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# Tensor renormalization group approach to four-dimensional complex $\phi^4$ theory at finite density

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ABSTRACT: Tensor network is an attractive approach to the field theory with negative sign problem. The complex  $\phi^4$  theory at finite density is a test bed for numerical algorithms to verify their effectiveness. The model shows a characteristic feature called the Silver Blaze phenomenon associated with the sign problem in the large volume limit at low temperature. We analyze the four-dimensional model employing the anisotropic tensor renormalization group algorithm with a parallel computation. We find a clear signal of the Silver Blaze phenomenon on a large volume of  $V=1024^4$ , which implies that the tensor network approach is effective even for four-dimensional field theory beyond two dimensions.

Keywords: Field Theories in Higher Dimensions, Lattice Quantum Field Theory

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#### 1 Introduction

The tensor renormalization group (TRG), which was originally proposed by Levin and Nave to study two-dimensional (2d) classical spin models [1], is a deterministic method to evaluate the partition function. This method has several superior features over the Monte Carlo method. It does not suffer from the sign problem and its computational cost depends on the system size only logarithmically. In addition, the partition function or the path-integral itself can be directly evaluated and Grassmann variables are also directly manipulated. In past several years these features have been confirmed by exploratory numerical studies of the 2d quantum field theories [5-18]. A next research direction could be an application of the TRG method to the 4d quantum field theories following the first study of the 4d Ising model [19, 20].

In this paper, we study the 4d complex  $\phi^4$  theory at finite chemical potential, which is a typical system with the sign problem. This model has a characteristic feature called the Silver Blaze phenomenon, which has been studied by various methods intended to overcome or tame the sign problem, such as the complex Langevin approach [21], the thimble method [22–24], and the worldline representation [25, 26]. Since the 2d case was investigated using the TRG method [17], we already have a knowledge of how to define a finite dimensional tensor with a discretization of scalar fields. The most important change from the 2d case to the 4d one is a choice of the algorithm. The original TRG algorithm proposed by Levin and Nave, which was employed in the 2d case [17], is not applicable to higher-dimensional ( $\geq 3d$ ) models. Although this defect was overcome by the higher-order TRG (HOTRG) algorithm [2], its computational cost is  $O(D^{4d-1})$  with D the bond dimension of the tensors, which is rather expensive for higher-dimensional models. Recently, a new algorithm called Anisotropic TRG (ATRG) was proposed to reduce the computational cost to be  $O(D^{2d+1})$  [3]. The effectiveness of this algorithm is already tested

<sup>&</sup>lt;sup>1</sup>In this paper the TRG method or the TRG approach refers to not only the original numerical algorithm proposed by Levin and Nave but also its improved versions [2–4].

using the 4d Ising model, where a parallel computation with 2D processes is used to reduce the cost per process of tensor contractions from  $O(D^9)$  to  $O(D^8)$  in four dimensions [20]. We employ the ATRG algorithm with the parallel computation to investigate the 4d complex  $\phi^4$  theory.

This paper is organized as follows. In section 2 we explain the details of the computational method for the 4d complex  $\phi^4$  theory at finite chemical potential with the ATRG algorithm. Numerical results including the Silver Blaze phenomenon are presented in section 3. Section 4 is devoted to summary.

#### $\mathbf{2}$ Method

#### 2.1Tensor network representation

The complex scalar field theory at finite chemical potential in 4d euclidean space is given by

$$S = \int d^4x \left\{ |\partial_{\nu}\phi|^2 + m^2 |\phi|^2 + \lambda |\phi|^4 + \mu (\partial_4\phi^*\phi - \phi^*\partial_4\phi) \right\} (x)$$
 (2.1)

with the complex scalar field  $\phi(x)$ , the bare mass m, the quartic coupling constant  $\lambda > 0$ and the chemical potential  $\mu$ . This model has a global U(1) symmetry  $\phi \to e^{i\theta}\phi$ , which is broken for large  $\mu$ .

