

SO(3)-Homogeneous Decomposition of the Flag Scheme of SL3 over $\mathbb{Z}\left[1/2\right]$

Takuma Hayashi¹ 💿

Received: 21 November 2022 / Accepted: 29 January 2024 © The Author(s) 2024

Abstract

In this paper, we give $\mathbb{Z}[1/2]$ -forms of SO(3, \mathbb{C})-orbits in the flag variety of SL₃(\mathbb{C}). We also prove that they give a $\mathbb{Z}[1/2]$ -form of the SO(3, \mathbb{C})-orbit decomposition of the flag variety of SL₃.

1 Introduction

Motivated by applications to special values of automorphic *L*-functions, Michael Harris, Günter Harder, and Fabian Januszewski started to work on (\mathfrak{g}, K) -modules over number fields and localization of the rings of their integers in the 2010s ([8–10, 18–20]). For general theory of (\mathfrak{g}, K) -modules over commutative rings, see [12, 13]. Among those, Harris proposed to construct rational models of discrete series representations from the corresponding closed *K*-orbits in the flag variety and line bundles on them over the field \mathbb{C} of complex numbers through the localization. In [16], we studied descent properties of rings of definition of certain closed *K*-orbits in the moduli scheme of parabolic subgroups of *G* for reductive group schemes $K \subset G$ in the sense of [2, Définition 2.7]. As a consequence, we established real and smaller arithmetic forms of $A_{\mathfrak{g}}(\lambda)$ -modules ([16, Section 6.2]).

In this paper, we study rings of definition of the remaining three SO(3, \mathbb{C})-orbits in the complex flag variety of SL₃. The main result is to establish a $\mathbb{Z}[1/2]$ -analog of the SO(3, \mathbb{C})-orbit decomposition of the complex flag variety of SL₃:

Theorem 1.1 (Theorem 3.4, Theorem 3.13, Lemma 3.14, Theorem 4.1) *The flag* scheme \mathcal{B}_{SL_3} of SL₃ over $\mathbb{Z}[1/2]$ is decomposed into four affinely imbedded subschemes which are SO(3)-homogeneous in the étale topology in the sense of [1, Proposition et définition 6.7.3].

[☑] Takuma Hayashi hayashi-t@ist.osaka-u.ac.jp

¹ Department of Pure and Applied Mathematics, Graduate School of Information Science and Technology, Osaka University, 1-5 Yamadaoka, Suita, Osaka 565-0871, Japan

1.1 First Perspective: (g, K)-Modules over Commutative Rings

In the representation theory of Lie groups, there are many phenomena which we can understand through real and complex geometry. For instance, there are two geometric realizations of principal series representations of the special linear Lie group $SL_3(\mathbb{R})$. For simplicity, we restrict ourselves to (the Harish-Chandra module of) the principal series representation X_{ps} with trivial parameter. We can realize X_{ps} by using the real flag manifold $SL_3(\mathbb{R})/B_{std}(\mathbb{R})$, where $B_{std}(\mathbb{R})$ is the Borel subgroup of $SL_3(\mathbb{R})$ consisting of upper triangular matrices. The representation X_{ps} can be realized as the space of functions of $SL_3(\mathbb{R})/B_{std}(\mathbb{R})$. According to the Iwasawa decomposition, it can be identified with SO(3, \mathbb{R})/ $B_{std}(\mathbb{R}) \cap$ SO(3, \mathbb{R}), where SO(3, \mathbb{R}) is the special orthogonal group. This is a geometric explanation why X_{ps} is induced from the trivial representation of SO(3, \mathbb{R}) \cap $B_{std}(\mathbb{R})$ as a representation of SO(3, \mathbb{R}). We also note that X_{ps} admits a natural real structure by this construction. The other realization is to use the complex flag variety of SL₃. We define the complex algebraic groups $SL_3(\mathbb{C})$, $B_{\text{std}}(\mathbb{C})$, SO(3, $\mathbb{C})$ in a similar way. Then, we have a unique open SO(3, $\mathbb{C})$ -orbit in the complex flag variety $SL_3(\mathbb{C})/B_{std}(\mathbb{C})$. As a complex SO(3, $\mathbb{C})$ -variety, it is given by SO(3, \mathbb{C}) / SO(3, \mathbb{C}) $\cap B_{std}(\mathbb{C})$. The representation X_{ps} can be realized as the space of regular functions on this orbit. We can think of these regular functions as global sections of the pushforward of the coordinate ring of this orbit to $SL_3(\mathbb{C})/B_{std}(\mathbb{C})$. These two realizations are related by the analytic continuation.

What happens to other kinds of representations? The Beilinson-Bernstein correspondence tells us that irreducible Harish-Chandra modules of $SL_3(\mathbb{R})$ with trivial infinitesimal character are obtained by D-modules on the complex flag variety. For example, the fundamental representation $A_b(0)$ is attached to the unique closed $SO(3, \mathbb{C})$ -orbit. Can we obtain them from real geometric objects? The transitivity of the SO(3)-action on the real flag manifold of SL_3 tells us that principal series representations should be the only representations which we can obtain from the real flag *manifold*.

To give another nice answer to the above question, we shall change the point of view. Since there is only one closed SO(3, \mathbb{C})-orbit in SL₃(\mathbb{C})/ $B_{std}(\mathbb{C})$, this orbit is stable under formation of the complex conjugation. Hence, it is naturally defined over the real numbers by Galois descent. In fact, we realized this object as the real flag *variety* of SO(3) in [16, Section 5]. More strongly, we gave an equivariant closed immersion of flag schemes over \mathbb{Z} [1/2]. The point is that this real algebraic variety which does exist does not admit real points. Hence, it cannot appear in the formalism of manifolds. It is not an SO(3)-orbit as well by the same reason. However, it is homogeneous in the sense of [1, Proposition et défintion 6.7.3]. This appears to be a nice formalism to study "orbit-like" objects over general base fields (rings). This real algebraic subvariety gives rise to a real form of the fundamental series representation $A_b(0)$ via localization ([16, Section 6.2]). The existence of the real form of $A_b(0)$ was proved algebraically in [19, Theorem 7.3].

The main purpose of this paper is to construct $\mathbb{Z}[1/2]$ -forms of the remaining SO(3, \mathbb{C})-orbits explicitly. Philosophically, this result says that all irreducible admissible representations of SL₃(\mathbb{R}) (with regular infinitesimal character) are controlled

by real algebro-geometric objects (cf. [16, Definition 3.5.1, Corollary 4.2.2]). We will even control representations over $\mathbb{Z}[1/2]$ at the level of orbits. As a consequence of our direct computational approach, we find that the $\mathbb{Z}[1/2]$ -forms of the orbits are represented by affine schemes except the closed one. This fact makes the study of the global section modules of the direct and proper direct image twisted D-modules (cf. [14, Appendix A]).

1.2 Second Perspective: Combinatorics of Orbit Decomposition

Traditional problems in the theory of combinatorics of orbit decomposition are summarized as follows:

Problem 1.2 Let k be a commutative ring, and X be a k-scheme, equipped with an action of a group scheme K over k. Classify the K(F)-orbits in X(F) for a field F over k.

The ring k in Problem 1.2 should be usually a certain localization of the ring of integers or the field of rational numbers. The description of the classification depends on F in most cases. For instance, the number of orbits may differ by F. On the other hand, one can find by experience that some parts of the classifications are independent of F. In fact, we (possibly implicitly) happen to solve equations on the course of classification by nature of the algebro-geometric formulation. Among those equations, some may be defined over k. As far as such equations are concerned, there are two factors why the dependence happens:

- 1. Existence of solutions;
- 2. Dependence on choice of solutions (Galois symmetry).

Hence, the larger rings k', we replace k by, the more uniform classification we obtain. In this paper, we suggest the three things.

- 1. We quit solving equations whose solutions essentially depend on fields F.
- 2. Attach a Galois extension $k \to k'$ with Galois group Γ to an independent equation. Then, we find Γ -invariant parts of a decomposition of X(F') for fields F' over k'.
- 3. We do these things at the level of schemes to get a decomposition of X into K-invariant subspaces Z_{λ} as a set.

Decomposition into subspaces Z_{λ} may not give a complete answer to Problem 1.2 but instead that we obtain a uniform decomposition of X(F) in F into K(F)-invariant subsets $Z_{\lambda}(F)$. In fact, $Z_{\lambda}(F)$ can have multiple K(F)-orbits. We explain below that $Z_{\lambda}(F)$ can be also empty.

Problem 1.3 Decompose X into smaller pieces of K-invariant subspaces represented by k-schemes.

We would like to suggest a basic strategy to get Z_{λ} , which consists of four phases.

- 1. Take a Galois extension $k \rightarrow k'$.
- 2. Define $K \otimes_k k'$ -orbits of $X \otimes_k k'$ by taking k'-points of X.
- 3. Prove that the $K \otimes_k k'$ -orbits decompose $X \otimes_k k'$ as a set.

4. Study the Galois orbit of the set of $K \otimes_k k'$ -orbits to get subspaces over k by the Galois descent.

The last phase says that we prove that a $K \otimes_k k'$ -orbit admits a k-form by showing that it admits a Galois action; otherwise, we get a k-scheme by joining $K \otimes_k k'$ -orbits. For example, see [16, Example 5.2.22]. We can regard that [16, Proposition 5.1.1] is the case that the Galois orbit has two elements ($K = SO(2), k = \mathbb{Z}[1/2], k' = \mathbb{Z}[1/2, \sqrt{-1}]$). This is the reason why $Z_{\lambda}(k)$ may be empty. This observation tells us that even if the Galois orbit is a singleton, the expected "orbits" (subspaces) Z_{λ} may not have a base point. The author believes that the key ingredients to achieve each phase of our program lie in the combinatorial study of Problem 1.2.

More specifically, we shall think of the $K_{\mathbb{C}}$ -orbit decomposition of flag varieties. For this, recall the Matsuki classification ([22], see also [25] for similar results over algebraically closed fields of characteristic $\neq 2$). Let *G* be a connected real reductive algebraic group, and \mathfrak{g}_0 be its Lie algebra. Let *K* be a maximal compact subgroup of the group $G(\mathbb{R})$ of real points of *G*, and $K_{\mathbb{C}}$ be its complexification. Let θ be the Cartan involution relative to *K*. For a θ -stable Cartan subalgebra $\mathfrak{h}_0 \subset \mathfrak{g}_0$, set

$$\mathfrak{h} = \mathfrak{h}_0 \otimes_{\mathbb{R}} \mathbb{C}, \ W_G(\mathfrak{g}, \mathfrak{h}) = N_{G(\mathbb{C})}(\mathfrak{h})/Z_{G(\mathbb{C})}(\mathfrak{h}), \ W_G(\mathfrak{g}, \mathfrak{h})^{\sigma} = N_K(\mathfrak{h})/Z_K(\mathfrak{h}),$$

where N and Z denote the normalizer and the centralizer respectively. Let \mathcal{B}_G be the flag variety of G. There exists a bijection

$$K_{\mathbb{C}} \setminus \mathcal{B}_G(\mathbb{C}) \cong \coprod_{\mathfrak{h}_0} W_G(\mathfrak{g}, \mathfrak{h})^{\sigma} \setminus W_G(\mathfrak{g}, \mathfrak{h}),$$

where h_0 runs through fixed representatives of *K*-conjugacy classes of θ -stable Cartan subalgebras.

