

Probing for new physics with rare charm baryon ($\Lambda_c, \Xi_c, \Omega_c$) decays

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ABSTRACT: We analyze rare charm baryon decays within the standard model and beyond. We identify all null test observables in unpolarized $\Lambda_c \rightarrow p\ell^+\ell^-$, $\ell = e, \mu$ decays, and study the new physics sensitivities. We find that the longitudinal dilepton polarization fraction F_L is sensitive to electromagnetic dipole couplings $C_7^{(\prime)}$, and to the right-handed 4-fermion vector coupling C_9' . The forward-backward asymmetry, A_{FB} , due to the GIM-suppression a standard model null test already, probes the left-handed axialvector 4-fermion coupling C_{10} ; its CP-asymmetry, A_{FB}^{CP} , probes CP-violating phases in C_{10} . Physics beyond the standard model can induce branching ratios of dineutrino modes $\Lambda_c \rightarrow p\nu\bar{\nu}$ up to a few times 10^{-5} , and one order of magnitude smaller if lepton flavor universality is assumed, while standard model rates are negligible. Charged lepton flavor violation allows for striking signals into $e^\pm\mu^\mp$ final states, up to 10^{-6} branching ratios model-independently, and up to order 10^{-8} in leptoquark models. Related three-body baryon decays $\Xi_c \rightarrow \Sigma\ell\ell$, $\Xi_c \rightarrow \Lambda\ell\ell$ and $\Omega_c \rightarrow \Xi\ell\ell$ offer similar opportunities to test the standard model with $|\Delta c| = |\Delta u| = 1$ transitions.

KEYWORDS: Beyond Standard Model, Heavy Quark Physics, CP violation

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Contents

1	Introduction	1
2	$\Lambda_c \rightarrow p\ell^+\ell^-$ theory	2
2.1	An effective field theory approach to charm physics	2
2.2	Resonance contributions	3
2.3	Charmed baryons other than Λ_c	4
2.4	Fully differential distribution for $m_\ell \neq 0$	4
3	SM phenomenology	6
3.1	Branching ratio	6
3.2	F_L	8
4	Null test observables	9
5	Sensitivity to physics beyond the standard model	11
5.1	Constraints on Wilson coefficients	11
5.2	Angular observables	12
5.2.1	A_{FB} and \tilde{A}_{FB}	12
5.2.2	F_L	13
5.2.3	Towards a global fit	14
5.3	Lepton universality ratios	15
5.4	CP-asymmetries	16
5.4.1	A_{CP} and \tilde{A}_{CP}	16
5.4.2	$A_{\text{FB}}^{\text{CP}}$	16
5.5	Lepton flavor violation	19
5.6	Dineutrino modes	20
6	Conclusions	21
A	Standard model Wilson coefficients	23
B	Form factors	25
C	Helicity amplitudes	26
D	Lepton flavor violating angular observables	28

1 Introduction

Rare $|\Delta c| = |\Delta u| = 1$ processes are strongly Glashow-Iliopoulos-Maiani (GIM)-suppressed, a feature that blocks access to standard model (SM) short-distance contributions in simple observables such as branching ratios: either because of overwhelming resonance effects (semileptonic modes), or because branching ratios are too small (dineutrino modes) [1]. These very characteristics, on the other hand, in addition to the approximate symmetries of the SM, give directions to form clean null test observables and to probe for a broad range of new physics (NP) phenomena.

Search strategies in charm therefore necessarily differ from those in beauty, where the SM allows for precision studies, yet, a maturing experimental program is also forcing here to optimize observables for a clean separation of NP from the SM background [2]. The charm way beyond the SM also complements searches from the strange and the beauty one, and offers unique insights into flavor physics from the up-sector, e.g., [3–6].

In this work we study rare, three-body decays of charm baryons, extending previous works with charm mesons [7, 8]. We study $\Lambda_c \rightarrow p\ell^+\ell^-$, $\ell = e, \mu$ decays, and null test processes with charged lepton flavor violation (cLFV) $\Lambda_c \rightarrow pe^\pm\mu^\mp$, and into dineutrinos $\Lambda_c \rightarrow p\nu\bar{\nu}$. Hadronic transition vector and tensor form factors for $\Lambda_c \rightarrow p$ decays are available from lattice QCD [9], and quark models [10], and in Light cone sum rules for $\Xi_c \rightarrow \Sigma$ [11]. We also present null test results for the related, spin $1/2 \rightarrow 1/2 + \ell\ell$ decays $\Xi_c \rightarrow \Sigma\ell\ell$, $\Xi_c \rightarrow \Lambda\ell\ell$ and $\Omega_c \rightarrow \Xi\ell\ell$, also induced by $|\Delta c| = |\Delta u| = 1$ quark transitions, with more strange spectator quarks. As for the Ξ_c, Ω_c decays no comparable form factor computations are available we employ the $\Lambda_c \rightarrow p$ ones together with $SU(3)_F$ -flavor symmetries, if applicable. While this can be improved in the future the impact of this approximation on the present study is sub-dominant as we are mostly interested in null tests, and the SM-related uncertainties are dominated by resonance effects.

None of the rare semileptonic charmed baryon ($\Lambda_c, \Xi_c, \Omega_c$) decays has been observed to date, but searches for $\Lambda_c \rightarrow p\ell^+\ell^-$, for both $\ell = e, \mu$ and $\Lambda_c \rightarrow pe^\pm\mu^\mp$ by BaBar at the level of $\mathcal{O}(10^{-5})$ [12], and $\Lambda_c \rightarrow p\mu^+\mu^-$ by LHCb at $\mathcal{O}(10^{-7})$ [13] have been reported. With branching ratios at the order of 10^{-8} ($\ell^+\ell^-$ final states), and as large as a few times 10^{-5} for dineutrinos [14], semileptonic rare charm baryon modes are suitable for experimental study at high luminosity flavor facilities, such as LHCb [2], Belle II [15], BES III [16], and possible future machines [17].

The plan of the paper is as follows: in section 2 we discuss perturbative and non-perturbative contributions to exclusive rare charm baryon decay modes and present the fully differential distribution. Section 3 contains the SM phenomenology. In section 4 we define null test observables based on the full angular distribution. In section 5 we provide model independent bounds on Wilson coefficients and highlight the NP sensitivity of the null tests and their complementarity. In section 6 we conclude. In appendix A we summarize the perturbative SM contributions. The parametrization of hadronic matrix elements in terms of helicity form factors is given in appendix B. In appendix C and D we present the helicity amplitudes in terms of Wilson coefficients and form factors keeping finite lepton masses for the lepton flavor conserving and violating decay modes, respectively.

2 $\Lambda_c \rightarrow p\ell^+\ell^-$ theory

We give general expressions for exclusive rare charm baryon decays in the SM and beyond. In section 2.1 we briefly review the perturbative SM contributions and introduce the weak Hamiltonian at the charm mass scale. Effects from intermediate resonances are discussed in section 2.2. We comment on charmed baryon decays other than $\Lambda_c \rightarrow p\ell^+\ell^-$ in section 2.3. The fully differential distribution for unpolarized $\Lambda_c \rightarrow p\ell^+\ell^-$ decays is given in section 2.4.

2.1 An effective field theory approach to charm physics

For the phenomenological analysis of semileptonic $c \rightarrow u\ell^+\ell^-$ and $c \rightarrow u\bar{\nu}_i\nu_j$ processes we use the following effective Hamiltonian,

$$\mathcal{H}_{\text{eff}} \supset -\frac{4G_F}{\sqrt{2}} \frac{\alpha_e}{4\pi} \left[\sum_{k=7,9,10} (C_k O_k + C'_k O'_k) + \sum_{ij} (C_L^{ij} Q_L^{ij} + C_R^{ij} Q_R^{ij}) \right], \quad (2.1)$$

where the dimension six operators O_k for dilepton and $Q_{L/R}^{ij}$ for dineutrino modes are given as

$$\begin{aligned} O_7 &= \frac{m_c}{e} (\bar{u}_L \sigma_{\mu\nu} c_R) F^{\mu\nu}, & O'_7 &= \frac{m_c}{e} (\bar{u}_R \sigma_{\mu\nu} c_L) F^{\mu\nu}, \\ O_9 &= (\bar{u}_L \gamma_\mu c_L) (\bar{\ell} \gamma^\mu \ell), & O'_9 &= (\bar{u}_R \gamma_\mu c_R) (\bar{\ell} \gamma^\mu \ell), \\ O_{10} &= (\bar{u}_L \gamma_\mu c_L) (\bar{\ell} \gamma^\mu \gamma_5 \ell), & O'_{10} &= (\bar{u}_R \gamma_\mu c_R) (\bar{\ell} \gamma^\mu \gamma_5 \ell), \\ Q_L^{ij} &= (\bar{u}_L \gamma_\mu c_L) (\bar{\nu}_{Lj} \gamma^\mu \nu_{Li}), & Q_R^{ij} &= (\bar{u}_R \gamma_\mu c_R) (\bar{\nu}_{Lj} \gamma^\mu \nu_{Li}), \end{aligned} \quad (2.2)$$

with the electromagnetic field strength tensor $F^{\mu\nu}$ and $\sigma^{\mu\nu} = \frac{i}{2} [\gamma^\mu, \gamma^\nu]$. In the dineutrino operators flavor indices ij are made explicit, while we omit them for brevity in the charged dilepton operators $O_{9,10}^{(\prime)}$ unless necessary, that is, in the discussion of experimental constraints, lepton universality violation and lepton flavor violation. The primed operators O'_k are obtained from the O_k by interchanging left-handed (L) and right-handed (R) chiral quark fields, $L \leftrightarrow R$. SM contributions to the effective coefficients of O_7 and O_9 are generated by the charged-current four-quark operators

$$P_1^q = (\bar{u}_L \gamma_\mu T^a q_L) (\bar{q}_L \gamma^\mu T^a c_L), \quad P_2^q = (\bar{u}_L \gamma_\mu q_L) (\bar{q}_L \gamma^\mu c_L), \quad q = d, s, b, \quad (2.3)$$

at the W mass scale and induced by renormalization group running and mixing, as well as the two-step matching procedure, first at the W mass scale and then at the b mass scale [5, 18, 19]. Details are provided in appendix A. The SM contributions, including two-loop virtual corrections [18], depend on the dilepton invariant mass squared, q^2 . As shown in figure 9 in appendix A the non-vanishing SM contributions relevant to $c \rightarrow u\ell^+\ell^-$ are of the order of few permille for $|C_7^{\text{eff}}|$ and few percent for $|C_9^{\text{eff}}|$ above $q^2 > 0.1 \text{ GeV}^2$. Notably, the GIM-mechanism dictates $C_{10}^{\text{SM}} = 0$. Contributions of primed operators are suppressed, and we neglect corresponding SM contributions.

2.2 Resonance contributions

The $\Lambda_c \rightarrow p\ell^+\ell^-$ decay rate is dominated by intermediate $\bar{q}q$ -resonances M via $\Lambda_c \rightarrow pM$ and $M \rightarrow \ell^+\ell^-$. These contributions can be parameterized phenomenologically by Breit-Wigner distributions. For the spin-1 resonances $M = \rho(770), \omega(782), \phi(1020)$ this corresponds to an effective coefficient $C_9^R(q^2)$ of the operator O_9 as

$$C_9^R(q^2) = a_\omega e^{i\delta_\omega} \left(\frac{1}{q^2 - m_\omega^2 + im_\omega\Gamma_\omega} - \frac{3}{q^2 - m_\rho^2 + im_\rho\Gamma_\rho} \right) + \frac{a_\phi e^{i\delta_\phi}}{q^2 - m_\phi^2 + im_\phi\Gamma_\phi}. \quad (2.4)$$

Here, an isospin relation is employed to relate the ρ and ω contributions to reduce the theoretical uncertainties. This approach is also necessary due to the lack of experimental information on $\mathcal{B}(\Lambda_c \rightarrow p\rho)$, and supported from charmed meson data [8]. The remaining two moduli of the resonance parameters a_ω and a_ϕ are fixed by experiment using

$$\mathcal{B}_{C_9^R}(\Lambda_c \rightarrow p\mu^+\mu^-) = \mathcal{B}(\Lambda_c \rightarrow pM)\mathcal{B}(M \rightarrow \mu^+\mu^-), \quad \text{with } M = \omega, \phi. \quad (2.5)$$

Taking the respective branching fractions, masses and decay widths from the PDG [20] we obtain

$$\begin{aligned} a_\omega &= 0.065 \pm 0.016, \\ a_\phi &= 0.110 \pm 0.008, \end{aligned} \quad (2.6)$$

in excellent agreement with ref. [9]. As in semileptonic rare b -decays, a dispersion relation plus $e^+e^- \rightarrow \text{hadrons}$ -data [21] can provide an alternative resonance parameterization to (2.4). It also invokes factorization and fudge factors a_M , however, avoids double-counting by extracting the sum of long- and short-distance contributions experimentally, see also [22] and [23] for application to $D \rightarrow \rho\ell\ell$ and $D \rightarrow \pi\ell\ell$ decays, respectively. Since in charm, unlike in beauty, C_9^R dominates over the SM short-distance contribution, see figure 9, and the parameterizations yield similar distributions in D -decays within their sizable, notably, phase-induced uncertainties [8, 23], we employ the significantly simpler ansatz (2.5) for null test analyses in charmed baryons.

We also consider the contribution of pseudoscalars η, η' to the $\Lambda_c \rightarrow p\mu^+\mu^-$ branching ratio. While leptonically decaying η, η' contribute to $c \rightarrow u\ell^+\ell^-$ transitions, they are strongly localized [8], and outside of target q^2 -regions (2.14). Neglecting lepton masses we find, in agreement with ref. [24], no interference terms between (axial-)vector contributions and those of the additional pseudoscalar operator $O_P = (\bar{u}_L c_R)(\bar{\ell}\gamma_5\ell)$ in (2.1). We model the effects from the η, η' -resonances via an effective coefficient

$$C_P^R(q^2) = a_\eta \left(\frac{1}{q^2 - m_\eta^2 + im_\eta\Gamma_\eta} + \frac{e^{i\delta_{\eta'}}}{q^2 - m_{\eta'}^2 + im_{\eta'}\Gamma_{\eta'}} \right), \quad (2.7)$$

assuming the resonance parameter of the η and the η' of similar size, $a_\eta \sim a_{\eta'}$. Using masses, widths and branching fractions from the PDG [20] and

$$\mathcal{B}_{C_P^R}(\Lambda_c \rightarrow p\mu^+\mu^-) = \mathcal{B}(\Lambda_c \rightarrow p\eta)\mathcal{B}(\eta \rightarrow \mu^+\mu^-), \quad (2.8)$$

we obtain $a_\eta \sim 5.8 \cdot 10^{-4}$, much smaller than the corresponding terms for vector mesons (2.6). The impact of η, η' on the differential and integrated $\Lambda_c \rightarrow p\mu^+\mu^-$ branching ratio is discussed in section 3.1.