The lattice theory is defined in an ordinary manner. The lattice scalar field  $\phi_n$  lives on a site n of a lattice  $\Gamma = \{(n_1, n_2, n_3, n_4) \mid n_{\nu} = 1, 2, \dots, N_{\nu}\}$  with the lattice volume  $V = N_1 \times N_2 \times N_3 \times N_4$ . The lattice spacing a is set to a = 1 without loss of generality. We choose the periodic boundary condition for the scalar field:  $\phi_{n+N_{\nu}\hat{\nu}} = \phi_n$  for  $\nu = 1, 2, 3, 4$ with  $\hat{\nu}$  is the unit vector of the  $\nu$ -direction. See the 2d case [17] for the other notations. Then the corresponding 4d lattice action is given by

$$S[\phi] = \sum_{n \in \Gamma} \left\{ (8 + m^2) |\phi_n|^2 + \lambda |\phi_n|^4 - \sum_{\nu=1}^4 \left( e^{\mu \delta_{\nu 4}} \phi_n^* \phi_{n+\hat{\nu}} + e^{-\mu \delta_{\nu 4}} \phi_n \phi_{n+\hat{\nu}}^* \right) \right\}. \tag{2.2}$$

Note that m and  $\mu$  in the lattice action are dimensionless ones measured in the lattice unit and eq. (2.1) is reproduced by taking a naive continuum limit of eq. (2.2). The partition function is defined by

$$Z = \int \mathcal{D}\phi \, e^{-S[\phi]} \tag{2.3}$$

with the path integral measure  $\int \mathcal{D}\phi = \prod_{n \in \Gamma} \int_{-\infty}^{\infty} d\text{Re}(\phi_n) d\text{Im}(\phi_n)$ . We employ the polar coordinate  $\phi_n = r_n e^{i\pi s_n}$   $(r_n \ge 0 \text{ and } s_n \in [-1, 1))$  to express the partition function Z as a tensor network. The action and integral measure are written in

$$S[r,s] = \sum_{n \in \Gamma} \left\{ (8+m^2)r_n^2 + \lambda r_n^4 - 2\sum_{\nu=1}^4 r_n r_{n+\hat{\nu}} \cos\left(\pi(s_{n+\hat{\nu}} - s_n) - i\mu\delta_{\nu 4}\right) \right\}$$
(2.4)

and

$$\int \mathcal{D}\phi \equiv \prod_{n\in\Gamma} \int_0^\infty dr_n r_n \int_{-1}^1 \pi ds_n. \tag{2.5}$$

The continuous variables  $r_n$  and  $s_n$  are discretized by the  $K_1$ -point Gauss-Laguerre and  $K_2$ -point Gauss-Legendre quadrature rule, respectively:  $r_{\alpha}$  and  $w_{\alpha}$  with  $\alpha = 1, \ldots, K_1$  denote the  $\alpha$ th node and weight in the former quadrature and  $s_{\beta}$  and  $u_{\beta}$  with  $\beta = 1, \ldots, K_2$  are the  $\beta$ th node and its weight in the latter one. The partition function is thus discretized as

$$Z(K_1, K_2) = \sum_{\{\alpha, \beta\}} e^{-S[r, s]} \prod_{n \in \Gamma} (w_{\alpha_n} e^{r_{\alpha_n}} r_{\alpha_n}) (\pi u_{\beta_n})$$
 (2.6)

with  $\sum_{\{\alpha,\beta\}} \equiv \prod_{n \in \Gamma} \sum_{\alpha_n=1}^{K_1} \sum_{\beta_n=1}^{K_2}$ . Introducing square matrices

$$M_{\alpha\beta,\alpha'\beta'}^{[\nu]} = \sqrt[4]{\pi} \sqrt[8]{r_{\alpha}r_{\alpha'}w_{\alpha}w_{\alpha'}u_{\beta}u_{\beta'}} \exp\left(\frac{r_{\alpha} + r_{\alpha'}}{8}\right)$$

$$\cdot \exp\left[-\left(1 + \frac{m^2}{8}\right)\left(r_{\alpha}^2 + r_{\alpha'}^2\right) - \frac{\lambda}{8}\left(r_{\alpha}^4 + r_{\alpha'}^4\right) + 2r_{\alpha}r_{\alpha'}\cos(\pi(s_{\beta'} - s_{\beta}) - i\mu\delta_{\nu 4})\right]$$

$$(2.7)$$

leads to

$$Z(K_1, K_2) = \sum_{\{\alpha, \beta\}} \prod_{n \in \Gamma} \prod_{\nu=1}^{4} M_{\alpha_n \beta_n, \alpha_{n+\hat{\nu}} \beta_{n+\hat{\nu}}}^{[\nu]}.$$
 (2.8)

Note that  $M^{[\nu]}$  is the  $(K_1K_2) \times (K_1K_2)$  matrix which represents a forward hopping term of the  $\nu$ -direction.