Example 1.4 The special orthogonal group $SO(2, \mathbb{R})$ acts on the real projective line $\mathbb{P}^1(\mathbb{R})$ transitively. On the other hand, there are three SO(2, \mathbb{C})-orbits in $\mathbb{P}^1(\mathbb{C})$. This difference suggests us to decompose \mathbb{P}^1 into the SO(2)-orbit containing real points and the others which are closed. Since the complex conjugation switches the two closed orbits $\{\sqrt{-1}\}$ and $\{-\sqrt{-1}\}$ in $\mathbb{C} \cup \{\infty\} \cong \mathbb{P}^1(\mathbb{C})$, the two SO(2, \mathbb{C})-orbits are not defined over \mathbb{R} but their union is. Technically, observe that the Galois action $\sqrt{-1} \mapsto$ $-\sqrt{-1}$ is represented by the diagonal matrix diag $(1, -1) \in O(2, \mathbb{R}) \setminus SO(2, \mathbb{R})$, where $O(2, \mathbb{R})$ is the orthogonal group. Moreover, $O(2, \mathbb{C})$ is generated by $SO(2, \mathbb{C})$ and this matrix. Therefore, the union is an $O(2, \mathbb{C})$ -orbit defined over \mathbb{R} . Based on this idea, we proved a \mathbb{Z} -analog of the O(2, \mathbb{C})-orbit decomposition of the flag variety of GL_2 in [16, Section 5.1]. We summarize the present observation as follows: the closed SO(2, \mathbb{C})-orbits are not defined over \mathbb{R} but that the closed O(2, \mathbb{C})-orbit is so. This difference comes from the fact $diag(1, -1) \in O(2, \mathbb{R}) \setminus SO(2, \mathbb{R})$. This matrix appears in the Matsuki classification. In fact, we denote split Cartan subalgebras of the real special linear Lie algebra $\mathfrak{sl}_2(\mathbb{R})$ and the real general linear lie algebra $\mathfrak{gl}_2(\mathbb{R})$ by the same symbol $\mathfrak{h}_{std,0}$, and denote the fundamental Cartan subalgebras of $\mathfrak{sl}_2(\mathbb{R})$ and $\mathfrak{gl}_2(\mathbb{R})$ containing the orthogonal Lie algebra $\mathfrak{so}(2,\mathbb{R})$ by the same symbol $\mathfrak{h}_{fun,0}$.

Then, we have

$$W_{\mathrm{SL}_2}(\mathfrak{sl}_2,\mathfrak{h}_{\mathrm{std}})^{\sigma} \cong W_{\mathrm{SL}_2}(\mathfrak{sl}_2,\mathfrak{h}_{\mathrm{std}}) \cong W_{\mathrm{GL}_2}(\mathfrak{gl}_2,\mathfrak{h}_{\mathrm{std}}) \cong W_{\mathrm{GL}_2}(\mathfrak{gl}_2,\mathfrak{h}_{\mathrm{std}})^{\sigma}$$

 $W_{\mathrm{SL}_2}(\mathfrak{sl}_2,\mathfrak{h}_{\mathrm{fun}})^{\sigma} = \{1\}, W_{\mathrm{GL}_2}(\mathfrak{gl}_2,\mathfrak{h}_{\mathrm{fun}})^{\sigma} \cong \mathbb{Z}/2\mathbb{Z}.$

The nontrivial element of $W_{\text{GL}_2}(\mathfrak{g}, \mathfrak{h}_{\text{fun}})^{\sigma}$ is represented by diag(1, -1).

Example 1.5 Put $G = SL_3$. The compact Lie group SO(3, \mathbb{R}) acts transitively on $\mathcal{B}_{SL_3}(\mathbb{R})$, and there are four SO(3, \mathbb{C})-orbits in $\mathcal{B}_{SL_3}(\mathbb{C})$. One should separate the SO(3)-orbit containing real points and the others. We can see that the unique closed SO(3, \mathbb{C})-orbit is defined over the real numbers from [16, Proposition 5.5.2]. The key idea for its proof is that a corresponding Borel subgroup of SL₃ is determined by a regular cocharacter to SO(3, \mathbb{C}), and that its conjugation is expressed by the action of $w_0 = \text{diag}(1, -1, -1) \in \text{SO}(3, \mathbb{R})$. That is, w_0 plays the role of the Galois action. This should happen since $w_0 = -1$ as an element of the Weyl group of SO(3, \mathbb{C}). The main idea of this paper is as follows: w_0 also plays the role of the Galois action to certain Borel subgroups corresponding to the other two orbits since the Weyl group of SL₃(\mathbb{C}) does not contain -1. For example, we can explain it at the Lie algebra level as follows: set

$$\mathfrak{t}_{fun,0} = \mathbb{R} \begin{pmatrix} 0 & 1 & 0 \\ -1 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix},$$

and $\mathfrak{h}_{fun,0} \subset \mathfrak{sl}(3, \mathbb{R})$ be the fundamental Cartan subalgebra containing $\mathfrak{t}_{fun,0}$. Let $\mathfrak{h}_{fun,0} = \mathfrak{t}_{fun,0} \oplus \mathfrak{a}_{fun,0}$ denote the Cartan decomposition. Then, w_0 acts on $\mathfrak{t}_{fun,0}$ by -1. Since $w_0 \neq -1$ and $w_0^2 = 1$ as an element of the Weyl group of SL₃, and dim $\mathfrak{a}_{fun,0} = 1$, w_0 acts on $\mathfrak{a}_{fun,0}$ by 1. Since an element of \mathfrak{h}_{fun} determining each of the above two Borel subgroups belongs to $\sqrt{-1}\mathfrak{t}_{fun,0} \oplus \mathfrak{a}_{fun,0}$, the action of w_0 on this element coincides with the conjugate action. In this paper, we improve this idea to work over \mathbb{Z} [1/2].

Like the last part of Example 1.5, we will have to analyze combinatorial results carefully in general to get hints from them. The author is working in progress on a $\mathbb{Z}[1/2]$ -analog of the $K_{\mathbb{C}}$ -orbit decomposition of the flag varieties for higher rank classical groups by proactive use of [25]. There is also a more general formalism:

Problem 1.6 Let G be a reductive group scheme over k, and K be a closed subgroup scheme of G. Decompose the moduli scheme of parabolic subgroups of G into smaller pieces of K-invariant subschemes.

Note that symmetric subgroups in the sense of [15, Example 3.1.2] will be typical examples of K.

1.3 Organization of this Paper

In Section 2, we collect some general results on decompositions of schemes to verify ideas of Section 1.2. In Appendix A, we collect some general results on descent techniques in abstract algebraic geometry. They will be helpful when we try to find forms

of orbit decompositions of schemes, based on our general program of Section 1.2. In Section 3, we use them to construct $\mathbb{Z}[1/2]$ -forms of the SO(3, \mathbb{C})-orbits in $\mathcal{B}_{SL_3}(\mathbb{C})$. We also give their moduli descriptions. We cost many pages to this section for confirming the moduli descriptions (particularly Theorem 3.4 and Lemma 3.9) because we perform the Gram-Schmidt process and its versions explicitly and independently to the general matrices of size 3×3 . As a result, we find explicit formulas of the defining relations of the SO(3)-homogeneous subschemes of \mathcal{B}_{SL_2} . We also cost pages to the proof of the isomorphism SO(3) / SO(2) \cong Spec $\mathbb{Z}[1/2, \sqrt{-1}, x, y, z]/(x^2 + y^2 + z^2 - 1)$ in Proposition 3.6, based on the sheaf-theoretic definition of SO(3)/SO(2). In fact, we construct the inverse of the canonical map from left to right étale locally. On these courses and the formulations to these results, we meet many matrices of size 3×3 . Section 4 is devoted to the conclusion. In Appendix B, we use ideas of this paper to give a reasonable realization of the flag scheme of SO(3) over $\mathbb{Z}[1/2]$. We also establish a $\mathbb{Z}[1/2]$ -form of the SO(3, \mathbb{C})-orbit decomposition of a proper complex partial flag variety of SL₃. We again meet matrices of size 3×3 which take large space. Totally, the many pages are needed for the case-by-case studies of the orbits through computations of large matrices.

1.4 Notation

We follow [15] for the notations and conventions. In the below, we list additional notations.

To save space, we denote vertical vectors in R^3 as $(a_1 \ a_2 \ a_3)^T$ for a commutative ring R.

For a field F, we denote its algebraic closure by \overline{F} .

Let k be a commutative ring. Let $\operatorname{CAlg}_k^{\operatorname{red}}$ denote the full subcategory of CAlg_k consisting of reduced k-algebras. A sheaf on the big affine étale site over k will be called an étale k-sheaf, which will be identified with a copresheaf on CAlg_k in this paper. If necessary, see [24, Section 2] for the general formalism of sheaves on sites. We will regard k-schemes as étale k-sheaves by the restricted Yoneda functor (see [24, Theorem 4.1.2]). For a copresheaf \mathcal{F} on CAlg_k and a k-algebra R, we will sometimes identify an element $x \in \mathcal{F}(R)$ with a natural transformation Spec $R \to \mathcal{F}$ of copresheaves by the Yoneda lemma. It is evident by definitions that for any homomorphism $k \to k'$ of commutative rings, the base change $-\bigotimes_k k'$ sends étale k-sheaves to étale k'-sheaves.

For the formalism of quotient by group schemes, we adopt the quotient in the étale topology. That is, let *k* be a commutative ring, *G* be a group *k*-scheme, and $H \subset G$ be a subsegroup *k*-scheme. Then, G/H is the étale sheafification of the *k*-space defined by $R \mapsto G(R)/H(R)$. See [1] for the general formalism. Although G/H is not represented by a *k*-scheme in general, we will see that the quotients appearing in this paper are representable.

For a reductive group scheme *G* over a scheme *S* in the sense of [2, Définition 2.7], the moduli scheme of Borel subgroups of *G* will be denoted by \mathcal{B}_G (see [3, Corollaire 5.8.3 (i)]).

Let X be a scheme over k. Let |X| denote the underlying set of X. We will use a similar notation for morphisms of schemes. For a point $x \in |X|$, let $\kappa(x)$ denote the

residue field at *x*. If we are given a field *F* over *k* and an element $x \in X(F)$, we will also denote the residue field of *X* at the image of the point of $|\operatorname{Spec} F|$ along the map $|x| : |\operatorname{Spec} F| \to |X|$ by the same symbol $\kappa(x)$. For a point $x \in |X|$, we denote the geometric point $\operatorname{Spec} \kappa(x) \to \operatorname{Spec} \kappa(x) \to X$ by \bar{x} .

Let B_{std} denote the Borel subgroup of SL₃ over $\mathbb{Z}[1/2]$ consisting of upper triangular matrices.

2 Remark on Set-Theoretic Decomposition of Schemes

Fix *k* as a commutative ground ring. Let *X* be a *k*-scheme, and $\{i_{\lambda} : Z_{\lambda} \to X\}_{\lambda \in \Lambda}$ be a small set of monomorphisms of *k*-schemes. We say that $\{Z_{\lambda}\}$ exhibits a set-theoretic decomposition of *X* if the canonical map $\coprod_{\lambda \in \Lambda} |Z_{\lambda}| \to |X|$ is a bijection. The goal of this paper is to decompose the flag scheme \mathcal{B}_{SL_3} into SO(3)-invariant subschemes as a set. In this section, we note some general results on set-theoretic decompositions of schemes to relate them with the results of combinatorics.

Theorem 2.1 *The following conditions are equivalent:*

- (a) The canonical map $\coprod_{\lambda \in \Lambda} |Z_{\lambda}| \to |X|$ is a bijection.
- (b) The canonical map $\coprod_{\lambda \in \Lambda} Z_{\lambda}(F) \to X(F)$ be a bijection for every field F over k.
- (c) The canonical map $\coprod_{\lambda \in \Lambda} Z_{\lambda}(F) \to X(F)$ be a bijection for every algebraically closed field F over k.

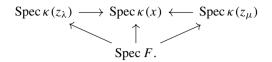
Proof It is clear that (b) implies (c). Suppose that $\{Z_{\lambda}\}$ satisfies (c). We prove $\{Z_{\lambda}\}$ exhibits a set-theoretic decomposition of X. Let $x \in |X|$. Since the map

$$(i_{\lambda}): \coprod_{\lambda} Z_{\lambda}(\overline{\kappa(x)}) \to X(\overline{\kappa(x)})$$

is a bijection, there exist an index λ and a unique element $z_{\lambda} \in Z_{\lambda}(\kappa(x))$ such that $i_{\lambda}(z_{\lambda}) = \bar{x}$. The point x is the image of the unique point of $|\operatorname{Spec} \kappa(x)|$ along the composite map $|\bar{x}| = |i_{\lambda}| \circ |z_{\lambda}| :|$ Spec $\overline{\kappa(x)} | \rightarrow |X|$. This shows that the map $\prod_{\lambda} |Z_{\lambda}| \rightarrow |X|$ is surjective. To see that it is injective, recall that each map $|i_{\lambda}| : |Z_{\lambda}| \rightarrow |X|$ is injective by [6, Remarque 8.11.5.1]. Hence, it will suffice to show that the images of $|i_{\lambda}|$ are disjoint in |X|. Let $\lambda, \mu \in \Lambda$ be distinct indices, $z_{\lambda} \in |Z_{\lambda}|$, and $z_{\mu} \in |Z_{\mu}|$. Suppose that $|i_{\lambda}|(z_{\lambda}) = |i_{\mu}|(z_{\mu}) =: x$. Then, we canonically obtain a commutative diagram

$$\operatorname{Spec} \kappa(z_{\lambda}) \xrightarrow{z_{\lambda}} Z_{\lambda} \xrightarrow{i_{\lambda}} X \xleftarrow{i_{\mu}} Z_{\mu} \xleftarrow{z_{\lambda'}} \operatorname{Spec} \kappa(z_{\lambda'})$$

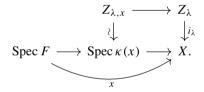
Then, we can find an algebraically closed field F enjoying a commutative diagram



This shows that $x|_{\text{Spec }F}$ is in the images of both i_{λ} and i_{μ} . It contradicts to the assumption that $\{Z_{\lambda}\}$ exhibits a fieldwise decomposition. This proves the implication (c) \Rightarrow (a).

