking remains controversial [5, 7, 8]. The higher incidence and greater severity of neuropathy in insulin-dependent diabetic (IDDM) patients of North African origin than in European diabetic patients [9] suggests genetic involvement. Similarly, in a study including non-insulin-dependent diabetic (NIDDM) patients, a higher but not statistically significant different prevalence of neuropathy was observed in black and Hispanic Americans than in their white counterparts [7].

Decreased Na/K ATPase activity in nervous tissue has been implicated in the development of diabetic neuropathy [10]. Na/K ATPase (EC3.6.1.37) is a membrane ubiquitous enzyme that ensures transport of three sodium ions out of the cell and two potassium ions into the cell in association with hydrolysis of a molecule of ATP. This transport not only maintains sodium and potassium concentration gradients between the intracellular and extracellular milieu, but is also critical to a number of important processes including membrane potential generation and nerve conduction [11]. A decrease in Na/K ATPase activity has been reported in the erythrocytes of IDDM patients and diabetic rats [12–17]. Moreover, the impairment of this enzyme activity is proportional in sciatic nerve and erythrocytes of diabetic rats [17]. Na/K ATPase activity in erythrocytes varies according to ethnic origin. It is lower in blacks and North Africans, who are predisposed to neuropathy in the case of diabetes [9], than in Caucasians and Scandinavians [18, 19].

On the other hand, IDDM patients with neuropathy have lower erythrocyte Na/K ATPase activity than patients without neuropathy when matched for diabetes control and duration [14–16]. These findings raise the possibility that a constitutional decrease in Na/K ATPase activity could be a predisposing factor to diabetic neuropathy.

Na/K ATPase is composed of two subunits, a catalytic  $\alpha$  subunit and a  $\beta$  subunit whose function probably involves membrane binding. The  $\alpha$  subunit occurs in three isoforms:  $\alpha$  1,  $\alpha$  2, and  $\alpha$  3, which are coded by different genes and are differently expressed depending on tissue type and developmental stage. In adult animals,  $\alpha$  1 is present in all tissues, being predominant in nervous tissue and exclusive in erythrocytes [20, 21]. Having noted a decreased Na/K ATPase activity in erythrocytes of IDDM patients, we chose the ATP1 A1 gene coding for the  $\alpha$  1 isoform as the candidate gene for predisposition to diabetic neuropathy. The ATP1 A1 gene is located on the short arm of chromosome 1

and is composed of 23 exons and 22 introns. A restriction polymorphism with Bgl II enzyme has been described in the first intron [22]. The purpose of the present study was to correlate erythrocyte Na/K ATPase activity and restriction polymorphism of the first intron of the ATP1 A1 gene to the presence of neuropathy, nephropathy, and retinopathy in a consecutive series of 81 IDDM patients with long-term diabetes.

## Materials and methods

**Study subjects.** Unrelated patients with IDDM under regular outpatient treatment in our department were consecutively selected if they were C-peptide negative, had diabetes for more than 10 years, and were not taking any medication known to influence Na/K ATPase (e.g. calcium blockers, thyroxine, glucocorticoid, mineral corticoid or digitalis-like drugs).

A total of 81 patients (39 female) ranging in age from 20 to 66 years (mean age:  $39.5 \pm 1.5$  years) were enrolled, after they had given their informed consent for the study. As this kind of study requires ethnic homogeneity, all the patients were of Caucasian origin in order to avoid artefactual associations generated by population stratification. Mean duration of diabetes was  $23.8 \pm 1$  years (range 11–43). Mean body mass index was  $23.1 \pm 1.5$  kg/m<sup>2</sup>. Seventeen patients were being successfully treated for hypertension. All patients had normal thyroid status as determined by normal thyrotropin levels.

On the basis of fundus ophthalmoscopy and angiofluorography the severity of retinopathy was classified into three categories: no retinopathy, moderate retinopathy defined by the presence of microaneurysms and other moderate to severe non-proliferative abnormalities, and severe retinopathy consisting of fibrous proliferation, new vessels, vitreous or peritinal haemorrhage, or scars of photocoagulation either in scatter or confluent patches, presumably directed at new vessels.

