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Black hole spectroscopy and AdS₂ holography

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ABSTRACT: We compute the spectrum of extremal nonBPS black holes in four dimensions by studying supergravity on their $AdS_2 \times S^2$ near horizon geometry. We find that the spectrum exhibits significant simplifications even though supersymmetry is completely broken. We interpret our results in the framework of $nAdS_2/nCFT_1$ correspondence and by comparing with dimensional reduction from AdS_3/CFT_2 duality. As an additional test we compute quantum corrections to extremal black hole entropy on the nonBPS branch and recover results previously determined using very different methods.

KEYWORDS: AdS-CFT Correspondence, Black Holes in String Theory, Extended Supersymmetry

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Contents

1	Intr	roduction and summary	2
2	Bla	ck holes and their fluctuations	5
	2.1	The $AdS_2 \times S^2$ backgrounds in $\mathcal{N} = 8$ supergravity	5
	2.2	Adaptation to $\mathcal{N} = 4$ supergravity	7
	2.3	Structure of fluctuations	7
3	Mas	ss spectrum	8
	3.1	Partial wave expansion on S^2 and dualization of AdS_2 vectors	9
	3.2	Bulk modes of the scalar block	10
	3.3	Bulk modes of the vector block	10
	3.4	Bulk modes of the KK block	12
	3.5	Bulk modes of the gaugino block	17
	3.6	Bulk modes of gravitino block	18
	3.7	Boundary modes	22
	3.8	Summary of the mass spectrum	24
4	Hea	t kernels	25
	4.1	Heat kernel preliminaries	26
	4.2	Bulk modes	27
	4.3	Boundary modes	29
	4.4	Zero mode corrections	31
	4.5	Summary of anomaly coefficients	31
5	Cor	npactifications with an AdS_3 factor	31
	5.1	String theory on $AdS_3 \times S^2 \times \mathcal{M}$	32
	5.2	nNull reduction: thermodynamics	33
	5.3	nNull reduction: kinematics	34
	5.4	Explicit comparison between $AdS_3 \times S^2$ and $AdS_2 \times S^2$	35
4	Glo	bal supersymmetry	38
	6.1	Global supercharges: the BPS branch of $\mathcal{N}=8$ theory	39
	6.2	Global supercharges: the nonBPS branch of $\mathcal{N} = 8$ theory	39
	6.3	Global supercharges in AdS_3	41
	6.4	Global supersymmetry in the $\mathcal{N} = 4$ theory: the nonBPS branch	41

1 Introduction and summary

An important step towards a detailed understanding of quantum black holes is the determination of their spectrum [1]. However, with the exception of BPS black holes, it has generally proven quite difficult to compute the black hole spectrum precisely. In this paper we find the spectrum of extremal nonrotating black holes on the nonBPS branch of $\mathcal{N} = 8$ and $\mathcal{N} = 4$ supergravity.

The black holes we consider are solutions to theories with extended supersymmetry and have $\operatorname{AdS}_2 \times S^2$ near horizon geometry, just like BPS black holes; but they are supported by fluxes that are inconsistent with supersymmetry. In this situation it is not expected that the spectrum is organized by supersymmetry and our explicit computations confirm this generic expectation. However, we find that nonetheless the *black hole spectrum exhibits significant* simplifications that are reminiscent of the familiar ones that are due to supersymmetry. This finding does not conform with textbook BPS-ology but we will explain how it fits nicely with other expectations.

The spectrum of the black holes we consider is described by the quantum numbers of the $SL(2) \times SU(2)$ isometries of $AdS_2 \times S^2$, i.e. the conformal weight h and the partial wave number j. The conformal weight is equivalent to the mass m of the perturbations in units of the AdS₂ radius ℓ through

$$h = \frac{1}{2} + \sqrt{\frac{1}{4} + m^2 \ell^2}, \qquad (1.1)$$

for scalar fields. For BPS black holes the supersymmetry algebra guarantees that the supergravity mass spectrum corresponds to conformal weights h that are integers for bosons and half-integers for fermions. For nonBPS black holes the masses of fluctuations in supergravity are not constrained a priori but our explicit computations establish that, in fact, the values of m^2 for scalar fields are all such that the conformal weights (1.1) are integers. This is part of our claim that the spectrum is reminiscent of supersymmetry. In particular, the result suggests that the supergravity spectrum on the nonBPS branch is protected against quantum corrections and, if so, it should offer detailed guidance towards construction of the UV complete string theory describing extreme nonBPS black holes, despite the absence of supersymmetry.