Finally, suppose that $\{Z_{\lambda}\}$ satisfies (a). We wish to show that $\{Z_{\lambda}\}$ satisfies (b). Fix a field F over k. To see that the map $(i_{\lambda}) : \coprod_{\lambda \in \Lambda} Z_{\lambda}(F) \to X(F)$ is injective, it will suffice to show that the images of $Z_{\lambda}(F)$ in X(F) are disjoint since i_{λ} are monomorphisms. Let $\lambda, \mu \in \Lambda$ be distinct indices, $z_{\lambda} \in Z_{\lambda}(F)$, and $z_{\mu} \in Z_{\mu}(F)$. Let us wirte the corresponding elements in $|Z_{\lambda}|$ and $|Z_{\mu}|$ by the same symbols z_{λ} and z_{μ} respectively. Suppose $i_{\lambda}(z_{\lambda}) = i_{\mu}(z_{\mu})$. Then, we have $|i_{\lambda}|(z_{\lambda}) = |i_{\mu}|(z_{\mu})$, which contradicts to the condition (a) since $\lambda \neq \mu$.

The proof is completed by showing that $(i_{\lambda}) : \coprod_{\lambda \in \Lambda} Z_{\lambda}(F) \to X(F)$ is surjective. Let $x \in X(F)$. Then, the corresponding element $x \in |X|$ can be expressed as $|i_{\lambda}|(z_{\lambda})$ for some index λ and an element $z_{\lambda} \in |Z_{\lambda}|$. Let $Z_{\lambda,x}$ be the fiber of i_{λ} at $x \in |X|$. Consider the commutative diagram



The left vertical arrow in this diagram is an isomorphism by [6, Remarque 8.11.5.1] since $Z_{\lambda,x}$ is nonempty. Hence, the morphism i_{λ} sends the element of $Z_{\lambda}(F)$ given by Spec $F \to \text{Spec } \kappa(x) \cong Z_{\lambda,x} \to Z_{\lambda}$ to x.

Corollary 2.2 Let k' be a k-algebra. If $\{Z_{\lambda}\}$ exhibits a set-theoretic decomposition of X, $\{Z_{\lambda} \otimes_k k'\}$ exhibits a set-theoretic decomposition of $X \otimes_k k'$. The converse holds if k' is a faithfully flat k-algebra.

Proof The first part is clear from the definition of base changes in terms of copresheaves. Let k' be a faithfully flat k-algebra. Let F be an algebraically closed field over k. Then, $k' \otimes_k F$ is nonzero by the hypothesis on k'. Choose an algebraically closed field F' over $k' \otimes_k F$. For example, it is given by $\overline{(k' \otimes_k F)}/\mathfrak{m}$ for some maximal ideal \mathfrak{m} of $k' \otimes_k F$ which exists since $k' \otimes_k F \neq 0$. In particular, F' is an algebraically closed field over k' via the canonical homomorphism $k' \to k' \otimes_k F$.

Since the embedding $F \rightarrow F'$ is a *k*-algebra homomorphism, we get a commutative diagram

$$\begin{array}{ccc} & \coprod_{\lambda \in \Lambda} Z_{\lambda}(F) & \xrightarrow{(i_{\lambda})} & X(F) \\ & \downarrow & \downarrow \\ & \coprod_{\lambda \in \Lambda} Z_{\lambda}(F') & \xrightarrow{(i_{\lambda})} & X(F') \\ & \parallel & \parallel \\ & \coprod_{\lambda \in \Lambda} (Z_{\lambda} \otimes_{k} k')(F') & \xrightarrow{\sim} (X \otimes_{k} k')(F') \end{array}$$

where the bottom arrow is a bijection since $\{Z_{\lambda} \otimes_k k'\}$ exhibits a fieldwise decomposition of $X \otimes_k k'$. The left upper vertical arrow is injective since the embedding $F \to F'$ is faithfully flat. Therefore, the upper horizontal arrow is injective.

Suppose that we are given an element $x \in X(F)$. Then, there exist an index $\lambda \in \Lambda$ and $z'_{\lambda} \in Z_{\lambda}(F')$ such that $x|_{\text{Spec } F'} = i_{\lambda}(z'_{\lambda})$. Consider the canonical map $\iota_j : F' \to F' \otimes_F F'$ to the *j*th factor $(j \in \{1, 2\})$. Since $x \in X(F)$, we have

$$i_{\lambda}(Z_{\lambda}(\iota_{1})z'_{\lambda}) = X(\iota_{1})(i_{\lambda}(z'_{\lambda})) = X(\iota_{1})(x|_{\operatorname{Spec} F'}) = X(\iota_{2})(x|_{\operatorname{Spec} F'})$$

= $X(\iota_{2})(i_{\lambda}(z'_{\lambda})) = i_{\lambda}(Z_{\lambda}(\iota_{2})z'_{\lambda}).$

Since i_{λ} is a monomorphism, this implies $Z_{\lambda}(\iota_1)z'_{\lambda} = Z_{\lambda}(\iota_2)z'_{\lambda}$. Since Z_{λ} is a sheaf in the fpqc topology, there is a unique element $z_{\lambda} \in Z_{\lambda}(F)$ such that $z_{\lambda}|_{\text{Spec }F'} = z'_{\lambda}$. Since the restriction $X(F) \to X(F')$ is injective by the faithfully flat descent, the equality $i_{\lambda}(z_{\lambda}) = x$ follows from $i_{\lambda}(z_{\lambda})|_{\text{Spec }F'} = i_{\lambda}(z_{\lambda}|_{\text{Spec }F'}) = i_{\lambda}(z'_{\lambda}) = x|_{\text{Spec }F'}$. This completes the proof.

Let *K* be a group scheme over *k*, *X* be a scheme over *k*, equipped with an action of *K*. Let $\{i_{\lambda} : Z_{\lambda} \to X\}$ be a set-theoretic decomposition of *X*. Suppose that for each index λ , the following conditions are satisfied:

(i) The action of *K* on *X* restricts to Z_{λ} .

(ii) Every geometric fiber of Z_{λ} is nonempty and locally of finite type.

(iii) For every algebraically closed field F over k, K(F) acts transitively on $Z_{\lambda}(F)$.

Such a set-theoretic decomposition is minimal in the following sense:

Corollary 2.3 For each λ , suppose that we are given a set-theoretic decomposition $\{Z'_{\lambda\mu} \hookrightarrow Z_{\lambda}\}$. Then, each set $\{Z'_{\lambda\mu} \hookrightarrow Z_{\lambda}\}$ is a singleton if the following conditions are satisfied for every pair (λ, μ) :

(i) The action of K on X restricts to $Z'_{\lambda\mu}$.

(ii) Every geometric fiber of $Z'_{\lambda\mu}$ is nonempty and locally of finite type.

Proof This is an immediate consequence of Hilbert's Nullstellensatz.

3 Construction of $\mathbb{Z}[1/2]$ -Forms of SO(3, \mathbb{C})-Orbits

We constructed a $\mathbb{Z}[1/2]$ -form of the closed SO(3, \mathbb{C})-orbit in $\mathcal{B}_{SL_3}(\mathbb{C})$ in [16] by the Galois descent. In this section, we construct $\mathbb{Z}[1/2]$ -forms of the remaining three

SO(3, \mathbb{C})-orbits. We also give their moduli descriptions. To achieve them, remark that if we are given a Borel subgroup of SL₃ over a $\mathbb{Z}[1/2]$ -algebra *R*, the stabilizer of the action of SO(3) at $B \in \mathcal{B}_{SL_3}(R)$ is SO(3) $\cap B$ since the normalizer of *B* coincides with itself ([3, Corollaire 5.3.12 and Proposition 5.1.3]).

3.1 Preliminary Computation

Let *R* be a commutative ring. Let $\{e_1, e_2, e_3\}$ denote the standard basis of R^3 . We define an *R*-bilinear form $(-, -) : R^3 \otimes_R R^3 \to R$ by $(\sum_{i=1}^3 a_i e_i, \sum_{i=1}^3 b_i e_i) = \sum_{i=1}^3 a_i b_i$. Let $g \in SL_3(R)$. For $i \in \{1, 2, 3\}$, write $v_i(g) = (g_{1i} g_{2i} g_{3i})^T$. Set

$$c_1(g) = (v_1(g), v_1(g))$$

$$c_2(g) = (v_1(g), v_1(g))(v_2(g), v_2(g)) - (v_1(g), v_2(g))^2.$$
$$c_3(g) = (v_1(g), v_2(g)).$$

We will omit (g) if the matrix g is clear from the context.

Lemma 3.1 Let $g \in SL_3(R)$, $b \in B_{std}(R)$, and $k \in SO(3, R)$.

- (1) For $i \in \{1, 2\}$, $c_i(g) \in R^{\times}$ (resp. $c_i(g) = 0$) if and only if $c_i(kgb) \in R^{\times}$ (resp. $c_i(kgb) = 0$).
- (2) Suppose that $c_1(g) = 0$. We then have $c_3(g) \in R^{\times}$ (resp. $c_3(g) = 0$) if and only if $c_3(kgb) \in R^{\times}$ (resp. $c_3(kgb) = 0$).

Proof We remark that $kv_i(g) = v_i(kg)$ for $i \in \{1, 2, 3\}$. Since k respects the bilinear form (-, -) on \mathbb{R}^3 , we have $c_1(kgb) = c_1(gb)$, $c_2(kgb) = c_2(gb)$, and $c_3(kgb) = c_3(gb)$. By definitions, we have

$$v_1(gb) = b_{11}v_1(g), v_2(gb) = b_{12}v_1(g) + b_{22}v_2(g),$$

$$c_1(gb) = b_{11}^2c_1(g), c_2(gb) = b_{11}^2b_{22}^2c_2(g).$$

If $c_1(g) = 0$, we also have $c_3(gb) = b_{11}b_{22}c_3(g)$. We remark that $b_{11}, b_{22} \in \mathbb{R}^{\times}$ since *b* belongs to $B_{\text{std}}(\mathbb{R})$. The equivalences are now obvious.

3.2 Open Orbit U

Let $U = SO(3)/SO(3) \cap B_{std}$ be the SO(3)-orbit sheaf over $\mathbb{Z}[1/2]$ attached to $B_{std} \in \mathcal{B}_{SL_3}(\mathbb{Z}[1/2])$, and $i_{op} : U \hookrightarrow \mathcal{B}_{SL_3}$ be the corresponding embedding.

Lemma 3.2 The group scheme $SO(3) \cap B_{std}$ is a finite étale diagonalizable group scheme.

Proof Consider the embedding Spec $\mathbb{Z}[1/2, b_1, b_2]/(b_1^2 - 1, b_2^2 - 1) \hookrightarrow SL_3$ over $\mathbb{Z}[1/2]$ defined by $(b_1, b_2) \mapsto \text{diag}(b_1, b_2, b_1b_2)$. It clearly factors through $B_{\text{std}} \cap$ SO(3). We prove that it is an isomorphism onto $B_{\text{std}} \cap$ SO(3). Let *R* be an arbitrary $\mathbb{Z}[1/2]$ -algebra, and $b = (b_{ij}) \in B_{\text{std}}(R) \cap$ SO(3, *R*). Since $b \in B_{\text{std}}(R) \subset SL_3(R)$,

we have det $b = b_{11}b_{22}b_{33} = 1$. In particular, the diagonal entries of b are units of R. For $i \in \{2, 3\}$ (resp. $i \in \{1, 2\}$), we have $b_{1i} = 0$ (resp. $b_{i3} = 0$) since the (i, 1)-entry of $b^T b$ (resp. the (i, 3)-entry of bb^T) is $b_{11}b_{1i}$ (resp. $b_{i3}b_{33}$). Therefore, bis diagonal. Since $b^T b = 1$, we have $b_{11}^2 = b_{22}^2 = b_{33}^2 = 1$. Since det b = 1, we have $b_{33} = b_{11}b_{22}$. Hence, b is the image of (b_{11}, b_{22}) . This completes the proof.