	$\Lambda_c \rightarrow p$	$\Xi_c^+ \rightarrow \Sigma^+$	$\Xi_c^0 \rightarrow \Sigma^0$	$\Xi_c^0 \rightarrow \Lambda^0$	$\Omega_c^0 \rightarrow \Xi^0$
a_ω	0.065 ± 0.016	~ 0.06	~ 0.06	~ 0.06	~ 0.05
a_ϕ	0.110 ± 0.008	~ 0.1	~ 0.1	~ 0.1	~ 0.09

Table 1. a_ω, a_ϕ parameters for various rare charm baryon modes, see text for details.

2.3 Charmed baryons other than Λ_c

Three-body spin $1/2 \rightarrow 1/2 + \ell\ell$, rare charm baryon decays other than $\Lambda_c \rightarrow p\ell\ell$ can be studied in a similar manner, specifically $\Xi_c^0 \rightarrow \Sigma^0\ell\ell$, $\Xi_c^0 \rightarrow \Lambda^0\ell\ell$, $\Xi_c^+ \rightarrow \Sigma^+\ell\ell$ and $\Omega_c^0 \rightarrow \Xi^0\ell\ell$ decays. We use flavor symmetries and employ the form factors from $\Lambda_c \rightarrow p$, with obvious modifications in kinematic factors in (B.1)–(B.4). Note that the $\bar{u}c$ -type currents conserve U -spin and violate isospin by $|\Delta I| = 1/2$.¹ Specifically, $\Xi_c^+ \rightarrow \Sigma^+$ is related by U -spin to $\Lambda_c \rightarrow p$, that is, the complete exchange of a d -quark with an s -quark. On the other hand, the $\Xi_c^0 \rightarrow \Sigma^0$ form factors receive a factor $1/\sqrt{2}$ relative to the $\Xi_c^+ \rightarrow \Sigma^+$ ones due to isospin [25]. Then, U -spin relates $\Xi_c^0 \rightarrow \Sigma^0$ form factors to $\Xi_c^0 \rightarrow \Lambda^0$ ones [26, 27] with a relative factor of $\sqrt{3}$. For Ω_c^0 decays no relation with the Λ_c exists since the Ω_c^0 sits in another $SU(3)_F$ -multiplet [20]. Due to the lack of other input we use the same form factors as for $\Lambda_c \rightarrow p$. To summarize, for any of the ten form factors commonly denoted here as $f_{A \rightarrow B}$, we employ $f_{\Lambda_c \rightarrow p} = f_{\Xi_c^+ \rightarrow \Sigma^+} = \sqrt{2}f_{\Xi_c^0 \rightarrow \Sigma^0} = \sqrt{6}f_{\Xi_c^0 \rightarrow \Lambda^0} \simeq f_{\Omega_c^0 \rightarrow \Xi^0}$ [25], and use form factors from [9]. Predictions for $f_{\Xi_c^0 \rightarrow \Sigma^0}$ from table 9 of [11] are consistent within 30% flavor breaking.

None of the branching ratios $\mathcal{B}(\Xi_c \rightarrow \Sigma(\Lambda)M)$ and $\mathcal{B}(\Omega_c \rightarrow \Xi M)$ with $M = \rho, \omega, \phi$ has been observed so far. To estimate the a_M -resonance parameters, we relate the Ξ_c, Ω_c -branching ratios with two-body phase space factors, lifetimes and the aforementioned flavor factors to the ones of $\Lambda_c^+ \rightarrow p$ modes. One obtains the a_ω, a_ϕ factors given in table 1, which are similar to eq. (2.6), as expected. This ansatz also gives $\mathcal{B}(\Xi_c^0 \rightarrow \Lambda^0\phi) \sim 1.2 \cdot 10^{-4}$, consistent with but on the lower end of the first evidence measurement $\mathcal{B}(\Xi_c^0 \rightarrow \Lambda^0\phi) = (4.9 \pm 1.5) \cdot 10^{-4}$ [20], which suggests $a_\phi \sim 0.2$.

2.4 Fully differential distribution for $m_\ell \neq 0$

For the calculation of SM and BSM contributions to the two-fold differential decay rate of $\Lambda_c \rightarrow p\ell^+\ell^-$ we utilize the helicity formalism [28, 29]. Details on the calculation of the relevant helicity amplitudes are collected in appendix C. The fully differential angular distribution for unpolarized Λ_c can be written as

$$\frac{d^2\Gamma}{dq^2 d\cos\theta_\ell} = \frac{3}{2} \left(K_{1ss} \sin^2\theta_\ell + K_{1cc} \cos^2\theta_\ell + K_{1c} \cos\theta_\ell \right). \quad (2.9)$$

¹This continues to hold for the whole decay matrix elements of the effective Hamiltonian (2.2), that is, ignoring four-quark operators.

Here, θ_ℓ is the angle of the ℓ^+ with respect to the negative direction of flight of the Λ_c in the dilepton rest frame. The q^2 -dependent coefficients are given as [30]

$$\begin{aligned} K_{1ss} &= q^2 v^2 \left(\frac{1}{2} U^{11+22} + L^{11+22} \right) + 4m_\ell^2 \left(U^{11} + L^{11} + S^{22} \right), \\ K_{1cc} &= q^2 v^2 U^{11+22} + 4m_\ell^2 \left(U^{11} + L^{11} + S^{22} \right), \\ K_{1c} &= -2q^2 v P^{12}, \end{aligned} \quad (2.10)$$

in agreement with our own computation and [31]. Here, $v = \sqrt{1 - \frac{4m_\ell^2}{q^2}}$, $U^{11+22} = U^{11} + U^{22}$ and $L^{11+22} = L^{11} + L^{22}$. The q^2 -dependent terms U , L , S , P denote quadratic expressions of helicity amplitudes and correspond to unpolarized transverse, longitudinal, scalar and parity violating contributions, respectively. For further details we refer to appendix C. Here we give the respective expressions in terms of Wilson coefficients and hadronic form factors, $f_i(q^2)$, $g_i(q^2)$, $i = +, \perp, 0$ and $h_j(q^2)$, $\tilde{h}_j(q^2)$, $j = +, \perp$, defined in appendix B. For brevity we omit their q^2 -dependence in the following:

$$\begin{aligned} U^{11} &= 4N^2 \cdot \left[\left| (C_7 + C'_7) \frac{2m_c}{q^2} (m_{\Lambda_c} + m_p) h_\perp + (C_9 + C'_9) f_\perp \right|^2 \cdot s_- \right. \\ &\quad \left. + \left| (C_7 - C'_7) \frac{2m_c}{q^2} (m_{\Lambda_c} - m_p) \tilde{h}_\perp + (C_9 - C'_9) g_\perp \right|^2 \cdot s_+ \right], \\ L^{11} &= \frac{2N^2}{q^2} \cdot \left[\left| (C_7 + C'_7) 2m_c h_+ + (C_9 + C'_9) (m_{\Lambda_c} + m_p) f_+ \right|^2 \cdot s_- \right. \\ &\quad \left. + \left| (C_7 - C'_7) 2m_c \tilde{h}_+ + (C_9 - C'_9) (m_{\Lambda_c} - m_p) g_+ \right|^2 \cdot s_+ \right], \\ U^{22} &= 4N^2 \cdot \left[\left| (C_{10} + C'_{10}) f_\perp \right|^2 \cdot s_- + \left| (C_{10} - C'_{10}) g_\perp \right|^2 \cdot s_+ \right], \\ L^{22} &= \frac{2N^2}{q^2} \cdot \left[\left| (C_{10} + C'_{10}) (m_{\Lambda_c} + m_p) f_+ \right|^2 \cdot s_- + \left| (C_{10} - C'_{10}) (m_{\Lambda_c} - m_p) g_+ \right|^2 \cdot s_+ \right], \\ S^{22} &= \frac{2N^2}{q^2} \cdot \left[\left| (C_{10} + C'_{10}) (m_{\Lambda_c} - m_p) f_0 \right|^2 \cdot s_+ + \left| (C_{10} - C'_{10}) (m_{\Lambda_c} + m_p) g_0 \right|^2 \cdot s_- \right], \\ P^{12} &= -8N^2 \cdot \left[\text{Re} \left((C_7 - C'_7) (C_{10}^* + C'_{10}^*) \frac{m_c}{q^2} (m_{\Lambda_c} - m_p) f_\perp \tilde{h}_\perp \right) \right. \\ &\quad + \text{Re} \left((C_7 + C'_7) (C_{10}^* - C'_{10}^*) \frac{m_c}{q^2} (m_{\Lambda_c} + m_p) g_\perp h_\perp \right) \\ &\quad \left. + \text{Re} (C_9 C_{10}^* - C'_9 C'_{10}^*) g_\perp f_\perp \right] \cdot \sqrt{s_+ s_-}. \end{aligned} \quad (2.11)$$

The factor N^2 is a global normalization and is given by $N^2 = \frac{G_F^2 \alpha_e^2 v \sqrt{\lambda(m_{\Lambda_c}^2, m_p^2, q^2)}}{3 \cdot 2^{11} \pi^5 m_{\Lambda_c}^3}$ with the Källén function $\lambda(a, b, c) = a^2 + b^2 + c^2 - 2(ab + ac + bc)$ and $s_\pm = (m_{\Lambda_c} \pm m_p)^2 - q^2$.

Note, in the SM all terms vanish except for U^{11} and L^{11} . Therefore, $K_{1c}^{\text{SM}} = 0$. At the kinematic endpoint of zero hadronic recoil, where q^2 is maximal, $q^2 = (m_{\Lambda_c} - m_p)^2$, vanishes s_- and $P^{12}/N^2 = 0$ also in the presence of NP. At both endpoints, the zero recoil one and the maximal recoil one, where the dilepton invariant mass is minimal, $q^2 = 4m_\ell^2$, further holds $K_{1ss} = K_{1cc}$ model-independently.

The observables discussed in section 4 can be expressed in terms of K_{1ss} , K_{1cc} , K_{1c} and their CP-conjugated counterparts \bar{K}_{1ss} , \bar{K}_{1cc} , \bar{K}_{1c} . For instance, the fractions of longitudinal and transverse polarization of the dilepton system are, respectively, given by

$$F_L = \frac{2K_{1ss} - K_{1cc}}{2K_{1ss} + K_{1cc}}, \quad F_T = 1 - F_L = \frac{2K_{1cc}}{2K_{1ss} + K_{1cc}}. \quad (2.12)$$

The q^2 -differential decay rate is obtained by integrating (2.9) over the angle θ_ℓ within $-1 \leq \cos \theta_\ell \leq +1$

$$\frac{d\Gamma}{dq^2} = \int_{-1}^1 \frac{d^2\Gamma}{dq^2 d\cos \theta_\ell} d\cos \theta_\ell = 2K_{1ss} + K_{1cc}. \quad (2.13)$$

The $\Lambda_c \rightarrow p\ell^+\ell^-$ decay rate follows as $\Gamma = \int_{q_{\min}^2}^{q_{\max}^2} (2K_{1ss} + K_{1cc}) dq^2$, where the phase space is limited by $q_{\min}^2 = 4m_\ell^2$ and $q_{\max}^2 = (m_{\Lambda_c} - m_p)^2$, but we will also employ other q^2 -cuts to enhance the NP sensitivity. Specifically, we introduce, targeting muons,

$$\begin{aligned} \text{full } q^2 \text{ range:} & \quad 2m_\mu \leq \sqrt{q^2} \leq m_{\Lambda_c} - m_p, \\ \text{high } q^2 \text{ range:} & \quad 1.25 \text{ GeV} \leq \sqrt{q^2} \leq m_{\Lambda_c} - m_p, \\ \text{low } q^2 \text{ range:} & \quad 2m_\mu \leq \sqrt{q^2} \leq 0.525 \text{ GeV}. \end{aligned} \quad (2.14)$$

Here, the low q^2 region corresponds to the region below the η , whereas the high q^2 region is above the ϕ .

We discuss F_L , $d\mathcal{B}/dq^2 = \tau d\Gamma/dq^2$, with Λ_c -lifetime τ , and the resulting branching ratios in the next section 3.

3 SM phenomenology

We discuss the SM prediction for the q^2 -differential and integrated branching ratios in section 3.1, and F_L in section 3.2.

3.1 Branching ratio

The differential SM branching ratio of $\Lambda_c \rightarrow p\mu^+\mu^-$ decays is shown in figure 1 based on the non-resonant (blue) and resonant (orange) contributions. Uncertainties arise from the form factors, taken from [9], and for the non-resonant contribution mainly due to the scale uncertainty of the Wilson coefficients. The main source of uncertainty for the resonant contributions are the strong phases δ_ω and δ_ϕ in eq. (2.4). The central value curve of the resonance band is obtained by averaging after varying both phases from $-\pi$ to π . Clearly, SM contributions from the effective coefficients $C_{7,9}^{\text{eff}}$, see figure 9, are negligible in the branching ratio in the full q^2 range. Therefore, we only consider resonant contributions for the SM contributions in the subsequent analysis, unless otherwise stated.

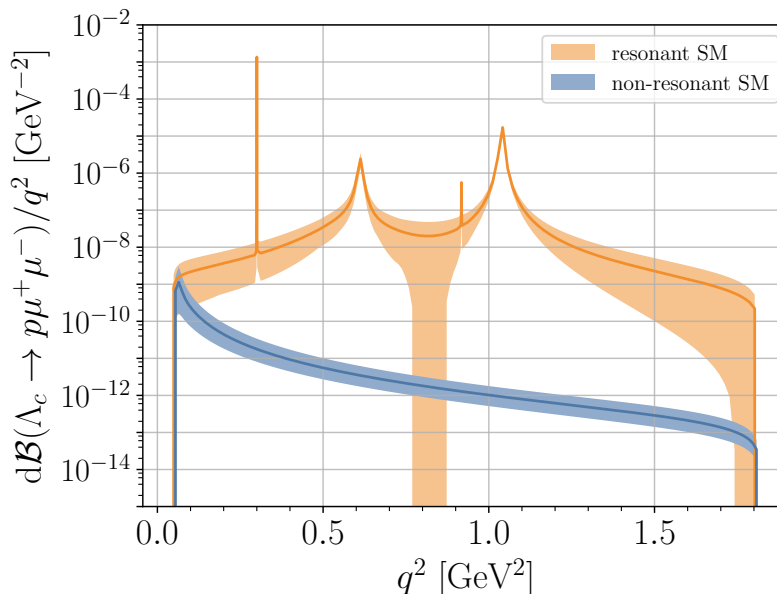


Figure 1. The differential SM branching fraction of $\Lambda_c \rightarrow p\mu^+\mu^-$. In blue (orange) the short-distance (pure resonant) contributions are shown. Their widths indicate theoretical uncertainties of hadronic form factors, resonance parameters and scale uncertainty μ_c . The central line in the resonant case is obtained by averaging after varying strong phases.

