



New q -Analogues of Van Hamme's (E.2) Supercongruence and of a Supercongruence by Swisher

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Abstract. In this paper, a couple of q -supercongruences for truncated basic hypergeometric series are proved, most of them modulo the cube of a cyclotomic polynomial. One of these results is a new q -analogue of the (E.2) supercongruence by Van Hamme, another one is a new q -analogue of a supercongruence by Swisher, while the other results are closely related q -supercongruences. The proofs make use of special cases of a very-well-poised ${}_6\phi_5$ summation. In addition, the proofs utilize the method of creative microscoping (which is a method recently introduced by the first author in collaboration with Wadim Zudilin), and the Chinese remainder theorem for coprime polynomials.

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1. Introduction

In 1997, Van Hamme [24] presented 13 remarkable supercongruences corresponding to Ramanujan's or to Ramanujan-like formulas for $1/\pi$. For instance, the two infinite series expansions

$$\sum_{k=0}^{\infty} (-1)^k (4k+1) \frac{\left(\frac{1}{2}\right)_k^3}{k!^3} = \frac{2}{\pi},$$
$$\sum_{k=0}^{\infty} (-1)^k (6k+1) \frac{\left(\frac{1}{3}\right)_k^3}{k!^3} = \frac{3\sqrt{3}}{2\pi},$$

correspond to the following two supercongruences for truncated hypergeometric series:

$$\sum_{k=0}^{(p-1)/2} (-1)^k (4k + 1) \frac{(\frac{1}{2})_k^3}{k!^3} \equiv (-1)^{(p-1)/2} p \pmod{p^3}, \tag{1.1}$$

$$\sum_{k=0}^{(p-1)/3} (-1)^k (6k + 1) \frac{(\frac{1}{3})_k^3}{k!^3} \equiv p \pmod{p^3}, \text{ for } p \equiv 1 \pmod{3}, \tag{1.2}$$

where p is an odd prime, and $(a)_n = a(a + 1) \cdots (a + n - 1)$ denotes the Pochhammer symbol. The supercongruence (1.1) was first proved by Mortenson [18] using a technical evaluation of gamma functions, and later reproved by Zudilin [28] and Long [16]. Swisher [23] employed Long’s method to prove four supercongruences of Van Hamme, including (1.2) (i.e., the (E.2) supercongruence in [24]). He [11] also gave a generalization of (1.2). In 2016, Osburn and Zudilin [21] confirmed the last supercongruence conjecture of Van Hamme.

During the past few years, q -analogues of supercongruences have been investigated by many authors (see, for example, [3–10, 12–15, 19, 20, 22, 25–27, 29]). In particular, the first author [3, 4] gave q -analogues of (1.1) and (1.2) as follows: for any odd integer n ,

$$\sum_{k=0}^{(n-1)/2} (-1)^k [4k + 1] \frac{(q; q^2)_k^3}{(q^2; q^2)_k^3} q^{k^2} \equiv (-1)^{(n-1)/2} q^{(n-1)^2/4} [n] \pmod{[n]\Phi_n(q)^2},$$

and for any positive integer n with $n \equiv 1 \pmod{3}$,

$$\sum_{k=0}^{(n-1)/3} (-1)^k [6k + 1] \frac{(q; q^3)_k^3}{(q^3; q^3)_k^3} q^{(3k^2+k)/2} \equiv (-1)^{(n-1)/3} q^{(n-1)(n-2)/6} [n] \pmod{[n]\Phi_n(q)^2}.$$

Here and in what follows, $(a; q)_n = (1 - a)(1 - aq) \cdots (1 - aq^{n-1})$ is the q -shifted factorial, $[n] = [n]_q = (1 - q^n)/(1 - q)$ is the q -integer, and $\Phi_n(q)$ denotes the n -th cyclotomic polynomial in q , i.e.,

$$\Phi_n(q) = \prod_{\substack{1 \leq k \leq n \\ \gcd(k, n) = 1}} (q - \zeta^k),$$

where ζ is an n -th primitive root of unity. The first author and Zudilin [10, Theorem 3.5 with $r = 1$] also gave another q -analogue of (1.2): for any positive integer n with $n \equiv 1 \pmod{6}$,

$$\sum_{k=0}^{(n-1)/3} (-1)^k [6k + 1]_{q^2} \frac{(q^2; q^6)_k^3 (-q^3; q^6)_k}{(q^6; q^6)_k^3 (-q^5; q^6)_k} q^k \equiv q^{1-n} [n]_{q^2} \pmod{[n]\Phi_n(q)^2}. \tag{1.3}$$

One of the aims of this paper is to establish the following new q -analogue of (1.2).