We next show that U is the locus in \mathcal{B}_{SL_3} where we can apply the Gram-Schmidt process in order to prove that i_{op} is an affine open immersion. In other words, U can be identified with the moduli scheme of flags where the Gram-Schmidt process works.

Property 3.3 Let *F* be an algebraically closed field of characteristic different from 2. We say that a full flag $V = (0 \subset Fv_1 \subset V_2 \subset F^3)$ satisfies Property (O) if $(v_1, v_1) \neq 0$, and every nonzero element $v \in V_2$ satisfies either $(v_1, v) \neq 0$ or $(v, v) \neq 0$. We remark that a nonzero vector $v \in V$ such that $(v_1, v) = 0$ is unique up to nonzero scalar since dim $V_2 = 2$.

Theorem 3.4 (1) Let R be an arbitrary $\mathbb{Z}[1/2]$ -algebra. For a Borel subgroup $B \in \mathcal{B}_{SL_3}(R)$, the following conditions are equivalent:

- (a) B belongs to the image of i_{op} .
- (b) The flags corresponding to all geometric fibers of B satisfy Property (O).
- (2) The sheaf U is represented by an affine $\mathbb{Z}[1/2]$ -scheme.
- (3) The morphism i_{op} is an affine open immersion.

Proof Part (2) follows from (1). In fact, *U* is a sheaf in the fpqc topology by (1) since the condition (b) is local in the fpqc topology (use Lemma A.1 if necessary). In particular, *U* is the fpqc quotient of SO(3) by SO(3) $\cap B_{\text{std}}$. Part (2) then follows from Lemma 3.2 and [5, Corollaire 5.6] (or [17, I.5.6 (6)]).

Let *R* be a $\mathbb{Z}[1/2]$ -algebra, and $B \in \mathcal{B}_{SL_3}(R)$. Suppose that *B* satisfies (a). Since (b) is local in the étale topology, we may pass to an étale cover to assume that there exists an element $k \in SO(3, R)$ such that $B = kB_{std}k^{-1}$ by [17, I 5.4 (4), 5.5, 5.6 (2)]. Since B_{std} satisfies (b), *B* does so by $k \in SO(3, R)$. Conversely, suppose that *B* satisfies (b). Since (a) is local in the étale topology by Lemma A.5, we may assume that $B = gB_{std}g^{-1}$ for some matrix $g \in SL_3(R)$ by [3, Proposition 5.1.3 and Corollaire 5.3.12]. Since $c_1 = c_1(g)$ is nonzero at every (geometric) fiber, c_1 is a unit of *R*. Let us pass to the étale cover Spec $R[\sqrt{c_1}] \rightarrow \text{Spec } R$. Since $B = gbB_{std}b^{-1}g^{-1}$ for every element $b \in B_{std}(R)$, one can replace g by

$$g\left(\begin{array}{ccc}\frac{1}{\sqrt{c_1}} - \frac{(v_1, v_2)}{c_1} & 0\\ 0 & 1 & 0\\ 0 & 0 & \sqrt{c_1}\end{array}\right)$$

to assume that $(v_1, v_1) = 1$ and $(v_1, v_2) = 0$. Since v_2 is nonzero at each geometric fiber, (b) implies $c_2 = (v_2, v_2) \in R^{\times}$. Pass to the étale cover Spec $R\left[\sqrt{c_2}\right]$. Then, replace g by g diag $(1, \frac{1}{\sqrt{c_2}}, \sqrt{c_2})$ to assume $(v_2, v_2) = 1$. Note that $(v_1, v_1) = 1$ and $(v_1, v_2) = 0$ still hold. Define a matrix k by

$$v_1(k) = v_1(g), v_2(k) = v_2(g),$$

$$v_3(k) = v_3(g) - (v_1(g), v_3(g))v_1(g) - (v_2(g), v_3(g))v_2(g).$$

Then, $v_1(k)$, $v_2(k)$, and $v_3(k)$ are orthogonal to each other. Since det is alternating multilinear, we have det $k = \det g = 1$. Therefore, we obtain $(v_3(k), v_3(k)) = 1$ from

$$1 = (\det k)^2 = \det k^T k = \det((v_i(k), v_i(k))) = (v_3(k), v_3(k)).$$

As a consequence, k belongs to SO(3, R). Since

$$g = k \begin{pmatrix} 1 \ 0 \ (v_3(g), v_1(g)) \\ 0 \ 1 \ (v_3(g), v_2(g)) \\ 0 \ 0 \ 1 \end{pmatrix},$$

 $B = kB_{\rm std}k^{-1}$ belongs to the image of $i_{\rm op}$. This shows (1).

For (3), we show that for every test affine scheme Spec *R* over $\mathbb{Z}[1/2]$ and a morphism Spec $R \to \mathcal{B}_{SL_3}$, the base change Spec $R \times_{\mathcal{B}_{SL_3}} U \to$ Spec *R* is an affine open immersion. Let *B* be the Borel subgroup corresponding to Spec $R \to \mathcal{B}_{SL_3}$. Since the assertion is étale local in Spec *R*, we may again assume $B = gB_{std}g^{-1}$ for some $g \in SL_3(R)$. For a ring homomorphism $f : R \to S$, the following conditions are equivalent:

- (a) f belongs to $(U \times_{\mathcal{B}_{SL_3}} \operatorname{Spec} R)(S) \subset (\operatorname{Spec} R)(S);$
- (b) $f(c_1)$ and $f(c_2)$ are nonzero in each residue field of Spec S;
- (c) $f(c_1)$ and $f(c_2)$ are units of S.
- (d) The homomorphism f descends to a map $R_{c_1c_2} \rightarrow S$.

Therefore, $U \times_{\mathcal{B}_{SL_3}} \text{Spec } R$ is isomorphic to Spec $R_{c_1c_2}$. This completes the proof. \Box

Remark 3.5 The formula c_1c_2 appear more directly by the pull back of this open subscheme along the projection $SL_3 \rightarrow SL_3 / B_{std} \cong \mathcal{B}_{SL_3}$. That is, the open subscheme of SL_3 obtained by this base change is defined by c_1c_2 . Similar results hold in the forms of the other orbits below.

3.3 Middle Subschemes Z₁ and Z₂

We next construct by Galois descent $\mathbb{Z}[1/2]$ -forms of the two orbits which are neither open or closed. Let $\Gamma = \mathbb{Z}/2\mathbb{Z}$, and σ denote its nontrivial element. Recall that $\mathbb{Z}[1/2] \subset \mathbb{Z}[1/2, \sqrt{-1}]$ is a Galois extension of Galois group Γ for the conjugation $\sqrt{-1} \mapsto -\sqrt{-1}$.

Set

$$g_{1} = \begin{pmatrix} 1 & -\sqrt{-1} & 0 \\ -\sqrt{-1} & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix}, g_{2} = \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & -\sqrt{-1} \\ 0 & -\sqrt{-1} & 1 \end{pmatrix} \in \operatorname{GL}_{2}(\mathbb{Z}\left[1/2, \sqrt{-1}\right])$$
$$B_{j} = g_{j}B_{\operatorname{std}}g_{j}^{-1} \ (j \in \{1, 2\}).$$

🕲 Birkhäuser

Write $A = \mathbb{Z}\left[\frac{1}{2}, \sqrt{-1}, x, y, z\right]/(x^2 + y^2 + z^2 - 1)$. Then, SO(3) acts on Spec *A* by the restriction of the canonical action of SO(3) on Spec $\mathbb{Z}\left[\frac{1}{2}, \sqrt{-1}, x, y, z\right]$. That is, for a $\mathbb{Z}\left[\frac{1}{2}, \sqrt{-1}\right]$ -algebra *R*, each element $g \in$ SO(3, *R*) acts on (Spec *A*)(*R*) as a 3×3 matrix via the identification (Spec *A*)(*R*) $\cong \left\{(x \ y \ z)^T \in R^3 : x^2 + y^2 + z^2 = 1\right\} \subset R^3$.

Proposition 3.6 (1) We have

$$B_1 \cap SO(3) = diag(SO(2), 1), B_2 \cap SO(3) = diag(1, SO(2)).$$

(2) Let $j \in \{1, 2\}$. We have an SO(3)-equivariant isomorphism

$$SO(3)/B_i \cap SO(3) \cong Spec A.$$

Define SO(3)-equivariant monomorphisms i_i as

$$i_i$$
: Spec $A \cong SO(3)/B_i \cap SO(3) \hookrightarrow \mathcal{B}_{SL_3}$.

(3) Define an action of Γ on A by

$$\sigma(\sqrt{-1}) = -\sqrt{-1}, \ \sigma(x) = -x, \ \sigma(y) = -y, \ \sigma(z) = -z.$$

Then, the elements of $\mathcal{B}_{SL_3}(A)$ corresponding to i_1 and i_2 are Γ -invariant.

Proof We only prove the assertions for B_1 . The other is proved in a similar way. For (1), we may prove the equality

$$B_{\text{std}} \cap g_1^{-1} \operatorname{SO}(3) g_1 = g_1^{-1} \operatorname{diag}(\operatorname{SO}(2), 1) g_1.$$
(1)

by passing to the conjugate by g_1^{-1} . Let *R* be an arbitrary $\mathbb{Z}[1/2, \sqrt{-1}]$ -algebra. Then, the computation of μ_2 in [15, Section 3.2] implies

$$g_1^{-1}$$
diag(SO(2, R), 1) $g_1 = \{$ diag $(a, a^{-1}, 1) \in SL_3(R) : a \in R^{\times} \}.$

Let $b = (b_{ij}) \in B_{std}(R)$. Then, $g_1 b g_1^{-1}$ belongs to SO(3, R) if and only if the equality $g_1^{-2} b^T g_1^2 b = 1$ holds since g_1 is symmetric. One can check

$$g_1^{-2}b^Tg_1^2b = \begin{pmatrix} b_{11}b_{22} & 2b_{12}b_{22} & b_{12}b_{23} + b_{22}b_{13} \\ 0 & b_{33}^{-1} & b_{11}b_{23} \\ -2\sqrt{-1}b_{11}b_{23} - 2\sqrt{-1}b_{22}b_{13} - 2\sqrt{-1}b_{22}b_{13} - 4\sqrt{-1}b_{23}b_{13} + b_{33}^2 \end{pmatrix}$$

(use $b_{11}b_{22} = b_{33}^{-1}$). It is now straightforward that $g_1^{-2}b^T g_1^2 b = 1$ if and only if $b_{22} = b_{11}^{-1}$, $b_{33} = 1$, and $b_{12} = b_{23} = b_{13} = 0$. This proves the equality (1).

🕲 Birkhäuser

We next prove (2). It is easy to show that the stabilizer subgroup of SO(3) at $(0\ 0\ 1)^T \in (\operatorname{Spec} A)(\mathbb{Z}[1/2, \sqrt{-1}])$ is SO(2). We thus obtain a monomorphism

$$SO(3)/SO(2) \hookrightarrow Spec A.$$

To see that it is an isomorphism, it will suffice to show that the identity map of A is étale locally expressed as $g(0\ 0\ 1)^T$ for some $g \in SO(3)$. Since

$$(x^{2} + y^{2}) + (y^{2} + z^{2}) + (z^{2} + x^{2}) = 2 \in (\mathbb{Z}[1/2, x, y, z]/(x^{2} + y^{2} + z^{2} - 1))^{\times},$$

the affine schemes Spec $A\left[1/\sqrt{x^2 + y^2}\right]$, Spec $A\left[1/\sqrt{y^2 + z^2}\right]$, and Spec $A\left[1/\sqrt{y^2 + z^2}\right]$ form an étale cover of Spec A. Set

$$g_{xy} = \begin{pmatrix} \frac{zx}{\sqrt{x^2 + y^2}} & -\frac{y}{\sqrt{x^2 + y^2}} & x\\ \frac{zy}{\sqrt{x^2 + y^2}} & \frac{x}{\sqrt{x^2 + y^2}} & y\\ -\sqrt{x^2 + y^2} & 0 & z \end{pmatrix} \in \operatorname{SO}(3, A\left[1/\sqrt{x^2 + y^2}\right]).$$

Then, we have $g_{xy}(0 \ 0 \ 1)^T = (x \ y \ z)^T$ on this étale locus. One can find similar matrices sending $(0 \ 0 \ 1)^T$ to $(x \ y \ z)^T$ on the other étale loci. This shows (2).

