

BPS states in the duality web of the Omega deformation

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ABSTRACT: In this note, we study different limits of an Ω -deformed $(2,0)$ six-dimensional gauge theory realized in an M-theory fluxtrap background. Via a chain of dualities, we connect the Ω -deformed SYM to a new four-dimensional gauge theory which we refer to as the reciprocal gauge theory. This theory has several properties in common with Liouville field theory, such as its gauge coupling $b^2 = \epsilon_2/\epsilon_1$, and its behavior under S-duality. Finally, we realize the BPS states on the SYM side of the AGT correspondence and follow them along the chain of dualities. In the fluxtrap frame, we are dealing with two distinct types of states localized in different radial positions, while in the reciprocal frame, we find single states carrying both charges localized in one place which appear to be perturbatively stable. Our microscopic picture of the small- b limit exhibits semiclassically BPS bound states, which are not visible at the level of the partition function.

KEYWORDS: Brane Dynamics in Gauge Theories, M-Theory, String Duality

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1 Introduction

The Alday-Gaiotto-Tachikawa (AGT) correspondence [1] is defined in terms of the Ω -deformation [2–5] of four-dimensional supersymmetric gauge theory. Starting from a gauge theory with $\mathcal{N} = 4$ (or $\mathcal{N} = 2$) supersymmetry on a manifold \mathbf{M}_4 with $U(1) \times U(1)$ isometries, there is a particular way of deforming the theory with respect to those isometries that preserves some supersymmetry. The resulting theory has some supersymmetrically protected quantities — in particular, the so-called instanton partition function. For certain particularly simple \mathbf{M}_4 , there is a beautiful connection with two-dimensional field theory. In particular when $\mathbf{M}_4 = S^4$, there is an equality between the instanton partition function of various $\mathcal{N} = 2$ and $\mathcal{N} = 4$ theories on the one hand, and amplitudes in two-dimensional Liouville or Toda theories on the other hand. This equality is known as the AGT correspondence.

The origin of the two-dimensional theory has not been fully understood. However various aspects of the duality have suggested a connection via a lift of the $\mathcal{N} = 4$ or $\mathcal{N} = 2$ theory to the six-dimensional quantum field theory with $(2, 0)$ superconformal symmetry, compactified on some Riemann surface Σ , where the curve Σ is related to the Seiberg-Witten curve of the 4-dimensional gauge theory. In this context, certain things are very natural: for instance, partition functions of gauge theories as a function of their coupling and mass transform under dualities in a way that follows the modular transformations of punctured Riemann surfaces, with the masses and couplings of the gauge theory parametrizing the Teichmüller space of the punctured Riemann surface. Nonetheless the origin of the detailed dynamics of Liouville/Toda theory remains somewhat obscure.

Another simple case to consider is the case where $\mathbf{M}_4 = \mathbb{C}^2$. Here, there is a heuristic sense in which one feels the corresponding two-dimensional theory ought to be the “chiral

half” of Liouville theory according to some definition. As we shall show in this paper, this is too naïve, and there is no sense in which the partition function on the Ω -deformed \mathbb{C}^2 corresponds to the partition function of a two-dimensional quantum field theory at all: the gauge theory has an infinite volume region orthogonal to the two translationally invariant compact directions, with momentum continua and adjustable vacuum expectation values at infinity in these additional directions. Nonetheless this theory has characteristic properties that parallel those of the two-dimensional Liouville/Toda theory. In particular it is equipped with a certain semiclassical limit, where the ratio of the parameters $\epsilon_{1,2}$ describing the Ω -deformation, goes to zero.

In recent work, the authors constructed a background of string theory whose low-energy dynamics describes the Ω -deformed four-dimensional gauge theory with $\mathcal{N} = 2$ supersymmetry [6–9]. We subsequently lifted the construction to 11-dimensional M-theory, realizing the gauge theory as the dynamics of an M5-brane on a particular curve Σ , deformed by the presence of flux and metric curvature. In the present article, we shall describe the corresponding deformation of the $\mathcal{N} = 4$ gauge theory, the Ω -deformation of the string background, and its lift to M-theory, with generic deformation parameters $\epsilon_{1,2}$. We shall then reduce the theory on the $U(1) \times U(1)$ isometry orbits to obtain a new solution of type IIB string theory, where the gauge dynamics is realized on a D3-brane with a gauge coupling proportional to ϵ_2/ϵ_1 . This theory is noncompact in two of its four dimensions, and the dynamics are four- rather than two-dimensional.

The paper is organized as follows. In section 2 we describe the $\mathcal{N} = 4$ version of our earlier construction, lift it to M-theory, and then reduce on the $U(1) \times U(1)$ isometry orbits to a solution of type IIB string theory that we refer to as the *reciprocal* duality frame. In section 3 we follow the brane dynamics of the D3-branes on which the original $\mathcal{N} = 4$ gauge theory was realized, through their transmutation into M5-branes supporting a full (2,0) dynamics, back into D3-branes with a different gauge coupling and background metric, whose dynamics generate a four-dimensional gauge theory. In particular we discuss its behavior under S-duality, which we find to be parallel to the strong/weak coupling duality of Liouville/Toda theory realized as the transformation $b \rightarrow 1/b$. In section 4 we compute the spectrum of Bogomol’nyi-Prasad-Sommerfield (BPS) states of the original $\mathcal{N} = 4$ gauge theory, tracing them through the duality web to their incarnation as D3-branes of finite volume in the reciprocal frame. In section 5 we briefly present conclusions and outlook on further research. In appendix A, we discuss the supersymmetries preserved in the bulk of each duality frame.

2 Chain of dualities — the bulk

In this note, we study different limits of the six-dimensional (2, 0) theory in the Ω -background via a chain of dualities starting from a Melvin or fluxbrane background. Having started from identifications on flat space, the theory lives on $\mathbb{R}_\Omega^4 \times T^2$, where \mathbb{R}_Ω^4 is the product of two cigars. The compactification on T^2 gives by construction the Ω -deformed $\mathcal{N} = 4$ SYM; the only other directions on which we can reduce the six-dimensional theory are the two angular directions, which results in a new four-dimensional theory. This theory exhibits

Bulk	Probe	Gauge Theory
type IIB in Melvin space	D5	six-dimensional gauge theory with Wilson line boundary conditions in two directions
\downarrow <i>T-duality in \tilde{u}_1 and \tilde{u}_2</i>		
type IIB in complex fluxtrap	D3	Ω -deformed $\mathcal{N} = 4$ SYM
\downarrow <i>T-duality in \tilde{x}_6 and lift</i>		
M-theory fluxtrap	M5	(2, 0) six-dimensional theory
\downarrow <i>reduction in σ_1 and σ_2</i>		
type IIB in deformed D5/NS5 (reciprocal background)	D3	Reciprocal gauge theory

Table 1. The chain of dualities among the different string frames and the corresponding effective gauge theories.

properties reminiscent of the two-dimensional Liouville theory in the AGT correspondence, such as its gauge coupling $b = \epsilon_2/\epsilon_1$ and its behavior under S-duality, thus constituting an important step towards a direct construction of Liouville theory from a string theory setting.

The chain of dualities which we will explain in detail in the following is summarized in table 1. We start from a fluxbrane background in type IIB and perform two T-dualities to arrive again at a type IIB theory, but in a fluxtrap background. After another T-duality to type IIA and a lift to M-theory, we have reached the deformed M-theory background in which the (2, 0) theory lives. From here, reduction in the two angular directions brings us to the *reciprocal background*, a type IIB theory with flux and a deformed metric background and dilaton gradient, and additionally a D5/NS5 brane at the center of the geometry.

The fluxbrane. We start out from Euclidean flat space in 10 dimensions in type IIB string theory, where for future convenience we choose cylindrical coordinates in the first three \mathbb{R}^2 planes and where two of the directions are periodic,

$$\tilde{x}_8 = \tilde{R}_1 \tilde{u}_1, \quad \tilde{x}_9 = \tilde{R}_2 \tilde{u}_2. \tag{2.1}$$

Adding the two spectator directions \tilde{x}_6 and x_7 , we have the following variables:

$$\rho_1, \theta_1, \rho_2, \theta_2, \rho_3, \theta_3, \tilde{x}_6, x_7, \tilde{u}_1, \tilde{u}_2. \tag{2.2}$$

In the notation of [10] we want to set up a fluxbrane with two independent deformation parameters, one of which being purely real, the other being purely imaginary. Shifts

are induced in the θ_1, θ_2 -directions, which for supersymmetry preservation need to be compensated by a shift in the θ_3 -directions. We impose the monodromies¹

$$\begin{cases} \tilde{u}_1 \simeq \tilde{u}_1 + 2\pi, \\ \theta_1 \simeq \theta_1 + 2\pi\epsilon_1\tilde{R}_1, \\ \theta_3 \simeq \theta_3 - 2\pi\epsilon_1\tilde{R}_1, \end{cases} \quad \begin{cases} \tilde{u}_2 \simeq \tilde{u}_2 + 2\pi, \\ \theta_2 \simeq \theta_2 + 2\pi\epsilon_2\tilde{R}_2, \\ \theta_3 \simeq \theta_3 - 2\pi\epsilon_2\tilde{R}_2, \end{cases} \quad (2.3)$$

and we change to new angular coordinates ϕ_i which are 2π periodic:

$$\theta_1 = \phi_1 + R_1\epsilon_1\tilde{u}_1, \quad (2.4)$$

$$\theta_2 = \phi_2 + R_2\epsilon_2\tilde{u}_2, \quad (2.5)$$

$$\theta_3 = \phi_3 - R_1\epsilon_1\tilde{u}_1 - R_2\epsilon_2\tilde{u}_2. \quad (2.6)$$

