



# Existence and orbital stability of standing waves to a nonlinear Schrödinger equation with inverse square potential on the half-line

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**Abstract.** In our work, we establish the existence of standing waves to a nonlinear Schrödinger equation with inverse-square potential on the half-line. We apply a profile decomposition argument to overcome the difficulty arising from the non-compactness of the setting. We obtain convergent minimizing sequences by comparing the problem to the problem at “infinity” (i.e., the equation without inverse square potential). Finally, we establish orbital stability/instability of the standing wave solution for mass subcritical and supercritical nonlinearities respectively.

**Keywords.** Nonlinear Schrödinger equation, Hardy’s inequality, Standing waves, Orbital stability.

## 1. Introduction

We study the existence and orbital stability of standing waves for the following nonlinear Schrödinger equation with inverse square potential on the half line

$$\begin{cases} iu_t + u'' + c\frac{u}{x^2} + |u|^{p-1}u = 0, \\ u(0) = u_0 \in H_0^1(\mathbb{R}^+), \end{cases} \quad (1.1)$$

where  $u : \mathbb{R} \times \mathbb{R}^+ \rightarrow \mathbb{C}$ ,  $u_0 : \mathbb{R}^+ \rightarrow \mathbb{C}$ ,  $1 < p < \infty$ , and  $0 < c < 1/4$ .

There has been considerable interest recently in the study of the Schrödinger equation with inverse-square potential in three and higher dimensions. Classification of the so-called minimal mass blow-up solutions, global well-posedness, and stability of standing wave solutions were studied in [1, 6, 8, 22]. In the papers by Bensouilah et al. [1], and by Trachanas and Zographopoulos [22] the authors establish orbital stability of ground state solutions in the Hardy subcritical ( $c < (N - 2)^2/4$ ) and Hardy critical ( $c = (N - 2)^2/4$ ) case respectively for dimensions higher than three. In both cases, orbital stability is

proved by showing the precompactness of minimizing sequences of the energy functional on an  $L^2$  constraint. Local well-posedness was established for the two-dimensional space by Suzuki in [21], and in three and higher dimensions by Okazawa et al. in [18]. The presence of the inverse square potential in one-dimensional space has also attracted attention. In [13] H. Kovarik and F. Truc established dispersive estimates for  $\partial_x^2 + c/x^2$ .

The dynamics of the equation is closely related to Hardy’s inequality (see [7])

$$c \int_0^\infty \frac{|u|^2}{x^2} dx \leq \int_0^\infty |u'|^2 dx \text{ for all } u \in C_0^\infty(0, \infty), \tag{1.2}$$

where  $c \leq 1/4$ . We introduce the Hardy functional

$$H(u) = \int_0^\infty \left( |u'|^2 - \frac{c}{x^2} |u|^2 \right) dx,$$

which is closely related to our problem. We will mainly focus on the case  $0 < c < 1/4$ , when the natural energy space associated to (1.1) is  $H_0^1(\mathbb{R}^+)$ , and the semi-norm  $\|u'\|_{L^2}^2$  is equivalent to  $H(u)$ .

Let us consider the operator

$$H_c = -\frac{\partial^2}{\partial x^2} - \frac{c}{x^2}$$

acting on  $C_0^\infty(\mathbb{R}^+)$ . Owing to the Hardy inequality, if  $c < 1/4$  the quadratic form  $\langle H_c \varphi, \varphi \rangle$  is positive definite on  $C_0^\infty(\mathbb{R}^+)$ . It is natural to take the Friedrichs extension of  $H_c$ , thereby defining a self-adjoint operator in  $L^2(\mathbb{R}^+)$ , which generates an isometry group in  $H_0^1(\mathbb{R}^+)$ .

Local well-posedness for parameters  $1 < p < \infty$  and  $0 < c < \frac{1}{4}$  follows by standard arguments (see e.g. in [3] Chapter 4). In particular, the following holds.

**Theorem 1.1.** *Let  $1 < p < \infty$  and  $c < 1/4$ . For any initial value  $u_0 \in H_0^1(\mathbb{R}^+)$ , there exist  $T_{\min}, T_{\max} \in (0, \infty]$  and a unique maximal solution  $u \in C((-T_{\min}, T_{\max}), H_0^1(\mathbb{R}^+))$  of (1.1), which satisfies for all  $t \in (-T_{\min}, T_{\max})$  the conservation laws*

$$\|u(t)\|_{L^2} = \|u_0\|_{L^2}, \quad E(u(t)) = E(u_0), \tag{1.3}$$

where the energy is defined as

$$E(u) = \frac{1}{2} \|u'\|_{L^2}^2 - \frac{c}{2} \left\| \frac{u}{x} \right\|_{L^2}^2 - \frac{1}{p+1} \|u\|_{L^{p+1}}^{p+1}, \text{ for } u \in H_0^1(\mathbb{R}^+). \tag{1.4}$$

Moreover, the so-called blow-up alternative holds: if  $T_{\max} < \infty$  then  $\lim_{t \rightarrow T_{\max}} \|u'(t)\|_{L^2} = \infty$ , (or  $T_{\min} < \infty$  then  $\lim_{t \rightarrow -T_{\min}} \|u'(t)\|_{L^2} = \infty$ ).

In this work we address the existence of standing wave solutions and their orbital stability/instability. By introducing the ansatz  $u(t, x) = e^{i\omega t} \varphi(x)$ , the standing wave equation to (1.1) reads as

$$\varphi'' + \frac{c}{x^2} \varphi - \omega \varphi + |\varphi|^{p-1} \varphi = 0. \tag{1.5}$$

First we will prove regularity of standing waves and the Pohozaev identities. To establish the existence of standing waves we carry out a minimization procedure on the Nehari manifold for the so-called *action functional*

$$S(v) = \frac{1}{2} \|v'\|_{L^2}^2 - \frac{c}{2} \left\| \frac{v}{x} \right\|_{L^2}^2 + \frac{\omega}{2} \|v\|_{L^2}^2 - \frac{1}{p+1} \|v\|_{L^{p+1}}^{p+1} \quad v \in H_0^1(\mathbb{R}^+).$$

Owing to the non-compactness of the problem, we have to use a profile decomposition lemma, in the spirit of the article by Jeanjean and Tanaka [11]. To establish strong convergence of the minimizing sequence on the Nehari manifold we compare the minimization problem with the problem “at infinity”, i.e. when  $c = 0$ . Hence, we obtain that the set of *bound states* is not empty:

$$\mathcal{A} = \{u \in H_0^1(\mathbb{R}^+) \setminus \{0\} : u'' + cu/x^2 - \omega u + |u|^{p-1}u = 0\} \neq \emptyset.$$

We are in particular interested in the orbital stability/instability of *ground states*, i.e., solutions which minimize the action functional. We denote the set of ground state solutions by

$$\mathcal{G} = \{u \in \mathcal{A} : S(u) \leq S(v) \text{ for all } v \in \mathcal{A}\}.$$

We use Lions’ concentration-compactness principle to obtain a variational characterization of ground states on an  $L^2$ -constraint, thereby establishing the orbital stability of the set of ground states for nonlinearities with power  $1 < p < 5$ . Finally, for  $p \geq 5$  we establish strong instability by a convexity argument.

## 2. Existence of bound states

We start by investigating the standing wave equation,

$$\begin{cases} \varphi'' + \frac{c}{x^2} \varphi - \omega \varphi + |\varphi|^{p-1} \varphi = 0, \\ \varphi \in H_0^1(\mathbb{R}^+) \setminus \{0\}. \end{cases} \tag{2.1}$$

First, we prove the regularity of solutions to (2.1) by a bootstrap argument.

**Proposition 2.1.** *Let  $\omega > 0$  and  $c < 1/4$ . Assume  $\varphi \in H_0^1(\mathbb{R}^+)$  is a solution of (2.1) in  $H^{-1}(\mathbb{R}^+)$ . Then the following statements are true*

- (1)  $\varphi \in W_0^{2,r}((\epsilon, \infty))$  for all  $r \in [2, +\infty)$  and  $\epsilon > 0$ , in particular  $\varphi \in C^1((\epsilon, \infty))$ ;
- (2) *The solution is exponentially bounded, that is  $e^{\sqrt{\omega}x}(|\varphi| + |\varphi'|) \in L^\infty(\mathbb{R}^+)$ ;*

*Proof.* (1) For  $\varphi \in H_0^1(\mathbb{R}^+)$  we have  $\varphi \in L^q(\mathbb{R}^+)$  for all  $q \in [2, \infty]$ . We get easily that  $|\varphi|^{p-1}\varphi \in L^q(\mathbb{R}^+)$  for all  $q \in [2, \infty)$ . By (2.1) we have for any  $\epsilon > 0$  that  $\varphi \in W_0^{2,q}((\epsilon, \infty))$  for all  $q \in [2, \infty)$ . By Sobolev’s embedding we get  $\varphi \in C^{1,\delta}((\epsilon, \infty))$  for all  $\delta \in (0, 1)$ , hence  $|\varphi(x)| \rightarrow 0$ , and  $|\varphi'(x)| \rightarrow 0$  as  $x \rightarrow \infty$ .

(2) Let  $\omega > 0$ . Changing  $\varphi(x)$  to  $\varphi(x) = \omega^{1/(p-1)}\varphi(\sqrt{\omega}x)$  we may assume that  $\omega = 1$  in (2.1). Let  $\epsilon > 0$  and  $\theta_\epsilon(x) = e^{\frac{x}{1+\epsilon x}}$ , for  $x \geq 0$ . It is easy to see that  $\theta_\epsilon$  is bounded, Lipschitz continuous, and  $|\theta'_\epsilon(x)| \leq \theta_\epsilon(x)$  for all  $x \in \mathbb{R}^+$ .

Additionally,  $\theta_\varepsilon(x) \rightarrow e^x$  uniformly on bounded sets of  $\mathbb{R}^+$ . Taking the scalar product of the equation (2.1) with  $\theta_\varepsilon\varphi \in H_0^1(\mathbb{R}^+)$ , we get

$$\operatorname{Re} \int_{\mathbb{R}^+} \varphi' \cdot (\theta_\varepsilon\bar{\varphi})' dx - c \int_{\mathbb{R}^+} \theta_\varepsilon \frac{|\varphi|^2}{x^2} dx + \int_{\mathbb{R}^+} \theta_\varepsilon |\varphi|^2 dx = \int_{\mathbb{R}^+} \theta_\varepsilon |\varphi|^{p+1} dx.$$

Using the inequality  $\operatorname{Re}(\varphi'(\theta_\varepsilon\bar{\varphi})') \geq \theta_\varepsilon|\varphi'|^2 - \theta_\varepsilon|\varphi||\varphi'|$  and

$$\int_{\mathbb{R}^+} \theta_\varepsilon |\varphi||\varphi'| dx \leq \frac{1}{2} \int_{\mathbb{R}^+} \theta_\varepsilon |\varphi|^2 dx + \frac{1}{2} \int_{\mathbb{R}^+} \theta_\varepsilon |\varphi'|^2 dx,$$

we obtain

$$\frac{1}{2} \int_{\mathbb{R}^+} \theta_\varepsilon |\varphi'|^2 dx + \frac{1}{2} \int_{\mathbb{R}^+} \theta_\varepsilon |\varphi|^2 dx - c \int_{\mathbb{R}^+} \theta_\varepsilon \frac{|\varphi|^2}{x^2} dx \leq \int_{\mathbb{R}^+} \theta_\varepsilon |\varphi|^{p+1} dx.$$

Let  $R > 0$  such that if  $x > R$ , then  $\frac{c}{x^2} \leq \frac{1}{8}$  and  $|\varphi(x)|^{p-1} \leq \frac{1}{8}$ . Then we get

$$\begin{aligned} & c \int_{\mathbb{R}^+} \theta_\varepsilon \frac{|\varphi|^2}{x^2} dx + \int_{\mathbb{R}^+} \theta_\varepsilon |\varphi|^{p+1} \\ & \leq e^R \left( \int_0^R c \frac{|\varphi|^2}{x^2} dx + \int_0^R |\varphi|^{p+1} dx \right) + \frac{1}{4} \int_{\mathbb{R}^+} \theta_\varepsilon |\varphi|^2 dx. \end{aligned}$$

From the last two inequalities it follows that

$$\frac{1}{2} \int_{\mathbb{R}^+} \theta_\varepsilon |\varphi'|^2 dx + \frac{1}{4} \int_{\mathbb{R}^+} \theta_\varepsilon |\varphi|^2 dx \leq e^R \left( \int_0^R c \frac{|\varphi|^2}{x^2} dx + \int_0^R |\varphi|^{p+1} dx \right).$$

By taking  $\varepsilon \downarrow 0$  we get

$$\frac{1}{2} \int_{\mathbb{R}^+} e^x |\varphi'|^2 dx + \frac{1}{4} \int_{\mathbb{R}^+} e^x |\varphi|^2 dx < \infty.$$

Since both  $\varphi$  and  $\varphi'$  are Lipschitz continuous we deduce that  $|\varphi(x)|e^x$  and  $|\varphi'(x)|e^x$  are bounded.  $\square$

We now prove that there exists a solution to (2.1). We define the action functional associated to (2.1) as follows

$$S(u) = \frac{1}{2}H(u) + \frac{\omega}{2} \|u\|_{L^2}^2 - \frac{1}{p+1} \|u\|_{L^{p+1}}^{p+1},$$

for  $c < 1/4$  and  $u \in H_0^1(\mathbb{R}^+)$ . Clearly, we have

$$S'(u) = -u'' - \frac{c}{x^2}u + \omega u - |u|^{p-1}u.$$

Therefore, to prove the existence of a solution to (2.1) amounts to show that  $S$  has a nontrivial critical point. A simple calculation yields the following identities.

