

BPS soliton solutions of a D3-brane action

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ABSTRACT: The world-volume action of a probe D3-brane in $AdS_5 \times S^5$ with N units of flux has the field content, symmetries, and dualities of the $U(1)$ factor of $\mathcal{N} = 4$ $U(N+1)$ super Yang-Mills theory, spontaneously broken to $U(N) \times U(1)$ by being on the Coulomb branch, with the massive fields integrated out. Thus, it might be the exact effective action (a *highly effective action*), or else a useful approximation to it. We construct an $SL(2, \mathbb{Z})$ multiplet of BPS soliton solutions of the D3-brane action and show that in the $N = 1$ case they correspond to the electrically charged states that have been integrated out as well as magnetic monopoles and dyons. Their charges are uniformly spread on a spherical surface, a *soliton bubble*, which can be interpreted as a phase boundary. This picture is consistent with previous results in the string theory and field theory literature.

KEYWORDS: D-branes, AdS-CFT Correspondence, Conformal Field Models in String Theory

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The bosonic part of the world-volume action of a probe D3-brane in the $AdS_5 \times S^5$ solution of Type IIB superstring theory was constructed in [1]. The symmetries of the background are induced as symmetries of the D3-brane action. These symmetries include the superconformal group $PSU(2, 2|4)$ and the duality group $SL(2, \mathbb{Z})$, though the bosonic truncation that was presented only retains the bosonic subgroup of the superconformal group. The parameters of the theory are a modular parameter $\tau = \chi + i/g_s$, a positive integer N , and a mass scale v , which encodes the radial coordinate of the D3-brane in AdS_5 . χ is the background value of the RR scalar field, the string coupling constant g_s is the exponential of the background value of the dilaton field, and N is the number of units of five-form flux that threads the five-sphere.

The physical field content of the D3-brane action consists of a single abelian $\mathcal{N} = 4$ supermultiplet (a $U(1)$ gauge field, four Majorana spinors, and six scalars). The inclusion of the fermions in the action has been worked out for the case of an $AdS_5 \times S^5$ background geometry in [2]. Other equivalent formulations may be more convenient for our purposes. In any case, the fermion dependence is not required for the construction of the classical solutions that are the main goal of this paper, though it would be useful for verifying some of the claims that will be made, as well as various other purposes.

The probe D3-brane action in an $AdS_5 \times S^5$ background with N units of flux gives an approximate description of the dynamics that is best for large N , when back-reaction effects become negligible. Nevertheless, the conjecture of [1] is that this action, with N set equal to 1, is the *exact* effective action for $\mathcal{N} = 4$ super Yang-Mills theory with gauge group $U(2)$ on the Coulomb branch, with all massive fields integrated out.¹ Such an action has been dubbed a *highly effective action* or HEA in [1]. On the Coulomb branch, the electrically charged fields in the $U(2)$ theory are massive. Once they are integrated out, one obtains an effective action in terms of the massless neutral fields that correspond to a $U(1)$ subgroup of $U(2)$ (plus a free $U(1)$ multiplet). This paper will demonstrate that the probe D3-brane action gives exactly the expected spectrum of half-BPS soliton configurations. If the probe D3-brane action is not the exact HEA, it must be a good enough approximation or truncation to account for this success.

Type IIB superstring theory has an $SL(2, \mathbb{Z})$ symmetry group. AdS/CFT therefore requires that this should be the duality group of the dual $\mathcal{N} = 4$ Yang-Mills theory. This is the duality group when the gauge group is $U(N)$, which therefore should be the correct gauge group for the SYM theory that is dual to the $AdS_5 \times S^5$ type IIB theory with N units of flux.² If it were a subgroup, such as $SU(N)$ or $SU(N)/\mathbb{Z}_N$, one would obtain the wrong duality group [6]. In the problem of interest we have a probe brane that is separated from the N branes that are responsible for the background flux. This suggests that we are dealing with a $U(N + 1)$ theory on the Coulomb branch for which the gauge group is spontaneously broken to $U(N) \times U(1)$. The formulas we will discuss pertain to the $U(1)$ factor. Thus, we only utilize an abelian gauge field, which results in vast simplifications.