This results in a fluxbrane background, where for later convenience we introduce a constant dilaton field:

$$\Phi_0 = \log \frac{\alpha'}{\tilde{R}_1\tilde{R}_2}. \quad (2.7)$$

The fluxtrap. The *fluxtrap* background is obtained if we T-dualize \tilde{u}_1 and \tilde{u}_2 into u_1 and u_2 . After a final coordinate change to eliminate ϕ_3 ,

$$\phi_1 + \phi_2 + \phi_3 = \psi, \quad (2.8)$$

we obtain the bulk fields for the double fluxtrap background:

$$\begin{aligned} ds^2 = & d\rho_1^2 + \frac{\rho_1^2}{\Delta_1^2} d\phi_1^2 + d\rho_2^2 + \frac{\rho_2^2}{\Delta_2^2} d\phi_2^2 + \frac{\Delta_2^2 dx_8^2 + \Delta_1^2 dx_9^2 + \rho_3^2(\epsilon_2 dx_8 - \epsilon_1 dx_9)^2}{\Delta_1^2\Delta_2^2 + \rho_3^2(\epsilon_1^2\Delta_2^2 + \epsilon_2^2\Delta_1^2)} \\ & + d\rho_3^2 + \frac{\rho_3^2\Delta_1^2\Delta_2^2}{\Delta_1^2\Delta_2^2 + \rho_3^2(\epsilon_1^2\Delta_2^2 + \epsilon_2^2\Delta_1^2)} \left(d\psi - \frac{d\phi_1}{\Delta_1^2} - \frac{d\phi_2}{\Delta_2^2} \right)^2 + d\tilde{x}_6^2 + dx_7^2, \end{aligned} \quad (2.9a)$$

$$\begin{aligned} B = & -\frac{\epsilon_1\rho_1^2}{\Delta_1^2} d\phi_1 \wedge dx_8 - \frac{\epsilon_2\rho_2^2}{\Delta_2^2} d\phi_2 \wedge dx_9 \\ & + \rho_3^2 \left(d\psi - \frac{d\phi_1}{\Delta_1^2} - \frac{d\phi_2}{\Delta_2^2} \right) \wedge \frac{\epsilon_1\Delta_2^2 dx_8 + \epsilon_2\Delta_1^2 dx_9}{\Delta_1^2\Delta_2^2 + \rho_3^2(\epsilon_1^2\Delta_2^2 + \epsilon_2^2\Delta_1^2)}, \end{aligned} \quad (2.9b)$$

$$e^{-\Phi} = \sqrt{\Delta_1^2\Delta_2^2 + \rho_3^2(\epsilon_1^2\Delta_2^2 + \epsilon_2^2\Delta_1^2)}, \quad (2.9c)$$

where

$$\Delta_i^2 = 1 + \epsilon_i^2\rho_i^2, \quad (2.10)$$

and x_8, x_9 are defined by

$$x_8 = \frac{\alpha'}{\tilde{R}_1} u_1, \quad x_9 = \frac{\alpha'}{\tilde{R}_2} u_2. \quad (2.11)$$

¹The two parameters ϵ_1 and ϵ_2 are real. In the habitual conventions for the Ω -deformation they correspond to a real and a purely imaginary ε . See [10, 11] for comparison.

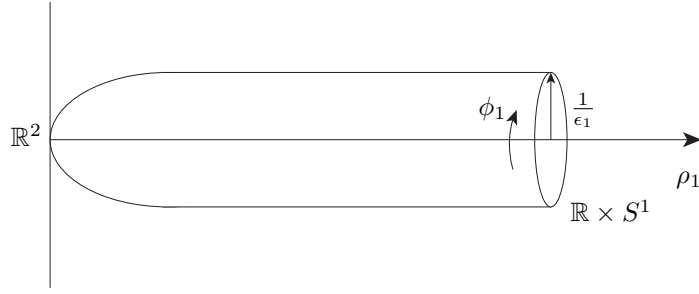


Figure 1. Cartoon of the geometry of the base of the manifold $M_3(\epsilon_1)$: a cigar with asymptotic radius $1/\epsilon_1$.

The advantage of these coordinates is that the limit

$$\tilde{R}_i \rightarrow 0 \quad (2.12)$$

is smooth. Hence from now on we are free consider x_8 and x_9 as non-compact.

In the following it will be natural to study the situation in which $\rho_3 \ll \rho_1, \rho_2$. In this limit, the background simplifies and it becomes easier to describe the geometry. The fields take the form

$$ds^2 = d\rho_1^2 + \frac{\rho_1^2 d\phi_1^2 + dx_8^2}{1 + \epsilon_1^2 \rho_1^2} + d\rho_2^2 + \frac{\rho_2^2 d\phi_2^2 + dx_9^2}{1 + \epsilon_2^2 \rho_2^2} + d\rho_3^2 + \rho_3^2 d\psi^2 + d\tilde{x}_6^2 + dx_7^2, \quad (2.13a)$$

$$B = \epsilon_1 \frac{\rho_1^2}{1 + \epsilon_1^2 \rho_1^2} d\phi_1 \wedge dx_8 + \epsilon_2 \frac{\rho_2^2}{1 + \epsilon_2^2 \rho_2^2} d\phi_2 \wedge dx_9, \quad (2.13b)$$

$$e^{-\Phi} = \sqrt{(1 + \epsilon_1^2 \rho_1^2)(1 + \epsilon_2^2 \rho_2^2)}. \quad (2.13c)$$

The space splits into a product

$$M_{10} = M_3(\epsilon_1) \times M_3(\epsilon_2) \times \mathbb{R}^3 \times S^1, \quad (2.14)$$

where \mathbb{R}^3 is generated by (ρ_3, ψ, x_7) , the S^1 is generated by \tilde{x}_6 , and M_3 is a three-dimensional manifold which is obtained as a \mathbb{R} foliation (generated by x_8 or x_9) over the cigar with asymptotic radius $1/\epsilon_i$ described by (ρ_1, ϕ_1) or (ρ_2, ϕ_2) (see the cartoon in figure 1):

$$\begin{array}{ccc} \mathbb{R}\langle x_8 \rangle & \longrightarrow & M_3(\epsilon_1) \\ & & \downarrow \\ & & \text{cigar } \langle \rho_1, \phi_1 \rangle \end{array} \quad (2.15)$$

This shows that the effect of the Ω -deformation is to regularize the rotations generated by ∂_{ϕ_1} and ∂_{ϕ_2} in the sense that the operators become bounded:

$$\|\partial_{\phi_1}\|^2 = \frac{\rho_1^2}{1 + \epsilon_1^2 \rho_1^2} < \frac{1}{\epsilon_1^2}, \quad \|\partial_{\phi_2}\|^2 = \frac{\rho_2^2}{1 + \epsilon_2^2 \rho_2^2} < \frac{1}{\epsilon_2^2}. \quad (2.16)$$

In a different frame this will translate into a bound on the asymptotic coupling of the effective gauge theory for the motion of a D-brane.

As a final remark we observe that even though the background in equation (2.13) where the contributions of the two ϵ_i are decoupled was obtained as a limit, it is by itself a solution of the ten-dimensional supergravity equations of motion for any value of ρ_i .

What we have obtained is the starting point of the chain of dualities leading eventually to the reciprocal background, as detailed in table 1.

M-theory. As a first step we dualize in \tilde{x}_6 to type IIA and then lift to M-theory. A remarkable feature of the M-theory background is the fact that it is symmetric under the exchange $\{\rho_1, \phi_1, x_8, \epsilon_1\} \leftrightarrow \{\rho_2, \phi_2, x_9, \epsilon_2\}$. This is the origin of the S-duality covariance of the final type IIB background. This has to be contrasted with the fact that the directions x_6 and the M-circle x_{10} appear in a non-symmetric fashion. This is the reason why the Ω -deformed four dimensional $\mathcal{N} = 4$ theory has a complicated behavior under S-duality. The explicit expression for metric and A_3 potential in the $\rho_3 \ll \rho_1, \rho_2$ limit is

$$ds^2 = (\Delta_1 \Delta_2)^{2/3} \left[d\rho_1^2 + \frac{\epsilon_1^2 \rho_1^2}{1 + \epsilon_1^2 \rho_1^2} d\sigma_1^2 + \frac{dx_8^2}{1 + \epsilon_1^2 \rho_1^2} + d\rho_2^2 + \frac{\epsilon_2^2 \rho_2^2}{1 + \epsilon_2^2 \rho_2^2} d\sigma_2^2 + \frac{dx_9^2}{1 + \epsilon_2^2 \rho_2^2} + d\rho_3^2 + \rho_3^2 d\psi^2 + dx_6^2 + dx_7^2 \right] + (\Delta_1 \Delta_2)^{-4/3} dx_{10}^2, \tag{2.17a}$$

$$A_3 = \frac{\epsilon_1^2 \rho_1^2}{1 + \epsilon_1^2 \rho_1^2} d\sigma_1 \wedge dx_8 \wedge dx_{10} + \frac{\epsilon_2^2 \rho_2^2}{1 + \epsilon_2^2 \rho_2^2} d\sigma_2 \wedge dx_9 \wedge dx_{10}, \tag{2.17b}$$

where x_{10} is periodic with period $2\pi R_{10}$ and R_{10} is related to the string coupling and string length in the fluxtrap as follows:

$$R_{10} = g_\Omega \ell_\Omega. \tag{2.18}$$

We have also introduced two periodic coordinates σ_i using the asymptotic radii in the cigars:

$$\sigma_i = \frac{\phi_i}{\epsilon_i}. \tag{2.19}$$

These are the directions in which we will reduce the M₅-brane to get to the effective description in terms of a D₃-brane in the reciprocal frame. A final remark is needed concerning the symmetries of the background that will be reflected in the properties of the gauge theories. The coefficients of the terms $d\sigma_1^2$ and $d\sigma_2^2$ are interchanged under the exchange $\epsilon_1 \leftrightarrow \epsilon_2$, while there is no obvious symmetry between the coefficients of dx_6^2 and dx_{10}^2 . We will see that this leads to S-duality covariance of the reciprocal theory, which is absent in the Ω -deformed SYM.