Theorem 1.1. *Let $n \equiv 1 \pmod{6}$ be a positive integer. Then*

$$\sum_{k=0}^M (-1)^k [6k + 1] \frac{(q; q^3)_k^3}{(q^3; q^3)_k^3} \equiv q^{2(1-n)/3} [n] \frac{(-q^3; q^3)_{(n-1)/3}}{(-q^2; q^3)_{(n-1)/3}} \pmod{[n]\Phi_n(q)^2}, \tag{1.4}$$

where $M = (n - 1)/3$ or $M = n - 1$.

We shall also give the following similar result.

Theorem 1.2. *Let $n \equiv 1 \pmod{3}$ be a positive integer. Then*

$$\sum_{k=0}^M [6k + 1] \frac{(q; q^3)_k^3}{(q^3; q^3)_k^3} \equiv q^{2(1-n)/3} [n] \frac{(q^3; q^3)_{(n-1)/3}}{(q^2; q^3)_{(n-1)/3}} \pmod{\Phi_n(q)^3}, \tag{1.5}$$

where $M = (n - 1)/3$ or $M = n - 1$.

Note that the supercongruence (1.5) does not hold modulo $[n]\Phi_n(q)^2$ in general, even for $n \equiv 1 \pmod{6}$. We take this opportunity to point out that Theorems 1 and 2 in [8] only hold modulo $\Phi_n(q)^3$ and $\Phi_n(q)^2$, respectively, but do not hold modulo $[n]$, since Lemma 3 in [8] is not true (it only holds for even integers d).

Swisher [23] also proved that, for any prime $p \equiv 2 \pmod{3}$,

$$\sum_{k=0}^{(2p-1)/3} (-1)^k (6k + 1) \frac{\left(\frac{1}{3}\right)_k^3}{k!^3} \equiv -2p \pmod{p^3}. \tag{1.6}$$

A q -analogue of (1.6) was given by the first author [4, Theorem 1.5 with $(d, r) = (3, 1)$]: for any positive integer $n \equiv 2 \pmod{3}$,

$$\sum_{k=0}^{(2n-1)/3} (-1)^k q^{(3k^2+k)/2} [6k + 1] \frac{(q; q^3)_k^3}{(q^3; q^3)_k^3} \equiv -[2n]q^{(n-1)(2n-1)/3} \pmod{[n]\Phi_n(q)^2}.$$

In this paper, we shall give a new q -analogue of (1.6).

Theorem 1.3. *Let $n \equiv 5 \pmod{6}$ be a positive integer. Then*

$$\sum_{k=0}^M (-1)^k [6k + 1] \frac{(q; q^3)_k^3}{(q^3; q^3)_k^3} \equiv -q^{2(1-2n)/3} [2n] \frac{(-q^3; q^3)_{(2n-1)/3}}{(-q^2; q^3)_{(2n-1)/3}} \pmod{[n]\Phi_n(q)^2}, \tag{1.7}$$

where $M = (2n - 1)/3$ or $M = n - 1$.

Similarly, we have the following result.

Theorem 1.4. *Let $n \equiv 2 \pmod{3}$ be a positive integer. Then*

$$\sum_{k=0}^M [6k + 1] \frac{(q; q^3)_k^3}{(q^3; q^3)_k^3} \equiv q^{2(1-2n)/3} [2n] \frac{(q^3; q^3)_{(2n-1)/3}}{(q^2; q^3)_{(2n-1)/3}} \pmod{\Phi_n(q)^2}, \tag{1.8}$$

where $M = (2n - 1)/3$ or $M = n - 1$.