**Lemma 2.2.** *Assume  $p > 1$ ,  $\omega > 0$  and  $c < 1/4$ . Let  $\varphi \in H_0^1(\mathbb{R}^+)$  be a solution of (2.1) in  $H^{-1}(\mathbb{R}^+)$ . Then the following identities are true:*

$$\|\varphi'\|_{L^2}^2 - c \left\| \frac{\varphi}{x} \right\|_{L^2}^2 + \omega \|\varphi\|_{L^2}^2 - \|\varphi\|_{L^{p+1}}^{p+1} = 0, \tag{2.2}$$

$$\|\varphi'\|_{L^2}^2 - c \left\| \frac{\varphi}{x} \right\|_{L^2}^2 - \frac{p-1}{2(p+1)} \|\varphi\|_{L^{p+1}}^{p+1} = 0. \tag{2.3}$$

*Proof.* We obtain the first equality by multiplying (2.1) by  $\bar{\varphi}$  and integrating over  $\mathbb{R}^+$ .

To prove the second equality, let us put  $\varphi_\lambda(x) = \lambda^{1/2}\varphi(\lambda x)$  for  $\lambda > 0$ . We have that

$$S(\varphi_\lambda) = \frac{\lambda^2}{2} \|\varphi'\|_{L^2}^2 - \frac{\lambda^2 c}{2} \left\| \frac{\varphi}{x} \right\|_{L^2}^2 + \frac{\omega}{2} \|\varphi\|_{L^2}^2 - \frac{\lambda^{(p-1)/2}}{p+1} \|\varphi\|_{L^{p+1}}^{p+1},$$

from which we get

$$\frac{\partial}{\partial \lambda} S(\varphi_\lambda) \Big|_{\lambda=1} = \|\varphi'\|_{L^2}^2 - c \left\| \frac{\varphi}{x} \right\|_{L^2}^2 - \frac{p-1}{2(p+1)} \|\varphi\|_{L^{p+1}}^{p+1}.$$

We also have that

$$\frac{\partial}{\partial \lambda} S(\varphi_\lambda) \Big|_{\lambda=1} = \left\langle S'(\varphi), \frac{\partial \varphi_\lambda}{\partial \lambda} \Big|_{\lambda=1} \right\rangle.$$

Now  $\frac{\partial \varphi_\lambda}{\partial \lambda} \Big|_{\lambda=1} = \frac{1}{2}\varphi + x\varphi'$  is in  $H^1(\mathbb{R}^+)$ , since  $\varphi$  and  $\varphi'$  are exponentially decaying at infinity by Proposition 2.1. We obtain that the right hand-side is well-defined. Since  $\varphi$  is a critical point of  $S$ , we obtain  $S'(\varphi) = 0$ , which concludes the proof.  $\square$

*Remark 2.3.* Since (2.2) and (2.3) hold for solutions of (2.1), it follows for  $\omega \neq 0$  that

$$\omega \|\varphi\|_{L^2}^2 = \frac{p+3}{2(p+1)} \|\varphi\|_{L^{p+1}}^{p+1} > 0.$$

Hence, non-trivial solution of (2.1) exists only if  $\omega > 0$ .

Let us define for all  $u \in H_0^1(\mathbb{R}^+)$  the following functional:

$$J(u) = (S'(u), u)_{H^{-1}, H_0^1} = H(u) + \omega \|u\|_{L^2}^2 - \|u\|_{L^{p+1}}^{p+1}.$$

It follows from Lemma 2.2, that  $\mathcal{N} = \{u \in H_0^1(\mathbb{R}^+) \setminus \{0\} : J(u) = 0\}$  contains all nontrivial critical points of  $S$ . We aim to show that the infimum of the following minimization problem is attained

$$m = \inf\{S(u) : u \in \mathcal{N}\} = \frac{p-1}{2(p+1)} \inf\{\|u\|_{L^{p+1}}^{p+1} : u \in \mathcal{N}\}. \tag{2.4}$$

First we prove the following lemma.

**Lemma 2.4.**  $\mathcal{N}$  is nonempty, and  $m > 0$ .

*Proof.* Let  $u \in H_0^1(\mathbb{R}^+) \setminus \{0\}$ . Take

$$t(u) = \left( \frac{H(u) + \omega \|u\|_{L^2}^2}{\|u\|_{L^{p+1}}^{p+1}} \right)^{1/(p-1)}.$$

By simple calculation, we get that  $J(t(u)u) = 0$ , hence  $t(u)u \in \mathcal{N}$ . We see that

$$m = \inf_{u \in \mathcal{N}} S(u) = \inf_{u \in \mathcal{N}} \left( S(u) - \frac{1}{p+1} J(u) \right) = \frac{p-1}{2(p+1)} \inf_{u \in \mathcal{N}} (H(u) + \omega \|u\|_{L^2}^2).$$

It follows from Sobolev’s and Hardy’s inequalities, that there exists  $C > 0$  such that

$$H(u) + \omega \|u\|_{L^2}^2 = \|u\|_{L^{p+1}}^{p+1} \leq C(H(u) + \omega \|u\|_{L^2}^2)^{(p+1)/2},$$

for all  $u \in \mathcal{N}$ . Hence,

$$\left(\frac{1}{C}\right)^{2/(p-1)} \leq H(u) + \omega \|u\|_{L^2}^2 \text{ for all } u \in H_0^1(\mathbb{R}^+),$$

which implies that

$$m \geq \frac{p-1}{2(p+1)} \left(\frac{1}{C}\right)^{2/(p-1)} > 0.$$

□

**Lemma 2.5.** *Let  $c < 1/4$ , and  $p > 1$ . Then if  $u \in H_0^1(\mathbb{R}^+)$  is a minimizer of (2.4), then  $|u|$  is also a minimizer. In particular, we can search for the minimizers of (2.4) among the non-negative, real-valued functions of  $H_0^1(\mathbb{R}^+)$ .*

*Proof.* Let  $u \in H_0^1(\mathbb{R}^+)$  be a solution of the minimization problem (2.4). It is well-known that if  $u \in H_0^1(\mathbb{R}^+)$  then  $|u| \in H_0^1(\mathbb{R}^+)$  and  $\| |u'| \|_{L^2} \leq \|u'\|_{L^2}$ . Moreover,  $\| |u| \|_{L^{p+1}} = \|u\|_{L^{p+1}}$ . Therefore,  $J(|u|) \leq J(u)$ . Hence there exists a  $\lambda \in (0, 1]$  such that  $J(\lambda|u|) = J(u) = 0$ . Then

$$m \leq S(\lambda|u|) = \frac{p-1}{2(p+1)} \|\lambda u\|_{L^{p+1}}^{p+1} \leq \frac{p-1}{2(p+1)} \|u\|_{L^{p+1}}^{p+1} = m.$$

Hence  $\lambda = 1$ ,  $J(|u|) = 0$ , and  $S(|u|) = m$ .

□

Let  $m \in \mathbb{R}$ . We say that  $\{u_n\}_{n \in \mathbb{N}}$  is a Palais-Smale sequence for  $S$  at level  $m$ , if

$$S(u_n) \rightarrow m, \quad S'(u_n) \rightarrow 0 \text{ in } H^{-1}(\mathbb{R}^+),$$

as  $n \rightarrow \infty$ .

**Lemma 2.6.** *Let  $c < 1/4$ , and  $p > 1$ . There exists a bounded Palais-Smale sequence  $\{u_n\}_{n \in \mathbb{N}} \subset \mathcal{N}$  for  $S$  at the level  $m$ . Namely, there is a sequence  $\{u_n\}_{n \in \mathbb{N}} \subset \mathcal{N}$  bounded in  $H^1(\mathbb{R}^+)$  such that, as  $n \rightarrow \infty$ ,*

$$S(u_n) \rightarrow m, \quad S'(u_n) \rightarrow 0 \text{ in } H^{-1}(\mathbb{R}^+).$$

*Proof.* Since  $\mathcal{N}$  is a closed manifold in  $H_0^1(\mathbb{R}^+)$ , it is a complete metric space. Hence, Ekeland’s variational principle (see pp. 51–53 in [20]) directly yields the existence of a Palais-Smale sequence at level  $m$  in  $\mathcal{N}$ .

We now show that if  $\{u_n\}_{n \in \mathbb{N}} \subset \mathcal{N}$  and  $\|u_n\|_{H^1}^2 \rightarrow \infty$ , then  $S(u_n) \rightarrow \infty$ . Indeed, since  $u_n \in \mathcal{N}$  from Hardy’s inequality we get that

$$\begin{aligned} S(u_n) &= \frac{p-1}{2(p+1)} (H(u_n) + \omega \|u_n\|_{L^2}^2) \\ &\geq \frac{p-1}{2(p+1)} (\min\{1, (1-4c)\} \|u_n'\|_{L^2}^2 + \omega \|u_n\|_{L^2}^2). \end{aligned}$$

Therefore, any Palais-Smale sequence  $\{u_n\}_{n \in \mathbb{N}}$  is bounded in  $H_0^1(\mathbb{R}^+)$ .

□

Before proceeding to our next lemma, let us recall some classical results, see e.g. [3], concerning the case  $c = 0$ . It is well-known that the set of solutions of

$$q'' - \omega q + |q|^{p-1}q = 0, \quad \omega > 0, \quad q \in H^1(\mathbb{R}) \tag{2.5}$$

is given by  $\{e^{i\theta}q(\cdot + y) : y \in \mathbb{R}, \theta \in \mathbb{R}\}$ , where  $q$  is a symmetric, positive solution of (2.5), explicitly given by

$$q(x) = \left( \frac{(p+1)\omega}{2} \operatorname{sech}^2 \left( \frac{(p-1)\sqrt{\omega}}{2} x \right) \right)^{1/(p-1)}. \tag{2.6}$$

Moreover, up to translation and phase invariance, it is the unique solution of the minimization problem

$$\begin{aligned} m^\infty &= \inf \{ S^\infty(u) : u \in H^1(\mathbb{R}) \setminus \{0\}, J^\infty(u) = 0 \} \\ &= \frac{p-1}{2(p+1)} \inf \{ \|u\|_{L^{p+1}(\mathbb{R})}^{p+1} : u \in H^1(\mathbb{R}) \setminus \{0\}, J^\infty(u) = 0 \}, \end{aligned}$$

where the functionals  $S^\infty$  and  $J^\infty$  are defined by

$$\begin{aligned} S^\infty(u) &= \frac{1}{2} \|u'\|_{L^2(\mathbb{R})}^2 + \frac{\omega}{2} \|u\|_{L^2(\mathbb{R})}^2 - \frac{1}{p+1} \|u\|_{L^{p+1}(\mathbb{R})}^{p+1}, \\ J^\infty(u) &= \|u'\|_{L^2(\mathbb{R})}^2 + \omega \|u\|_{L^2(\mathbb{R})}^2 - \|u\|_{L^{p+1}(\mathbb{R})}^{p+1}. \end{aligned}$$

**Lemma 2.7.** *Let  $0 < c < 1/4$ , and  $p > 1$ . Then  $m < m^\infty$ .*

*Proof.* It is not hard to see that  $m \leq m^\infty$ , we only need to prove that  $m \neq m^\infty$ . Let us first note that if  $u \in H_0^1(\mathbb{R}^+) \setminus \{0\}$  and  $J(u) < 0$ , then  $m < \tilde{S}(u)$ , where

$$\tilde{S}(u) = \frac{p-1}{2(p+1)} \left( H(u) + \omega \|u\|_{L^2}^2 \right).$$

Indeed, if  $J(u) < 0$ , then let us define

$$t(u) = \left( \frac{H(u) + \omega \|u\|_{L^2}^2}{\|u\|_{L^{p+1}}^{p+1}} \right)^{1/(p-1)}.$$

Hence  $t(u) \in (0, 1)$ ,  $t(u)u \in \mathcal{N}$ , and

$$m \leq \tilde{S}(t(u)u) = t^2(u)\tilde{S}(u) < \tilde{S}(u).$$

Now let us define  $\psi_A(x) = q(x+A) - q(x-A)$  for  $x \geq 0$ . For large enough  $A$  we obtain the following estimates (see Lemma 5.1 in the Appendix):

$$\begin{aligned} \int_0^\infty |\psi'_A|^2 dx &= \int_{-\infty}^\infty |q'|^2 dx + O\left( \left( 2A + \frac{1}{\sqrt{\omega}} \right) e^{-2\sqrt{\omega}A} \right), \\ \int_0^\infty |\psi_A|^2 dx &= \int_{-\infty}^\infty |q|^2 dx + O\left( \left( 2A + \frac{1}{\sqrt{\omega}} \right) e^{-2\sqrt{\omega}A} \right), \\ \int_0^\infty \frac{|\psi_A|^2}{x^2} dx &\leq \frac{4}{A^2} \int_{-\infty}^\infty |q|^2 dx + O\left( \frac{1}{A^2} e^{-\sqrt{\omega}A} \right), \\ \int_0^\infty |\psi_A|^{p+1} dx &= \int_{-\infty}^\infty |q|^{p+1} dx + O\left( e^{-2\sqrt{\omega}A} \right). \end{aligned}$$

Since  $0 < c < 1/4$ , we obtain for  $A > 0$  large enough

$$\begin{aligned} J(\psi_A) &\leq \|q'\|_{L^2(\mathbb{R})}^2 + \omega \|q\|_{L^2(\mathbb{R})}^2 - \|q\|_{L^{p+1}(\mathbb{R})}^{p+1} - \frac{4c}{A^2} \|q\|_{L^2(\mathbb{R})}^2 + O\left(\frac{1}{A^2} e^{-\sqrt{\omega}A}\right) \\ &= -\frac{4c}{A^2} \|q\|_{L^2(\mathbb{R})}^2 + O\left(\frac{1}{A^2} e^{-\sqrt{\omega}A}\right) < 0, \end{aligned}$$

and

$$\begin{aligned} \tilde{S}(\psi_A) &\leq \frac{p-1}{2(p+1)} \left( \|q'\|_{L^2(\mathbb{R})}^2 + \omega \|q\|_{L^2(\mathbb{R})}^2 - \frac{4c}{A^2} \|q\|_{L^2(\mathbb{R})}^2 \right) + O\left(\frac{1}{A^2} e^{-\sqrt{\omega}A}\right) \\ &= m^\infty - \frac{p-1}{2(p+1)} \frac{4c}{A^2} \|q\|_{L^2(\mathbb{R})}^2 + O\left(\frac{1}{A^2} e^{-\sqrt{\omega}A}\right) < m^\infty. \end{aligned}$$

Since  $J(\psi_A) < 0$ , we get

$$m < \tilde{S}(\psi_A) < m^\infty,$$

which concludes the proof. □

We need the following lemma, which describes the behavior of bounded Palais-Smale sequences. We note that  $H_0^1(\mathbb{R}^+)$  functions can be extended to functions in  $H^1(\mathbb{R})$  by setting  $u \equiv 0$  on  $\mathbb{R}^-$ . The proof of the following statement is presented in the appendix.