Type IIA. The second step of the 9/11 flip is obtained by reducing the M-theory description to type IIA on the coordinate σ_1 . It is well-known that the reduction of flat space on this angle gives rise to the near-horizon limit of a D6-brane in type IIA [12].² The same applies to our background that can be described as the backreaction in the

²Flat space can be seen as the $r \rightarrow 0$ limit of a Taub-NUT space.

near-horizon limit of a D6-brane in the fluxtrap.³ Note that this reduction is different from the one on the dual Melvin circle, that leads to a different realization of the Ω -deformation discussed in [17].

The definition of the string coupling $g_{\text{IIA}}^{\text{rec}}$ and of the string length ℓ_{rec} follow from the radius of the coordinate σ_1 that we used for the compactification. Imposing that the tension of a D0-brane coincides with the inverse radius, we find

$$T_{\text{D0}} = \frac{1}{g_{\text{IIA}}^{\text{rec}} \ell_{\text{rec}}} = \epsilon_1 \Rightarrow g_{\text{IIA}}^{\text{rec}} = \frac{1}{\epsilon_1 \ell_{\text{rec}}} . \quad (2.20)$$

Imposing that the tension of the M5-brane wrapped on σ_1 coincides with the tension of the D4-brane in this frame, we derive the value of the Planck length ℓ_p :

$$T_{\text{M5}} \frac{2\pi}{\epsilon_1} = \frac{1}{32\pi^5 \ell_p^6} \frac{2\pi}{\epsilon_1} = T_{\text{D4}} = \frac{1}{32\pi^5 g_{\text{IIA}}^{\text{rec}} \ell_{\text{rec}}^5} \Rightarrow \ell_p = \frac{\ell_{\text{rec}}^{2/3}}{\epsilon_1^{1/3}} . \quad (2.21)$$

The same condition can be imposed to find the relationship between the string length ℓ_Ω and gauge coupling in the type IIA fluxtrap g_{IIA}^Ω . In a compactification on x_{10} :

$$T_{\text{D0}} = \frac{1}{g_{\text{IIA}}^\Omega \ell_\Omega} = \frac{1}{R_{10}} \Rightarrow g_{\text{IIA}}^{\text{rec}} = \frac{R_{10}}{\ell_\Omega} , \quad (2.22)$$

and

$$T_{\text{M5}} 2\pi R_{10} = \frac{1}{32\pi^5 \ell_p^6} 2\pi R_{10} = T_{\text{D4}} = \frac{1}{32\pi^5 g_{\text{IIA}}^\Omega \ell_\Omega^5} \Rightarrow \ell_p = g_{\text{IIA}}^{\Omega 1/3} \ell_\Omega . \quad (2.23)$$

Comparing the two values for the Planck length we find

$$\frac{\ell_{\text{rec}}^2}{\epsilon_1} = g_{\text{IIA}}^\Omega \ell_\Omega^3 . \quad (2.24)$$

Type IIB. The last step consists in a T-duality in σ_2 . Since the T-dual of flat space in σ_2 is the near-horizon limit of an NS5-brane [18], we can describe the final type IIB background as an Ω -deformed NS5-D5 system. The configuration preserves eight Killing spinors, as derived explicitly in appendix A. The string coupling constant $g_{\text{IIB}}^{\text{rec}}$ is derived from the coupling in type IIA and the compactification radius $1/\epsilon_2$:

$$g_{\text{IIB}}^{\text{rec}} = g_{\text{IIA}}^{\text{rec}} \ell_{\text{rec}} \epsilon_2 = \frac{\epsilon_2}{\epsilon_1} . \quad (2.25)$$

³It was found in [9] that the effect of the fluxtrap on the D6 can be understood in terms of a non-commutative deformation. Non-commutativity in the Ω -background is a topic of interest in the recent literature [13, 14]. It would be interesting to relate these observations to the topic of non-commutativity in closed strings [15, 16].

Once more for simplicity we report the explicit expressions of the bulk fields in this last frame (the reciprocal frame) in the limit where $\rho_3 \ll \rho_1, \rho_2$:

$$ds^2 = \epsilon_1 \rho_1 \sqrt{1 + \epsilon_2^2 \rho_2^2} \left[d\rho_1^2 + d\rho_2^2 + \frac{d\tilde{\sigma}_2^2}{\epsilon_1^2 \rho_1^2 \epsilon_2^2 \rho_2^2} + d\rho_3^2 + \rho_3^2 d\psi^2 + dx_6^2 + dx_7^2 + \frac{dx_8^2}{1 + \epsilon_1^2 \rho_1^2} + \frac{dx_9^2}{1 + \epsilon_2^2 \rho_2^2} + \frac{dx_{10}^2}{(1 + \epsilon_1^2 \rho_1^2)(1 + \epsilon_2^2 \rho_2^2)} \right], \tag{2.26a}$$

$$B = \frac{\epsilon_1^2 \rho_1^2}{1 + \epsilon_1^2 \rho_1^2} dx_8 \wedge dx_{10}, \tag{2.26b}$$

$$e^{-\Phi} = \frac{\epsilon_2 \rho_2}{\epsilon_1 \rho_1} \sqrt{\frac{1 + \epsilon_1^2 \rho_1^2}{1 + \epsilon_2^2 \rho_2^2}}, \tag{2.26c}$$

$$C_2 = \frac{\epsilon_2^2 \rho_2^2}{1 + \epsilon_2^2 \rho_2^2} dx_9 \wedge dx_{10}, \tag{2.26d}$$

where $\tilde{\sigma}_2$ is periodic with period $2\pi\alpha'\epsilon_2$. The fluxes that appear here are due to the fluxtrap construction and are not the ones generated by the background branes, which are negligible in the $\rho_3 \ll \rho_1, \rho_2$ limit that we are considering. An interesting feature of this background is that the dilaton vanishes asymptotically for $\rho_1, \rho_2 \rightarrow \infty$. We will use this fact in the study of the gauge theory to identify the value of the effective gauge coupling.

As anticipated from the M-theory description, the type IIB background has a simple behavior under S-duality which amounts to exchanging ϵ_1 with ϵ_2 . This transformation has the effect of swapping the NS₅-brane with the D₅-brane in the bulk.

3 The weakly coupled theories on the D3-brane

Now that the fluxtrap background is set up, we want to consider the full configuration, including the branes that will lead us to an effective theory in the two type IIB duality frames.

Conceptually we are starting from the M-theory picture with an M₅-brane extended in $(\rho_1, \rho_2, \sigma_1, \sigma_2, x_6, x_{10})$, i.e. on $\mathbb{R}_+^2 \times T^2 \times T^2$ in the bulk of equation (2.17). The complex structures of the two tori are respectively $\hat{\tau} = i\epsilon_1/\epsilon_2$ and $\tau = i/g_{\text{IIB}}^\Omega$. The compactification on the first torus gives the Ω -deformed $\mathcal{N} = 4$ super-Yang-Mills with coupling $g_{\text{YM}}^2 = 2\pi i/\tau$ and deformation parameters ϵ_1 and ϵ_2 ; the compactification on the second torus leads to the reciprocal theory with coupling $g_{\text{rec}}^2 = 2\pi i/\hat{\tau} = 2\pi\epsilon_2/\epsilon_1$ on a torus foliation $T^2 \rightarrow \mathbb{R}^2$ with complex structure $\tau = 2\pi i/g_{\text{YM}}^2$. Both gauge theories can be obtained as limits of the deformed (2, 0) six-dimensional gauge theory and in particular inherit four conserved supercharges (see appendix A). In practice it is computationally more convenient to describe the effective actions for the D₃-branes in the fluxtrap of equation (2.9) and in the reciprocal frame of equation (2.26).

The Ω -deformed $\mathcal{N} = 4$ SYM. The effective theory for a Hanany-Witten setup of D₃-branes suspended between NS₅-branes in the fluxtrap background reproduces the Ω -deformation of $\mathcal{N} = 2$ super Yang-Mills (SYM) [9]. Here we wish to describe the deformation of $\mathcal{N} = 4$ gauge theory, which is the effective description of a stack of N parallel D₃-branes

extended in $(\rho_1, \phi_1, \rho_2, \phi_2)$ in the fluxtrap background.⁴ A major difference with the $\mathcal{N} = 2$ case is that now the D3-brane can move in six directions, which is conveniently expressed in three complex fields (φ, z, w) . Consider the static embedding of a D3-brane extended in $(\rho_1, \phi_1, \rho_2, \phi_2)$ and described by flat coordinates ξ^i , moving in the directions

$$w(\xi) = \frac{\rho_3 e^{i\psi}}{\pi\alpha'}, \quad z(\xi) = \frac{x_6 + ix_7}{\pi\alpha'}, \quad \varphi(\xi) = \frac{x_8 + ix_9}{\pi\alpha'}. \quad (3.1)$$