In figure 1 additional contributions of η, η' -resonances decaying leptonically to the differential branching fraction are displayed using (2.7) and [24]

$$d\mathcal{B}/dq^2|_{\eta, \eta'} = 6\tau q^2 N^2 \left(g_0^2 \frac{(m_{\Lambda_c} + m_p)^2}{m_c^2} s_- + f_0^2 \frac{(m_{\Lambda_c} - m_p)^2}{m_c^2} s_+ \right) |C_P^R|^2. \quad (3.1)$$

Although the η -peak reaches values as large as $\mathcal{O}(10^{-3})$, its contribution to $\mathcal{B}(\Lambda_c \rightarrow p\mu^+\mu^-)$ is very small, $\mathcal{O}(10^{-9})$, due to its narrow width, and negligible in the branching ratio. Similar results are obtained for the η' . In the following we therefore do not consider the η, η' -contributions any further, as they are quite located and outside the primary q^2 -bins of interest (2.14).

The LHCb collaboration has obtained the 90% CL upper limit [13]

$$\mathcal{B}_{\text{LHCb}}(\Lambda_c \rightarrow p\mu^+\mu^-) < 7.7 \times 10^{-8}. \quad (3.2)$$

Bounds on the electron modes are two orders of magnitude weaker, $\mathcal{B}(\Lambda_c \rightarrow pe^+e^-) < 5.5 \times 10^{-6}$ at 90% CL (BaBar) [12]. The upper limit in eq. (3.2) is based on a search for events outside the ω and ϕ windows — ± 40 MeV around the resonance masses — and subsequent use of a phase space model to “fill the gaps”. As neither the latter nor the corresponding correction factor to the event rate is publicly available a straightforward comparison with theory predictions is not possible. We therefore suggest to avoid extrapolations in future experimental analyses and instead quote plain rates with the cuts they are based on.

Using eqs. (2.4) and (2.6) we compute the $\Lambda_c \rightarrow p\mu^+\mu^-$ branching ratio in the full q^2 -region minus the ± 40 MeV windows around the ω and ϕ resonances and obtain

$$\mathcal{B}_{\text{SM}}(\Lambda_c \rightarrow p\mu^+\mu^-) = (1.9_{-1.5}^{+1.8}) \times 10^{-8}. \quad (3.3)$$

For the decays other than the Λ_c we employ the resonance parameters in table 1. The branching ratios for $\Xi_c^+ \rightarrow \Sigma^+\mu^+\mu^-$ ($\Omega_c^0 \rightarrow \Xi^0\mu^+\mu^-$) are enhanced with respect to eq. (3.3) by factors of ~ 1.8 (1.3), which is mainly driven by the different lifetimes. For the same reason and the suppression factors in the form factors the branching ratios of $\Xi_c^0 \rightarrow \Sigma^0(\Lambda^0)\mu^+\mu^-$ are reduced with respect to eq. (3.3) by a factor ~ 0.4 (0.2). In the remainder of this work we analyse null tests of the SM and present our results in terms of contributions to the decay $\Lambda_c \rightarrow p\ell\ell$. However, all observables can also be studied for the other modes. We recall that dominant decay modes are $\Sigma^+ \rightarrow p\pi^0, n\pi^+$, $\Sigma^0 \rightarrow \Lambda\gamma$, $\Lambda^0 \rightarrow p\pi^-, n\pi^0$ and $\Xi^0 \rightarrow \Lambda\pi^0$ [20].

3.2 F_L

The fraction of longitudinally polarized dileptons, F_L , defined in (2.12), is mostly dictated by helicity. As is apparent from the expressions given in section 2.4, at the zero recoil endpoint, holds model-independently $F_L(s_- = 0) = 1/3$, as in $B \rightarrow K^*\ell^+\ell^-$, as this kinematic point has higher symmetry [32], also observed for baryons [33], also note [34]. In particular, the four-momenta of the decaying hadron, the final hadron, and the dilepton system, q^μ , are proportional to each other at zero recoil, allowing for fewer Lorentz structures, hence relations between helicity amplitudes. At the maximum hadronic recoil endpoint, we also obtain $F_L(4m_\ell^2) = 1/3$, in agreement with [10].² This is no coincidence, as at maximum recoil the four-momenta of the leptons are the same, causing also here the angular distribution (2.9) to become flat, $d\Gamma/d\cos\theta_\ell = \text{const.}$ ³ In between these kinematic endpoint constraints F_L rises due to the dominant longitudinal contributions with $1/q^2$ -enhancement. This can be seen in figure 2, where F_L is shown in the SM. The structures around $q^2 \sim 0.8 \text{ GeV}^2$ are caused by interference of C_9^R , which has significant uncertainties in the region between the ω and the ϕ , see figure 1, with the perturbative effective dipole coefficient C_7^{eff} . Due to the dominance of C_9^R , numerically the impact of C_7^{eff} is negligible. The shape of F_L can change dramatically with BSM physics, however, its behavior can still be understood by helicity arguments, see section 5.2 for details.

As we defined F_L with normalization to the differential decay rate (2.12), rather than the integrated rate, the cancellation of resonance effects between numerator and denominator is highly efficient. Note, this cancellation hinges on the fudge factors a_M being

²This is in conflict with figure 7 of [9]. We thank Stefan Meinel for confirming an error in his plot.

³The $q^2 \rightarrow q_{\text{min}}^2 = 4m_\ell^2$ limit depends crucially on the treatment of lepton masses and corresponding degrees of freedom: for finite m_ℓ the maximum recoil endpoint is dominated by the longitudinal polarization, whereas for $m_\ell = 0$ the photon poles $\propto C_7^{(l)}/q^2$ in the transverse amplitudes dominate. This also happens in $B \rightarrow K^*\ell^+\ell^-$ decays, where in order to use (2.12), we identify $K_{1ss} = J_{1s} + J_{1c}/2 - (J_{2s} + J_{2c}/2)$, $K_{1cc} = J_{1s} + J_{1c}/2 + J_{2s} + J_{2c}/2$ from distributions in [35]. For $m_\ell = 0$ one recovers, using appendix A and B of [35], the well-known result that F_L equals $(|A_0^L|^2 + |A_0^R|^2)/(|A_0^L|^2 + |A_\perp^L|^2 + |A_\parallel^L|^2 + (L \rightarrow R))$, which vanishes towards $q^2 \rightarrow 0$, while for $m_\ell \neq 0$ we verify the symmetry limit $F_L(4m_\ell^2) = 1/3$.

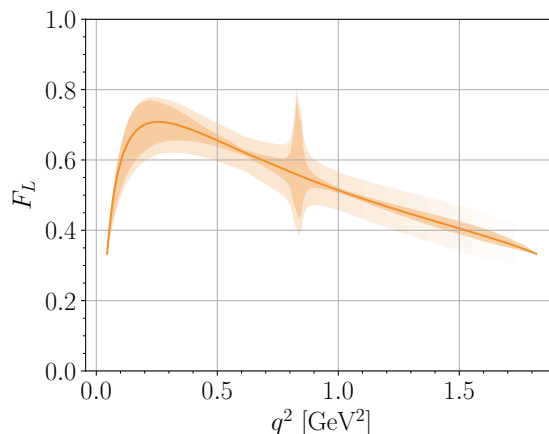


Figure 2. The fraction of longitudinally polarized dimuons F_L (2.12) in the SM. The solid dark line corresponds to the contribution from C_R^9 only. The orange band includes in addition $C_{7,9}^{\text{eff}}(q^2)$. The pale bands illustrate a 10% splitting between the longitudinal and the transverse fudge factors, which is forbidden at zero recoil, see text. At both zero and maximum recoil holds $F_L = 1/3$.

polarization-independent. In principle, polarization-specific fudge factors could be taken into account if F_L is measured in $\Lambda_c \rightarrow pM$ decays. We simulate effects of the order 10% (pale bands). We stress, however, that endpoint constraints prohibit polarization-dependent fudge factors at zero recoil. We therefore expect the SM prediction from the phenomenological parameterization to be most robust towards maximum q^2 .

We provide an integrated polarization fraction in the SM, integrating full and high q^2 regions, as in (2.14), respectively,

$$F_L|_{\text{SM}}(\Lambda_c \rightarrow p\mu^+\mu^-) = \int_{q_{\text{min}}^2}^{q_{\text{max}}^2} \frac{2 K_{1ss} - K_{1cc}}{2 K_{1ss} + K_{1cc}} dq^2 \simeq 0.94 \pm 0.05, \quad (3.4)$$

$$F_L|_{\text{SM}}(\Lambda_c \rightarrow p\mu^+\mu^-) = \int_{1.25^2 \text{ GeV}^2}^{q_{\text{max}}^2} \frac{2 K_{1ss} - K_{1cc}}{2 K_{1ss} + K_{1cc}} dq^2 \simeq 0.093 \pm 0.004. \quad (3.5)$$

Normalizing to the integrated decay rate, with cuts corresponding to (3.3), cutting ± 40 MeV around the ω, ϕ masses, we obtain instead $0 \leq \tilde{F}_L \leq 1$. As expected, here uncertainties do not cancel and remain sizable, and cover the full physical range.

4 Null test observables

We give the complete set of observables in three-body rare charm baryon decays and identify null tests of the SM. All observables are based on the angular coefficients K_{1ss}, K_{1cc} and K_{1c} (and their CP-conjugates), which are given in terms of Wilson coefficients and form factors in eq. (2.10) and eq. (2.11) and further details in appendix C.

As already discussed in section 3 and illustrated in figure 1 the q^2 -differential decay rate (2.13) has little sensitivity to BSM physics, due to resonance contributions (orange band), however, it can be used to form null tests, such as ratios of dimuon to dielectron rates, or CP-asymmetries, discussed below. An observable which is also not a null test

yet sensitive to NP is the fraction of longitudinal polarization of the dilepton system, F_L , defined in (2.12).

In this work we consider in addition the following null test observables:

1. The forward-backward asymmetry of the leptonic scattering angle, is defined as

$$A_{\text{FB}} = \frac{1}{d\Gamma/dq^2} \left[\int_0^1 - \int_{-1}^0 \right] \frac{d^2\Gamma}{dq^2 d\cos\theta_\ell} d\cos\theta_\ell = \frac{3}{2} \frac{K_{1c}}{2K_{1ss} + K_{1cc}}. \quad (4.1)$$

The angular observable K_{1c} is proportional to linear combinations of C_{10} and C'_{10} and thus A_{FB} constitutes a clean, charm-specific null test of the SM. Note that at higher order in the fine structure constant loop-induced contribution to the matrix element of O_{10} arise, at the level of $\lesssim 10^{-4}$ [7, 19], that are entirely negligible for the present and foreseeable uncertainties.

2. Lepton universality can be probed analogously to the ratios of charmed meson decays [4, 7, 8] with the ratio

$$R_p^{\Lambda_c} = \int_{q_{\text{min}}^2}^{q_{\text{max}}^2} \frac{d\mathcal{B}(\Lambda_c \rightarrow p\mu^+\mu^-)}{dq^2} dq^2 / \int_{q_{\text{min}}^2}^{q_{\text{max}}^2} \frac{d\mathcal{B}(\Lambda_c \rightarrow pe^+e^-)}{dq^2} dq^2, \quad (4.2)$$

where q_{min}^2 and q_{max}^2 can be chosen in bins or the full kinematically allowed region, but necessarily need to be equal for the muon and the electron mode. Due to the universality of the SM interactions $R_p^{\Lambda_c}$ is expected to be close to 1 in the SM, such that $R_p^{\Lambda_c} - 1$ is an excellent null test.

3. The CP-asymmetry in the decay rate is defined as

$$A_{\text{CP}} = \frac{d\Gamma/dq^2 - d\bar{\Gamma}/dq^2}{d\Gamma/dq^2 + d\bar{\Gamma}/dq^2} = \frac{2K_{1ss} + K_{1cc} - 2\bar{K}_{1ss} - \bar{K}_{1cc}}{2K_{1ss} + K_{1cc} + 2\bar{K}_{1ss} + \bar{K}_{1cc}}, \quad (4.3)$$

where the bar indicates CP-conjugation. Since CP-violating effects in charm are strongly CKM-suppressed in the SM, parametrically by $V_{cb}^*V_{ub}/(V_{cs}^*V_{us})$, A_{CP} constitutes a null test of the SM which is sensitive to NP with a CP-violating phase.

4. It is also possible to study the CP-asymmetry of an angular observable. Here, we study the CP-asymmetry of the forward-backward asymmetry, defined as

$$A_{\text{FB}}^{\text{CP}} = \frac{A_{\text{FB}} + \bar{A}_{\text{FB}}}{A_{\text{FB}} - \bar{A}_{\text{FB}}} = \frac{K_{1c} - \bar{K}_{1c}}{K_{1c} + \bar{K}_{1c}}, \quad (4.4)$$

studied previously in other decay modes [3, 36]. In the SM it is protected by GIM and small CP-phases. In the CP-conserving limit holds $A_{\text{FB}} = -\bar{A}_{\text{FB}}$, that is, A_{FB} is a CP-odd observable. The reason for this is that we keep for both Λ_c^+ and Λ_c^- decays the lepton angle θ_ℓ defined with respect to the same, positively charged lepton, while CP-conjugation would exchange $\ell^+ \leftrightarrow \ell^-$. The CP-conjugated angular distribution for Λ_c^- is thus obtained by exchanging $\theta_\ell \leftrightarrow \pi - \theta_\ell$, which, using $\cos(\pi - \theta_\ell) = -\cos\theta_\ell$ results in an extra minus sign in (2.9) in front of K_{1c} .

5. Charged lepton flavor violation: $\mathcal{B}(\Lambda_c \rightarrow p\ell\ell')$ with $\ell \neq \ell'$. Due to the negligible SM contribution, suppressed by tiny neutrino masses, any signal is NP.
6. Dineutrino final states: $\mathcal{B}(\Lambda_c \rightarrow p\nu\bar{\nu}) = \sum_{i,j} \mathcal{B}(\Lambda_c \rightarrow p\nu_i\bar{\nu}_j)$. Due to the negligible SM contribution, suppressed by the GIM mechanism, any signal is NP. In addition, branching ratios can reach upper limits depending on charged lepton flavor. This allows to test lepton universality and probe for cLFV [14, 37].

We comment on different conventions to normalize A_{FB} and A_{CP} used in the literature. While in eqs. (4.1) and (4.3), and also eq. (2.12), the normalization is to the q^2 -differential decay rate, one may consider normalizing to the rate Γ integrated over different bins $[q_{\text{min}}^2, q_{\text{max}}^2]$ as in refs. [5, 7, 8]

$$\begin{aligned} \tilde{A}_{\text{FB}} &= \frac{1}{\Gamma} \left[\int_0^1 - \int_{-1}^0 \right] \frac{d^2\Gamma}{dq^2 d\cos\theta_\ell} d\cos\theta_\ell = \frac{3K_{1c}}{2\Gamma}, \\ \tilde{A}_{\text{CP}} &= \frac{d\Gamma/dq^2 - d\bar{\Gamma}/dq^2}{\Gamma + \bar{\Gamma}} = \frac{2K_{1ss} + K_{1cc} - 2\bar{K}_{1ss} - \bar{K}_{1cc}}{\Gamma + \bar{\Gamma}}. \end{aligned} \tag{4.5}$$

Normalizing to the rate (4.5) is advantageous as it avoids artificially large asymmetries from a suppressed denominator, such as $d\Gamma/dq^2$, see figure 1, corresponding to low event rates. It also allows to probe the q^2 -shape of the numerator. On the other hand, cancellations are more efficient when normalizing to $d\Gamma/dq^2$. Due to the different pros and cons we present results for the CP-asymmetry and the forward-backward asymmetry in both normalizations. Note, for sufficiently small bins, or sufficiently flat distributions, the integrals $\int \tilde{A}_{\text{CP}} dq^2$ and $\int A_{\text{CP}} dq^2$, and similarly for the forward-backward asymmetry, of course, coincide.

