



## The Cremona problem in dimension 2

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**Abstract.** The Cremona conjecture, also called Jacobi problem, claims that a polynomial morphism  $\mathbb{C}^n \rightarrow \mathbb{C}^n$  is invertible as a polynomial morphism if its Jacobian is constant and not zero. In this paper, we show that the conjecture is true for  $n = 2$ . The starting point of our proof is an important result of Shreeram Abhyankar. Then we use a computation in rigid geometry to achieve the result.

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**Introduction.** A polynomial map  $(f, g) : \mathbb{C}^2 \rightarrow \mathbb{C}^2$  is given by two polynomials  $f$  and  $g$  in two variables  $X$  and  $Y$  with complex scalars. We write  $f$  and  $g$  as sums of their homogenous components

$$f = f_m + \cdots + f_{m'} \quad \text{and} \quad g = g_n + \cdots + g_{n'},$$

where  $f_\mu$  respectively  $g_\nu$  are linear combinations of the terms of total degree  $\mu$  respectively  $\nu$ . The forms  $f_m$  respectively  $g_n$  of highest degree are called the *leading forms*.

It was shown by Abhyankar that, for a given counterexample  $(f, g)$  to the Jacobian conjecture in dimension 2, one can assume that, after a suitable transformation of variables, the leading forms of  $f$  and  $g$  have the following shape

$$f_m = X^{m_1} Y^{m_2} \quad \text{and} \quad g_n = X^{n_1} Y^{n_2};$$

cf. [1, Theorem 8.7] or [5, Corollary 10.2.22]. In this paper, we will show that this assumption leads to a contradiction. Thus the Jacobian conjecture is true in dimension 2.

**1. Division algorithm.** In this section, let  $\mathbb{K}$  be an algebraically closed field of characteristic 0. The  $\mathbb{K}$ -algebra  $L := \mathbb{K}[X, Y]_{XY}$  consists of all Laurent polynomials in two variables. It carries a canonical graduation of type  $\mathbb{Z}$  given by the total degree function. Let  $H_n$  be the subspace of all homogenous Laurent polynomials of degree  $n$  including the zero polynomial. For  $f = \sum_{\nu \in \mathbb{Z}} f_\nu \in L$  and  $f \neq 0$ , we set

$$\deg f := \sup\{\nu \in \mathbb{Z}; f_\nu \neq 0\}.$$

On  $L$ , we have a filtration  $(L_n; n \in \mathbb{N})$  where

$$L_n := \{f \in L; \deg f \leq -n\} = \bigoplus_{\nu \leq -n} H_\nu.$$

The completion with respect to this filtration is denoted by

$$A := \widehat{L} = \varprojlim L/L_n;$$

cf. [2, Chap. 3]. It consists of all series

$$\sum_{\mu=-\infty}^m f_\mu \quad \text{with } f_\mu \in H_\mu \text{ for some } m \in \mathbb{Z};$$

cf. [5, Prop. 10.2.8]. The degree, the multiplication, and the filtration on  $A$  are declared as on  $L$ . The  $\mathbb{K}$ -algebra  $A$  represents the formal functions on a neighborhood of the twice punctured projective line at infinity which behave like meromorphic functions there. The algebra  $A$  has similar properties as the algebra  $R^\sim$  defined in [5, Prop. 10.2.8]. In this section, we consider these functions without conditions of convergence; in Section 2, we will focus on that by means of rigid geometry.

**Lemma 1.1.** *An element  $g \in A$  is a unit in  $A$  if and only if  $g$  is of the form*

$$g = c \cdot X^{n_1} Y^{n_2} \cdot (1 - v)$$

where  $c \in \mathbb{K}^\times$ ,  $n_i \in \mathbb{Z}$ ,  $\deg(v) < 0$ . Such a representation is unique. Such a unit  $g$  admits a  $k$ -th root for  $0 \neq k \in \mathbb{Z}$  if and only if  $k$  divides both numbers  $n_1$  and  $n_2$ .

