

Maximally non-integrable almost complex structures: an *h*-principle and cohomological properties

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Abstract

We study almost complex structures with lower bounds on the rank of the Nijenhuis tensor. Namely, we show that they satisfy an *h*-principle. As a consequence, all parallelizable manifolds and all manifolds of dimension $2n \ge 10$ (respectively ≥ 6) admit a almost complex structure whose Nijenhuis tensor has maximal rank everywhere (resp. is nowhere trivial). For closed 4-manifolds, the existence of such structures is characterized in terms of topological invariants. Moreover, we show that the Dolbeault cohomology of non-integrable almost complex structures is often infinite dimensional (even on compact manifolds).

Keywords Almost complex structures \cdot Maximally non-integrable \cdot *h*-principle \cdot Dolbeault cohomology \cdot Frölicher spectral sequence

Mathematics Subject Classification 32Q60 · 53C15

1 Introduction

A basic local invariant of an almost complex structure J on a manifold X is its Nijenhuis tensor or, equivalently, the (-1, 2)-component of the exterior differential:

$$N_J := \mathrm{pr}^{0,2} \circ d \colon A_X^{1,0} \longrightarrow A_X^{0,2}.$$

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² Mathematisches Institut, Ludwig-Maximilians-Universität München, Theresienstr. 39, 80333 München, Germany Therefore almost complex manifolds can be stratified by the pointwise rank of N_J . By the celebrated theorem of Newlander-Nirenberg, J is integrable if and only if $N_J \equiv 0$. Manifolds equipped with integrable almost complex structures constitute an extremely interesting class and their properties are largely studied. It seems then natural to ask in which terms one can describe the other extremes or whether they satisfy similar properties.

Motivated by these questions in this paper we begin to investigate the two arguably most natural cases. Namely, we focus on the spaces of almost complex structures Jfor which N_J has maximal rank at every point and for which N_J is non-trivial at every point. Almost complex structures of the former type are said to be maximally non-integrable while those of the latter type are called everywhere non-integrable. Prominent examples of maximally non-integrable almost complex structures arise from (strictly) nearly Kähler six manifolds (like S^6) [3, 14, 15] and Twistor spaces [4, 5]. In [12], it was proven that in dimension ≥ 6 maximally non-integrable almost complex manifolds do not admit any local holomorphic functions. Constant (and in particular maximal) rank conditions on N_J also appear naturally in the study of the recently introduced Dolbeault cohomology of almost complex manifolds [6]. For example, the Frölicher spectral sequence degenerates at E_2 for maximally nonintegrable almost complex 4- and 6-manifolds. In the present article, we will focus on two aspects: existence and cohomological properties.

1.1 Existence

Since a generic matrix has maximal rank, one may be tempted to think that any almost complex structure can be deformed into a maximally non-integrable one. However, the existence of a full-rank bundle map from $A_X^{1,0}$ to $A_X^{0,2}$ gives a necessary condition for a homotopy class of almost complex structures to contain maximally non-integrable representatives. Using Gromov's *h*-principle for ample differential relations, we show that this is indeed the only obstruction. In fact, we prove something more. Let us write \mathcal{H} for the space of pairs (J, N) where J is an almost complex structure on X and N is a global section of the bundle $\operatorname{Hom}_{\mathbb{C}}(A_X^{1,0}, A_X^{0,2})$ (where the bigrading is taken according to J). Then the first result of this paper is the following:

Theorem A For any manifold X and any integer r, sending an almost complex structure J to its Nijenhuis tensor N_J induces a homotopy equivalence

 $\{J \mid \operatorname{rank}_{\mathbb{C}}(N_J)_x \ge r \; \forall x \in X\} \longrightarrow \{(J, N) \in \mathcal{H} \mid \operatorname{rank}_{\mathbb{C}}(N_x) \ge r \; \forall x \in X\}.$

Therefore, if the dimension of the manifold X is sufficiently large, the existence of maximally non-integrable or everywhere non-integrable structures in a given homotopy class is not obstructed. In fact a transversality argument yields:

Corollary A.1 In dimension $2n \ge 10$ (resp. ≥ 6) any almost complex structure on a 2*n*-dimensional manifold is homotopic to a maximally non-integrable one (resp. to an everywhere non-integrable one).

The existence of maximally non-integrable structures is not guaranteed in lower dimensions. In fact, it imposes non-trivial topological conditions on the manifold.

In particular, in dimension 4 the Nijenhuis tensor has complex rank 0 or 1 at every point, thus everywhere non-integrability and maximal non-integrability are equivalent conditions. In this case it turns out that the existence of such structures is a purely topological question:

Corollary A.2 On a compact oriented 4-manifold X, the following conditions are equivalent:

- (a) X admits a maximally non-integrable almost complex structure inducing its orientation.
- (b) X admits an almost complex structure inducing its orientation and satisfies $5\chi + 6\sigma = 0$.
- (c) X satisfies $5\chi + 6\sigma = 0$, $\sigma \equiv 0 \pmod{4}$ and if its intersection form is definite, then $b_+ = 0$.

Moreover, if the above conditions are satisfied, any almost complex structure inducing the given orientation is homotopic to a maximally non-integrable almost complex structure.

Also in dimension 6 there is a topological characterization of the existence of maximally non-integrable complex structures:

Corollary A.3 Let X be an oriented 6-manifold.

- (1) After possibly passing to a finite cover, any almost complex structure on X with $3c_1 = 0$ (or more generally c_1 torsion) is homotopic to a maximally non-integrable one.
- (2) X admits a maximally non-integrable almost complex structure if and only if it admits a spin-structure.

The second statement should be compared to the result of Wall [16, Thm 9] that X admits an almost complex structure if and only if it admits a spin^{*c*}-structure.

Regardless of the dimension, we find that parallelizable manifolds of even dimension always admit maximally non-integrable almost complex structures. In fact:

Corollary A.4 On a parallelizable manifold of dimension 2n, any almost complex structure for which the tangent bundle is trivial as a complex vector bundle is homotopic to a maximally non-integrable almost complex structure.

This corollary applies in particular to left-invariant almost complex structures on homogeneous manifolds. We complement these results with several examples in the main body of the text.

1.2 Cohomological properties

Cirici and Wilson [6] proposed a generalization of the Frölicher spectral sequence to the setting of almost complex manifolds. In particular, they defined bigraded vector spaces $H_{Dol}^{\bullet,\bullet}(X)$ generalizing Dolbeault cohomology. This generalization satisfies some desirable properties. Namely, for X compact, the pages of the Frölicher spectral sequence have to become finite-dimensional at some stage because it converges to de Rham cohomology. Moreover, the spaces $H_{Dol}^{p,0}(X)$ and $H_{Dol}^{p,n}(X)$ are known to be finite-dimensional for all p, where X is 2n-dimensional. The analogous result is known to hold for all bidegrees in the integrable setting. In view of this, it is a natural question whether finite dimensionality holds for all $H_{Dol}^{p,q}(X)$. We answer this in the negative and show that the Dolbeault cohomology will very often be infinite dimensional:

Theorem B Let (X, J) be an almost complex 4 or 6-manifold (not necessarily compact) such that J is maximally non-integrable at a point $x \in X$. Then $H_{Dol}(X)$ is infinite dimensional.

In particular, this Theorem applies to S^6 with the almost complex structure coming from octonian multiplication and any other nearly Kähler 6-manifold. By taking products, one obtains examples of almost complex manifolds of arbitrary dimension $2n \ge 4$ with infinite dimensional Dolbeault cohomology. Since on an almost complex 4-manifold N_J has rank 0 or 1, the result above specializes to the 4-dimensional case as:

Corollary B.1 Let (X, J) be an almost complex compact 4-manifold. Then:

$$\dim H_{Dol}(X, J) < \infty \iff J \text{ is integrable.}$$

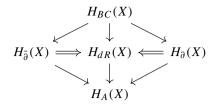
The next two Corollaries were pointed out to us by Joana Cirici. As mentioned before, in [6], it was shown that all differentials in the Frölicher spectral sequence of maximally non-integrable structures on 4- and 6-manifolds vanish from page 2 onwards. Since de Rham cohomology is finite dimensional for compact manifolds, one may complement this as follows.

Corollary B.2 The Frölicher spectral sequence of a compact 4 or 6-dimensional maximally non-integrable almost complex manifold degenerates exactly at page 2 (and not at page 1).