Note that the q -supercongruence (1.8) does not hold modulo $\Phi_n(q)^3$ for $n > 2$. We shall prove Theorems 1.1, 1.2, and 1.3 modulo $\Phi_n(q)^3$ and Theorem 1.4 by using a summation for a very-well-poised ${}_6\phi_5$ series and the ‘creative microscoping’ method introduced by the first author in collaboration with Zudilin [9]. The proof of Theorems 1.1 and 1.3 also requires the use of a lemma previously given by the present authors.

From Theorems 1.2 and 1.4, we can deduce the following supercongruences.

Corollary 1.5. *Let $p \equiv 1 \pmod{3}$ be a prime. Then*

$$\sum_{k=0}^{(p-1)/3} (6k + 1) \frac{(\frac{1}{3})_k^3}{k!^3} \equiv p \Gamma_p(\frac{2}{3})^3 \pmod{p^3}, \tag{1.9}$$

where $\Gamma_p(x)$ denotes the p -adic Gamma function.

Corollary 1.6. *Let $p \equiv 2 \pmod{3}$ be an odd prime. Then*

$$\sum_{k=0}^{(2p-1)/3} (6k + 1) \frac{(\frac{1}{3})_k^3}{k!^3} \equiv -6 \Gamma_p(\frac{2}{3})^3 \pmod{p^2}.$$

2. Proof of Theorem 1.1

We first give the following result, which is due to the present authors [6, Lemma 2.1].

Lemma 2.1. *Let d, m and n be positive integers with $m \leq n - 1$. Let r be an integer satisfying $dm \equiv -r \pmod{n}$. Then, for $0 \leq k \leq m$ and any indeterminate a , we have*

$$\frac{(aq^r; q^d)_{m-k}}{(q^d/a; q^d)_{m-k}} \equiv (-a)^{m-2k} \frac{(aq^r; q^d)_k}{(q^d/a; q^d)_k} q^{m(dm-d+2r)/2+(d-r)k} \pmod{\Phi_n(q)}.$$

If $\gcd(d, n) = 1$, then the above q -congruence also holds for $a = 1$.

We also need the following result to prove the truth of (1.4) modulo $[n]$.

Lemma 2.2. *Let n be a positive integer coprime with 6, and let a be an indeterminate. Then*

$$\sum_{k=0}^m (-1)^k [6k + 1] \frac{(aq; q^3)_k (q/a; q^3)_k (q; q^3)_k}{(aq^3; q^3)_k (q^3/a; q^3)_k (q^3; q^3)_k} \equiv 0 \pmod{[n]}, \tag{2.1}$$

$$\sum_{k=0}^{n-1} (-1)^k [6k + 1] \frac{(aq; q^3)_k (q/a; q^3)_k (q; q^3)_k}{(aq^3; q^3)_k (q^3/a; q^3)_k (q^3; q^3)_k} \equiv 0 \pmod{[n]}, \tag{2.2}$$

where $m = (n - 1)/3$ if $n \equiv 1 \pmod{6}$, and $m = (2n - 1)/3$ if $n \equiv 5 \pmod{6}$.

Proof. Clearly, Lemma 2.2 is true for $n = 1$. We now assume that $n > 1$. By Lemma 2.1, we can easily deduce that the k -th and $(m - k)$ -th terms on the left-hand side of (2.1) cancel each other modulo $\Phi_n(q)$, i.e.,

$$\begin{aligned} & (-1)^{m-k} \frac{[6(m - k) + 1] (aq; q^3)_{m-k} (q/a; q^3)_{m-k} (q; q^3)_{m-k}}{(aq^3; q^3)_{m-k} (q^3/a; q^3)_{m-k} (q^3; q^3)_{m-k}} \\ & \equiv -(-1)^k [6k + 1] \frac{(aq; q^3)_k (q/a; q^3)_k (q; q^3)_k}{(aq^3; q^3)_k (q^3/a; q^3)_k (q^3; q^3)_k} \pmod{\Phi_n(q)}. \end{aligned}$$

Thus, we have proved that the q -congruence (2.1) holds modulo $\Phi_n(q)$. Since the numerator contains the factor $(q; q^3)_k$, it is easy to see that the k -th summand in (2.2) is congruent to 0 modulo $\Phi_n(q)$ for $m < k \leq n - 1$. This proves the q -congruence (2.2) modulo $\Phi_n(q)$.