In the case of the CP-violating forward-backward asymmetry in eq. (4.4), we stress that in experiment it might be convenient to measure the sum and the difference of an angular observable for the decay of particle and antiparticle, and then form the ratio, eq. (4.4).

In the following section 5 we investigate in detail the NP sensitivity of the aforementioned observables.

5 Sensitivity to physics beyond the standard model

We analyze the NP sensitivity of $c \rightarrow u\ell^+\ell^-$ transitions in $\Lambda_c \rightarrow p\ell^+\ell^-$ decays. In section 5.1 we briefly summarize existing constraints on BSM Wilson coefficients. Null tests from the angular distribution are discussed in section 5.2. We consider lepton universality tests in section 5.3. CP-asymmetries and lepton flavor violating decays are discussed in section 5.4 and 5.5, respectively. Section 5.6 provides information on baryon modes with dineutrinos in the final state.

5.1 Constraints on Wilson coefficients

Most recent results for lepton flavor conserving and lepton flavor violating Wilson coefficients are presented in [6] and based on latest results from the LHCb experiment searching

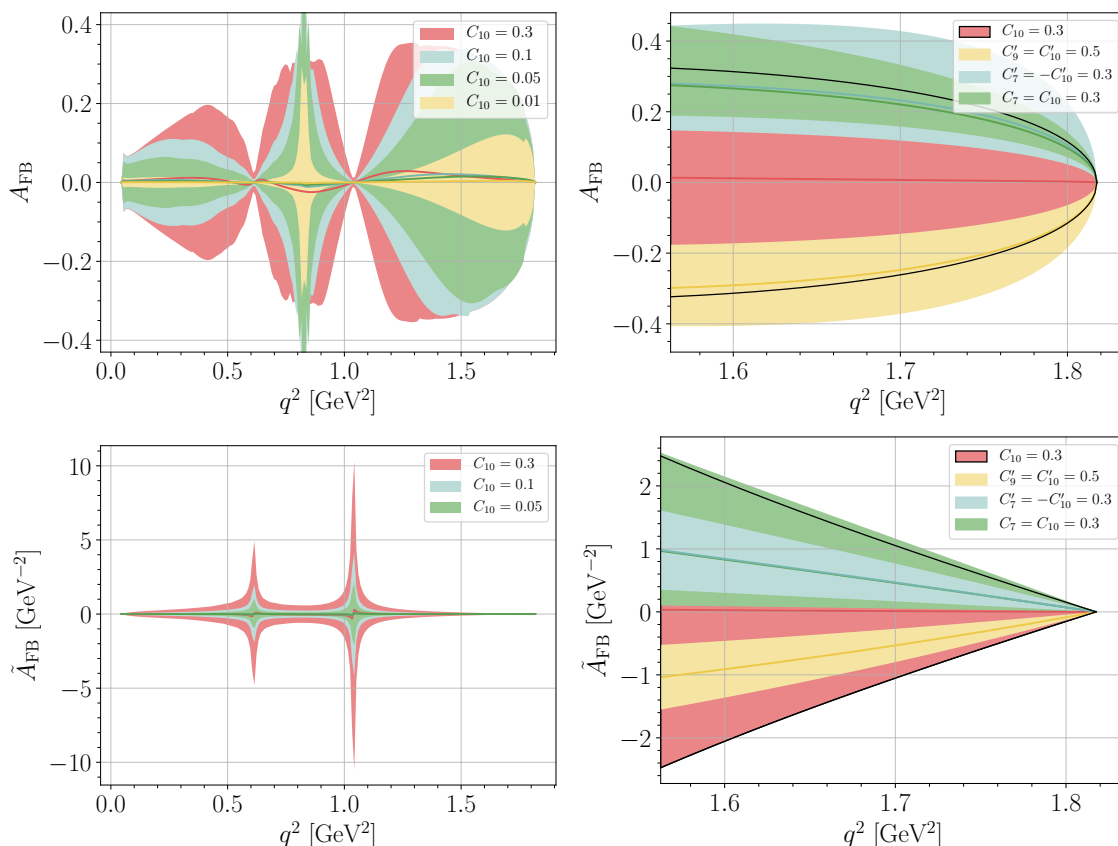


Figure 3. The forward-backward asymmetry A_{FB} (4.1) (top) and with normalization to the decay rate \tilde{A}_{FB} (4.5) (bottom) of $\Lambda_c \rightarrow p\mu^+\mu^-$ decays for different values of C_{10} in the full q^2 -region (left panel) and for various BSM contributions in the high q^2 region (right panel), see text.

for rare purely leptonic and semileptonic charm meson decays [38]. Here we use, barring cancellations,

$$|C_7^{(\prime)}| \lesssim 0.3, \quad |C_9^{(\mu) (\prime)}| \lesssim 0.9, \quad |C_{10}^{(\mu) (\prime)}| \lesssim 0.8, \quad |C_{9,10}^{(e) (\prime)}| \lesssim 4, \quad (5.1)$$

for the dimuon and dielectron final state, and

$$|K_{9,10}^{(\mu e) (\prime)}| \lesssim 1.6, \quad (5.2)$$

for lepton flavor violating (LFV) modes with one electron and one muon in the final state. Bounds from high- p_T searches in dilepton tails [39, 40] are of the same order of magnitude; they are also able to constrain couplings involving τ 's which cannot be kinematically accessed in semileptonic rare charm decays.

5.2 Angular observables

5.2.1 A_{FB} and \tilde{A}_{FB}

The forward-backward asymmetry in $\Lambda_c \rightarrow p\ell^+\ell^-$ decays can exhibit sizable NP effects, see figure 3. Here we illustrate the impact of different values of C_{10} on A_{FB} (4.1) (top

row) and with normalization to the decay rate, \tilde{A}_{FB} (4.5) (bottom row) in the full q^2 region (plots to the left). A_{FB} is markedly suppressed around the resonances since here the q^2 -differential decay rate, which acts as a normalization (4.1), is peaking. Outside the resonances we find that C_{10} even as small as a percent can induce values of A_{FB} of up to $\mathcal{O}(0.1)$. While this is a clean signal of NP, hadronic uncertainties are sizable and challenge an extraction of the Wilson coefficients. The inflation of uncertainties is caused by large cancellations in the differential decay rate. This situation improves in the high q^2 region above the ϕ -peak (plots to the right), where we show A_{FB} for C_{10} and in combination with other NP coefficients. Only in the former case, illustrated with $C_{10} = 0.3$, A_{FB} (red region limited by black solid curve) is symmetric under sign-flip, and can vanish for all q^2 . The other scenarios (green, yellow and blue regions) are shown in the front of the figure and partly cover the red region.

In the lower plots of figure 3 we show the forward-backward asymmetry normalized to the integrated decay rate, \tilde{A}_{FB} , defined in (4.5). Note the resonance-catalyzed, NP-induced peaks around m_ρ, m_ω and m_ϕ . This structure resembles for real-valued NP coefficients the one of $\text{Re } C_9^R$, shown in figure 9. \tilde{A}_{FB} has sensitivity to C_{10} as low as a few percent, somewhat weaker than A_{FB} , and in general a more modest uncertainty from varying strong phases.

Both A_{FB} and \tilde{A}_{FB} vanish for $\text{Re } C_9^R C_{10}^* = 0$, and are invariant under a simultaneous sign-flip of C_{10} and shift in the resonance phases $\delta_M \rightarrow \delta_M + \pi$. Note that C_7 (C_7') can only be probed together with a non-zero C_{10} (C_{10}').

5.2.2 F_L

In contrast to the forward-backward asymmetry the fraction of longitudinally polarized dimuons, F_L , is non-zero in the SM. The SM prediction of F_L , shown in figure 2, is not distinguishable from a NP scenario with only left-handed C_9, C_{10} contributions, or only the right-handed coupling C_{10}' switched on. In figure 4 we show the NP sensitivity of F_L to C_9' and to dipole contributions $C_7^{(\prime)}$. For the former, shown by the red band, the overall q^2 -shape of F_L follows the resonant-one of the SM (orange line) modulated with resonance interference contributions and corresponding increased uncertainties from strong phases. On the other hand, significant deviations from the SM are possible for NP contributions to dipole operators $C_7^{(\prime)} \neq 0$. Note that the huge uncertainty bands are due to limited knowledge of the strong phases of the resonant contributions, which cancel in the SM case, where predominantly resonance contributions are present, as shown in figure 2.

To understand the different behavior of NP contributions from C_9' and $C_7^{(\prime)}$ in F_L , one can use an argument similar to appendix D in ref. [32]. Using eqs. (2.10) and (2.11) and considering terms without m_ℓ^2 -suppression, which is suitable for $q^2 \gg 4m_\ell^2$, F_L can be written as

$$F_L = \frac{L^{11+22}}{U^{11+22} + L^{11+22}}. \tag{5.3}$$

Contributions of $C_{9,10}'$ scale with $m_{\Lambda_c}^2/q^2$ in L^{11+22} , whereas contributions in U^{11+22} have an extra factor two. Thus, the leading q^2 -dependence from $C_{9,10}'$ -induced contributions to

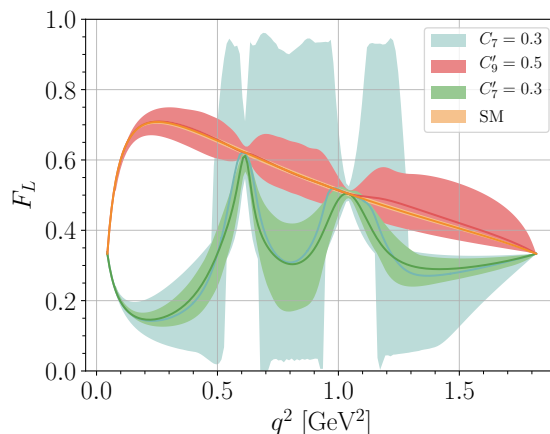


Figure 4. The fraction of longitudinally polarized dimuons F_L of $\Lambda_c \rightarrow p\mu^+\mu^-$ decays for various BSM contributions including theoretical uncertainties from form factors and resonance parameters. The orange band corresponds to the resonant SM contribution.

F_L reads

$$F_L \sim \frac{\frac{m_{\Lambda_c}^2}{q^2}}{2 + \frac{m_{\Lambda_c}^2}{q^2}} = \frac{1}{\frac{2q^2}{m_{\Lambda_c}^2} + 1}, \quad (5.4)$$

decreasing from $F_L \sim \mathcal{O}(1)$ with increasing q^2 towards the zero recoil endpoint where $F_L = 1/3$. Contributions with $C_7^{(\prime)}$, on the other hand, receive an additional factor of $2m_c/q^2$ from the photon to lepton pair coupling. The result is an m_c^2/q^2 scaling in L^{11} and an $2m_c^2 m_{\Lambda_c}^2/q^4$ scaling in U^{11} , which leads to

$$F_L \sim \frac{\frac{m_c^2}{q^2}}{2 \frac{m_c^2 m_{\Lambda_c}^2}{q^4} + \frac{m_c^2}{q^2}} = \frac{1}{\frac{2m_{\Lambda_c}^2}{q^2} + 1}, \quad (5.5)$$

increasing from $F_L \ll 1$ towards the zero recoil endpoint where $F_L = 1/3$. To understand the behavior for small q^2 the $m_{\bar{l}}^2$ -terms matter which dictate $F_L = 1/3$ at maximum recoil. Together with interference effects and the q^2 -dependent size of resonant contributions as in eq. (2.4) one obtains the shapes shown in figure 4.

5.2.3 Towards a global fit

Unlike in the SM strong phase uncertainties do not cancel in F_L in the presence of NP, and hamper an extraction of Wilson coefficients. On the other hand, the differential branching fraction has high sensitivity to the strong phases. A global fit of future data can therefore benefit from fitting Wilson coefficients and hadronic phases together. Note that $d\mathcal{B}/dq^2$ and the angular observables A_{FB} and F_L remain invariant under simultaneously flipping of all strong phases in C_9^R and the sign of a NP coefficient.

In figure 5 we illustrate the sensitivities and complementarities between $d\mathcal{B}/dq^2$ (plot to the left) and F_L (plot to the right). Shown is the resonant SM in orange and three NP scenarios. Strong phases are fixed to $\delta_\omega = \delta_\phi = 0$ (solid curves) and, partly flipped only

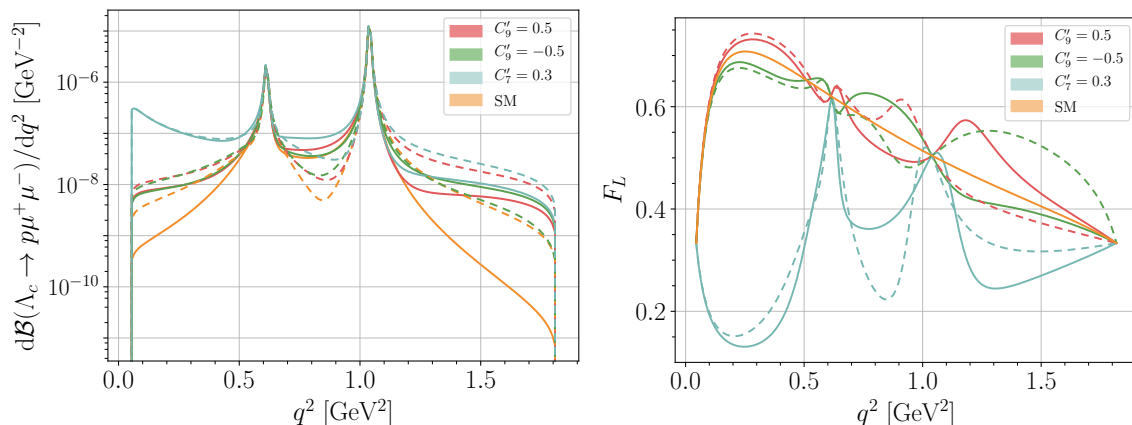


Figure 5. The differential branching fraction (left) and fraction of longitudinally polarized dimuons (right) based on resonant SM contributions (orange) and in NP scenarios with $C'_9 = \pm 0.5$ and $C'_7 = 0.3$. Strong phases are fixed to $\delta_\omega = \delta_\phi = 0$ (solid curves) and $\delta_\omega = 0, \delta_\phi = \pi$ (dashed curves). Unlike in $d\mathcal{B}/dq^2$, uncertainties due to strong phases cancel in F_L in the SM. See text for details.

for clarity, $\delta_\omega = 0, \delta_\phi = \pi$ (dashed curves). Even with this partly flipped strong phases, it can be noticed from F_L that the solid (dashed) curve with $C'_9 = 0.5$ (red) has similar shape as the dashed (solid) one for $C'_9 = -0.5$ (dashed). We recall that F_L is sensitive to right-handed currents, whereas A_{FB} probes C_{10} , and therefore both are complementary and important to pin down the type of possible NP.