Note that there are examples of nilmanifolds with maximally non-integrable leftinvariant almost complex structures for which the left-invariant Frölicher spectral sequence does degenerate at E_1 , see [6]. Further, recall that for nilmanifolds with a left-invariant (integrable) complex structure, it is conjectured that the Dolbeault cohomology can be computed using left-invariant forms. Because left-invariant cohomology is finite-dimensional, the analogous statement in the non-integrable case fails drastically.

Corollary B.3 On 4- or 6-dimensional nilmanifolds with left-invariant maximally non-integrable almost complex structures, the Dolbeault cohomology can never be computed using left-invariant forms only.

Finally, recall that on any complex manifold, there exist the Bott-Chern and Aeppli cohomology groups, which fit into the diagram



where horizontal arrows represent spectral sequences and all maps are induced by the identity on forms. The work [6] provides a generalization of the horizontal strip of the diagram to the almost complex setting. In Appendix A, we define metric-independent generalizations for H_{BC} and H_A of general almost complex manifolds that maintain many desirable properties from the integrable setting, complementing [6] and recent harmonic definitions in [13]. Like Dolbeault cohomology, they can be infinite dimensional on compact manifolds.

1.3 Structure of the paper

In Sect. 2 we discuss existence of maximally non-integrable and everywhere nonintegrable almost complex structures. In particular, in Sect. 2.1 we introduce the notation and draw some necessary topological conditions for low dimensional manifolds. The proof of Theorem A is carried out in Sect. 2.2 while Sect. 2.3 contains the proofs of its corollaries and further consequences. In the last part of Sect. 2 we present some explicit constructions of maximally non-integrable and, more generally, constant rank almost complex structures.

Section 3 focuses on the cohomological properties of maximally non-integrable almost complex structures. Namely, it contains the proof of Theorem B as well as explicit examples of this instance.

In the last part of the paper, Appendix A, we define metric-independent generalizations for Bott-Chern and Aeppli cohomology. Moreover, we discuss some of their properties and infinite dimensionality.

2 Existence

2.1 Maximally non-integrable almost complex manifolds

We begin by recalling some known facts on almost complex structures for future reference and to set the notation. Let *X* be a manifold of dimension 2n and suppose *J* is an almost complex structure on *X*. Denote by $T^{1,0}X$ and $T^{0,1}X$ the *i* and -i eigenspaces of *J* in the complexified tangent bundle $TX \otimes \mathbb{C}$. The dual bundles will be denoted by $A_X^{1,0}$, resp. $A_X^{0,1}$ and we write $A_X^{p,q} := \Lambda^p A_X^{1,0} \otimes \Lambda^q A_X^{0,1}$ for the bundle of (p, q)-forms. The space of sections will be denoted by $A_X^{p,q} := \Gamma(X, A_X^{p,q})$. The Nijenhuis tensor of *J* can be defined both as the tensor $N_J : TX \otimes TX \longrightarrow TX$ given by

$$N_J(X, Y) = [X, Y] - [JX, JY] + J([JX, Y] + [X, JY])$$
(1)

and as the map $\bar{\mu}_J \colon A_X^{1,0} \longrightarrow A_X^{0,2}$ given by (-1, 2)-component of the exterior derivative, that is,

$$\bar{\mu}_J(\alpha) = \mathrm{pr}^{0,2} \circ \mathrm{d}(\alpha), \tag{2}$$

where $pr^{0,2}: A_X^2 \longrightarrow A_X^{0,2}$. If we restrict the argument of (the complexification of) N_J to (0, 1)-vectors, one may consider N_J as a global section of $A_X^{0,2} \otimes T^{1,0}X = \text{Hom}(A_X^{1,0}, A_X^{0,2})$. Under this identification, $N_J = 4\bar{\mu}_J$. We will switch freely between both descriptions.

As explained in the introduction we focus on almost complex structures whose Nijenhuis tensor satisfies certain properties. We can now define the main object of our interest.

Definition 1 An almost complex structure *J* on a manifold *X* is called maximally non-integrable if its Nijenhuis tensor N_J or, equivalently, $\bar{\mu}_J$ has maximal rank at all $x \in X$.

Moreover, we will be interested in another class of almost complex structures.

Definition 2 An almost complex structure J on a manifold X is called everywhere non-integrable if its Nijenhuis tensor N_J or, equivalently, $\overline{\mu}_J$ is non-trivial at all $x \in X$.

A simple dimension count yields $\operatorname{rank}_{\mathbb{C}} A_X^{1,0} = n$ and $\operatorname{rank}_{\mathbb{C}} A_X^{0,2} = {n \choose 2}$. Therefore we have the following cases:

$$J \text{ is maximally non-integrable} \iff \begin{cases} \bar{\mu}_J \text{ is surjective} & \text{if } n = 2\\ \bar{\mu}_J \text{ is an isomorphism} & \text{if } n = 3\\ \bar{\mu}_J \text{ is injective} & \text{if } n \ge 4 \end{cases}$$
(3)

For maximally non-integrable structures, we get an exact sequence of vector bundles

$$0 \longrightarrow \ker \bar{\mu} \longrightarrow A_X^{1,0} \longrightarrow A_X^{0,2} \longrightarrow \operatorname{coker} \bar{\mu} \longrightarrow 0$$

which yields the following obstructions on the Chern classes of J:

Corollary 3 Let (X, J) be a maximally non-integrable almost complex manifold of dimension 2n. Then the following holds:

$$\begin{cases} 5\chi + 6\sigma = 0 & \text{if } n = 2\\ 3c_1 = c_1^2 = c_1c_2 = 0 & \text{if } n = 3\\ c_3 + 8c_1^3 + c_1c_2 = c_4 - c_1c_3 + c_1^2c_2 = 0 & \text{if } n = 4 \end{cases}$$

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The cases n = 2 and n = 3 are due to Armstrong [1] and Bryant [3] respectively. Notice that the necessary conditions for 8-manifolds impose topological constraints. In fact, substituting $c_1c_2 = -c_3 - 8c_1^3$ in the last condition we get

$$c_4 = 2c_1c_3 + 8c_1^4$$
.

Therefore the Euler characteristic χ of a maximally non-integrable almost complex 8-manifold must be even. Moreover, substituting the same equality in the signature formula

$$\sigma = \frac{1}{45}(3c_2^2 - 14c_1c_3 + 14c_4 - c_1^4 + 4c_1^2c_2)$$

yields $3|\chi$. We conclude that the Euler characteristic of a maximally non-integrable almost complex 8-manifold is divisible by 6. For $n \ge 5$, the rank of the cokernel of $\bar{\mu}$ becomes very large and one does not obtain any constraints on the Chern classes.

2.2 Proof of theorem A

2.2.1 The formal Nijenhuis tensor

Let *X* be a 2n-dimensional smooth manifold. We denote by

$$Z := \{J \in \operatorname{End}(TX) \mid J^2 = -1\} \xrightarrow{\pi} X,$$

the (metric independent) twistor space of *X*. Over *Z*, we have the bundles $V := \ker(D\pi)$ and $T := \pi^*(TX) \xrightarrow{p} Z$. Both come equipped with tautological complex structures $\tilde{\mathcal{J}}$ and \mathcal{J} , respectively, defined as follows. Given a point $J_x \in Z$, $x \in X$, the fiber of *V* over J_x can be described as

$$V_{J_x} = \{A \in \operatorname{End}(T_x X) \mid J_x A + A J_x = 0\}$$

and we set

$$\tilde{\mathcal{J}}|_{V_{J_x}}(A) := J_x \circ A,$$

whereas $T_{J_x} \cong T_x X$ and \mathcal{J} is given by

$$\mathcal{J}|_{T_{J_x}} := J_x.$$

With the complex structure \mathcal{J} comes a splitting of the complexification $T_{\mathbb{C}}$ of T into complex bundles given as usual by the $\pm i$ eigenvalues of \mathcal{J} :

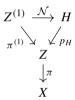
$$T_{\mathbb{C}} = T^{1,0} \oplus T^{0,1}.$$

Writing $A^{p,q}$ for the duals of the bundles $\Lambda^p T^{1,0} \otimes \Lambda^q T^{0,1}$, we now define

$$H := A^{0,2} \otimes_{\mathbb{C}} T^{1,0}, \tag{4}$$

the bundle of *linear Nijenhuis tensors*. Note that for a given local section $J : U \subset X \to Z$ of Z, the splitting of $T_{\mathbb{C}}U$ induced by J is compatible with that of T in the sense that there is a natural bundle embedding of $T_{\mathbb{C}}U$ into the restriction of $T_{\mathbb{C}}$ to the image of J in Z. Moreover, the Nijenhuis tensor N_J of J is naturally a local section of the pull-back bundle $J^*(H)$.

