



Eigenvalues of K -invariant Toeplitz Operators on Bounded Symmetric Domains

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Abstract. We determine the eigenvalues of certain “fundamental” K -invariant Toeplitz type operators on weighted Bergman spaces over bounded symmetric domains $D = G/K$, for the irreducible K -types indexed by all partitions of length $r = \text{rank}(D)$.

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1. Introduction

The well-known Toeplitz–Berezin calculus, acting on the Bergman space $H^2(D)$ of a bounded domain $D \subset \mathbb{C}^d$, is covariant under the biholomorphic automorphism group G of D . Actually, Berezin [3] considered two kinds of symbolic calculus (contravariant and covariant symbols) which are related by the Berezin transform. For a bounded symmetric domain $D = G/K$ of rank r , where G acts transitively on D and K is a maximal compact subgroup of G , one has a more general covariant Toeplitz–Berezin calculus acting on the weighted Bergman spaces $H_\nu^2(D)$ over D . Here ν is a scalar parameter for the (scalar) holomorphic discrete series of G and its analytic continuation. Since G acts irreducibly on $H_\nu^2(D)$, there are no non-trivial G -invariant operators in the C^* -algebra generated by Toeplitz operators. On the other hand, there exist interesting K -invariant Toeplitz type operators, which have been studied in relation to complex and harmonic analysis [2, 6]. These operators are uniquely determined by a sequence of eigenvalues indexed over all partitions of length r . In this paper, we determine the eigenvalues of certain “fundamental” K -invariant Toeplitz type operators, both for the covariant and contravariant symbol. While the covariant symbol is treated as a direct generalization of [2], the contravariant symbol eigenvalue formula requires

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more effort. Here a crucial ingredient is the dimension formula for the irreducible K -types.

2. K -invariant Toeplitz Operators

In the following we use the Jordan theoretical description of bounded symmetric domains. For more details, see [1, 5, 10, 11, 16]. Each irreducible bounded symmetric domain D of rank r and dimension d can be realized as the (spectral) open unit ball of a hermitian Jordan triple $Z \approx \mathbf{C}^d$. Let G be the identity component of the biholomorphic automorphism group of D , and let K be the stabilizer subgroup at $0 \in D$. Then K is a compact linear group consisting of Jordan triple automorphisms of Z . The Shilov boundary S of D consists of all tripotents in Z of maximal rank r . Let $(z|w)$ denote the unique K -invariant inner product on Z such that $(e|e) = r$ for any $e \in S$. For any maximal tripotent $e \in Z$ the so-called Peirce 2-space $Z_2(e)$ [10] is a hermitian Jordan triple of **tube type**. Put

$$d_e := \dim Z_2(e).$$

Let e_1, \dots, e_r be a frame of orthogonal minimal tripotents, and put $e = e_1 + \dots + e_r$. The joint Peirce decomposition [10] gives rise to two numerical invariants a, b such that

$$\begin{aligned} \frac{d_e}{r} &= 1 + \frac{a}{2}(r - 1), \\ \frac{d}{r} &= 1 + \frac{a}{2}(r - 1) + b = \frac{d_e}{r} + b. \end{aligned}$$

The tube type case is characterized by $d_e = d$ or, equivalently, $b = 0$. The triple (r, a, b) characterizes D up to isomorphism. As an important special case, the **spin factor** Z of dimension $d \geq 3$ has the invariants $r = 2$, $a = d - 2$ and $b = 0$. Here the normalized inner product on $Z \approx \mathbf{C}^d$ is $(z|w) = 2z \cdot \bar{w}$, and the unit element $e = (1, 0, \dots, 0)$.

Let $\mathcal{P}(Z)$ denote the algebra of all (holomorphic) polynomials on Z . The natural action

$$(k \cdot f)(z) := f(k^{-1}z) \tag{2.1}$$

of K on functions f on Z (or D), induces a **Peter–Weyl decomposition** [12]

$$\mathcal{P}(Z) = \sum_m \mathcal{P}^m(Z) \tag{2.2}$$

ranging over all **integer partitions** $\mathbf{m} = (m_1 \geq \dots \geq m_r \geq 0)$ of length r . Here $\mathcal{P}^m(Z)$ denotes the irreducible K -module of all polynomials on Z of type \mathbf{m} [14]. By irreducibility, any two K -invariant inner products are proportional on each submodule $\mathcal{P}^m(Z)$.

Let $H^2(Z)$ denote the **Fock space** of all entire functions on Z , with Fischer-Fock inner product

$$(\phi|\psi) = \int_Z \frac{dz}{\pi^d} e^{-(z|z)} \overline{\phi(z)}\psi(z) \tag{2.3}$$

and reproducing kernel

$$\mathcal{K}(z, w) = e^{-(z|w)}.$$

Note that for function spaces we use inner products which are conjugate-linear in the first variable.

Consider the classical Pochhammer symbol

$$(\lambda)_k := \frac{\Gamma(\lambda + k)}{\Gamma(\lambda)}$$

which equals $\lambda(\lambda + 1) \cdots (\lambda + k - 1)$ if $k \in \mathbf{N}$. For any scalar parameter ν and r -tuple $\mu = (\mu_1, \dots, \mu_r)$ we define the **multi-variable Pochhammer symbol**

$$(\nu)_\mu := \prod_{j=1}^r (\nu - \frac{a}{2}(j - 1))_{\mu_j}. \tag{2.4}$$

The numerical invariant $p := \frac{1}{r}(d_e + d) = 2 + a(r - 1) + b$ is called the **genus** of D . For a scalar parameter $\nu > p - 1$ the **weighted Bergman space** $H_\nu^2(D)$ consists of all holomorphic functions ϕ on D which are square-integrable for the inner product

$$(\phi|\psi)_\nu = c_\nu \int_D \frac{dz}{\pi^d} \Delta(z, z)^{\nu-p} \overline{\phi(z)}\psi(z). \tag{2.5}$$

Here $\Delta(z, w)$ denotes the **Jordan triple determinant**, which is a sesqui-polynomial uniquely determined by the property

$$\Delta(z, z) = \prod_{i=1}^r (1 - t_i^2)$$

for all $z = \sum_{i=1}^r t_i e_i \in Z$, where e_1, \dots, e_r is any frame of minimal orthogonal tripotents and t_1, \dots, t_r are the (non-negative) ‘singular values’ of z . For a Lie theoretic definition see [5, p. 262] (for tube type domains) and [4, (3.4)-(3.6)] (general case). The normalizing constant c_ν , giving rise to a probability measure, is

$$c_\nu = (\nu - \frac{d}{r})_{(d/r, \dots, d/r)} = \prod_{j=1}^r \frac{\Gamma(\nu - \frac{a}{2}(j - 1))}{\Gamma(\nu - \frac{d}{r} - \frac{a}{2}(j - 1))}.$$

The reproducing kernel is

$$\mathcal{K}^\nu(z, w) = \Delta(z, w)^{-\nu}. \tag{2.6}$$

For the continuous part of the so-called Wallach set, explicitly given by the condition

$$\nu > \frac{a}{2}(r - 1)$$

[4, 8, 9], the kernel (2.6) is strictly positive on $D \times D$, and the associated reproducing kernel Hilbert space, still denoted by $H_\nu^2(D)$, contains $\mathcal{P}(Z)$ as a dense subspace. As a special case, the parameter $\nu = \frac{d}{r} = 1 + b + \frac{a}{2}(r - 1)$ corresponds to the **Hardy space**

$$H_{d/r}^2(D) = H^2(S)$$

on the Shilov boundary S of D .

Under the Fischer-Fock inner product (2.3) each finite-dimensional Hilbert space $\mathcal{P}^m(Z)$ has a reproducing kernel

$$E^m(z, w) = \sum_{\alpha} \psi_{\alpha}^m(z) \overline{\psi_{\alpha}^m(w)}$$

for any orthonormal basis $\psi_{\alpha}^m \in \mathcal{P}^m(Z)$. The Hilbert spaces $H^2(Z)$ and $H_{\nu}^2(D)$ (in the continuous Wallach set) are invariant under the action (2.1) of K . The **Faraut–Korányi binomial formula** [4]

$$\mathcal{K}^{\nu}(z, w) = \Delta(z, w)^{-\nu} = \sum_m (\nu)_m E^m(z, w) \tag{2.7}$$

implies that the inner product (2.5) on $H_{\nu}^2(D)$ and the Fischer-Fock inner product (2.3) are related by

$$(p|q)_{\nu} = \frac{(p|q)}{(\nu)_m} \tag{2.8}$$

for each partition m and all $p, q \in \mathcal{P}^m(Z)$, using the multi-variable Pochhammer symbol (2.4).