For (3), observe that the automorphism σ on A naturally extends to $A\left[1/\sqrt{x^2 + y^2}\right]$ by $\sigma(\sqrt{x^2 + y^2}) = \sqrt{x^2 + y^2}$. By construction of i_1 , the Borel subgroups of SL₃ corresponding to i_1 on Spec $A\left[1/\sqrt{x^2 + y^2}\right]$ is $g_{xy}g_1g_{xy}^{-1} \in \mathcal{B}_{SL_3}(A\left[1/\sqrt{x^2 + y^2}\right])$. Since $\sigma(g_{xy}g_1) = g_{xy}w_0\sigma(g_1) = g_{xy}g_1w_0$ for $w_0 := \text{diag}(1, -1, -1), i_1$ is Γ invariant on this étale locus. Similar arguments work on the other loci. This completes the proof.

Remark 3.7 The argument of (2) clearly works if we replace A by

$$\mathbb{Z}[1/2, x, y, z]/(x^2 + y^2 + z^2 - 1)$$

Notice that the structure morphism $\mathbb{Z}[1/2, \sqrt{-1}] \to A$ is clearly Γ -equivariant. Put an action of SO(3) on Spec $A^{\Gamma} \otimes_{\mathbb{Z}[1/2]} \mathbb{Z}[1/2, \sqrt{-1}]$ by the isomorphism

$$A \cong A^{\Gamma} \otimes_{\mathbb{Z}[1/2]} \mathbb{Z}\left[1/2, \sqrt{-1}\right]$$

(see Theorem A.3 (2)). In view of Theorem A.3 (3) and Proposition A.4, we obtain two SO(3)-equivariant monomorphisms $Z_j := \text{Spec } A^{\Gamma} \hookrightarrow \mathcal{B}_{SL_3}$, which we denote by the same symbol i_j . For a digression, we describe A^{Γ} :

Proposition 3.8 Define an action of SO(3) on

Spec
$$\mathbb{Z}\left[1/2, x', y', z'\right]/((x')^2 + (y')^2 + (z')^2 + 1)$$

in a similar way to that on Spec A. Then, there is an SO(3)-equivariant isomorphism

Spec
$$A^{\Gamma} \cong$$
 Spec $\mathbb{Z} \left[\frac{1}{2}, x', y', z' \right] / ((x')^2 + (y')^2 + (z')^2 + 1)$

Proof Define $f: \mathbb{Z}\left[1/2, x', y', z'\right]/((x')^2 + (y')^2 + (z')^2 + 1) \to A^{\Gamma}$ by

$$x' \mapsto \sqrt{-1}x, \ y' \mapsto \sqrt{-1}y, \ z' \mapsto \sqrt{-1}z.$$

In view of Theorem A.3, $\mathbb{Z}\left[1/2, \sqrt{-1}\right] \otimes_{\mathbb{Z}[1/2]} f$ can be identified with the map

$$\mathbb{Z}\left[1/2, \sqrt{-1}, x', y', z'\right] / ((x')^2 + (y')^2 + (z')^2 + 1) \to A;$$

$$\sqrt{-1} \mapsto \sqrt{-1}, x' \mapsto \sqrt{-1}x, y' \mapsto \sqrt{-1}y, z' \mapsto \sqrt{-1}z.$$

The resulting morphism

Spec
$$A \to \text{Spec } \mathbb{Z}\left[\frac{1}{2}, \sqrt{-1}, x', y', z'\right] / ((x')^2 + (y')^2 + (z')^2 + 1)$$

is clearly an SO(3)-equivariant isomorphism. Since the action of SO(3) on

Spec
$$A^{\Gamma} \otimes_{\mathbb{Z}[1/2]} \mathbb{Z}\left[1/2, \sqrt{-1}\right]$$

is induced from the action on Spec *A* via the isomorphism $A \cong A^{\Gamma} \otimes_{\mathbb{Z}[1/2]} \mathbb{Z}[1/2, \sqrt{-1}], \mathbb{Z}[1/2, \sqrt{-1}] \otimes_{\mathbb{Z}[1/2]} \text{Spec } f$ is an SO(3)-equivariant isomorphism. Since the containment $\mathbb{Z}[1/2] \subset \mathbb{Z}[1/2, \sqrt{-1}]$ is faithfully flat, Spec f is an SO(3)-equivariant isomorphism.

We demonstrate similar computations to the proof of Theorem 3.4 (b) \Rightarrow (a) to give moduli descriptions of Z_1 and Z_2 , and to prove that i_1 and i_2 are affine immersions:

Lemma 3.9 Let R be a $\mathbb{Z}[1/2]$ -algebra, and $g \in SL_3(R)$. Set $B = gB_{std}g^{-1}$.

- (1) The Borel subgroup B belongs to the image of i_1 if and only if $c_1(g) = 0$ and $c_3(g) \in \mathbb{R}^{\times}$.
- (2) The Borel subgroup B belongs to the image of i_2 if and only if $c_1(g) \in \mathbb{R}^{\times}$ and $c_2(g) = 0$.

Proof We remark that all conditions are local in the étale topology by Lemma A.5 and Lemma A.1. Hence, we may replace *R* by $R\left[\sqrt{-1}\right]$ to assume that *R* is a $\mathbb{Z}\left[\sqrt{-1}\right]$ -algebra. Put

$$g_1' = \begin{pmatrix} 1 & -\sqrt{-1} & 0 \\ -\sqrt{-1} & 1 & 0 \\ 0 & 0 & \frac{1}{2} \end{pmatrix} \in \mathrm{SL}_3(\mathbb{Z}\left[1/2, \sqrt{-1}\right]).$$

One can easily check $B_1 = g'_1 B_{\text{std}}(g'_1)^{-1}$. Suppose that *B* belongs to the image of i_1 . To prove that $c_1(g) = 0$ and $c_3(g) \in R^{\times}$, we may assume that there exists

 $k \in SO(3, R)$ such that $B = kB_1k^{-1}$. Then, [3, Corollaire 5.3.12 and Proposition 5.1.3] imply $g^{-1}kg'_1 \in B_{std}(R)$. The assertions $c_1(g) = 0$ and $c_3(g) \in R^{\times}$ now follow from Lemma 3.1.

Conversely, suppose that $c_1(g) = 0$ and $c_3(g) \in R^{\times}$. Since $B = gbB_{std}b^{-1}g^{-1}$ for every element $b \in B_{std}(R)$, one can replace g by

$$g \begin{pmatrix} \frac{1}{c_3} & \frac{1-(v_2, v_2)}{2c_3} & 0\\ 0 & 1 & 0\\ 0 & 0 & c_3 \end{pmatrix}$$

to assume that $(v_2, v_2) = c_3 = 1$. We remark that $c_1 = 0$ still holds from Lemma 3.1. Set

$$k = \left(v_2 - \sqrt{-1}(v_2 - v_1)\sqrt{-1}(v_3 + (v_1 - v_2, v_3)v_1 - (v_1, v_3)v_2)\right).$$

By a similar argument to Theorem 3.4, k belongs to SO(3, R). In view of Lemma 3.1, we may replace g by $k^{-1}g$ to assume $v_1 = e_1 - \sqrt{-1}e_2$ and $v_2 = e_1$. Set

$$b = \begin{pmatrix} 1 & \sqrt{-1} & \frac{1}{2}g_{13} \\ 0 & -2\sqrt{-1} & -\frac{\sqrt{-1}}{2}g_{13} - \frac{1}{2}g_{23} \\ 0 & 0 & \frac{\sqrt{-1}}{2} \end{pmatrix}.$$

Then, we have $gb = g'_1$ since det g = 1. The assertion now follows from

$$B = gB_{\text{std}}g^{-1} = g_1'B_{\text{std}}(g_1')^{-1} = B_1.$$

We next prove (2). The "only if" direction follows by a similar argument to (1). Suppose that $c_1(g) \in R^{\times}$ and $c_2(g) = 0$. We may pass to the étale cover Spec $R\left[\sqrt{r_1}\right]$, and multiply

$$\begin{pmatrix} \frac{1}{\sqrt{r_1}} - \frac{(v_1, v_2)}{c_1} & 0\\ 0 & 1 & 0\\ 0 & 0 & \sqrt{r_1} \end{pmatrix}$$

to g from the right side to assume $c_1 = 1$ and $(v_1, v_2) = 0$. Since $c_2 = 0$, we have $(v_2, v_2) = 0$. Compute $1 = (\det g)^2 = \det gg^T$ to get $(v_2, v_3)^2 = -1$. We then replace g by

$$g\begin{pmatrix}1 \ 0 \ 0 \\ 0 \ 1 \ \frac{(1-(v_3,v_3))(v_2,v_3)}{2}\\ 0 \ 0 \ 1\end{pmatrix}$$

to assume $(v_3, v_3) = 1$. It is clear that the equalities

$$c_1 = 1, (v_1, v_2) = (v_2, v_2) = 0, (v_2, v_3)^2 = -1$$

still hold. Define a matrix k by

$$v_1(k) = \sqrt{-1}(v_2(g), v_3(g))v_1(g),$$

🕲 Birkhäuser

$$v_{3}(k) = \sqrt{-1}(v_{2}(g), v_{3}(g))(v_{3}(g) - (v_{1}(g), v_{3}(g))v_{1}(g) - \frac{1}{2}(v_{1}(g), v_{3}(g))^{2}(v_{2}(g), v_{3}(g))v_{2}(g)),$$

$$v_2(k) = v_2(g) + \sqrt{-1}v_3(k).$$

Then, *k* belongs to SO(3, *R*). Replace *g* by $k^{-1}g$ to assume

$$v_1(g) = \sqrt{-1}(v_2(g), v_3(g))e_1, v_2(g) = e_2 - \sqrt{-1}e_3.$$

Set

$$b = \begin{pmatrix} \frac{\sqrt{-1}(v_2, v_3)}{2} & 0 & -2g_{13} \\ 0 & 1 & -\sqrt{-1}(1 + 2g_{23}(v_2, v_3)) \\ 0 & 0 & 2\sqrt{-1}(v_2, v_3) \end{pmatrix}.$$

Then, we have

$$gb = \begin{pmatrix} \frac{1}{2} & 0 & 0\\ 0 & 1 & -\sqrt{-1}\\ 0 & -\sqrt{-1} & 1 \end{pmatrix}$$

since det g = 1. The assertion now follows from

$$gB_{\rm std}g^{-1} = \begin{pmatrix} \frac{1}{2} & 0 & 0\\ 0 & 1 & -\sqrt{-1}\\ 0 & -\sqrt{-1} & 1 \end{pmatrix} B_{\rm std} \begin{pmatrix} \frac{1}{2} & 0 & 0\\ 0 & 1 & -\sqrt{-1}\\ 0 & -\sqrt{-1} & 1 \end{pmatrix}^{-1} = g_2 B_{\rm std} g_2^{-1}.$$

This completes the proof.

Property 3.10 Let *F* be an algebraically closed field of characteristic different from 2, and $V = (0 \subset Fv_1 \subset V_2 \subset F^3)$ be a flag.

- (1) We say V satisfies Property (LC1) if $(v_1, v_1) = 0$, and every vector $v \in V_2$ satisfies either $Fv_1 = Fv$ or $(v_1, v) \neq 0$.
- (2) We say V satisfies Property (LC2) if $(v_1, v_1) \neq 0$, and there exists a nonzero element $v \in V_2$ such that $(v_1, v) = (v, v) = 0$. We remark that if such v exists, v is uniquely determined up to nonzero scalar by the property $(v_1, v) = 0$ since dim $V_2 = 2$.

Lemma 3.11 Let R be a $\mathbb{Z}[1/2]$ -algebra, and $g \in SL_3(R)$.