The technical aspects of our explicit computations follow the strategy that is very well known from similar problems addressed in the past, such as spherical reduction of type IIB supergravity in ten dimensions on $AdS_5 \times S^5$ [2, 3]. Accordingly, we first find the equations of motion of 4D supergravity and then linearize them around our $AdS_2 \times S^2$ background solution. We then expand all fluctuating fields in their partial wave components and impose gauge conditions. It is no surprise that the 2D equations that result from these steps are messy, but fortunately they are sufficiently block diagonal that they can be disentangled and solved, despite the absence of supersymmetry. The final mass matrices therefore straightforwardly give eigenvalues for the masses of each partial wave that we can insert in (1.1) and so identify the conformal weights in AdS₂.

The only subtlety that is special to two dimensions is the spin of the fields [4–6]. In AdS_2 we can generally represent vectors and tensors as scalar fields and similarly recast

gravitinos as Majorana-Weyl fermions. However, the dualization of fields with with spin require special considerations for *harmonic modes* because those are generated by gauge symmetries that are "large" in the sense that they are non-normalizable on AdS_2 . Therefore, such transformations are not true symmetries, they generate field configurations that are physical and interpreted as excitations that are localized on the boundary. They can be identified with the modes that are described by a Schwarzian action (and its generalizations) in the Jackiw-Teitelboim model (and its relatives) [7–12]. We refer to these modes as *boundary modes* following the terminology previously used in the context of logarithmic corrections to black hole entropy in four dimensions. Thus the spectrum of extremal black holes on the nonBPS branch is characterized by

- *Bulk modes* that, from the AdS₂ point of view, are organized in infinite towers of Kaluza-Klein modes (partial waves).
- Boundary modes that, from the AdS_2 point of view, are field configurations that are physical even though they can be represented as "pure gauge" locally. These modes are closely related to harmonic modes.

Our result for the quantum numbers of supergravity on the nonBPS branch of $AdS_2 \times S^2$ are reported in table 2. As a test of this spectrum we have computed the quantum contributions due to these modes by explicitly summing over all physical states. We find agreement with logarithmic corrections to the black hole entropy previously found using local methods [13]. This gives great confidence in the black hole spectrum we find.

We have already mentioned that on the nonBPS branch all fields in AdS_2 have integral conformal weight h and table 2 shows that we mean this quite literally: the *conformal weight is integral even for fermions*. This assignment is unusual but not inconsistent because the familiar relation between spin and statistics does not apply in two dimensions, at least in its standard form. Indeed, we will confirm our finding that fermions have integral weight on the nonBPS branch by recovering this assignment in settings where the AdS_2 geometry descends from an AdS_3 factor.

The standard simplification due to supersymmetry is that, when certain conditions are satisfied, the spectrum is organized into short multiplets that enjoy some protection against quantum corrections. However, there is also a less frequently exploited simplification that is due to broken supersymmetry. On the BPS branch both simplifications are relevant but on the nonBPS branch it is only the latter one that applies. It can be interpreted as a global supersymmetry that is implemented directly on the black hole spectrum. We discuss this symmetry in detail in section 6.

Before getting to details of our computations we must carefully consider the *meaning* of the spectrum of quadratic fluctuations around $AdS_2 \times S^2$. Indeed, several well-known results prompt the question of whether such a spectrum makes any sense at all. For example,¹

¹There are closely related results for the near horizon Kerr geometry and our discussion below should apply to that case as well [14, 15].

- Finite energy excitations in AdS₂ are incompatible with asymptotically AdS₂ boundary conditions: they elicit strong gravitational backreaction that modifies the asymptotic structure of spacetime [16]. Therefore, quadratic fluctuations are not intrinsic to AdS₂.
- In constructions where AdS₂ arises from AdS₃ through reduction along a null direction it was argued that the excitations with the lowest energy depend on the compact null coordinate but not on the AdS₂ that is retained by the compactification [17]. Therefore, the perturbations varying over AdS₂ that we consider do not dominate in the infrared limit.