Now we can prove (2.1) and (2.2) modulo $[n]$. Let $\zeta \neq 1$ be an n -th root of unity, not necessarily primitive. In other words, ζ is a primitive root of unity of degree s satisfying $s \mid n$ and $s > 1$. Let $c_q(k)$ stand for the k -th term on the left-hand side of (2.2), i.e.,

$$c_q(k) = (-1)^k [6k + 1] \frac{(aq; q^3)_k (q/a; q^3)_k (q; q^3)_k}{(aq^3; q^3)_k (q^3/a; q^3)_k (q^3; q^3)_k}.$$

Taking $n = s$ in the q -congruences (2.1) and (2.2) modulo $\Phi_n(q)$, we get

$$\sum_{k=0}^{s_1} c_\zeta(k) = \sum_{k=0}^{s-1} c_\zeta(k) = 0,$$

where $s_1 = (s - 1)/3$ if $s \equiv 1 \pmod{6}$, and $s_1 = (2s - 1)/3$ if $s \equiv 5 \pmod{6}$. It is not difficult to see that

$$\lim_{q \rightarrow \zeta} \frac{c_q(\ell s + k)}{c_q(\ell s)} = \frac{c_\zeta(\ell s + k)}{c_\zeta(\ell s)} = c_\zeta(k).$$

Therefore,

$$\sum_{k=0}^{n-1} c_\zeta(k) = \sum_{\ell=0}^{n/s-1} \sum_{k=0}^{s-1} c_\zeta(\ell s + k) = \sum_{\ell=0}^{n/s-1} c_\zeta(\ell s) \sum_{k=0}^{s-1} c_\zeta(k) = 0, \tag{2.3}$$

and

$$\sum_{k=0}^m c_\zeta(k) = \sum_{\ell=0}^{(m-s_1)/s-1} c_\zeta(\ell s) \sum_{k=0}^{s-1} c_\zeta(k) + c_\zeta(m - s_1) \sum_{k=0}^{s_1} c_\zeta(k) = 0.$$

This proves that both of the sums $\sum_{k=0}^{n-1} c_q(k)$ and $\sum_{k=0}^m c_q(k)$ are divisible by $\Phi_s(q)$ for any divisor $s > 1$ of n . Since

$$\prod_{s|n, s>1} \Phi_s(q) = [n],$$

we complete the proof of (2.1) and (2.2). □

Like most of the q -supercongruences in [9], we have the following parametric generalization of Theorem 1.1.

Theorem 2.3. *Let $n \equiv 1 \pmod{6}$ be a positive integer. Then, modulo $[n](1 - aq^n)(a - q^n)$,*

$$\sum_{k=0}^M (-1)^k [6k + 1] \frac{(aq; q^3)_k (q/a; q^3)_k (q; q^3)_k}{(aq^3; q^3)_k (q^3/a; q^3)_k (q^3; q^3)_k} \equiv q^{2(n-1)/3} [n] \frac{(-q^3; q^3)_{(n-1)/3}}{(-q^2; q^3)_{(n-1)/3}}, \tag{2.4}$$

where $M = (n - 1)/3$ or $M = n - 1$.

Proof. We start with the following summation for a very-well-poised ${}_6\phi_5$ series (see [2, Appendix (II.20)]):

$$\begin{aligned} & \sum_{k=0}^{\infty} \frac{(1 - aq^{2k})(a; q)_k (b; q)_k (c; q)_k (d; q)_k}{(1 - a)(q; q)_k (aq/b; q)_k (aq/c; q)_k (aq/d; q)_k} \left(\frac{aq}{bcd}\right)^k \\ &= \frac{(aq; q)_{\infty} (aq/bc; q)_{\infty} (aq/bd; q)_{\infty} (aq/cd; q)_{\infty}}{(aq/b; q)_{\infty} (aq/c; q)_{\infty} (aq/d; q)_{\infty} (aq/bcd; q)_{\infty}}. \end{aligned} \tag{2.5}$$