- (1) If $c_1(g) = 0$, and $c_3(g) \in R^{\times}$ then the flags corresponding to all geometric fibers of *B* satisfy Property (LC1). The converse holds if *R* is reduced.
- (2) If $c_1(g) \in \mathbb{R}^{\times}$, and $c_2(g) = 0$ then the flags corresponding to all geometric fibers of *B* satisfy Property (LC2). The converse holds if *R* is reduced.

Proof We remark that if R is reduced, an element $r \in R$ is zero if and only if it is zero in all residue fields of R. Therefore, we may assume that R = F is an algebraically closed field.

Part (1) follows since the flag $V = (0 \subset Fv_1 \subset Fv_1 \oplus Fv_2 \subset F^3)$ satisfies (LC1) if and only if $(v_1, v_1) = 0$ and $(v_1, v_2) \neq 0$. We next prove that V satisfies (LC2) if and only if $c_1(g) \in F^{\times}$ and $c_2(g) = 0$. Notice that $c_1(g) \neq 0$ holds under the both conditions. We also have

$$v_2 - \frac{(v_1, v_2)}{c_1}v_1 \neq 0, \ (v_2 - \frac{(v_1, v_2)}{c_1}v_1, v_1) = 0, \ c_2 = c_1(v_2 - \frac{(v_1, v_2)}{c_1}v_1, v_2 - \frac{(v_1, v_2)}{c_1}v_1).$$

The equivalence of (2) is now obvious.

Let \hat{Z}_1 (resp. \hat{Z}_2) be the moduli space of flags with Property (LC1) (resp. (LC2)). That is, for a $\mathbb{Z}[1/2]$ -algebra R, $\hat{Z}_1(R)$ (resp. $\hat{Z}_2(R)$) consists of Borel subgroups of SL₃ over R whose corresponding flags at geometric points of Spec R satisfy (LC1) (resp. (LC2)).

Theorem 3.12 Let R be a reduced $\mathbb{Z}[1/2]$ -algebra, and $B \in \mathcal{B}_{SL_3}(R)$.

- 1. The following conditions are equivalent:
 - (a) B belongs to the image of i_1 .
 - (b) The flags corresponding to all geometric fibers of B satisfy Property (LC1).
- 2. The following conditions are equivalent:
 - (a) B belongs to the image of i_2 .
 - (b) The flags corresponding to all geometric fibers of B satisfy Property (LC2).

In particular, Z_1 (resp. Z_2) is the left Kan extension of the copresheaf $\hat{Z}_1|_{CAlg_{\mathbb{Z}[1/2]}^{red}}$ (resp. $\hat{Z}_2|_{CAlg_{\mathbb{Z}[1/2]}^{red}}$).

Proof Since all conditions are local in the étale topology, we may assume that *B* is SL_3 -conjugate to B_{std} . Then, the equivalences follow from Lemma 3.9 and Lemma 3.11.

Theorem 3.13 *The morphisms* i_1 *and* i_2 *are affine immersions.*

Proof We only prove (1). It will suffice to prove i_1 : Spec $A \to \mathcal{B}_{SL_3}$ is an affine immersion by taking the base change $- \bigotimes_{\mathbb{Z}[1/2]} \mathbb{Z}[1/2, \sqrt{-1}]$. Let R be a $\mathbb{Z}[1/2, \sqrt{-1}]$ -algebra, and $g \in SL_3(R)$. Consider the morphism Spec $R \to \mathcal{B}_{SL_3}$ determined by $B := gB_{std}g^{-1}$. By a similar argument to Theorem 3.4 (2), it will suffice to show that the projection Spec $A \times_{\mathcal{B}_{SL_3}}$ Spec $R \to$ Spec R is represented by the affine immersion Spec $R_{c_1(g)}/(c_2(g)) \hookrightarrow$ Spec R. For this, it will suffice to show that for a $\mathbb{Z}[1/2, \sqrt{-1}]$ -algebra S, a $\mathbb{Z}[1/2, \sqrt{-1}]$ -algebra homomorphism $f \in (\text{Spec } R)(S)$ belongs to $(\text{Spec } A \times_{\mathcal{B}_{SL_3}} \text{Spec } R)(S) \subset (\text{Spec } R)(S)$ if and only if $f(c_1) \in S^{\times}$ and $f(c_2) = 0$. This follows from Lemma 3.9.

3.4 Closed Subscheme $\mathcal{B}_{SO(3)}$

Define an involution θ on SL₃ by $\theta(g) = (g^T)^{-1}$. Let $\mathcal{B}^{\theta}_{SL_3}$ be the moduli scheme of θ -stable Borel subgroups of SL₃ (see [15, Lemma 3.1.1]). A similar argument to

[16, Proposition 5.5.2] implies that the map $B \mapsto B \cap SO(3)$ determines an SO(3)equivariant isomorphism $\mathcal{B}_{SL_3}^{\theta} \cong \mathcal{B}_{SO(3)}$. Write i_{clo} for the composite arrow $\mathcal{B}_{SO(3)} \cong \mathcal{B}_{SL_3}^{\theta} \hookrightarrow \mathcal{B}_{SL_3}$.

Lemma 3.14 The morphism iclo is a closed immersion.

Proof This follows since $\mathcal{B}_{SL_3}^{\theta}$ is a closed subscheme of \mathcal{B}_{SL_3} ; see [15, Lemma 3.1.1].

As in the former sections, let us study *R*-points of $\mathcal{B}_{SL_2}^{\theta}$ in terms of flags at fibers.

Lemma 3.15 Let R be a $\mathbb{Z}[1/2]$ -algebra, and $g \in SL_3(R)$. Then, the Borel subgroup $B := g B_{std} g^{-1}$ is θ -stable if and only if $c_1(g) = c_3(g) = 0$.

Proof Recall that $B_{\text{std}}^T = (-K_3)B_{\text{std}}(-K_3)^{-1}$. Hence, $gB_{\text{std}}g^{-1}$ is θ -stable if and only if $-K_3g^Tg$ is upper triangular. It follows by the direct computation of K_3g^Tg that it is equivalent to the condition that $c_1(g) = c_3(g) = 0$.

Property 3.16 Let *F* be an algebraically closed field of characteristic different from 2. We say that a flag $V = (0 \subset Fv_1 \subset V_2 \subset F^3)$ satisfies Property (C) if $(v_1, v_1) = 0$, and every vector $v \in V_2$ satisfies $(v_1, v) = 0$.

Lemma 3.17 Let R be a $\mathbb{Z}[1/2]$ -algebra, and $g \in SL_3(R)$. If $c_1(g) = c_3(g) = 0$ then the flags corresponding to all geometric fibers of B satisfy Property (C). The converse holds if R is reduced.

Proof By a similar argument to Lemma 3.11, we nay assume that R = F is an algebraically closed of characteristic $\neq 2$. Then, the flag corresponding to $gB_{\text{std}}g^{-1}$ is given by $(0 \subset Fv_1 \subset Fv_1 \oplus Fv_2 \subset F^3)$. Under the both conditions, we clearly have $c_1(g) = 0$. We may, therefore, assume $c_1(g) = 0$. Then, it is clear that $(v_1, v_2) = 0$ if and only if every vector $v \in Fv_1 \oplus Fv_2$ satisfies $(v_1, v) = 0$.

Theorem 3.18 Let R be a reduced $\mathbb{Z}[1/2]$ -algebra. For a Borel subgroup $B \in \mathcal{B}_{SL_3}(R)$, the following conditions are equivalent:

(a) B belongs to the image of i_{clo} .

(b) The flags corresponding to all geometric fibers of B satisfy Property (C).

Proof This is proved in a similar way to Theorem 3.12.

4 SO(3)-Homogeneous Decomposition of \mathcal{B}_{SL_3} over $\mathbb{Z}[1/2]$

Theorem 4.1 (1) The set

 $\{i_{op}: U \hookrightarrow \mathcal{B}_{SL_3}, i_1: Z_1 \hookrightarrow \mathcal{B}_{SL_3}, i_2: Z_2 \hookrightarrow \mathcal{B}_{SL_3}, i_{clo}: \mathcal{B}_{SO(3)} \hookrightarrow \mathcal{B}_{SL_3}\}$

exhibits a set-theoretic decomposition of \mathcal{B}_{SL_3} .

(2) The subschemes Z_1 , Z_2 , and $\mathcal{B}_{SO(3)}$ are not SO(3)-orbits, but SO(3)-homogeneous in the étale topology in the sense of [1, Proposition et définition 6.7.3].

Proof For (1), let *F* be an algebraically closed field of characteristic not equal to 2. Identify $\mathcal{B}_{SL_3}(F)$ with the set of full flags of F^3 . Notice that every flag of F^3 has only one of Property (O), (LC1), (LC2), and (C). The assertion now follows from Theorem 3.4, Theorem 3.12, Theorem 3.18, and Theorem 2.1.

The first part of (2) follows since the subschemes Z_1 , Z_2 , and $\mathcal{B}_{SO(3)}$ do not admit $\mathbb{Z}[1/2]$ -points. The latter assertion of (2) is evident by the constructions of these three subschemes.

Appendix A: Descent Technique

In this section, we develop the descent results we use in this paper. The first result is easy:

Lemma A.1 Let $f : R \to S$ be a faithfully flat homomorphism of commutative rings.

(1) An element r ∈ R is zero if and only if f(r) = 0.
(2) An element r ∈ R belongs to R[×] if and only if f(r) ∈ S[×].

Proof The "only if" direction in each assertion is obvious. The "if" direction of (1) follows since f is injective. In fact, we have a canonical equalizer sequence

$$R \stackrel{f}{\to} S \rightrightarrows S \otimes_R S.$$

We next prove the "if" direction of (2). Suppose that we are given an element $r \in R$ such that $f(r) \in S^{\times}$. To see $r \in R^{\times}$, it will suffice to show $r \notin \mathfrak{p}$ for every prime ideal \mathfrak{p} of R. Since f is faithfully flat, there exists a prime ideal $\mathfrak{q} \subset S$ such that $f^{-1}(\mathfrak{q}) = \mathfrak{p}$. Since f(r) is a unit of S, we have $f(r) \notin \mathfrak{q}$. We thus obtain $r \notin f^{-1}(\mathfrak{q}) = \mathfrak{p}$ as desired. This completes the proof.

In this paper, we try to find a smaller ring of definition of schemes and morphisms. Firstly, let us give some remarks on the Galois descent of morphisms.

Lemma A.2 Let $k \to k'$ be a faithfully flat homomorphism of commutative rings, X be a k-space, and Y be a k-sheaf in the fpqc topology. Then, for a morphism $f': X \otimes_k k' \to Y \otimes_k k'$ of k'-schemes, there is at most unique morphism $f: X \to Y$ such that $f' = f \otimes_k k'$.

We remark that if $k \to k'$ is étale, Y can be an étale k-sheaf.

Proof Let g be another morphism satisfying $f' = g \otimes_k k'$. For each commutative k-algebra R, the canonical homomorphism $R \to R \otimes_k k'$ is faithfully flat. Since

$$Y(R) \to Y(R \otimes_k k') \rightrightarrows Y((R \otimes_k k') \otimes_R (R \otimes_k k'))$$

is an equalizer sequence, $Y(R) \rightarrow Y(R \otimes_k k')$ is injective. The uniqueness now follows from the commutative diagram

$$\begin{array}{l} X(R) \longrightarrow X(R \otimes_k k') \\ f_R \downarrow \downarrow g_T \qquad \qquad \downarrow f_{R \otimes_k k'} = g_{R \otimes_k k'} = f'_{R \otimes_k k'} \\ Y(R) \longmapsto Y(R \otimes_k k'). \end{array}$$

The descent of f' is to find the unique morphism f in the above lemma. The next result enables us to descend rings of definition of morphisms and their domains simultaneously.

Theorem A.3 Let $i : k \to k'$ be a Galois extension of commutative rings of Galois group Γ . Let S be a commutative ring, equipped with an action of Γ and a Γ -equivariant ring homomorphism $g : k' \to S$. We denote $R = S^{\Gamma}$, and $j : R \to S$ be the canonical embedding. In particular, $g \circ i : k \to S$ is factorized into $j \circ f$ for a unique homomorphism $f : k \to R$. Let X be an étale k-sheaf.