For $N = 1$, the dynamics is given by the Dirac-Born-Infeld (DBI) action:

$$\begin{aligned} \mathcal{L}_\Omega = & \frac{1}{4g_{\text{YM}}^2} \left[F_{ij} F^{ij} + \frac{1}{2} \left(\partial^i \varphi + V^k F_k{}^i \right) \left(\partial_i \bar{\varphi} + \bar{V}^k F_{ki} \right) - \frac{1}{8} \left(\bar{V}^i \partial_i \varphi - V^i \partial_i \bar{\varphi} + V^k \bar{V}^l F_{kl} \right)^2 \right. \\ & + \frac{1}{4} \left(\delta^{ij} + V^i \bar{V}^j \right) \left(\partial_i z \partial_j \bar{z} + \text{c.c.} \right) + \frac{1}{4} \left(\delta^{ij} + V^i \bar{V}^j \right) \left(\partial_i w \partial_j \bar{w} + \text{c.c.} \right) \\ & \left. + \frac{1}{2i} \left(\epsilon_3 \bar{V}^i + \bar{\epsilon}_3 V^i \right) \left(\bar{w} \partial_i w - \text{c.c.} \right) + \frac{1}{2} |\epsilon_3|^2 w \bar{w} \right], \end{aligned} \quad (3.2)$$

where $V = \epsilon_1 (\xi^0 \partial_1 - \xi^1 \partial_0) + i \epsilon_2 (\xi^2 \partial_3 - \xi^3 \partial_2)$ and $g_{\text{YM}}^2 = 2\pi g_{\text{IIB}}^\Omega$. The action is expanded up to second order in the derivatives and we find that the highest term in ϵ is of order $\mathcal{O}(\epsilon^4)$. In comparing with the $\mathcal{N} = 2$ case [5, 20], we see that the new scalar fields z and w have an unusual kinetic term $(\delta^{ij} + V^i \bar{V}^j)$, moreover w has a term with one derivative due to the breaking of Lorentz invariance, and a mass proportional to $|\epsilon_3| = \sqrt{|\epsilon_1|^2 + |\epsilon_2|^2}$.

The weakly coupled reciprocal theory. Following the chain of dualities, the D3-brane turns first into a D4-brane in type IIA, and then into an M5-brane extended in $(\rho_1, \sigma_1, \rho_2, \sigma_2, x_6, x_{10})$ in M-theory. The reduction to type IIA turns the M5 into a D4-brane and the T-duality finally leads to a D3-brane in the reciprocal background (see table 2). The effective theory of this brane is what we call the reciprocal gauge theory. Consider the static embedding for the D-brane extended in $\rho_1, \rho_2, x_6, x_{10}$:

$$\rho_1 = y_1, \quad \rho_2 = y_2, \quad x_6 = y_3, \quad x_{10} = y_4. \quad (3.3)$$

The geometry seen by the D3-brane is of a two-torus fibration (generated by y_3, y_4) over \mathbb{R}_+^2 (generated by y_1, y_2)

$$\begin{array}{ccc} T^2 \langle y_3, y_4 \rangle & \longrightarrow & M_4 \\ & & \downarrow \\ & & \mathbb{R}_+^2 \langle y_1, y_2 \rangle \end{array} \quad (3.4)$$

The dynamics is described by the fields

$$U_1 + iU_2 = \frac{\rho_3 e^{i\psi}}{2\pi\alpha'}, \quad U_3 = \frac{x_7}{2\pi\alpha'}, \quad U_4 = \frac{\tilde{\sigma}_2}{2\pi\alpha'}, \quad U_5 = \frac{x_8}{2\pi\alpha'}, \quad U_6 = \frac{x_9}{2\pi\alpha'}. \quad (3.5)$$

⁴The Ω -deformation of $\mathcal{N} = 4$ SYM is different from the one proposed in [19]. In our language, the latter results from identifications and T-dualities in all the six directions orthogonal to the D3-brane.

The effective action for the D3-brane is

$$\mathcal{L}_{\text{rec}} = \frac{y_2}{8\pi y_1} F_{kl} F_{kl} + \frac{\epsilon_1^2 y_1 y_2}{4\pi} \left[\sum_{k=1}^3 (F_{k4} - \partial_k U_5)^2 + \frac{1}{\Delta_2^2} \sum_{k=1}^3 \left(i \frac{\epsilon_2 y_2}{\epsilon_1 y_1} (*F)_{k4} - \partial_k U_6 \right)^2 \right. \\ \left. + \tau^{kl}(\xi) h^{ij}(\xi) \partial_k U_i \partial_l U_j + \Delta_2^2 (\partial_4 U_5)^2 + \Delta_1^2 (\partial_4 U_6)^2 + (y_1^{-2} + y_2^{-2}) (U_1^2 + U_2^2) \right], \quad (3.6)$$

where

$$\tau^{kl}(\xi) = \begin{pmatrix} 1 & & & \\ & 1 & & \\ & & 1 & \\ & & & \Delta_1^2 \Delta_2^2 \end{pmatrix}, \quad h^{ij}(\xi) = \begin{pmatrix} 1 & & & \\ & 1 & & \\ & & 1 & \\ & & & (\epsilon_1 y_1)^{-2} (\epsilon_2 y_2)^{-2} \end{pmatrix}. \quad (3.7)$$

The couplings to F are inherited from the B -field, while the couplings to the dual $(*F)$ are inherited from C_2 via the Chern-Simons term. The two are interchanged under S-duality as we will see in the following. The dilaton appears in the effective gauge coupling that will be evaluated below. Lorentz invariance is broken as a result of the asymmetry in the directions x_6 and x_{10} .

In the previous section, we have seen that the bulk is the backreaction of the near-horizon limit of an NS5- and a D5-brane. This introduces an issue concerning the boundary conditions of the gauge theory at $\rho_1 = 0$ and $\rho_2 = 0$. In the low-energy limit of the gauge theory under consideration, the boundary conditions can be understood as local defect operators at the origin. As we will see in the following, the generic BPS state in this frame lives away from the origin, so that the issue of these boundary conditions is not central for our construction. Nevertheless, this deserves future detailed analysis in connection with the states contributing to the full partition function for the Ω -deformed theory.⁵

The reciprocal four-dimensional theory has broken Lorentz and translational invariance. This means that we need to address issues related to that, which do not arise in Lorentz-invariant backgrounds. In particular we would like to examine the gauge coupling and its behavior under S-duality, but to do this, we must define what we mean by “the gauge coupling” in a background with so much broken symmetry. For this purpose it is convenient to set all the scalars to zero and concentrate on the gauge part of the action:

$$\mathcal{L}_g(\epsilon_1, \epsilon_2) = \frac{y_2}{4\pi y_1} \left[(1 + \epsilon_1^2 y_1^2) F_{4k} F_{k4} + \frac{(*F)_{k4} (*F)_{k4}}{1 + \epsilon_2^2 y_2^2} \right]. \quad (3.8)$$

There is no unique definition of the gauge coupling for an action in which Lorentz invariance is broken and the gauge kinetic term is not diagonal in F_{ij} . It is convenient to define the gauge kinetic *tensor* M^{ijkl} from

$$\mathcal{L}_g = M^{ijkl} F_{ij} F_{kl}, \quad (3.9)$$

where $M \in K = \wedge^2 \mathbb{R}^4 \otimes \wedge^2 \mathbb{R}^4$.

⁵A configuration very similar to ours was described in [21], where the author argues that it is possible to recover the dynamics of a chiral gauged wzw model from the boundary couplings. Given the known difficulties in defining the “chiral half of Liouville theory” stemming from anomalies and the presence of states with fractional spin, we believe that the proposed explicit string realization of the AGT correspondence deserves further analysis.

Gauge coupling. If we introduce the natural inner product on $\Lambda^2\mathbb{R}^4$,

$$\begin{aligned} \langle \cdot, \cdot \rangle_\Lambda : \Lambda^2\mathbb{R}^4 \times \Lambda^2\mathbb{R}^4 &\rightarrow \mathbb{R} \\ (a, b) &\mapsto \langle a, b \rangle_\Lambda = a^{ij}b^{kl}\epsilon_{ijkl}, \end{aligned} \tag{3.10}$$

then K inherits the inner product as

$$\begin{aligned} \langle \cdot, \cdot \rangle_K : K \times K &\rightarrow \mathbb{R} \\ (a_1 \otimes a_2, b_1 \otimes b_2) &\mapsto \langle a_1 \otimes a_2, b_1 \otimes b_2 \rangle_K = \langle a_1, b_1 \rangle_\Lambda \langle a_2, b_2 \rangle_\Lambda = a_1^{ij}b_1^{kl}\epsilon_{ijkl} a_2^{i'j'}b_2^{k'l'}\epsilon_{i'j'k'l'}. \end{aligned} \tag{3.11}$$

We can now define the scalar g_{eff} in terms of the norm of the gauge kinetic tensor:

$$\frac{1}{g_{\text{eff}}^2} = \sqrt{\frac{2}{3}} \|M\|_K = \sqrt{\frac{2}{3} \epsilon_{ijkl}\epsilon_{i'j'k'l'} M^{ijj'j'} M^{klk'l'}}, \tag{3.12}$$

where the normalization has been chosen such that $g_{\text{eff}} = g_{\text{YM}}$ in the standard Lorentz-invariant case

$$\mathcal{L} = \frac{1}{4g_{\text{YM}}^2} F_{ij}F_{ij}. \tag{3.13}$$

In our case, we find that the effective gauge coupling of the reciprocal theory is

$$\frac{1}{g_{\text{rec}}^2} = \frac{1}{2\pi} \frac{y_2 \sqrt{1 + \epsilon_1^2 y_1^2}}{y_1 \sqrt{1 + \epsilon_2^2 y_2^2}}. \tag{3.14}$$

We recognize the dilaton of equation (2.26) in the reciprocal frame. In the large- y limit, i.e. far away from the singularity, this reduces to

$$g_{\text{rec}}^2 \xrightarrow{y_1, y_2 \rightarrow \infty} 2\pi \frac{\epsilon_2}{\epsilon_1}. \tag{3.15}$$

We see that the asymptotic gauge coupling is given by the ratio of the two ϵ -parameters as in the Liouville theory in the AGT correspondence.