Diabetic nephropathy was considered to be absent if urinary albumin excretion (nephelometric assay) was lower than 30 mg per day on two occasions, incipient if it was between 30 and 300 mg per day and overt when macro-albuminuria was present.

Absence or presence of neuropathy was defined according to Diabetes Control and Complications Trial (DCCT) criteria [23] consisting of signs, symptoms including numbness, dysaesthesias and/or paraesthesias, hypersensitivity to touch, burning pain and/or aching, stabbing pain in hands and/or feet, and neuropathic foot ulcer, and decreased or absent deep tendon reflexes. Neuropathy was classified as severe if two or three of the criteria (signs, symptoms and reflexes) were affected, as mild neuropathy if one of the three criteria was met and absent if any one of these criteria was found.

**Measurement of Na/K ATPase activity.** Venous blood samples were collected on sodium citrate (0.11 mmol/l) from fasting subjects at around 08.00 hours before the morning insulin injection. Immediately after collection leucocytes and platelets were removed by filtering through cellulose micro-crystalline column as described by Beutler et al. [24]. Na/K ATPase activity was assayed in isolated erythrocyte membranes as the difference between inorganic phosphate released from vanadate-free ATP during separate assays with and without 1 mmol/l ouabain, a specific inhibitor of Na/K ATPase as previously reported [14, 16] with slight modifications in the storage at  $-80^{\circ}\text{C}$  of the erythrocyte membranes.

Control blood samples were obtained from 42 healthy subjects matched with regard to sex, age and ethnic origin.

**Analysis of restriction fragment length polymorphism (RFLP).** DNA was obtained from leucocytes by standard technique. Intron 1 of the ATP1 A1 gene was amplified using a forward primer 5' ACC/GCC/ACC/ATG/GGG/AAG/GGG 3' and a reverse primer 5' CTC/ATA/CTT/ATC/ACG/TCC/ACC 3'. The primers surrounding the first intron were determined from sequence data for the ATP1 A1 gene [25] and obtained from Eurogentec (Angers, France). The polymerase chain reaction (PCR) was carried in a volume of 100 µl containing 1 µg of genomic DNA, 1 µmol of each of the two primers, 500 µmol each of the four deoxynucleic acids, 3 units of a mixture of Taq and Pwo polymerases (Expand long template PCR system; Boehringer-Mannheim Meylan, France) allowing amplification of long fragments at 68°C with very low error rate [26].

The PCR reaction (Perkin Elmer 480, Norwalk, Conn. USA) began with denaturation at 92°C for 2 min followed by 30 cycles of denaturation at 92°C for 10 s, annealing at 60°C for 30 s, extension at 68°C for 10 min. After the tenth cycle, the duration of the extension step was increased by 20 s at each cycle.

The last extension step was prolonged for 7 min at 68°C. Amplified fragments were digested with the Bgl II enzyme at 37°C for 1 h and analysed by electrophoresis at 100 mA on 0.7% agarose gel for 3 h.

As this series of study subjects was not representative of the population of IDDM subjects, RFLP analysis was also performed on the DNA samples from 58 IDDM subjects diagnosed consecutively between November 1991 and October 1993. It was also performed on the DNA of the 42 control subjects in whom erythrocyte Na/K ATPase activity was determined and of 50 blood donors from our city.

Enzyme activity assays, RFLP analysis and clinical classification were performed blindly by three different investigators (T.C., D.D. and M.F.J. respectively).

**Statistical analysis.** Results are presented as mean  $\pm$  SEM. Differences between groups were tested by analysis of variance, by Student's *t*-test for means and the Mann-Whitney U-test for non-normal variables. Correlation between variables and genotype was determined using the chi-squared test.

## Results

### Na/K ATPase activity

Mean Na/K ATPase activity was lower in the 81 diabetic patients than in the 42 control subjects ( $292 \pm 9$  vs  $402 \pm 13$  nmol  $\cdot$  Pi  $\cdot$  mg protein<sup>-1</sup>  $\cdot$  h<sup>-1</sup>,  $p < 0.0001$ ). This finding is consistent with our previous results [14, 16].

Enzyme activity in the patient group was not related to sex ( $p = 0.48$ ), duration of diabetes ( $r = 0.1$ ,  $p = 0.34$ ), or HbA<sub>1c</sub> ( $r = 0.05$ ,  $p = 0.63$ ). A negative relationship with age was of borderline significance ( $r = -0.23$ ,  $p = 0.04$ ).

