

# The absence of observable proton decay in a global SU(5) F-theory model

---

Herbert Clemens<sup>a</sup> and Stuart Raby<sup>b</sup>

<sup>a</sup>*Mathematics Department, Ohio State University,  
Columbus, OH 43210, U.S.A.*

<sup>b</sup>*Department of Physics, Ohio State University,  
Columbus, OH 43210, U.S.A.*

*E-mail:* [clemens.43@osu.edu](mailto:clemens.43@osu.edu), [raby.1@osu.edu](mailto:raby.1@osu.edu)

**ABSTRACT:** We begin with an  $E_8 \times E_8$  Heterotic model broken to an  $SU(5)_{\text{gauge}} \times U(1)_X$  and a twin  $SU(5)_{\text{gauge}} \times U(1)_X$ , where one  $SU(5)$  and its spectrum is identified as the visible sector while the other can be identified as a hidden twin sector. In both cases we obtain the minimal supersymmetric standard model (MSSM) spectrum after Wilson-line symmetry-breaking enhanced by a low energy R-parity and  $\mathbb{Z}_4^R$  symmetry. We argue that there will not be any observable proton decay in this model.

**KEYWORDS:** Baryon/Lepton Number Violation, Discrete Symmetries, F-Theory

**ARXIV EPRINT:** [2308.13102](https://arxiv.org/abs/2308.13102)

---

## Contents

<b>1</b>	<b>Introduction</b>	<b>1</b>
<b>2</b>	<b>The model</b>	<b>1</b>
<b>3</b>	<b>Conclusions</b>	<b>3</b>

---

## 1 Introduction

Supersymmetric grand unified theories [SUSY GUTs] [1–3] have many nice properties. These include an explanation of the family structure of quarks and leptons with the requisite charge assignments under the Standard Model [SM] gauge group  $SU(3)_C \times SU(2)_L \times U(1)_Y$  and a prediction of gauge coupling unification at a scale of order  $10^{16}$  GeV. The latter is so far the only direct hint for the possible observation of supersymmetric particles at the LHC. UV completions of SUSY GUTs in string theory also provide a consistent quantum mechanical description of gravity. As a result of this golden confluence, many groups have searched for SUSY GUTs in string theory. In fact, it has been shown that by demanding SUSY GUTs in string constructions one can find many models with features much like that of the minimal supersymmetric Standard Model [MSSM] [4–10].

The past several years have seen significant attention devoted to the study of supersymmetric GUTs in  $F$ -theory [11–17]. Both local and global  $SU(5)$   $F$ -theory GUTs have been constructed where  $SU(5)$  is spontaneously broken to the SM via a non-flat hypercharge flux. One problem with this approach for GUT breaking is that large threshold corrections are generated at the GUT scale due to the non-vanishing hypercharge flux [13–15, 18, 19]. An alternative approach to breaking the GUT group is using a Wilson line in the hypercharge direction, i.e. a so-called flat hypercharge line bundle. In this case it is known that large threshold corrections are not generated at the GUT scale (or, in fact, it leads to precise gauge coupling unification at the compactification scale in orbifold GUTs) [20, 21] and [22–25].

## 2 The model

In this letter we discuss baryon number violation in the model of refs. [26–30]. In this  $F$ -theory model starting with  $E_8 \times E_8$ , each  $E_8$  is broken to  $SU(5)_{\text{gauge}} \times U(1)_X$  by a  $4 + 1$  split spectral cover. This is equivalent to first breaking  $E_8$  to  $SO(10)$  and then breaking  $SO(10)$  to  $SU(5)_{\text{gauge}} \times U(1)_X$ . After a  $\mathbb{Z}_2$  involution which acts freely on the GUT surface, the GUT surface is an Enriques surface with a fundamental group  $\Pi_1(S_{\text{GUT}}) = \mathbb{Z}_2$ . Simultaneously,  $SU(5)$  is broken to the Standard Model gauge group via a hypercharge Wilson line wrapping the GUT surface. The model has 3 families of quarks and leptons which reside in the spinor representation of  $SO(10)$  and transform as a 10 and  $\bar{5}$  representation of  $SU(5)$ . Under the involution,  $C_{u,v}$ , the 10 and  $\bar{5}$  representations split into two separate states which are either even ( $10_+, \bar{5}_+$ ) and odd ( $10_-, \bar{5}_-$ ) under the involution. In addition, these states are either