In view of such results it is, for example, not obvious that the AdS_2 conformal weight h is a useful quantum number in AdS_2 quantum gravity. However, the recent development of $nAdS_2/nCFT_1$ correspondence [18] addresses these obstacles:

• The strict AdS_2 theory is interpreted as an inert IR fixed point of a dual CFT_1 .

An interesting holographic theory is obtained only by perturbing away from the fixed point by irrelevant operators. These operators dominate the far UV, corresponding to the asymptotic AdS_2 boundary breaking down. However, their description of the approach to the IR is controlled.

The spectrum we compute classifies the irrelevant operators in the IR fixed point theory that may serve as appropriate deformations. When these operators are added to the Lagrangian they deform the theory such that conformal symmetry is broken and new length scales are introduced. The most important scales appearing in this manner are associated with h = 2 operators and were discussed in [19].

• In constructions where AdS_2 arises from AdS_3 through a null reduction the dependence on the null direction indeed dominates in the strict infrared limit. However, the irrelevant operators controlling the *near* infrared regime are transverse to the direction of dimensional reduction and such excitations depend on position in the AdS_2 geometry. We identify our spectrum with such operators.

In short, the spectrum given in table 2 does not describe the ground state of AdS_2 quantum gravity but rather the low lying excitations above the ground state. In terms of a CFT₂, the ground state has huge degeneracy and is referred to as left moving in our conventions. The $nAdS_2$ theory with the spectrum we compute characterizes the leading excitations which, for kinematic reasons, are entirely right moving and only weakly coupled to the left moving ground state. The discussion in section 5 elaborates on this interpretation and related conceptual challenges.

The simplifications we observe by explicit computations are, as mentioned, reminiscent of those that are due to supersymmetry. In section 6 we develop this point of view and identify fermionic operators that generate the black hole spectra. It would be interesting to recover the same generators from *ab initio* considerations. Progress in this direction could yield clues to the microscopic description of these black holes. This paper is organized as follows. In section 2 we describe the extremal non-BPS black hole backgrounds we consider as solutions to $\mathcal{N} = 8$ (or $\mathcal{N} = 4$) supergravity in D = 4. They all have $AdS_2 \times S^2$ near horizon geometry and in these contexts they respect USp(8) (or USp(4) × SO($n_V - 1$)) global symmetry. This symmetry structure partially diagonalizes the quadratic fluctuations around the backgrounds by organizing them into manageable blocks that are decoupled from one another. In section 3 we compute the mass spectrum of these blocks and obtain the conformal weights h of the corresponding fields. In section 4 we compute the logarithmic correction to the black hole entropy due the one loop contributions of all these states and find agreement with the results recently found using very different methods [13]. In section 5, we study the dimensional reduction from $AdS_3 \times S^2$ to $AdS_2 \times S^2$ and show how, depending on a choice of chirality, we reproduce either the nonBPS spectrum or the BPS spectrum on $AdS_2 \times S^2$. This not only yields yet another consistency check on our computations but, as we discuss, it also enlightens the relation between the $nAdS_2/nCFT_1$ correspondence and black holes in string theory. We finish in section 6 with a discussion of broken supersymmetry.

2 Black holes and their fluctuations

In this section we introduce the nonBPS black holes in $\mathcal{N} = 8$ and $\mathcal{N} = 4$ supergravity. We exploit symmetries to establish the partial decoupling of quadratic fluctuations around these backgrounds into blocks.

2.1 The $AdS_2 \times S^2$ backgrounds in $\mathcal{N} = 8$ supergravity

 $\mathcal{N} = 8$ supergravity in D = 4 spacetime dimensions consists of one graviton, 8 gravitini $\Psi_{\hat{\mu}A}$, 28 U(1) vector fields $A^{AB}_{\hat{\mu}}$, 56 Majorana spinors Λ_{ABC} , and 70 scalars W_{ABCD} . The hatted greek indices $\hat{\mu}, \hat{\nu} = 0, 1, 2, 3$ denote 4D Lorentz indices and capital latin letters $A = 1, \ldots, 8$ refer to the global SU(8)_R symmetry of $\mathcal{N} = 8$ SUGRA. The SU(8)_R indices are fully antisymmetrized so the graviton, gravitini, vectors, gaugini, and scalars transform in representations **1**, **8**, **28**, **56** and **70** of the SU(8)_R group.