We now introduce Toeplitz operators. Let $F(z, w)$ be a sequi-holomorphic symbol function, written as a sum (or series)

$$F(z, w) = \sum_i \phi_i(z) \overline{\psi_i(w)}$$

for holomorphic functions ϕ_i, ψ_i . We are mainly concerned with sesqui-polynomials, where $\phi_i, \psi_i \in \mathcal{P}(Z)$ and the sum is finite. We write $F_w(z) := F(z, w)$ for fixed w .

Let M_{ϕ} (resp., M_{ψ}^{ν}) denote the multiplication operator by a polynomial ϕ acting on $\mathcal{P}(Z)$ or $H_{\nu}^2(D)$, respectively. The ν -th Toeplitz operator T_F^{ν} on $H_{\nu}^2(D)$ with symbol function $F|_1$ (where $F|_1$ denotes the restriction of F to the diagonal) has the form

$$T_F^{\nu} = \sum_i M_{\psi_i}^{\nu*} M_{\phi_i}^{\nu}.$$

We define similarly

$$T_F = \sum_i M_{\psi_i}^* M_{\phi_i}$$

acting on $\mathcal{P}(Z)$, or as a densely defined unbounded operator on the Fock space $H^2(Z)$. Here M_{ψ}^* is the constant coefficient differential operator associated with a polynomial ψ via the normalized inner product. Thus

$$M_{\psi}^* e^{(z|w)} = \overline{\psi(w)} e^{(z|w)}$$

for all $z, w \in Z$. For $p, q, \psi \in \mathcal{P}(Z)$ we have

$$(p|q) = M_{\psi}^* q(0)$$

and

$$(p|M_{\psi}^* q) = (M_{\psi} p|q) = (\psi \cdot p|q).$$

Note that M_{ψ}^* depends in a conjugate-linear way on ψ .

The Toeplitz calculus is sometimes called the “anti-Wick” calculus. On the other hand, the “Wick” functional calculus (normal ordering, where annihilation operators are moved to the right) yields the operator

$$F_{T^\nu} := \sum_i M_{\phi_i}^\nu M_{\psi_i}^{\nu*}$$

on $H_\nu^2(D)$, and, similarly,

$$F_T := \sum_i M_{\phi_i} M_{\psi_i}^*$$

acting on $\mathcal{P}(Z)$ or as a densely defined unbounded operator on $H^2(Z)$.

If F is K -invariant in the sense that

$$F(kz, kw) = F(z, w)$$

for all $k \in K$, then the operators T_F , T_F^ν and F_T , F_{T^ν} commute with the K -action (2.1). Since the decomposition (2.2) is multiplicity free, it follows that K -invariant operators form a commutative algebra, and every such operator T is a block-diagonal operator uniquely determined by its sequence of eigenvalues $T(\mathbf{m})$ defined by

$$Tp = T(\mathbf{m}) p$$

for all $p \in \mathcal{P}^m(Z)$. For T_F^ν , with F K -invariant, we obtain

$$T_F^\nu p =: T_F^\nu(\mathbf{m}) p$$

for all $p \in \mathcal{P}^m(Z)$, with eigenvalues given by

$$\begin{aligned} T_F^\nu(\mathbf{m}) (p|p)_\nu &= (p|T_F^\nu(\mathbf{m})p)_\nu = (p|T_F^\nu p)_\nu = (p|F| p)_\nu \\ &= c_\nu \int_D \frac{dz}{\pi^d} \overline{p(z)} \Delta(z, z)^{\nu-p} F(z, z) p(z). \end{aligned}$$

The Jordan triple determinant $\Delta(z, w) = \Delta_w(z)$ is K -invariant, and for its powers we obtain

Lemma 1.

$$T_{\Delta^\beta}^\nu(\mathbf{m}) = \frac{c_\nu}{c_{\nu+\beta}} \frac{(\nu)_\mathbf{m}}{(\nu + \beta)_\mathbf{m}}$$

Proof. Let $p \in \mathcal{P}^m(Z)$. Then (2.8) implies

$$\begin{aligned} T_{\Delta^\beta}^\nu(\mathbf{m}) (p|p)_\nu &= c_\nu \int_D \frac{dz}{\pi^d} \overline{p(z)} \Delta(z, z)^{\nu-p} \Delta(z, z)^\beta p(z) \\ &= \frac{c_\nu}{c_{\nu+\beta}} c_{\nu+\beta} \int_D \frac{dz}{\pi^d} \overline{p(z)} \Delta(z, z)^{\nu+\beta-p} p(z) \\ &= \frac{c_\nu}{c_{\nu+\beta}} (p|p)_{\nu+\beta} = \frac{c_\nu}{c_{\nu+\beta}} \frac{(\nu)_\mathbf{m}}{(\nu + \beta)_\mathbf{m}} (p|p)_\nu \end{aligned}$$

□

Proposition 2. For a K -invariant sesqui-holomorphic function F , let F^\natural denote the ν -th **Berezin transform** (defined via the Berezin symbol of operators on $H_\nu^2(D)$). Then

$$T_F^\nu(\mathbf{m}) = F_{T^\nu}^\natural(\mathbf{m}).$$

Proof. Using Einstein summation convention, the identity

$$F(z, w) \mathcal{K}^\nu(z, w) = \sum_{\mathbf{m}} (\nu)_{\mathbf{m}} F_{T^\nu}(\mathbf{m}) E^{\mathbf{m}}(z, w) \tag{2.9}$$

follows from the computation

$$\begin{aligned} F(z, w) \mathcal{K}^\nu(z, w) &= \phi_i(z) \overline{\psi_i(w)} (\mathcal{K}_z^\nu | \mathcal{K}_w^\nu)_\nu = (\overline{\phi_i(z)} \mathcal{K}_z^\nu | \overline{\psi_i(w)} \mathcal{K}_w^\nu)_\nu \\ &= (M_{\phi_i}^{\nu*} \mathcal{K}_z^\nu | M_{\psi_i}^{\nu*} \mathcal{K}_w^\nu)_\nu = (\mathcal{K}_z^\nu | M_{\phi_i}^\nu M_{\psi_i}^{\nu*} \mathcal{K}_w^\nu)_\nu = (\mathcal{K}_z^\nu | F_{T^\nu} \mathcal{K}_w^\nu)_\nu \\ &= \sum_{\mathbf{m}} (\nu)_{\mathbf{m}} (\mathcal{K}_z^\nu | F_{T^\nu} E_w^{\mathbf{m}})_\nu = \sum_{\mathbf{m}} (\nu)_{\mathbf{m}} (\mathcal{K}_z^\nu | F_{T^\nu}(\mathbf{m}) E_w^{\mathbf{m}})_\nu \\ &= \sum_{\mathbf{m}} (\nu)_{\mathbf{m}} F_{T^\nu}(\mathbf{m}) (\mathcal{K}_z^\nu | E_w^{\mathbf{m}})_\nu = \sum_{\mathbf{m}} (\nu)_{\mathbf{m}} F_{T^\nu}(\mathbf{m}) E_w^{\mathbf{m}}(z) \\ &= \sum_{\mathbf{m}} (\nu)_{\mathbf{m}} F_{T^\nu}(\mathbf{m}) E^{\mathbf{m}}(z, w). \end{aligned}$$

Similarly, the identity

$$F^\natural(z, w) \mathcal{K}^\nu(z, w) = \sum_{\mathbf{m}} (\nu)_{\mathbf{m}} T_F^\nu(\mathbf{m}) E^{\mathbf{m}}(z, w) \tag{2.10}$$

follows from the computation

$$\begin{aligned} F^\natural(z, w) \mathcal{K}^\nu(z, w) &= (\mathcal{K}_z^\nu | T_F^\nu \mathcal{K}_w^\nu)_\nu = \sum_{\mathbf{m}} (\nu)_{\mathbf{m}} (\mathcal{K}_z^\nu | T_F^\nu E_w^{\mathbf{m}})_\nu \\ &= \sum_{\mathbf{m}} (\nu)_{\mathbf{m}} (\mathcal{K}_z^\nu | T_F^\nu(\mathbf{m}) E_w^{\mathbf{m}})_\nu = \sum_{\mathbf{m}} (\nu)_{\mathbf{m}} T_F^\nu(\mathbf{m}) (\mathcal{K}_z^\nu | E_w^{\mathbf{m}})_\nu \\ &= \sum_{\mathbf{m}} (\nu)_{\mathbf{m}} T_F^\nu(\mathbf{m}) E_w^{\mathbf{m}}(z) = \sum_{\mathbf{m}} (\nu)_{\mathbf{m}} T_F^\nu(\mathbf{m}) E^{\mathbf{m}}(z, w). \end{aligned}$$