$\Sigma_{\mathbf{10}}^{(4)} = \{a_5 = z = 0\}$	$C_{u,v}$	$L_Y$	$\mathcal{L}_{\text{Higgs}}$	$SU(3) \times SU(2) \times U(1)_Y$
$h^0 \left( \check{\mathcal{L}}_{\mathbf{10}}^{(4)[\pm 1]} \right)$	+1	+1	3	$(\mathbf{1}, \mathbf{1})_{+1}$
	-1	-1		$(\mathbf{3}, \mathbf{2})_{+1/6}$
	+1	+1		$(\bar{\mathbf{3}}, \mathbf{1})_{-2/3}$
$h^1 \left( \check{\mathcal{L}}_{\mathbf{10}}^{(4)[\pm 1]} \right)$	+1	+1	0	$(\mathbf{1}, \mathbf{1})_{+1}$
	-1	-1		$(\bar{\mathbf{3}}, \mathbf{2})_{+1/6}$
	+1	+1		$(\mathbf{3}, \mathbf{1})_{+2/3}$

  

$\Sigma_{\bar{\mathbf{5}}}^{(41)} = \{a_{420} = z = 0\}$	$C_{u,v}$	$L_Y$	$\mathcal{L}_{\text{Higgs}}$	$SU(3) \times SU(2) \times U(1)_Y$
$h^0 \left( \check{\mathcal{L}}_{\bar{\mathbf{5}}}^{(41)[\pm 1]} \right)$	+1	+1	3	$(\bar{\mathbf{3}}, \mathbf{1})_{+1/3}$
	-1	-1		$(\mathbf{1}, \mathbf{2})_{-1/2}$
$h^1 \left( \check{\mathcal{L}}_{\bar{\mathbf{5}}}^{(41)[\pm 1]} \right)$	+1	+1	0	$(\mathbf{3}, \mathbf{1})_{-1/3}$
	-1	-1		$(\mathbf{1}, \mathbf{2})_{+1/2}$

**Table 1.** This table contains the spectrum of 10s and  $\bar{5}$ s in our model.  $C_{u,v}$ ,  $[L_Y]$  is the action of the  $\mathbb{Z}_2$  involution, [Wilson line]. Only states which are even under the product remain in the model.  $h^0, h^1$  gives the dimension of the respective cohomologies for the matter curves. We thus have 3 families of quarks and leptons.

even (+) or odd (-) under the Wilson line,  $L_Y$ . The massless states which remain after the involution transform as either (++) or (--) under the combined  $\mathbb{Z}_2$  involution and Wilson line.<sup>1</sup> The dimension of the respective cohomologies,  $h^0, h^1$ , gives the number of sections in the 10 and  $\bar{5}$  representations on the respective matter curves. Table 1.

Note, the quark and lepton doublets are contained in the (--) sectors, while the SU(2) singlet states are in the (++) sector, i.e. the doublets are in a Pati-Salam  $(4, 2, 1)$ , while the SU(2) singlets are in a  $(\bar{4}, 1, 2)$ . This means that the SU(5) gauge bosons cannot mediate proton decay at the tree level since the resulting massless states in the 10 and  $\bar{5}$  come from different 10s and  $\bar{5}$ s. Only the Pati-Salam gauge bosons in  $SU(4) \times SU(2)_L \times SU(2)_R$  act on these states. But these cannot mediate proton decay at the tree level either. Therefore there are no tree level dimension 6 operators mediating proton decay.

What about dimension 4 or 5 baryon and lepton number violating operators? The dimension 4 operators are absent due to R-parity in the model. And the dimension 5 operators are either absent or severely suppressed due the  $\mathbb{Z}_4^R$  symmetry [31]. The bottom line is that proton decay is not observable in this model.

<sup>1</sup>The massless states which transform as (+-) and (-+) are projected out of the theory.