The black hole backgrounds we consider all have an $AdS_2 \times S^2$ near horizon geometry,

$$R_{\mu\nu\lambda\rho} = -\frac{1}{\ell^2} (g_{\mu\lambda}g_{\nu\rho} - g_{\mu\rho}g_{\nu\lambda}), \qquad (2.1)$$

$$R_{\alpha\beta\gamma\delta} = +\frac{1}{\ell^2} (g_{\alpha\gamma}g_{\beta\delta} - g_{\alpha\delta}g_{\beta\gamma}), \qquad (2.2)$$

where unhatted indices $\mu, \nu = 0, 1$ and $\alpha, \beta = 2, 3$ refer to AdS₂ and S², respectively. ℓ is the radius of curvature of both 2D spaces.

The scalar fields are all constant on $\operatorname{AdS}_2 \times S^2$ and the fermions vanish. Thus the only matter supporting the geometry is the 28 field strengths $G_{\hat{\mu}\hat{\nu}}^{AB} = 2\partial_{[\hat{\mu}}A_{\hat{\nu}]}^{AB}$. The 28 electric charges (field components on AdS_2) and 28 magnetic charges (field components on S^2) characterizing the field strengths can famously be organized into a fundamental representation **56** of $E_{7(7)}$ duality symmetry [20]. However, it is convenient to focus on the $\operatorname{SU}(8)_R$ symmetry that is the maximal compact subgroup of $E_{7(7)}$ and express the charges by the complex antisymmetric central charge matrix Z_{AB} . After block diagonalization by an SU(8)_R transformation we can present it as

$$Z_{AB} = \operatorname{diag}(\lambda_1 \epsilon, \lambda_2 \epsilon, \lambda_3 \epsilon, \lambda_4 \epsilon), \quad \epsilon = \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix} .$$
(2.3)

The canonical example of a charge configuration that corresponds to a BPS solution is $\lambda_1 = \ell^{-1}$ and $\lambda_2 = \lambda_3 = \lambda_4 = 0$. These skew-eigenvalues preserve a $SU(2)_R \times SU(6)$ subgroup of $SU(8)_R$. The symmetry breaking pattern $SU(8)_R \to SU(2)_R \times SU(6)$ constitutes a more general characterization of the charges corresponding to BPS black holes with finite area.

A charge configuration that corresponds to the nonBPS black holes we focus on is [21, 22]

$$\lambda_1 = \lambda_2 = \lambda_3 = \lambda_4 = \frac{e^{i\frac{\pi}{4}}}{2\ell} . \tag{2.4}$$

It realizes the symmetry breaking pattern $SU(8)_R \rightarrow USp(8)$ that is characteristic of the nonBPS branch. To see this, note that the central charge matrix (2.3) with the skew-eigenvalues (2.4) is proportional to the symplectic matrix

$$\Omega_8 = \operatorname{diag}(\epsilon, \epsilon, \epsilon, \epsilon) . \tag{2.5}$$

The antisymmetric tensor representation of USp(8) is inherited from that of SU(8) by imposing tracelessness upon contraction with Ω_8 so the symmetry breaking SU(8)_R \rightarrow USp(8) is manifest.

The phase appearing in (2.4) ensures that the central charge matrix Z_{AB} has determinant +1, as it must to be an element of SU(8)_R. Physically, the phase shows that the nonBPS branch has equal electric and magnetic charges, in contrast to the BPS solutions that can be chosen to have only electric charge. The factor $\frac{1}{2}$ on the right hand side of (2.4) is such that the quadratic invariant $Z_{AB}Z^{AB}$ has the same magnitude for BPS and nonBPS black holes. This means the energy momentum tensor will be the same on the two branches which show that they share the same geometry.