Comparing coefficients in (2.9) and (2.10), the assertion follows. □

In general, the Berezin transform of a K -invariant function is difficult to compute. For powers of Δ we obtain with Lemma 1

Corollary 3.

$$(\Delta^\beta)^\natural(z, w) \mathcal{K}^\nu(z, w) = \frac{c_\nu}{c_{\nu+\beta}} \sum_{\mathbf{m}} \frac{(\nu)_{\mathbf{m}}^2}{(\nu + \beta)_{\mathbf{m}}} E^{\mathbf{m}}(z, w)$$

3. The First Eigenvalue Formula

The Jordan triple determinant has a decomposition

$$\Delta(z, w) = \sum_{\ell=0}^r (-1)^\ell \Delta^{(\ell)}(z, w)$$

into sesqui-polynomials $\Delta^{(\ell)}$ which are homogeneous of bi-degree (ℓ, ℓ) . For $\ell = 1$ we obtain the normalized K -invariant inner product

$$\Delta^{(1)}(z, w) = (z|w).$$

If Z is of tube type with unit element e , then

$$\Delta^{(r)}(z, w) = N(z) \overline{N(w)}, \tag{3.1}$$

where N is the Jordan algebra determinant normalized by $N(e) = 1$. The first eigenvalue formula gives the eigenvalues of the K -invariant operators $\Delta_{T^\nu}^{(\ell)}$ and $\Delta_T^{(\ell)}$ for $0 \leq \ell \leq r$. This generalizes the approach in [2] for $\ell = 1$.

Consider the **fundamental partitions**

$$(\ell) := (1, \dots, 1, 0, \dots, 0) \tag{3.2}$$

with ℓ ones. Let $\psi_\alpha^{(\ell)}$ be an orthonormal basis of $\mathcal{P}^{(\ell)}(Z)$ and consider the Fischer-Fock reproducing kernel

$$E^{(\ell)}(z, w) = \sum_\alpha \psi_\alpha^{(\ell)}(z) \overline{\psi_\alpha^{(\ell)}(w)} \tag{3.3}$$

of $\mathcal{P}^{(\ell)}(Z)$. Then $\mathcal{P}^{(0)}(Z) = \mathbf{C}$ consists of constant functions and $\Delta^{(0)} = E^{(0)} = 1$. In the first interesting case $\ell = 1$ we obtain the dual space

$$\mathcal{P}^{(1)}(Z) = Z^*$$

of all linear forms on Z , and

$$\Delta^{(1)}(z, w) = E^{(1)}(z, w) = (z|w) = \sum_i (z|u_i)(u_i|w)$$

for any orthonormal basis $u_i \in Z$.

Lemma 4.

$$\Delta^{(\ell)}(z, w) = E^{(\ell)}(z, w) \prod_{j=1}^\ell (1 + \frac{a}{2}(j - 1)).$$

Proof. The Faraut–Korányi formula (2.7) applied to the parameter -1 yields

$$\Delta^{(\ell)}(z, w) = (-1)^\ell (-1)_{(\ell)} E^{(\ell)}(z, w)$$

with (positive) constant given by

$$\begin{aligned} (-1)^\ell (-1)_{(\ell)} &= (-1)^\ell \prod_{j=1}^r (-1 - \frac{a}{2}(j - 1))_{(\ell),j} \\ &= (-1)^\ell \prod_{j=1}^\ell (-1 - \frac{a}{2}(j - 1)) = \prod_{j=1}^\ell (1 + \frac{a}{2}(j - 1)). \end{aligned}$$

□

Lemma 4 implies that

$$\Delta_{T^\nu}^{(\ell)} = \prod_{j=1}^\ell (1 + \frac{a}{2}(j - 1)) E_{T^\nu}^{(\ell)} = \prod_{j=1}^\ell (1 + \frac{a}{2}(j - 1)) \sum_\alpha M_{\psi_\alpha^{(\ell)}}^\nu M_{\psi_\alpha^{(\ell)}}^{\nu*}$$

and

$$\Delta_T^{(\ell)} = \prod_{j=1}^{\ell} (1 + \frac{a}{2}(j-1)) E_T^{(\ell)} = \prod_{j=1}^{\ell} (1 + \frac{a}{2}(j-1)) \sum_{\alpha} M_{\psi_{\alpha}^{(\ell)}} M_{\psi_{\alpha}^{(\ell)}}^*.$$

In particular, for $\ell = 1$,

$$\Delta_{T^{\nu}}^{(1)} = E_{T^{\nu}}^{(1)} = \sum_i M_{(z|u_i)^{\nu}} M_{(z|u_i)^{\nu}}^*$$

and

$$\Delta_T^{(1)} = E_T^{(1)} = \sum_i M_{(z|u_i)} M_{(z|u_i)}^*.$$

For any partition \mathbf{m} and $1 \leq k \leq r$ we put

$$m'_k := m_k - \frac{a}{2}(k-1). \tag{3.4}$$

This notation (unrelated to the 'dual' partition sometimes also denoted by \mathbf{m}') will be used throughout the paper. For any ℓ -element subset $L \subset \{1, \dots, r\}$, with characteristic function

$$\chi_i^L := \begin{cases} 1 & i \in L \\ 0 & i \notin L \end{cases},$$

we define

$$\alpha_m^L := \prod_{k \in L} \left(1 + \frac{a/2}{m'_k - m'_h} \right) \tag{3.5}$$

whenever $\mathbf{m} + \chi^L$ is also a partition, and put $\alpha_m^L := 0$ otherwise. Similarly, we define

$$\beta_m^L := \prod_{k \in L} \left(1 - \frac{a/2}{m'_k - m'_h} \right) \tag{3.6}$$

whenever $\mathbf{m} - \chi^L$ is also a partition, and put $\beta_m^L := 0$ otherwise.

Proposition 5. *Assume that Z is of tube type, with unit element e . Then the spherical polynomial $\Phi^{\mathbf{m}}$ of type \mathbf{m} satisfies*

$$\Delta_e^{(\ell)} \Phi^{\mathbf{m}} = \sum_{|L|=\ell} \Phi^{\mathbf{m}+\chi^L} \alpha_m^L \tag{3.7}$$

for $0 \leq \ell \leq r$, with summation over all ℓ -element subsets $L \subset \{1, \dots, r\}$ such that $\mathbf{m} + \chi^L$ is a partition.

Proof. Choose a frame e_1, \dots, e_r with $e = e_1 + \dots + e_r$. For $t = (t_1, \dots, t_r) \in \mathbf{C}^r$ we put $t \cdot e := t_1 e_1 + \dots + t_r e_r$. Then

$$\Delta_e(t \cdot e) = \Delta(t \cdot e, e) = \prod_{i=1}^r (1 - t_i).$$

It follows that

$$\Delta_e^{(\ell)}(t \cdot e) = \sigma_{\ell}(t) \tag{3.8}$$

is the ℓ -th elementary symmetric polynomial. Now consider the '**Selberg-Jack' symmetric functions** $s_m^{a/2}$, for any partition \mathbf{m} and parameter $k = a/2$, as defined in [7] (cf. also [13]). Putting

$$f_r^{a/2}(\mathbf{m}) := \prod_{i < j} (m'_i - m'_j)_{a/2}$$

as in [7, (1.2)], it is shown in [7, identity (S) on p. 69] that

$$s_m^{a/2}(1^r) = \frac{f_r^{a/2}(\mathbf{m})}{f_r^{a/2}((0))}.$$

Therefore

$$\Phi^{\mathbf{m}}(t \cdot e) = \frac{1}{s_m^{a/2}(1^r)} s_m^{a/2}(t) = \frac{f_r^{a/2}((0))}{f_r^{a/2}(\mathbf{m})} s_m^{a/2}(t). \tag{3.9}$$

By [7, identity (U) on p. 44 and (5.2)] we have a **Pieri formula**

$$\sigma_\ell(t) s_m^{a/2}(t) = \sum_{|L|=\ell} U^{a/2}(\mathbf{m} + \chi^L/\mathbf{m}) s_{\mathbf{m}+\chi^L}^{a/2}(t)$$

where, according to [7, (3.15)], the coefficients are given by

$$U^{a/2}(\mathbf{m} + \chi^L/\mathbf{m}) = \frac{f_r^{a/2}(\mathbf{m})}{f_r^{a/2}(\mathbf{m} + \chi^L)} \prod_{i < j} \left(1 + \frac{a}{2} \frac{\chi_i^L - \chi_j^L}{m'_i - m'_j}\right).$$