**Lemma 2.8.** *Let  $\{u_n\}_{n \in \mathbb{N}} \subset H_0^1(\mathbb{R}^+)$  be a bounded Palais-Smale sequence for  $S$  at level  $m$ . Then there exists a subsequence still denoted by  $\{u_n\}_{n \in \mathbb{N}}$ , a  $u_0 \in H_0^1(\mathbb{R}^+)$  solution of*

$$\varphi'' + \frac{c}{x^2} \varphi - \omega \varphi + |\varphi|^{p-1} \varphi = 0,$$

an integer  $k \geq 0$ ,  $\{x_n^i\}_{i=1}^k \subset \mathbb{R}^+$ , and nontrivial solutions  $q_i$  of (2.5) satisfying

$$\begin{aligned} u_n &\rightharpoonup u_0 \quad \text{weakly in } H_0^1(\mathbb{R}^+), \\ S(u_n) &\rightarrow S(u_0) + \sum_{i=1}^k S^\infty(q_i), \\ u_n - (u_0 + \sum_{i=1}^k q_i(x - x_n^i)) &\rightarrow 0 \quad \text{strongly in } H^1(\mathbb{R}), \\ |x_n^i| &\rightarrow \infty, \quad |x_n^i - x_n^j| \rightarrow \infty \quad \text{for } 1 \leq i \neq j \leq k, \end{aligned}$$

where in case  $k = 0$ , the above holds without  $q_i$  and  $x_n^i$ .

We only need to show that the critical point of  $S$  provided by Lemma 2.8 is non-trivial.

**Theorem 2.9.** *Let  $0 < c < 1/4$ . Then there exists  $u \in \mathcal{N} \setminus \{0\}$ ,  $u \geq 0$  a.e., such that  $S(u) = m$ .*



*Proof.* We only have to prove that the  $\{u_n\}_{n \in \mathbb{N}}$  bounded Palais-Smale sequence obtained in Lemma 2.6 admits a strongly convergent subsequence. Assume that it is not the case. Using Lemma 2.8 we see that  $k \geq 1$  and  $u_n$  is weakly convergent to  $u_0$  in  $H_0^1(\mathbb{R}^+)$  up to a subsequence. Then

$$m = \lim_{n \rightarrow \infty} S(u_n) \geq S(u_0) + S^\infty(q) = S(u_0) + m^\infty.$$

Now,  $S(u_0) \geq 0$  since  $J(u_0) = 0$ . Thus  $m \geq m^\infty$ , which contradicts Lemma 2.7. Hence  $k = 0$  and  $u_n \rightarrow u_0$  in  $H_0^1(\mathbb{R}^+)$ .  $\square$

**Lemma 2.10.** *Let  $p > 1$  and  $\omega > 0$ . There exists a  $\mu > 0$  such that*

$$\int_0^\infty |u|^2 dx = \mu, \text{ for every } u \in \mathcal{G}.$$

*The mass of ground state solutions is  $\mu = \frac{m}{\omega} \frac{p+3}{p-1}$ . Moreover, we have*

$$\|u\|_{L^{p+1}}^{p+1} = \frac{2(p+1)}{p-1} m, \text{ and } H(u) = m \text{ for every } u \in \mathcal{G}.$$

*Proof.* Since  $u \in \mathcal{G}$  is a solution of (2.1), it satisfies (2.2) and (2.3). By subtracting the two identities we get

$$\omega \|u\|_{L^2}^2 = \frac{p+3}{2(p+1)} \|u\|_{L^{p+1}}^{p+1}. \quad (2.7)$$

Additionally, since  $u$  is a ground state solution, it also solves the minimization problem (2.4). From (2.4) and (2.3) we get

$$\omega \|u\|_{L^2}^2 + \frac{p-5}{2(p+1)} \|u\|_{L^{p+1}}^{p+1} = 2m. \quad (2.8)$$

From (2.7) and (2.8) it follows

$$\|u\|_{L^2}^2 = \frac{m}{\omega} \frac{p+3}{p-1} > 0.$$

Thus, let  $\mu = \frac{m}{\omega} \frac{p+3}{p-1}$ . Now it follows from (2.4) and (2.3) that

$$\|u\|_{L^{p+1}}^{p+1} = \frac{2(p+1)}{p-1} m, \text{ and } H(u) = m \text{ for every } u \in \mathcal{G}.$$

which concludes the proof.  $\square$

### 3. Stability

In this section we consider nonlinearities with  $1 < p < 5$ . Our aim is to prove orbital stability of the standing waves. To do so, we investigate the minimization problem:

$$I = \inf\{E(u) : u \in \Gamma\}, \quad (3.1)$$

where

$$\Gamma = \{u \in H_0^1(\mathbb{R}^+) : \|u\|_{L^2}^2 = \mu\}.$$

and the energy  $E$  is defined by (1.4). We will rely on a of Lions' concentration-compactness principle [15] and the arguments by Cazenave and Lions [4], see

also in [3]. The main problem is to obtain compactness of minimizing sequences owing to the absence of translation invariance. We define the problem at infinity by

$$I^\infty = \inf\{E^\infty(u) : u \in H^1(\mathbb{R}) \text{ and } \|u\|_{L^2}^2 = \mu\}, \tag{3.2}$$

where

$$E^\infty(u) = \frac{1}{2} \int_{\mathbb{R}} |u'|^2 dx - \frac{1}{p+1} \int_{\mathbb{R}} |u|^{p+1} dx.$$

We recall some well-known facts about the minimization problem (3.2) (see [3, Chapter 8.]). For every  $\mu > 0$ , there exists a unique, positive, symmetric function  $q = q(\mu) \in H^1(\mathbb{R})$ , such that

$$\|q\|_{L^2} = \mu, \quad E^\infty(q) = I^\infty,$$

and  $q$  solves the nonlinear equation

$$q'' - \lambda q + |q|^{p-1}q = 0,$$

where  $\lambda = \lambda(\mu)$ . Moreover, there exists  $M > 0$  such that

$$e^{\sqrt{\lambda}|x|}|q(x)| \leq M \text{ and } e^{\sqrt{\lambda}|x|}|q'(x)| \leq M.$$

We proceed by proving the following lemma:

**Lemma 3.1.** *If  $0 < c < 1/4$ , then the following inequality holds:*

$$I < I^\infty.$$

*Proof.* For  $A > 0$ , let  $C(A)$  be a normalizing factor specified later. Let us define

$$\Psi_A(x) = C(A)(q(x+A) - q(x-A)) \text{ for } x \geq 0.$$

Since  $q$  is even, we obtain  $\Psi_A \in H_0^1(\mathbb{R}^+)$  and

$$\int_0^\infty |\Psi_A(x)|^2 dx = C^2(A) \left( \int_{-\infty}^\infty |q|^2 dx - \int_{-\infty}^\infty q(x+A)q(x-A) dx \right).$$

We estimate the second integral by (see Lemma 5.1)

$$\int_{-\infty}^\infty q(x+A)q(x-A) dx = O\left(\left(2A + \frac{1}{\sqrt{\lambda}}\right) e^{-2\sqrt{\lambda}A}\right).$$

We define

$$C(A) = \left( \frac{\mu}{\mu - \int_{-\infty}^\infty q(x+A)q(x-A) dx} \right)^{1/2}.$$

$C(A)$  is a continuous function of  $A$ ,  $C(A) \geq 1$ , and  $C(A) \rightarrow 1$  exponentially fast as  $A \rightarrow \infty$ . Thus,  $\|\Psi_A\|_{L^2} = \mu$  for all  $A > 0$ . By Lemma 5.1 in the

Appendix, we obtain for  $A > 0$  large enough that

$$\begin{aligned} \int_0^\infty |\Psi'_A|^2 dx &= C^2(A) \int_{-\infty}^\infty |q'| dx + O\left(\left(2A + \frac{1}{\sqrt{\lambda}}\right) e^{-2\sqrt{\lambda}A}\right), \\ \int_0^\infty \frac{|\Psi_A|^2}{x^2} dx &\leq \frac{4C^2(A)}{A^2} \int_0^\infty |\Psi_A|^2 dx + O\left(\frac{1}{A^2} e^{-\sqrt{\lambda}A}\right), \\ \int_0^\infty |\Psi_A|^{p+1} dx &= C^{p+1}(A) \int_{-\infty}^\infty |q|^{p+1} dx + O(e^{-2\sqrt{\lambda}A}). \end{aligned}$$

Hence for  $A$  large enough we get

$$\begin{aligned} E(\Psi_A) &= \frac{1}{2} \int_0^\infty |\Psi'_A|^2 dx - \frac{c}{2} \int_0^\infty \frac{|\Psi_A|^2}{x^2} dx - \frac{1}{p+1} \int_0^\infty |\Psi_A|^{p+1} dx \\ &\leq C^2(A) \left( \frac{1}{2} \int_{-\infty}^\infty |q'|^2 dx - \frac{C^{p-1}(A)}{p+1} \int_{-\infty}^\infty |q|^{p+1} dx \right) \\ &\quad - \frac{c}{2} \frac{4C^2(A)}{A^2} \int_0^\infty |\Psi_A|^2 dx + O\left(\frac{1}{A^2} e^{-\sqrt{\lambda}A}\right). \end{aligned}$$

Owing to the exponential decay of the last term, for large  $A$  we get

$$E(\Psi_A) \leq E(q) - \frac{2c}{A^2} \mu = I^\infty - \frac{2c}{A^2} \mu.$$

Since  $0 < c < 1/4$  we get that  $E(\Psi_A) < I^\infty$ , which concludes the proof.  $\square$

We need the following version of the concentration-compactness principle. The proof follows the same way as in the classical case (see [15]).

**Lemma 3.2.** *Let  $0 < c < 1/4$ , and  $\{u_n\}_{n \in \mathbb{N}} \subset H_0^1(\mathbb{R}^+)$  be a sequence satisfying*

$$\lim_{n \rightarrow \infty} \|u_n\|_{L^2}^2 = M \text{ and } \lim_{n \rightarrow \infty} H(u_n) < \infty.$$

*Then there exists a subsequence  $\{u_n\}_{n \in \mathbb{N}}$  such that it satisfies one of the following alternatives.*

*(Vanishing)*  $\lim_{n \rightarrow \infty} \|u_n\|_{L^p} \rightarrow 0$  for all  $p \in (2, \infty)$ .

*(Dichotomy)* There are sequences  $\{v_n\}_{n \in \mathbb{N}}, \{w_n\}_{n \in \mathbb{N}}$  in  $H_0^1(\mathbb{R}^+)$  and a constant  $\alpha \in (0, 1)$  such that:

- (1)  $\text{dist}(\text{supp}(v_n), \text{supp}(w_n)) \rightarrow \infty$ ;
- (2)  $|v_n| + |w_n| \leq |u_n|$ ;
- (3)  $\sup_{n \in \mathbb{N}} (\|v_n\|_{H^1} + \|w_n\|_{H^1}) < \infty$ ;
- (4)  $\|v_n\|_{L^2}^2 \rightarrow \alpha M$  and  $\|w_n\|_{L^2}^2 \rightarrow (1 - \alpha)M$  as  $n \rightarrow \infty$ ;
- (5)  $\lim_{n \rightarrow \infty} \left| \int_0^\infty |u_n|^q dx - \int_0^\infty |v_n|^q dx - \int_0^\infty |w_n|^q dx \right| = 0$  for all  $q \in [2, \infty)$ ;
- (6)  $\liminf_{n \rightarrow \infty} \{H(u_n) - H(v_n) - H(w_n)\} \geq 0$ .