Denote by $Z^{(1)}$ the space of 1-jets of the fibration $\pi : Z \to X$. Recall that $\pi^{(1)} : Z^{(1)} \to Z$ has the structure of an affine bundle. We will now define a bundle map $\mathcal{N} : Z^{(1)} \to H$. Fix $x \in X$ and $J_x \in Z_x$. A point in $Z^{(1)}$ over J_x consists of the 1-jet j of an almost complex structure whose value at x equals J_x . Picking an extension J for J_x with 1-jet j, put $\mathcal{N}(j) = N_J$, the Nijenhuis tensor of J. Since the Nijenhuis tensor of J depends only on J up to first order, \mathcal{N} is a well-defined bundle map. This setup is summarized in the following diagram:



Let us describe N restricted to a fixed fiber over $J_x \in Z$ more explicitly. We note that the Lie-bracket induces a map

$$TX^{(1)} \times TX^{(1)} \longrightarrow TX$$
 (5)

and the action of endomorphisms on the tangent bundle induces a map

$$\operatorname{End}_{\mathbb{R}}(TX)^{(1)} \times TX^{(1)} \longrightarrow TX^{(1)}$$
(6)

If we only care about \mathcal{N} at a fiber, we may assume without loss of generality that X is a small ball, s.t. TX is trivial. Recall that as soon as one has a trivial fibration pr : $V := X \times F \to X$, the fiber of the 1-jet space $V^{(1)} \to X$ over $x \in X$ may be canonically identified with the vector bundle $\operatorname{Hom}_{\mathbb{R}}(\operatorname{pr}^{-1}T_{x}X, TF)$. Using this identification, we write elements $v \in TX_{x}^{(1)}$ as (v_{0}, v_{1}) with $v_{0} \in T_{x}X$ and $v_{1} = v_{1}(_) \in \operatorname{Hom}_{\mathbb{R}}(T_{x}X, T_{x}X)$. Similarly, we write elements in $A \in \operatorname{End}_{\mathbb{R}}(TX)_{x}^{(1)}$ as $A = (A_{0}, A_{1})$ with $A_{0} \in \operatorname{End}_{\mathbb{R}}(T_{x}X)$ for some $x \in X$ and $A_{1} := A_{1}(_) \in \operatorname{Hom}_{\mathbb{R}}(T_{x}X, \operatorname{End}(T_{x}X))$ (in particular, this applies to $A \in Z^{(1)}$). With these identifications, we may write (5) and (6) as

$$[v, w] = w_1(v_0) - v_0(w_1) \tag{7}$$

and

$$A(v) = (A_0(v_0), A_1(_)(v_0) + A_0 \circ v_1(_))$$
(8)

Using these formulae, one verifies that for any $(J_x, \lambda) \in Z_{J_x}^{(1)}$ where $\lambda \in \text{Hom}_{\mathbb{R}}(T_x X, V_{J_x})$, and $v, w \in T_{J_x}^{0,1}$ one has

$$\mathcal{N}(J_x,\lambda)(v,w) = i(\lambda(v)(w) - \lambda(w)(v)) + J_x(\lambda(v)(w) - \lambda(w)(v)),$$

where λ is extended \mathbb{C} -linearly. The space V_{J_x} is equipped with the complex structure $\tilde{\mathcal{J}}$ introduced above and therefore so is $Z_{J_x}^{(1)} = \{J_x\} \times \operatorname{Hom}_{\mathbb{R}}(T_xX, V_{J_x})$, by post-composition. With respect to this complex structure, \mathcal{N} is complex linear. In fact:

$$i\mathcal{N}(J_x,\lambda)(v,w) = (-1)(\lambda(v)(w) + i\lambda(w)(v)) + i(J_x\lambda(v)(w) - J_x\lambda(w)(v))$$
$$= \mathcal{N}(j,\tilde{\mathcal{J}}\lambda)(v,w)$$

we conclude:

Lemma 4 The restriction of \mathcal{N} to the fibers of $\pi^{(1)}$ is a holomorphic map.

Remark 5 The vertical tangent bundle ker $D\pi^{(1)}$ can be identified with $(\pi^{(1)})^*$ Hom (π^*TX, V) . The complex structure it inherits from \tilde{J} by post-composition coincides with the one described above on the fibers of the bundle $\pi^{(1)}$ (after identifying the fibers, which are affine spaces, with their tangent space at any point). I.e., the fiberwise complex structure is independent of the choice of a local trivialization.

2.2.2 The h-principle

On *H* we define the sub-bundles

$$H^{\geq i} = \{\lambda \in H \mid \operatorname{rank}(\lambda) \geq i\} \subseteq H.$$

We are interested in the (open) partial differential relations

$$\mathcal{R}^{\geq i} := \mathcal{N}^{-1}(H^{\geq i}) \subseteq Z^{(1)}.$$

We will show that these partial differential relations are *ample*, which will imply the result according to [9], see also [7]. Let $F := Z_{J_x}^{(1)}$ be any fiber of $\pi^{(1)}$. Recall that to show ampleness of a partial differential relation which sits in a space of 1-jets, it is enough to show that the complement of its intersection with any principal subspace is a stratified set of even (real) codimension. We refer to [7] for details and definitions and only spell out what these notions mean in our context:

One may identify *F* with the vector space $\operatorname{Hom}_{\mathbb{R}}(T_x X, V_{J_x})$. Given any hyperplane $\tau \in T_x X$ and a linear map $l : \tau \to V_{J_x}$ the affine subspace

$$F_{\tau}^{l} := \{ L \in F \mid L|_{\tau} = l \} \subseteq F$$

is called a principal subspace of F. The tangent space to F at any point is again $\operatorname{Hom}_{\mathbb{R}}(T_xX, V_{J_x})$ and the tangent space to F_{τ}^l is F_{τ}^0 , which is $\tilde{\mathcal{J}}$ -stable. In particular, F_{τ}^l is a complex submanifold of F. Therefore, \mathcal{N} restricts to a holomorphic map on F_{τ}^l and $\mathcal{N}^{-1}(H \setminus \tilde{H}) \cap F_{\tau}^l$ is a complex analytic subset of F, so it has even (real) codimension. Denoting by $\Gamma(X, Z)^{\geq i}$ the set of sections $J : X \to Z$ with rk $N_J \geq i$ and by $\Gamma(X, Z^{(1)})^{\geq i}$ the set of sections $J : X \to Z^{(1)}$ with rk $N_J \geq i$, Gromov's h-principle for ample differential relations yields a homotopy equivalence

$$\Gamma(X, Z)^{\geq i} \longrightarrow \Gamma(X, Z^{(1)})^{\geq i}.$$

To complete the proof of Theorem A, we note that \mathcal{N} is surjective by the following Lemma:

Lemma 6 ([10, Theorem 5]) Let X be a 2n-dimensional manifold and J_x be an almost complex structure on the tangent space $T_x X$ at a fixed point $x \in X$. Given a formal Nijenhuis tensor $N: A_x^{1,0} \to A_x^{0,2}$ there exists an almost complex structure J on a neighborhood of x such that $J|_{T_x X} = J_x$ with Nijenhuis tensor N at x.

But \mathcal{N} is also an affine map with contractible fibers, so it induces a bundle homotopy equivalence

$$\mathcal{R}^{\geq i} \to H^{\geq i}.$$

In particular, the spaces of sections are homotopy equivalent.

2.3 Applications

2.3.1 Everywhere non-integrable almost complex structures

Let *X* be a 2n-dimensional manifold and let us now discuss the special case of Theorem A where rank_C $N_x \ge 1$ at all points $x \in X$. Given any almost complex structure *J* on *X*, the condition rank_C $(N_J)_x \ge 1$ implies the existence of a nowhere vanishing section of the bundle $H_X := \text{Hom}_{\mathbb{C}}(A_X^{1,0}, A_X^{0,2})$. Equivalently, it implies that the top Chern class, say *c*, of this bundle is trivial. Conversely, if c = 0, there exists a nowhere vanishing section *N* of *H*. Then by Theorem A applied to the pair (J, N), we see that *J* is homotopic to an everywhere non-integrable almost complex structure *J'*. Now the bundle H_X has complex rank $n \cdot \binom{n}{2}$, which is larger than the complex dimension *n* of *X* for n > 2, so in this case c = 0 necessarily. This proves:

Corollary 7 Any almost complex structure on a manifold of dimension 2n > 4 is homotopic to an everywhere non-integrable almost complex structure.