In view of (3.9) this implies

$$\Delta^{(\ell)}(t \cdot e) \Phi^{\mathbf{m}}(t \cdot e) = \sum_{|L|=\ell} \Phi^{\mathbf{m}+\chi^L}(t \cdot e) \prod_{i < j} \left(1 + \frac{a}{2} \frac{\chi_i^L - \chi_j^L}{m'_i - m'_j}\right).$$

For any $i \neq j$ we have $\chi_i^L - \chi_j^L = 0$ whenever both i, j belong to L or belong to its complement. On the other hand

$$\chi_i^L - \chi_j^L = \begin{cases} 1 & i \in L, j \notin L \\ -1 & i \notin L, j \in L \end{cases}.$$

It follows that

$$\begin{aligned} \prod_{i < j} \left(1 + \frac{a}{2} \frac{\chi_i^L - \chi_j^L}{m'_i - m'_j}\right) &= \prod_{L \ni i < j \notin L} \left(1 + \frac{a/2}{m'_i - m'_j}\right) \prod_{L \not\ni i < j \in L} \left(1 - \frac{a/2}{m'_i - m'_j}\right) \\ &= \prod_{k \in L \not\ni h} \left(1 + \frac{a/2}{m'_k - m'_h}\right) = \alpha_m^L. \end{aligned} \tag{3.10}$$

Thus (3.7) holds for all $t \cdot e \in Z$. Since the spherical polynomials are uniquely determined by their values on the ‘‘diagonal’’ $t \cdot e$, the assertion follows. \square

For $\ell = 1$ we use singletons $L = \{k\}$ and obtain

$$(z|e) \Phi^{\mathbf{m}}(z) = \sum_{k=1}^r \Phi^{\mathbf{m}+\chi^{\{k\}}}(z) \alpha_m^{\{k\}} = \sum_{k=1}^r \Phi^{\mathbf{m}+\chi^{\{k\}}}(z) \prod_{h \neq k} \frac{m'_k - m'_h + \frac{a}{2}}{m'_k - m'_h}$$

in accordance with [2, Lemma 4.2].

Example 6. For the spin factor of rank $r = 2$ and $m \in \mathbb{N}$, $m \geq 1$, we obtain

$$(z|e) \Phi^{(m,0)}(z) = \Phi^{(m+1,0)}(z) \frac{m+a}{m+\frac{a}{2}} + \Phi^{(m,1)}(z) \frac{m}{m+\frac{a}{2}}.$$

Remark 7. Evaluating (3.7) at e yields the non-obvious identity

$$\binom{r}{\ell} = \sum_{|L|=\ell} \alpha_m^L \tag{3.11}$$

valid for any partition \mathbf{m} and any parameter a . This is explicitly stated (for $k = \frac{a}{2}$) in [7, (6.23)] in the form

$$\binom{r}{\ell} \prod_{1 \leq i < j \leq r} (m_i - m_j + (j-i)\frac{a}{2}) = \sum_{|L|=\ell} \prod_{1 \leq i < j \leq r} (m_i + \frac{a}{2}\chi_i^L - m_j - \frac{a}{2}\chi_j^L + (j-i)\frac{a}{2}).$$

With (3.4) this yields

$$\binom{r}{\ell} = \sum_{|L|=\ell} \prod_{1 \leq i < j \leq r} \frac{m'_i + \frac{a}{2}\chi_i^L - m'_j - \frac{a}{2}\chi_j^L}{m'_i - m'_j}$$

which, by (3.10), implies (3.11).

For any parameter ν define

$$(\nu)_m^L := \prod_{k \in L} (\nu + m'_k). \tag{3.12}$$

Lemma 8.

$$\frac{(\nu)_{\mathbf{m}+\chi^L}}{(\nu)_{\mathbf{m}}} = (\nu)_m^L.$$

In particular, for a singleton $L = \{k\}$

$$\frac{(\nu)_{\mathbf{m}+\chi^{\{k\}}}}{(\nu)_{\mathbf{m}}} = (\nu)_m^{\{k\}} = \nu + m'_k$$

Proof.

$$\begin{aligned} \frac{(\nu)_{\mathbf{m}+\chi^L}}{(\nu)_{\mathbf{m}}} &= \prod_{k=1}^r \frac{(\nu - \frac{a}{2}(k-1))_{m_k+\chi_k^L}}{(\nu - \frac{a}{2}(k-1))_{m_k}} \\ &= \prod_{k \in L} \frac{(\nu - \frac{a}{2}(k-1))_{m_k+1}}{(\nu - \frac{a}{2}(k-1))_{m_k}} \\ &= \prod_{k \in L} (\nu - \frac{a}{2}(k-1) + m_k) = \prod_{k \in L} (\nu + m'_k) \end{aligned}$$

□

The **dimension** $d_m := \dim \mathcal{P}^m(Z)$ has been computed in [15, Lemma 2.6] (for tube domains) and [15, Lemma 2.7] (general case). It satisfies

$$C \frac{(d_e/r)_m}{(d/r)_m} d_m = \prod_{i < j} (m'_i - m'_j) (m'_i - m'_j + 1 - \frac{a}{2})_{a-1}$$

$$\begin{aligned}
 &= \prod_{i < j} (m'_i - m'_j) \frac{\Gamma(m'_i - m'_j + \frac{a}{2})}{\Gamma(m'_i - m'_j + 1 - \frac{a}{2})} \\
 &= \prod_{i < j} \frac{m'_i - m'_j}{m'_i - m'_j - \frac{a}{2}} \frac{\Gamma(m'_i - m'_j + \frac{a}{2})}{\Gamma(m'_i - m'_j - \frac{a}{2})}. \tag{3.13}
 \end{aligned}$$

Here the constant

$$\begin{aligned}
 C &= \prod_{i < j} (0'_i - 0'_j) (0'_i - 0'_j + 1 - \frac{a}{2})_{a-1} \\
 &= \prod_{i < j} (\frac{a}{2}(j - i)) (1 + \frac{a}{2}(j - i - 1))_{a-1} \tag{3.14}
 \end{aligned}$$

is determined by the condition $d_{(0)} = 1$, corresponding to $\mathcal{P}^{(0)}(Z) = \mathbf{C}$.

Lemma 9. *For the partitions (ℓ) the dimension is given by*

$$d_{(\ell)} = \binom{r}{\ell} \frac{(d/r)_{(\ell)}}{\prod_{j=1}^{\ell} (1 + \frac{a}{2}(j - 1))} = \binom{r}{\ell} \prod_{j=1}^{\ell} \frac{1 + b + \frac{a}{2}(r - j)}{1 + \frac{a}{2}(j - 1)}$$

Proof. This follows, with (3.13) and (3.14), from the computation

$$\begin{aligned}
 &\prod_{i < j} \frac{(\ell'_i - (\ell'_j))}{\frac{a}{2}(j - i)} \frac{((\ell'_i - (\ell'_j) + 1 - \frac{a}{2})_{a-1}}{(1 + \frac{a}{2}(j - i - 1))_{a-1}} \\
 &= \prod_{i < j} \frac{(\ell)_i - (\ell)_j + \frac{a}{2}(j - i)}{\frac{a}{2}(j - i)} \frac{((\ell)_i - (\ell)_j + 1 + \frac{a}{2}(j - i - 1))_{a-1}}{(1 + \frac{a}{2}(j - i - 1))_{a-1}} \\
 &= \prod_{i \leq \ell < j} \frac{1 + \frac{a}{2}(j - i)}{\frac{a}{2}(j - i)} \frac{(2 + \frac{a}{2}(j - i - 1))_{a-1}}{(1 + \frac{a}{2}(j - i - 1))_{a-1}} \\
 &= \prod_{i \leq \ell < j} \frac{1 + \frac{a}{2}(j - i)}{\frac{a}{2}(j - i)} \frac{\frac{a}{2}(j - i + 1)}{1 + \frac{a}{2}(j - i - 1)} \\
 &= \prod_{i=1}^{\ell} \prod_{j=\ell+1}^r \frac{1 + \frac{a}{2}(j - i)}{1 + \frac{a}{2}(j - i - 1)} \frac{\frac{a}{2}(j - i + 1)}{\frac{a}{2}(j - i)} \\
 &= \prod_{i=1}^{\ell} \frac{1 + \frac{a}{2}(r - i)}{1 + \frac{a}{2}(\ell - i)} \frac{\frac{a}{2}(r + 1 - i)}{\frac{a}{2}(\ell + 1 - i)} \\
 &= \frac{(d_e/r)_{(\ell)}}{\prod_{k=1}^{\ell} (1 + \frac{a}{2}(k - 1))} \binom{r}{\ell}
 \end{aligned}$$

using

$$\prod_{i=1}^{\ell} \frac{\frac{a}{2}(r + 1 - i)}{\frac{a}{2}(\ell + 1 - i)} = \prod_{i=1}^{\ell} \frac{r + 1 - i}{\ell + 1 - i} = \frac{r(r - 1) \cdots (r - \ell)}{\ell!} = \binom{r}{\ell}$$

and

$$\prod_{i=1}^{\ell} (1 + \frac{a}{2}(r - i)) = \prod_{i=1}^{\ell} (1 + \frac{a}{2}(r - 1) - \frac{a}{2}(i - 1)) = (d_e/r)_{(\ell)}.$$