*(Compactness)* There exists a sequence  $y_n \in \mathbb{R}^+$ , such that for any  $\varepsilon > 0$  there is an  $R > 0$  with the property that

$$\int_{(y_n - R, y_n + R) \cap \mathbb{R}^+} |u_n|^2 \geq M - \varepsilon.$$

for all  $n \in \mathbb{N}$ .

We are now in a position to prove the following lemma.

**Lemma 3.3.** *Let  $1 < p < 5$ ,  $0 < c < 1/4$ , and  $\omega > 0$ . Then the infimum in (3.1) is attained. Additionally, all minimizing sequences are relatively compact, that is if  $\{u_n\}_{n \in \mathbb{N}}$  satisfies  $\|u_n\|_{L^2}^2 \rightarrow \mu$  and  $E(u_n) \rightarrow I$  then there exists a subsequence  $\{u_n\}_{n \in \mathbb{N}}$  which converges to a minimizer  $u \in H_0^1(\mathbb{R}^+)$ .*

*Proof.* Step 1. We first show that  $0 > I > -\infty$ . Let  $u \in \Gamma$ . For  $\lambda > 0$ , we define  $u_\lambda(x) = \lambda^{1/2}u(\lambda x) \in \Gamma$ . Clearly,

$$E(u_\lambda) = \frac{\lambda^2}{2} \|u'\|_{L^2}^2 - \frac{c\lambda^2}{2} \int_0^\infty \frac{|u|^2}{x^2} dx - \frac{\lambda^{(p-1)/2}}{p+1} \|u\|_{L^{p+1}}^{p+1}$$

Since  $1 < p < 5$ , we can choose a small  $\lambda > 0$  such that  $E(u_\lambda) < 0$ . Hence  $I < 0$ .

Since  $c \in (0, 1/4)$ , we have  $H(u) \sim \|u'\|_{L^2}^2$ . We get from the Gagliardo-Nirenberg inequality that there exists  $C > 0$  such that for all  $u \in H_0^1(\mathbb{R}^+)$

$$\int_0^\infty |u|^{p+1} dx \leq CH(u)^{\frac{p-1}{4}} \left( \int_0^\infty |u|^2 dx \right)^{1+\frac{p-1}{4}}.$$

Since  $1 < p < 5$ , this yields that there exists  $\delta > 0$  and  $K > 0$  such that

$$E(u) \geq \delta \|u\|_{H^1}^2 - K \text{ for all } u \in \Gamma, \tag{3.3}$$

from which follows that  $I > -\infty$ .

Every minimizing sequence is bounded in  $H_0^1(\mathbb{R}^+)$  and bounded from below in  $L^{p+1}(\mathbb{R}^+)$ . Indeed, let  $\{u_n\}_{n \in \mathbb{N}} \subset \Gamma$  be a minimizing sequence, then by (3.3) it is bounded in  $H_0^1(\mathbb{R}^+)$ . Furthermore, for  $n$  large enough we have  $E(u_n) < I/2$ , thus

$$\|u_n\|_{L^{p+1}}^{p+1} > -\frac{p+1}{2}I. \tag{3.4}$$

Now  $I < 0$ , hence the result follows.

Step 2. We now verify that all minimizing sequences have a subsequence which converges to a limit  $u$  in  $H_0^1(\mathbb{R}^+)$ . Let  $\{u_n\}_{n \in \mathbb{N}}$  satisfy  $\|u_n\|_{L^2}^2 \rightarrow \mu$  and  $E(u_n) \rightarrow I$ . Since every minimizing sequence is bounded in  $H_0^1(\mathbb{R}^+)$ ,  $\{u_n\}_{n \in \mathbb{N}}$  has a weak-limit  $u \in L^p(\mathbb{R}^+)$ . We can apply the concentration-compactness principle (see Lemma 3.2) to the sequence  $\{u_n\}_{n \in \mathbb{N}}$ . We note that since the sequence is bounded from below in  $L^{p+1}(\mathbb{R}^+)$  vanishing cannot occur.

Now let us assume that dichotomy occurs. Let  $\alpha \in (0, 1)$ ,  $\{v_n\}_{n \in \mathbb{N}}$  and  $\{w_n\}_{n \in \mathbb{N}}$  sequences as in Lemma 3.2. It follows from (5) and (6) of Lemma 3.2 that

$$\liminf_{n \rightarrow \infty} (E(u_n) - E(v_n) - E(w_n)) \geq 0,$$

hence

$$\limsup_{n \rightarrow \infty} (E(v_n) + E(w_n)) \leq I. \tag{3.5}$$

Observe that for  $u \in H_0^1(\mathbb{R}^+)$ , and  $a > 0$ , we have

$$E(u) = \frac{1}{a^2} E(au) + \frac{a^{p-1} - 1}{p+1} \int_0^\infty |u|^{p+1} dx.$$

Let  $a_n = \sqrt{\mu}/\|v_n\|_{L^2}$  and  $b_k^2 = \sqrt{\mu}/\|w_n\|_{L^2}$ . Hence,  $a_n v_n \in \Gamma$  and  $b_n w_n \in \Gamma$ , which implies

$$\begin{aligned} E(v_n) &\geq \frac{I}{a_n^2} + \frac{a_n^{p-1} - 1}{p + 1} \int_0^\infty |v_n|^{p+1} dx, \\ E(w_n) &\geq \frac{I}{b_n^2} + \frac{b_n^{p-1} - 1}{p + 1} \int_0^\infty |w_n|^{p+1} dx. \end{aligned}$$

Therefore

$$E(v_n) + E(w_n) \geq I(a_n^{-2} + b_n^{-2}) + \frac{a_n^{p-1}}{p + 1} \int_0^\infty |v_n|^{p+1} + \frac{b_n^{p-1}}{p + 1} \int_0^\infty |w_n|^{p+1}.$$

Now we observe  $a_n^{-2} \rightarrow \alpha$  and  $b_n^{-2} \rightarrow (1 - \alpha)$  by (4) of Lemma 3.2. Since  $\alpha \in (0, 1)$ , we get that  $\theta = \min\{\alpha^{-(p-1)/2}; (1 - \alpha)^{-(p-1)/2}\} > 1$ . Property (5) of Lemma 3.2 and (3.4) implies

$$\liminf_{n \rightarrow \infty} (E(v_n) + E(w_n)) \geq I + \frac{\theta - 1}{p + 1} \liminf_{n \rightarrow \infty} \int_0^\infty |u_n|^{p+1} dx, \geq I + \frac{\theta - 1}{2} > I,$$

which contradicts (3.5). Hence the following holds: there exists a sequence  $y_n \in \mathbb{R}^+$ , such that for any  $\varepsilon > 0$  there exists  $R > 0$  with the property that

$$\int_{(y_n - R, y_n + R) \cap \mathbb{R}^+} |u_n|^2 \geq \mu - \varepsilon. \tag{3.6}$$

for all  $n \in \mathbb{N}$ .

We now show that  $\{y_n\}_{n \in \mathbb{N}}$  is bounded in  $\mathbb{R}^+$ . First we show that if  $y_n \rightarrow \infty$ , then

$$\lim_{n \rightarrow \infty} \int_0^\infty \frac{|u_n|^2}{x^2} dx = 0. \tag{3.7}$$

Let us assume by contradiction that

$$\int_0^\infty \frac{|u_n|^2}{x^2} dx \geq \delta > 0, \tag{3.8}$$

which implies together with Hardy’s inequality that

$$H(u_n) \geq (1/4 - c)\delta. \tag{3.9}$$

Let us take  $\xi \in C^\infty(\mathbb{R}^+)$ , such that for  $\tilde{R} > 0$  and  $a > 0$  we have that  $\xi(r) = 1$  for  $0 \leq r \leq \tilde{R}$ ,  $\xi(r) = 0$  for  $r \geq \tilde{R} + a$ , and  $\|\xi'\|_{L^\infty} \leq 2/a$ . We introduce  $u_{n,1} = u_n \cdot \xi$  and  $u_{n,2} = u_n \cdot (1 - \xi)$ . Clearly,  $u_{n,1} \in H_0^1(\mathbb{R}^+)$ ,  $u_{n,2} \in H_0^1(\mathbb{R}^+)$  and  $u_n = u_{n,1} + u_{n,2}$ . Moreover, the following inequalities hold

$$\begin{aligned} |u'_{n,1}|^2 &\leq 2(4a^{-2}|u_n|^2 + |u'_n|^2), \\ |u'_{n,2}|^2 &\leq 2(4a^{-2}|u_n|^2 + |u'_n|^2). \end{aligned}$$

We obtain by direct calculation that

$$E(u_n) = E(u_{n,1}) + E(u_{n,2}) + \rho_n$$

where

$$\begin{aligned} \rho_n &= \frac{1}{2} \int_{\tilde{R}}^{\tilde{R}+a} \left[ (|u'_n|^2 - |u'_{n,1}|^2 - |u'_{n,2}|^2) - \frac{c}{x^2} (|u_n|^2 - |u_{n,1}|^2 - |u_{n,2}|^2) \right] dx \\ &\quad - \frac{1}{p+1} \int_{\tilde{R}}^{\tilde{R}+a} (|u_n|^{p+1} - |u_{n,1}|^{p+1} - |u_{n,2}|^{p+1}) dx. \end{aligned}$$

We show that there exists  $\tilde{R} > 0$  and  $a > 1$ , such that for  $n$  large enough  $|\rho_n| \leq (1/4 - c)\frac{\delta}{4}$ . First we observe by the properties of the cut-off that

$$\left| \frac{1}{2} \int_{\tilde{R}}^{\tilde{R}+a} (|u'_n|^2 - |u'_{n,1}|^2 - |u'_{n,2}|^2) dx \right| \leq \frac{5}{2} \int_{\tilde{R}}^{\tilde{R}+a} |u'_n|^2 dx + \frac{8}{a^2} \int_{\tilde{R}}^{\tilde{R}+a} |u_n|^2 dx.$$

We claim that there exist  $\tilde{R} > 0$  and  $a > 1$  such that for a subsequence  $\{u_{n_k}\}$  we have

$$\int_{\tilde{R}}^{\tilde{R}+a} |u'_{n_k}|^2 dx < \frac{1}{20} (1/4 - c)\delta. \tag{3.10}$$

Suppose that this claim does not hold, that is for all  $R > 0, a > 1$  there exists  $k \in \mathbb{N}$  such that for all  $n \geq k$  the following holds

$$\int_R^{R+a} |u'_n|^2 dx \geq \frac{1}{20} (1/4 - c)\delta.$$

Let  $(R_1, R_1 + a_1)$ . There exists  $k_1 \in \mathbb{N}$ , such that for all  $n \geq k_1$  we have

$$\int_{R_1}^{R_1+a_1} |u'_n|^2 dx \geq \frac{1}{20} (1/4 - c)\delta.$$

Now let  $R_2 > R_1 + a_1$  and  $a_2 > 1$ . Then by our assumption there exists  $k_2 \in \mathbb{N}$ , such that for all  $n \geq k_2$  it holds that

$$\int_{R_2}^{R_2+a_2} |u'_n|^2 dx \geq \frac{1}{20} (1/4 - c)\delta.$$

Hence, there exists a subsequence  $\{v_{n_k}\}_{k \in \mathbb{N}}$  such that for all  $j \in \{1, 2\}$  it holds that

$$\int_{R_j}^{R_j+a_j} |u'_{n_k}|^2 dx \geq \frac{1}{20} (1/4 - c)\delta$$

for all  $k \in \mathbb{N}$ . Therefore, we can construct for all  $l \in \mathbb{N}$  a subsequence  $\{u_{n_k}\}_{k \in \mathbb{N}}$ , such that for all  $1 \leq j \leq l$  there are disjoint intervals  $A_j = (R_j, R_j + a_j)$ , such that

$$\int_{A_j} |u'_{n_k}|^2 dx \geq \frac{1}{20} (1/4 - c)\delta.$$

Hence for all  $l \in \mathbb{N}$  there exists a subsequence  $\{u_{n_k}\}_{k \in \mathbb{N}}$ , such that for all  $k \in \mathbb{N}$  we have

$$\int_0^\infty |u'_{n_k}|^2 dx \geq \sum_{j=1}^l \int_{A_j} |u'_{n_k}|^2 dx \geq \frac{l}{20} (1/4 - c)\delta.$$

This implies that  $\int_0^\infty |u'_{n_k}|^2 dx \rightarrow \infty$ , which is a contradiction since  $\{u_n\}_{n \in \mathbb{N}}$  is bounded in  $H_0^1(\mathbb{R}^+)$ . Hence the assertion (3.10) is true. Now we note that

$$\int_0^R |u_n|^{p+1} dx \leq \|u_n\|_{L^\infty}^{p-1} \int_0^R |u_n|^2 dx.$$

Since  $\{u_n\}_{n \in \mathbb{N}}$  is bounded in  $L^\infty(\mathbb{R}^+)$ , in view of (3.6) we obtain for  $R > 0$  given in (3.6) that

$$\int_0^R |u_n|^2 dx \rightarrow 0 \quad \text{implies} \quad \int_0^R |u_n|^{p+1} dx \rightarrow 0. \tag{3.11}$$