As shown in Table 1, 31 of 81 patients presented with neuropathy, which was severe in 16. Patients with diabetic neuropathy did not differ from those without neuropathy with respect to sex, but they were slightly older and had had diabetes for a slightly longer period of time. Diabetes was slightly less well controlled in patients with neuropathy

**Table 1.** Characteristics of patients with and without neuropathy

	Neuropathy			
	Absent	Mild	Severe	
Number	50	15	16	
Sex (female, male)	23/27	8/7	8/8	NS
Age (years)	37.5 $\pm$ 1.5	42.8 $\pm$ 3.5	42.7 $\pm$ 3.5	$p = 0.05$
Diabetes duration (years)	22.3 $\pm$ 1.2	26.2 $\pm$ 2.7	26.5 $\pm$ 2.3	$p = 0.05$
Presence of retinopathy (%)	42	67	87.5	$p < 0.05$
Presence of nephropathy (%)	14	40	62.5	$p < 0.05$
HbA <sub>1c</sub> (%)	8.4 $\pm$ 0.2	9.7 $\pm$ 0.4	8.7 $\pm$ 0.4	$p < 0.05$
Na/K ATPase activity (nmol Pi $\cdot$ mg protein <sup>-1</sup> $\cdot$ h <sup>-1</sup> )	322 $\pm$ 10	268 $\pm$ 15	229 $\pm$ 17	$p < 0.0001$

Results are given as mean  $\pm$  SEM

**Table 2.** Characteristics of patients according to retinal status

	Retinopathy			
	Absent	Mild	Severe	
Number	34	16	31	
Age (years)	38.3 $\pm$ 2	34.3 $\pm$ 2	43.7 $\pm$ 2	$p < 0.05$
Diabetes duration (years)	22.1 $\pm$ 1.8	21.7 $\pm$ 1.4	27 $\pm$ 1.5	$p = 0.05$
HbA <sub>1c</sub> (%)	8.9 $\pm$ 0.3	8.6 $\pm$ 0.3	8.7 $\pm$ 0.3	NS
Na/K ATPase activity (nmol Pi $\cdot$ mg protein <sup>-1</sup> $\cdot$ h <sup>-1</sup> )	300 $\pm$ 12.5	315 $\pm$ 25	271 $\pm$ 14	NS

Results are given as mean  $\pm$  SEM

based on HbA<sub>1c</sub> values. Nephropathy and retinopathy were more frequent in patients with neuropathy. Na/K ATPase activity was significantly lower in patients with neuropathy. Patients with severe neuropathy did not differ from those with a mild form except for an even lower Na/K ATPase activity.

Twenty-three patients presented with nephropathy, which was overt in 12 cases. No differences in the various parameters studied were observed between patients with and without nephropathy. However, Na/K ATPase activity was  $304 \pm 11$  nmol Pi  $\cdot$  mg protein<sup>-1</sup>  $\cdot$  h<sup>-1</sup> in patients without nephropathy as compared to  $263 \pm 15$  in patients with nephropathy. This borderline significance ( $p = 0.05$ ) disappeared after separate analysis of two subgroups with or without neuropathy.

Forty-seven patients presented with retinopathy, which was severe in 31 cases (Table 2). They did not differ from those without retinopathy, except for age and duration of diabetes. No difference in Na/K ATPase was observed between groups.

### Restriction fragment length polymorphism

**Frequency of the polymorphism.** Following the action of the Bgl II enzyme on the PCR product, agarose gel electrophoresis revealed three different fragments of 3, 8 and 11 kilobases (kb). The 11 kb fragment corresponds to the undigested allele. The 3 and 8 kb fragments were always found together and corresponded to the products of the digested allele.

Of the 81 diabetic patients in this study, 53 were homozygous for the unrestricted allele (one 11 kb fragment only), 28 were heterozygous (11, 8 and 3 kb fragments) and none of the patients had two restricted alleles. However, one North-African patient presented homozygous restricted alleles (8 and 3 kb fragments). This patient was a 32-year-old female who had been suffering from diabetes for 14 years and presented severe neuropathy. She was not included in the study because of her different ethnic origin.