The singular value decomposition (SVD) is applied to each matrix M:

$$M_{\alpha\beta,\alpha'\beta'}^{[\nu]} = \sum_{k=1}^{K_1 K_2} U_{\alpha\beta,k}^{[\nu]} \sigma_k^{[\nu]} V_{\alpha'\beta',k}^{[\nu]\dagger}$$

$$(2.9)$$

where  $\sigma_k^{[\nu]}$  is the kth singular value sorted in the descending order, and  $U^{[\nu]}$  and  $V^{[\nu]}$  are the unitary matrices composed of the singular vectors. Thus, the partition function is represented by a tensor network as

$$Z(K_1, K_2) = \sum_{x,y,z,t} \prod_{n \in \Gamma} T_{x_n y_n z_n t_n x_{n-1} y_{n-2} z_{n-3} t_{n-4}}$$
(2.10)

where

$$T_{i_1 i_2 i_3 i_4 j_1 j_2 j_3 j_4} = \sum_{\alpha=1}^{K_1} \sum_{\beta=1}^{K_2} \prod_{\nu=1}^4 \sqrt{\sigma_{i_{\nu}}^{[\nu]} \sigma_{j_{\nu}}^{[\nu]}} U_{\alpha\beta, i_{\nu}}^{[\nu]} V_{\alpha\beta, j_{\nu}}^{[\nu]\dagger}. \tag{2.11}$$

Here tensor indices  $x_n, y_n, z_n, t_n, (i_k, j_k)$  run from 1 to  $K_1K_2$  and the summation  $\sum_{x,y,z,t}$  is taken for all possible values.

In actual numerical computations, we use a truncated form of eq. (2.9) up to  $D \in K_1K_2$  to reduce the computational cost. This change is simply understood by redefining  $\sum_{x,y,z,t}$  as  $\sum_{x,y,z,t} \equiv \prod_{n\in\Gamma} \sum_{x_n=1}^D \sum_{y_n=1}^D \sum_{z_n=1}^D \sum_{t_n=1}^D$ . The partition function is approximately given with three parameters D and  $K_1, K_2$ . We check the  $K_1, K_2$  and D dependences of the results in the next section.

The expectation value of a local operator is also expressed as a tensor network which is not homogeneous. For instance, the network for  $\langle |\phi|^2 \rangle$  has an impurity tensor which corresponds to the operator insertion in the whole network. For the particle number density, two impurity tensors are needed as the number operator has a hopping term.

In ref. [17], the Fourier expansion with the Bessel functions is used to derive the tensor network representation. Testing a few types of tensor network representation including it, we found that the current one described here provided the most convergent result of them all in 4d complex scalar field theory.

#### 2.2 ATRG

The implementation details of the ATRG algorithm is already explained in ref. [20]. Here we comment on a few points. The leading computational cost of the ATRG comes from tensor contractions at each renormalization step, which depends on  $O(D^9)$  in four dimensions. The MPI parallel computation with 2D processes is used in this part. Then the cost per process is reduced from  $O(D^9)$  to  $O(D^8)$ . As the partial SVD required in the ATRG, we employ the randomized SVD (RSVD) algorithm, whose accuracy is controlled by the over sampling parameter p and the RSVD iteration number q. With the choice of  $p \geq 4D$  and  $q \geq 2D$ , we have confirmed that the numerical results obtained by the ATRG do not depend on these parameters.<sup>2</sup> In addition, we have slightly modified the original algorithm of ATRG [3]; we avoid taking the square root for the singular values obtained by the RSVD. We have checked the improvement of the accuracy with this modification, benchmarking with the 2d Ising model.

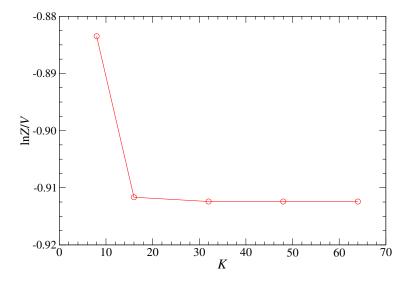
## 3 Numerical results

The complex  $\phi^4$  theory at finite density is expected to show the Silver Blaze phenomenon where bulk observables are independent of  $\mu$  up to some critical point  $\mu_c$  in the thermodynamic limit at zero temperature. Since this phenomenon is related to the complex phase of the action, we examine the  $\mu$  dependence of a few observables to test the TRG method.