### 3 Conclusions

In this brief letter we have argued that the global SU(5) F-theory model presented in [28] does not produce any observable proton decay with either dimension 4, 5 or 6 operators. Dimension 4 operators are forbidden by R-parity, dimension 5 are forbidden by a  $\mathbb{Z}_4^R$  symmetry and dimension 6 are forbidden at tree level due to the Wilson line breaking of SU(5). Apparently only leptogenesis can be used as a mechanism in the early universe to produce the net matter-anti-matter asymmetry.

### Acknowledgments

SR thanks Junichiro Kawamura for useful comments and also acknowledges partial support from Department of Energy grant DE-SC0011726.

**Open Access.** This article is distributed under the terms of the Creative Commons Attribution License ([CC-BY 4.0](https://creativecommons.org/licenses/by/4.0/)), which permits any use, distribution and reproduction in any medium, provided the original author(s) and source are credited.

### References

- [1] S. Dimopoulos, S. Raby and F. Wilczek, *Supersymmetry and the Scale of Unification*, *Phys. Rev. D* **24** (1981) 1681 [[INSPIRE](#)].
- [2] S. Dimopoulos and H. Georgi, *Softly Broken Supersymmetry and SU(5)*, *Nucl. Phys. B* **193** (1981) 150 [[INSPIRE](#)].
- [3] L.E. Ibanez and G.G. Ross, *Low-Energy Predictions in Supersymmetric Grand Unified Theories*, *Phys. Lett. B* **105** (1981) 439 [[INSPIRE](#)].
- [4] O. Lebedev et al., *A Mini-landscape of exact MSSM spectra in heterotic orbifolds*, *Phys. Lett. B* **645** (2007) 88 [[hep-th/0611095](#)] [[INSPIRE](#)].
- [5] O. Lebedev et al., *The Heterotic Road to the MSSM with R parity*, *Phys. Rev. D* **77** (2008) 046013 [[arXiv:0708.2691](#)] [[INSPIRE](#)].
- [6] J.E. Kim, J.-H. Kim and B. Kyae, *Superstring standard model from  $Z_{12-I}$  orbifold compactification with and without exotics, and effective R-parity*, *JHEP* **06** (2007) 034 [[hep-ph/0702278](#)] [[INSPIRE](#)].
- [7] O. Lebedev et al., *Heterotic mini-landscape. (II). Completing the search for MSSM vacua in a  $Z(6)$  orbifold*, *Phys. Lett. B* **668** (2008) 331 [[arXiv:0807.4384](#)] [[INSPIRE](#)].
- [8] R. Blumenhagen, V. Braun, T.W. Grimm and T. Weigand, *GUTs in Type IIB Orientifold Compactifications*, *Nucl. Phys. B* **815** (2009) 1 [[arXiv:0811.2936](#)] [[INSPIRE](#)].
- [9] L.B. Anderson, J. Gray, A. Lukas and E. Palti, *Two Hundred Heterotic Standard Models on Smooth Calabi-Yau Threefolds*, *Phys. Rev. D* **84** (2011) 106005 [[arXiv:1106.4804](#)] [[INSPIRE](#)].
- [10] L.B. Anderson, J. Gray, A. Lukas and E. Palti, *Heterotic Line Bundle Standard Models*, *JHEP* **06** (2012) 113 [[arXiv:1202.1757](#)] [[INSPIRE](#)].
- [11] R. Donagi and M. Wijnholt, *Model Building with F-Theory*, *Adv. Theor. Math. Phys.* **15** (2011) 1237 [[arXiv:0802.2969](#)] [[INSPIRE](#)].