In contrast, fermions enjoy Pauli couplings that depend linearly on the field strengths so supersymmetry acts differently on the two branches. Supersymmetry is preserved when the fermion transformations

$$\delta\lambda^{ABC} = -\frac{3}{\sqrt{2}}\hat{G}^{[AB}\epsilon^{C]}, \qquad (2.6)$$

$$\delta\psi_{\hat{\mu}}^{A} = \left(\delta^{AB}D_{\hat{\mu}} + \frac{1}{2}\hat{G}^{AB}\Gamma_{\hat{\mu}}\right)\epsilon_{B}, \qquad (2.7)$$

vanish, where the field strengths $\hat{G}^{AB} \equiv \frac{1}{2}\Gamma^{\hat{\mu}\hat{\nu}}G^{AB}_{\hat{\mu}\hat{\nu}}$. We can assume without loss of generality that \hat{G}^{AB} are block diagonal in the (AB) indices, as for the central charge in (2.3). Thus the 4 sectors (12), (34), (56), (78) do not couple to each other. On the BPS branch only \hat{G}^{12} is nonvanishing. In this case there are no solutions for ϵ_B in the (34), (56), (78) sectors but, in the (12) sector, there is a solution with nontrivial $\epsilon_{1,2}$ and so the BPS solutions preserve $\mathcal{N} = 2$ supersymmetry. On the nonBPS branch the (12), (34), (56), (78) sectors give equivalent conditions but, because of the factor $\frac{1}{2}$ in (2.4) that was discussed in the preceding paragraph, there is a mismatch between the magnitude of the field strength and the AdS₂ with scale ℓ . Therefore, there are no solutions for ϵ_B on the nonBPS branch.

2.2 Adaptation to $\mathcal{N} = 4$ supergravity

We also want to discuss the spectrum of nonBPS black holes in $\mathcal{N} = 4$ supergravity. It will ultimately follow automatically from the results in $\mathcal{N} = 8$ supergravity, after a few modest reinterpretations.

In order to show this we first truncate $\mathcal{N} = 8$ supergravity to $\mathcal{N} = 4$ supergravity. This truncation breaks the global symmetry $\mathrm{SU}(8)_R \to \mathrm{SU}(4)_R \times \mathrm{SU}(4)_{\mathrm{matter}}$. The branching rules of this symmetry breaking are

$$\begin{aligned} \mathbf{70} &\rightarrow 2(\mathbf{1},\mathbf{1}) \oplus (\mathbf{6},\mathbf{6}) \oplus (\mathbf{4},\bar{\mathbf{4}}) \oplus (\bar{\mathbf{4}},\mathbf{4}) \,, \\ \mathbf{56} &\rightarrow (\bar{\mathbf{4}},\mathbf{1}) \oplus (\mathbf{6},\mathbf{4}) \oplus (\mathbf{4},\mathbf{6}) \oplus (\mathbf{1},\bar{\mathbf{4}}) \,, \\ \mathbf{28} &\rightarrow (\mathbf{1},\mathbf{6}) \oplus (\mathbf{6},\mathbf{1}) \oplus (\mathbf{4},\mathbf{4}) \,, \\ \mathbf{8} &\rightarrow (\mathbf{1},\mathbf{4}) \oplus (\mathbf{4},\mathbf{1}) \,, \\ \mathbf{1} &\rightarrow (\mathbf{1},\mathbf{1}) \,. \end{aligned}$$
(2.8)

It is a consistent truncation that preserves $\mathcal{N} = 4$ supersymmetry to omit all fields in the **4** (or $\overline{\mathbf{4}}$) of SU(4)_{matter}. The truncated theory obtained this way comprises an $\mathcal{N} = 4$ supergravity multiplet (in the **1** of SU(4)_{matter}) and $n_V = 6$ matter multiplets (in the **6** of SU(4)_{matter}).

The matter supporting $\operatorname{AdS}_2 \times S^2$ solutions in $\mathcal{N} = 8$ supergravity is encoded in the spacetime central charges (2.3). The nontrivial fields can be chosen without loss of generality as the four skew-diagonal ones and these are all retained in the truncation of $\operatorname{SU}(8)_R$ to its $\operatorname{SU}(4)_R \times \operatorname{SU}(4)_{\text{matter}}$ subgroup. Therefore these background configurations are also solutions to the truncated theory with $\mathcal{N} = 4$ supersymmetry. We focus on the nonBPS branch with skew-eigenvalues (2.4) and the symmetry breaking pattern $\operatorname{SU}(8)_R \to$ $\operatorname{USp}(8)$ in $\mathcal{N} = 8$ SUGRA. This case descends to a nonBPS branch of $\mathcal{N} = 4$ SUGRA with the symmetry breaking pattern $\operatorname{SU}(4)_R \times \operatorname{SU}(4)_{\text{matter}} \to \operatorname{USp}(4) \times \operatorname{USp}(4)_{\text{matter}}$.