The benchmark $C'_7 = 0.3, \delta_\omega = 0, \delta_\phi = \pi$ (blue dashed curve) is excluded by the experimental upper limit (3.2). However, since the strong phases remain unknown, $C'_7 = 0.3$ cannot be excluded at this point. This highlights the necessity to measure the angular observables and differential branching ratio simultaneously in the same q^2 bins, as only then simultaneous fits to Wilson coefficients and resonance parameters can be performed.

5.3 Lepton universality ratios

The observable $R_p^{\Lambda_c}$ (4.2) probes electron-muon flavor universality. We study $R_p^{\Lambda_c}$ in the SM and in BSM scenarios for the full, low and high q^2 regions. The main source of uncertainty in the branching fraction stems from the resonance contribution, parameterized by $C_9^R(q^2)$. We stress that the latter is universal for the muon and the electron mode, and corresponding uncertainties cancel efficiently in the ratio of electron to muon branching fractions.

Predictions for $R_p^{\Lambda_c}$ are given in table 2. We find near-universality, $1 \pm \mathcal{O}(\%)$ for the SM, as lepton mass effects are $\mathcal{O}(m_\ell^2/m_{\Lambda_c}^2)$ -suppressed. A study of higher order QED-corrections is beyond the scope of this work, but may become relevant once data around this level are available. We consider NP scenarios with NP in the muons only to break lepton universality. Integrating the differential decay rates over the full q^2 region we find SM-like values, since the lepton universal resonance contributions dominate. On the other hand, deviations from the SM can be very large in the low and high q^2 regions, hence, binning is necessary. Hadronic uncertainties are more significant in the high q^2 region,

	SM	$ C_9^\mu = 0.5$	$ C_{10}^\mu = 0.5$	$ C_9^\mu = C_{10}^\mu = 0.5$	$ C_9^{\prime\mu} = 0.5$	$ C_{10}^{\prime\mu} = 0.5$	$ C_9^{\prime\mu} = C_{10}^{\prime\mu} = 0.5$
full q^2	$1.00 \pm \mathcal{O}(\%)$	SM-like	SM-like	SM-like	SM-like	SM-like	SM-like
low q^2	$0.94 \pm \mathcal{O}(\%)$	7.5...20	4.4...13	11...32	4.6...14	4.4...13	8.2...26
high q^2	$1.00 \pm \mathcal{O}(\%)$	$\mathcal{O}(100)$	$\mathcal{O}(100)$	$\mathcal{O}(100)$	$\mathcal{O}(100)$	$\mathcal{O}(100)$	$\mathcal{O}(100)$

Table 2. $R_p^{\Lambda c}$ (4.2) in the SM and in NP-scenarios with couplings to muons for different q^2 -bins as in eq. (2.14). Ranges correspond to uncertainties from form factors and resonance parameters. Due to large uncertainties in the high- q^2 region, we only provide the order of magnitude for the largest values.

where we find values of $R_p^{\Lambda c}$ of up to $\mathcal{O}(100)$. The theoretical uncertainties of $R_p^{\Lambda c}$ originate from the resonance parameterization and form factors and can be reduced in the future, however, any significant deviation from 1 signals NP.

5.4 CP-asymmetries

(T-even) CP-asymmetries can be measured in rare charm decays at the resonances [41]. If there is NP with a CP-violating phase, interference with the resonance contribution leads to a non-vanishing CP-asymmetry. Due to the smallness of CKM-induced CP-violation in $c \rightarrow ull$ transitions CP-asymmetries are SM null tests. We study CP-asymmetries in the decay rate, and in the forward-backward asymmetry.

5.4.1 A_{CP} and \tilde{A}_{CP}

In figure 6 we show the CP-asymmetry (4.3) for $C_9 = 0.5e^{i\pi/4}$ and different strong phases $\delta_\phi = 0, \pm\pi/2, \pi$, while varying δ_ω . A_{CP} vanishes around the resonance peaks, such that smaller values of A_{CP} coincide with regions with larger $\Lambda_c \rightarrow p\ell^+\ell^-$ event rates. In figure 6 (left) the uncertainties are huge around the ρ, ω resonances, because their strong phase is varied in the plot. On the other hand, the fixed values of δ_ϕ lead to smaller uncertainties around the ϕ resonance. Improving knowledge on strong phases therefore is important to reduce uncertainties. This can also be seen in the plot to the right in figure 6, which is the same as the plot to the left zoomed into the q^2 -region around the ϕ .

On the other hand, \tilde{A}_{CP} with the integrated decay rate as normalization (4.5) illustrates the behavior of the resonance enhanced CP-asymmetry best [5, 8, 41], see figure 6 (lower plot). The q^2 -integrated denominator prohibits localized, large cancellations and therefore uncertainty bands are reduced compared to A_{CP} . Binning is necessary as, for instance, CP-asymmetries with $\delta_\phi = \pm\pi/2$ (green and red) would vanish if integrated symmetrically around $q^2 = m_\phi^2$.

5.4.2 A_{FB}^{CP}

The CP-asymmetry of the forward-backward asymmetry is defined in eq. (4.4). Since the forward-backward asymmetry is sensitive to C_{10} , one obtains sensitivity to the imaginary part of C_{10} , see [36] for related discussion in B -decays. In fact, keeping only terms with C_{10} and resonance contributions C_9^R in eq. (2.10), one obtains $K_{1c} \propto P^{12} \propto \text{Re}(C_9^R C_{10}^*)$.

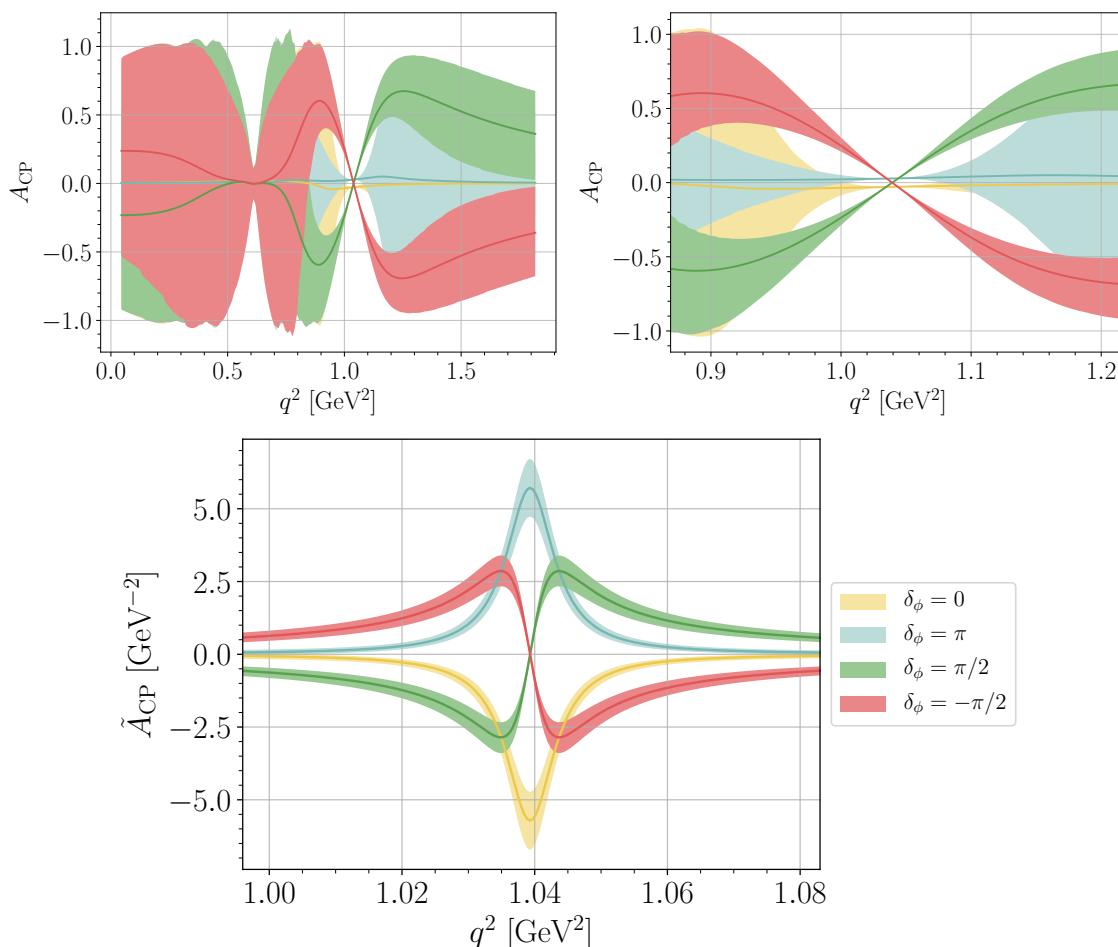


Figure 6. The CP-asymmetry of $\Lambda_c \rightarrow p\mu^+\mu^-$ decays for various strong phases in the $C_9 = 0.5e^{i\pi/4}$ scenario with normalization as in eq. (4.3) in the full q^2 range (left) and the region around the ϕ resonance (right). Uncertainties are due to form factors and the strong phase variation in δ_ω , whereas δ_ϕ is fixed to $0, \pi, \pm\frac{\pi}{2}$ in yellow, blue, green and red, respectively. We also display \tilde{A}_{CP} with alternative normalization (4.5) for $q^2 \in [(m_\phi - 5\Gamma_\phi)^2, (m_\phi + 5\Gamma_\phi)^2]$ (bottom).

In addition, dropping for the moment for simplicity all resonances except the ϕ this implies

$$A_{\text{FB}}^{\text{CP}} = \frac{\text{Im } C_9^R}{\text{Re } C_9^R} \frac{\text{Im } C_{10}}{\text{Re } C_{10}} = \frac{(q^2 - m_\phi^2) \tan \delta_\phi - m_\phi \Gamma_\phi}{q^2 - m_\phi^2 + m_\phi \Gamma_\phi \tan \delta_\phi} \cdot \frac{\text{Im } C_{10}}{\text{Re } C_{10}}. \quad (5.6)$$

Since the strong phase δ_ϕ is unknown, the position of the singularity, induced by the zero in the denominator of eq. (5.6), cannot be predicted. Further singularities arise when all resonance contributions are taken into account. However, the singularities coincide with the zeros in A_{FB} and joint analysis can help to pin down the strong phases. In the following it is useful to define the CP-violating difference and the CP-average individually,

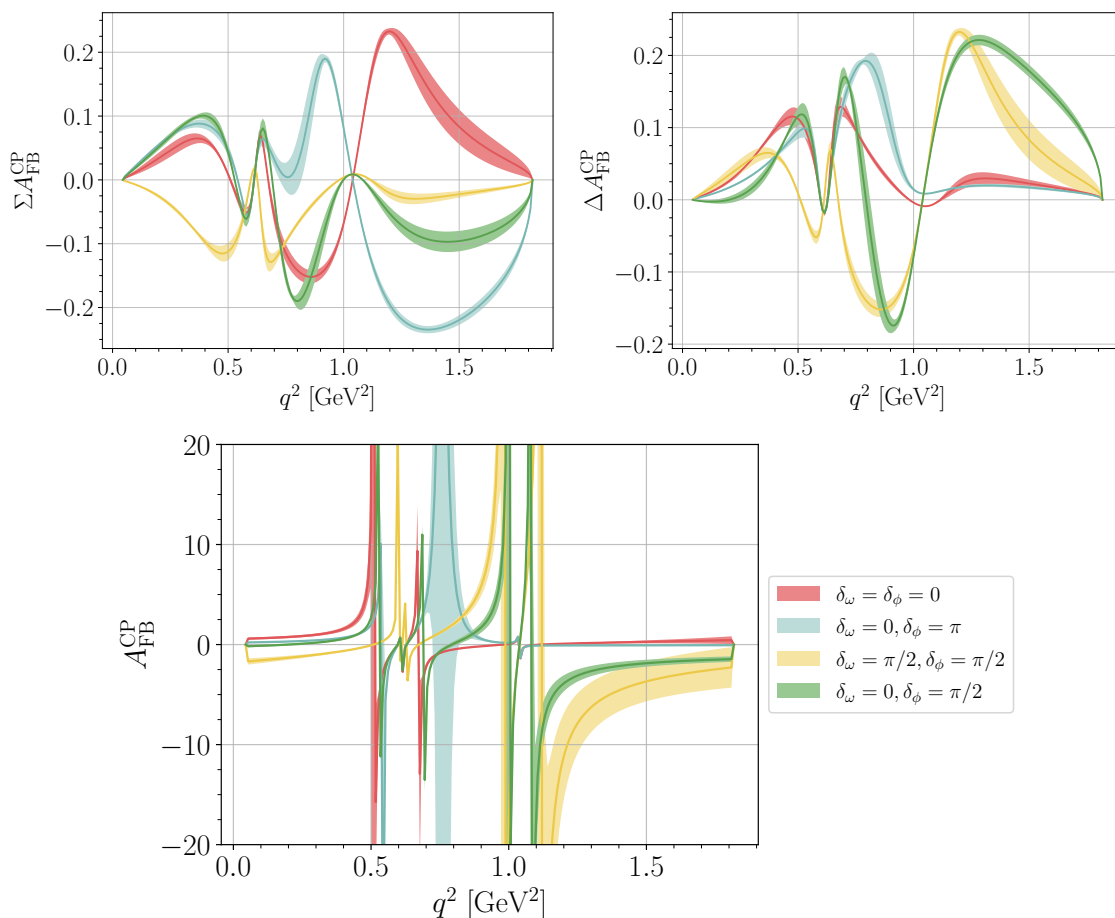


Figure 7. CP-asymmetry of the forward-backward asymmetry in $\Lambda_c \rightarrow p\mu^+\mu^-$ decays for $C_{10} = 0.5 e^{i\pi/4}$ scenario and different, fixed strong phases. The upper plots show the CP-average (left) and CP-difference (right) corresponding to eq. (5.7), the lower plot corresponds to their ratio eqs. (4.4), (5.8).

respectively, as

$$\begin{aligned} \Delta A_{\text{FB}}^{\text{CP}} &= \frac{1}{2} \left(A_{\text{FB}} + \bar{A}_{\text{FB}} \right), \\ \Sigma A_{\text{FB}}^{\text{CP}} &= \frac{1}{2} \left(A_{\text{FB}} - \bar{A}_{\text{FB}} \right), \end{aligned} \tag{5.7}$$

where we recall that A_{FB} is CP-odd, and then eq. (4.4) is recovered as

$$A_{\text{FB}}^{\text{CP}} = \frac{\Delta A_{\text{FB}}^{\text{CP}}}{\Sigma A_{\text{FB}}^{\text{CP}}}. \tag{5.8}$$

In figure 7 we show $\Sigma A_{\text{FB}}^{\text{CP}}$ (upper left) and $\Delta A_{\text{FB}}^{\text{CP}}$ (upper right), as well as their ratio (lower plot) corresponding to eqs. (4.4), (5.8) for $C_{10} = 0.5 e^{i\pi/4}$ and different combinations of strong phases. As already anticipated, the singularities in $A_{\text{FB}}^{\text{CP}}$ (lower plot) coincide with the zeros in $\Sigma A_{\text{FB}}^{\text{CP}}$ (upper left plot). Similar to the results in section 5.2 we learn that

binned measurements are crucial to disentangle not only the NP contributions but also the size of the strong phases. Although the singularities make it impossible to give predictions for integrated values of $A_{\text{FB}}^{\text{CP}}$, binned measurements are highly sensitive to the size of strong phases. Note that there is no sensitivity in $A_{\text{FB}}^{\text{CP}}$ to C'_{10} , as its contribution does not involve requisite strong phases.