*Proof.* The proof can be left to the reader. For example, we have for the inverse

$$g^{-1} = c^{-1} \cdot X^{-n_1} Y^{-n_2} \cdot \left( \sum_{\nu=0}^{\infty} v^\nu \right).$$

For  $c = 1$  and  $k \in \mathbb{N}$ , the  $k$ -th root is given by

$$g^{1/k} := X^{n_1/k} Y^{n_2/k} \cdot \left( \sum_{\nu=0}^{\infty} \binom{1/k}{\nu} (-v)^\nu \right)$$

if  $k$  divides  $n_1$  and  $n_2$ . We consider this as the *canonical  $k$ -th root of  $g$* .  $\square$

**Corollary 1.2.** *Let  $g = X^{n_1}Y^{n_2} \cdot (1 + v) \in A$  with  $\deg(v) < 0$  and  $r \in \mathbb{Q}$  be such that  $r \cdot n_1$  and  $r \cdot n_2$  belong to  $\mathbb{Z}$ , then*

$$g^r := X^{r \cdot n_1}Y^{r \cdot n_2} \cdot \left( \sum_{\nu=0}^{\infty} \binom{r}{\nu} v^\nu \right)$$

is well defined.

In the following, we denote by  $\partial/\partial X$  respectively  $\partial/\partial Y$  the partial derivatives of Laurent series. Obviously they give rise to  $\mathbb{K}$ -derivations on the  $\mathbb{K}$ -algebra  $A$ . They satisfy the usual rules for  $\mathbb{K}$ -derivations. Since the field  $\mathbb{K}$  has characteristic 0, we have  $\ker(\partial/\partial X, \partial/\partial Y) = \mathbb{K}$ .

**Definition 1.3.** A couple  $(f, g)$  of elements of  $A$  is called a *Jacobian couple* if its Jacobian

$$\det \begin{pmatrix} \frac{\partial f}{\partial X} & \frac{\partial f}{\partial Y} \\ \frac{\partial g}{\partial X} & \frac{\partial g}{\partial Y} \end{pmatrix} = d \in \mathbb{K}^\times$$

is constant and not 0.

As for polynomials in two variables, we also have the notion of a leading form for a Laurent series in  $A$ .

**Proposition 1.4.** *Consider a Jacobian couple  $(f, g)$  as introduced above, where  $m := \deg f$  and  $n := \deg g$ . Assume that the leading form of  $g$  has the shape  $g_n = X^{n_1}Y^{n_2}$ .*

- (a) *Then we always have  $m + n \geq 2$ .*
- (b) *If  $m + n > 2$ , then  $f_m^n \cdot g_n^{-m}$  is constant.*

*Proof.* (a) The homogenous components of the Jacobian of degree  $m + n > 2$  vanish and for  $m + n = 2$  it is given by

$$\frac{\partial f_m}{\partial X} \cdot \frac{\partial g_n}{\partial Y} - \frac{\partial f_m}{\partial Y} \cdot \frac{\partial g_n}{\partial X}. \tag{1}$$

Since that the Jacobian is constant and the degree of a constant is 0, we see that  $m + n \geq 2$ .

(b) If  $m + n > 2$ , then the expression (1) is zero. We compute

$$\frac{\partial}{\partial X} \left( \frac{f_m^n}{g_n^m} \right) = \frac{f_m^{n-1} \cdot g_n^{m-1}}{g_n^{2m}} \cdot \left( \frac{\partial f_m}{\partial X} \cdot n \cdot g_n - \frac{\partial g_n}{\partial X} \cdot m \cdot f_m \right). \tag{2}$$

For homogenous polynomials, we have Euler’s differential equation

$$n \cdot g_n = X \frac{\partial g_n}{\partial X} + Y \frac{\partial g_n}{\partial Y} \quad \text{and} \quad m \cdot f_m = X \frac{\partial f_m}{\partial X} + Y \frac{\partial f_m}{\partial Y}.$$

Then the term in parentheses of equation (2) is equal to

$$\begin{aligned} & \frac{\partial f_m}{\partial X} \cdot \left( X \frac{\partial g_n}{\partial X} + Y \frac{\partial g_n}{\partial Y} \right) - \frac{\partial g_n}{\partial X} \cdot \left( X \frac{\partial f_m}{\partial X} + Y \frac{\partial f_m}{\partial Y} \right) \\ &= Y \left( \frac{\partial f_m}{\partial X} \cdot \frac{\partial g_n}{\partial Y} - \frac{\partial f_m}{\partial Y} \cdot \frac{\partial g_n}{\partial X} \right). \end{aligned}$$