(The infinite series in (2.5) converges for $|q| < 1$ and $|aq/bcd| < 1$.) Specializing (2.5) by letting $q \mapsto q^3$, $a = q$, $b = q^{1-n}$, $c = q^{1+n}$, and $d = -q^2$, we have

$$\begin{aligned} & \sum_{k=0}^{(n-1)/3} (-1)^k [6k + 1] \frac{(q^{1-n}; q^3)_k (q^{1+n}; q^3)_k (q; q^3)_k}{(q^{3-n}; q^3)_k (q^{3+n}; q^3)_k (q^3; q^3)_k} \\ &= \frac{(q^4; q^3)_{(n-1)/3} (-q^{1-n}; q^3)_{(n-1)/3}}{(q^{3-n}; q^3)_{(n-1)/3} (-q^2; q^3)_{(n-1)/3}} \\ &= q^{2(1-n)/3} [n] \frac{(-q^3; q^3)_{(n-1)/3}}{(-q^2; q^3)_{(n-1)/3}}. \end{aligned}$$

This shows that both sides of (2.4) are equal for $a = q^{-n}$ and $a = q^n$. This means that the congruence (2.4) holds modulo $1 - aq^n$ and $a - q^n$.

Moreover, by Lemma 2.2, the left-hand side of (2.4) is congruent to 0 modulo $[n]$. Since $1 - q^n$ (n is odd) is relatively prime to $1 + q^k$, we see that the right-hand side of (2.4) is also congruent to 0 modulo $[n]$. Noticing that $1 - aq^n$, $a - q^n$, and $[n]$ are pairwise coprime polynomials in q , we finish the proof of the theorem. □

Proof of Theorem 1.1. Since $(1 - q^n)^2$ contains the factor $\Phi_n(q)^2$ and $(q^3; q^3)_M$ is coprime with $\Phi_n(q)$, letting $a = 1$ in (2.4), we conclude that (1.4) is true modulo $\Phi_n(q)^3$. Note that Lemma 2.2 also holds for $a = 1$. Namely, the q -congruence (1.4) is true modulo $[n]$ and is therefore also true modulo $[n]\Phi_n(q)^2$. This completes the proof. \square

3. Proof of Theorem 1.2

We first give the following parametric generalization of Theorem 1.2: for $n \equiv 1 \pmod{3}$, modulo $\Phi_n(q)(1 - aq^n)(a - q^n)$,

$$\sum_{k=0}^M [6k + 1] \frac{(aq; q^3)_k (q/a; q^3)_k (q; q^3)_k}{(aq^3; q^3)_k (q^3/a; q^3)_k (q^3; q^3)_k} \equiv q^{2(n-1)/3} [n] \frac{(q^3; q^3)_{(n-1)/3}}{(q^2; q^3)_{(n-1)/3}}, \tag{3.1}$$

where $M = (n - 1)/3$ or $M = n - 1$. The proof of (3.1) is analogous to that of (2.4). This time, we make the substitutions $q \mapsto q^3$, $a = q$, $b = q^{1-n}$, $c = q^{1+n}$, and $d = q^2$ in (2.5) to obtain

$$\begin{aligned} & \sum_{k=0}^{(n-1)/3} [6k + 1] \frac{(q^{1-n}; q^3)_k (q^{1+n}; q^3)_k (q; q^3)_k}{(q^{3-n}; q^3)_k (q^{3+n}; q^3)_k (q^3; q^3)_k} \\ &= \frac{(q^4; q^3)_{(n-1)/3} (q^{1-n}; q^3)_{(n-1)/3}}{(q^{3-n}; q^3)_{(n-1)/3} (q^2; q^3)_{(n-1)/3}} \\ &= q^{2(1-n)/3} [n] \frac{(q^3; q^3)_{(n-1)/3}}{(q^2; q^3)_{(n-1)/3}}. \end{aligned}$$

Thus, the two sides of (3.1) are equal for $a = q^{-n}$ and $a = q^n$. This means that the congruence (3.1) is true modulo $1 - aq^n$ and $a - q^n$.