- (1) The homomorphism $j : R \to S$ is a Galois extension of Galois group Γ .
- (2) Put an action of Γ on $R \otimes_k k'$ by the base change. Then, we have a canonical Γ -equivariant isomorphism $R \otimes_k k' \cong S$ which we denote by (j, g). In particular, the canonical homomorphism $R \to R \otimes_k k'$ is a Galois extension of Galois group Γ for this action.
- (3) Let $\alpha \in X(S)^{\Gamma} \subset X(S) = (X \otimes_k k')(S)$. Let $\bar{\alpha} \in X(R)$ be an element satisfying $X(j)(\bar{\alpha}) = \alpha$ which uniquely exists by Galois descent. We denote the corresponding morphisms α : Spec $S \to X \otimes_k k'$ and Spec $R \to X$ by the same symbols α and $\bar{\alpha}$ respectively. Then, the composite map

Spec
$$R \otimes_k k' \stackrel{(j,g)^{-1}}{\cong}$$
 Spec $S \stackrel{\alpha}{\to} X \otimes_k k'$

coincides with the base change of $\bar{\alpha}$.

Proof Part (1) and (2) are proved in a similar way to [4, Theorems 14.86 and 14.85].

For (3), it will suffice to compare the images of $\operatorname{id}_{R\otimes_k k'} \in (\operatorname{Spec} R \otimes_k k')(R \otimes_k k')$ by the Yoneda lemma. The image along the map constructed in (3) is $X((j, g))^{-1}(\alpha) \in X(R \otimes_k k') = (X \otimes_k k')(R \otimes_k k')$. Let $l : R \to R \otimes_k k'$ denote the canonical map. Then, the image along $\overline{\alpha} \otimes_k k'$ is computed as

$$X(l)(\bar{\alpha}) = X((j,g))^{-1}X(j)(\bar{\alpha}) = X((j,g))^{-1}(\alpha)$$

Hence, the two images coincide. This completes the proof.

For the relation of descent of spaces and actions of groups, the following result is useful:

Proposition A.4 Let $k \to k'$ be a faithfully flat ane étale homomorphism of commutative rings. Let $i : X \to Y$ be a monomorphism of étale k-sheaves, and K be a group étale k-sheaf. Suppose that K acts on G. If the induced action of $K \otimes_k k'$ on $Y \otimes_k k'$ restricts to $X \otimes_k k'$, the action of K on Y restricts to X. Moreover, the base change of the resulting action on X coincides with the given action on $X \otimes_k k'$.

Proof We denote the action map $K \times Y \to Y$ (resp. $(K \otimes_k k') \times (X \otimes_k k') \to X \otimes_k k')$ by ψ (resp. ϕ'). Let *R* be a *k*-algebra. Write $l : R \to R \otimes_k k'$ for the canonical homomorphism. For $j \in \{1, 2\}$, let $\iota_j : R \otimes_k k' \to (R \otimes_k k') \otimes_R (R \otimes_k k')$ be the canonical homomorphism onto the *j*th factor. Take $g \in K(R)$ and $x \in X(R)$. We check the descent condition for $\phi' \circ (K \times X)(l)(g, x)$ along *l*. Observe that for $j \in \{1, 2\}$, we have

$$\begin{split} i \circ X(\iota_j) \circ \phi' \circ (K \times X)(l)(g, x) &= Y(\iota_j) \circ i \circ \phi' \circ (K \times X)(l)(g, x) \\ &= Y(\iota_j) \circ \psi \circ (K \times i) \circ (K \times X)(l)(g, x) \\ &= \psi \circ (K \times Y)(\iota_j) \circ (K \times i) \circ (K \times X)(l)(g, x) \\ &= \psi \circ (K \times i) \circ (K \times X)(\iota_j) \circ (K \times X)(l)(g, x) \\ &= \psi \circ (K \times i) \circ (K \times X)(\iota_j \circ l)(g, x). \end{split}$$

We used the hypothesis that $i \otimes_k k'$ is $K \otimes_k k'$ -equivariant for the second equality. Since $\iota_1 \circ l = \iota_2 \circ l$, we have

$$i \circ X(\iota_1) \circ \phi' \circ (K \times X)(l)(g, x) = \psi \circ (K \times i) \circ (K \times X)(\iota_1 \circ l)(g, x)$$
$$= \psi \circ (K \times i) \circ (K \times X)(\iota_2 \circ l)(g, x)$$
$$= i \circ X(\iota_2) \circ \phi' \circ (K \times X)(l)(g, x).$$

Since *i* is monic, we have $X(\iota_1) \circ \phi' \circ (K \times X)(l)(g, x) = X(\iota_2) \circ \phi' \circ (K \times X)(l)(g, x)$. Since *X* is an étale sheaf, there is a unique element $\phi(g, x) \in X(R)$ such that $X(l)(\phi(g, x)) = \phi' \circ (K \times X)(l)(g, x)$. To see that this gives the restriction of the action of *K* on *Y* to *X*, notice that

$$Y(l) \circ i(\phi(g, x)) = i \circ X(l)(\phi(g, x))$$

= $i \circ \phi' \circ (K \times X)(l)(g, x)$
= $\psi \circ (K \times i) \circ (K \times X)(l)(g, x)$
= $\psi \circ (K \times Y)(l) \circ (K \times i)(g, x)$
= $Y(l) \circ \psi \circ (K \times i)(g, x)$
= $Y(l) \circ \psi(g, i(x)).$

Since *l* is étale and faithfully flat, *Y*(*l*) is injective. Therefore, we get the equality $i(\phi(g, x)) = \psi(g, i(x))$ as desired. In particular, ϕ determines the restriction of the action of ψ (use the hypothesis that *i* is monic for the naturality of ϕ).

The proof is completed by showing $\phi' = \phi \otimes_k k'$. Let R' be a k'-algebra, $g \in (K \otimes_k k')(R') = K(R')$, and $x \in (X \otimes_k k')(R') = X(R')$. Then, we have

$$i(\phi'(g, x)) = \psi \circ (K \times i)(g, x) = \psi(g, i(x)) = i(\phi(g, x))$$

Since *i* is monic, we have $\phi'(g, x) = \phi(g, x)$.

In Section 3.3, we consider orbit sheaves in the scheme \mathcal{B}_{SL_3} . To compute their moduli description, the following observation is useful since many objects are local in the étale topology:

Lemma A.5 Let k be a commutative ring, and $f : R \to R'$ be a faithfully flat étale homomorphism of k-algebras. Let $i : \mathcal{F} \to \mathcal{G}$ be a monomorphism of étale k-sheaves, and $y \in \mathcal{G}(R)$. Then, y belongs to the image of i if and only if $\mathcal{G}(f)(y)$ is so.

Proof The "only if" direction is clear. To see the "if" direction, suppose that we are given an element $x' \in \mathcal{F}(R')$ such that $i(x') = \mathcal{G}(f)(y)$. For $j \in \{1, 2\}$, let ι_j denote the caonical homomorphism $R' \to R' \otimes_R R'$ onto the *j*th factor. Then, we have

$$i(\mathcal{F}(\iota_1)(x')) = \mathcal{G}(\iota_1)(i(x')) = \mathcal{G}(\iota_1 \circ f)(y) = \mathcal{G}(\iota_2 \circ f)(y)$$

= $\mathcal{G}(\iota_2)(i(x')) = i(\mathcal{F}(\iota_2)(x')).$

This implies $\mathcal{F}(\iota_1)(x') = \mathcal{F}(\iota_2)(x')$ since *i* a monomorphism. Since \mathcal{F} is an étale *k*-sheaf, there is a (unique) element $x \in \mathcal{F}(R)$ such that $\mathcal{F}(f)(x) = x'$. The proof is completed by showing i(x) = y. This follows from the equality

$$\mathcal{G}(f)(i(x)) = i(\mathcal{F}(f)(x)) = i(x') = \mathcal{G}(f)(y)$$

since G is an étale *k*-sheaf.

Appendix B: $\mathcal{B}_{SO(3)}$ as a Subspace of \mathbb{P}^2

In [3], the moduli space $\mathcal{B}_{SO(3)}$ of Borel subgroups of SO(3) is proved to be represented by a projective scheme over $\mathbb{Z}[1/2]$. The key result for its proof is that the moduli space of subgroups of SO(3) of type (R) is represented by a quasi-projective scheme. In this appendix, we realize $\mathcal{B}_{SO(3)}$ as a moduli subspace of $\mathbb{P}^2 = \operatorname{Proj} \mathbb{Z}[1/2, x, y, z]$ by using ideas in this paper. To be precise, set $Z' = \operatorname{Proj} \mathbb{Z}[1/2, x, y, z]/(x^2 + y^2 + z^2) \subset$ \mathbb{P}^2 . We establish an SO(3)-equivariant isomorphism $\mathcal{B}_{SO(3)} \cong Z'$. We also show that $|\mathbb{P}^2| = |Z'| \coprod |U'|$ is a $\mathbb{Z}[1/2]$ -form of the SO(3)-orbit decomposition of \mathbb{P}^2 over $\mathbb{Z}[1/2, \sqrt{-1}]$, where $U' = \mathbb{P}^2 \setminus Z'$.

To achieve them, let us recall a moduli description of \mathbb{P}^2 : for a commutative $\mathbb{Z}[1/2]$ -algebra R, $\mathbb{P}^2(R)$ is naturally bijective to the set of equivalence classes of line bundles \mathcal{L} on Spec R with generators (a_1, a_2, a_3) . If \mathcal{L} is the structure sheaf $\mathcal{O}_{\text{Spec }R}$ of Spec R, we will denote it by $[a_1 \ a_2 \ a_3]^T$.

Property B.1 Let *F* be an algebraically closed field of characteristic different from 2. We say that a one dimensional subspace $V = Fv \subset F^3$ satisfies Property (O)' (resp. (C)') if $(v, v) \neq 0$ (resp. (v, v) = 0).

Notice that for a field *F* (of characteristic $\neq 2$), *F*-points of \mathbb{P}^2 are identified with one dimensional subspaces of F^3 by the correspondence

$$[a_1 a_2 a_3]^T \leftrightarrow F(a_1 a_2 a_3)^T$$

The open subscheme U' is the moduli space of one dimensional subspaces $V \subset F^3$ satisfying Property (O)'. That is, for a $\mathbb{Z}[1/2]$ -algebra R,

 $U'(R) = \{ \mathcal{V} \in \mathbb{P}^2(R) : \text{ the geometric fibers of } \mathcal{V} \text{ satisfy } (O)' \}.$

Since the condition (O)' is stable under the action of SO(3), U' is an SO(3)-invariant open subscheme of \mathbb{P}^2 .

Theorem B.2 *There is an* SO(3)*-equivariant isomorphism* SO(3)/SO(2) $\cong U'$.

Proof Take the SO(3)-orbit attached to $[0 \ 0 \ 1]^T$ to get a monomorphism

$$SO(3)/SO(2) \hookrightarrow U'.$$

To see that this morphism is epic, take an arbitrary $\mathbb{Z}[1/2]$ -algebra R and an R-point $\mathcal{V} \in U'(R)$. We wish to show that \mathcal{V} lies in the image of the above morphism. We may identify \mathcal{V} with a pair of a line bundle \mathcal{L} on Spec R and its global sections (a_1, a_2, a_3) of \mathcal{L} which (locally) generate \mathcal{L} by [11, Theorem 7.1].

Since the assertion is Zariski local by Lemma A.5, we may assume \mathcal{L} is the coordinate ring of Spec *R*. In particular, a_1, a_2, a_3 are generators of *R* as an *R*-module with $a_1^2 + a_2^2 + a_3^2 \in \mathbb{R}^{\times}$. We may replace *R* by $R\left[\sqrt{a_1^2 + a_2^2 + a_3^2}\right]$ to assume $a_1^2 + a_2^2 + a_3^2 = 1$. Then, use the matrices g_{xy}, g_{yz}, g_{zx} in the proof of Proposition 3.6 to see that there étale locally exists $g' \in SO(3, R)$ such that $g'[0\ 0\ 1]^T = [a_1\ a_2\ a_3]^T$. This completes the proof.

We next study Z'. Let P be the parabolic subgroup of SL₃ defined by

$$P(A) = \{g = (g_{ij}) \in SL_3(A) : g_{21} = g_{31} = 0\}.$$

Take the SL₃-orbit of \mathbb{P}^2 attached to $[1 \ 0 \ 0]^T$ to get an isomorphism SL₃ / $P \cong \mathbb{P}^2$ (see [17, I.5.6 (3)]).