S-duality. In order to study the behavior of the action under S-duality we need a notion of inverse coupling. For this purpose we can look at K as the set of linear operators $\Omega^2(\mathbb{R}^4) \rightarrow \Lambda^2\mathbb{R}^4$, i.e. as the set of square matrices acting on the vector space \mathbb{R}^6 , and define $M^{-1} \in K$ as the inverse matrix to M . Then we can define the S-dual to the action

$$\mathcal{L}_g = M^{ijkl} F_{ij}F_{kl} \tag{3.16}$$

as the action obtained by inverting the tensor M and dualizing the gauge field:

$$\mathcal{L}_{\text{dual}} = \frac{1}{16\pi^2} (M^{-1})^{ijkl} (*F)_{ij}(*F)_{kl}. \tag{3.17}$$

In our case this is particularly simple because M is a symmetric matrix and the action has been written explicitly in terms of the gauge field and its dual. It follows that

$$\mathcal{L}_{\text{dual}}(\epsilon_1, \epsilon_2) = \frac{y_1}{4\pi y_2} \left[\frac{(*F)_{4k}(*F)_{k4}}{1 + \epsilon_1^2 y_1^2} + F_{k4}F_{k4} (1 + \epsilon_2^2 y_2^2) \right]. \tag{3.18}$$

frame	object	ρ_1	ϕ_1	ρ_2	ϕ_2	ρ_3	ψ	x_6	x_7	x_8	x_9	x_{10}
IIA fluxtrap	D4	×	×	×	×			×				■
	F1				○			×			×	■
	D0							×				■
M-theory	M5	×	×	×	×			×				×
	M2				○			×			×	×
	momentum							↗				↗
reciprocal frame	D3	×	■	×				×				×
	D3		■		×			×			×	×
	momentum		■					↗				↗
	D5		■	×				×	×	×	×	×
	NS5	×	■					×	×	×	×	×

Table 2. Extended objects in the various different frames. The objects are extended in the direction of the crosses (×). Angular momentum in a direction is marked as ○ and momentum as ↗. The direction marked with a square (■) in type II is not geometrical. The white background is for the dynamical branes described by the gauge theories; the light grey (■) for the branes that correspond to the BPS excitations and the dark grey background (■) for non-dynamical objects in the bulk which only appear as a consequence of the reduction from M-theory and duality along angular directions.

It is immediate to see that the effect of S-duality is simply to exchange ϵ_1 and ϵ_2 as we had already observed at the string level by looking at the reciprocal frame:

$$\mathcal{L}_{\text{dual}}(\epsilon_1, \epsilon_2) = \mathcal{L}_g(\epsilon_2, \epsilon_1) . \tag{3.19}$$

In the AGT correspondence one identifies the Liouville parameter b with the ratio of the two epsilons,

$$b^2 = \frac{\epsilon_2}{\epsilon_1} . \tag{3.20}$$

Even though the reciprocal gauge theory is intrinsically four-dimensional, we have thus seen that it shares at least two remarkable properties with the two-dimensional Liouville field theory:

1. The asymptotic coupling constant is proportional to b^2 ;
2. S-duality exchanges $b \leftrightarrow 1/b$, just like the Liouville duality that exchanges the perturbative and the instanton spectrum.

Observe that these properties do not depend on the number of dynamical D3-branes. It follows that the $b \leftrightarrow 1/b$ symmetry exists also for the more general cases of Toda field theories, in perfect agreement with the results of the two-dimensional analysis [23].

It is thus clear that the above construction is a first important step towards the complete recreation of the AGT correspondence within string and M-theory.

4 BPS states and DOZZ factors

There are two sets of BPS objects that are of central importance in generating the quantum effective action of the Ω -deformed gauge theory.⁶ The first are the BPS instanton configurations, that are localized at the origin. The second are the perturbative modes of the fundamental fields carrying angular momentum along the $U(1) \times U(1)$ rotational isometries. Mapping these contributions to the reciprocal theory, we find that the roles of the perturbative configurations and nonperturbative states are reversed. As anticipated by AGT, the partition function over instantons in the Nekrasov-Okounkov gauge theory maps to the free-field determinant of the massless gauge field degrees of freedom in the reciprocal theory, giving rise to the usual modular form defining the holomorphic factor of the Liouville-Toda partition function. The perturbative BPS modes of the massive vector multiplet, on the other hand, map in the reciprocal theory to nonperturbative states bound to electric fluxes, the resummation of whose virtual effects reproduces the holomorphic DOZZ factors of the Liouville-Toda partition function. We comment briefly on the “reciprocal” relationship between the perturbative and nonperturbative BPS states in the two frames.

In the following subsections, we will trace the string-theoretic description of the BPS states through each duality frame from the Nekrasov-Okounkov Ω -deformed gauge theory with coupling constant g_{YM}^2 and deformation parameters ϵ_i to the reciprocal gauge theory with gauge coupling $2\pi\epsilon_2/\epsilon_1$ and complex structure $\tau = 2\pi i/g_{\text{YM}}^2$ for the toroidally compactified directions.

There are two classes of important BPS states: the instanton-particles (instantons in four dimensions, particles when lifted on the x_6 time-circle to five dimensions) and the angular momentum modes of the massive vector multiplet. As we shall be dualizing these objects several times, it is desirable to give them more duality-invariant designations, so we will refer to them as the oscilloids and the DOZZoids, respectively.

4.1 String theory of the BPS states in the Ω -deformed gauge theory

In the original duality frame the masses of the BPS states (with the direction x_6 taken to be the timelike direction) can be computed either from string theory or directly from the action. The latter is simpler and isolates the relevant degrees of freedom of the decoupled theory, but the latter makes the subsequent duality transformations more clear. We shall do both. The field theoretic section contains no new content, and simply rehearses the insights of [1]; however we do this in order to give a uniform presentation with the string-theoretic description of the same states in the original and successively dualized frames.

Field-theoretic description of the BPS oscilloids. In the field-theoretic description in terms of four-dimensional gauge theory on Ω -deformed \mathbb{R}^4 times a circle, the BPS oscilloids are simply instantons of the four-dimensional gauge theory lifted as particles in five dimensions, that are static in the (Euclidean) timelike x_6 direction. We need go into this aspect no further; this description has been discussed in detail in [1, 5]. We note

⁶More general BPS states are possible for special values of ϵ . See for example [24] for an exhaustive study of the Nekrasov-Shatashvili (NS) limit.

simply that the localization of these objects to the origin by the Ω -deformation is easy to understand at the field-theoretic level: the scalar effective gauge coupling that controls the mass of a small instanton has a global maximum at the origin, and so the instanton's action is globally minimized there. Further discussion of this effect can be found in [9].

Field-theoretic description of the BPS DOZZoids. These are linearized eigenmodes of the 4D massive vector multiplet in the Ω -deformed gauge theory, with or without angular momenta $J_{1,2}$ in the $U(1) \times U(1)$ angular directions. The eigenvalue of the Laplace operator on these modes χ obeys a BPS condition

$$-\nabla^2 \chi = \left| \frac{L}{2\pi\alpha'} + \epsilon_1 J_1 + \epsilon_2 J_2 \right|^2 \chi, \tag{4.1}$$

where L is the distance between the branes in string frame, and so $\frac{L}{2\pi\alpha'}$ is the vev of the scalar in the vector multiplet. The angular momenta $J_{1,2}$ include both orbital contributions depending on the profile of the mode and intrinsic contributions depending on the representation of the field. Treated as particles in the five-dimensional gauge theory on a circle, these are BPS particle excitations of the vector multiplet, with a mass determined by the free-field equation of motion:

$$m_{\text{BPS}} = \left| \frac{L}{2\pi\alpha'} + \epsilon_1 J_1 + \epsilon_2 J_2 \right|. \tag{4.2}$$

String-theoretic description of the BPS oscilloids. In the string-theoretic description, the BPS instantons of four-dimensional gauge theory are D(-1)-branes of type IIB string theory bound to D3-branes in the type IIB fluxtrap solution [8]. Lifted to particle-like objects of five-dimensional gauge theory on a circle, they are D0-branes static with respect to the Euclidean timelike direction x_6 . The DBI Lagrangian for such a brane in $\rho_3 = 0$ is given by

$$\mathcal{L} = -\mu_0 e^{-\Phi} = -\frac{1}{g_{\text{IIA}}^{\Omega} \ell_{\Omega}} \sqrt{(1 + \epsilon_1^2 \rho_1^2) (1 + \epsilon_2^2 \rho_2^2)}, \tag{4.3}$$

where we have used the expression for the dilaton in the fluxtrap of equation (2.13). It follows that the energy for n_{D0} branes is

$$\mathbf{E}_{\text{D0}} = \frac{n_{\text{D0}}}{g_{\text{IIA}}^{\Omega} \ell_{\Omega}} \sqrt{(1 + \epsilon_1^2 \rho_1^2) (1 + \epsilon_2^2 \rho_2^2)}, \tag{4.4}$$

which is minimized for $\rho_1 = \rho_2 = 0$. As already observed in [9], we see that these particles are localized to the origin by the spatial profile of the dilaton.

String-theoretic description of the BPS DOZZoids. Let us examine the worldsheet description of the BPS open string states stretching between two D4-branes, and carrying angular momentum in the $U(1) \times U(1)$ rotational isometry directions.