5.5 Lepton flavor violation

For the discussion of LFV decays with $c \rightarrow u\ell^-\ell'^+$ ($\ell \neq \ell'$) the following effective Hamiltonian is defined,

$$\mathcal{H}_{\text{eff}}^{\text{LFV}} \supset -\frac{4G_F}{\sqrt{2}} \frac{\alpha_e}{4\pi} \sum_{i=9,10} \left(K_i^{(\ell\ell')} O_i^{(\ell\ell')} + K_i'^{(\ell\ell')} O_i'^{(\ell\ell')} \right), \quad (5.9)$$

where the $K_i^{(\ell)}$ denote Wilson coefficients and the operators read as

$$\begin{aligned} O_9^{(\ell\ell')} &= (\bar{u}_L \gamma_\mu c_L) (\bar{\ell} \gamma^\mu \ell'), & O_9'^{(\ell\ell')} &= (\bar{u}_R \gamma_\mu c_R) (\bar{\ell} \gamma^\mu \ell'), \\ O_{10}^{(\ell\ell')} &= (\bar{u}_L \gamma_\mu c_L) (\bar{\ell} \gamma^\mu \gamma_5 \ell'), & O_{10}'^{(\ell\ell')} &= (\bar{u}_R \gamma_\mu c_R) (\bar{\ell} \gamma^\mu \gamma_5 \ell'). \end{aligned} \quad (5.10)$$

Note that there is no $O_7^{(\ell)}$ contribution since the photon does not couple to different lepton flavors. For $\ell \neq \ell'$ the angular observables in eq. (2.9) contain additional terms due to non-vanishing lepton amplitudes [42]. The modified angular observables in terms of the lepton masses m_ℓ and $m_{\ell'}$ are given in appendix D. We present distributions and branching ratios summing both lepton charge combinations, defining, for instance, $\mathcal{B}(\Lambda_c \rightarrow p\mu^\pm e^\mp) = \mathcal{B}(\Lambda_c \rightarrow p\mu^- e^+) + \mathcal{B}(\Lambda_c \rightarrow p\mu^+ e^-)$.

Figure 8 shows the differential branching fraction for the decay $\Lambda_c \rightarrow p\mu^\pm e^\mp$ in different lepton flavor violating BSM scenarios. Branching ratios up to $\mathcal{O}(10^{-8})$ are possible in LQ scenarios, whereas SUSY models only reach $\text{few} \times \mathcal{O}(10^{-12})$ and Z' models are at most at $\mathcal{O}(10^{-13})$. LFV branching ratios are clean SM null tests, as no resonance pollution and no SM background exists. Bounds on LFV Wilson coefficients are also of special interest for combinations with flavor summed dineutrino branching ratios, see section 5.6. The presented NP benchmarks are sufficiently below the 90% CL upper limits from BaBar [12] which read

$$\begin{aligned} \mathcal{B}_{\text{Babar}}(\Lambda_c \rightarrow p\mu^- e^+) &< 9.9 \cdot 10^{-6}, \\ \mathcal{B}_{\text{Babar}}(\Lambda_c \rightarrow p\mu^+ e^-) &< 19 \cdot 10^{-6}. \end{aligned} \quad (5.11)$$

Model-independent upper limits on LFV branching ratios based on eq. (5.2) read

$$\begin{aligned} \mathcal{B}(\Lambda_c \rightarrow p\mu^\pm e^\mp) &\lesssim 8.2 \cdot 10^{-7}, \\ \mathcal{B}(\Xi_c^+ \rightarrow \Sigma^+ \mu^\pm e^\mp) &\lesssim 1.6 \cdot 10^{-6}, \\ \mathcal{B}(\Xi_c^0 \rightarrow \Sigma^0 \mu^\pm e^\mp) &\lesssim 2.6 \cdot 10^{-7}, \\ \mathcal{B}(\Xi_c^0 \rightarrow \Lambda^0 \mu^\pm e^\mp) &\lesssim 1.2 \cdot 10^{-7}, \\ \mathcal{B}(\Omega_c^0 \rightarrow \Xi^0 \mu^\pm e^\mp) &\lesssim 1.4 \cdot 10^{-6}. \end{aligned} \quad (5.12)$$

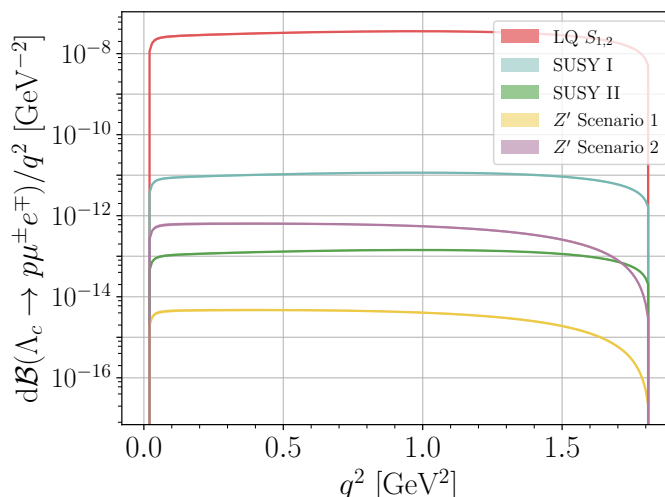


Figure 8. The differential branching fraction for $\Lambda_c \rightarrow p\mu^\pm e^\mp$ with lepton flavor violating NP contributions in a LQ scenario ($K'_9 = K'_{10} = 0.5$), SUSY scenarios with and without R-parity violation ($K_9 = -K_{10} = 0.009$ and $K_9 = -K_{10} = 0.001$) and two different Z' scenarios ($K_9 = K'_9 = -K_{10} = -K'_{10} = 1.4 \cdot 10^{-4}$ and $K_9 = K'_9 = -K_{10} = -K'_{10} = 2.3 \cdot 10^{-4}$). The BSM benchmarks are adapted from ref. [8]. The error bands include form factor uncertainties.

5.6 Dineutrino modes

Null test opportunities for rare charm decays with dineutrinos modes were recently presented [14, 37]. The experimental signature includes missing energy and requires a clean environment such as an e^+e^- -machine. Due to the strong GIM suppression and absence of QCD resonances, $c \rightarrow u\nu\bar{\nu}$ branching ratios in the SM are well beyond any experimental reach in the foreseeable future. Interestingly, NP can induce sizable rates which are in reach of present flavor facilities Belle II [15], BES III [16], and beyond [17]. Moreover, the achievable upper limits on the branching ratios depend on charged lepton flavor, therefore, a search for rare charm dineutrino modes not only tests the SM, it tests at the same time lepton universality (LU), or charged lepton flavor conservation (cLFC) [14, 37]. The latter originates from the $SU(2)_L$ -link between left-handed charged leptons and neutrinos. Since the neutrino flavors are not tagged, the upper limits on dineutrino branching ratios are obtained as an incoherent sum of all final state flavors

$$\mathcal{B}(c \rightarrow u\nu\bar{\nu}) = \sum_{i,j} \mathcal{B}(c \rightarrow u\nu_i\bar{\nu}_j) , \tag{5.13}$$

which are constrained by charged dilepton data [14, 37]. Note, the PMNS-matrix drops out from this link, and that the upper limits are data-driven, and can be improved. Bounds on dilepton couplings, including τ 's, exist from high- p_T -data [39, 40]. The strongest upper limit arises in models with LU; since the muon bounds are the strongest they dictate the limit. Relaxing LU but within cLFC branching ratio limits increase. In the most general situation, denoted below by “general”, that is, LFV, the maximum limits allowing only for light left-handed neutrinos are obtained.

Predictions for rare charm baryon modes read [14]

$$\begin{aligned}
 \mathcal{B}(\Lambda_c^+ \rightarrow p\nu\bar{\nu}) &\lesssim 1.8 \cdot 10^{-6} \quad (\text{LU}), \\
 \mathcal{B}(\Lambda_c^+ \rightarrow p\nu\bar{\nu}) &\lesssim 1.1 \cdot 10^{-5} \quad (\text{cLFC}), \\
 \mathcal{B}(\Lambda_c^+ \rightarrow p\nu\bar{\nu}) &\lesssim 3.9 \cdot 10^{-5} \quad (\text{general}),
 \end{aligned}
 \tag{5.14}$$

and

$$\begin{aligned}
 \mathcal{B}(\Xi_c^+ \rightarrow \Sigma^+\nu\bar{\nu}) &\lesssim 3.6 \cdot 10^{-6} \quad (\text{LU}), \\
 \mathcal{B}(\Xi_c^+ \rightarrow \Sigma^+\nu\bar{\nu}) &\lesssim 2.1 \cdot 10^{-5} \quad (\text{cLFC}), \\
 \mathcal{B}(\Xi_c^+ \rightarrow \Sigma^+\nu\bar{\nu}) &\lesssim 7.6 \cdot 10^{-5} \quad (\text{general}).
 \end{aligned}
 \tag{5.15}$$

Following ref. [14] we further obtain

$$\begin{aligned}
 \mathcal{B}(\Xi_c^0 \rightarrow \Sigma^0\nu\bar{\nu}) &\lesssim 6.2 \cdot 10^{-7} \quad (\text{LU}), \\
 \mathcal{B}(\Xi_c^0 \rightarrow \Sigma^0\nu\bar{\nu}) &\lesssim 3.6 \cdot 10^{-6} \quad (\text{cLFC}), \\
 \mathcal{B}(\Xi_c^0 \rightarrow \Sigma^0\nu\bar{\nu}) &\lesssim 1.3 \cdot 10^{-5} \quad (\text{general}),
 \end{aligned}
 \tag{5.16}$$

$$\begin{aligned}
 \mathcal{B}(\Xi_c^0 \rightarrow \Lambda^0\nu\bar{\nu}) &\lesssim 2.7 \cdot 10^{-7} \quad (\text{LU}), \\
 \mathcal{B}(\Xi_c^0 \rightarrow \Lambda^0\nu\bar{\nu}) &\lesssim 1.5 \cdot 10^{-6} \quad (\text{cLFC}), \\
 \mathcal{B}(\Xi_c^0 \rightarrow \Lambda^0\nu\bar{\nu}) &\lesssim 5.6 \cdot 10^{-6} \quad (\text{general}),
 \end{aligned}
 \tag{5.17}$$

and

$$\begin{aligned}
 \mathcal{B}(\Omega_c^0 \rightarrow \Xi^0\nu\bar{\nu}) &\lesssim 3.4 \cdot 10^{-6} \quad (\text{LU}), \\
 \mathcal{B}(\Omega_c^0 \rightarrow \Xi^0\nu\bar{\nu}) &\lesssim 1.9 \cdot 10^{-5} \quad (\text{cLFC}), \\
 \mathcal{B}(\Omega_c^0 \rightarrow \Xi^0\nu\bar{\nu}) &\lesssim 7.1 \cdot 10^{-5} \quad (\text{general}).
 \end{aligned}
 \tag{5.18}$$

A measurement above a bound based on a lepton flavor symmetry implies the violation of the latter in the charged lepton sector. For instance, $\mathcal{B}(\Lambda_c \rightarrow p\nu\bar{\nu}) > 1.8 \cdot 10^{-6}$ implies lepton universality violation, $R_p^{\Lambda_c} \neq 1$, and $\mathcal{B}(\Lambda_c \rightarrow p\nu\bar{\nu}) > 1.1 \cdot 10^{-5}$ implies non-vanishing signals in LFV modes. Upper limits on branching ratios in specific leptoquark models are given in [43].

6 Conclusions

We present a comprehensive analysis of rare charm baryon decays mediated by $c \rightarrow u\ell^+\ell^-$, $c \rightarrow u\mu^\pm e^\mp$ and $c \rightarrow u\nu\bar{\nu}$ transitions. The three-body decays $\Lambda_c \rightarrow p\ell\ell^{(\prime)}$ and friends, $\Xi_c^+ \rightarrow \Sigma^+\ell\ell^{(\prime)}$, $\Xi_c^0 \rightarrow \Sigma^0\ell\ell^{(\prime)}$, $\Xi_c^0 \rightarrow \Lambda^0\ell\ell^{(\prime)}$ and $\Omega_c^0 \rightarrow \Xi^0\ell\ell^{(\prime)}$, are sensitive to a rich set of new physics phenomena, such as breakings of symmetries that hold approximately in the

SM. In particular,

- A_{FB} , shown in figure 3, probes C_{10} , leptonic axial-vector couplings, which are protected in the SM by the GIM-mechanism. A_{FB} allows to probe C_{10} at order 10^{-2} , about two orders below the present bound (5.2). If normalized to the decay rate, shown in the lower left plot in figure 3, NP signals are enhanced on resonance.
- F_L probes electromagnetic dipole contributions $C_7^{(\prime)}$, see figure 4. In addition, F_L is sensitive to NP effects from right-handed currents with leptonic vector coupling C'_9 . F_L is therefore complementary to A_{FB} . The reach in F_L is order percent and few percent in $C_7^{(\prime)}$ and C'_9 , respectively, allowing to significantly improve existing limits on the Wilson coefficients (5.2).
- CP-violation: the CP-asymmetry in the decay rates shown in figure 6 is sensitive to CP-violation in left-handed C_9 and right-handed currents C'_9 , and dipole couplings $C_7^{(\prime)}$. The forward-backward CP-asymmetry $A_{\text{FB}}^{\text{CP}}$, shown in figure 7, probes $\text{Im } C_{10}$.
- Lepton flavor universality can be probed in lepton flavor specific modes, using ratios of branching fractions, $R_p^{\Lambda c}$, comparing dimuon to dielectron final states (4.2). NP effects in $R_p^{\Lambda c}$ can be very large in the high q^2 region, see table 2. Lepton flavor universality can also be probed with branching ratios into dineutrino final states [14, 37], which are null tests of the SM. Data-driven, upper limits assuming universality (LU) are given in (5.14)–(5.18).
- Lepton flavor violation can be searched for in $e^\pm \mu^\mp$ final states, which allow for sizable, model-independent rates (5.12), also in concrete SM extensions, such as leptoquarks, shown in figure 8. Charged lepton flavor violation can also be probed with branching ratios into dineutrino final states [14, 37], Upper limits assuming flavor conservation (cLFC) are provided in (5.14)–(5.18).