This vanishes due to (1) since  $m + n > 2$ . Thus we see that the left hand term of equation (2) is equal to 0. Analogously, one shows

$$\frac{\partial}{\partial Y} \left( \frac{f_m^n}{g_n^m} \right) = X \left( \frac{\partial f_m}{\partial Y} \cdot \frac{\partial g_n}{\partial X} - \frac{\partial f_m}{\partial X} \cdot \frac{\partial g_n}{\partial Y} \right) = 0. \tag{3}$$

So the total differential of  $f_m^n \cdot g_n^{-m}$  vanishes. Thus, the function  $f_m^n \cdot g_n^{-m}$  is constant.  $\square$

**Corollary 1.5.** *Let  $(f, g)$  be a Jacobian couple as in 1.4 satisfying  $g_n = X^{n_1} Y^{n_2}$  with integers  $n_1 > 0, n_2 > 0$ . If  $m + n > 2$ , then we have  $f_m = c \cdot X^{m_1} Y^{m_2}$  with a constant  $c \in \mathbb{K}^\times$ . Moreover it holds*

$$\frac{m}{n} = \frac{m_1}{n_1} = \frac{m_2}{n_2}.$$

Therefore the following expression is well defined

$$g^{m/n} = X^{m_1} Y^{m_2} \left( 1 + \sum_{\nu=-\infty}^{n-1} g_\nu g_n^{-1} \right)^{m/n}.$$

In particular, we have  $(g^{m/n})^n = g^m$ . Furthermore  $|m_1 - m_2| \neq |n_1 - n_2|$  if  $|m| \neq |n|$ .

*Proof.* The first assertion follows from 1.4(b). For the second assertion, we use

$$n_1 \cdot \frac{m}{n} = n_1 \cdot \frac{m_1}{n_1} = m_1 \quad \text{and} \quad n_2 \cdot \frac{m}{n} = n_2 \cdot \frac{m_2}{n_2} = m_2.$$

So we see  $|m_1 - m_2| \neq |n_1 - n_2|$  if  $|m| \neq |n|$ . The formula for  $g^{m/n}$  follows from 1.2.  $\square$

Now we turn to the division algorithm.

**Proposition 1.6.** *Let  $(f, g)$  be as in 1.5. Then there exists a rational number  $r \in \mathbb{Q}$  such that  $r \cdot n_1 \in \mathbb{Z}$  and  $r \cdot n_2 \in \mathbb{Z}$  are integers, and a constant  $c \in \mathbb{K}^\times$  with  $\deg(f - c \cdot g^r) < m$ . The couple  $(c, r)$  is uniquely determined; actually we have  $r = m/n$  and  $f_m = c \cdot X^{m_1} Y^{m_2}$ .*

*If, in addition,  $\deg(f - c \cdot g^r) = 2 - n$ , then  $n_1 \neq n_2$  and the leading form of  $h := f - c \cdot g^r$  is given by*

$$h_{2-n} = \sum_{i+j=2-n} c_{i,j} X^i Y^j,$$

where  $c_{1-n_1, 1-n_2} \neq 0$ . Furthermore there is at most one index  $(i, j)$  with  $(i, j) \neq (1 - n_1, 1 - n_2)$  with  $c_{i,j} \neq 0$ . For this index, we have

$$\frac{i}{n_1} = \frac{j}{n_2} = \frac{2-n}{n}.$$

*Proof.* The first assertion follows from 1.5.