For large  $n$  we have  $\tilde{R} + a < y_n - R$ , since  $y_n \rightarrow \infty$  by our assumption. Now (3.11) implies

$$\begin{aligned} & \left| \frac{8}{a^2} \int_{\tilde{R}}^{\tilde{R}+a} |u_n|^2 dx \right| + \left| \int_{\tilde{R}}^{\tilde{R}+a} \frac{c}{x^2} (|u_n|^2 - |u_{n,1}|^2 - |u_{n,2}|^2) dx \right| \\ & + \left| \frac{1}{p+1} \int_{\tilde{R}}^{\tilde{R}+a} (|u_n|^{p+1} - |u_{n,1}|^{p+1} - |u_{n,2}|^{p+1}) dx \right| \\ & \leq \left| \frac{8}{a^2} \int_{\tilde{R}}^{\tilde{R}+a} |u_n|^2 dx \right| + \frac{c}{\tilde{R}^2} \left| \int_{\tilde{R}}^{\tilde{R}+a} |u_n|^2 (1 - \xi^2 - (1 - \xi)^2) dx \right| \\ & + \left| \frac{1}{p+1} \int_{\tilde{R}}^{\tilde{R}+a} |u_n|^{p+1} (1 - \xi^{p+1} - (1 - \xi)^{p+1}) dx \right| \\ & \leq \frac{(1/4 - c)\delta}{8}. \end{aligned} \tag{3.12}$$

for large  $n$ . Now (3.10) and (3.12) implies

$$|\rho_n| \leq \frac{(1/4 - c)\delta}{4}. \tag{3.13}$$

Let us observe that  $\|u_{n,1}\|_{L^{p+1}} \rightarrow 0$  by (3.11). Hence

$$E(u_{n,1}) = \frac{1}{2}H(u_{n,1}) + o(1).$$

Now let us notice that  $\text{supp}(u_{n,2}) \subset (\tilde{R}, \infty)$ . Moreover, in view of (3.6),

$$\int_0^\infty |u_{n,2}|^2 dx = \int_{y_n - R}^\infty |u_{n,2}|^2 dx + o(1).$$

Hence

$$\int_0^\infty \frac{|u_{n,2}|^2}{x^2} dx = \int_{y_n - R}^\infty \frac{|u_{n,2}|^2}{x^2} dx + o(1) \leq \frac{\mu}{|y_n - R|^2}.$$

Now  $y_n \rightarrow \infty$  implies that

$$E(u_{n,2}) = E^\infty(u_{n,2}) + o(1).$$

Thus,

$$E(u_n) = \frac{1}{2}H(u_{n,1}) + E^\infty(u_{n,2}) + \rho_n + o(1).$$

From the properties of the cut-off and (3.6), we get

$$\|u_{n,2}\|_{L^2}^2 = \|u_n\|_{L^2}^2 - \|u_{n,1}\|_{L^2}^2 - 2 \operatorname{Re} \int_{R'}^{R'+a} u_{n,1} \bar{u}_{n,2} dx \rightarrow \mu.$$

Since  $\frac{1}{2}H(u_{n,1}) + \rho_n > 0$  by (3.9) and (3.13), we obtain

$$I = \lim_{n \rightarrow \infty} E(u_n) \geq \lim_{n \rightarrow \infty} E^\infty(u_{n,2}) \geq I^\infty.$$

which is a contradiction, hence (3.7) follows.

Now, from (3.7) we obtain

$$\begin{aligned} \lim_{n \rightarrow \infty} \left( \frac{1}{2} \int_0^\infty |u'_n|^2 dx - \frac{c}{2} \int_0^\infty \frac{|u_n|^2}{x^2} dx - \frac{1}{p+1} \int_0^\infty |u_n|^{p+1} dx \right) = \\ = \lim_{n \rightarrow \infty} \left( \frac{1}{2} \int_0^\infty |u'_n|^2 dx - \frac{1}{p+1} \int_0^\infty |u_n|^{p+1} dx \right). \end{aligned}$$

Hence

$$I \geq I^\infty,$$

which is again a contradiction. Thus  $\{y_n\}_{n \in \mathbb{N}}$  is bounded and has an accumulation point  $y^* \in \mathbb{R}^+$ . Therefore, it follows that for any  $\varepsilon > 0$  there is  $R > 0$  such that

$$\int_0^R |u_n|^2 \geq \mu - \varepsilon.$$

for all  $n \in \mathbb{N}$ . Hence  $u_n \rightarrow u$  strongly in  $L^2(\mathbb{R}^+)$ . Moreover, since  $\{u_n\}$  is bounded in  $H_0^1(\mathbb{R}^+)$  it is also strongly convergent in  $L^{p+1}(\mathbb{R}^+)$ . By the weak-lower semicontinuity of  $H$  (see [17]), it follows that  $E(u) \leq \lim_{n \rightarrow \infty} E(u_n) = I$ . Hence  $E(u) = I$ , and  $E(u_n) \rightarrow E(u)$  implies that  $H(u_n) \rightarrow H(u)$ , which concludes that proof.  $\square$

*Remark 3.4.* If  $c < 0$ , the infimum is not attained on the  $L^2$  constraint. Indeed, let us assume that there exists  $v \in H_0^1(\mathbb{R}^+)$ , such that  $\|v\|_{L^2}^2 = \mu$  and  $E(v) = I$ . Then taking translates of  $v$ , i.e.  $v(\cdot - y)$  for  $y > 0$ , we get  $E(v(\cdot - y)) < I$ , which is a contradiction.

**Lemma 3.5.** *Let  $0 < c < 1/4$ ,  $\omega > 0$  and  $1 < p < 5$ . Let  $\mu$  be defined by Lemma 2.10. Then  $u \in H_0^1(\mathbb{R}^+)$  is a ground state solution of (2.1) if and only if  $u$  solves the minimization problem*

$$\begin{cases} u \in \Gamma, \\ S(u) = \inf\{S(v) : v \in \Gamma\}. \end{cases} \tag{3.14}$$

*Proof.* Step 1. Let us first define

$$m_{\mathcal{A}} = \inf\{S(u) : u \in \mathcal{A}\},$$

and

$$m_{\Gamma} = \inf\{S(u) : u \in \Gamma\}.$$

If  $u \in \mathcal{G}$ , then  $S(u) = m_{\Gamma}$ . By Lemma 2.10 we know that  $u \in \Gamma$ , hence  $m_{\mathcal{A}} \leq m_{\Gamma}$ .



Step 2. We claim that every solution of (3.14) belongs to  $\mathcal{A}$ . Indeed, let us consider a solution  $u$  to (3.14). There exists a Lagrange multiplier  $\lambda_1 \in \mathbb{R}$  such that  $S'(u) = \lambda_1 u$ . Hence there exists  $\lambda \in \mathbb{R}$  such that

$$-u'' - \frac{c}{x^2}u + \lambda\omega u = |u|^{p-1}u. \quad (3.15)$$

Indeed, since  $u$  is a solution of (3.14), and for  $\lambda > 0$  let

$$u_\lambda(x) = \lambda^{1/2}u(\lambda x).$$

We have  $u_\lambda \in \Gamma$ . Since  $u_1$  is a solution of (3.14), we get from (3.15) and Lemma 2.2 that

$$\frac{\partial}{\partial \lambda} S(u_\lambda)|_{\lambda=1} = \|u'\|_{L^2}^2 - c \left\| \frac{u}{x} \right\|_{L^2}^2 - \frac{p-1}{2(p+1)} \|u\|_{L^{p+1}}^{p+1} = 0. \quad (3.16)$$

We can deduce directly from (3.15) and (3.16) that

$$\lambda\omega\mu = \frac{p+3}{p-1}H(u),$$

which implies that  $\lambda > 0$ . Let us define  $v$  by

$$u(x) = \lambda^{1/(p-1)}v(\lambda^{1/2}x).$$

By (3.16),  $v \in \mathcal{A}$ , hence

$$S(v) \geq m_{\mathcal{A}}.$$

We obtain simple calculation that

$$m_\Gamma = S(u) = \lambda^{2/(p-1)+1/2}S(v) + (1-\lambda)\frac{\omega\mu}{2}.$$

Hence,

$$m_{\mathcal{A}} \geq \lambda^{\frac{2}{p-1}+\frac{1}{2}}m_{\mathcal{A}} + (1-\lambda)\frac{\omega\mu}{2}.$$

Since  $u$  is a solution of (3.15), we obtain from Lemma 2.2 that  $m_{\mathcal{A}} \geq 0$ . By Lemma 2.2 and Lemma 2.10 we have that

$$\frac{\omega\mu}{2} = \left( \frac{2}{p-1} + \frac{1}{2} \right) m_{\mathcal{A}},$$

hence

$$0 \geq \lambda^{\frac{2}{p-1}+\frac{1}{2}} - \lambda \left( \frac{2}{p-1} + \frac{1}{2} \right) + \left( \frac{2}{p-1} - \frac{3}{2} \right).$$

The right hand side is always strictly positive, except if  $\lambda = 1$ . Thus,  $\lambda = 1$ , which implies together with (3.16) that  $u \in \mathcal{A}$ .

Step 3. It follows from Step 2, that  $m_\Gamma \leq m_{\mathcal{A}}$ , hence  $m_\Gamma = m_{\mathcal{A}}$ . In particular, it follows that if  $u \in \mathcal{G}$ , then  $u \in \Gamma$  and  $S(u) = m_{\mathcal{A}}$ , thus  $u$  satisfies (3.14). Conversely, let  $u$  be the solution of (3.14). Then by Step 2  $u \in \mathcal{A}$ , and  $S(u) = m_\Gamma = m_{\mathcal{A}}$ , hence  $u \in \mathcal{G}$ .  $\square$

**Theorem 3.6.** *Let  $0 < c < 1/4$ ,  $\omega > 0$ , and  $1 < p < 5$ . If  $\varphi$  is a ground state solution of (2.1), then the standing wave  $u(t, x) = e^{i\omega t}\varphi(x)$  is an orbitally stable solution of (1.1), i.e. for all  $\varepsilon > 0$  there is  $\delta > 0$ , such that if  $u(0) \in H_0^1(\mathbb{R}^+)$  satisfies  $\|\varphi - u(0)\|_{H^1} < \delta$ , then the corresponding maximal solution  $u$  of (1.1) satisfies*

$$\sup_{t \in \mathbb{R}} \inf_{\theta \in \mathbb{R}} \|u(t) - e^{i\theta}\varphi\|_{H^1} < \varepsilon.$$

*Proof.* Assume by contradiction that there exist a sequence  $\{\varphi_n\}_{n \in \mathbb{N}} \subset H_0^1(\mathbb{R}^+)$ , a sequence  $\{t_n\}_{n \in \mathbb{N}} \subset \mathbb{R}$ , and  $\varepsilon > 0$ , such that

$$\lim_{n \rightarrow \infty} \|\varphi_n - \varphi\|_{H^1} = 0,$$

and the corresponding maximal solution  $u_n$  of (1.1) with initial value  $\varphi_n$  satisfies

$$\inf_{\theta \in \mathbb{R}} \|u_n(t_n) - e^{i\theta}\varphi\|_{H^1} \geq \varepsilon.$$

Set  $v_n = u_n(t_n)$ . Applying Lemma 3.5, we obtain

$$\lim_{n \rightarrow \infty} \inf_{\varphi \in \mathcal{G}} \|v_n - \varphi\|_{H^1} \geq \varepsilon. \tag{3.17}$$

By the conservation of charge and energy, we obtain

$$\|v_n\|_{L^2}^2 \rightarrow \mu, \text{ and } E(v_n) \rightarrow I.$$

Hence  $\{v_n\}_{n \in \mathbb{N}}$  is a minimizing sequence of (3.1). It follows from Lemma 3.3, that there exists a solution  $u$  of the problem (3.1), such that  $\|v_n - u\|_{H^1} \rightarrow 0$ . By Lemma 3.5 we obtain that  $u \in \mathcal{G}$ , which contradicts (3.17).  $\square$

### 4. Instability

In this section we assume that  $p \geq 5$ . Let us define for  $v \in H_0^1(\mathbb{R}^+)$  the functional

$$Q(v) = \|v'\|_{L^2}^2 - c \left\| \frac{v}{x} \right\|_{L^2}^2 - \frac{p-1}{2(p+1)} \|v\|_{L^{p+1}}^{p+1}.$$

In Lemma 2.2 we have shown that if  $v$  is a solution of (2.1), then  $Q(v) = 0$ . First, we prove the virial identities.