Moreover, by Lemma 2.1, for $m = (n - 1)/3$ we can deduce that the k -th and $(m - k)$ -th terms on the left-hand side of (3.1) cancel each other modulo $\Phi_n(q)$, i.e.,

$$\begin{aligned} & \frac{[6(m - k) + 1] (aq; q^3)_{m-k} (q/a; q^3)_{m-k} (q; q^3)_{m-k}}{(aq^3; q^3)_{m-k} (q^3/a; q^3)_{m-k} (q^3; q^3)_{m-k}} \\ & \equiv -[6k + 1] \frac{(aq; q^3)_k (q/a; q^3)_k (q; q^3)_k}{(aq^3; q^3)_k (q^3/a; q^3)_k (q^3; q^3)_k} \pmod{\Phi_n(q)}. \end{aligned}$$

(Note that we have utilized the fact that $q^{n/2} \equiv -1 \pmod{\Phi_n(q)}$ for even n .) This proves (3.1) modulo $\Phi_n(q)$.

Finally, letting $a = 1$ in (3.1), we arrive at the q -supercongruence (1.5).

4. Proof of Theorems 1.3 and 1.4

Proof of Theorem 1.3. We first give a parametric generalization of Theorem 1.4: for $n \equiv 5 \pmod{6}$, modulo $[n](1 - aq^{2n})(a - q^{2n})$,

$$\sum_{k=0}^M (-1)^k [6k + 1] \frac{(aq; q^3)_k (q/a; q^3)_k (q; q^3)_k}{(aq^3; q^3)_k (q^3/a; q^3)_k (q^3; q^3)_k} \equiv -q^{2(1-2n)/3} [2n] \frac{(-q^3; q^3)_{(2n-1)/3}}{(-q^2; q^3)_{(2n-1)/3}}, \tag{4.1}$$

where $M = (2n - 1)/3$ or $n - 1$. The proof of (4.1) is very similar to that of (2.4). Specializing (2.5) by $q \mapsto q^3$, $a = q$, $b = q^{1-2n}$, $c = q^{1+2n}$, and $d = -q^2$, we have

$$\begin{aligned} & \sum_{k=0}^{(2n-1)/3} (-1)^k [6k + 1] \frac{(q^{1-2n}; q^3)_k (q^{1+2n}; q^3)_k (q; q^3)_k}{(q^{3-2n}; q^3)_k (q^{3+2n}; q^3)_k (q^3; q^3)_k} \\ &= \frac{(q^4; q^3)_{(2n-1)/3} (-q^{1-2n}; q^3)_{(2n-1)/3}}{(q^{3-2n}; q^3)_{(2n-1)/3} (-q^2; q^3)_{(2n-1)/3}} \\ &= -q^{2(1-2n)/3} [2n] \frac{(-q^3; q^3)_{(2n-1)/3}}{(-q^2; q^3)_{(2n-1)/3}}. \end{aligned}$$

This proves the congruence (4.1) modulo $1 - aq^{2n}$ and $a - q^{2n}$. Moreover, the proof of (4.1) modulo $[n]$ follows from Lemma 2.2. \square

Finally, taking $a = 1$ in (4.1), we arrive at the desired q -supercongruence (1.7).

Proof of Theorem 1.4. We have the following congruence with a parameter a : for $n \equiv 5 \pmod{6}$, modulo $(1 - aq^{2n})(a - q^{2n})$,

$$\sum_{k=0}^M [6k + 1] \frac{(aq; q^3)_k (q/a; q^3)_k (q; q^3)_k}{(aq^3; q^3)_k (q^3/a; q^3)_k (q^3; q^3)_k} \equiv q^{2(1-2n)/3} [2n] \frac{(q^3; q^3)_{(2n-1)/3}}{(q^2; q^3)_{(2n-1)/3}}, \tag{4.2}$$

where $M = (2n - 1)/3$ or $M = n - 1$. The congruence (4.2) is equivalent to say that both sides are equal for $a = q^{2n}$ and $a = q^{-2n}$. But this again follows from (2.5) by performing the parameter substitutions $q \mapsto q^3$, $a = q$, $b = q^{1-2n}$, $c = q^{1+2n}$, and $d = q^2$. At last, letting $a = 1$ in (4.2), we get (1.8). \square

5. Proof of Corollaries 1.5 and 1.6

Proof of Corollary 1.5. Letting $n = p$, where p is a prime congruent to 1 (mod 3), and $q \rightarrow 1$ in (1.5), we obtain

$$\sum_{k=0}^{(p-1)/3} (6k + 1) \frac{\left(\frac{1}{3}\right)_k^3}{k!^3} \equiv p \frac{\left(\frac{p-1}{3}\right)!}{\left(\frac{2}{3}\right)_{(p-1)/3}} \pmod{p^3}.$$