For the supplement, set  $m' := \deg(f - c \cdot g^r) = 2 - n$ . The Jacobian  $d$  of the couple  $(h, g)$  is equal to the Jacobian of  $(f, g)$ . Then we have

$$n_2 \sum_{i+j=2-n} i \cdot c_{i,j} X^{i+n_1-1} Y^{j+n_2-1} - n_1 \sum_{i+j=2-n} j \cdot c_{i,j} X^{i+n_1-1} Y^{j+n_2-1} = d.$$

For  $(i, j) = (1 - n_1, 1 - n_2)$ , it follows that

$$n_2(1 - n_1)c_{1-n_1,1-n_2} - n_1(1 - n_2)c_{1-n_1,1-n_2} = d.$$

Thus, we see  $n_1 \neq n_2$  and  $c_{1-n_1,1-n_2} \neq 0$ . For all the other indices, we have

$$n_2 \cdot i \cdot c_{i,j} - n_1 \cdot j \cdot c_{i,j} = 0.$$

If  $c_{i,j} \neq 0$ , then

$$\frac{i}{n_1} = \frac{j}{n_2}.$$

Moreover we know  $i + j = 2 - n$  and  $n = n_1 + n_2$ . This yields

$$\frac{i}{n_1} = \frac{j}{n_2} = \frac{2 - n - i}{n_2}$$

and  $i \cdot n_2 = (2 - n - i) \cdot n_1$  and hence  $i \cdot n = i \cdot (n_2 + n_1) = (2 - n) \cdot n_1$  □

**Corollary 1.7.** *Keep the assumptions of 1.6. Then we have  $n_1 \neq n_2$  and there exist a natural number  $s \in \mathbb{N}$ , constants  $c_\sigma \in \mathbb{K}$ , and rational numbers  $r_\sigma \in \mathbb{Q}$  satisfying*

$$r_1 > r_2 > \dots > r_s = \frac{2 - n}{n}$$

such that

$$G = \sum_{\sigma=1}^s c_\sigma \cdot g^{r_\sigma}$$

belongs to  $A$  and the leading term of  $(f - G)$  fulfills

$$(f - G)_{2-n} = c_{1-n_1,1-n_2} X^{1-n_1} Y^{1-n_2}.$$

*Proof.* Apply 1.6 inductively. Note that  $\deg(f - G)$  is always an integer and that  $(f - G, g)$  is a Jacobian couple. Therefore the procedure stops after finitely many steps until we arrive at the situation  $\deg(f - G) = 2 - n$  since there is at each step at most one term which has to be cancelled. In the case  $\deg(f - G) = 2 - n$ , we apply the additional claim of 1.6. Then we obtain for the leading form

$$(f - G)_{2-n} = c_{1-n_1,1-n_2} X^{1-n_1} Y^{1-n_2} + c_{i,j} X^i Y^j,$$

where  $i/n_1 = j/n_2 = (2 - n)/n$  as follows from 1.6. Then we subtract

$$c_{i,j} \cdot g^{(2-n)/n} := c_{i,j} \cdot \left( (X^{n_1} Y^{n_2}) \cdot \left( 1 + \sum_{\nu=-\infty}^{n-1} g_\nu g_n^{-1} \right) \right)^{(2-n)/n}$$

which cancels the term  $c_{i,j} X^i Y^j$ . Thus the assertion is proved. □

**2. Convergence of the division algorithm.** In the following, we make use of some elementary results in rigid geometry; for a general reference, we cite [3] or [4]. We consider an algebraically closed field  $\mathbb{K}$  which is complete with respect to a non-Archimedean valuation and which has residue characteristic 0. We assume that  $\mathbb{K}$  contains the field  $K$  of characteristic 0 as a subfield, where  $K$  is the algebraically closed field over which the Jacobian problem is posed. Such a field can be constructed in the following way: Consider the field of fractions  $K'$  of  $K[[T]]$  and define  $\mathbb{K}$  as the topological algebraic closure of  $K'$ . The canonical valuation on  $K[[T]]$  extends to a valuation of  $\mathbb{K}$ . Note that we write valuations in the multiplicative way. So we obtain on  $\mathbb{K}^2$  a canonical structure of rigid space in the sense of Tate. On each subset  $V \subset \mathbb{K}^2$ , we have the spectral norm of functions  $f$

$$|f|_V := \sup \{|f(x)|; x \in V\}.$$

In particular, we have the notion of an affinoid domain  $V \subset \mathbb{K}^2$ ; for example, bounded domains described by finitely many inequalities