**Proposition 4.1.** *Let  $u_0 \in H_0^1(\mathbb{R}^+)$  be such that  $xu_0 \in L^2(\mathbb{R}^+)$  and  $u$  be the corresponding maximal solution to (1.1). Then  $xu(t) \in L^2(\mathbb{R}^+)$  for any  $t \in (-T_{\min}, T_{\max})$ . Moreover, the following identities hold for all  $v \in H_0^1(\mathbb{R}^+)$ :*

$$\begin{aligned} \frac{\partial}{\partial t} \|xu(t)\|_{L^2}^2 &= 4 \operatorname{Im} \int_0^\infty \bar{u}(t)xu'(t)dx, \\ \frac{\partial^2}{\partial t^2} \|xu(t)\|_{L^2}^2 &= 8Q(u(t)). \end{aligned}$$

*Proof.* The proof follows the same line as in [6].  $\square$

**Proposition 4.2.** *Let  $p \geq 5$  and let  $u_0 \in H_0^1(\mathbb{R}^+)$  be such that*

$$xu_0 \in L^2(\mathbb{R}^+) \text{ and } E(u_0) < 0.$$

*Then the maximal solution  $u$  to (1.1) with initial condition  $u_0$  blows up in finite time.*

*Proof.* First, let us note that

$$Q(u(t)) = 2E(u(t)) + \frac{5-p}{2(p+1)} \|u(t)\|_{L^{p+1}}^{p+1}.$$

Since  $p \geq 5$ , we get by the conservation of the energy that

$$Q(u(t)) \leq 2E(u_0) < 0 \text{ for all } t \in (-T_{\min}, T_{\max}).$$

Hence, Proposition 4.1 implies that

$$\frac{\partial^2}{\partial t^2} \|xu(t)\|_{L^2}^2 \leq 16E(u_0) \text{ for all } t \in (-T_{\min}, T_{\max}).$$

Integrating twice, we get

$$\|xu(t)\|_{L^2}^2 \leq 8E(u_0)t^2 + \left(4 \operatorname{Im} \int_0^\infty \bar{u}_0 x u_0' dx\right) t + \|xu_0\|_{L^2}^2 \quad (4.1)$$

The main coefficient of the second order polynomial on the right hand side is negative. Thus, it is negative for  $|t|$  large, what contradicts with  $\|xu(t)\|_{L^2}^2 \geq 0$  for all  $t$ . Therefore,  $-T_{\min} > -\infty$  and  $T_{\max} < +\infty$ .  $\square$

**Theorem 4.3.** *Assume that  $\omega > 0$  and  $p = 5$ . Then for any solution  $\varphi \in H_0^1(\mathbb{R}^+)$  of (2.1) the standing wave  $e^{i\omega t}\varphi(x)$  is unstable by blow-up.*

*Proof.* Since  $p = 5$ , we have for all  $v \in H_0^1(\mathbb{R}^+)$ , that  $2E(v) = Q(v)$ . Hence from Lemma 2.2 we get that

$$E(\varphi) = 0.$$

Let us define  $\varphi_{n,0} = \left(1 + \frac{1}{n}\right)\varphi$ . It is easy to see that  $E(\varphi_{n,0}) < 0$ . By Lemma 2.1 we know that  $x\varphi_{n,0} \in L^2(\mathbb{R}^+)$ . The conclusion follows from Proposition 4.2.  $\square$

**Theorem 4.4.** *Let  $p > 5$ . Then for any ground state solution  $\varphi$  to (2.1), the corresponding standing wave  $e^{i\omega t}\varphi(x)$  is orbitally unstable.*

We need to prove a series of Lemmas to establish Theorem 4.4.

**Lemma 4.5.** *Let  $v \in H_0^1(\mathbb{R}^+) \setminus \{0\}$  such that  $Q(v) \leq 0$ , and set  $v_\lambda(x) = \lambda^{1/2}v(\lambda x)$  for  $\lambda > 0$ . Then there exists  $\lambda^* \in (0, 1]$  such that the following assertions hold:*

- (1)  $Q(v_{\lambda^*}) = 0$ .
- (2)  $\lambda^* = 1$  if and only if  $Q(v) = 0$ .
- (3)  $\frac{\partial}{\partial \lambda} S(v_\lambda) = \frac{1}{\lambda} Q(v_\lambda)$ .
- (4)  $\frac{\partial}{\partial \lambda} S(v_\lambda) > 0$  for all  $\lambda \in (0, \lambda^*)$ , and  $\frac{\partial}{\partial \lambda} S(v_\lambda) < 0$  for all  $\lambda \in (\lambda^*, +\infty)$ .
- (5) The function  $(\lambda^*, +\infty) \ni \lambda \mapsto S(v_\lambda)$  is concave.

*Proof.* We get that by the scaling properties of  $\lambda \mapsto Q(v_\lambda)$  that

$$Q(v_\lambda) = \lambda^2 \|v'\|_{L^2}^2 - \lambda^2 c \left\| \frac{v}{x} \right\|_{L^2}^2 - \lambda^{\frac{p-1}{2}} \frac{p-1}{2(p+1)} \|v\|_{L^{p+1}}^{p+1}.$$

We get from the Hardy inequality that for  $c \in (0, 1/4)$

$$\begin{aligned} (1-4c)\lambda^2 \|v'\|_{L^2}^2 - \lambda^{\frac{p-1}{2}} \frac{p-1}{2(p+1)} \|v\|_{L^{p+1}}^{p+1} \\ \leq Q(v_\lambda) \leq \lambda^2 \|v'\|_{L^2}^2 - \lambda^{\frac{p-1}{2}} \frac{p-1}{2(p+1)} \|v\|_{L^{p+1}}^{p+1}. \end{aligned}$$

Since  $p > 5$ , there exists  $\lambda \in (0, 1]$  small enough, such that  $Q(v_\lambda) > 0$ . Hence, there exists  $\lambda^* \in (0, 1]$ , such that  $Q(v_{\lambda^*}) = 0$ . This proves (1). To prove (2), we first note that if  $\lambda^* = 1$ , then clearly  $Q(v) = 0$ . Now assume that  $Q(v) = 0$ . Then

$$\begin{aligned} Q(v_\lambda) &= \lambda^2 Q(v) + (\lambda^2 - \lambda^{\frac{p-1}{2}}) \frac{p-1}{2(p+1)} \|v\|_{L^{p+1}}^{p+1} \\ &= (\lambda^2 - \lambda^{\frac{p-1}{2}}) \frac{p-1}{2(p+1)} \|v\|_{L^{p+1}}^{p+1}, \end{aligned}$$

which is positive for all  $\lambda \in (0, 1)$ , since  $p > 5$ . Hence, (2) follows. (3) follows from simple calculation:

$$\begin{aligned} \frac{\partial}{\partial \lambda} S(v_\lambda) &= \lambda \|v'\|_{L^2}^2 - \lambda c \left\| \frac{v}{x} \right\|_{L^2}^2 - \lambda^{\frac{p-1}{2}-1} \frac{p-1}{2(p+1)} \|v\|_{L^{p+1}}^{p+1} \\ &= \frac{1}{\lambda} Q(v_\lambda). \end{aligned}$$

To show (4), we note that

$$Q(v_\lambda) = \frac{\lambda^2}{(\lambda^*)^2} Q(v_{\lambda^*}) + \lambda^2 \left( (\lambda^*)^{\frac{p-5}{2}} - \lambda^{\frac{p-5}{2}} \right) \frac{p-1}{2(p+1)} \|v\|_{L^{p+1}}^{p+1}.$$

Since  $p > 5$  and  $Q(v_{\lambda^*}) = 0$ , we get that  $\lambda > \lambda^*$  implies  $Q(v_\lambda) < 0$ , and  $\lambda < \lambda^*$  implies  $Q(v_\lambda) > 0$ . This and (3), implies (4).

Finally, we get by simple calculation that

$$\frac{\partial^2}{\partial \lambda^2} S(v_\lambda) = \frac{1}{\lambda^2} Q(v_\lambda) - \lambda^{\frac{p-5}{2}} \left( \frac{p-1}{2} - 2 \right) \frac{p-1}{2(p+1)} \|v\|_{L^{p+1}}^{p+1}.$$

Since  $p > 5$ , we obtain for  $\lambda > \lambda^*$  that  $\frac{\partial^2}{\partial \lambda^2} S(v_\lambda) < 0$  which concludes the proof of (5). □

To prove orbital instability we prove a new variational characterization of the ground state. Let us define the following set

$$\mathcal{M} = \{v \in H_0^1(\mathbb{R}^+) \setminus \{0\} : Q(v) = 0, J(v) \leq 0\},$$

and the corresponding minimization problem

$$d = \inf_{W \in \mathcal{M}} S(W).$$

Then we have the following.

**Lemma 4.6.** *The following equality holds:*

$$m = d,$$

where  $m$  is defined by (2.4).

*Proof.* Let  $v \in \mathcal{G}$ . Since  $v$  solves (2.1), by Lemma 2.2 we have that  $Q(v) = J(v) = 0$ , hence  $\mathcal{G} \subset \mathcal{M}$ , and

$$d \leq m.$$

Let now  $v \in \mathcal{M}$ . Assume first, that  $J(v) = 0$ . In this case  $v \in \mathcal{N}$ , and  $m \leq S(v)$ . Let us assume that  $J(v) < 0$ . Then for  $v_\lambda(x) = \lambda^{1/2}v(\lambda x)$  we have

$$J(v_\lambda) = \lambda^2 \|v'\|_{L^2}^2 - \lambda^2 c \left\| \frac{v}{x} \right\|_{L^2}^2 + \omega \|v\|_{L^2}^2 - \lambda^{(p-1)/2} \|v\|_{L^{p+1}}^{p+1},$$

and  $\lim_{\lambda \downarrow 0} J(v_\lambda) > \omega \|v\|_{L^2}^2$ , thus there exists  $\lambda_1 \in (0, 1)$ , such that  $J(v_{\lambda_1}) = 0$ . By Proposition 2.9

$$m \leq S(v_{\lambda_1}).$$

From  $Q(v) = 0$  and Lemma 4.5 we have

$$S(v_{\lambda_1}) \leq S(v),$$

hence  $m \leq S(v)$  for all  $v \in \mathcal{M}$ . Therefore  $m \leq d$ , which concludes the proof.  $\square$

We now define the manifold

$$\mathcal{J} = \{u \in H_0^1(\mathbb{R}^+) \setminus \{0\} : J(u) < 0, Q(u) < 0, S(u) < d\}.$$

We will prove the invariance of  $\mathcal{J}$  under the flow of (1.1).

**Lemma 4.7.** *Let  $u_0 \in \mathcal{J}$  and  $u \in C((-T_{\min}, T_{\max}), H_0^1(\mathbb{R}^+))$  the corresponding solution to (1.1). Then  $u(t) \in \mathcal{J}$  for all  $t \in (-T_{\min}, T_{\max})$ .*

*Proof.* Let  $u_0 \in \mathcal{J}$  and  $u \in C((-T_{\min}, T_{\max}), H_0^1(\mathbb{R}^+))$  the corresponding maximal solution. Since  $S$  is conserved under the flow of (1.1) we have for all  $t \in (-T_{\min}, T_{\max})$  that

$$S(u(t)) = S(u_0) < d.$$

We prove the assertion by contradiction. Suppose that there exists  $t \in (-T_{\min}, T_{\max})$  such that

$$J(u(t)) \geq 0.$$

Then, since  $J$  and  $u$  are continuous, there exists  $t_0 \in (-T_{\min}, T_{\max})$  such that

$$J(u(t_0)) = 0,$$

thus  $u(t_0) \in \mathcal{N}$ . Then by Proposition 2.9 we have that

$$S(u(t_0)) \geq d,$$

which is a contradiction, thus  $J(u(t)) < 0$  for all  $t \in (-T_{\min}, T_{\max})$ . Let us suppose now that for some  $t \in (-T_{\min}, T_{\max})$  we have

$$Q(u(t)) \geq 0.$$

Again, by continuity, there exists  $t_1 \in (-T_{\min}, T_{\max})$  such that

$$Q(u(t_1)) = 0.$$

Hence we that  $Q(u(t_1)) = 0$ , and  $J(u(t_1)) < 0$ . Therefore, by Lemma 4.6

$$S(u(t_1)) \geq d,$$

which is a contradiction. Hence,

$$Q(u(t)) < 0$$

for all  $t \in (-T_{\min}, T_{\max})$ , which concludes the proof.  $\square$

**Lemma 4.8.** *Let  $u_0 \in \mathcal{J}$  and  $u \in C((-T_{\min}, T_{\max}), H_0^1(\mathbb{R}^+))$ . Then there exists  $\varepsilon > 0$  such that  $Q(u(t)) \leq -\varepsilon$  for all  $t \in (-T_{\min}, T_{\max})$ .*

*Proof.* Let  $u_0 \in \mathcal{J}$  and let us define  $v := u(t)$  and  $v_\lambda(x) = \lambda^{1/2}v(\lambda x)$ . By Lemma 4.5, there exists  $\lambda_0 < 1$  such that  $Q(v_{\lambda^*}) = 0$ . If  $J(v_{\lambda^*}) \leq 0$ , then by Lemma 4.7 we get  $S(v_{\lambda^*}) \geq m$ . On the other hand, if  $J(v_{\lambda^*}) > 0$ , there exists  $\lambda_1 \in (\lambda^*, 1)$ , such that  $J(\lambda_1) = 0$  and we replace  $\lambda^*$  with  $\lambda_1$ . In this case, by Lemma 4.6 we get  $S(v_{\lambda^*}) \geq m$ . In conclusion, in both cases we obtain

$$S(v_{\lambda^*}) \geq d. \tag{4.2}$$

By Lemma 4.5 we know that  $\lambda \mapsto S(v_\lambda)$  is concave on  $(\lambda^*, +\infty)$ , thus

$$S(v) - S(v_{\lambda^*}) \geq (1 - \lambda^*) \frac{\partial}{\partial \lambda} S(v_\lambda) \Big|_{\lambda=1}. \tag{4.3}$$

From Lemma 4.5 we have

$$\frac{\partial}{\partial \lambda} S(v_\lambda) \Big|_{\lambda=1} = Q(v). \tag{4.4}$$

Moreover, since  $Q(v) < 0$  and  $\lambda^* \in (0, 1)$ , we have

$$(1 - \lambda^*)Q(v) > Q(v). \tag{4.5}$$

Combining (4.2)–(4.5), we obtain

$$S(v) - d > Q(v).$$

Define  $-\varepsilon = S(v) - d$ . Then  $\varepsilon > 0$ , since  $v \in \mathcal{J}$ . Owing to the conservation of the energy and mass,  $\varepsilon > 0$  is independent from  $t$ , which concludes the proof.  $\square$