2.3.2 Maximally non-integrable structures in high dimensions

Let $n \ge 5$ and define $H_X^{deg} \subseteq H_X$ to be the subspace of maps which have fiberwise non-maximal rank at every point. The codimension of H_X^{deg} in H_X equals $\binom{n}{2} - n + 1$

(c.f. [7, 2.2.1.]). Since $n \ge 5$,

$$\dim_{\mathbb{C}} H_X - (\dim_{\mathbb{C}} X + \dim_{\mathbb{C}} H_X^{deg}) = \frac{1}{2}(n^2 - 5n + 2) > 0.$$

Therefore, by transversality, a generic section to H_X will be disjoint from H_X^{deg} . In other words, we can pick a global section $N \in \Gamma(X, H)$ of maximal rank. By Theorem A, there exists a homotopy of pairs from (J, N) to a holonomic pair $(J', N_{J'})$ with $N_{J'}$ of maximal rank. We have shown:

Corollary 8 For n > 4, any almost complex structure on a 2*n*-manifold is homotopic to a maximally non-integrable one.

Remark 9 The same arguments, applied to the subspace $H^{\leq r}$ of homomorphisms of pointwise rank $\leq r$, give an alternative proof of Corollary 7 and show that n = 4, any almost complex structure is homotopic to one with Nijenhuis tensor of rank ≥ 3 . In particular, we have proved Corollary A.1.

2.3.3 4-manifolds

Let us now discuss the existence of maximally non-integrable almost complex structures in the 4-dimensional case. The main goals of this section are the characterization of closed four manifolds admitting a maximally non-integrable almost complex structure (Corollary A.2). We also determine the homotopy type of the fiber of the space of formal maximally non-integrable almost complex structures over a 4-manifold and show that there exist homotopy classes of almost complex structures containing infinitely many maximally non-integrable almost complex structures which are non-homotopic as maximally non-integrable almost complex structures.

Proof of Corollary A.2 We will prove (a) \iff (b) and (b) \iff (c).

The implication (a) \Rightarrow (b) is essentially Corollary 3. Conversely, given any almost complex structure on *X*, the condition $5\chi(X) + 6\sigma(X) = 0$ implies that the top Chern class *c* of the bundle H_X vanishes. Therefore there exists a nowhere vanishing section, i.e. a formal Nijenhuis tensor of a maximally non-integrable almost complex structure. By Theorem A, this is homotopic to a genuine Nijenhuis tensor of a maximally non-integrable almost complex structure on *X* and the first part is proved.

Regarding the implication (b) \Rightarrow (c), recall that $4|(\chi + \sigma)$ holds on any almost complex 4-manifold. Hence we have the following congruences modulo 4

$$0 \equiv 5\chi(X) + 6\sigma(X) \equiv 5(\chi(X) + \sigma(X)) + \sigma(X) \equiv \sigma(X).$$
(9)

Thus we are left needing to show that an almost complex 4-manifold satisfying $5\chi(X) + 6\sigma(X) = 0$ cannot have positive definite intersection form and $b_2(X) > 0$. An almost complex 4-manifold with positive definite intersection form satisfies $\chi(X) + \sigma(X) \ge 0$ and $4|(\chi(X) + \sigma(X))$, see [11, Theorem 8.B]. Then we have

$$-\sigma(X) = 5\left(\chi(X) + \sigma(X)\right) \ge 0.$$

Thus, a 4-manifold *X* satisfying (b) can have positive definite intersection form only if $\sigma(X) = b_2(X) = 0$.

Conversely, assuming (c) we want to show that *X* admits an almost complex structure. We will now distinguish three cases:

- (i) $b_2(X) = 0$.
- (ii) The intersection form of X is indefinite.
- (iii) The intersection form of X is negative definite.

If $b_2(X) = 0$, then X admits an almost complex structure if $2\chi(X) + 3\sigma(X) = 0$, cf. for instance [11, Theorem 8.B]. But this is immediate since $b_2(X) = 0$ implies $\sigma(X) = 0$ and this, together with $0 = 5\chi(X) + 6\sigma(X)$, yields $\chi(X) = 0$. This settles the case (i). For (ii), combining $5\chi(X) + 6\sigma(X) = 0$ with $4|\sigma(X)$ we get $\chi(X) + \sigma(X) \equiv 0$ (mod 4). This last congruence is known to be a sufficient condition for a closed 4manifold with indefinite intersection form to admit an almost complex structure, see for example [11, Theorem 8.A]. We are left with the case (iii). Since $4|\sigma(X)$ (which translates to $4|b_2(X)$), we can restrict to the case $b_2(X) \ge 4$. In this instance X admits an almost complex structure if the congruence $\chi(X) + \sigma(X) \equiv 0$ (mod 4) is satisfied [11, Theorem 8.C1]. This congruence, as in the previous case, follows by combining $5\chi(X) + 6\sigma(X) = 0$ with $4|\sigma(X)$.

Let us now discuss the homotopy type of the fiber of the space H_X^{mni} of formal maximally non-integrable almost complex structures on a 4-manifold X. Let $\pi : Z \longrightarrow X$ be the twistor space of X. Recall from Sect. 2.2.1 that the space of linear Nijenhuis tensors H_X is defined as $A^{0,2} \otimes T^{1,0}$, see (4). In order to study its homotopy type we must first understand the homotopy type of the normal bundle to the fibers F of Z, i.e. the restriction of T to F. Since F is homotopy equivalent to S^2 , $T_{|F}$ is homotopy equivalent to a rank 2 complex vector bundle on S^2 . This bundle is $L \oplus L$ where L has Euler class x the positive generator of $H^2(S^2)$, see [2, page 437]. Therefore $c_1(H_X|_F) \cong 6x$. Since H_X^{mni} is just H_X without the zero section, its fiber has the homotopy type of the sphere bundle associated with $H|_F$, which is an S^3 -bundle over S^2 . Since $2 | c_1(H|_F)$, this sphere bundle is homotopy equivalent to the trivial bundle $S^2 \times S^3$.

We now specialize this discussion to the case of parallelizable 4-manifolds. In particular we obtain the following

Proposition 10 Let X be a parallelizable 4-manifold equipped with an arbitrary almost complex structure J. Then homotopy classes of maximally non-integrable almost complex structures which are homotopic to J as almost complex structures are in one-to-one correspondence to homotopy classes $[X, S^3]$ of maps $X \longrightarrow S^3$.

Proof Let *J* be an arbitrary almost complex structure on a parallelizable 4-manifold *X*. This corresponds to a section $J: X \longrightarrow Z$ of the twistor bundle $Z = X \times F$. Recall that *Z* is homotopy equivalent to the trivial $S^2 \sqcup S^2$ -bundle over *X* by triviality of *T X*. Homotopy classes of maximally non-integrable almost complex structures in the same homotopy class of *J* are the same as homotopy classes of (nowhere-vanishing) extensions of *J* to *H*, i.e. (nowhere-vanishing) sections of the bundle J^*H . We claim that the bundle J^*H over *X* is trivial. In order to see this recall that a rank 4 real vector

bundle over a closed 4-manifold is determined by its second Stiefel-Whitney class w_2 , its first Pontryagin class p_1 and its Euler class e. Since J^*H has a complex structure induced by J, we have $c_1 \equiv w_2 \pmod{2}$, $p_1 = c_1^2 - 2c_2$ and $e = c_2$. Now, using the fact that $J^*H \cong \text{Hom}\left(A_X^{0,2} \otimes TX^{1,0}\right)$, we get

- $w_2(J^*H) \equiv c_1(J^*H) = 3c_1(X) \equiv c_1(X) \equiv 0 \pmod{2}.$
- $e(J^*H) = 5\chi + 6\sigma = 0$ where the first equality follows from Corollary 3 and the second one follows from $\chi = \sigma = 0$.
- $p_1(J^*H) = c_1^2(J^*H) 2c_2(J^*H) = 0$ by the previous points and $c_1^2(X) = 0$.