$$V := \{x \in \mathbb{K}^2; 1 \leq |f_i(x)|, |g_j(x)| \leq 1 \text{ for } i = 1, \dots, r, j = 1, \dots, s\}$$

with polynomials  $f_i, g_j \in \mathbb{K}[X, Y]$  are affinoid domains. Affinoid functions on such a domain are functions which can be uniformly approximated by rational functions without poles in  $V$ . Such functions are bounded and take their maximal absolute value in  $V$ . Thus the spectral norm  $|f|_V$  is always a non-negative real number which actually lies in the value group of  $\mathbb{K}$ . We are mainly interested in domains of the following shape

$$W_{\varepsilon, \rho} := \{(x, y) \in \mathbb{K}^2; \varepsilon \leq |x| \leq \rho, \varepsilon \leq |y| \leq \rho\}$$

for values  $\varepsilon \leq \rho$  belonging to the value group of  $\mathbb{K}$ . The affinoid functions on  $W_{\varepsilon, \rho}$  are exactly the Laurent series which converge on  $W_{\varepsilon, \rho}$ . Of particular interest will be the following domains

$$U_{\varepsilon, \rho} := \{(x, y) \in \mathbb{K}^2; \varepsilon \leq |x| = |y| \leq \rho\}.$$

These subsets are also affinoid and they are open subsets in the rigid analytic sense.

**Lemma 2.1.** *Keep the above notations. Let  $\varepsilon, \rho$  be elements of the value group  $|\mathbb{K}^\times|$  with  $\rho \geq \varepsilon$ .*

(a) *If  $v$  is an affinoid function on  $U := U_{\varepsilon, \rho}$  with  $|v|_U < 1$ , then the series*

$$h := \sum_{\nu=0}^{\infty} \binom{r}{\nu} v^\nu,$$

*for any  $r \in \mathbb{Q}$ , converges uniformly on  $U_{\varepsilon, \rho}$  and gives rise to an affinoid function there. In particular,  $(1+v)^r$  is well-defined and affinoid on  $U_{\varepsilon, \rho}$ .*

(b) *Let  $g = g_n + \dots + g_0 \in \mathbb{K}[X, Y]$  be a polynomial with homogenous components  $g_\nu$  of degree  $\nu$ . Assume  $g_n = X^{n_1} Y^{n_2}$ . Then there exists an  $\varepsilon$  in  $|\mathbb{K}^\times|$  such that  $|g_\nu(x, y)| < |g_n(x, y)|$  for all  $(x, y) \in U_{\varepsilon, \rho}$  and all  $\nu = 0, \dots, n-1$  and  $\rho \geq \varepsilon$ . Especially, for any  $r \in \mathbb{Q}$  with  $n_1 \cdot r \in \mathbb{Z}$  and*

$n_2 \cdot r \in \mathbb{Z}$ , the function  $g^r$  is well-defined and affinoid on  $U_{\varepsilon, \rho}$  for all  $\rho$  with  $\rho \geq \varepsilon$ .

*Proof.* (a) Since the residue field of  $\mathbb{K}$  has characteristic 0, the absolute value  $|\binom{r}{\nu}| = 1$  is equal to 1. Therefore the series converges on  $U_{\varepsilon, \rho}$  for all  $\rho \geq \varepsilon$ . (b) For all monomials  $X^{\nu_1} Y^{\nu_2}$  of  $g_\nu$  with  $\nu < n$ , we have  $|x^{\nu_1} y^{\nu_2}| \leq |x^{n_1} y^{n_2}|$  if  $(x, y) \in U_{\varepsilon, \rho}$  and  $\varepsilon > 1$ . If we now choose  $\varepsilon \geq |c_{\nu_1, \nu_2}|$  for all the coefficients  $c_{\nu_1, \nu_2}$  of  $g_\nu$  for all  $\nu = 0, \dots, n - 1$ , then the assertion follows by (a).