We begin by writing the general string worldsheet action in conformal gauge, in the conventions of [22]. The action is

$$S = \int d^2\sigma \mathcal{L}, \tag{4.5}$$

$$\mathcal{L} \equiv \frac{1}{4\pi\alpha'} \left[-G_{\mu\nu}(X) (\partial_a X^\mu) (\partial^a X^\nu) + \epsilon^{ab} B_{\mu\nu}(X) \partial_a X^\mu \partial_b X^\nu - \alpha' \Phi(X) \text{Ric}_2 \right]. \tag{4.6}$$

In the type IIA fluxtrap frame, the relevant terms in the string-frame metric, B-field and dilaton are as in (2.13):

$$ds^2_{\text{string}} = -dx_0^2 + \sum_{i=1}^2 \frac{\rho_i^2 d\phi_i^2 + dy_i^2}{1 + \epsilon_i^2 \rho_i^2}, \quad (4.7a)$$

$$B = \sum_{i=1}^2 \epsilon_i \frac{\rho_i^2 dy_i \wedge d\phi_i}{1 + \epsilon_i^2 \rho_i^2}, \quad (4.7b)$$

$$\Phi = \Phi_0 - \sum_{i=1}^2 \frac{1}{2} \ln(1 + \epsilon_i^2 \rho_i), \quad (4.7c)$$

where we have defined

$$x_0 = -ix_6, \quad y_i = x_{i+7}. \quad (4.8)$$

Classically, the string must obey the equations of motion and classical Virasoro constraints

$$0 = T_{\pm\pm} = \mathcal{H} \pm \mathcal{P}_{\sigma^1}, \quad (4.9)$$

with

$$\mathcal{H} \equiv \Pi_\mu X_{,\sigma^0}^\mu - \mathcal{L} - \frac{1}{4\pi} (\partial_{\sigma^0}^2 + \partial_{\sigma^1}^2) \Phi(X), \quad (4.10)$$

$$\mathcal{P}_{\sigma^1} = -\Pi_\mu X_{,\sigma^1}^\mu - \frac{1}{4\pi} (\partial_{\sigma^0} \partial_{\sigma^1}) \Phi(X), \quad (4.11)$$

$$\Pi_\mu \equiv \frac{\delta \mathcal{L}}{\delta X_{,\sigma^0}^\mu}. \quad (4.12)$$

Now let us make the following *ansatz* for a classical string trajectory:

$$\begin{aligned} \phi_{1,2} = \phi_{1,2}(\sigma^0) = \frac{j_{1,2} \sigma^0}{R_{\text{ws}}}, \quad X^0 = X^0(\sigma^0) = e^0 \frac{\sigma^0}{R_{\text{ws}}}, \quad y_{1,2} = y_{1,2}(\sigma^1) = \frac{L_{1,2}}{\pi R_{\text{ws}}} \sigma^1, \\ X^7 = \rho_{1,2} = \text{constant}, \quad \rho_3 = 0, \end{aligned} \quad (4.13)$$

where the distance between the branes in the $y_{1,2}$ directions is $L_{1,2}$ and the extent of the σ_1 coordinate is πR_{ws} . The parameters $j_{1,2}, e^0$ are parameters of the solution within our *ansatz* but do not correspond directly to quantities that would be conserved for a generic trajectory with nonconstant ρ_i . However they are proportional via ρ -dependent constants to the Noether charges that are conserved for a general trajectory. The Noether charges are just the integrals of canonical conjugates to Killing coordinates X^0, ϕ_i . The canonical conjugate local variables are

$$\Pi^0 = \frac{1}{2\pi\alpha'} \frac{e^0}{R_{\text{ws}}}, \quad (4.14)$$

$$\Pi_{\phi_i} = \frac{1}{2\pi\alpha'} [G_{\phi_i \phi_i} \dot{\phi}_1 + B_{\phi_i y_i} y_i'] = \frac{\rho_i^2}{2\pi\alpha' R_{\text{ws}} (1 + \epsilon_i^2 \rho_i^2)} \left[j_i + \frac{\epsilon_i L_i}{\pi} \right]. \quad (4.15)$$

As usual Noether's theorem gives

$$\mathbf{P}^\mu = \int_0^{\pi R_{\text{WS}}} d\sigma^1 \Pi^\mu . \quad (4.16)$$

Now we apply the e.o.m. and Virasoro constraints within our *ansatz* (4.13). We see that our *ansatz* satisfies the equations of motion automatically, and the Virasoro constraints impose a mass-shell condition.

In the case where $J_1 = L_1 = 0$, the mass formula is particularly transparent, so let us consider that case. The definitions of the conjugate momenta give

$$j_1(\rho) = 0, \quad (4.17)$$

$$j_2(\rho) = 2\alpha' J_2 \left(\epsilon_2^2 + \frac{1}{\rho_2^2} \right) - \frac{\epsilon_2 L_2}{\pi}, \quad (4.18)$$

and the mass-shell condition imposed by the classical Virasoro constraint is

$$\mathbf{E}^2 = \left(\epsilon_2 J_2 - \frac{L_2}{2\pi\alpha'} \right)^2 + \frac{J_2^2}{\rho_2^2}. \quad (4.19)$$

Minimizing \mathbf{E}^2 with respect to ρ_2 gives

$$\mathbf{E}_{\text{BPS}} = \left| \epsilon_2 J_2 - \frac{L_2}{2\pi\alpha'} \right|. \quad (4.20)$$

4.2 String-theoretic description of the BPS states the reciprocal frame

In this section we want to give a unified string theoretical description of the oscilloids and DOZZoids as they appear in the reciprocal frame described in equation (2.26). First let us follow them along the change of frame, as in table 2.

- An oscilloid is a Do-brane in the fluxtrap, localized at the origin. In the lift to M-theory this turns into a momentum mode in the direction x_{10} , which is also the way in which it appears in the reciprocal frame.
- A DOZZoid is a fundamental string extended in x_9 with a momentum in ϕ_2 . This is lifted to an M2-brane with momentum in ϕ_2 and eventually, in the reciprocal frame, it turns into a D3-brane in $(x_6, x_9, x_{10}, \tilde{\sigma}_2)$ with an electric flux in the $\tilde{\sigma}_2$ direction.

The main feature of this last frame is that we now have only *one* kind of BPS state carrying *both* types of charge and living at a finite radius $\bar{\rho}_2$, as we will show in the following.

A unified description is possible if we introduce a D3-brane extended in $(x_6, x_9, x_{10}, \tilde{\sigma}_2)$ with an electric field in $\tilde{\sigma}_2$, velocity v in x_{10} and another component of the electric field in x_{10} , which is required by the coupling of v in the DBI action (see the cartoon in figure 2). Consider the embedding

$$\begin{aligned} x_6 = i\zeta_0, \quad x_9 = \frac{L_2}{\pi R_{\text{WS}}}\zeta_1, \quad \tilde{\sigma}_2 = 2\pi\alpha'\epsilon_2\frac{\zeta_2}{\kappa}, \quad x_{10} = \zeta_3 + v\zeta_0, \quad x_8 = 0, \\ \rho_1 = \text{const.}, \quad \rho_2 = \text{const.}, \quad \rho_3 = 0, \quad x_7 = 0, \end{aligned} \quad (4.21)$$

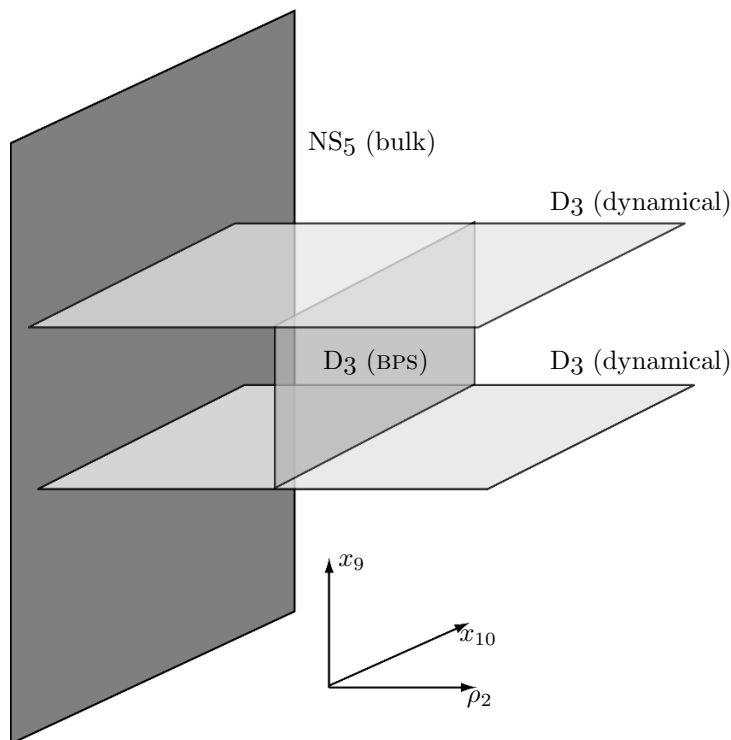


Figure 2. Brane cartoon of the extended objects in the reciprocal frame. The dynamical D3-branes end on the bulk NS₅. The BPS excitations of the gauge theory are realized as D3-branes stretching between the two dynamical ones.

with a U(1) gauge field with the following components turned on:

$$F_{02} = \frac{1}{\kappa} f_{02}, \quad F_{03} = \frac{1}{\kappa} f_{03}. \quad (4.22)$$