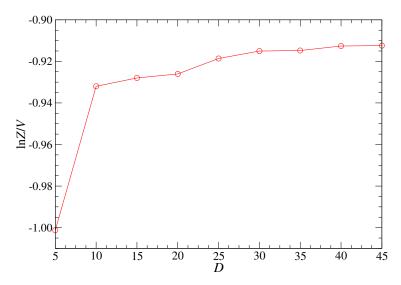
We choose m = 0.1 and  $\lambda = 1$  for the lattice complex  $\phi^4$  theory of eq. (2.2). These parameters are the same as employed in the 2d case of ref. [17]. The partition function of eq. (2.10) is evaluated using the ATRG algorithm on a periodic lattice with the volume  $V = L^4$  ( $L = 2^m, m \in \mathbb{Z}$ ). In the previous section we have introduced two algorithmic parameters. One is the bond dimension of the tensors D, which is fixed by keeping the largest D components with the SVD throughout the ATRG algorithm. The other is the polynomial order in the Gauss quadrature method to discretize the complex scalar fields. We set  $K = K_1 = K_2$  for simplicity.

Figure 1 shows the K dependence of the thermodynamic potential density  $-\Omega = \frac{1}{V} \ln Z$  with D=45 on  $V=1024^4$  choosing  $\mu=0.6$  near the critical chemical potential. We observe a good convergence behavior for K. Figure 2 plots the D dependence of the thermodynamic potential density with K=64, which seems to be converging around  $D\simeq 40$ . In the following, numerical results are presented for D=45 and K=64 which are large enough in this study.

<sup>&</sup>lt;sup>2</sup>For the study of these RSVD parameters in the 4d ATRG, see also ref. [27].



**Figure 1**. K dependence of thermodynamic potential density with  $m^2 = 0.01$ ,  $\lambda = 1$ ,  $\mu = 0.6$  and D = 45 on  $V = 1024^4$ .



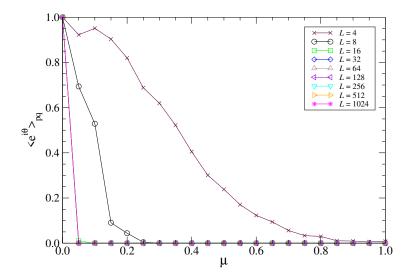
**Figure 2**. *D* dependence of thermodynamic potential density with  $m^2 = 0.01$ ,  $\lambda = 1$ ,  $\mu = 0.6$  and K = 64 on  $V = 1024^4$ .

We first define a phase quenched partition function as

$$Z_{\rm pq} = \int \mathcal{D}\phi \, e^{-\text{Re}(S)},\tag{3.1}$$

dropping the imaginary part of  $e^{-S} = e^{-\text{Re}(S)}e^{i\theta}$  with  $e^{-\text{Re}(S)} > 0$ . The expectation value of an operator  $\mathcal{O}$  in the phase quenched theory is expressed as  $\langle \mathcal{O} \rangle_{pq}$ , which is related to  $\langle \mathcal{O} \rangle$  as

$$\langle \mathcal{O} \rangle = \frac{\langle \mathcal{O}e^{i\theta}\rangle_{pq}}{\langle e^{i\theta}\rangle_{pq}}.$$
 (3.2)



**Figure 3**. Average phase factor as a function of  $\mu$  with  $m^2 = 0.01$ ,  $\lambda = 1$ , K = 64, D = 45. The lattice volume V is varied from  $4^4$  to  $1024^4$ .

In case that the phase factor oscillates frequently in the large  $\mu$  region it is difficult for the Monte Carlo method to evaluate the ratio because of the vanishing contributions from both the numerator and the denominator. This is the so-called sign problem.

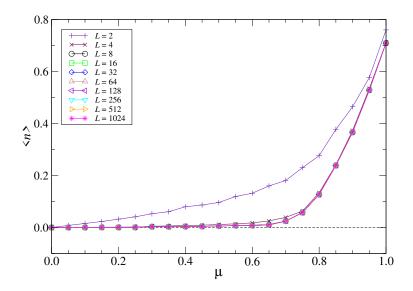
In figure 3, we plot the average phase factor  $\langle e^{i\theta} \rangle_{pq} = Z/Z_{pq}$  as a function of  $\mu$  varying the lattice volume V. This quantity measures how severe the sign problem is for given parameters of  $\mu$  and V. We observe that  $\langle e^{i\theta} \rangle_{pq}$  becomes close to zero as either of the volume or the chemical potential increases. On the largest volume of  $V=1024^4$ , which is essentially regarded as the thermodynamic limit at zero temperature, the average phase factor quickly falls off from one at  $\mu=0$  to zero for  $\mu\gtrsim 0.05$ , where a naive Monte Carlo method does not work.