For the last assertion, note that  $(X^{n_1} Y^{n_2})^r = X^{m_1} Y^{m_2}$ , where  $n_1 \cdot r = m_1$  and  $n_2 \cdot r = m_2$  with  $m_1, m_2 \in \mathbb{Z}$ . Then it follows from (a).  $\square$

**Proposition 2.2.** *Let  $(f, g)$  be a Jacobian couple of polynomials with homogeneous decompositions*

$$f = X^{m_1} Y^{m_2} + \sum_{\mu=0}^{m-1} f_\mu \quad \text{and} \quad g = X^{n_1} Y^{n_2} + \sum_{\nu=0}^{n-1} g_\nu$$

in  $\mathbb{K}[X, Y]$  with  $n_1 > 0, n_2 > 0$ , where  $m := m_1 + m_2$  and  $n := n_1 + n_2$ .

If we apply the division algorithm of 1.6 and 1.7 to  $f$  and set  $v := \sum_{\nu=0}^{n-1} g_\nu g_n^{-1}$ , then there exists an  $\varepsilon \in |\mathbb{K}^\times|$  such that the formal series  $G$  defined in 1.7 converges on every affinoid domain  $U_{\varepsilon, \rho}$  for all  $\rho \geq \varepsilon$  and gives rise to an affinoid function there.

After a possible enlarging of  $\varepsilon$ , the function  $(f - G)$  has the form

$$(f - G)_{U_{\varepsilon, \rho}} = eX^{1-n_1} Y^{1-n_2} (1 + u)$$

with  $e \in \mathbb{K}^\times, \deg(u) < 0$ , is affinoid on each  $U_{\varepsilon, \rho}$ , and satisfies  $|u|_{U_{\varepsilon, \rho}} < 1$ .

*Proof.* The claim follows from Lemma 2.1.  $\square$

In the following, we will compute the cardinality of the fibers of  $(f - G, g)$  on  $U_{\varepsilon, \rho}$ .

**Proposition 2.3.** *Let  $(f, g)$  be a Jacobian couple as in 2.2. Thus we have the map*

$$(f - G, g) := (eX^{1-n_1} Y^{1-n_2} (1 + u), X^{n_1} Y^{n_2} (1 + v)) : U_{\varepsilon, \rho} \longrightarrow \mathbb{K}^2.$$

Set  $k := \gcd(n_1, n_2)$ . Then, for any domain  $V := U_{\varepsilon', \rho'} \subset U_{\varepsilon, \rho}$ , the fibers of the morphism  $(f - G, g^{1/k})|_V$  consist of exactly  $|n_1 - n_2|/k$  points. The fibers of  $(f, g)|_V$  consist of exactly  $|n_1 - n_2|$  points.

*Proof.* We abbreviate

$$\Psi := (\psi_1, \psi_2) := (f - G, g^{1/k})|_V.$$

Since  $|u|_V < 1$  and  $|v|_V < 1$ , the map  $\Psi$  gives rise to a map

$$\begin{aligned} |\Psi| := (|\psi_1|, |\psi_2|) : |V| := \{(|x|, |y|) \in |\mathbb{K}^\times|^2; (x, y) \in V\} &\longrightarrow |\mathbb{K}^\times| \times |\mathbb{K}^\times|, \\ (|x|, |y|) &\longmapsto (|e| \cdot |x|^{1-n_1} |y|^{1-n_2}, |x|^{n_1/k} |y|^{n_2/k}). \end{aligned}$$

Due to the construction, all numbers  $k \cdot r_\sigma$  are integers by 1.6 since  $r_\sigma \cdot n_1 \in \mathbb{Z}$  and  $r_\sigma \cdot n_2 \in \mathbb{Z}$ . Obviously, this map is injective. So, for every  $(x_0, y_0) \in V$ , the map  $\Psi$  induces a mapping

$$\begin{aligned} \Psi_{(x_0,y_0)} : \{ (x,y) \in V ; |x| = |x_0|, |y| = |y_0| \} \\ \longrightarrow \{ (x,y) \in \mathbb{K}^2 ; |x| = |\psi_1(x_0)|, |y| = |\psi_2(y_0)| \} . \end{aligned}$$