- [12] C. Beasley, J.J. Heckman and C. Vafa, *GUTs and Exceptional Branes in F-theory — I*, *JHEP* **01** (2009) 058 [[arXiv:0802.3391](#)] [[INSPIRE](#)].
- [13] C. Beasley, J.J. Heckman and C. Vafa, *GUTs and Exceptional Branes in F-theory — II: Experimental Predictions*, *JHEP* **01** (2009) 059 [[arXiv:0806.0102](#)] [[INSPIRE](#)].
- [14] R. Donagi and M. Wijnholt, *Breaking GUT Groups in F-Theory*, *Adv. Theor. Math. Phys.* **15** (2011) 1523 [[arXiv:0808.2223](#)] [[INSPIRE](#)].
- [15] R. Blumenhagen, T.W. Grimm, B. Jurke and T. Weigand, *Global F-theory GUTs*, *Nucl. Phys. B* **829** (2010) 325 [[arXiv:0908.1784](#)] [[INSPIRE](#)].
- [16] T.W. Grimm and T. Weigand, *On Abelian Gauge Symmetries and Proton Decay in Global F-theory GUTs*, *Phys. Rev. D* **82** (2010) 086009 [[arXiv:1006.0226](#)] [[INSPIRE](#)].
- [17] R. Tatar, Y. Tsuchiya and T. Watari, *Right-handed Neutrinos in F-theory Compactifications*, *Nucl. Phys. B* **823** (2009) 1 [[arXiv:0905.2289](#)] [[INSPIRE](#)].
- [18] C. Mayrhofer, E. Palti and T. Weigand, *Hypercharge Flux in IIB and F-theory: Anomalies and Gauge Coupling Unification*, *JHEP* **09** (2013) 082 [[arXiv:1303.3589](#)] [[INSPIRE](#)].
- [19] R. Blumenhagen, *Gauge Coupling Unification in F-Theory Grand Unified Theories*, *Phys. Rev. Lett.* **102** (2009) 071601 [[arXiv:0812.0248](#)] [[INSPIRE](#)].
- [20] S. Krippendorff, H.P. Nilles, M. Ratz and M.W. Winkler, *Hidden SUSY from precision gauge unification*, *Phys. Rev. D* **88** (2013) 035022 [[arXiv:1306.0574](#)] [[INSPIRE](#)].
- [21] S. Raby, M. Ratz and K. Schmidt-Hoberg, *Precision gauge unification in the MSSM*, *Phys. Lett. B* **687** (2010) 342 [[arXiv:0911.4249](#)] [[INSPIRE](#)].
- [22] G.G. Ross, *Wilson line breaking and gauge coupling unification*, [hep-ph/0411057](#) [[INSPIRE](#)].
- [23] A. Hebecker and M. Trapletti, *Gauge unification in highly anisotropic string compactifications*, *Nucl. Phys. B* **713** (2005) 173 [[hep-th/0411131](#)] [[INSPIRE](#)].
- [24] M. Trapletti, *Gauge symmetry breaking in orbifold model building*, *Mod. Phys. Lett. A* **21** (2006) 2251 [[hep-th/0611030](#)] [[INSPIRE](#)].
- [25] A. Anandakrishnan and S. Raby, *SU(6) GUT Breaking on a Projective Plane*, *Nucl. Phys. B* **868** (2013) 627 [[arXiv:1205.1228](#)] [[INSPIRE](#)].
- [26] H. Clemens and S. Raby, *Heterotic/F-theory duality and Narasimhan-Seshadri equivalence*, *Adv. Theor. Math. Phys.* **25** (2021) 1199 [[arXiv:1906.07238](#)] [[INSPIRE](#)].
- [27] H. Clemens and S. Raby, *F-theory over a Fano threefold built from  $A_4$ -roots*, [arXiv:1908.01110](#) [[INSPIRE](#)].
- [28] H. Clemens and S. Raby, *Heterotic-F-theory Duality with Wilson Line Symmetry-breaking*, *JHEP* **12** (2019) 016 [[arXiv:1908.01913](#)] [[INSPIRE](#)].
- [29] C.H. Clemens and S. Raby, *Right-handed neutrinos and  $U(1)_X$  symmetry-breaking*, *JHEP* **04** (2020) 059 [[arXiv:1912.06902](#)] [[INSPIRE](#)].
- [30] C.H. Clemens and S. Raby, *Relative Scales of the GUT and Twin Sectors in an F-theory model*, *JHEP* **04** (2020) 004 [[arXiv:2001.10047](#)] [[INSPIRE](#)].
- [31] H.M. Lee et al., *A unique  $\mathbb{Z}_4^R$  symmetry for the MSSM*, *Phys. Lett. B* **694** (2011) 491 [[arXiv:1009.0905](#)] [[INSPIRE](#)].