In figure 4, the  $\mu$  dependence of the particle number density

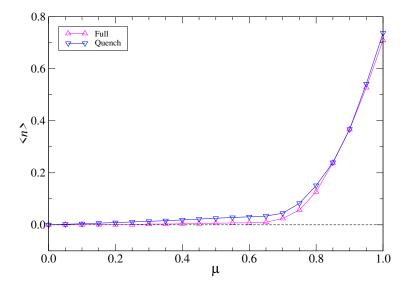
$$\langle n \rangle = \frac{1}{V} \frac{\partial \ln Z}{\partial \mu} \tag{3.3}$$

is plotted. We evaluate this quantity by the ATRG algorithm with impurity tensors [15]. On the larger volume the Silver Blaze phenomenon becomes manifest: the particle number density stays around zero up to  $\mu \approx 0.65$  and starts to show the rapid increase at  $\mu \approx 0.65$ . Figure 5 compares  $\langle n \rangle$  and  $\langle n \rangle_{pq}$  as a function of  $\mu$  on the lattice of  $V = 1024^4$ . The latter shows the monotonic increase once the finite chemical potential is turned on. It is confirmed that the Silver Blaze phenomenon is attributed to the imaginary part of the action.

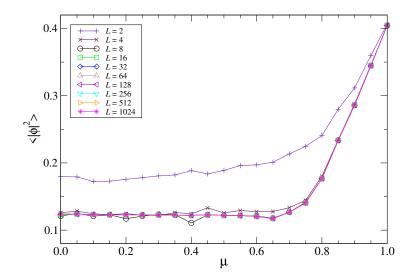
Figure 6 plots  $\langle |\phi|^2 \rangle$  as a function of  $\mu$  with the same parameter set of  $(m, \lambda, K, D, V)$  as in figure 4, which is also evaluated with the impurity tensor method. The  $\mu$  dependence of  $\langle |\phi|^2 \rangle$  is quite similar to that of  $\langle n \rangle$  in figure 4:  $\langle |\phi|^2 \rangle$  seems independent of  $\mu$  up to  $\mu \approx 0.65$  and shows the rapid increase beyond it. The value of the critical chemical potential  $\mu_c$  should be compared with a mean field estimate, which is given by  $4 \sinh^2(\mu_c^{\rm MF}/2) = m^2 + 4\lambda \langle |\phi|^2 \rangle$  [28]. Using the measured value of  $\langle |\phi|^2 \rangle \approx 0.125$  over  $0 \le \mu \le 0.65$  in figure 6 we obtain  $\mu_c^{\rm MF} \approx 0.70$ .



**Figure 4.** Particle number density as a function of  $\mu$  with the lattice volume varied from  $2^4$  to  $1024^4$ . The other parameters, m,  $\lambda$ , K and D, are the same as those in figure 3.



**Figure 5**. Comparison of  $\langle n \rangle$  and  $\langle n \rangle_{pq}$  with  $m^2 = 0.01$ ,  $\lambda = 1$ , K = 64 and D = 45 on  $V = 1024^4$ .



**Figure 6.**  $\langle |\phi|^2 \rangle$  as a function of  $\mu$  with the same parameter set of  $(m, \lambda, K, D, V)$  as in figure 4.

## 4 Summary

We have applied the ATRG algorithm to study the 4d complex  $\phi^4$  theory at finite density. This is the first attempt to analyze a 4d quantum field theory with the TRG approach. The finite dimensional tensor was given by discretizing scalar fields with the Gauss quadrature formula. The MPI parallel computations were carried out for reducing the computational cost of the ATRG method to  $O(D^8)$  where D is the bond dimension of tensors. The TRG computation thus works with reasonable D for 4d field theory. The Silver Blaze phenomenon is clearly observed for the particle number density and  $\langle |\phi|^2 \rangle$  on the extremely large lattice of  $V=1024^4$  which is essentially in the thermodynamic limit at zero temperature. This successful study encourages us to extend the analysis to other 4d quantum field theories.

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