¹Related ideas involving $U(N + K) \rightarrow U(N) \times U(K)$ are discussed in [3]. A possible connection to noncommutative field theory is discussed in [4].

²For an up-to-date account of this issue, as well as earlier references, see [5].

As we have said, the special case $N = 1$ is the one that we understand best, but we hope that our approach is applicable for all N .

The modular parameter τ , expressed in terms of standard gauge theory parameters, is

$$\tau = \frac{\theta}{2\pi} + i\frac{4\pi}{g^2}. \tag{0.1}$$

In the $U(N)$ theory the $SL(2, \mathbb{Z})$ duality group is generated by $\tau \rightarrow -1/\tau$ and $\tau \rightarrow \tau + 1$. The $\tau \rightarrow -1/\tau$ duality of the D3-brane action was verified in [1]. The usual correspondence between string theory and gauge theory parameters is

$$\chi = \frac{\theta}{2\pi} \quad \text{and} \quad g_s = \frac{g^2}{4\pi}. \tag{0.2}$$

The bosonic part of the probe D3-brane action in an $AdS_5 \times S^5$ background (in the static gauge) derived in [1] is

$$S = \frac{1}{2\pi g_s k^2} \int \left(\sqrt{-h} - \sqrt{-\det(G_{\mu\nu} + kF_{\mu\nu})} \right) d^4x + \frac{\chi}{8\pi} \int F \wedge F, \tag{0.3}$$

where

$$G_{\mu\nu} = h_{\mu\nu} + k^2 \frac{\partial_\mu \phi^I \partial_\nu \phi^I}{\phi^2}. \tag{0.4}$$

and $k = \sqrt{g_s N / \pi}$. Also, $h_{\mu\nu} = \phi^2 \eta_{\mu\nu}$, $h = \det h_{\mu\nu}$, and $\eta_{\mu\nu}$ is the 4d Minkowski metric, which implies that $\sqrt{-h} = \phi^4$. There are six scalar fields ϕ^I , corresponding to the six dimensions transverse to the D3-brane, and $\phi^2 = \sum (\phi^I)^2$. The $\int F \wedge F$ term only contributes for magnetically charged configurations. Then the dependence on χ is periodic with period 1.

It is interesting to contrast this action with the corresponding formula for a probe D3-brane in $\mathbb{R}^{9,1}$. That case has an action that looks quite similar. However, there is no five-form flux, so there is no integer N . Also, $h_{\mu\nu} = \eta_{\mu\nu}$, $G_{\mu\nu} = \eta_{\mu\nu} + k^2 \partial_\mu \phi^I \partial_\nu \phi^I$, and k is the reciprocal of the fundamental string tension. Since k is dimensionful, the action is not scale invariant or superconformal. Rather, it has $\mathcal{N} = 4$ super-Poincaré symmetry, and its massless fermions can be identified as Goldstinos for four spontaneously broken supersymmetries. This is in contrast to the $AdS_5 \times S^5$ case, which has $PSU(2, 2|4)$ superconformal symmetry, part of which is broken spontaneously by the scalar field vev.

There is a third option for the background geometry, intermediate between the $\mathbb{R}^{9,1}$ and $AdS_5 \times S^5$ choices, which one could also consider. It is the geometry that arises from a black D3-brane, with N units of five-form flux, in a spacetime that is asymptotically $\mathbb{R}^{9,1}$ far from the black D3-brane. Since the near-horizon geometry in this case is $AdS_5 \times S^5$, this background combines features of both of the previous cases. One could derive the world-volume action of a probe D3-brane in this background, and it would be a relatively minor modification of the formula we have presented. If one has the goal of deriving actions of probe branes in various backgrounds, this would be a natural problem. However, our goal is different. We are trying to construct probe-brane actions that have the correct properties to be candidates for effective actions for conformal field theories on the Coulomb branch. Such

an action should contain no dimensionful parameters, and it should possess the appropriate superconformal symmetry. It is important that this symmetry is broken spontaneously by the choice of vacuum and not explicitly by the appearance of dimensionful parameters in the action. Of the three options, only the $AdS_5 \times S^5$ background gives rise to a probe D3-brane action with all of those properties.