Therefore maximally non-integrable almost complex structures in the same homotopy class of *J* are maps $X \longrightarrow S^3$ because $J^*H \cong X \times S^3$. Consequently homotopy classes of maximally non-integrable almost complex structures in the same homotopy class of *J* correspond to elements of $[X, S^3]$. This concludes the proof.

By picking X so that there are infinitely many homotopy classes of maps $X \longrightarrow S^3$ we get:

Corollary 11 There exist parallelizable 4-manifolds for which each homotopy class of almost complex structures contains infinitely many maximally non-integrable almost complex structures which are non-homotopic as maximally non-integrable almost complex structures.

Proof Let X be the parallelizable 4-manifold $S^1 \times S^3$ equipped with an arbitrary almost complex structure J. Consider the maps $f_n: X \longrightarrow S^3$ which are constant in the S^1 factor and have degree n in the S^3 factor S^3 . By Proposition 10 these maps represent maximally non-integrable almost complex structures on X which are not homotopic as maximally non-integrable almost complex structures even though they are all homotopic to J as almost complex structures.

2.4 6-manifolds

Let X be an oriented 6-manifold. Assume that X admits a maximally non-integrable almost complex structure, then by Corollary 3 its first Chern class c_1 is 3-torsion, i.e. $3c_1 = 0$. Moreover, c_1 is an integral lift of the second Stiefel-Whitney class w_2 , which is 2-torsion. Therefore $w_2 = 0$, that is, X is spin.

Conversely, if $w_2 = 0$, then we may take 0 as an integral lift and there is an almost complex structure J (unique up to homotopy) such that $c_1 = 0$ (cf. [16, Thm 9]). The condition $c_1 = 0$ is equivalent to $A_X^{3,0}$ being the trivial complex line bundle, i.e. there exists a nowhere vanishing (3, 0)-form η . Picking a Hermitian metric yields a \mathbb{C} -linear Hodge operator $\star : A_X^{1,0} \to A_X^{3,2}$. Combining these two we obtain a bundle isomorphism $N := (\cdot \wedge \eta)^{-1} \circ \star : A_X^{1,0} \to A_X^{0,2}$. By Theorem A applied to the pair (J, N), the almost complex structure J is homotopic to a maximally non-integrable one.

Note that one can always kill torsion classes in $H^2(X, \mathbb{Z})$ by passing to a finite cover. Therefore, if for a given almost complex structure *J* on a 6-manifold *X* we have that c_1 is torsion, then there exists an almost complex structure on a finite cover of *X* with $c_1 = 0$. We have thus proved Corollary A.3

2.4.1 Parallelizable manifolds

On a 2*n*-dimensional manifold *X* with trivial tangent bundle *T X* the choice of a frame $V_1, ..., V_{2n}$ induces a trivialization of the bundle $Z \cong X \times F \to X$, where *F* is any fiber of $\pi : Z \to X$. One may define an almost complex structure via $JV_{2i-1} = V_{2i}$ for all i = 1, ..., n. This corresponds to a constant section $X \to X \times F$ gives a complex trivialization of the tangent bundle. Conversely, for every almost complex structure which makes *T X* into a trivial complex vector bundle, we may find a frame in which it becomes constant as above. In such a situation, the bundle H_X is trivial as a complex vector bundle and so one may pick a section of maximal rank. Hence, we have proved Corollary A.4

2.5 Examples

2.5.1 Mapping tori

This example follows the idea presented by Geiges in [8]. Let X be a parallelizable 4manifold which fibers over S^1 , that is $\pi : X \longrightarrow S^1$ is a bundle with fiber a (oriented, closed) 3-manifold Y. In other terms, X is the suspension of an orientation preserving diffeomorphism $f: Y \longrightarrow Y$. In particular, X admits a maximally non-integrable almost complex structure by Theorem A.4. We construct now an explicit one.

Denote by *T* the nowhere vanishing vector field on *X* defined by $\pi_*T = \partial_t$ where *t* is the coordinate on S^1 . Then we can always complete *T* to a trivialization $\{T, U, V, Z\}$ of *TX*, for instance taking U = iT, V = jT and Z = kT under the identification $TX \cong X \times \mathbb{H}$. From this we construct the following trivialization of *TX*.

$$A_n = T + \frac{1}{n} \sin\left(n^2 \pi^* t\right) U - \frac{1}{n} \cos\left(n^2 \pi^* t\right) V$$
$$B_n = Z + \frac{1}{n} \cos\left(n^2 \pi^* t\right) U + \frac{1}{n} \sin\left(n^2 \pi^* t\right) V$$
$$C_n = \frac{1}{n} U$$
$$D_n = \frac{1}{n} V.$$

Now define an almost complex structure J on X by setting

$$JA_n = C_n$$
 and $JB_n = D_n$.

Then J is maximally non-integrable (for *n* large enough) because the Nijenhuis tensor N_J is everywhere non-trivial. In particular the vector field $N_J(A_n, B_n)$ is nowhere vanishing. In order to show this we begin by noticing that

$$\frac{1}{n}N_J(A_n, B_n) = \frac{1}{n^3}[U, V] - \frac{1}{n}[A_n, B_n] - \frac{1}{n^2}J[U, B_n] - \frac{1}{n^2}J[A_n, V]$$
$$= -\frac{1}{n}[A_n, B_n] + O(n^{-1}).$$

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Using $\mathcal{L}_T \pi^* t = 1$ and setting $a := \mathcal{L}_Z \pi^* t$ we have

$$-\frac{1}{n}[A_n, B_n] = \left(\sin(n^2\pi^*t) + a\cos(n^2\pi^*t)\right)U - \left(\cos(n^2\pi^*t) - a\sin(n^2\pi^*t)\right)V + O(n^{-1})$$

which is nowhere-vanishing for *n* large enough. We conclude that $N_J \neq 0$ for all $x \in X$ so that *J* is maximally non-integrable.

2.5.2 Homogeneous manifolds

Consider homogeneous manifolds $X = G/\Gamma$ for a Lie group G and a discrete subgroup Γ , equipped with a left-invariant complex structure J. By their homogeneous nature, the Nijenhuis tensor $\bar{\mu}_J$ always has constant rank. Thus, it suffices to check that $\bar{\mu}_J$ has maximal rank at a point. For instance, in real dimension 4, any nonintegrable left-invariant complex structure is maximally non-integrable. Further, one has a complex trivialization

$$\operatorname{Hom}(A_X^{1,0}, A_X^{0,2}) \cong \underline{\mathbb{C}} \otimes \operatorname{Hom}(\mathfrak{g}^{1,0}, \mathfrak{g}^{0,2}), \tag{10}$$

where $\mathfrak{g}^{p,q}$ denotes the space of left-invariant (p, q)-forms. Therefore, by Theorem A, resp. Corollary A.4, any left-invariant almost complex structure is homotopic to a maximally non-integrable one. However, the latter need not be left-invariant anymore, as the following (well-known) observation shows:

Observation 12 Every left-invariant almost complex structure on the torus T^{2n} is integrable.

In fact, this is an immediate consequence of the trivialization (10) and the fact that d (hence a fortiori $\bar{\mu}$) vanishes on left-invariant forms.

Remark 13 In dimension 4, T^4 is in fact the only solvmanifold which does not admit a left-invariant maximally non-integrable almost complex structure.