The corresponding DBI action reads:

$$S = -\mu_3 \int d^4\zeta e^{-\Phi} \sqrt{-\det(g + B + 2\pi\alpha'F)} + \mu_3 \int \exp(B + 2\pi\alpha'F) \wedge \sum_q C_q \quad (4.23)$$

$$= \frac{\epsilon_1 L_2 R_{10}}{2\pi\alpha'} \frac{\epsilon_2 \rho_2^2 (f_{02} + v f_{03}) - \sqrt{(1 + \epsilon_2^2 \rho_2^2) (1 + \rho_2^2 f_{03}^2 (1 + \epsilon_1^2 \rho_1^2)) - \rho_2^2 (f_{02} + v f_{03})^2}}{1 + \epsilon_2^2 \rho_2^2}.$$

In order to evaluate the energy we pass to the Hamiltonian formalism. There are three conjugate momenta, corresponding to the components of the electric field and the velocity, *viz.*:

$$J_2 = \frac{\delta S}{\delta f_{02}}, \quad D_3 = \frac{\delta S}{\delta f_{03}}, \quad P = \frac{\delta S}{\delta v}. \quad (4.24)$$

First we observe that since the action only depends on f_{02} and v via $(f_{02} + v f_{03})$, the momenta satisfy

$$P = f_{03} J_2. \quad (4.25)$$

Using this fact we can invert the relations and find that

$$\begin{aligned}
 v &= \frac{\rho_2^2}{J_2^2} (1 + \epsilon_1^2 \rho_1^2) \sqrt{\frac{\left(\frac{\epsilon_1 R_{10}}{2\pi\alpha'} L_2 - \epsilon_2 J_2\right)^2 + \left(\frac{J_2}{\rho_2}\right)^2}{1 + \rho_2^2 (1 + \epsilon_1^2 \rho_1^2) \left(\frac{P}{J_2}\right)^2}} P + \frac{D_3}{J_2}, \\
 f_{02} &= \epsilon_2 \left(-\frac{\epsilon_1 L_2 R_{10}}{2\pi\alpha'} + \epsilon_2 J_2 + \frac{J_2}{\rho_2^2 \epsilon_2} \right) \sqrt{\frac{1 + \rho_2^2 (1 + \epsilon_1^2 \rho_1^2) \left(\frac{P}{J_2}\right)^2}{\left(\frac{\epsilon_1 R_{10}}{2\pi\alpha'} L_2 - \epsilon_2 J_2\right)^2 + \left(\frac{J_2}{\rho_2}\right)^2}} \\
 &\quad - \frac{\rho_2^2}{J_2} (1 + \epsilon_1^2 \rho_1^2) \sqrt{\frac{\left(\frac{\epsilon_1 R_{10}}{2\pi\alpha'} L_2 - \epsilon_2 J_2\right)^2 + \left(\frac{J_2}{\rho_2}\right)^2}{1 + \rho_2^2 (1 + \epsilon_1^2 \rho_1^2) \left(\frac{P}{J_2}\right)^2}} \left(\frac{P}{J_2}\right)^2 + \frac{P D_3}{J_2^2}, \\
 f_{03} &= \frac{P}{J_2},
 \end{aligned} \tag{4.26}$$

and derive the Hamiltonian:

$$\begin{aligned}
 H &= f_{02} J_2 + f_{03} D_3 + P v - S \\
 &= \frac{D_3 P}{J_2} + \sqrt{\left[\left(\frac{\epsilon_1 R_{10}}{2\pi\alpha'} L_2 - \epsilon_2 J_2 \right)^2 + \left(\frac{J_2}{\rho_2} \right)^2 \right] \left[1 + \rho_2^2 (1 + \epsilon_1^2 \rho_1^2) \left(\frac{P}{J_2} \right)^2 \right]}.
 \end{aligned} \tag{4.27}$$

The novelty of this frame is the contemporary presence of all the momenta for a single D3-brane. First consider the electric displacement D_3 . In the reciprocal frame that we are using now, the electric field F_{03} is equivalently described by a fundamental string winding around x_{10} and dissolved on the D3-brane. In turn, in the M-theory frame, this corresponds to an M2-brane winding around σ_1 and x_{10} . Finally, in the fluxtrap this is again a fundamental string winding around ϕ_1 . At this point it is clear that D_3 cannot correspond to a conserved BPS charge, since the fundamental string is wrapped around a contractible cycle. Hence, in order to find the BPS condition we should set $D_3 = 0$ and maximize the energy with respect to the positions ρ_1 and ρ_2 for fixed values of the momenta J_2 and P . Before doing that, it is instructive to consider the limits of vanishing momenta and compare with the results of the previous section.

- For $P = 0$ the energy is

$$\mathbf{E}_{P=0} = \sqrt{\left(\frac{\epsilon_1 R_{10}}{2\pi\alpha'} L_2 - \epsilon_2 J_2 \right)^2 + \left(\frac{J_2}{\rho_2} \right)^2}, \tag{4.28}$$

which coincides with the one that had been obtained for the string states in the fluxtrap in equation (4.19) once we map the values of α' in the two frames using equation (2.24):

$$\frac{1}{g_{\text{IIA}}^\Omega(\alpha')^{3/2}} \Big|_\Omega = \frac{\epsilon_1}{\alpha'} \Big|_{\text{rec}}. \tag{4.29}$$

- For $L_2 = J_2 = 0$ the energy is

$$\mathbf{E}_{L_2=J_2=0} = P \sqrt{(1 + \epsilon_1^2 \rho_1^2) (1 + \epsilon_2^2 \rho_2^2)}, \tag{4.30}$$

which coincides with the energy of n_{D_0} D0 branes in the fluxtrap found in equation (4.4) if we observe that P is the quantized momentum around a circle of radius R_{10} :

$$P = \frac{n_{D_0}}{R_{10}} = \frac{n_{D_0}}{g_{\text{IIA}}^{\Omega} \ell_{\Omega}} , \quad (4.31)$$

where we used the definition of R_{10} in equation (2.22).

Let us now proceed to the minimization. Consider the square of the energy,

$$\mathbf{E}^2 = \left[\left(\frac{\epsilon_1 R_{10}}{2\pi\alpha'} L_2 - \epsilon_2 J_2 \right)^2 + \left(\frac{J_2}{\rho_2} \right)^2 \right] \left[1 + \rho_2^2 (1 + \epsilon_1^2 \rho_1^2) \left(\frac{P}{J_2} \right)^2 \right] . \quad (4.32)$$

We want to minimize with respect to the two radii. For ρ_1 we find that

$$\frac{\partial \mathbf{E}^2}{\partial \rho_1^2} = \epsilon_1^2 \rho_1 \rho_2^2 \left(\frac{P}{J_2} \right)^2 \left(\left(\frac{\epsilon_1 R_{10}}{2\pi\alpha'} L_2 - \epsilon_2 J_2 \right)^2 + \left(\frac{J_2}{\rho_2} \right)^2 \right) = 0 , \quad (4.33)$$

which is satisfied for $\rho_1 = 0$ or for $P = 0$. Keeping $\rho_1 = 0$, we minimize with respect to ρ_2^2 and find

$$\frac{\partial \mathbf{E}^2}{\partial \rho_2^2} = -\frac{J_2^2}{\rho_2^4} + \frac{P^2}{J_2^2} \left(\frac{\epsilon_1 R_{10}}{2\pi\alpha'} L_2 - \epsilon_2 J_2 \right)^2 = 0 , \quad (4.34)$$

which is satisfied for

$$\rho_2^2 = \bar{\rho}_2^2 = \frac{J_2^2}{P \left(\frac{\epsilon_1 R_{10}}{2\pi\alpha'} L_2 - \epsilon_2 J_2 \right)} . \quad (4.35)$$

Putting this back into the expression for the energy we find that the energy of the BPS states is obtained as linear combination of the momenta:

$$\mathbf{E}_{\text{BPS}} = \left| \frac{\epsilon_1 R_{10}}{2\pi\alpha'} L_2 - \epsilon_2 J_2 + P \right| . \quad (4.36)$$

The two obvious limits are:

- for $L_2 = J_2 = 0$ we recover the oscilloid with energy $\mathbf{E}_{\text{osc}} = P$ that lives at the origin $\bar{\rho}_2 = 0$;
- for $P = 0$ we recover the DOZZoid with energy $\mathbf{E}_{\text{DOZZ}} = \left| \frac{\epsilon_1 R_{10}}{2\pi\alpha'} L_2 - \epsilon_2 J_2 \right|$ that lives at infinity $\bar{\rho}_2 \rightarrow \infty$.

When both charges are turned on, the D3-brane lives at finite radius, given by

$$\bar{\rho}_2 = \frac{J_2}{\sqrt{\mathbf{E}_{\text{osc}} \mathbf{E}_{\text{DOZZ}}}} . \quad (4.37)$$

The fact that the energies sum linearly as $\mathbf{E}_{\text{BPS}} = \mathbf{E}_{\text{osc}} + \mathbf{E}_{\text{DOZZ}}$ is somewhat surprising. It means that the states are only marginally stable with respect to their decay into separate oscilloids and DOZZoids. On the other hand, the fact that the bound state lives at a precise value of the radius $\bar{\rho}_2$, while the components are localized at different places ($\rho_2 = 0$ and $\rho_2 \rightarrow \infty$) means that any decay would have to tunnel over a barrier, since a state that is

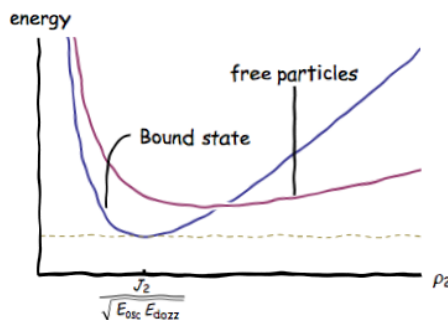


Figure 3. A qualitative plot of the energy of the bound state and of two coincident free particles as function of ρ_2 for $\rho_1 = 0$.

broken apart locally would have an energy that is strictly larger than the one of the bound state (see figure 3). We therefore expect that any such decay process, should it happen, would have to be nonperturbatively suppressed. It would be interesting to study the stability of the BPS states from the point of view of the six-dimensional $(2, 0)$ theory, where it may possibly be understood in terms of wall-crossing between the two limits represented by the compactifications to four dimensions, but this goes beyond the scope of this note.