By considering the weak-field limit of eq. (0.3), one finds that the fields ϕ^I and the gauge field A_μ are $\sqrt{2\pi g_s}$ times the canonically normalized fields. Rewriting eq. (0.3) in terms of the canonically normalized fields, for which we use the same symbols, one obtains

$$S = \frac{1}{\gamma^2} \int \phi^4 \left(1 - \sqrt{-\det M_{\mu\nu}} \right) d^4x + \frac{1}{4} g_s \chi \int F \wedge F, \tag{0.5}$$

where

$$M_{\mu\nu} = \eta_{\mu\nu} + \gamma^2 \partial_\mu \phi^I \partial_\nu \phi^I / \phi^4 + \gamma F_{\mu\nu} / \phi^2 \tag{0.6}$$

and

$$\gamma = \sqrt{\frac{N}{2\pi^2}}. \tag{0.7}$$

This parameter appears because $\gamma^2 = R^4 T_{D3}$, where R is the radius of AdS_5 and T_{D3} is the D3-brane tension. Note that the parameter g_s has completely dropped out of the first term in the action. If the true effective action defined by a gauge theory path integral does not share this property, then this would demonstrate that the D3-brane action is only an approximation to the HEA.

In the usual formulation with W fields, the Feynman diagrams of the $U(2)$ theory on the Coulomb branch that contain only massive propagators and massless external states contain coupling constants in both numerators and denominators. The vertices give powers of the coupling constant in the numerator and powers of the mass $M_W = gv$ appear in denominators. So cancellations of the g dependence are possible. It would be worthwhile to explore this carefully. The absence of infrared and ultraviolet divergences (when fermions are included) should make the argument quite clean. The absence of coupling-constant dependence puts the D3-brane action candidate for the HEA of $\mathcal{N} = 4$ super Yang-Mills theory in 4d on a comparable footing to the M5-brane action candidate for the HEA of the (2,0) theory in 6d, which has no adjustable couplings in the first place. The (2,0) theory is discussed briefly in the appendix.

Once we set $N = 1$, the first term in the action no longer contains any parameters. If we choose to retain the N dependence, a further rescaling of fields by \sqrt{N} brings out all the N dependence of the brane action as an overall factor of N . Thus $S(N) = N S_H$, where $S_H = S(1)$ is given by the formula above with $N = 1$. This shows that the loop expansion of $S(N)$ is a $1/N$ expansion.³ However, S_H , which we claim is relevant to the $U(2)$ theory, has no small parameter. Even so, we will show that the classical approximation gives the expected half-BPS solutions.

Let us now turn to the construction of soliton solutions. For the analysis that follows, it is sufficient to assume that the D3-brane is at a fixed position on the five-sphere. Then

³The analogous loop-expansion parameter for a probe M2-brane action for 3d ABJM theory is $1/\sqrt{kN}$, and for a probe M5-brane action for the 6d (2,0) theory it is $1/N^2$.

the five scalars fields that correspond to the S^5 factor in the spacetime geometry do not contribute. If we are interested in spherically symmetrical static solutions, centered at $r = 0$, we may assume that the electric and magnetic fields \vec{E} and \vec{B} only have nonzero radial components, denoted E and B , and that all fields (E , B , and ϕ) are functions of the radial coordinate r only. Then $\det(-G_{\mu\nu}) = \phi^6 G_{rr}$, where

$$G_{rr} = \phi^2 + \gamma^2(\phi'/\phi)^2, \quad (0.8)$$

and

$$-\det(G_{\mu\nu} + \gamma F_{\mu\nu}) = \phi^6 \left(G_{rr} - \frac{\gamma^2 E^2}{\phi^2} \right) \left(1 + \frac{\gamma^2 B^2}{\phi^4} \right). \quad (0.9)$$

This results in the Lagrangian density

$$\mathcal{L} = \frac{1}{\gamma^2} \phi^4 \left(1 - \sqrt{\left(1 + \frac{\gamma^2 [(\phi')^2 - E^2]}{\phi^4} \right) \left(1 + \frac{\gamma^2 B^2}{\phi^4} \right)} \right) + g_s \chi B E. \quad (0.10)$$