Let us check constructively, and without using Theorem A that there exist maximally non-integrable almost complex structures on all tori. The idea becomes apparent in dimension 4 and for simplicity we consider the complex plane $X = \mathbb{C}^2$ first. Let $\omega_1 := dz_1 + f d\bar{z}_2, \omega_2 := dz_2$ for some function f and define an almost complex structure by setting $A^{1,0} := \langle \omega_1, \omega_2 \rangle$. Then $A_X^{0,2} = \langle \bar{\omega}_1 \wedge \bar{\omega}_2 \rangle$ and hence

$$\begin{split} \bar{\mu}(\omega_1) &= \mathrm{pr}^{0,2} \left(\frac{\partial}{\partial z_1} f \, \mathrm{d} z_1 \wedge \mathrm{d} \bar{z}_2 + \frac{\partial}{\partial z_2} f \, \mathrm{d} z_2 \wedge \mathrm{d} \bar{z}_2 + \frac{\partial}{\partial \bar{z}_1} f \, \mathrm{d} \bar{z}_1 \wedge \mathrm{d} \bar{z}_2 \right) \\ &= \mathrm{pr}^{0,2} \left(\frac{\partial}{\partial z_1} f(\omega_1 - f \bar{\omega}_2) \wedge \bar{\omega}_2 + \frac{\partial}{\partial z_2} f \omega_2 \wedge \bar{\omega}_2 + \frac{\partial}{\partial \bar{z}_1} f(\bar{\omega}_1 - \bar{f} \omega_2) \wedge \bar{\omega}_2 \right) \\ &= \frac{\partial}{\partial \bar{z}_1} f \bar{\omega}_1 \wedge \bar{\omega}_2. \end{split}$$

Hence, for any f such that $\frac{\partial}{\partial \bar{z}_1} f$ is nowhere vanishing, the almost complex structure is maximally non-integrable. For a 4-torus $\mathbb{C}^2/(2\pi \mathbb{Z}[i]^2)$, one can pick for instance. $f = \exp(i \operatorname{Re}(z_1))$.

Generalizing these ideas, we obtain:

Theorem 14 On every even dimensional torus T^{2n} , $n \ge 2$, there exists a maximally non-integrable almost complex structure.

Proof The case n = 2 being treated above, it is enough to restrict to the case $n \ge 3$. Let $T := \mathbb{C}^n / (2\pi \mathbb{Z}[i]^n)$ with complex coordinates $z_1, ..., z_n$. Define an almost complex structure by deforming the space of (1, 0)-forms as follows. Consider a basis of (1, 0)-forms given by

$$\omega_i := \mathrm{d} z_i + a_i \mathrm{d} \bar{z}_i$$

where

$$a_i := f(z_{i+1}) + A$$

with $f : \mathbb{C}/(2\pi\mathbb{Z}[i]) \to S^1$ given by $f(z) := \exp(i\operatorname{Re}(z)), A \in \mathbb{C}$ a constant s.t. $||A|| \ge 2$ and by abuse of notation $z_{n+1} := z_1$. Note that we have

$$\frac{\partial}{\partial z_i} a_j = 0 \qquad \qquad i \neq j+1$$

$$\frac{\partial}{\partial z_i} a_j = \frac{i}{2} f(z_{i+1}) \qquad \qquad i = j+1$$

$$\frac{\partial}{\partial \overline{z}_i} a_j = 0 \qquad \qquad i \neq j+1$$

$$\frac{\partial}{\partial \overline{z}_i} a_j = -\frac{i}{2} f(z_{i+1}) \qquad \qquad i = j+1$$

where again indices are to be read modulo *n*. We can re-express the dz_i from the ω_i as

$$dz_i = b_i(\omega_i - a_i\bar{\omega}_i)$$

with $b_i := (1 - ||a_i||^2)^{-1}$. By assumption on A, we have $||a_i(z)|| > 1$ at every point $z \in T$ so that b_i never vanishes.

$$\bar{\mu}(\omega_i) = \operatorname{pr}^{0,2} \left(\frac{\partial}{\partial z_{i+1}} a_i \mathrm{d} z_{i+1} \wedge \mathrm{d} \bar{z}_i + \frac{\partial}{\partial \bar{z}_{i+1}} a_i \mathrm{d} \bar{z}_{i+1} \wedge \mathrm{d} \bar{z}_i \right)$$
$$= \underbrace{\frac{i}{2} b_i b_{i+1} f(z_{i+1})(1 - a_{i+1})}_{\neq 0} \bar{\omega}_{i+1} \wedge \bar{\omega}_i.$$

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Since a basis for $A_T^{0,2}$ is given by the $\bar{\omega}_i \wedge \bar{\omega}_j$ with i > j, all $\bar{\mu}(\omega_i)$ are linearly independent, i.e. $\bar{\mu}$ has maximal rank.

Remark 15 Taking products one deduces that for any n, d with $0 \le d \le \min\{n, \frac{n(n-1)}{2}\}$, there exists an almost complex structure on T^{2n} with Nijenhuis tensor of constant rank d.¹

3 Dolbeault cohomology

3.1 Preliminaries

We recall the notion of Dolbeault cohomology introduced in [6]: On any almost complex manifold (X, J), we write $d = \bar{\mu} + \bar{\partial} + \partial + \mu$ where the summands are the components of bidegrees (-1, 2), (0, 1), (1, 0) and (2, -1). In particular, the $\bar{\mu}$ from the previous section is the restriction of the $\bar{\mu}$ from this section to $A_X^{1,0}$. The equation $d^2 = 0$ translates into:

$$0 = \bar{\mu}^{2}$$

$$0 = \bar{\mu}\bar{\partial} + \bar{\partial}\bar{\mu}$$

$$0 = \bar{\mu}\partial + \partial\bar{\mu} + \bar{\partial}^{2}$$

$$0 = \bar{\mu}\mu + \bar{\partial}\partial + \partial\bar{\partial} + \mu\bar{\mu}$$

$$0 = \mu\bar{\partial} + \bar{\partial}\mu + \partial^{2}$$

$$0 = \mu\partial + \partial\mu$$

$$0 = \mu^{2}.$$
(11)

By the first three of these equations, the following generalization of Dolbeaultcohomology is well-defined:

$$H_{Dol}(X) := H_{Dol}(X, J) := H_{\bar{\partial}}(H_{\bar{\mu}}(\mathcal{A}_X^{\bullet, \bullet})).$$

3.2 Proof of theorem B

We prove first a technical lemma. Denote by $\mathcal{A}_{X,\mathbb{R}}^1 := \Gamma(X, TX^{\vee})$ the space of (real valued) 1-forms.

Lemma 16 Let X be any smooth manifold of dimension $n \ge 3$ and $E \in \Gamma(X, \operatorname{End}(TX))$ an endomorphism of the tangent bundle. Then the \mathbb{R} -vector space

 $(\operatorname{im} d + \operatorname{im} E \circ d) \cap \mathcal{A}^1_{X \mathbb{R}}$

¹ Strictly speaking, products of the previous examples cover all cases except a rank 2 structure on T^6 . Such a structure can be obtained for example by defining $\omega_1 := dz_1 + \exp(iRe(z_1))d\overline{z}_3, \omega_2 := dz_2 + \exp(iRe(z_2))d\overline{z}_3$ and $\omega_3 := dz_3$.

has infinite codimension in $\mathcal{A}^{1}_{X,\mathbb{R}}$. More precisely, at any given point $x \in X$, there exists $a \ k \ge 1$ such that the space (im $d + \text{im } E \circ d$)^(k) of k-jets at x of elements in (im $d + \text{im } E \circ d$)^(k) has positive codimension in the space $(\mathcal{A}^{1}_{X,\mathbb{R}})^{(k)}$ of all k-jets of 1-forms at x.

Proof We can assume X to be a disk around x = 0 with coordinates $x_1, ..., x_n$. The space $(\mathcal{A}^0_{X,\mathbb{R}})^{(k)}$ of k-jets of functions (k-th order Taylor expansions) at x may be identified with the space of polynomials of degree $\leq k$ in the x_i , therefore

$$\dim(\mathcal{A}^0_{X,\mathbb{R}})^{(k)} = \binom{n+k}{k}$$

Hence,

$$\dim(\mathcal{A}^{1}_{X,\mathbb{R}})^{(k)} = n \cdot \binom{n+k}{k}$$

and

$$\dim(\operatorname{im} d + \operatorname{im} E \circ d)^{(k)} \ge 2 \cdot \binom{n+k+1}{k+1}.$$

But

$$n \cdot \binom{n+k}{k} > 2 \cdot \binom{n+k+1}{k+1} \Leftrightarrow 0 > n + (2-n)k + 2,$$

and since $n \ge 3$ there is always a k satisfying this.

Proof of Theorem B Let us first assume that J is everywhere maximally non-integrable. Then the Dolbeault cohomology in degree (0, 1) is the cokernel $H_{Dol}^{0,1}(X) = \mathcal{A}_X^{0,1}/(\operatorname{im} \bar{\partial})^{0,1}$. There is an \mathbb{R} -linear isomorphism

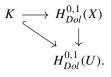
$$\mathcal{A}_X^{0,1}/(\operatorname{im} \bar{\partial})^{0,1} \to \mathcal{A}_{X,\mathbb{R}}^1/(\operatorname{im} d + J \operatorname{im} d)$$
$$\omega \mapsto \omega + \bar{\omega}.$$

and the right hand side is infinite dimensional by Lemma 16.