Even more Wilson coefficients can be probed if more than one receives NP contributions. Such a broad program calls for a fit that should be performed once data are available, where we stress the importance of q^2 -binning and, more general, probing the shapes of distributions. We further suggest to simultaneously fit process-dependent hadronic phases along with the Wilson coefficients. The differential branching ratios within the ω, ρ and the ϕ peaks are particularly sensitive to such phases, see figure 5 and also [7]. Such a global $c \rightarrow u$ fit should also include rare D -meson measurements. Recall that angular distributions in $D \rightarrow P \ell^+ \ell^-$, $P = \pi, K$, modes are SM null tests which probe semileptonic (pseudo-)scalar and tensor operators [8], different from those in (2.2). Rare charm baryon decays also involve both types of coupling combinations $C_i + C'_i$ and $C_i - C'_i$. The importance of rare charm baryon decays is amplified as the decays into vector mesons, such as $D \rightarrow \rho \ell^+ \ell^-$ have, for kinematic reasons, essentially no high- q^2 -region above the ϕ . Rare charm baryon modes therefore complement searches with D -meson decays, and together, they advance our understanding of the up-type flavor sector.

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A Standard model Wilson coefficients

For completeness we give the SM Wilson coefficients using refs. [5, 18, 19]. The calculation is done in a different basis than the one utilized in eq. (2.1). Instead,

$$\mathcal{H}_{\text{eff}}^{\text{weak}} \Big|_{m_W \geq \mu > m_b} = -\frac{4G_F}{\sqrt{2}} \sum_{q \in \{d, s, b\}} V_{cq}^* V_{uq} \left(\tilde{C}_1(\mu) P_1^{(q)} + \tilde{C}_2(\mu) P_2^{(q)} \right), \quad (\text{A.1})$$

$$\mathcal{H}_{\text{eff}}^{\text{weak}} \Big|_{m_b > \mu \geq m_c} = -\frac{4G_F}{\sqrt{2}} \sum_{q \in \{d, s\}} V_{cq}^* V_{uq} \left(\tilde{C}_1(\mu) P_1^{(q)} + \tilde{C}_2(\mu) P_2^{(q)} + \sum_{i=3}^{10} \tilde{C}_i(\mu) P_i \right), \quad (\text{A.2})$$

$$P_1^{(q)} = (\bar{u}_L \gamma_{\mu_1} T^a q_L) (\bar{q}_L \gamma^{\mu_1} T^a c_L), \quad (\text{A.3})$$

$$P_2^{(q)} = (\bar{u}_L \gamma_{\mu_1} q_L) (\bar{q}_L \gamma^{\mu_1} c_L), \quad (\text{A.4})$$

$$P_3 = (\bar{u}_L \gamma_{\mu_1} c_L) \sum_{\{q: m_q < \mu\}} (\bar{q} \gamma^{\mu_1} q), \quad (\text{A.5})$$

$$P_4 = (\bar{u}_L \gamma_{\mu_1} T^a c_L) \sum_{\{q: m_q < \mu\}} (\bar{q} \gamma^{\mu_1} T^a q), \quad (\text{A.6})$$

$$P_5 = (\bar{u}_L \gamma_{\mu_1} \gamma_{\mu_2} \gamma_{\mu_3} c_L) \sum_{\{q: m_q < \mu\}} (\bar{q} \gamma^{\mu_1} \gamma^{\mu_2} \gamma^{\mu_3} q), \quad (\text{A.7})$$

$$P_6 = (\bar{u}_L \gamma_{\mu_1} \gamma_{\mu_2} \gamma_{\mu_3} T^a c_L) \sum_{\{q: m_q < \mu\}} (\bar{q} \gamma^{\mu_1} \gamma^{\mu_2} \gamma^{\mu_3} T^a q), \quad (\text{A.8})$$

$$P_7 = \frac{e}{g_s^2} m_c (\bar{u}_L \sigma^{\mu_1 \mu_2} c_R) F_{\mu_1 \mu_2}, \quad (\text{A.9})$$

$$P_8 = \frac{1}{g_s} m_c (\bar{u}_L \sigma^{\mu_1 \mu_2} T^a c_R) G_{\mu_1 \mu_2}^a, \quad (\text{A.10})$$

$$P_9 = \frac{e^2}{g_s^2} (\bar{u}_L \gamma_{\mu_1} c_L) (\bar{\ell} \gamma^{\mu_1} \ell), \quad (\text{A.11})$$

$$P_{10} = \frac{e^2}{g_s^2} (\bar{u}_L \gamma_{\mu_1} c_L) (\bar{\ell} \gamma^{\mu_1} \gamma_5 \ell). \quad (\text{A.12})$$

Contributions to Wilson coefficients are calculated systematically at (partly) NNLL order in QCD, e.g. expanded in powers of $\alpha_s(\mu)$ as

$$\tilde{C}_i(\mu) = \tilde{C}_i^{(0)}(\mu) + \frac{\alpha_s(\mu)}{4\pi} \tilde{C}_i^{(1)}(\mu) + \left(\frac{\alpha_s(\mu)}{4\pi} \right)^2 \tilde{C}_i^{(2)}(\mu) + \mathcal{O}(\alpha_s^3(\mu)), \quad (\text{A.13})$$

where the most important noteworthy properties of the calculation are listed below.

	$j = 1$	$j = 2$	$j = 3$	$j = 4$	$j = 5$	$j = 6$	$j = 7$	$j = 8$	$j = 9$	$j = 10$
$\tilde{C}_j(\mu = m_c)$	-0.6402	1.0349	-0.0084	-0.0953	0.0005	0.0009	0.0038	-0.0024	-0.0133	0.0000
$\tilde{C}_j(\mu = \sqrt{2} m_c)$	-0.5159	1.0257	-0.0037	-0.0583	0.0002	0.0002	0.0020	-0.0013	-0.0083	0.0000
$\tilde{C}_j(\mu = m_c/\sqrt{2})$	-0.7902	1.0431	-0.0184	-0.1649	0.0011	0.0031	0.0071	-0.0046	-0.0214	0.0000
\tilde{C}_j	-0.64	1.035	-0.008	0.095	0.0005	0.0009	0.004	-0.002	-0.013	0.0000
$\Delta\tilde{C}_j$	0.14	0.009	0.007	0.05	0.0005	0.0015	0.003	0.002	0.006	0.0000

Table 3. SM Wilson coefficients \tilde{C}_j for $j \in [1, 10]$ up to NNLO accuracy. In the first three rows we show values for the charm mass scale $\mu = m_c$ and for scale variations of $\mu = \sqrt{2} m_c$ and $\mu = m_c/\sqrt{2}$, respectively. The last two rows explicitly give the central values and uncertainties, which are used in this work.

- \tilde{C}_1 and \tilde{C}_2 are calculated at the electroweak scale and evaluated down to the b quark mass within renormalization improved perturbation theory.
- At the b -quark mass scale, a five to four flavor matching is performed, as the b quark is integrated out. Due to the matching, operators other than $P_{1,2}$ are induced.
- After matching at μ_b , all contributions are evolved to the charm mass scale, where $\mu_c \in [\frac{m_c}{\sqrt{2}}, \sqrt{2} m_c]$ is taken as an scale uncertainty. In addition the W and b mass scales are also varied by a factor 2 to estimate further scale uncertainties.
- \tilde{C}_{10} is zero in the SM to all orders.

The calculation is performed in *Python* with numerical results summarized in table 3, where the first three rows show the complete NNLO result for the three different charm mass scales and the fourth and fifth row the resulting central value and uncertainty, respectively. Our values are in perfect agreement⁴ with refs. [5, 18, 19].

The Wilson coefficients \tilde{C}_j are absorbed into “effective“ coefficients $C_7^{\text{eff}(q)}$ and $C_9^{\text{eff}(q)}$, $q = d, s$, up to NNLO, see refs. [5, 18, 19] for details. In terms of the phenomenological basis in eq. (2.1),

$$C_{7,9}^{\text{eff}}(q^2) = \sum_{q=d,s} V_{cq}^* V_{uq} \frac{4\pi}{\alpha_s(\mu_c)} C_{7,9}^{\text{eff}(q)}(\mu_c, q^2), \tag{A.14}$$

which define the perturbative SM contributions. They are shown with their uncertainties in the upper plots of figure 9. For completeness figure 9 also shows resonance contributions as in eq. (2.4) in the lower plot. C_9^{eff} only varies significantly for q^2 values below 0.1 GeV². For $q^2 > 0.2$ both real and imaginary parts of C_9^{eff} remain small and constant at the percent level. C_7^{eff} varies in the full kinematic region, but the overall magnitude is permille or less. Resonance contributions peak at $q^2 = m_\phi$ and $q^2 = m_{\rho,\omega}$ as expected, where values of order 10 are possible. Also away from the resonances, C_9^R can be an order one contribution, such that contributions from C_7^{eff} and C_9^{eff} are negligible, except for F_L where uncertainties from C_9^R contributions cancel and interference effects of C_7^{eff} and C_9^R give the dominant uncertainties in the SM, see figure 2.

⁴There is a sign error in table 2.2 of ref. [19] in $\tilde{C}_6^{(1)}$.

B Form factors

The form factors for $\Lambda_c \rightarrow p$ in the helicity-based definition read

$$\begin{aligned}
 & \langle p(k, s_p) | \bar{u} \gamma^\mu c | \Lambda_c(p, s_{\Lambda_c}) \rangle \\
 &= \bar{u}_p(k, s_p) \left[f_0(q^2) (m_{\Lambda_c} - m_p) \frac{q^\mu}{q^2} + f_+(q^2) \frac{m_{\Lambda_c} + m_p}{s_+} \left(p^\mu + k^\mu - (m_{\Lambda_c} - m_p) \frac{q^\mu}{q^2} \right) \right. \\
 & \quad \left. + f_\perp(q^2) \left(\gamma^\mu - \frac{2m_p}{s_+} p^\mu - \frac{2m_{\Lambda_c}}{s_+} k^\mu \right) \right] u_{\Lambda_c}(p, s_{\Lambda_c}), \tag{B.1}
 \end{aligned}$$

$$\begin{aligned}
 & \langle p(k, s_p) | \bar{u} \gamma^\mu \gamma_5 c | \Lambda_c(p, s_{\Lambda_c}) \rangle \\
 &= -\bar{u}_p(k, s_p) \gamma_5 \left[g_0(q^2) (m_{\Lambda_c} + m_p) \frac{q^\mu}{q^2} + g_+(q^2) \frac{m_{\Lambda_c} - m_p}{s_-} \left(p^\mu + k^\mu - (m_{\Lambda_c} - m_p) \frac{q^\mu}{q^2} \right) \right. \\
 & \quad \left. + g_\perp(q^2) \left(\gamma^\mu + \frac{2m_p}{s_-} p^\mu - \frac{2m_{\Lambda_c}}{s_-} k^\mu \right) \right] u_{\Lambda_c}(p, s_{\Lambda_c}), \tag{B.2}
 \end{aligned}$$

$$\begin{aligned}
 & \langle p(k, s_p) | \bar{u} i \sigma^{\mu\nu} q_\nu c | \Lambda_c(p, s_{\Lambda_c}) \rangle \\
 &= -\bar{u}_p(k, s_p) \left[h_+(q^2) \frac{q^2}{s_+} \left(p^\mu + k^\mu - (m_{\Lambda_c}^2 - m_p^2) \frac{q^\mu}{q^2} \right) \right. \\
 & \quad \left. + h_\perp(q^2) (m_{\Lambda_c} + m_p) \left(\gamma^\mu - \frac{2m_p}{s_+} p^\mu - \frac{2m_{\Lambda_c}}{s_+} k^\mu \right) \right] u_{\Lambda_c}(p, s_{\Lambda_c}), \tag{B.3}
 \end{aligned}$$

$$\begin{aligned}
 & \langle p(k, s_p) | \bar{u} i \sigma^{\mu\nu} q_\nu \gamma_5 c | \Lambda_c(p, s_{\Lambda_c}) \rangle \\
 &= -\bar{u}_p(k, s_p) \gamma_5 \left[\tilde{h}_+(q^2) \frac{q^2}{s_-} \left(p^\mu + k^\mu - (m_{\Lambda_c}^2 - m_p^2) \frac{q^\mu}{q^2} \right) \right. \\
 & \quad \left. + \tilde{h}_\perp(q^2) (m_{\Lambda_c} - m_p) \left(\gamma^\mu + \frac{2m_p}{s_-} p^\mu - \frac{2m_{\Lambda_c}}{s_-} k^\mu \right) \right] u_{\Lambda_c}(p, s_{\Lambda_c}), \tag{B.4}
 \end{aligned}$$

where $q^\mu = p^\mu - k^\mu$. The form factors obey the following endpoint relations

$$\begin{aligned}
 f_0(0) &= f_+(0), \\
 g_0(0) &= g_+(0), \\
 h_\perp(0) &= \tilde{h}_\perp(0), \\
 g_\perp(q_{\max}^2) &= g_+(q_{\max}^2), \\
 \tilde{h}_\perp(q_{\max}^2) &= \tilde{h}_+(q_{\max}^2).
 \end{aligned} \tag{B.5}$$

The relation between the dipole form factors h_\perp, \tilde{h}_\perp at $q^2 = 0$ follows from $\sigma^{\mu\nu} \gamma_5 = -i/2 \epsilon^{\mu\nu\alpha\beta} \sigma_{\alpha\beta}$, and is consistent with [30, 33, 44] using other form factor parameterizations, however, is missing in [9]. Since in the latter works the relation is numerically satisfied within uncertainties $h_\perp(0) = 0.511 \pm 0.027$, $\tilde{h}_\perp(0) = 0.51 \pm 0.05$, and, as we keep finite lepton masses and never reach $q^2 = 0$ exactly anyway, this has negligible effect on our analysis. In ref. [9] and supplemented files, fit results and correlation matrices are given in terms of the z -expansion

$$f(q^2) = \frac{1}{1 - q^2/(m_{\text{pole}}^f)^2} \sum_{n=0}^2 a_n^f [z(q^2)]^n, \tag{B.6}$$