The field canonically conjugate to A_r is

$$D = \frac{\partial \mathcal{L}}{\partial E} = E \sqrt{\frac{1 + \gamma^2 B^2 / \phi^4}{1 + \gamma^2 [(\phi')^2 - E^2] / \phi^4}} + g_s \chi B, \quad (0.11)$$

and the energy density $\mathcal{H} = DE - \mathcal{L}$ is

$$\mathcal{H} = \frac{1}{\gamma^2} \phi^4 \left((1 + \gamma^2 (\phi')^2 / \phi^4) \sqrt{\frac{1 + \gamma^2 B^2 / \phi^4}{1 + \gamma^2 [(\phi')^2 - E^2] / \phi^4}} - 1 \right). \quad (0.12)$$

Solving eq. (0.11) for E gives

$$E = \tilde{D} \sqrt{\frac{1 + \gamma^2 (\phi')^2 / \phi^4}{1 + \gamma^2 [B^2 + \tilde{D}^2] / \phi^4}}, \quad (0.13)$$

where

$$\tilde{D} = D - g_s \chi B. \quad (0.14)$$

Eliminating E in favor of \tilde{D} in the energy density then gives

$$\mathcal{H} = \frac{1}{\gamma^2} \phi^4 \left(\sqrt{(1 + \gamma^2 (\phi')^2 / \phi^4) (1 + \gamma^2 (B^2 + \tilde{D}^2) / \phi^4)} - 1 \right). \quad (0.15)$$

The equation of motion for A_0 , the time component of the gauge field, in regions with vanishing electric-charge density, is

$$\frac{\partial}{\partial r} (r^2 D) = 0. \quad (0.16)$$

Similarly, B satisfies the same equation in regions of vanishing magnetic-charge density. For a soliton centered at $r = 0$, with p units of electric charge g and q units of magnetic charge g_m , where $g_m = 4\pi/g$, we have

$$D = \frac{pg}{4\pi r^2} \quad \text{and} \quad B = -\frac{qg_m}{4\pi r^2}. \quad (0.17)$$

The minus sign, which is introduced for later convenience, is a matter of convention. Thus,

$$B^2 + \tilde{D}^2 = Q^2/r^4, \tag{0.18}$$

where

$$Q = \frac{g}{4\pi} |p + q\tau|, \tag{0.19}$$

and τ is defined in eq. (0.1). An unusual feature of this analysis is that we have introduced the unit of electric charge g and the angle θ as external data, since only the combination $g^2\theta$ is present in the action (0.5). We will return to this issue later.

Let us now turn to the problem of finding BPS solutions. Specifically, we wish to find functions $\phi(r)$ that give BPS extrema of $H = 4\pi \int \mathcal{H} r^2 dr$, where

$$\mathcal{H} = \frac{1}{\gamma^2} \left(\sqrt{(\phi^4 + \gamma^2(\phi')^2)(\phi^4 + \gamma^2 Q^2/r^4)} - \phi^4 \right), \tag{0.20}$$

subject to the requirement that $\phi \rightarrow v$ as $r \rightarrow \infty$, which specifies the radial position of the unperturbed D3-brane in AdS_5 . This also makes contact with the parameter v in the $U(2)$ theory on the Coulomb branch in the standard notation. A plausible guess is that the BPS condition requires that the two factors inside the square root in eq. (0.20) should be equal, i.e.,

$$(\phi')^2 = Q^2/r^4, \tag{0.21}$$

which implies that $\mathcal{H} = (\phi')^2$. The proof that this is BPS goes as follows.⁴ One first rewrites eq. (0.20) in the form

$$(\gamma^2 \mathcal{H} + \phi^4)^2 = (\gamma^2 X |\phi'| + \phi^4)^2 + \gamma^2 \phi^4 (X - |\phi'|)^2, \tag{0.22}$$

where $X = Q/r^2$. Thus,

$$(\gamma^2 \mathcal{H} + \phi^4)^2 \geq (\gamma^2 X |\phi'| + \phi^4)^2, \tag{0.23}$$