**Lemma 4.9.** *Let us take  $u_0 \in \mathcal{J}$  such that  $xu_0 \in L^2(\mathbb{R}^+)$ . Then the maximal solution  $u \in C((-T_{\min}, T_{\max}), H_0^1(\mathbb{R}^+))$  corresponding to the initial value problem (1.1) blows up in finite time.*

*Proof.* From Lemma 4.8 we know that there exists  $\varepsilon > 0$  such that

$$Q(u(t)) < -\varepsilon \text{ for } t \in (-T_{\min}, T_{\max}).$$

From Proposition 4.1 we know that  $\frac{\partial^2}{\partial t^2} \|xu(t)\|_{L^2}^2 = 8Q(u(t))$ , and by integration we get

$$\|xu(t)\|_{L^2}^2 \leq -4\varepsilon t^2 + C_1 t + C_2. \tag{4.6}$$

The right hand side of (4.6) is negative for large  $|t|$ , which contradicts with  $\|xu(t)\|_{L^2}^2 > 0$  for all  $t$ . Therefore,  $T_{\min} > -\infty$  and  $T_{\max} < \infty$  and by local well-posedness it follows that

$$\lim_{t \downarrow -T_{\min}} \|u(t)\|_{H^1} = +\infty, \text{ and } \lim_{t \uparrow T_{\max}} \|u(t)\|_{H^1} = +\infty.$$

□

*Proof of Theorem 4.4.* Let  $\varphi \in \mathcal{G}$ . Owing to Lemma 4.9, it suffices to show that there exists a sequence  $\{\varphi_\lambda\} \subset \mathcal{J}$ , which converges to  $\varphi$  in  $H_0^1(\mathbb{R}^+)$ . Let us put  $\varphi_\lambda(x) = \lambda^{1/2}\varphi(\lambda x)$ . By Lemma 4.5  $\{\varphi_\lambda\} \subset \mathcal{J}$  for all  $\lambda \in (0, 1)$ . Additionally, by Proposition 2.1,  $\varphi$  decays exponentially at infinity, and so does  $\varphi_\lambda$ . Therefore,  $x\varphi_\lambda \in L^2(\mathbb{R}^+)$ . Clearly,  $\varphi_\lambda \rightarrow \varphi$  as  $\lambda \rightarrow 0$ , and by Lemma 4.9 the maximal solution of (1.1) corresponding to  $\varphi_\lambda$ , blows up in finite time for all  $\lambda \in (0, 1)$ . Hence, the conclusion follows. □

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### 5. Appendix

We prove the following Lemma:

**Lemma 5.1.** *Let  $\psi_A(x) = q(x + A) - q(x - A)$ , where  $q$  is (2.6). Then  $\psi_A \in H_0^1(\mathbb{R}^+)$  and for large  $A > 0$ , we have the following approximations:*

$$\int_0^\infty |\psi'_A|^2 dx = \int_{-\infty}^\infty |q'|^2 dx + O\left(\left(2A + \frac{1}{\sqrt{\omega}}\right) e^{-2\sqrt{\omega}A}\right), \tag{5.1}$$

$$\int_0^\infty |\psi_A|^2 dx = \int_{-\infty}^\infty |q|^2 dx + O\left(\left(2A + \frac{1}{\sqrt{\omega}}\right) e^{-2\sqrt{\omega}A}\right), \tag{5.2}$$

$$\int_0^\infty \frac{|\psi_A(x)|^2}{x^2} \lesssim \frac{1}{A^2} \int_{-\infty}^\infty |q|^2 dx + O\left(\frac{1}{A^2} e^{-\sqrt{\omega}A}\right), \tag{5.3}$$

$$\int_0^\infty |\psi_A(x)|^{p+1} dx = \int_{-\infty}^\infty |q|^{p+1} dx + O(e^{-2\sqrt{\omega}A}). \tag{5.4}$$

*Proof.* We will use the fact that  $q(x) \leq Me^{-\sqrt{\omega}|x|}$  and  $q'(x) \leq Me^{-\sqrt{\omega}|x|}$  for some  $M > 0$ .

We get (5.1) by using the symmetry of  $q$  and  $q'$ :

$$\int_0^\infty |\psi'_A|^2 dx = \int_{-\infty}^\infty |q'|^2 dx - \int_{-\infty}^\infty q'(x + A)q'(x - A) dx.$$

We estimate the second term by

$$\begin{aligned} & \left| \int_{-\infty}^\infty q'(x + A)q'(x - A) dx \right| \\ & \lesssim \int_{-\infty}^\infty e^{-\sqrt{\omega}|x+A| - \sqrt{\omega}|x-A|} dx = \left( \left(2A + \frac{1}{\sqrt{\omega}}\right) e^{-2\sqrt{\omega}A} \right), \end{aligned}$$

hence (5.1) follows. We get (5.2) the same way.

We now show (5.3). From Hardy's inequality we get

$$\int_0^{A/2} \frac{|\psi_A|^2}{x^2} dx \leq 4 \int_0^{A/2} |\psi'_A(x)|^2 dx = O(e^{-\sqrt{\omega}A}).$$

Moreover, we have

$$\int_{A/2}^\infty \frac{|\psi_A(x)|^2}{x^2} dx \leq \frac{4}{A^2} \int_{A/2}^\infty |\psi_A|^2 dx = \frac{4}{A^2} \int_{-\infty}^\infty |q|^2 dx + O\left(\frac{1}{A^2} e^{-\sqrt{\omega}A}\right).$$

Hence

$$\begin{aligned} \int_0^\infty \frac{|\psi_A|^2}{x^2} dx &= \int_0^{A/2} \frac{|\psi_A|^2}{x^2} dx + \int_{A/2}^\infty \frac{|\psi_A|^2}{x^2} dx \\ &\leq \frac{4}{A^2} \int_{-\infty}^\infty |q|^2 dx + O\left(\frac{1}{A^2} e^{-\sqrt{\omega}A}\right), \end{aligned}$$

which is the estimate in (5.3).

To show (5.4), we use the fact that

$$|q(x - A) - q(x + A)|^{p+1} = q^{p+1}(x - A) - (p + 1)q^p(x - A)q(x + A) + O(q^2(x + A)).$$



We get

$$\begin{aligned} \int_0^\infty q^{p+1}(x - A)dx &= \int_{-\infty}^\infty q^{p+1}(x)dx - \int_{-\infty}^{-A} q^{p+1}(x)dx \\ &= \int_{-\infty}^\infty q^{p+1}(x)dx + O(e^{-\sqrt{\omega}(p+1)A}), \\ \int_0^\infty q^p(x - A)q(x + A)dx &\lesssim \int_0^\infty e^{-\sqrt{\omega}p|x-A| - \sqrt{\omega}|x+A|} dx = O\left(e^{-2\sqrt{\omega}A}\right), \\ \int_0^\infty O(q^2(x + A))dx &= O(e^{-2\sqrt{\omega}A}). \end{aligned}$$

Hence

$$\int_0^\infty |\psi_A(x)|^{p+1}dx = \int_{-\infty}^\infty |q|^{p+1}dx + O(e^{-2\sqrt{\omega}A}).$$

This concludes the proof. □

We now state the proof of Lemma 2.8. The proof follows the arguments of the paper [11], with some important modifications. We introduce the norm

$$\|u\|^2 = \int_0^\infty \left( |u'|^2 - c\frac{|u|^2}{x^2} + \omega|u|^2 \right) dx,$$

which is equivalent to the standard norm on  $H_0^1(\mathbb{R}^+)$  if  $0 < c < 1/4$ .

*Proof of Lemma 2.8. Step 1.* There exists  $u_0 \in H_0^1(\mathbb{R}^+)$ , such that, up to a subsequence,  $u_n$  is weakly convergent to  $u_0$  in  $H_0^1(\mathbb{R}^+)$ , and  $S'(u_0) = 0$ .

Since  $\{u_n\}_{n \in \mathbb{N}}$  is bounded in  $H_0^1(\mathbb{R}^+)$ , it admits a weakly convergent subsequence in  $H_0^1(\mathbb{R}^+)$  with a weak limit  $u_0 \in H_0^1(\mathbb{R}^+)$ . We only need to show that  $S'(u_0) = 0$ . Since by our assumption  $S'(u_n) \rightarrow 0$ , it suffices to show that for all  $\varphi \in C_0^\infty(\mathbb{R}^+)$  we have

$$S'(u_n)\varphi - S'(u_0)\varphi \rightarrow 0.$$

Indeed, we have

$$\begin{aligned} S'(u_n)\varphi - S'(u_0)\varphi &= \operatorname{Re} \int_0^\infty (u'_n - u'_0)\bar{\varphi}' dx - c \operatorname{Re} \int_0^\infty \frac{(u_n - u_0)\bar{\varphi}}{x^2} dx \\ &\quad + \omega \operatorname{Re} \int_0^\infty (u_n - u_0)\bar{\varphi} dx \\ &\quad - \operatorname{Re} \int_0^\infty (|u_n|^{p-1}u_n - |u_0|^{p-1}u_0)\bar{\varphi} dx. \end{aligned}$$

Since  $u_n \rightharpoonup u_0$  in  $H_0^1(\mathbb{R}^+)$  and strongly in  $L^q_{\text{loc}}(\mathbb{R}^+)$  for all  $q \geq 1$ , our statement follows.

Let us set  $v_n = u_n - u_0$ .

*Step 2.* Assume that

$$\sup_{z \in \mathbb{R}^+} \int_{B_1(z)} |v_n|^2 dx \rightarrow 0, \tag{5.5}$$

where  $B_1(z)$  is the unit ball centered at  $z$ . Then  $u_n \rightarrow u_0$  strongly in  $H_0^1(\mathbb{R}^+)$ , and Lemma 2.8 holds with  $k = 0$ .

Using the fact that  $S'(u_0) = 0$ , we get

$$\begin{aligned} S'(u_n)v_n &= \operatorname{Re} \int_0^\infty u'_n \bar{v}'_n dx - c \operatorname{Re} \int_0^\infty \frac{u_n \bar{v}_n}{x^2} dx + \omega \operatorname{Re} \int_0^\infty u_n \bar{v}_n dx \\ &\quad - \operatorname{Re} \int_0^\infty |u_n|^{p-1} u_n \bar{v}_n dx = \\ &= \|v_n\|^2 + \operatorname{Re} \int_0^\infty (|u_0|^{p-1} u_0 - |u_n|^{p-1} u_n) \bar{v}_n dx. \end{aligned}$$

Hence,

$$\|v_n\|^2 = S'(u_n)v_n + \operatorname{Re} \int_0^\infty (|u_n|^{p-1} u_n - |u_0|^{p-1} u_0) \bar{v}_n dx.$$

We recall that  $S'(u_n) \rightarrow 0$ . Hölder's inequality implies that

$$\left| \int_0^\infty |u_n|^{p-1} u_n v_n dx \right| \leq \|u_n\|_{L^{p+1}}^p \|v_n\|_{L^{p+1}}.$$

Assumption (5.5) and Lemma 1.1 in [16] implies that  $\|v_n\|_{L^{p+1}} \rightarrow 0$ . Hence

$$\operatorname{Re} \int_0^\infty |u_n|^{p-1} u_n \bar{v}_n dx \rightarrow 0.$$

We obtain similarly that  $\operatorname{Re} \int_0^\infty |u_0|^{p-1} u_0 \bar{v}_n dx \rightarrow 0$ , hence  $\|v_n\|^2 \rightarrow 0$ , which completes the proof of Step 2.

*Step 3.* Assume that there exist  $\{z_n\}_{n \in \mathbb{N}} \subset \mathbb{R}^+$  and  $d > 0$ , such that

$$\int_{B_1(z_n)} |v_n|^2 dx \rightarrow d. \tag{5.6}$$

Then, up to a subsequence, we have for  $q \in H^1(\mathbb{R})$ , that (i)  $z_n \rightarrow \infty$ , (ii)  $u_n(\cdot + z_n) \rightharpoonup q \neq 0$  in  $H^1(\mathbb{R})$ , and (iii)  $S^{\infty}'(q) = 0$ .

To show (i), let us assume by contradiction that  $\{z_n\}_{n \in \mathbb{N}}$  has an accumulation point  $z^* \in \mathbb{R}^+$ . Then for a subsequence of  $\{v_n\}_{n \in \mathbb{N}}$  we have

$$\int_{B_2(z^*)} |v_n|^2 dx \geq d.$$

Since  $v_n \rightarrow 0$  in  $H^1_0(\mathbb{R}^+)$ , we have  $v_n \rightarrow 0$  in  $L^2(B_2(z^*))$ , which implies that

$$d \leq \lim_{n \rightarrow \infty} \int_{B_2(z^*)} |v_n|^2 dx = 0,$$

which is a contradiction, hence (i) holds.

Since  $u_n(\cdot + z_n)$  is bounded in  $H^1(\mathbb{R})$  there exists  $q \in H^1(\mathbb{R})$  such that  $u_n(\cdot + z_n)$  converges weakly to  $q$  in  $H^1(\mathbb{R})$ . We only need to show that  $q \neq 0$ . Since  $u_0(\cdot + z_n) \rightarrow 0$  in  $H^1(\mathbb{R})$ , we have that  $v_n(\cdot + z_n) \rightharpoonup q$  in  $H^1(\mathbb{R})$ , and in  $L^2_{\text{loc}}(\mathbb{R})$  in particular. Hence

$$\int_{B_1(0)} |q(x)|^2 dx = \lim_{n \rightarrow \infty} \int_{B_1(0)} |v_n(x + z_n)|^2 dx = \int_{B_1(z_n)} |v_n(y)|^2 dy \geq d > 0.$$

This implies that  $q \neq 0$ .