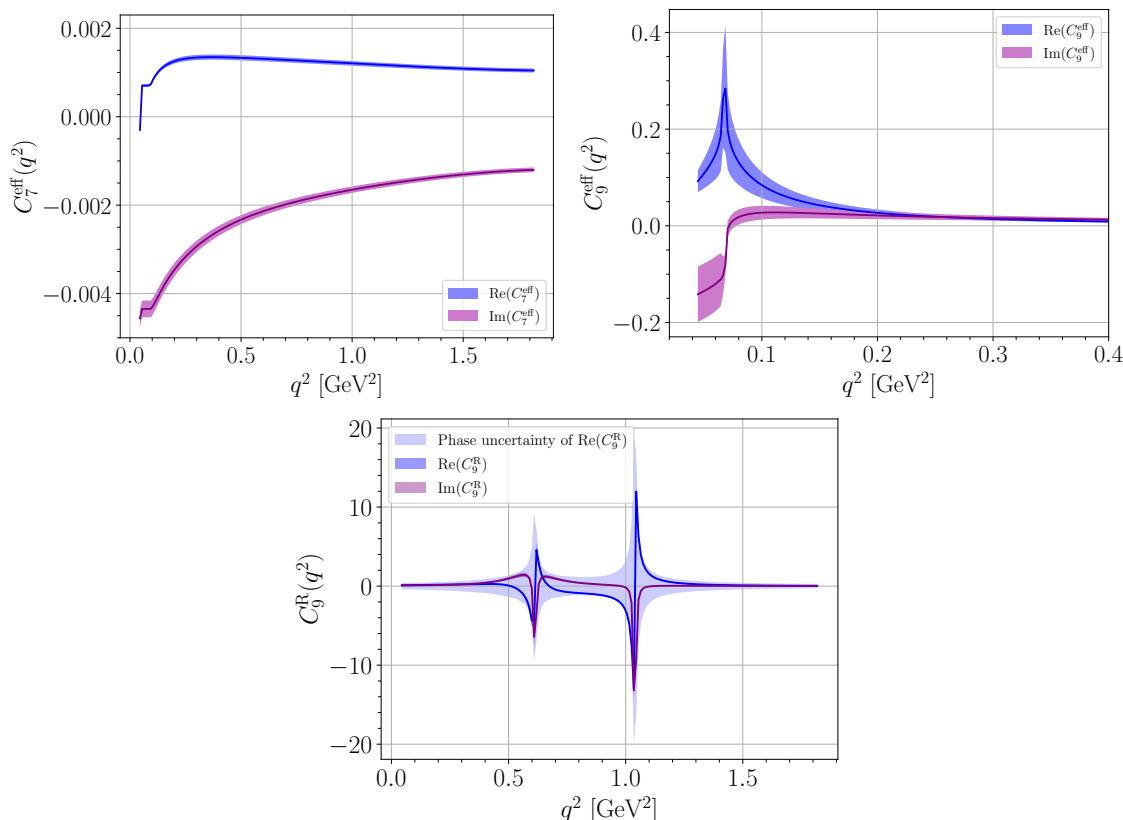


Figure 9. Real (blue) and imaginary (lilac) parts of perturbative contributions to C_7^{eff} (upper left), C_9^{eff} (upper right) and the resonant C_9^{R} (bottom) in the SM as functions of q^2 . $C_9^{\text{eff}}(q^2)$ remains essentially constant for $q^2 \gtrsim 0.4 \text{ GeV}^2$ and is therefore not shown. The main source of uncertainty in the perturbative contributions is due to the scale uncertainty μ_c and indicated as bands. In the resonant case the solid lines show C_9^{R} for fixed phases $\delta_\phi = \delta_\omega = 0$, whereas the shaded (blue) area corresponds to $\text{Re}C_9^{\text{R}}$ including uncertainties from varying phases. The corresponding uncertainty band for $\text{Im}C_9^{\text{R}}$ is not shown because it is very similar.

where

$$z(q^2) = \frac{\sqrt{t_+ - q^2} - \sqrt{t_+ - t_0}}{\sqrt{t_+ - q^2} + \sqrt{t_+ - t_0}}, \tag{B.7}$$

$t_+ = (m_D + m_\pi)^2$ and $t_0 = (m_{\Lambda_c} - m_p)^2$. The value of m_{pole}^f needs to be picked differently for each form factor, see table IV in ref. [9]. Resulting form factors are shown in figure 10. Form factor relations (B.5) hold for f_0 and f_+ (left plot, cyan and brown) and for g_0 and g_+ (right plot, yellow and blue), and at the zero recoil endpoint $g_\perp = g_+$ (right plot, blue and magenta) and $\tilde{h}_\perp = \tilde{h}_+$ (right plot, red and green).

C Helicity amplitudes

The angular observables in eq. (2.9) can be expressed in terms of helicity amplitudes $\mathcal{H}_{\lambda_p, \lambda_\gamma}^m$, where λ_p and λ_γ denote the helicities of the proton p and the effective current $\gamma^*(\rightarrow \ell\ell)$,

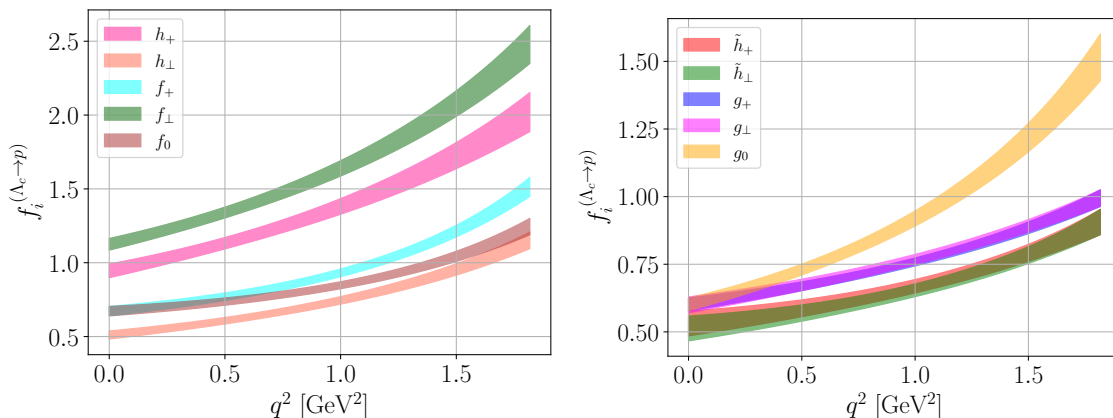


Figure 10. The $\Lambda_c \rightarrow p$ form factors from lattice QCD [9] with 1σ uncertainties.

respectively. λ_p can therefore take values of $\pm\frac{1}{2}$, whereas λ_γ takes values of $0, \pm 1$ and we further distinguish $\lambda_\gamma = t$ in the $J_\gamma = 0$ case and $\lambda_\gamma = 0$ in the $J_\gamma = 1$ case. The index m distinguishes between leptonic vector ($m = 1$) and axial vector ($m = 2$) contributions. The $m = 2$ amplitudes thus only contain C_{10} and C'_{10} .

Following ref. [30] we introduce ($m^{(l)} = 1, 2$)

$$\begin{aligned}
 S^{mm'} &= N^2 \cdot \text{Re} \left[\mathcal{H}_{\frac{1}{2},t}^m \mathcal{H}_{\frac{1}{2},t}^{\dagger m'} + \mathcal{H}_{-\frac{1}{2},t}^m \mathcal{H}_{-\frac{1}{2},t}^{\dagger m'} \right], \\
 U^{mm'} &= N^2 \cdot \text{Re} \left[\mathcal{H}_{\frac{1}{2},1}^m \mathcal{H}_{\frac{1}{2},1}^{\dagger m'} + \mathcal{H}_{-\frac{1}{2},-1}^m \mathcal{H}_{-\frac{1}{2},-1}^{\dagger m'} \right], \\
 P^{mm'} &= N^2 \cdot \text{Re} \left[\mathcal{H}_{\frac{1}{2},1}^m \mathcal{H}_{\frac{1}{2},1}^{\dagger m'} - \mathcal{H}_{-\frac{1}{2},-1}^m \mathcal{H}_{-\frac{1}{2},-1}^{\dagger m'} \right], \\
 L^{mm'} &= N^2 \cdot \text{Re} \left[\mathcal{H}_{\frac{1}{2},0}^m \mathcal{H}_{\frac{1}{2},0}^{\dagger m'} + \mathcal{H}_{-\frac{1}{2},0}^m \mathcal{H}_{-\frac{1}{2},0}^{\dagger m'} \right].
 \end{aligned}
 \tag{C.1}$$

To obtain the helicity amplitudes we sum the contributions from different hadronic matrix elements. To do so we define amplitudes corresponding to one single hadronic matrix element as $\mathcal{H}_{\lambda_p, \lambda_\gamma}^{a,m}$, and $\mathcal{H}_{\lambda_p, \lambda_\gamma}^m = \sum_a \mathcal{H}_{\lambda_p, \lambda_\gamma}^{a,m}$. $a = 1(2)$ corresponds to matrix elements involving $C_7^{(l)}$ with (without γ_5). The same notation distinguishes vector and axial vector matrix elements of $C_{9(10)}^{(l)}$ for $a = 3, 4$. Flipping the helicities will result in a minus sign for the amplitudes $a = 2$ and $a = 4$, due to parity

$$\begin{aligned}
 \mathcal{H}_{\lambda_p, \lambda_\gamma}^1 &= \mathcal{H}_{\lambda_p, \lambda_\gamma}^{1,1} + \mathcal{H}_{\lambda_p, \lambda_\gamma}^{2,1} + \mathcal{H}_{\lambda_p, \lambda_\gamma}^{3,1} + \mathcal{H}_{\lambda_p, \lambda_\gamma}^{4,1}, \\
 \mathcal{H}_{-\lambda_p, -\lambda_\gamma}^1 &= \mathcal{H}_{\lambda_p, \lambda_\gamma}^{1,1} - \mathcal{H}_{\lambda_p, \lambda_\gamma}^{2,1} + \mathcal{H}_{\lambda_p, \lambda_\gamma}^{3,1} - \mathcal{H}_{\lambda_p, \lambda_\gamma}^{4,1}, \\
 \mathcal{H}_{\lambda_p, \lambda_\gamma}^2 &= \mathcal{H}_{\lambda_p, \lambda_\gamma}^{3,2} + \mathcal{H}_{\lambda_p, \lambda_\gamma}^{4,2}, \\
 \mathcal{H}_{-\lambda_p, -\lambda_\gamma}^2 &= \mathcal{H}_{\lambda_p, \lambda_\gamma}^{3,2} - \mathcal{H}_{\lambda_p, \lambda_\gamma}^{4,2}.
 \end{aligned}
 \tag{C.2}$$

For convenience we give the list of single contributions below.

$\lambda_{\Lambda_c} = \frac{1}{2}, \lambda_\gamma = t$:

$$\begin{aligned}
 \mathcal{H}_{-\frac{1}{2},t}^{1,1} &= 0, \\
 \mathcal{H}_{-\frac{1}{2},t}^{2,1} &= 0, \\
 \mathcal{H}_{-\frac{1}{2},t}^{3,1(2)} &= \left(C_{9(10)} + C_{9'(10')}\right) \frac{\sqrt{s_+}}{\sqrt{q^2}} f_0(q^2) (m_{\Lambda_c} - m_p), \\
 \mathcal{H}_{-\frac{1}{2},t}^{4,1(2)} &= \left(C_{9(10)} - C_{9'(10')}\right) \frac{\sqrt{s_-}}{\sqrt{q^2}} g_0(q^2) (m_{\Lambda_c} + m_p),
 \end{aligned} \tag{C.3}$$

$\lambda_{\Lambda_c} = -\frac{1}{2}, \lambda_\gamma = 0$:

$$\begin{aligned}
 \mathcal{H}_{\frac{1}{2},0}^{1,1} &= (C_7 + C_7') \frac{2m_c}{\sqrt{q^2}} \sqrt{s_-} h_+(q^2), \\
 \mathcal{H}_{\frac{1}{2},0}^{2,1} &= -(C_7 - C_7') \frac{2m_c}{\sqrt{q^2}} \sqrt{s_+} \tilde{h}_+(q^2), \\
 \mathcal{H}_{\frac{1}{2},0}^{3,1(2)} &= \left(C_{9(10)} + C_{9'(10')}\right) \frac{1}{\sqrt{q^2}} \sqrt{s_-} f_+(q^2) (m_{\Lambda_c} + m_p), \\
 \mathcal{H}_{\frac{1}{2},0}^{4,1(2)} &= -\left(C_{9(10)} - C_{9'(10')}\right) \frac{1}{\sqrt{q^2}} \sqrt{s_+} g_+(q^2) (m_{\Lambda_c} - m_p),
 \end{aligned} \tag{C.4}$$

$\lambda_{\Lambda_c} = \frac{1}{2}, \lambda_\gamma = 1$:

$$\begin{aligned}
 \mathcal{H}_{\frac{1}{2},1}^{1,1} &= \sqrt{2} (C_7 + C_7') \frac{2m_c}{q^2} \sqrt{s_-} h_\perp(q^2) (m_{\Lambda_c} + m_p), \\
 \mathcal{H}_{\frac{1}{2},1}^{2,1} &= -\sqrt{2} (C_7 - C_7') \frac{2m_c}{q^2} \sqrt{s_+} \tilde{h}_\perp(q^2) (m_{\Lambda_c} - m_p), \\
 \mathcal{H}_{\frac{1}{2},1}^{3,1(2)} &= \sqrt{2} \left(C_{9(10)} + C_{9'(10')}\right) \sqrt{s_-} f_\perp(q^2), \\
 \mathcal{H}_{\frac{1}{2},1}^{4,1(2)} &= -\sqrt{2} \left(C_{9(10)} - C_{9'(10')}\right) \sqrt{s_+} g_\perp(q^2).
 \end{aligned} \tag{C.5}$$

D Lepton flavor violating angular observables

For the lepton flavor violating decays the angular observables in equation (2.9) are given by

$$\begin{aligned}
 K_{1ss} &= 2(m_\ell - m_{\ell'})^2 v_+^2 S^{11} + 2(m_\ell + m_{\ell'})^2 v_-^2 S^{22} \\
 &\quad + 2q^2 v_-^2 L^{11} + 2q^2 v_+^2 L^{22} \\
 &\quad + \left(q^2 v_-^2 + (m_\ell + m_{\ell'})^2 v_-^2\right) U^{11} + \left(q^2 v_+^2 + (m_\ell - m_{\ell'})^2 v_+^2\right) U^{22}, \\
 K_{1cc} &= 2(m_\ell - m_{\ell'})^2 v_+^2 S^{11} + 2(m_\ell + m_{\ell'})^2 v_-^2 S^{22} \\
 &\quad + 2(m_\ell + m_{\ell'})^2 v_-^2 L^{11} + 2(m_\ell - m_{\ell'})^2 v_+^2 L^{22} \\
 &\quad + 2q^2 v_-^2 U^{11} + 2q^2 v_+^2 U^{22}, \\
 K_{1c} &= -4q^2 v_+ v_- P^{12},
 \end{aligned} \tag{D.1}$$

where we use the expressions from eq. (C.1) for the hadronic helicity amplitudes with the appropriate replacement of Wilson coefficients $C_{9,10}^{(\prime)}$ with lepton flavor violating Wilson coefficients $K_{9,10}^{(\prime)}$, skipping contributions with $C_7^{(\prime)}$ and using $v_\pm = \sqrt{1 - \frac{(m_\ell \pm m_{\ell'})^2}{q^2}}$.

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