which implies $\mathcal{H} \geq X |\phi'|$. Equality requires $|\phi'| = X$, which then gives $\mathcal{H} = X^2 = (\phi')^2$. It is also worth pointing out that eq. (0.13) implies that $E = \tilde{D}$ for BPS configurations. For a given pair of integers p and q , there are two BPS solutions of eq. (0.21)

$$\phi_{\pm} = v \pm Q/r. \tag{0.24}$$

In the case of a probe D3-brane embedded in $\mathbb{R}^{9,1}$, analyzed in [7], the BPS conditions and solutions are similar to what we are finding. However, there are important differences. In the flat-space case, ϕ corresponds to a Cartesian coordinate for one of the directions transverse to the brane, and thus it can range from $-\infty$ to $+\infty$. The two solutions ϕ_{\pm} in that problem are physically equivalent. The distinction between them is which side of the D3-brane has a funnel-shaped protrusion. As noted in [7], these protrusions can be interpreted as (p, q) strings that terminate on the brane. Given that the strings extend to infinity, it is not surprising that the mass of these configurations is given by a divergent

⁴This line of reasoning was suggested by Jaemo Park.

expression proportional to $\int dr/r^2$. In fact, one can derive the tension of a (p, q) string by cutting off the integral and examining the dependence on the cutoff.

Returning to the case of $AdS_5 \times S^5$, the six dimensions transverse to the brane have been described in spherical coordinates. The AdS_5 radial coordinate corresponds to ϕ , which ranges from 0 to $+\infty$. The ϕ_+ solution is similar to the flat-space solutions. As $r \rightarrow 0$, $\phi \rightarrow +\infty$, which corresponds to the boundary of AdS_5 . As in flat space, this gives a divergent mass proportional to $\int dr/r^2$. However, the physical interpretation in terms of a (p, q) string does not work well, because the cross section of the funnel is shrinking too quickly. In any case, this is not the solution we are after.

The other BPS solution, $\phi_-(r)$, behaves differently from the flat-space BPS solutions. The crucial fact is that $\phi = 0$ corresponds to the *horizon of the Poincaré patch* of AdS_5 . More precisely, as explained by H. Ooguri, it is where the horizon of the Poincaré patch intersects the boundary of global AdS. Thus there is no continuation beyond this point. This means that the integral should be cut off at

$$r_0 = \frac{Q}{v}. \tag{0.25}$$

Using eq. (0.19), it follows that the mass of this BPS soliton is

$$M = 4\pi \int_{r_0}^{\infty} \mathcal{H}r^2 dr = 4\pi \int_{r_0}^{\infty} (\phi'_-)^2 r^2 dr = \frac{4\pi Q^2}{r_0} = vg|p + q\tau|. \tag{0.26}$$

This is exactly the expected answer! There is a stable soliton for each nonzero pair of coprime integers (p, q) . These form an irreducible multiplet of the $SL(2, \mathbb{Z})$ duality group. In particular, the $(p, q) = (\pm 1, 0)$ solitons (the W^\pm bosons) have mass vg and the $(p, q) = (0, \pm 1)$ solitons (the magnetic monopoles) have mass $4\pi v/g$ (for $\theta = 0$). (See section 3.3 of [8] for a pedagogical review of 't Hooft-Polyakov monopoles in the Georgi-Glashow model.) The soliton charges are spread uniformly on the sphere $r = r_0$, which we propose to call a *soliton bubble*.

The soliton masses saturate the BPS bound given by the central charges in the supersymmetry algebra. While this is a convincing argument that these solutions are half-BPS, it would be nice to demonstrate explicitly that they preserve half of the supersymmetries. We hope to do that in the future when we incorporate the fermi fields in the theory. We have treated the brane action in the classical approximation, but the results are expected to remain valid in the quantum theory, since properties of half-BPS states in theories with this much supersymmetry should be robust.

Spherical shells of charge, like the soliton bubbles found here, have appeared previously. Gauntlett et al. [9] studied a probe D3-brane in an asymptotically flat black D3-brane supergravity background. This is a closely related problem, since this geometry has $AdS_5 \times S^5$ as its near-horizon limit [10]. They identified half-BPS solutions, like those found here, “in which a point charge is replaced by a perfectly conducting spherical shell.” They also discussed quarter-BPS configurations that are related to string junctions.