Next we will compute the cardinality of the fibers of  $\Psi_{(x_0,y_0)}$ . After adjusting the radii  $|x_0|$  and  $|y_0|$  to 1 and the constant  $e$  to 1, we are concerned with a morphism of type  $\Phi : W \longrightarrow W$  with

$$W := \{ (x,y) \in \mathbb{K} \times \mathbb{K} ; |x| = 1, |y| = 1 \} ,$$

sending  $(x,y) \in W$  to  $(x^{1-n_1} \cdot y^{1-n_2} \cdot (1+u(x,y)), x^{n_1/k} \cdot y^{n_2/k} \cdot (1+v(x,y))^{1/k}$ . The degree of this map can be calculated via its reduction. The algebra of affinoid functions on  $W$  which are bounded by 1 is given by  $\mathbb{K}^\circ \langle X, Y, X^{-1}, Y^{-1} \rangle$ , where  $\mathbb{K}^\circ$  denotes the valuation ring of  $\mathbb{K}$ . Denote by  $\tilde{\mathbb{K}}$  the residue field of the valued field  $\mathbb{K}$  and by  $\tilde{\mathbb{K}}^\times$  its multiplicative group. The reduction of  $W$  is given by the spectrum of the  $\tilde{\mathbb{K}}$ -algebra  $\tilde{W} = \tilde{\mathbb{K}}[\tilde{x}, \tilde{x}^{-1}, \tilde{y}, \tilde{y}^{-1}]$ , where  $\tilde{x}$  resp.  $\tilde{y}$  is the reduction of  $x$  resp.  $y$ . Since  $|u| < 1$  and  $|v| < 1$ , the map of the reductions coincides with the mapping

$$\tilde{\Phi} : \tilde{\mathbb{K}}^\times \times \tilde{\mathbb{K}}^\times \longrightarrow \tilde{\mathbb{K}}^\times \times \tilde{\mathbb{K}}^\times , (\tilde{x}, \tilde{y}) \longmapsto \left( \tilde{x}^{1-n_1} \tilde{y}^{1-n_2} , \tilde{x}^{n_1/k} \tilde{y}^{n_2/k} \right) .$$

The degree of this map is  $|n_1 - n_2|/k$  as claimed; cf. Lemma 2.4 below. This degree is the degree of  $\Phi$  since a finite generating system of the reduced module via  $\tilde{\Phi}$  lifts to a generating system via  $\Phi$  due to the lemma of Nakayama [3, 1.2.4/6]. Linear independence is also preserved as one easily checks.

It remains to compute the cardinality of the fibers of  $(f, g)|_V$ . Recall from 1.7 that  $G(x,y)$  is a function of  $g(x,y)^{1/k}$ . Therefore, we have that the fiber of  $(f - G, g^{1/k})|_V$  of a point  $(x,y) \in V$  with image  $(z_1, z_2) := (f - G, g^{1/k})(x,y)$  coincides with the fiber of  $(f, g^{1/k})|_V$  over the point  $(z_1 + c_1, z_2)$  where  $c_1 := G(x,y)$  depends only on  $z_2 = g^{1/k}(x,y)$ . Thus, we see that the cardinality of the fiber of  $\Psi$  coincides with that of  $(f, g^{1/k})|_V$ . Therefore the cardinality of the fiber of  $(f, g)|_V$  is equal to  $|n_1 - n_2|$  since  $\Phi$  is finite and étale.  $\square$

**Lemma 2.4.** *Let  $k$  be a field and let  $m_1, m_2 \in \mathbb{Z}$  be non-zero and  $m_1 \neq m_2$ . Let  $x, y$  be variables and  $r \in \mathbb{Z}$ . Then the extension of  $k$ -algebras*

$$k[x^{1-rm_1}y^{1-rm_2}, x^{rm_1-1}y^{rm_2-1}, x^{m_1}y^{m_2}, x^{-m_1}y^{-m_2}] \longrightarrow k[x, x^{-1}, y, y^{-1}]$$