The frequencies of the genotypes were not significantly different from that predicted by the Hardy Weinberg equilibrium ( $\chi^2 = 2.92$ , NS). The frequency of the unrestricted allele was 0.82 and that of the restricted allele was 0.18.

In the control series and in the series of consecutively diagnosed IDDM subjects the frequency of the restricted allele was lower, being 0.10 and 0.11, respectively.

**Correlation between restriction site polymorphism and Na/K ATPase activity.** Na/K ATPase activity in homozygous diabetic patients without the restriction site was higher than in heterozygous diabetic patients with restriction site ( $319 \pm 11$  vs  $241 \pm 10.5$  nmol Pi · mg protein<sup>-1</sup> · h<sup>-1</sup>,  $p < 0.0001$ ). In contrast, among healthy subjects no relationship was observed between the gene polymorphism and Na/K ATPase activity with an average 400 nmol Pi · mg protein<sup>-1</sup> · h<sup>-1</sup> in subjects homozygous for the unrestricted allele and 393 among heterozygous subjects.

**Correlations between restriction site polymorphism, diabetes duration, HbA<sub>1C</sub> and diabetic complications.** No correlation was found between restriction site polymorphism and sex ( $p = 0.8$ ) duration of diabetes ( $p = 0.33$ ), or HbA<sub>1C</sub> ( $p = 0.43$ ). Replication in a second set of IDDM patients with variable diabetes duration confirmed these results.

Table 3 shows the association between the RFLP and diabetic complications. There was a close association between the presence of the restriction site and neuropathy. Neuropathy was present in almost all the patients bearing the restriction site. The relative risk conferred by its presence was 6.5 (95% confidence interval: 3.3–13). A borderline correlation was found between restriction site polymorphism and nephropathy and retinopathy, respectively  $\chi^2 = 6.8$ ,  $p = 0.03$  and  $\chi^2 = 6.9$ ,  $p = 0.03$ . However, in this series

**Table 3.** Frequency of subjects with restricted allele according to complications status

	Absent	Mild	Severe
	Neuropathy		
No restricted allele	46	4	3
Presence of restricted allele	4 (8%)	11 (73%)	13 (81%)
	$\chi^2 = 35.6$ $p < 0.0001$		
	Nephropathy		
No restricted allele	43	5	5
Presence of restricted allele	15 (25%)	6 (55%)	7 (58%)
	$\chi^2 = 6.8$ $p = 0.03$		
	Retinopathy		
No restricted allele	27	11	15
Presence of restricted allele	7 (20%)	5 (31%)	16 (51%)
	$\chi^2 = 6.9$ $p = 0.03$		

of patients, microvascular complications were frequently found together. When separate analysis of the subgroups with or without neuropathy was performed, no associations between the presence of the restriction site polymorphism and nephropathy or retinopathy were observed.

### Discussion

Using the candidate gene approach to ascertain a genetic susceptibility for diabetic neuropathy in a series of long-term IDDM patients, we observed an association between peripheral neuropathy, a decrease in erythrocyte Na/K ATPase activity and a restriction polymorphism in a Na/K ATPase gene, ATP1 A1 with a high level of statistical significance. Our results showed a weak association with diabetic nephropathy or diabetic retinopathy, which disappeared after controlling for the neurologic status of the patients.

A number of findings have implicated a decrease in Na/K ATPase activity in nervous tissue in the development of diabetic neuropathy [10]. A decrease in this enzymatic activity enhances intra-axonal sodium concentration and blocks nerve membrane depolarization. In the sciatic nerve of diabetic rats decreased Na/K ATPase activity is associated with a slowing of nerve conduction [27–29].

In patients with IDDM, we and others [12–16] have reported depressed Na/K ATPase activity in erythrocyte membranes; this decrease probably reflects a decrease in nerve tissue. In this regard we showed a parallel decrease of Na/K ATPase activity in both erythrocytes and sciatic nerve of diabetic rats [17].