Soliton bubbles have some striking analogies with supersymmetric black holes. This is surprising, inasmuch as the 4d field theory is nongravitational and resides on a flat

spacetime. For one thing, as one approaches the soliton bubble from the outside, the scalar field approaches $\phi(r_0) = 0$, the value of the field for which the Coulomb-branch description of the theory breaks down, irrespective of its value at spatial infinity. This is reminiscent of the attractor mechanism for $\mathcal{N} = 2$ extremal black holes [11]. In fact, using attractor flow equations, Denef [12, 13] (see also [14, 15]) found similar structures to our soliton bubbles in the context of supergravity solutions in which a D3-brane wraps a cycle of a Calabi-Yau manifold that vanishes at a conifold point, where the central charge modulus is zero. He calls the resulting BPS solitons “empty holes.” It seems reasonable to suppose that there are empty holes, or bubbles of nothing, in the gravitational context that he analyzed. However, in a flat-space matter theory, which is what we are considering, that seems implausible. Therefore, we will make an alternative proposal shortly.

For any choice of (p, q) , the mass of the probe D3-brane soliton is proportional to the radius of the soliton bubble with a universal coefficient

$$M = 4\pi v^2 r_0. \quad (0.27)$$

For comparison, the mass of an extremal Reissner-Nordstrom black hole in four dimensions is related to the radius of its horizon by $M = r_0/G$, where G is Newton’s constant. Thus, v is the analog of the Planck mass. One also has $M r_0 \sim Q^2$ in both cases. According to [16] and references therein, gravity in 10d should correspond to quantum entanglement of the dual 4d CFT. Together with the black-hole analogy, this suggests that, up to a numerical coefficient, Q^2 should measure the entanglement entropy between the inside and outside of the soliton bubble.

Since the BPS solitons of the D3-brane probe’s action are solutions of a nongravitational theory in Minkowski spacetime, they are not black holes or bubbles of nothing in any conventional sense. So what is happening? An interpretation (suggested by Abhijit Gadde) is that the gauge theory is in the conformal phase inside the sphere. More precisely, it is in the ground state of the conformal phase, since the interior should not contribute to the mass of the soliton. This implies that the soliton bubble is a *phase boundary*. (Even though soliton bubbles have appeared previously, their interpretation as phase boundaries appears to be new.) In order to describe the theory in the conformal phase, which appears in the interior of soliton bubbles, the charge g and the angle θ need to be specified. This would explain where these parameters comes from.

In view of the black-hole analogy, discussed in the preceding paragraphs, one is now tempted to ask whether the horizon of an extremal Reissner-Nordstrom black hole could have an interpretation as a phase boundary. This may sound preposterous, yet something like that has been proposed by Dvali and Gomez [17]. It is not obvious how to relate their discussion to ours, but it may be worthwhile to try to do so.

Similar phenomena have appeared also in string theoretic studies with $\mathcal{N} = 2$ supersymmetry, in addition to those mentioned previously [12, 13]. In [18] the authors utilized the DBI action of a D3-brane probe in F theory and argued that it includes non-holomorphic higher-derivative corrections to the Seiberg-Witten effective action. They constructed a monopole solution containing a soliton bubble that coincides with a 7-brane. Monodromies of the 7-brane correspond to dualities of the gauge theory.

The formation of soliton bubbles may also be related to the *enhancon* mechanism in [19], which circumvents the appearance of a class of naked singularities, known as *repulsions*. The specific context that was considered is related to large- N Seiberg-Witten theory.

In [20], Popescu and Shapere studied the low-energy effective action of $\mathcal{N} = 2$ SU(2) gauge theory with no matter in the Coulomb phase [21]. They obtained the monopole and dyon hypermultiplets as BPS solutions exhibiting a spherical shell of charge. The radius of the shell is given by $Z(r_0) = 0$, where the ‘‘local central charge’’ is $Z(r) = n_e a(r) + n_m a_D(r)$. Vanishing local central charge is required for nonvanishing charge. Since $a_D(r_0)/a(r_0)$ is real, this shell is on the wall of marginal stability, and it is a phase boundary. As in our $\mathcal{N} = 4$ example, a different action is required to describe the interior phase. It is noteworthy that the basic picture of soliton bubbles associated to phase boundaries arises in a nonconformal theory. The paper [20], as well as the ones discussed previously, did not examine whether the charged vector multiplets of $\mathcal{N} = 2$ theories can be obtained as solitons in a similar manner. In the $\mathcal{N} = 4$ case this was guaranteed, because they are related to the monopoles by the duality group. In the $\mathcal{N} = 2$ theories that is not the case.