□

For $\ell = 1$ we obtain

$$d_{(1,0,\dots,0)} = d_{(1)} = \binom{r}{1} (d/r)_{(1)} = r \frac{d}{r} = d$$

for $\mathcal{P}^{(1)}(Z) = Z^*$. Here the above computation (say, for tube type domains) simplifies to

$$\begin{aligned} d_{(1,0,\dots,0)} &= \prod_{j=2}^r \frac{1 + \frac{a}{2}(j - 1)}{\frac{a}{2}(j - 1)} \cdot \frac{\frac{a}{2}j}{1 + \frac{a}{2}(j - 2)} = \prod_{j=2}^r \frac{1 + \frac{a}{2}(j - 1)}{1 + \frac{a}{2}(j - 2)} \cdot \frac{\frac{a}{2}j}{\frac{a}{2}(j - 1)} \\ &= \frac{1 + \frac{a}{2}(r - 1)}{1} \cdot \frac{\frac{a}{2}r}{\frac{a}{2}} = (1 + \frac{a}{2}(r - 1)) \cdot r = d. \end{aligned}$$

Example 10. For the spin factor Z and $m \in \mathbf{N}$, $\mathcal{P}^{(m,0)}(Z)$ is the space of all m -homogeneous harmonic polynomials in d variables. Since $a = d - 2$ and $b = 0$ in this case, we obtain the well-known dimension formula

$$\begin{aligned} d_{(m,0)} &= \frac{m + \frac{a}{2}}{\frac{a}{2}} \cdot \frac{\Gamma(m + a)}{\Gamma(m + 1)} \cdot \frac{\Gamma(1)}{\Gamma(a)} \\ &= \frac{2m + a}{a} \cdot \frac{(m + a - 1)!}{m!(a - 1)!} = \frac{(2m + d - 2)(m + d - 3)!}{m!(d - 2)!} \end{aligned}$$

Proposition 11. *Suppose \mathbf{m} and $\mathbf{m} + \chi^L$ are partitions. Then*

$$\frac{d_{\mathbf{m}}}{d_{\mathbf{m} + \chi^L}} = \frac{(d_e/r)_{\mathbf{m}}^L}{(d/r)_{\mathbf{m}}^L} \frac{\beta_{\mathbf{m} + \chi^L}^L}{\alpha_{\mathbf{m}}^L}.$$

Proof. For any $i \neq j$ we have $(\mathbf{m} + \chi^L)_i - (\mathbf{m} + \chi^L)_j = m_i - m_j$ whenever both i, j belong to L or belong to its complement. On the other hand

$$(\mathbf{m} + \chi^L)_i - (\mathbf{m} + \chi^L)_j = \begin{cases} m_i + 1 - m_j & i \in L, j \notin L \\ m_i - m_j - 1 & i \notin L, j \in L \end{cases}.$$

By (3.13) we have

$$\begin{aligned} \frac{(d/r)_{\mathbf{m}}^L}{(d_e/r)_{\mathbf{m}}^L} \frac{d_{\mathbf{m}}}{d_{\mathbf{m} + \chi^L}} &= \frac{(d_e/r)_{\mathbf{m}}}{(d/r)_{\mathbf{m}}} \frac{(d/r)_{\mathbf{m} + \chi^L}}{(d_e/r)_{\mathbf{m} + \chi^L}} \frac{d_{\mathbf{m}}}{d_{\mathbf{m} + \chi^L}} \\ &= \frac{(d/r)_{\mathbf{m} + \chi^L}}{(d/r)_{\mathbf{m}}} \frac{(d_e/r)_{\mathbf{m}}}{(d_e/r)_{\mathbf{m} + \chi^L}} \frac{d_{\mathbf{m}}}{d_{\mathbf{m} + \chi^L}} \\ &= \prod_{L \ni i < j \notin L} \frac{m'_i - m'_j}{m'_i - m'_j + 1} \cdot \frac{(m'_i - m'_j + 1 - \frac{a}{2})_{a-1}}{(m'_i - m'_j + 2 - \frac{a}{2})_{a-1}} \\ &\quad \cdot \prod_{L \not\ni i < j \in L} \frac{m'_i - m'_j}{m'_i - m'_j - 1} \cdot \frac{(m'_i - m'_j + 1 - \frac{a}{2})_{a-1}}{(m'_i - m'_j - \frac{a}{2})_{a-1}} \end{aligned}$$

$$\begin{aligned}
 &= \prod_{L \ni i < j \notin L} \frac{m'_i - m'_j}{m'_i - m'_j + 1} \cdot \frac{m'_i - m'_j + 1 - \frac{a}{2}}{m'_i - m'_j + \frac{a}{2}} \\
 &\quad \cdot \prod_{L \not\ni i < j \in L} \frac{m'_i - m'_j}{m'_i - m'_j - 1} \cdot \frac{m'_i - m'_j - 1 + \frac{a}{2}}{m'_i - m'_j - \frac{a}{2}} \\
 &= \prod_{L \ni i < j \notin L} \frac{m'_i - m'_j}{m'_i - m'_j + \frac{a}{2}} \cdot \frac{m'_i - m'_j + 1 - \frac{a}{2}}{m'_i - m'_j + 1} \\
 &\quad \cdot \prod_{L \not\ni i < j \in L} \frac{m'_i - m'_j}{m'_i - m'_j - \frac{a}{2}} \cdot \frac{m'_i - m'_j - 1 + \frac{a}{2}}{m'_i - m'_j - 1} \\
 &= \prod_{k \in L, \not\ni h} \frac{m'_k - m'_h}{m'_k - m'_h + \frac{a}{2}} \cdot \frac{m'_k - m'_h + 1 - \frac{a}{2}}{m'_k - m'_h + 1} \\
 &= \prod_{k \in L, \not\ni h} \frac{1 - \frac{a/2}{m'_k - m'_h + 1}}{1 + \frac{a/2}{m'_k - m'_h}} = \frac{\beta_{m+\chi^L}^L}{\alpha_m^L}
 \end{aligned}$$

□

Proposition 12. *Let $0 \leq \ell \leq r$ and $w \in Z$. Then*

$$\Delta_w^{(\ell)} E_w^m = \sum_{|L|=\ell} (d_e/r)_m^L \beta_{m+\chi^L}^L E_w^{m+\chi^L}. \tag{3.15}$$

Proof. We first show that (3.15) holds for any maximal tripotent $w = e \in Z$. Assume first that Z is of tube type. Since

$$\Delta_e^{(\ell)} = \binom{r}{\ell} \Phi^{(\ell)}$$

by (3.8) and

$$E_e^m = \frac{d_m}{(d/r)_m} \Phi^m$$

as a consequence of Schur orthogonality [5], it follows that

$$\begin{aligned}
 \Delta_e^{(\ell)} E_e^m &= \frac{d_m}{(d/r)_m} \Delta_e^{(\ell)} \Phi^m = \frac{d_m}{(d/r)_m} \sum_{|L|=\ell} \alpha_m^L \Phi^{m+\chi^L} \\
 &= \frac{d_m}{(d/r)_m} \sum_{|L|=\ell} \frac{(d/r)_{m+\chi^L}}{d_{m+\chi^L}} \alpha_m^L E_e^{m+\chi^L} \\
 &= \sum_{|L|=\ell} \frac{(d/r)_{m+\chi^L}}{(d/r)_m} \frac{d_m}{d_{m+\chi^L}} \alpha_m^L E_e^{m+\chi^L} \\
 &= \sum_{|L|=\ell} (d/r)_m^L \cdot \frac{(d_e/r)_m^L}{(d/r)_m^L} \frac{\beta_{m+\chi^L}^L}{\alpha_m^L} \cdot \alpha_m^L E_e^{m+\chi^L} \\
 &= \sum_{|L|=\ell} (d_e/r)_m^L \beta_{m+\chi^L}^L E_e^{m+\chi^L}.
 \end{aligned}$$

If Z is not of tube type, we have $\Delta_e^{(\ell)}(z) = \Lambda_e^{(\ell)}(Pz)$ and $E_e^m(z) = E_e^m(Pz)$, where $P : Z \rightarrow Z_2(e)$ is the Peirce 2-projection. Thus (3.15) for $w = e$ holds for Z . Since both sides of (3.15) are K -invariant and the orbit $S = K \cdot e$ is a set of uniqueness for (anti)-holomorphic functions, the assertion follows for all $w \in Z$. \square

Lemma 13. *For $0 \leq \ell \leq r$ we have*

$$\Delta^{(\ell)} = \frac{\binom{r}{\ell} (d/r)_{(\ell)}}{d_{(\ell)}} E^{(\ell)}.$$

Proof. This follows from $\Delta_{e,e}^{(\ell)} = \binom{r}{\ell}$ and $E_{e,e}^{(\ell)} = \frac{d_{(\ell)}}{(d/r)_{(\ell)}}$. As a double check, the same result is obtained by combining Lemmas 9 and 4. \square

For any polynomial $p \in \mathcal{P}(Z)$ we denote by $p_m \in \mathcal{P}^m(Z)$ its m -th component under the Peter–Weyl decomposition (2.2).