5 Conclusions

In this note we have studied different limits of the dynamics of a pair of M5-branes in string- and M-theory. The two resulting four-dimensional theories are the Ω -deformation of $\mathcal{N} = 4$ super Yang-Mills on \mathbb{R}^4 and a new supersymmetric non-Lorentz-invariant theory on $\mathbb{R}_+^2 \times T^2$ described by the *reciprocal action*. These theories are obtained in terms of effective theories on the D3-branes resulting from different limits of M5-branes in the M-theory fluxtrap introduced by the authors in [9]. We have identified the BPS states appearing on the SYM side of the AGT correspondence in terms of fundamental strings and D0-branes in the type IIA fluxtrap, and followed them through the duality chain to the reciprocal frame where they are identified with D3-branes carrying both electric fields and momentum in the x_{10} direction, localized at a finite value of the radial direction ρ_2 depending on the charges. The fact that we are dealing with two distinct types of states localized in different positions (the origin and infinity) in one duality frame, and states carrying both charges localized in one place in the other duality frame suggests the presence of new phenomena which are only accessible via a microscopic description such as the one proposed in this article.

One of the main points we would like to stress is that the reciprocal theory exhibits some characteristic similarities to the Liouville field theory in the AGT correspondence: its loop-counting parameter is $b^2 = \epsilon_2/\epsilon_1$ and S-duality is realized as the exchange $b \leftrightarrow 1/b$. The reciprocal theory is however intrinsically four-dimensional. This is because the original six-dimensional theory lives on $\mathbb{R}_\Omega^4 \times T^2$, where \mathbb{R}_Ω^4 is the deformation of \mathbb{R}^4 into the product of two cigars with asymptotic radii $1/\epsilon_1$ and $1/\epsilon_2$. As a result, only two compact directions are available for the reduction and the reciprocal theory therefore lives on $\mathbb{R}_+^2 \times T^2$. In order to construct the true Liouville field theory as a compactification of an M5-brane in an

eleven-dimensional fluxtrap background, it would be necessary to start from a geometry of the type $S^4_\Omega \times \Sigma$ in order to be able to reduce on the four-dimensional part and realize a two-dimensional theory on the Riemann surface Σ . This topic will be addressed in a future article.

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A Supersymmetry in the bulk

In this section we follow the duality chain to obtain the explicit expressions for the Killing spinors in each frame.

- The initial flat space, before imposing the Melvin identifications, has 32 supercharges. The corresponding type IIA Killing spinors can be put in the form

$$\begin{cases} k_L = \exp \left[\frac{\theta_1}{2} \gamma_{01} + \frac{\theta_2}{2} \gamma_{23} + \frac{\theta_3}{2} \gamma_{45} \right] \eta_L , \\ k_R = \exp \left[\frac{\theta_1}{2} \gamma_{01} + \frac{\theta_2}{2} \gamma_{23} + \frac{\theta_3}{2} \gamma_{45} \right] \eta_R , \end{cases} \quad (\text{A.1})$$

where η_R are constant spinors satisfying

$$\gamma_{11} \eta_R = \pm \eta_R . \quad (\text{A.2})$$

- Imposing the Melvin identifications and passing to the disentangled variables ϕ_i , the exponential becomes

$$\begin{aligned} & \exp \left[\frac{\theta_1}{2} \gamma_{01} + \frac{\theta_2}{2} \gamma_{23} + \frac{\theta_3}{2} \gamma_{45} \right] \\ &= \exp \left[\frac{\phi_1}{2} \gamma_{01} + \frac{\phi_2}{2} \gamma_{23} + \frac{\phi_3}{2} \gamma_{45} \right] \exp \left[\epsilon_1 \frac{\tilde{R}_1 \tilde{u}_1}{2} (\gamma_{45} - \gamma_{01}) + \epsilon_2 \frac{\tilde{R}_2 \tilde{u}_2}{2} (\gamma_{45} - \gamma_{23}) \right]. \end{aligned} \quad (\text{A.3})$$

The ϵ -dependent terms are not invariant under the period $\tilde{u}_i \mapsto \tilde{u}_i + 2\pi$ and have to be projected out using

$$\Pi_1 = \frac{1}{2} (\gamma_{45} - \gamma_{01}) , \quad \Pi_2 = \frac{1}{2} (\gamma_{45} - \gamma_{23}) . \quad (\text{A.4})$$

Each projector breaks one half of the supersymmetry. The remaining eight Killing spinors are:

$$\begin{cases} k_L = \Pi_1 \Pi_2 \exp \left[\frac{\phi_1}{2} \gamma_{01} + \frac{\phi_2}{2} \gamma_{23} + \frac{\phi_3}{2} \gamma_{45} \right] \eta_L , \\ k_R = \Pi_1 \Pi_2 \exp \left[\frac{\phi_1}{2} \gamma_{01} + \frac{\phi_2}{2} \gamma_{23} + \frac{\phi_3}{2} \gamma_{45} \right] \eta_R . \end{cases} \quad (\text{A.5})$$

- The two T-dualities in \tilde{u}_1 and \tilde{u}_2 leave the left-moving spinors invariant and transform the right-moving ones,

$$\begin{cases} k_L = \Pi_1 \Pi_2 \exp \left[\frac{\phi_1}{2} \gamma_{01} + \frac{\phi_2}{2} \gamma_{23} + \frac{\phi_3}{2} \gamma_{45} \right] \eta_L , \\ k_R = \Gamma_{u_1} \Gamma_{u_2} \Pi_1 \Pi_2 \exp \left[\frac{\phi_1}{2} \gamma_{01} + \frac{\phi_2}{2} \gamma_{23} + \frac{\phi_3}{2} \gamma_{45} \right] \eta_R , \end{cases} \quad (\text{A.6})$$

where Γ_{u_i} are the gamma matrices in the direction u_i .

- Introducing the angle variable $\psi = \phi_1 + \phi_2 + \phi_3$ transforms the exponential into

$$\exp \left[\frac{\phi_1}{2} \gamma_{01} + \frac{\phi_2}{2} \gamma_{23} + \frac{\phi_3}{2} \gamma_{45} \right] = \exp \left[\frac{\phi_1}{2} (\gamma_{01} - \gamma_{45}) + \frac{\phi_2}{2} (\gamma_{23} - \gamma_{45}) + \frac{\psi}{2} \gamma_{45} \right], \quad (\text{A.7})$$

the dependence of ϕ_1 and ϕ_2 is projected out by $\Pi_{1,2}$ and the Killing spinors read

$$\begin{cases} k_L = \Pi_1 \Pi_2 \exp \left[\frac{\psi}{2} \gamma_{45} \right] \eta_L , \\ k_R = \Pi_1 \Pi_2 \Gamma_{u_1} \Gamma_{u_2} \exp \left[\frac{\psi}{2} \gamma_{45} \right] \eta_R . \end{cases} \quad (\text{A.8})$$

The fact that the spinors do not depend on ϕ_1 or ϕ_2 is the reason why the following changes of frame do not break local supersymmetries.

- The lift to M-theory is obtained by multiplying the spinors by a conformal factor that depends on the type IIA dilaton,

$$k_M = e^{-\Phi/6} k_{IIA}, \quad (\text{A.9})$$

where the dilaton is the one in the fluxtrap of equation (2.9):

$$e^{-\Phi/6} = \left[(1 + \epsilon_1^2 \rho_1^2) (1 + \epsilon_2^2 \rho_2^2) + \rho_3^2 (\epsilon_1^2 \Delta_2^2 + \epsilon_2^2 \Delta_1^2) \right]^{1/12}. \quad (\text{A.10})$$

- The reduction to type IIA is obtained in the same way, this time using the dilaton in the reciprocal frame of equation (2.26). The overall result is that

$$\begin{cases} k_L = H(\rho_1, \rho_2) \Pi_1 \Pi_2 \exp \left[\frac{\psi}{2} \Gamma_{45} \right] \eta_L , \\ k_R = H(\rho_1, \rho_2) \Gamma_{u_1} \Gamma_{u_2} \Pi_1 \Pi_2 \exp \left[\frac{\psi}{2} \Gamma_{45} \right] \eta_R , \end{cases} \quad (\text{A.11})$$

where

$$H(\rho_1, \rho_2) = \left[\rho_1^2 (1 + \epsilon_2^2 \rho_2^2) + \rho_3^2 (1 + \epsilon_2^2 (\rho_1^2 + \rho_2^2)) \right]^{1/8}. \quad (\text{A.12})$$

- The final T-duality to type IIB changes the right-moving spinor and leads us to the final expression for the eight Killing spinors preserved in the reciprocal frame:

$$\begin{cases} k_L = H(\rho_1, \rho_2) \Pi_1 \Pi_2 \exp \left[\frac{\psi}{2} \Gamma_{45} \right] \eta_L , \\ k_R = H(\rho_1, \rho_2) \Gamma_\phi \Gamma_{u_1} \Gamma_{u_2} \Pi_1 \Pi_2 \exp \left[\frac{\psi}{2} \Gamma_{45} \right] \eta_R , \end{cases} \quad (\text{A.13})$$

where Γ_ϕ is the gamma matrix in the ϕ_2 direction.

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