There is a simple generalization of this result to $\mathcal{N} = 4$ SUGRA with a general number $n_V \geq 1$ of matter multiplets [13]. Since SU(4) = SO(6) and USp(4) = SO(5) as Lie algebras, the symmetry breaking pattern of the nonBPS branch found in the preceding paragraph for $n_V = 6$ matter multiplets is equivalent to $SO(n_V)_{matter} \rightarrow SO(n_V - 1)_{matter}$. This is the pattern that characterizes the nonBPS solutions of theories with any $n_V \geq 1$.

2.3 Structure of fluctuations

As we have stressed, our background solution breaks the global $SU(8)_R$ of $\mathcal{N} = 8$ SUGRA theory to a USp(8) subgroup. This greatly simplifies the analysis of fluctuations around the background because it shows that different USp(8) representations cannot couple at quadratic order. We can therefore organize the spectrum as representations of USp(8).

Multiplet	Block content	d.o.f.	$\mathcal{N} = 8$		$\mathcal{N} = 4$ with n_V matter multiplets	
Multiplet			USp(8)	#	$USp(4) \times SO(n_V - 1)_{matter}$	#
KK block	1 graviton, 1 vector, 1 scalar	5	1	1	(1,1)	1
Gravitino block	2 gravitini and 2 gaugini	8	8	4	(4,1)	2
Vector block	1 vector and 1 (pseudo)scalar	3	27	27	$({f 5},{f 1})\oplus ({f 1},n_V-1)\oplus ({f 1},{f 1})$	$n_V + 5$
Gaugino block	2 gaugini	4	48	24	$({f 4},\!n_V-1)\oplus ({f 4},\!{f 1})$	$2n_V$
Scalar block	1 real scalar	1	42	42	$({f 5},\!n_V-1)\oplus({f 1},\!{f 1})$	$5n_V - 4$

Table 1. Decoupled quadratic fluctuations around the KK black hole in $\mathcal{N} = 8$ and $\mathcal{N} = 4$ supergravity. The columns # denote the multiplicity of the blocks.

The branchings of $SU(8)_R \to USp(8)$ for the matter representations in $\mathcal{N} = 8$ SUGRA can be realized explicitly by removing contractions with the symplectic invariant (2.5) from $SU(8)_R$ representations. This gives

$$\begin{array}{l} \mathbf{70} \rightarrow \mathbf{42} \oplus \mathbf{27} \oplus \mathbf{1} \,, \\ \mathbf{56} \rightarrow \mathbf{48} \oplus \mathbf{8} \,, \\ \mathbf{28} \rightarrow \mathbf{27} \oplus \mathbf{1} \,, \\ \mathbf{8} \rightarrow \mathbf{8} \,, \\ \mathbf{1} \rightarrow \mathbf{1} \,. \end{array} \tag{2.9}$$

Collecting all singlets we find that on the nonBPS branch gravity can mix with one linear combination of the vector fields and similarly with one scalar. This is the field content of minimal Kaluza-Klein gravity in 4D, obtained by dimensional reduction of Einstein gravity in 5D. Truncation of $\mathcal{N} = 8$ SUGRA to this sector is consistent and identifies the black holes on the nonBPS branch with the black holes in Kaluza-Klein theory [23, 24]. Moreover, the quadratic fluctuations of these fields is identical whether we consider the nonBPS branch of $\mathcal{N} = 8$ SUGRA or minimal Kaluza-Klein theory. We therefore refer to the singlet sector as the "Kaluza-Klein block".

The other USp(8) representations similarly present "blocks" that do not mix with each other. We summarize these decoupled sectors in table 1. The partial diagonalization of quadratic fluctuations into blocks was previously established away from extremality [13].

The spectrum of the KK black hole in $\mathcal{N} = 4$ SUGRA can be computed directly, or by truncating the fluctuations analyzed for $\mathcal{N} = 8$ SUGRA. The blocks of decoupled quadratic fluctuations are unchanged, it is only their degeneracy that is modified. Table 1 lists the multiplicity of block in $\mathcal{N} = 4$ SUGRA with $n_V \ge 1$ matter multiplets and their representations under the global USp $(4) \times SO(n_V - 1)_{\text{matter}}$ symmetry.