Proposition 14. *Let $p \in \mathcal{P}^m(Z)$. Then for each ℓ -element subset L such that $m - \chi^L$ is a partition, we have (on the Fock space)*

$$\sum_{\alpha} \psi_{\alpha}^{(\ell)}(M_{\psi_{\alpha}^{(\ell)}}^* p)_{m-\chi^L} = p \frac{d_{(\ell)}}{\binom{r}{\ell} (d/r)_{(\ell)}} (d_e/r)_{m-\chi^L}^L \beta_m^L$$

and

$$\sum_{\alpha} \|(M_{\psi_{\alpha}^{(\ell)}}^* p)_{m-\chi^L}\|^2 = \|p\|^2 \frac{d_{(\ell)}}{\binom{r}{\ell} (d/r)_{(\ell)}} (d_e/r)_{m-\chi^L}^L \beta_m^L$$

Proof. We follow the argument, for $\ell = 1$, contained in [2]. For fixed w we have

$$E_w^{(\ell)} = \sum_{\alpha} \psi_{\alpha}^{(\ell)} \overline{\psi_{\alpha}^{(\ell)}(w)}$$

by (3.3). With Lemma 13 and Proposition 12 it follows that

$$\begin{aligned} \sum_{\alpha} \psi_{\alpha}^{(\ell)}(w) (M_{\psi_{\alpha}^{(\ell)}}^* p)_{m-\chi^L}(w) &= \psi_{\alpha}^{(\ell)}(w) (E_w^{m-\chi^L} |M_{\psi_{\alpha}^{(\ell)}}^* p) \\ &= \sum_{\alpha} \psi_{\alpha}^{(\ell)}(w) (\psi_{\alpha}^{(\ell)} E_w^{m-\chi^L} |p) \\ &= \sum_{\alpha} \left(\overline{\psi_{\alpha}^{(\ell)}(w)} \psi_{\alpha}^{(\ell)} E_w^{m-\chi^L} |p \right) \\ &= (E_w^{(\ell)} E_w^{m-\chi^L} |p) = \left((E_w^{(\ell)} E_w^{m-\chi^L})_m |p \right) \\ &= \frac{d_{(\ell)}}{\binom{r}{\ell} (d/r)_{(\ell)}} (d_e/r)_{m-\chi^L}^L \beta_m^L (E_w^m |p) \\ &= \frac{d_{(\ell)}}{\binom{r}{\ell} (d/r)_{(\ell)}} (d_e/r)_{m-\chi^L}^L \beta_m^L p(w). \end{aligned}$$

For the second assertion,

$$\sum_{\alpha} \|(M_{\psi_{\alpha}^{(\ell)}}^* p)_{m-\chi^L}\|^2 = \sum_{\alpha} ((M_{\psi_{\alpha}^{(\ell)}}^* p)_{m-\chi^L} | (M_{\psi_{\alpha}^{(\ell)}}^* p)_{m-\chi^L})$$

$$\begin{aligned}
 &= \sum_{\alpha} (M_{\psi_{\alpha}^{(\ell)}}^* p | (M_{\psi_{\alpha}^{(\ell)}}^* p)_{\mathbf{m}-\chi^L}) \\
 &= \sum_{\alpha} (p | \psi_{\alpha}^{(\ell)} (M_{\psi_{\alpha}^{(\ell)}}^* p)_{\mathbf{m}-\chi^L}) \\
 &= \frac{d_{(\ell)}}{\binom{r}{\ell} (d/r)_{(\ell)}} (d_e/r)_{\mathbf{m}-\chi^L}^L \beta_{\mathbf{m}}^L \|p\|^2
 \end{aligned}$$

□

For the weighted Bergman spaces we obtain

Lemma 15. *Let $p \in \mathcal{P}^m(Z)$ and $\phi \in \mathcal{P}^{(\ell)}(Z)$. Then*

$$(M_{\phi}^{\nu*} p)_{\mathbf{m}-\chi^L} = \frac{(\nu)_{\mathbf{m}-\chi^L}}{(\nu)_{\mathbf{m}}} (M_{\phi}^* p)_{\mathbf{m}-\chi^L} = \frac{1}{(\nu)_{\mathbf{m}-\chi^L}^L} (M_{\phi}^* p)_{\mathbf{m}-\chi^L}.$$

Proof. Let $q \in \mathcal{P}^{m-\chi^L}(Z)$. Then, with (2.8),

$$\begin{aligned}
 \left((M_{\phi}^{\nu*} p)_{\mathbf{m}-\chi^L} | q \right)_{\nu} &= (M_{\phi}^{\nu*} p | q)_{\nu} = (p | \phi q)_{\nu} = \frac{1}{(\nu)_{\mathbf{m}}} (p | \phi q) = \frac{1}{(\nu)_{\mathbf{m}}} (M_{\phi}^* p | q) \\
 &= \frac{(\nu)_{\mathbf{m}-\chi^L}}{(\nu)_{\mathbf{m}}} (M_{\phi}^* p | q)_{\nu} = \frac{(\nu)_{\mathbf{m}-\chi^L}}{(\nu)_{\mathbf{m}}} \left((M_{\phi}^* p)_{\mathbf{m}-\chi^L} | q \right)_{\nu}.
 \end{aligned}$$

Since q is arbitrary, the assertion follows. □

The **first eigenvalue formula** is the following:

Theorem 16. *For $0 \leq \ell \leq r$ the K -invariant operators $\Delta_T^{(\ell)}$ and $\Delta_{T\nu}^{(\ell)}$ have the eigenvalues*

$$\Delta_T^{(\ell)}(\mathbf{m}) = \sum_{|L|=\ell} (d_e/r)_{\mathbf{m}-\chi^L}^L \beta_{\mathbf{m}}^L$$

and

$$\Delta_{T\nu}^{(\ell)}(\mathbf{m}) = \sum_{|L|=\ell} \frac{(d_e/r)_{\mathbf{m}-\chi^L}^L}{(\nu)_{\mathbf{m}-\chi^L}^L} \beta_{\mathbf{m}}^L,$$

with $\beta_{\mathbf{m}}^L$ defined in (3.6).

Proof. If $p \in \mathcal{P}^m(Z)$ then

$$E_T^{(\ell)} p = \sum_{\alpha} \psi_{\alpha}^{(\ell)} (M_{\psi_{\alpha}^{(\ell)}}^* p) = \sum_{|L|=\ell} \sum_{\alpha} \psi_{\alpha}^{(\ell)} (M_{\psi_{\alpha}^{(\ell)}}^* p)_{\mathbf{m}-\chi^L}.$$

In view of Lemma 13, the first assertion for the Fock space follows from Proposition 14. For the second assertion, we use Lemma 15 and obtain, for each subset L such that $\mathbf{m} - \chi^L$ is a partition

$$\begin{aligned}
 \sum_{\alpha} M_{\psi_{\alpha}^{(\ell)}}^{\nu} (M_{\psi_{\alpha}^{(\ell)}}^* p)_{\mathbf{m}-\chi^L} &= \sum_{\alpha} \psi_{\alpha}^{(\ell)} (M_{\psi_{\alpha}^{(\ell)}}^{\nu*} p)_{\mathbf{m}-\chi^L} \\
 &= \frac{1}{(\nu)_{\mathbf{m}-\chi^L}^L} \sum_{\alpha} \psi_{\alpha}^{(\ell)} (M_{\psi_{\alpha}^{(\ell)}}^* p)_{\mathbf{m}-\chi^L} \\
 &= \frac{1}{(\nu)_{\mathbf{m}-\chi^L}^L} \frac{d_{(\ell)}}{\binom{r}{\ell} (d/r)_{(\ell)}} (d_e/r)_{\mathbf{m}-\chi^L}^L \beta_{\mathbf{m}}^L p.
 \end{aligned}$$