We can define an SO(3)-equivariant morphism

$$i': \mathcal{B}_{\mathrm{SO}(3)} \stackrel{i_{\mathrm{clo}}}{\hookrightarrow} \mathcal{B}_{\mathrm{SL}_3} \cong \mathrm{SL}_3 / B_{\mathrm{std}} \to \mathrm{SL}_3 / P \cong \mathbb{P}^2,$$

where the map $SL_3 / B_{std} \rightarrow SL_3 / P$ is the quotient map attached to $B_{std} \subset P$.

Proposition B.3 The morphism i' is an SO(3)-equivariant closed immersion.

To prove this, we realize i' as composition of morphisms between quotient spaces over $\mathbb{Z}\left[1/2, \sqrt{-1}\right]$.

Set

$$g_{\rm clo} = \begin{pmatrix} 1 & 0 & -\sqrt{-1} \\ -\sqrt{-1} & 0 & 1 \\ 0 & 1 & 0 \end{pmatrix}.$$

Define a cocharacter $\mu : \mathbb{G}_m \to SO(3)$ over $\mathbb{Z}[1/2, \sqrt{-1}]$ by

$$\mu(a) = g_{clo} \operatorname{diag}(a, a^{-1}, 1) g_{clo}^{-1}$$

Define a θ -stable Borel subgroup of SL₃ over $\mathbb{Z}[1/2]$ by $B_{clo} = P_{SL_3}(\mu)$ ($\theta = ((-)^T)^{-1}$). It is evident by definitions that $B_{clo} = g_{clo}B_{std}g_{clo}^{-1}$. Set

$$B_{\text{clo},\text{SO}(3)} = \text{SO}(3) \cap B_{\text{clo}}.$$

Lemma B.4 We have $B_{clo,SO(3)} = SO(3) \cap g_{clo}Pg_{clo}^{-1}$.

Proof Recall that $B_{clo,SO(3)}$ is a Borel subgroup of SO(3) ([16, Proposition 5.2.5 (2)]). In particular, $B_{clo,SO(3)}$ is flat over $\mathbb{Z}[1/2, \sqrt{-1}]$. In view of [7, Corollaire 17.9.5], we may pass to geometric fibers.

Let $\mathfrak{so}(3)$ and \mathfrak{p} be the Lie algebras of SO(3) and *P* respectively. Then, we have

$$2 = \dim B_{\operatorname{clo},\operatorname{SO}(3)} \le \dim \operatorname{SO}(3) \cap g_{\operatorname{clo}} P g_{\operatorname{clo}}^{-1} \le \dim \mathfrak{so}(3) \cap g_{\operatorname{clo}} \mathfrak{p} g_{\operatorname{clo}}^{-1} = 2,$$

where the last equality is followed by straightforward computations. In particular, $SO(3) \cap g_{clo}Pg_{clo}^{-1}$ is smooth of dimension 2 ([23, Proposition 1.37]). Since $B_{clo,SO(3)}$ is a Borel subgroup of SO(3), we have $B_{clo,SO(3)} = SO(3) \cap g_{clo}Pg_{clo}^{-1}$.

Proof (*Proof of Proposition B.3*) It will suffice to prove that i' is a monomorphism. We may work over $\mathbb{Z}[1/2, \sqrt{-1}]$. Then, i' can be identified with

$$SO(3)/B_{clo,SO(3)} \hookrightarrow SL_3/B_{clo} \cong SL_3/B_{std} \to SL_3/P$$
,

where $SL_3 / B_{clo} \cong SL_3 / B_{std}$ is defined by $gB_{clo} \mapsto gg_{clo}B_{std}$. The assertion now follows from Lemma B.4.

To relate $\mathcal{B}_{SO(3)}$ with Z', let us recall the moduli description of Z'.

Property B.5 Let *R* be a commutative $\mathbb{Z}[1/2]$ -algebra. We say an *R*-point (\mathcal{L} , (a_1, a_2, a_3)) $\in \mathbb{P}^2(R)$ satisfies Property (C)' if $\sum_{i=1}^3 a_i \otimes a_i = 0$ as a global section of $\mathcal{L} \otimes_{\mathcal{O}_{\text{Spec } R}} \mathcal{L}$.

Then, for each R, Z'(R) consists of R-points of $\mathbb{P}^2(R)$ satisfying Property (C)'. If R is reduced, a point $(\mathcal{L}, (a_1, a_2, a_3)) \in \mathbb{P}^2(R)$ satisfies (C)' if and only if its geometric fibers satisfy (C)'.

Theorem B.6 *The map* i' *is an isomorphism onto* Z'.

Proof Observe that the structure morphisms

$$\mathcal{B}_{SO(3)} \to \operatorname{Spec} \mathbb{Z}[1/2], Z' \to \operatorname{Spec} \mathbb{Z}[1/2]$$

are smooth surjective (see [21, 4 Example 3.37] for the smoothness of Z'). Since the reducedness is local in the smooth topology, $\mathcal{B}_{SO(3)}$ and Z' are reduced. In view of the uniqueness of reduced structure on the underlying set of a closed subscheme, it will suffice to show that |i'| is surjective onto Z'.

Let *F* be an algebraically closed field over $\mathbb{Z}[1/2, \sqrt{-1}]$. Notice that

$$i'(B_{\text{clo,SO(3)}}) = F(1 - \sqrt{-1} 0)^T \in Z'(F).$$

Since SO(3, *F*) acts transitively on $\mathcal{B}_{SO(3)}(F)$, i'_F factors through Z'(F). Let $Fv \in Z'(F)$. Then, we can choose a vector $u \in F^3$ such that $(v, u) \neq 0$. By the proof of Lemma 3.9 (1), there is a matrix $k \in SO(3, F)$ such that $Fk(1 - \sqrt{-1} 0)^T = Fv$. This completes the proof.

Corollary B.7 The SO(3)-equivariant immersions

$$\mathrm{SO}(3)/\mathrm{SO}(2) \cong U' \subset \mathbb{P}^2 \cong \mathrm{SL}_3/P, \ \mathcal{B}_{\mathrm{SO}(3)} \stackrel{i_{\mathrm{clo}}}{\hookrightarrow} \mathcal{B}_{\mathrm{SL}_3} \cong \mathrm{SL}_3/B_{\mathrm{std}} \to \mathrm{SL}_3/P$$

form a set-theoretic decomposition of SL_3 / P .

One can think of Corollary B.7 as a $\mathbb{Z}[1/2]$ -analog of the SO(3, \mathbb{C})-orbit decomposition of SL₃(\mathbb{C})/*P*(\mathbb{C}).

Acknowledgements I am grateful to the referees for careful reading of this manuscript. I would like to thank one of them for pointing out Remark 3.5.

Funding Open Access funding provided by Osaka University. This work was supported by JSPS KAKENHI Grant Number 21J00023.

Data Availability All relevant data and materials that support the findings of this study are available from the corresponding author upon reasonable request.

Declarations

Conflict of Interest The author declares no competing interests.

Open Access This article is licensed under a Creative Commons Attribution 4.0 International License, which permits use, sharing, adaptation, distribution and reproduction in any medium or format, as long as you give appropriate credit to the original author(s) and the source, provide a link to the Creative Commons licence, and indicate if changes were made. The images or other third party material in this article are included in the article's Creative Commons licence, unless indicated otherwise in a credit line to the material. If material is not included in the article's Creative Commons licence and your intended use is not permitted by statutory regulation or exceeds the permitted use, you will need to obtain permission directly from the copyright holder. To view a copy of this licence, visit http://creativecommons.org/licenses/by/4.0/.

References

- 1. Demazure, M.: Topologies et faisceaux. In: Schémas en Groupes (Sém. Géométrie Algébrique, Inst. Hautes Études Sci., 1963), Fasc. 1, Exposé 4, p. 91. Inst. Hautes Études Sci., Paris (1963)
- Demazure, M.: Groupes réductifs—généralités. In: Schémas en Groupes (Sém. Géométrie Algébrique, Inst. Hautes études Sci., 1964), Fasc. 6, Exposé 19, p. 34. Inst. Hautes Études Sci., Paris (1965)
- Demazure, M.: Groupes réductifs: Déploiements, sous-groupes, groupes-quotients. In: Schémas en Groupes (Sém. Géométrie Algébrique, Inst. Hautes Études Sci., 1964), Fasc. 6, Exposé 22, p. 106. Inst. Hautes Études Sci., Paris (1965)
- 4. Görtz, U., Wedhorn, T.: Algebraic geometry I. Schemes. Springer Studium Mathematik—Master. Springer Spektrum, Wiesbaden, second edition. (2020). 2020. With examples and exercises
- Grothendieck, A.: Groupes diagonalisables. In: Schémas en Groupes (Sém. Géométrie Algébrique, Inst. Hautes Études Sci., 1963/64), Fasc. 3, Exposé 8, p. 36. Inst. Hautes Études Sci., Paris (1964)
- Grothendieck, A.: Éléments de géométrie algébrique. IV. Étude locale des schémas et des morphismes de schémas. III. Inst. Hautes Études Sci. Publ. Math. 28, 255 (1966)
- Grothendieck, A.: Éléments de géométrie algébrique. IV. Étude locale des schémas et des morphismes de schémas IV. Inst. Hautes Études Sci. Publ. Math. 32, 361 (1967)
- Harder, G., Raghuram, A.: Eisenstein cohomology for GL_N and the special values of Rankin-Selberg L-functions, volume 203 of Annals of Mathematics Studies. Princeton University Press, Princeton (2020)
- Harris, M.: Beilinson-Bernstein localization over Q and periods of automorphic forms. Int. Math. Res. Not. IMRN 9, 2000–2053 (2013)
- 10. Harris, M.: Beilinson-Bernstein localization over \mathbb{Q} and periods of automorphic forms: erratum. Int. Math. Res. Not. IMRN 3, 957–960 (2020)
- Hartshorne, R.: Algebraic geometry. Springer-Verlag, New York-Heidelberg (1977). Graduate Texts in Mathematics, No. 52
- Hayashi, T.: Flat base change formulas for (g, K)-modules over Noetherian rings. J. Algebra 514, 40–75 (2018)
- Hayashi, T.: Dg analogues of the Zuckerman functors and the dual Zuckerman functors I. J. Algebra 540, 274–305 (2019)
- 14. Hayashi, T.: Filtrations on the globalization of twisted D-modules over Dedekind schemes. arXiv:2205.07539
- Hayashi, T.: Half-integrality of line bundles on partial flag schemes of classical Lie groups. Bull. Sci. Math. 188, Paper No. 103317 (2023)
- T. Hayashi and F. Januszewski. Families of twisted *D*-modules and arithmetic models of Harish-Chandra modules. (2018). arXiv:1808.10709
- Jantzen, J.C.: Representations of algebraic groups, volume 107 of Mathematical Surveys and Monographs, second edition. American Mathematical Society, Providence (2003)
- 18. Januszewski, F.: On period relations for automorphic L-functions II. (2016). arXiv:1604.04253
- Januszewski, F.: Rational structures on automorphic representations. Math. Ann. 370(3–4), 1805–1881 (2018)
- Januszewski, F.: On period relations for automorphic L-functions I. Trans. Amer. Math. Soc. 371(9), 6547–6580 (2019)
- Liu, Q.: Algebraic geometry and arithmetic curves, volume 6 of Oxford Graduate Texts in Mathematics. Oxford University Press, Oxford (2002). Translated from the French by Reinie Erné, Oxford Science Publications
- Matsuki, T.: The orbits of affine symmetric spaces under the action of minimal parabolic subgroups. J. Math. Soc. Jpn. 31(2), 331–357 (1979)
- 23. Milne, J.S.: Algebraic groups, volume 170 of Cambridge Studies in Advanced Mathematics. Cambridge University Press, Cambridge (2017). The theory of group schemes of finite type over a field
- 24. Olsson, M.: Algebraic spaces and stacks, volume 62 of American Mathematical Society Colloquium Publications. American Mathematical Society, Providence (2016)
- Richardson, R.W., Springer, T.A.: The Bruhat order on symmetric varieties. Geom. Dedicata. 35(1–3), 389–436 (1990)

Publisher's Note Springer Nature remains neutral with regard to jurisdictional claims in published maps and institutional affiliations.