For the general case, let $x \in X$ be a point s.t. J_x is maximally non-integrable. Pick an open neighborhood $x \in U \subseteq X$ such that $J|_U$ is maximally non-integrable, so that $H_{Dol}^{0,1}(U) = \mathcal{A}_U^{0,1} / \text{ im } \bar{\partial}$. By Lemma 16, we may pick an infinite dimensional subspace $K \subseteq \mathcal{A}_U^{0,1}$ consisting of forms with compact support s.t. $K \to H_{Dol}^{0,1}(U)$ is injective. Extending these forms by zero and projecting yields a well-defined map

$$K \to H^{0,1}_{Dol}(X) = \frac{\bar{\partial}^{-1} \operatorname{im} \bar{\mu} \cap \mathcal{A}^{0,1}_X}{\operatorname{im} \bar{\partial} \cap \mathcal{A}^{0,1}_X}.$$

The result now follows from the commutativity of the following diagram:



Remark 17 A spectral sequence argument shows that in the setting of Theorem B, also $H_{Dol}^{1,1}(X)$ is infinite dimensional.

Example 18 Let T be the 4-torus endowed with the maximally non-integrable almost complex structure constructed above. Then the Dolbeault cohomology $H_{Dol}^{0,1}(T)$ is infinite dimensional as a \mathbb{C} -vector space.

Proof Since the almost complex structure is maximally non-integrable, $H_{Dol}^{0,1}(T) = \mathcal{A}_T^{0,1}/\operatorname{im} \bar{\partial}$. Now, for any function $g \in \mathcal{A}_T^{0,0}$, we have

$$\begin{split} \bar{\partial}g &= \mathrm{pr}^{0,1} \left(\frac{\partial}{\partial z_1} g \mathrm{d}z_1 + \frac{\partial}{\partial z_2} g \mathrm{d}z_2 + \frac{\partial}{\partial \bar{z}_1} g \mathrm{d}\bar{z}_1 + \frac{\partial}{\partial \bar{z}_2} g \mathrm{d}\bar{z}_2 \right) \\ &= \mathrm{pr}^{0,1} \left(\frac{\partial}{\partial z_1} g (\omega_1 - f \bar{\omega}_2) - \frac{\partial}{\partial z_2} g \omega_2 + \frac{\partial}{\partial \bar{z}_1} g (\bar{\omega}_1 - \bar{f} \omega_2) + \frac{\partial}{\partial \bar{z}_2} g \bar{\omega}_2 \right) \\ &= \frac{\partial}{\partial \bar{z}_1} g \bar{\omega}_1 + \left(\frac{\partial}{\partial \bar{z}_2} g - f \frac{\partial}{\partial z_1} g \right) \bar{\omega}_2 \end{split}$$

That is, if an element of the form $G\bar{\omega}_2$ with $G \in \mathcal{A}_T^{0,0}$ represents the zero-class in $H_{Dal}^{0,1}(T)$, there exists $g \in \mathcal{A}_T^{0,0}$ such that

$$\frac{\partial}{\partial \bar{z}_1}g = 0 \tag{12}$$

$$\frac{\partial}{\partial \bar{z}_2}g - f\frac{\partial}{\partial z_1}g = G.$$
(13)

The first equation says that g is holomorphic in z_1 . Since T is compact, g is constant on each slice of the type (z_1, x) for fixed x, i.e. g is independent of z_1 . Therefore, also $\frac{\partial}{\partial z_1}g = 0$ and (13) simplifies to

$$\frac{\partial}{\partial \bar{z}_2}g = G$$

This, together with (12), implies $\frac{\partial}{\partial \overline{z}_1}G = 0$, hence also G has to be independent of z_1 .

Let *B* be a linear subspace of $\mathcal{A}^{0,0}$ which is contained in the complement of the space of functions which are independent of z_1 . For such *B* the subspace $\mathcal{A}_B^{0,1} :=$

 $\{G\bar{\omega}_2 \mid G \in B\}$ injects into $H^{0,1}_{Dol}(T)$ by our previous argument. Now choose *B*, and hence $\mathcal{A}^{0,1}_B$, to be infinite dimensional.

Remark 19 A similar calculation shows that $H_{Dol}^{2,1}(T)$ is infinite dimensional for this almost complex structure.

Remark 20 (Dolbeault cohomology is not Hausdorff) Consider the sequence of functions

$$\tilde{g}_n(z_1, \bar{z}_1, z_2, \bar{z}_2) := \frac{\bar{z}_1}{n} + z_1 \in \mathcal{A}_{\mathbb{C}^2}^0.$$

Let $U \subseteq \mathbb{C}^2$ be an open set for which pr : $U \to T^4$ is an embedding. Pick $V \subseteq U$ and a smooth function $\rho : U \to \mathbb{R}$ s.t. $\rho|_V \equiv 1$ and $\rho|_{\mathbb{C}^2 \setminus U} \equiv 0$. Then we may consider $g_n := \rho \cdot \tilde{g}_n$ as functions on the torus. We claim that with respect to the previously considered almost complex structure on T^4 , the limit of the $\bar{\partial}g_n$ for $n \to \infty$ does not lie in the image of $\bar{\partial}$. Indeed, on V we have

$$\bar{\partial}g_n \stackrel{n \to \infty}{\longrightarrow} f\omega_2 \notin \operatorname{im} \bar{\partial}.$$

In other words, the zero class in $H_{Dol}^{0,1}(T^4)$ is not closed, so $H_{Dol}^{0,1}(T^4)$ is not Hausdorff. A natural question, suggested to us by Jean Ruppenthal, is whether for compact X, the maximal Hausdorff quotient $(H_{Dol}^{0,1}(X))^{HD} := H_{Dol}^{0,1}(X)/\overline{[0]}$ is finite dimensional. Note however that for maximally non-integrable 4 or 6-manifolds, we have $H_{Dol}^{n,n-1}(X) = \ker \bar{\partial}$, which is Hausdorff, but can still be infinite dimensional as Remark 19 shows.

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Appendix A: Bott-Chern and Aeppli cohomologies

We recall an algebraic notion which can be seen as an abstraction of the complex of forms on an almost complex manifold:

Definition 21 A complex (A, d) where A carries a bigrading and d has bidegree |d| = (-1, 2) + (0, 1) + (1, 0) + (2, -1) is called a 4-complex.

In the following, A will always denote a 4-complex and we will denote the components of d by $\bar{\mu}$, $\bar{\partial}$, ∂ , μ with $|\bar{\mu}| = (-1, 2)$, $|\bar{\partial}| = (0, 1)$, $|\partial| = (1, 0)$ and $|\mu| = (2, -1)$. As before, the property $d^2 = 0$ translates into the equations (11). For technical reasons, we will assume all our 4-complexes to be bounded, i.e. $A^{p,q} = 0$ for all but finitely many (p, q). Following [6], for any such 4-complex one may introduce the Dolbeault and 'conjugate' Dolbeault cohomology of A:

$$H_{Dol}(A) := H_{\bar{\partial}}(H_{\bar{\mu}}(A)) \qquad H_{Dolbar}(A) := H_{\partial}(H_{\mu}(A)).$$

(which is meaningful by the equations (11)) and there will be the following spectral sequences, functorial for maps of 4-complexes: (see [6])

$$H_{Dol}(A) \Longrightarrow H_d(A) \Longleftarrow H_{Dolbar}(A).$$

A 4-complex with $\bar{\mu} = \mu = 0$ is called a double complex. If A is a double complex one may define the Bott-Chern and Aeppli cohomologies:

$$H_{BC}(A) := \frac{\ker \partial \cap \ker \bar{\partial}}{\operatorname{im} \partial \bar{\partial}} \qquad H_A(A) := \frac{\ker \partial \bar{\partial}}{\operatorname{im} \partial + \operatorname{im} \bar{\partial}}.$$

These fit into a commutative diagram

Note that for a double complex $H_{Dol}(A) = H_{\bar{\partial}}(A)$, so the middle row is meaningful for any 4-complex. Our goal will be to extend the definition of Bott-Chern and Aeppli cohomology to almost complex manifolds or, more generally, arbitrary 4-complexes

Definition 22 Given a 4-complex $(A, \bar{\mu}, \bar{\partial}, \partial, \mu)$, we say

$$A_s := \ker \overline{\mu} \cap \ker \overline{\partial}^2 \cap \ker \partial^2 \cap \ker \mu$$

is the sub double complex and

$$A_q := A/(\operatorname{im} \bar{\mu} + \operatorname{im} \bar{\partial}^2 + \operatorname{im} \partial^2 + \operatorname{im} \mu)$$

the quotient double complex.