There is some interesting related evidence for soliton bubbles [22]–[28] in a nonsupersymmetric field theory context.⁵ By considering multi-monopole solutions of large magnetic charge in the 4d SU(2) gauge theory with adjoint scalars on the Coulomb branch, Bolognesi deduced the existence of ‘‘magnetic bags’’ with properties that are very close to those of soliton bubbles. He also pointed out the analogy to black holes [24]. The reason that this phenomenon was not discovered earlier by considering a single monopole is that the nonabelian gauge theory solution gives $\phi^a(\vec{x}) = \frac{x^a}{r} \phi(r)$ with

$$\phi(r) = v(\coth y - 1/y), \tag{0.28}$$

where $y = gvr$ (for $\theta = 0$). This differs from $\phi_-(r) = v(1 - 1/y)$ by a series of terms of the form $\exp(-2nM_W r)$, where $M_W = gv$ and n is a positive integer. Yet, $\phi(r)$ is strictly positive for $r > 0$, and $\phi^a(\vec{x})$ is nonsingular at the origin. At least for $\mathcal{N} = 4$, the effect of integrating out the fields of mass M_W should be to cancel the exponential terms for $y > 1$ and to give $\phi = 0$ for $y < 1$. After all, the U(1) HEA is supposed to incorporate all of those contributions. This point is also made in an $\mathcal{N} = 2$ context in [18].

It is easy to guess (and to verify) the generalization of our static one-soliton solution to the case of n solitons of equal charge. Since the forces between them should cancel when they are at rest, their centers can be at arbitrary spatial positions \vec{x}_k , $k = 1, 2, \dots, n$. The solution is then given by

$$\phi(\vec{x}) = v - Q \sum_{k=1}^n \frac{1}{|\vec{x} - \vec{x}_k|}. \tag{0.29}$$

The surfaces of the bubbles are given by $\phi(\vec{x}) = 0$. Clearly, they are no longer spherical when there is more than one center. It is also easy to visualize how the bubbles merge or split apart as their positions are varied. The fields \vec{D} and \vec{B} are then proportional to $\vec{\partial}\phi$, with coefficients determined by the charges. Also, using the BPS condition, $\vec{E} = \vec{D} - \frac{g^2\theta}{8\pi^2}\vec{B}$. The charge distributions on the bubbles can then be deduced from the discontinuity

⁵The author is grateful to David Tong and Nick Manton for bringing this work to his attention.

in the electric and magnetic fields by standard methods. These multi-soliton formulas are a lot simpler than the usual multi-monopole ones! One reason is that abelian fields are easier to describe than nonabelian ones. Another is that the quantum effects of the massive fields are incorporated in the formula, and they cancel exponential terms that would appear otherwise.

Since the classical analysis described above works for any value of N , it is tempting to take the parameter N seriously. The natural context for this is the Coulomb branch in which the gauge symmetry is broken according to $U(N+1) \rightarrow U(1) \times U(N)$. The action for the $U(1)$ term should then be NS_H . For those solitons that are singlets of $U(N)$ (or the appropriate dual $U(N)$), the D3-brane action would be sufficient to describe the exterior of soliton bubbles, where $\phi > 0$, whereas the $U(N+1)$ action, with the vacuum in the conformal phase, would describe the interiors of soliton bubbles. However, there should also be solitons that are not singlets of $U(N)$ (or a dual $U(N)$). They would source both the $U(1)$ fields and the $U(N)$ fields. Just as the W fields, belong to the fundamental and anti-fundamental representations of the “electric” $U(N)$, the basic monopoles are known to belong to the fundamental and anti-fundamental representations of the magnetic dual $U(N)$. (For a discussion of the monopoles and related facts see [29] and references therein.) One might hope that solitons that share charges of different gauge group factors would be eliminated due to confinement. While that is not the case, in general, certain classes of solitons will be confined following further symmetry breaking of the remaining non-abelian factor [30]. To understand soliton solutions that carry both $U(1)$ and $U(N)$ charges, it is probably necessary to understand the effective action involving both $U(1)$ and $U(N)$ fields and their mutual interactions. At best, the D3-brane action only captures the $U(1)$ truncation of this system. This should be adequate for constructing solitons that do not source the $U(N)$ fields.