*is finite flat of degree  $|m_1 - m_2|$ .*

*Proof.* Obviously we have

$$\begin{aligned} & k[x^{1-rm_1}y^{1-rm_2}, x^{rm_1-1}y^{rm_2-1}, x^{m_1}y^{m_2}, x^{-m_1}y^{-m_2}] \\ &= k[xy, x^{-1}y^{-1}, x^{m_1}y^{m_2}, x^{-m_1}y^{-m_2}] \\ &= k[xy, x^{-1}y^{-1}, y^{m_2-m_1}, y^{m_1-m_2}] . \end{aligned}$$

Moreover, we have that the extension

$$k[xy, x^{-1}y^{-1}, y^{m_2-m_1}, y^{m_1-m_2}] \longrightarrow k[xy, x^{-1}y^{-1}, y, y^{-1}] = k[x, x^{-1}, y, y^{-1}]$$

is finite flat of degree  $|m_1 - m_2|$ .  $\square$



**3. The contradiction.** Now we have all the preparations to deduce the main result of our article.

**Proposition 3.1.** *There does not exist a Jacobian couple  $(f, g)$  of polynomials  $f, g \in \mathbb{C}[X, Y]$  with homogenous decompositions*

$$f = \sum_{\mu=0}^m f_{\mu} \in \mathbb{K}[X, Y] \text{ and } g = \sum_{\nu=0}^n f_{\nu} \in \mathbb{K}[X, Y]$$

where  $f_m = X^{m_1}Y^{m_2}$  and  $g_n = X^{n_1}Y^{n_2}$  with  $m_1m_2 \neq 0, n_1n_2 \neq 0$ .

*Proof.* First of all we perform a field extension  $\mathbb{C} \hookrightarrow \mathbb{K}$  as introduced in Section 2. It is clear that it suffices to show the assertion for the field  $\mathbb{K}$ . Assume that  $(f, g)$  is such a couple in the  $\mathbb{K}$ -algebra  $A$ .

Assume first  $m = n$ . If  $m + n = 2$ , then we would have  $m = m_1 = 1$  and  $n = n_2 = 1$  without loss of generality. Obviously, that case cannot occur as a counterexample. If  $m + n > 2$ , then we have  $m_1 = n_1$  and  $m_2 = n_2$  due to 1.5. So we can replace  $f$  by  $h := f - g$ . Due to 2.2, the leading form of the polynomial  $h$  also has the shape  $h_r = a \cdot X^{r_1}Y^{r_2}$  and  $r := r_1 + r_2 < n$ . Thus we can assume  $m \neq n$ . Moreover, we have  $m_1 \neq n_1$  and  $m_2 \neq n_2$  and  $|m_1 - m_2| \neq |n_1 - n_2|$  due to 1.5. Thus we see that we can start just from the beginning with  $m \neq n$ .

Now we apply Proposition 2.3. So there exists a function in  $A$

$$G := \sum_{\sigma=1}^s c_{\sigma} \cdot g^{r_{\sigma}}$$

as in 1.5 such that  $h := (f - G)$  is of degree  $(2 - n)$  and  $h$  has a leading form of the shape

$$h_{2-n} := c_{1-n_1, 1-n_2} X^{1-n_1} Y^{1-n_2}.$$

Note that all the monomials of  $h$  have negative degree. Furthermore, there exists a domain  $U := U_{\varepsilon, \rho}$  such that for any subdomain  $V := U_{\varepsilon', \rho'} \subset U$  the restriction  $(h, g)|_V$  has fibers with cardinality  $n' = |n_1 - n_2|$ , which coincides with the degree of the map  $(f, g)|_V$ ; cf. 2.3.

If we interchange  $f$  and  $g$ , then, after a possible shrinking of  $U$ , the degree of  $(f, g)|_V$  would be  $m' = |m_1 - m_2|$ . This has to be equal to  $n'$ , but we have  $m' \neq n'$  due to 1.5. Contradiction! □

Summarizing the arguments we obtain the main result. Indeed, by Abhyankar’s result, a counterexample would give rise to a Jacobian couple of the given shape, which cannot exist due to Proposition 3.1.

**Theorem 3.2.** *Let  $(f, g) : \mathbb{C}^2 \rightarrow \mathbb{C}^2$  be a polynomial morphism. If the Jacobian of  $(f, g)$  is constant and unequal zero, then  $(f, g)$  is an isomorphism.*

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