Lemma 23 The maps ∂ and $\overline{\partial}$ induce well-defined maps on A_s and A_q . With these, $(A_s, \partial, \overline{\partial})$ and $(A_q, \partial, \overline{\partial})$ are double complexes.

Proof We only do A_s , the other case is essentially the same. First of all, observe that A_s is still bigraded, since it is the intersection of kernels of maps that have a single bidegree. The equations of a 4-complex simplify on the subspace A_s to

$$0 = \bar{\mu}\bar{\partial} = \bar{\mu}\partial = \bar{\partial}\partial + \partial\bar{\partial} = \mu\bar{\partial} = \mu\partial \tag{14}$$

Given $\omega \in A_s$ let us check that $\partial \omega \in A_s$: We have $\overline{\mu} \partial \omega = \mu \partial \omega = 0$ by the equations (14) and $\partial^2 \partial \omega = \partial \partial^2 \omega = 0$ since $A_s \subset \ker \partial^2$. Finally $\bar{\partial}^2 \partial \omega = -\bar{\mu} \partial^2 \omega - \bar{\mu} \partial^2 \omega$ $\partial \bar{\mu} \partial \omega = 0$. Analogously, $\bar{\partial} \omega \in A_s$. Finally the equation $\partial \bar{\partial} + \bar{\partial} \partial = 0$ from (14), together with $\partial^2 = \overline{\partial}^2 = 0$ show that we are in a double complex.

Since A_s and A_q are double complexes, the usual definition of Bott-Chern and Aeppli cohomology are meaningful for them. So we get diagrams

$$H_{BC}(A_s) \xrightarrow{H_{BC}(A_s)} H_{\bar{\partial}}(A_s) \xrightarrow{H_{\partial}(A_s)} (H_{dR}(A_s), F, \bar{F}) \xleftarrow{H_{\partial}(A_s)} H_{\partial}(A_s)$$

and

$$\begin{array}{c} H_{\bar{\partial}}(A_q) \Longrightarrow (H_{dR}(A_q), F, \bar{F}) \Longleftarrow H_{\partial}(A_q) \\ & \searrow \\ & \downarrow \\ & H_A(A_q). \end{array}$$

If we started with a double complex A, we have $A_s = A = A_q$ and we re-obtain the diagram (*). It remains to connect these diagrams with the actual A for a proper 4-complex:

By construction one has two maps of 4-complexes (where the outer ones have trival μ and $\bar{\mu}$)

$$A_s \longrightarrow A \longrightarrow A_q.$$

Applying the de-Rham cohomology-functor, we get maps

$$H_{dR}(A_s) \longrightarrow H_{dR}(A) \longrightarrow H_{dR}(A_q).$$

Applying H_{Dol} and using that $\bar{\mu} = 0$ on A_s and A_q , we obtain

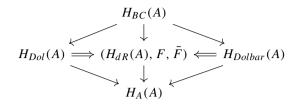
$$H_{\bar{\partial}}(A_s) = H_{Dol}(A_s) \longrightarrow H_{Dol}(A) \longrightarrow H_{Dol}(A_q) = H_{\bar{\partial}}(A_q)$$

In summary, we have:

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$$(A_q) \Longrightarrow (H_{dR}(A_q), F, \overline{F}) \longleftrightarrow H_{\partial}(A_q)$$

Proposition 24 Given a 4-complex A, set $H_{BC}(A) = H_{BC}(A_s)$ and $H_A(A) := H_A(A_q)$. Then there is a commutative diagram:



Concerning multiplicative structures, we have proved:

Proposition 25 If A carries a product \land making (A, \land, d) into a bigraded differential algebra, A_s is subalgebra and A_q is a bigraded (bi)differential module over A_s . Hence, $H_A(A)$ is a bigraded module over $H_{BC}(A)$.

Proof Note that for $\delta \in \{\bar{\mu}, \bar{\partial}^2, \partial^2, \mu\}$ and pure-type forms $\omega, \omega' \in A$, we have

$$\delta(\omega \wedge \omega') = \delta\omega \wedge \omega' \pm \omega \wedge \delta\omega'.$$

From this, it is immediate that A_s is closed under \wedge and that $A_s \wedge (\operatorname{im} \bar{\mu} + \operatorname{im} \bar{\partial}^2 + \operatorname{im} \partial^2 + \operatorname{im} \partial^2$

$$\partial \bar{\partial} (b \wedge m) = \partial \bar{\partial} b \pm \bar{\partial} b \wedge \partial m \mp \partial b \wedge \bar{\partial} m + b \wedge \partial \bar{\partial} m = 0.$$

If additionally $b = \partial \bar{\partial} b'$, then

$$b \wedge m = \partial \overline{\partial} (b' \wedge m) \pm \partial (b' \wedge \overline{\partial} m) \mp \overline{\partial} (b' \wedge \partial m) \in (\text{im } \partial + \text{im } \overline{\partial}).$$

Similarly, if $m = \partial m' + \bar{\partial} m''$, then $b \wedge m = \pm \partial (b \wedge m') \pm \partial (b \wedge m'') \in (\text{im } \partial + \text{im } \bar{\partial})$.

Remark 26 The statement about the module structure implies in particular that for all $p, q, r, s \in \mathbb{Z}$, the wedge-product induces well-defined 'duality' pairings

$$H^{p,q}_{BC}(A) \times H^{r,s}_A(A) \longrightarrow H^{p+r,q+s}_A(A).$$

For completeness, we make the following definition, which the reader will have guessed by now:

Definition 27 Let X be an almost complex manifold. The Bott-Chern and Aeppli cohomologies are defined to be

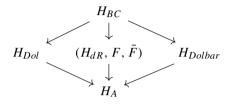
$$H_{BC}(X) := H_{BC}(\mathcal{A}_X) \quad H_A(X) := H_A(\mathcal{A}_X).$$

With this definition we obtain:

Theorem 28 Bott-Chern and Aeppli cohomology give contravariant functors

 H_{BC} :{almost complex manifolds} \rightarrow {bigraded-commutative \mathbb{C} -algebras} H_A :{almost complex manifolds} \rightarrow {bigraded modules over H_{BC} }.

together with natural transformations



and natural \mathbb{C} -antilinear isomorphisms $H^{p,q}_{BC}(X) \cong H^{q,p}_{BC}(X)$ and $H^{p,q}_A(X) \cong H^{q,p}_A(X)$.

Remark 29 Fixing a hermitian metric, write $\mathcal{H}_{BC}^{p,q}(X) = \mathcal{H}_{BC}(X) \cap A_X^{p,q}$ and $\mathcal{H}_A^{p,q}(X) = \mathcal{H}_A(X) \cap A_X^{p,q}$ denote the pure-type harmonic forms in the sense of [13]. Nicoletta Tardini informed us that there are injections $\mathcal{H}_{BC}^{p,q}(X) \subseteq H_{BC}^{p,q}(X)$ and $\mathcal{H}_A^{p,q}(X) \subseteq H_A^{p,q}(X)$.

Remark 30 (Infinite dimensionality) If X is a maximally non-integrable 2*n*-manifold for n = 2, 3, then $H_{BC}^{n,n-1}(X) \cong H_{Dol}^{n,n-1}(X)$ and $H_{Dol}^{0,1}(X) \cong H_A^{0,1}(X)$. In particular, they may also be infinite dimensional on compact manifolds.

Remark 31 (a strong $\partial \bar{\partial}$ -property implies integrability) A complex manifold X satisfies the so-called $\partial \bar{\partial}$ -property if and only if all maps in the diagram in Proposition 24 are isomorphisms. One may use this as a definition of the $\partial \bar{\partial}$ -property for general almost complex structures. However, from Theorem B or Remark 30, it follows that an almost complex structure on a 4-manifold which satisfies the $\partial \bar{\partial}$ -property in this sense is already integrable.

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