Now the assertion follows from

$$\Delta_{T^\nu}^{(\ell)} = \binom{r}{\ell} \frac{(d/r)_{(\ell)}}{d_{(\ell)}} E_{T^\nu}^{(\ell)} = \binom{r}{\ell} \frac{(d/r)_{(\ell)}}{d_{(\ell)}} \sum_{\alpha} M_{\psi_\alpha}^\nu M_{\psi_\alpha}^{\nu*}.$$

□

Example 17. For $\ell = 1$ we obtain the formula

$$\begin{aligned} \Delta_{T^\nu}^{(1)}(\mathbf{m}) &= \left(\sum_i M_{(z|u_i)}^\nu M_{(z|u_i)}^{\nu*} \right)(\mathbf{m}) = \sum_{k=1}^r \frac{m'_k + \frac{d_e}{r} - 1}{m'_k + \nu - 1} \beta_{\mathbf{m}}^{\{k\}} \\ &= \sum_{k=1}^r \frac{m'_k + \frac{d_e}{r} - 1}{m'_k + \nu - 1} \prod_{h \neq k} \frac{m'_k - m'_h - \frac{a}{2}}{m'_k - m'_h} \end{aligned}$$

previously obtained in [2, Proposition 4.4].

Example 18. For $\ell = r$, $L = \{1, \dots, r\}$ we have $\beta_{\mathbf{m}}^L = 1$ (empty product). If Z is of tube type then $d_e = d$. Using (3.1) Theorem 16 simplifies to

$$\begin{aligned} (M_N M_N^*)(\mathbf{m}) &= \Delta_T^{(r)}(\mathbf{m}) = \frac{(d/r)_{\mathbf{m}}}{(d/r)_{\mathbf{m}-1}}, \\ (M_N^\nu M_N^{\nu*})(\mathbf{m}) &= \Delta_{T^\nu}^{(r)}(\mathbf{m}) = \frac{(d/r)_{\mathbf{m}}}{(d/r)_{\mathbf{m}-1}} \frac{(\nu)_{\mathbf{m}-1}}{(\nu)_{\mathbf{m}}}. \end{aligned}$$

4. The Second Eigenvalue Formula

The second eigenvalue formula gives the eigenvalues of the K -invariant operators $T_{\Delta^{(\ell)}}^\nu$ and $T_{\Delta^{(\ell)}}$, for $0 \leq \ell \leq r$. In this case the previous arguments, based on reproducing kernel identities, do not apply immediately.

Lemma 19. *Under the K -action (2.1) on polynomials, we have*

$$M_p^*(k^{-1} \cdot q) = k^{-1} \cdot (M_{k \cdot p}^* q).$$

Proof. It suffices to check for linear polynomials $p(z) = (z|u)$, where $u \in Z$. We have

$$\begin{aligned} (M_{(z|u)}^*(k^{-1} \cdot q))(z) &= (k^{-1} \cdot q)'(z)u = (q \circ k)'(z)u \\ &= q'(kz)ku = (M_{(z|ku)}^* q)(kz) = k^{-1} \cdot (M_{(z|ku)}^* q)(z). \end{aligned}$$

Since $(k \cdot p)(z) = p(k^{-1}z) = (k^{-1}z|u) = (z|ku)$, the assertion follows. □

Lemma 20. *Let ϕ_α and ψ_β be orthonormal bases of $\mathcal{P}^{(\ell)}(Z)$. Then for any sesqui-linear form $\langle \phi | \psi \rangle$ on $\mathcal{P}^{(\ell)}(Z)$ we have*

$$\sum_{\alpha} \langle \phi_\alpha | \phi_\alpha \rangle = \sum_{\beta} \langle \psi_\beta | \psi_\beta \rangle$$

Proof. Using Einstein summation convention to simplify notation, we have $\phi_\alpha = \Lambda_\alpha^\beta \psi_\beta$ for a unitary 'matrix' Λ . Then

$$\begin{aligned} \langle \phi_\alpha | \phi_\alpha \rangle &= \langle \Lambda_\alpha^\sigma \psi_\sigma | \Lambda_\alpha^\tau \psi_\tau \rangle = \overline{\Lambda_\alpha^\sigma} \langle \psi_\sigma | \psi_\tau \rangle \Lambda_\alpha^\tau \\ &= \langle \psi_\sigma | \psi_\tau \rangle (\Lambda^* \Lambda)_{\sigma\tau}^\alpha = \langle \psi_\sigma | \psi_\tau \rangle \delta_\sigma^\tau = \langle \psi_\sigma | \psi_\sigma \rangle. \end{aligned}$$

□

For any $p, q \in \mathcal{P}(Z)$ the map $(\phi, \psi) \mapsto \langle \phi | \psi \rangle := (p | M_{\phi}^* q) (M_{\psi}^* q | p)$ is sesqui-linear. Hence Lemma 20 implies for each $k \in K$

$$\begin{aligned} \sum_{\alpha} (p | M_{k \cdot \psi_{\alpha}^{(\ell)}}^* q) (M_{k \cdot \psi_{\alpha}^{(\ell)}}^* q | p) &= \sum_{\alpha} \langle k \cdot \psi_{\alpha}^{(\ell)} | k \cdot \psi_{\alpha}^{(\ell)} \rangle \\ &= \sum_{\alpha} \langle \psi_{\alpha}^{(\ell)} | \psi_{\alpha}^{(\ell)} \rangle \\ &= \sum_{\alpha} (p | M_{\psi_{\alpha}^{(\ell)}}^* q) (M_{\psi_{\alpha}^{(\ell)}}^* q | p), \end{aligned} \tag{4.1}$$

since $k \cdot \psi_{\alpha}^{(\ell)}$ is also an orthonormal basis.

Proposition 21. *Let \mathbf{m} and $\mathbf{m} + \chi^L$ be partitions. Then we have (on the Fock space)*

$$\sum_{\alpha} \|(\psi_{\alpha}^{(\ell)} p)_{\mathbf{m} + \chi^L}\|^2 = \|p\|^2 \frac{d_{(\ell)}}{\binom{r}{\ell} (d/r)_{(\ell)}} (d/r)_{\mathbf{m}}^L \alpha_{\mathbf{m}}^L$$

and

$$\sum_{\alpha} M_{\psi_{\alpha}^{(\ell)}}^* (\psi_{\alpha}^{(\ell)} p)_{\mathbf{m} + \chi^L} = p \frac{d_{(\ell)}}{\binom{r}{\ell} (d/r)_{(\ell)}} (d/r)_{\mathbf{m}}^L \alpha_{\mathbf{m}}^L$$

for all $p \in \mathcal{P}^{\mathbf{m}}(Z)$.

Proof. Let $q \in \mathcal{P}^{\mathbf{m} + \chi^L}(Z)$. Schur orthogonality applied to $\mathcal{P}^{\mathbf{m} + \chi^L}(Z)$ yields for each α

$$\begin{aligned} &\frac{\|q\|^2}{d_{\mathbf{m} + \chi^L}} \|(\psi_{\alpha}^{(\ell)} p)_{\mathbf{m} + \chi^L}\|^2 \\ &= \int_K dk \left((\psi_{\alpha}^{(\ell)} p)_{\mathbf{m} + \chi^L} | k^{-1} \cdot q \right) \left(k^{-1} \cdot q | (\psi_{\alpha}^{(\ell)} p)_{\mathbf{m} + \chi^L} \right) \\ &= \int_K dk \left(\psi_{\alpha}^{(\ell)} p | k^{-1} \cdot q \right) \left(k^{-1} \cdot q | \psi_{\alpha}^{(\ell)} p \right) \\ &= \int_K dk \left(p | M_{\psi_{\alpha}^{(\ell)}}^* (k^{-1} \cdot q) \right) \left(M_{\psi_{\alpha}^{(\ell)}}^* (k^{-1} \cdot q) | p \right) \\ &= \int_K dk \left(p | k^{-1} \cdot (M_{k \cdot \psi_{\alpha}^{(\ell)}}^* q) \right) \left(k^{-1} \cdot (M_{k \cdot \psi_{\alpha}^{(\ell)}}^* q) | p \right) \\ &= \int_K dk \left(k \cdot p | M_{k \cdot \psi_{\alpha}^{(\ell)}}^* q \right) \left(M_{k \cdot \psi_{\alpha}^{(\ell)}}^* q | k \cdot p \right). \end{aligned}$$