Recall that the p -adic Gamma function has the properties: for any p -adic integer x ,

$$\frac{\Gamma_p(x+1)}{\Gamma_p(x)} = \begin{cases} -x, & p \nmid x, \\ -1, & p \mid x, \end{cases}$$

$$\Gamma_p(x)\Gamma_p(1-x) = (-1)^{a_0(x)},$$

where $a_0(x) \in \{1, 2, \dots, p\}$ satisfies $a_0(x) \equiv x \pmod{p}$. Let $\Gamma(x)$ be the classical Gamma function. Then

$$\begin{aligned} \frac{\left(\frac{p-1}{3}\right)!}{\left(\frac{2}{3}\right)_{(p-1)/3}} &= \frac{\Gamma\left(\frac{p+2}{3}\right)\Gamma\left(\frac{2}{3}\right)}{\Gamma(1)\Gamma\left(\frac{p+1}{3}\right)} = \frac{\Gamma_p\left(\frac{p+2}{3}\right)\Gamma_p\left(\frac{2}{3}\right)}{\Gamma_p(1)\Gamma_p\left(\frac{p+1}{3}\right)} \\ &= (-1)^{(2p+1)/3} \frac{\Gamma_p\left(\frac{p+2}{3}\right)\Gamma_p\left(\frac{2-p}{3}\right)\Gamma_p\left(\frac{2}{3}\right)}{\Gamma_p(1)}. \end{aligned}$$

By [17, Theorem 14]), for $p \geq 5$, we have

$$\Gamma_p(a+mp) \equiv \Gamma_p(a) + \Gamma'_p(a)mp \pmod{p^2}, \tag{5.1}$$

and so $\Gamma_p\left(\frac{p+2}{3}\right)\Gamma_p\left(\frac{2-p}{3}\right) \equiv \Gamma_p\left(\frac{2}{3}\right)^2 \pmod{p^2}$. The proof then follows from the fact $\Gamma_p(1) = (-1)^{(2p+1)/3} = -1$. □

Proof of Corollary 1.6. Letting $n = p$, where p is an odd prime congruent to $2 \pmod{3}$, and $q \rightarrow 1$ in (1.8), we obtain

$$\sum_{k=0}^{(2p-1)/3} (6k+1) \frac{\left(\frac{1}{3}\right)_k^3}{k!^3} \equiv 2p \frac{\left(\frac{2p-1}{3}\right)!}{\left(\frac{2}{3}\right)_{(2p-1)/3}} \pmod{p^2}.$$

Further,

$$\frac{p\left(\frac{2p-1}{3}\right)!}{\left(\frac{2}{3}\right)_{(2p-1)/3}} = p \frac{\Gamma\left(\frac{2p+2}{3}\right)\Gamma\left(\frac{2}{3}\right)}{\Gamma(1)\Gamma\left(\frac{2p+1}{3}\right)} = 3 \frac{\Gamma_p\left(\frac{2p+2}{3}\right)\Gamma_p\left(\frac{2}{3}\right)}{\Gamma_p(1)\Gamma_p\left(\frac{2p+1}{3}\right)} = 3 \frac{\Gamma_p\left(\frac{2p+2}{3}\right)\Gamma_p\left(\frac{2-2p}{3}\right)\Gamma_p\left(\frac{2}{3}\right)}{\Gamma_p(1)},$$

and by (5.1), $\Gamma_p\left(\frac{2p+2}{3}\right)\Gamma_p\left(\frac{2-2p}{3}\right) \equiv \Gamma_p\left(\frac{2}{3}\right)^2 \pmod{p^2}$. □