The mechanism by which diabetes impairs Na/K ATPase activity is probably complex and not linked solely to hyperglycaemia. Indeed, we found no correlation between Na/K ATPase activity and either HbA<sub>1c</sub> or blood glucose in this series of patients in stable glycaemic control, and in a previously published study [16]. Similarly, in patients with NIDDM Na/K ATPase is not related to glycated haemoglobin [30]. Acceleration of the polyol pathway probably plays a role since treatment with aldose reductase inhibitor normalizes Na/K ATPase activity in the nervous tissue of diabetic rats [28, 29]. Relative insulinopenia in hyperglycaemic patients with IDDM could be another factor. In this regard it has been shown that intensive insulin therapy by means of an artificial pancreas for 24 h restores erythrocyte Na/K ATPase activity [13]. Partial restoration of Na/K ATPase activity despite persistent hyperglycaemia has also been noted in muscle cell membranes of diabetic animals undergoing insulin treatment [31–33]. Insulin acts directly on the sodium pump by increasing its sodium affinity, but the number of pumps present on the membrane is unaltered [34]. Moreover, mean erythrocyte Na/K ATPase activity was related to the ATP1 A1 gene polymorphism only in diabetic patients whereas the Na/K ATPase activity was unchanged in control subjects with or without gene polymorphism. This fact suggests that a genomic mutation linked to the polymorphism may affect a binding site of an intracellular insulin messenger. However, the failure to show that mean erythrocyte Na/K ATPase activity is related to the Na/K ATPase gene polymorphism in normal control subjects does not support a primary genetic control of Na/K ATPase activity.

As in two previous studies [14, 16] we again observed that mean erythrocyte Na/K ATPase activity was lower in diabetic patients with than in those without neuropathy. One may suggest that, in some subjects diabetes-induced Na/K ATPase impairment could be more severe, favouring the development of neuropathy.

Constitutional variations in erythrocyte Na/K ATPase activity have been associated with sex and ethnic origin, with lower activity having been reported in men than women, in blacks than whites, in Asians than Scandinavians [18, 19], and in people of Semitic origin than Caucasians [14, 15, 20]. Semitic peoples are predisposed to severe and early diabetic neuropathy [9]. A genetic susceptibility to diabetic neuropathy through the mechanisms controlling Na/K ATPase activity is therefore plausible.

Since we detected a decrease in Na/K ATPase activity in erythrocytes in which the alpha 1 is the only isoform, and since the alpha 1 isoform is predominant

in nervous tissue, the gene of the alpha 1 isoform called ATP1 A1 and coded on the short arm of chromosome 1 would be a likely candidate. The primary structure of this gene has been deduced by cDNA sequencing [25], its promoting region has been identified and a restriction polymorphism detected in intron 1 using Bgl II [22]. After PCR amplification of intron 1, we obtained an 11 kb fragment identical to the one previously described and in some samples digestion by Bgl II generated two fragments of 3 and 8 kb identical to those described [22]. This finding, the fit with Hardy Weinberg equilibrium and the high performance of the DNA polymerase mixture [26] allow us to rule out copy errors in the generation of these long PCR fragments.

In this series of 81 patients with IDDM, we observed a frequency of 0.82 for the unrestricted allele and 0.18 for the restricted allele. This distribution is different from that previously reported, i.e. 0.95 and 0.05 respectively in normal subjects from North America [22, 33]. However, the prevalence of the restricted allele was 0.11 in representative samples of healthy subjects and of IDDM patients originating from the Marseilles area. Ethnic differences could be involved. The higher frequency observed in the study subjects is probably related to the fact that patients affected by chronic complications tend to attend the clinic more often than those without. And in fact, the prevalence of neuropathy was 38% in our series after an average duration of diabetes of 20 years, while it was 27% in a large cross-sectional multicentre study for the same diabetes duration [1].

Using the candidate gene approach, we observed in this series of patients with IDDM that an allelic variant of the Na/K ATPase gene was strongly associated with neuropathy and with a decrease in erythrocyte Na/K ATPase activity. Association between intron polymorphism and decreased activity of the product is probably due to a linkage disequilibrium with a DNA mutation affecting ATP1 A1 gene expression. This mutation could affect sites of recognition of factors regulating gene expression or the coding sequences altering the function of the enzyme. This would cause decreased enzymatic activity as a result of either a reduction in the number of enzyme molecules or structural changes of the molecules.

In conclusion we suggest considering the presence of the ATP1 A1 gene variant as a predisposing factor for diabetic neuropathy. This could help to elaborate specific preventive treatment trials in affected patients.

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