With (4.1) and Schur orthogonality applied to $\mathcal{P}^{\mathbf{m}}(Z)$ we obtain

$$\begin{aligned} &\frac{\|q\|^2}{d_{\mathbf{m} + \chi^L}} \sum_{\alpha} \|(\psi_{\alpha}^{(\ell)} p)_{\mathbf{m} + \chi^L}\|^2 \\ &= \int_K dk \sum_{\alpha} \left(k \cdot p | M_{k \cdot \psi_{\alpha}^{(\ell)}}^* q \right) \left(M_{k \cdot \psi_{\alpha}^{(\ell)}}^* q | k \cdot p \right) \end{aligned}$$

$$\begin{aligned}
 &= \int_K dk \sum_{\alpha} \left(k \cdot p | M_{\psi_{\alpha}^{(\ell)}}^* q \right) \left(M_{\psi_{\alpha}^{(\ell)}}^* q | k \cdot p \right) \\
 &= \sum_{\alpha} \int_K dk \left(k \cdot p | (M_{\psi_{\alpha}^{(\ell)}}^* q)_m \right) \left((M_{\psi_{\alpha}^{(\ell)}}^* q)_m | k \cdot p \right) \\
 &= \frac{\|p\|^2}{d_m} \sum_{\alpha} \|(M_{\psi_{\alpha}^{(\ell)}}^* q)_m\|^2 \\
 &= \frac{\|p\|^2}{d_m} \frac{d_{(\ell)}}{\binom{r}{\ell} (d/r)_{(\ell)}} (d_e/r)_m^L \beta_{m+\chi^L}^L \|q\|^2,
 \end{aligned}$$

where in the last step we use Proposition 14. It follows that

$$\begin{aligned}
 \sum_{\alpha} \|(\psi_{\alpha}^{(\ell)} p)_{m+\chi^L}\|^2 &= \|p\|^2 \frac{d_{(\ell)}}{\binom{r}{\ell} (d/r)_{(\ell)}} \frac{d_{m+\chi^L}}{d_m} (d_e/r)_m^L \beta_{m+\chi^L}^L \\
 &= \|p\|^2 \frac{d_{(\ell)}}{\binom{r}{\ell} (d/r)_{(\ell)}} \frac{(d/r)_m^L}{(d_e/r)_m^L} \frac{\alpha_m^L}{\beta_{m+\chi^L}^L} (d_e/r)_m^L \beta_{m+\chi^L}^L \\
 &= \|p\|^2 \frac{d_{(\ell)}}{\binom{r}{\ell} (d/r)_{(\ell)}} (d/r)_m^L \alpha_m^L.
 \end{aligned}$$

This proves the first assertion. The second assertion follows, since

$\sum_{\alpha} M_{\psi_{\alpha}^{(\ell)}}^* (\psi_{\alpha}^{(\ell)} p)_{m+\chi^L}$ is a multiple of p and

$$\begin{aligned}
 \sum_{\alpha} \left(p | M_{\psi_{\alpha}^{(\ell)}}^* (\psi_{\alpha}^{(\ell)} p)_{m+\chi^L} \right) &= \sum_{\alpha} (\psi_{\alpha}^{(\ell)} p | (\psi_{\alpha}^{(\ell)} p)_{m+\chi^L}) \\
 &= \sum_{\alpha} ((\psi_{\alpha}^{(\ell)} p)_{m+\chi^L} | (\psi_{\alpha}^{(\ell)} p)_{m+\chi^L}) \\
 &= \sum_{\alpha} \|(\psi_{\alpha}^{(\ell)} p)_{m+\chi^L}\|^2.
 \end{aligned}$$

□

The **second eigenvalue formula** is the following:

Theorem 22. For $0 \leq \ell \leq r$ the K -invariant operators $T_{\Delta^{(\ell)}}$ and $T_{\Delta^{(\ell)}}^{\nu}$ have the eigenvalues

$$T_{\Delta^{(\ell)}}(\mathbf{m}) = \sum_{|L|=\ell} (d/r)_m^L \alpha_m^L$$

and

$$T_{\Delta^{(\ell)}}^{\nu}(\mathbf{m}) = \sum_{|L|=\ell} \frac{(d/r)_m^L}{(\nu)_m^L} \alpha_m^L$$

with α_m^L defined in (3.5).

Proof. Let $p \in \mathcal{P}^m(Z)$. By Lemma 15 applied to $\mathbf{m} + \chi^L$ we have

$$\begin{aligned} \sum_{\alpha} M_{\psi_{\alpha}^{(\ell)}}^{\nu^*} (M_{\psi_{\alpha}^{(\ell)}}^{\nu} p)_{\mathbf{m} + \chi^L} &= \sum_{\alpha} M_{\psi_{\alpha}^{(\ell)}}^{\nu^*} (\psi_{\alpha}^{(\ell)} p)_{\mathbf{m} + \chi^L} \\ &= \frac{1}{(\nu)_{\mathbf{m}}^L} \sum_{\alpha} M_{\psi_{\alpha}^{(\ell)}}^* (\psi_{\alpha}^{(\ell)} p)_{\mathbf{m} + \chi^L} \\ &= p \frac{d_{(\ell)}}{\binom{r}{\ell} (d/r)_{(\ell)}} \frac{(d/r)_{\mathbf{m}}^L}{(\nu)_{\mathbf{m}}^L} \alpha_{\mathbf{m}}^L. \end{aligned}$$

Since

$$T_{\Delta^{(\ell)}}^{\nu} p = \frac{\binom{r}{\ell} (d/r)_{(\ell)}}{d_{(\ell)}} T_{E^{(\ell)}}^{\nu} p = \frac{\binom{r}{\ell} (d/r)_{(\ell)}}{d_{(\ell)}} \sum_{|L|=\ell} \sum_{\alpha} M_{\psi_{\alpha}^{(\ell)}}^{\nu^*} (M_{\psi_{\alpha}^{(\ell)}}^{\nu} p)_{\mathbf{m} + \chi^L}$$

the assertion follows by summing over all ℓ -element subsets L such that $\mathbf{m} + \chi^L$ is a partition. The proof for the Fock space is similar. \square

For the Hardy space $H^2(S)$ over the Shilov boundary S of D , corresponding to $\nu = \frac{d}{r}$, the above formula combined with (3.11) simplifies to

$$T_{\Delta^{(\ell)}}^{d/r}(\mathbf{m}) = \sum_{|L|=\ell} \alpha_{\mathbf{m}}^L = \binom{r}{\ell}.$$

This, however, is trivial since $\Delta^{(\ell)}(z, z) = \binom{r}{\ell}$ is constant on S .

Example 23. For $\ell = 1$, with $\Delta^{(1)}(z, z) = (z|z)$, we obtain as a special case

$$T_{(z|z)}^{\nu}(\mathbf{m}) = \sum_{k=1}^r \frac{(d/r)_{\mathbf{m}}^{\{k\}}}{(\nu)_{\mathbf{m}}^{\{k\}}} \alpha_{\mathbf{m}}^{\{k\}} = \sum_{k=1}^r \frac{m'_k + \frac{d}{r}}{m'_k + \nu} \prod_{h \neq k} \frac{m'_k - m'_h + \frac{a}{2}}{m'_k - m'_h}$$

for all partitions \mathbf{m} . This formula was conjectured in [6] and proved there, by a different argument, for all bounded symmetric domains of rank $r = 2$.

Besides the spin factors, which correspond to the rank 2 domains of tube type, there exist three types of Jordan triples of rank 2 which are not of tube type: (i) the space of all complex $(2 \times N)$ -matrices with $N > 2$, where $d = 2N$ and $a = 2$, (ii) the space of all complex anti-symmetric (5×5) -matrices, where $d = 10$ and $a = 4$, and (iii) the exceptional domain of dimension $d = 16$, where $a = 6$.

Example 24. For $\ell = r$, $L = \{1, \dots, r\}$ we have $\alpha_{\mathbf{m}}^L = 1$ (empty product). If Z is of tube type, then $d_e = d$. Using (3.1) Theorem 22 simplifies to

$$\begin{aligned} (M_N^* M_N)(\mathbf{m}) &= T_{\Delta^{(r)}}(\mathbf{m}) = \frac{(d/r)_{\mathbf{m}+1}}{(d/r)_{\mathbf{m}}}, \\ (M_N^{\nu^*} M_N^{\nu})(\mathbf{m}) &= T_{\Delta^{(r)}}^{\nu}(\mathbf{m}) = \frac{(d/r)_{\mathbf{m}+1}}{(d/r)_{\mathbf{m}}} \frac{(\nu)_{\mathbf{m}}}{(\nu)_{\mathbf{m}+1}}. \end{aligned}$$

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