6. Some Open Problems

Although the q -supercongruence (1.5) is not true modulo $[n]$ in general, using the same arguments as in the proof of Theorem 1.1, we can show that, for $n \equiv 1 \pmod{3}$ and $n > 1$,

$$\sum_{k=0}^M [6k+1] \frac{(q; q^3)_k^3}{(q^3; q^3)_k^3} \equiv 0 \pmod{\prod_{\substack{j|n, j>1 \\ j \equiv 1 \pmod{3}}} \Phi_j(q)}, \tag{6.1}$$

where $M = (n - 1)/3$ or $M = n - 1$. Letting $n = p^r$ and $q \rightarrow 1$ in the above q -congruence, we obtain the following result: for any prime $p \equiv 1 \pmod{3}$ and integer $r \geq 1$,

$$\sum_{k=0}^{(p^r-1)/d} (6k + 1) \frac{\left(\frac{1}{3}\right)_k^3}{k!^3} \equiv 0 \pmod{p^r}, \tag{6.2}$$

where $d = 1, 3$. Inspired by Dwork’s work [1] and Swisher’s conjectures [23, (A.3)–(L.3)], we propose the following conjecture on Dwork-type supercongruences, which is a uniform generalization of (1.9) and (6.2).

Conjecture 6.1. *Let $p \equiv 1 \pmod{3}$ be a prime and let $r \geq 1$. Then*

$$\sum_{k=0}^{(p^r-1)/d} (6k + 1) \frac{\left(\frac{1}{3}\right)_k^3}{k!^3} \equiv p \Gamma_p\left(\frac{2}{3}\right)^3 \sum_{k=0}^{(p^{r-1}-1)/d} (6k + 1) \frac{\left(\frac{1}{3}\right)_k^3}{k!^3} \pmod{p^{3r}},$$

where $d = 1, 3$.

Note that the following Dwork-type supercongruence (see [23, (E.3)] and [4, Conjecture 5.3]) has been proved by the first author and Zudilin [9, Theorem 3.5] by establishing its q -analogue:

For any prime $p \equiv 1 \pmod{3}$ and integer $r \geq 1$,

$$\sum_{k=0}^{(p^r-1)/d} (-1)^k (6k + 1) \frac{\left(\frac{1}{3}\right)_k^3}{k!^3} \equiv p \sum_{k=0}^{(p^{r-1}-1)/d} (-1)^k (6k + 1) \frac{\left(\frac{1}{3}\right)_k^3}{k!^3} \pmod{p^{3r}}, \tag{6.3}$$

where $d = 1, 3$.

We believe that the following new q -analogue of (6.3), which is also a generalization of Theorem 1.1, should be true.

Conjecture 6.2. *Let $n > 1$ be an integer with $n \equiv 1 \pmod{6}$ and let $r \geq 1$. Then, modulo $[n^r] \prod_{j=1}^r \Phi_{n^j}(q)^2$,*

$$\begin{aligned} \sum_{k=0}^{(n^r-1)/d} (-1)^k [6k + 1] \frac{(q; q^3)_k^3}{(q^3; q^3)_k^3} &\equiv q^{2(1-n)/3} [n] \frac{(-q^3; q^3)_{(n^r-1)/3} (-q^{2n}; q^{3n})_{(n^{r-1}-1)/3}}{(-q^2; q^3)_{(n^r-1)/3} (-q^{3n}; q^{3n})_{(n^{r-1}-1)/3}} \\ &\times \sum_{k=0}^{(n^{r-1}-1)/d} (-1)^k [6k + 1] q^n \frac{(q^n; q^{3n})_k^3}{(q^{3n}; q^{3n})_k^3}, \end{aligned}$$

where $d = 1, 3$.

Likewise, we conjecture a Dwork-type generalization of Theorem 1.2 as follows.

Conjecture 6.3. *Let $n > 1$ be an integer with $n \equiv 1 \pmod{3}$ and let $r \geq 1$. Then, modulo $\prod_{j=1}^r \Phi_{n^j}(q)^3$,*

$$\sum_{k=0}^{(n^r-1)/d} [6k + 1] \frac{(q; q^3)_k^3}{(q^3; q^3)_k^3} \equiv q^{2(1-n)/3} [n] \frac{(q^3; q^3)_{(n^r-1)/3} (q^{2n}; q^{3n})_{(n^{r-1}-1)/3}}{(q^2; q^3)_{(n^r-1)/3} (q^{3n}; q^{3n})_{(n^{r-1}-1)/3}}$$

$$\times \sum_{k=0}^{(n^{r-1}-1)/d} [6k+1]_{q^n} \frac{(q^n; q^{3n})_k^3}{(q^{3n}; q^{3n})_k^3},$$

where $d = 1, 3$.

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