$\mathcal{N} = 4$ super Yang-Mills theories with $N > 1$ poses numerous challenges, such as understanding additional classes of solitons with less supersymmetry and wall-crossing phenomena. These occur when D3-branes are localized at different points on the five-sphere. The D3-brane action described here is expected to play a role into the formulation of such theories, but we expect there to be additional terms that are much more complicated. It is because of these complexities that we have emphasized the case $N = 1$ as the one we understand best.

If the $N > 1$ case were understood well enough, we could consider large N . Then the theory defined by the D3-brane action would have a controlled loop expansion. Also, if the D3-brane action is only an approximation to the HEA, the approximation should improve for large N . One lesson we have learned is that all phases of the theory need to be understood, since soliton solutions can involve multiple phases. One could envisage bubbles within bubbles, corresponding to sequential symmetry enhancement.

In conclusion, the conjecture that the D3-brane action in $AdS_5 \times S^5$ with one unit of flux is an HEA for the gauge group $U(2)$ has passed a nontrivial test: it has the expected half-BPS soliton solutions. Nevertheless, the probe D3-brane action might be too simple to be the exact HEA, as we have conjectured. What we have demonstrated here is that it seems to be adequate for revealing supersymmetry-protected aspects of the $U(2)$ gauge

theory that are otherwise hard to access. Clearly, there are many other directions to explore in the future, some of which were mentioned in the preceding paragraphs. The results that are expected for 6d (2,0) theories are sketched in the appendix. Other interesting problems include clarifying the precise relationship between probe-brane actions and HEAs as well as the relationship between BPS solitons and supersymmetric black holes.

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A BPS solitons of the 6d (2,0) theory

Reference [1] conjectured that the world-volume action of an M5-brane in $AdS_7 \times S^4$ is the HEA of the A_1 (or $U(2)$) 6d (2,0) theory. It is not our purpose to explore that theory in detail here. However, based on what we have learned about the D3-brane theory, it is clear what one should find. There should be static half-BPS soliton solutions that describe infinitely-extended strings carrying a self-dual charge. In fact, up to numerical coefficients, we can even write down the formulas. Let us take the strings to be oriented along the x^5 direction with transverse positions described by four-vectors \vec{x}_k . (The position on the four-sphere is fixed, as before.) Since the scalar field ϕ has dimension two in this case, let us denote its asymptotic value for large $|\vec{x}|$ by v^2 . Then the analog of eq. (0.29) should be

$$\phi(\vec{x}) = v^2 - \sum_{k=1}^n \frac{1}{|\vec{x} - \vec{x}_k|^2}. \tag{A.1}$$

As before, the locus $\phi(\vec{x}) = 0$ describes soliton bubbles whose interiors should be in the conformal phase. Also, the self-dual three-form field should take the form $H_{0i5} \sim \partial_i \phi$.

If all the centers coincide at $r = |\vec{x}| = 0$, then the formula becomes

$$\phi(r) = v^2 - n/r^2. \tag{A.2}$$

The critical radius at which ϕ vanishes is $r_0 = \sqrt{n}/v$ and the tension is $T = nv^2$. These formulas can be compared to an extremal black string of charge n in six dimensions. Identifying the radius r_0 with the horizon radius of the black string, and v with the Planck mass in six dimensions, one again finds that the formulas match, at least up to numerical coefficients. In this case, one can conjecture that $n^{3/2}v$ might correspond to entanglement entropy per unit length. A curious fact about the (2,0) theory is that there is an action for an abelian factor in the Coulomb phase even though it is generally believed that the conformal phase has no Lagrangian description.

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