We finally show (iii). We define  $\tilde{u}(\cdot) = u_n(\cdot + z_n)$ . We obtain, similarly as in Step 1, that for any  $\varphi \in C_0^\infty(\mathbb{R})$ ,

$$S^{\infty'}(\tilde{u}_n)\varphi - S^{\infty'}(q)\varphi \rightarrow 0.$$

It remains to show that  $S^{\infty'}(\tilde{u}_n)\varphi \rightarrow 0$ . For any fixed  $\varphi \in C_0^\infty(\mathbb{R})$ ,  $\varphi(\cdot - z_n)$  is in  $H_0^1(\mathbb{R}^+)$  for sufficiently big  $n \in \mathbb{N}$ . Hence, we obtain

$$\begin{aligned} S'(u_n)\varphi(\cdot - z_n) &= \operatorname{Re} \int_{-z_n}^\infty u'_n(x + z_n)\bar{\varphi}'_n(x)dx - c \operatorname{Re} \int_{-z_n}^\infty \frac{u_n(x + z_n)\bar{\varphi}(x)}{(x + z_n)^2}dx \\ &\quad + \omega \operatorname{Re} \int_{-z_n}^\infty u_n(x + z_n)\bar{\varphi}(x)dx \\ &\quad - \operatorname{Re} \int_{-z_n}^\infty |u_n(x + z_n)|^{p-1}u_n(x + z_n)\bar{\varphi}(x)dx. \end{aligned}$$

Since  $S'(u_n) \rightarrow 0$  and  $\varphi(\cdot - z_n)$  is bounded in  $H^1(\mathbb{R})$ , it follows

$$\begin{aligned} \operatorname{Re} \int_{-z_n}^\infty \tilde{u}'_n(x)\bar{\varphi}'_n(x)dx - c \operatorname{Re} \int_{-z_n}^\infty \frac{\tilde{u}_n(x)\bar{\varphi}(x)}{(x + z_n)^2}dx \\ + \omega \operatorname{Re} \int_{-z_n}^\infty \tilde{u}_n(x)\bar{\varphi}(x)dx - \operatorname{Re} \int_{-z_n}^\infty |\tilde{u}_n(x)|^{p-1}\tilde{u}_n(x)\bar{\varphi}(x)dx \rightarrow 0. \end{aligned}$$

Moreover, since  $u_n$  is bounded in  $L^\infty$ , and  $\varphi$  is compactly supported, we get

$$\begin{aligned} \left| \operatorname{Re} \int_{-z_n}^\infty \frac{\tilde{u}_n(x)\bar{\varphi}(x)}{(x + z_n)^2}dx \right| \\ = \left| \operatorname{Re} \int_0^\infty \frac{u_n(x)\bar{\varphi}(x - z_n)}{x^2}dx \right| \leq \frac{1}{(z_n - \inf\{\operatorname{supp}(\varphi)\})^2} \|u_n\varphi\|_{L^\infty} \rightarrow 0, \end{aligned}$$

Thus

$$\begin{aligned} S^{\infty'}(\tilde{u}_n)\varphi &= \operatorname{Re} \int_{-\infty}^\infty \tilde{u}'_n(x)\bar{\varphi}'_n(x)dx + \omega \operatorname{Re} \int_{-\infty}^\infty \tilde{u}_n(x)\bar{\varphi}(x)dx \\ &\quad - \operatorname{Re} \int_{-\infty}^\infty |\tilde{u}_n(x)|^{p-1}\tilde{u}_n(x)\bar{\varphi}(x)dx \rightarrow 0, \end{aligned}$$

which concludes the proof of Step 3.

*Step 4.* Suppose there exist  $k \geq 1$ ,  $\{x_n^i\} \subset \mathbb{R}^+$ ,  $q_i \in H^1(\mathbb{R})$  for  $1 \leq i \leq k$ , such that

$$\begin{aligned} x_n^i &\rightarrow \infty, \quad |x_n^i - x_n^j| \rightarrow \infty \text{ if } i \neq j, \\ u_n(\cdot + x_n^i) &\rightarrow q_i \neq 0, \text{ for all } 1 \leq i \leq k, \\ S^{\infty'}(q_i) &= 0. \end{aligned}$$

Then

(1) If  $\sup_{z \in \mathbb{R}^+} \int_{B_1(z)} |u_n - u_0 - \sum_{i=1}^k q_i(\cdot - x_n^i)|^2 dx \rightarrow 0$  then

$$\left\| u_n - u_0 - \sum_{i=1}^k q_i(\cdot - x_n^i) \right\|_{H^1} \rightarrow 0.$$

(2) If there exist  $\{z_n\} \subset \mathbb{R}^+$  and  $d > 0$ , such that

$$\int_{B_1(z_n)} \left| u_n - u_0 - \sum_{i=1}^k q_i(\cdot - x_n^i) \right|^2 dx \rightarrow d,$$

then, up to a subsequence, it follows that

- (i)  $z_n \rightarrow \infty$ , and  $|z_n - x_n^i| \rightarrow \infty$  for all  $1 \leq i \leq k$ ,
- (ii)  $u_n(\cdot + z_n) \rightharpoonup q_{i+1}$  (iii)  $S^{\infty'}(q_{i+1}) = 0$ .

Suppose assumption (1) holds. We introduce  $\xi_n = u_n - u_0 - \sum_{i=1}^k q_i^a(\cdot - x_n^i)$ , where  $q_i^a$  is a suitable cut-off of  $q_i$ , such that  $\text{supp}(q_i^a) \subset (0, \infty)$ . This is possible owing to the exponential decay of  $q_i$  at infinity, and  $x_n^i \rightarrow \infty$  as  $n \rightarrow \infty$  for all  $i$ . We get

$$\begin{aligned} S'(u_n)\xi_n &= \text{Re} \int_0^\infty u'_n \bar{\xi}'_n dx - c \text{Re} \int_0^\infty \frac{u_n \bar{\xi}_n}{x^2} dx + \omega \text{Re} \int_0^\infty u_n \bar{\xi}_n dx \\ &\quad - \text{Re} \int_0^\infty |u_n|^{p-1} u_n \bar{\xi}_n dx \\ &= \|\xi_n\|^2 + \text{Re} \int_0^\infty (u'_0 + \sum_{i=1}^k q_i^{a'}(\cdot - x_n^i)) \bar{\xi}'_n dx \\ &\quad + \text{Re} \int_0^\infty \left( \omega - \frac{c}{x^2} \right) \left( u_0 + \sum_{i=1}^k q_i^a(\cdot - x_n^i) \right) \bar{\xi}_n dx \\ &\quad - \text{Re} \int_0^\infty |u_n|^{p-1} u_n \bar{\xi}_n dx. \end{aligned}$$

Since  $S'(u_0)\xi_n = 0$ , we get

$$\begin{aligned} S'(u_n)\xi_n &= \|\xi_n\|^2 + \text{Re} \int_0^\infty (|u_0|^{p-1} u_0 - |u_n|^{p-1} u_n) \bar{\xi}_n dx \\ &\quad + \text{Re} \int_0^\infty \sum_{i=1}^k q_i^{a'}(\cdot - x_n^i) \bar{\xi}'_n dx \\ &\quad + \text{Re} \int_0^\infty \left( \omega - \frac{c}{x^2} \right) \sum_{i=1}^k q_i^a(\cdot - x_n^i) \bar{\xi}_n dx. \end{aligned}$$

Using the fact that  $\|\xi_n\|_{L^{p+1}} \rightarrow 0$  by Lemma 1.1 in [16], we get that the second term of the right hand side converges to zero. Now, from the weak convergence of  $\xi_n$  to zero and that  $S'(u_n) \rightarrow 0$ , we obtain that  $\|\xi_n\| \rightarrow 0$ .

Suppose now that assumption (2) holds. Then (i) and (ii) follows as in Step 3. To show (ii), let us set  $\tilde{u}_n = u_n(\cdot + z_n)$ . We note that

$$S^{\infty'}(\tilde{u}_n)\varphi - S^{\infty'}(q)\varphi \rightarrow 0,$$

for all  $\varphi \in C_0^\infty(\mathbb{R})$ . Now  $S^{\infty'}(\tilde{u}_n) \rightarrow 0$  follows similarly as in Step 3, which concludes the proof.

*Step 5. Conclusion* By Step 1 we know that  $u_n \rightharpoonup u_0$  and  $S'(u_0) = 0$ . Hence (i) of Lemma 2.8 is verified. If the assumption of Step 2 holds, then Lemma 2.8

is true with  $k = 0$ . Otherwise, the assumption of Step 3 holds. We have to iterate Step 4. We only need to show that assumption 1 of Step 4 occurs after a finite number of iterations. Let us notice that

$$\begin{aligned} & \left\| u_n - u_0 - \sum_{i=1}^k q_i(\cdot - x_i^n) \right\|_{H^1}^2 \\ &= \|u_n\|_{H^1}^2 + \|u_0\|_{H^1}^2 + \sum_{i=1}^k \|q_i\|_{H^1}^2 - 2 \left\langle u_n, u_0 + \sum_{i=1}^k q_i(\cdot - x_i^n) \right\rangle_{H^1}. \end{aligned}$$

Moreover, since  $u_n \rightharpoonup u_0$  and  $u_n(\cdot + x_i^n) \rightharpoonup q_i$ , we get for the last term that

$$\left\langle u_n, u_0 + \sum_{i=1}^k q_i(\cdot - x_i^n) \right\rangle_{H^1} \rightarrow \|u_0\|_{H^1}^2 + \sum_{i=1}^k \|q_i\|_{H^1}^2,$$

Now since  $u_n$  converges weakly to  $u_0$ , we obtain for  $k \geq 1$  that

$$\lim_{n \rightarrow \infty} \|u_n\|_{H^1}^2 - \|u_0\|_{H^1}^2 - \sum_{i=1}^k \|q_i\|_{H^1}^2 = \lim_{n \rightarrow \infty} \left\| u_n - u_0 - \sum_{i=1}^k q_i(\cdot - x_i^n) \right\|_{H^1}^2 \geq 0.$$

Since  $q_i$  is a nontrivial critical point of  $S^\infty$ , it is true that  $\|q_i\|_{H^1} \geq \epsilon > 0$ . Hence, after a finite number of iterations assumption 1 of Step 4 must occur.

Finally, we have to verify that

$$S(u_n) \rightarrow S(u_0) + \sum_{i=1}^k S^\infty(q_i).$$

We first show that

$$S(u_n) \rightarrow S(u_0) + S^\infty(v_n). \tag{5.7}$$

A straightforward calculation gives

$$\begin{aligned} S(u_n) &= S(u_0) + S^\infty(v_n) + \operatorname{Re} \int_0^\infty u'_0(\bar{u}'_n - \bar{u}'_0) dx - c \operatorname{Re} \int_0^\infty \frac{u_0(\bar{u}_n - \bar{u}_0)}{x^2} dx \\ &\quad + \omega \operatorname{Re} \int_0^\infty u_0(\bar{u}_n - \bar{u}_0) dx - \frac{c}{2} \int_0^\infty \frac{|u_n - u_0|^2}{x^2} dx \\ &\quad + \frac{1}{p+1} \left( \|u_n - u_0\|_{L^{p+1}}^{p+1} - \|u_n\|_{L^{p+1}}^{p+1} + \|u_n\|_{L^{p+1}}^{p+1} \right) \end{aligned}$$

From a lemma by Brezis and Lieb (see e.g. Lemme 4.6 [12]) we have

$$\int_0^\infty |u_n - u_0|^{p+1} dx - \int_0^\infty |u_n|^{p+1} dx + \int_0^\infty |u_0|^{p+1} dx \rightarrow 0.$$

Hence (5.7) follows. It only remains to show that

$$S^\infty(v_n) \rightarrow \sum_{i=1}^k S^\infty(q_i).$$

We calculate

$$\begin{aligned} S(v_n) &= \frac{1}{2} \left\| v_n - \sum_{i=1}^k q_i(\cdot - x_i^n) \right\|_{H^1}^2 + \frac{1}{2} \left\| \sum_{i=1}^k q_i(\cdot - x_i^n) \right\|_{H^1}^2 \\ &\quad + \left\langle v_n - \sum_{i=1}^k q_i(\cdot - x_i^n), \sum_{i=1}^k q_i(\cdot - x_i^n) \right\rangle_{H^1} - \frac{1}{p+1} \left\| \sum_{i=1}^k q_i(\cdot - x_i^n) \right\|_{L^{p+1}}^{p+1} \\ &\quad - \frac{1}{p+1} \|v_n\|_{L^{p+1}}^{p+1} + \frac{1}{p+1} \left\| \sum_{i=1}^k q_i(\cdot - x_i^n) \right\|_{L^{p+1}}^{p+1}. \end{aligned}$$

We have shown that  $v_n - \sum_{i=1}^k q_i(\cdot - x_i^n) \rightarrow 0$  strongly in  $H^1$ . Hence the first and third term above converges to zero as  $n \rightarrow \infty$ . By using Sobolev's inequality and  $\|A - B\| \geq \|\|A\| - \|B\|\|$  we have

$$\left\| \sum_{i=1}^k q_i(\cdot - x_i^n) \right\|_{L^{p+1}}^{p+1} - \|v_n\|_{L^{p+1}}^{p+1} \rightarrow 0,$$

which concludes the proof.  $\square$

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