3 Mass spectrum

In this section we compute the mass spectrum of fields on $AdS_2 \times S^2$. Global symmetries partially decouple the fluctuations so we can consider one block at a time, as discussed in section 2 and summarized in table 1. For each block we start from the linearized equations of motion in 4D and expand the perturbations in spherical harmonics on S^2 , before diagonalizing the resulting 2D equations of motion explicitly. Bulk modes are analyzed in section 3.2 through 3.6 and boundary modes are considered in section 3.7. From now on, we set the AdS₂ radius ℓ to 1 for simplicity.

This section is long and relatively technical. Readers who are not interested in the detailed computations can jump directly to section 3.8 where the results are summarized.

3.1 Partial wave expansion on S^2 and dualization of AdS_2 vectors

The standard basis elements for the partial wave expansion of a scalar field on S^2 are the spherical harmonics $Y_{(lm)}$, i.e. the eigenfunctions of the 2D Laplacian ∇_S^2 on S^2 with eigenvalues -l(l+1). The analogous spherical harmonics for vector (or tensor) fields on S^2 are easily formed by taking one (or two) derivatives of $Y_{(lm)}$ along the S^2 . Thus we can expand a 4D scalar w, a 4D vector $a_{\hat{\mu}}$, and 4D gravity $h_{\hat{\mu}\hat{\nu}}$ as

$$w = \sum_{lm} \varphi^{(lm)} Y_{(lm)} , \qquad (3.1)$$

$$a_{\mu} = \sum_{lm} b_{\mu}^{(lm)} Y_{(lm)} \,, \tag{3.2}$$

$$a_{\alpha} = \sum_{lm} \left(b_1^{(lm)} \nabla_{\alpha} Y_{(lm)} + b_2^{(lm)} \epsilon_{\alpha\beta} \nabla^{\beta} Y_{(lm)} \right) , \qquad (3.3)$$

$$h_{\mu\nu} = \sum_{lm} H^{(lm)}_{\mu\nu} Y_{(lm)} , \qquad (3.4)$$

$$h_{\mu\alpha} = \sum_{lm} \left(B_{1\mu}^{(lm)} \nabla_{\alpha} Y_{(lm)} + B_{2\mu}^{(lm)} \epsilon_{\alpha\beta} \nabla^{\beta} Y_{(lm)} \right) , \qquad (3.5)$$

$$h_{\alpha\beta} = \sum_{lm} \left(\phi_1^{(lm)} \nabla_{\{\alpha} \nabla_{\beta\}} Y_{(lm)} + \phi_2^{(lm)} \epsilon_{\{\alpha}{}^{\gamma} \nabla_{\beta\}} \nabla_{\gamma} Y_{(lm)} + \phi_3^{(lm)} g_{\alpha\beta} Y_{(lm)} \right) .$$
(3.6)

Curly brackets indicate traceless symmetrization of indices such as $\nabla_{\{\alpha}\nabla_{\beta\}} = \frac{1}{2}(\nabla_{\alpha}\nabla_{\beta} + \nabla_{\beta}\nabla_{\alpha} - g_{\alpha\beta}\nabla^2)$. The coefficient functions $H^{(lm)}_{\mu\nu}, B^{(lm)}_{1\mu}, \ldots$ are fields on the AdS₂ base with AdS₂ tensor structure given by the indices μ, ν, \ldots and degeneracy enumerated by the angular momentum quantum numbers (lm).

Fermion fields can similarly be expanded on a basis of spinor spherical harmonics $\eta_{(\sigma lm)}$ satisfying $\gamma^{\alpha}D_{\alpha}\eta_{(\sigma lm)} = i(l+1)\eta_{(\sigma lm)}$ where γ^{α} denotes gamma matrices on S^2 . We will use γ^{μ} for gamma matrices on AdS₂ and $\Gamma^{\hat{\mu}}$ for 4D gamma matrices. The partial wave expansion of a gaugino Λ and a gravitino $\Psi_{\hat{\mu}}$ are

$$\Lambda = \lambda_{+}^{(\sigma lm)} \otimes \eta_{(\sigma lm)} + \lambda_{-}^{(\sigma lm)} \otimes \gamma_{S} \eta_{(\sigma lm)} , \qquad (3.7)$$

$$\Psi_{\mu} = \psi_{\mu+}^{(\sigma lm)} \otimes \eta_{(\sigma lm)} + \psi_{\mu-}^{(\sigma lm)} \otimes \gamma_S \eta_{(\sigma lm)} , \qquad (3.8)$$

$$\Psi_{\alpha} = \psi_{+}^{(\sigma lm)} \otimes D_{(\alpha)} \eta_{(\sigma lm)} + \psi_{-}^{(\sigma lm)} \otimes D_{(\alpha)} \gamma_{S} \eta_{(\sigma lm)} + \chi_{+}^{(\sigma lm)} \otimes \gamma_{\alpha} \eta_{(\sigma lm)} + \chi_{-}^{(\sigma lm)} \otimes \gamma_{\alpha} \gamma_{S} \eta_{(\sigma lm)} , \qquad (3.9)$$

where the summation symbol is suppressed for brevity. The chirality operator γ_S is the S^2 analogue of Γ_5 in 4D and the symbol $D_{(\alpha)} = D_{\alpha} - \frac{1}{2}\gamma_{\alpha}\gamma^{\beta}D_{\beta}$. The indices \pm on the fields

on AdS₂ thus refer to chirality and the four terms in (3.7) correspond to projection on to the four helicities, $\pm \frac{3}{2}, \pm \frac{1}{2}$. There is a detailed discussion of spinors on S^2 in [25].

It will be sufficient to discuss bulk modes on-shell. Therefore, we can impose gauge conditions from the outset. The Lorentz-deDonder (LdD) gauge

$$\nabla^{\alpha}h_{\alpha\mu} = \nabla^{\alpha}h_{\{\alpha\beta\}} = 0, \qquad \nabla^{\alpha}a_{\alpha} = 0, \qquad \gamma^{\alpha}\Psi_{\alpha} = 0, \qquad (3.10)$$

amounts to the conditions on AdS_2 fields

$$\phi_1^{(lm)} = \phi_2^{(lm)} = 0, \quad B_{1\mu}^{(lm)} = 0,$$
(3.11)

$$b_1^{(lm)} = 0, (3.12)$$

$$\chi_{+}^{(\sigma lm)} = \chi_{-}^{(\sigma lm)} = 0 .$$
(3.13)

This simplifies the expansions (3.3), (3.5), (3.6), (3.9). Importantly, the LdD gauge (3.10) is complete only for partial waves with $l \ge 2$. For l = 0, 1 some of the LdD gauge conditions are vacuous so additional gauge fixing is needed. We will discuss this on a case by case basis.

A vector field in AdS_2 can be dualized to two scalars as

$$b^{(lm)}_{\mu} = \epsilon_{\mu\nu} \nabla^{\nu} a^{(lm)}_{\perp} + \nabla_{\mu} a^{(lm)}_{\parallel} . \qquad (3.14)$$

This decomposition into transverse and longitudinal modes is unique when there are no normalizable harmonic scalars, as in Euclidean AdS_2 . In Lorentzian signature there are nontrivial harmonic modes but they are not physical as they can be presented in longitudinal form where they manifestly decouple from physical processes. The determination of boundary modes in section 3.7 will further refine these statements by considering nonnormalizable harmonic modes.

3.2 Bulk modes of the scalar block

The scalar block consists of just one 4D scalar that is minimally coupled. Upon expansion in partial waves following (3.1), the 4D Klein-Gordon equation becomes

$$\left(\nabla_A^2 - l(l+1)\right)\varphi^{(lm)} = 0, \quad l \ge 0.$$
 (3.15)

The effective 2D mass is therefore $m^2 = l(l+1) = j(j+1)$ after identification of the orbital angular momentum l with the total angular momentum j, as usual for scalar fields. Therefore (1.1) gives the conformal weight

$$h = j + 1$$
 . (3.16)

This result applies for all integral $j \ge 0$.

3.3 Bulk modes of the vector block

The 4D vector block couples a scalar field x and a gauge field through the Lagrangian [13]

$$e^{-1}\mathcal{L}_{\text{vector}} = -\frac{1}{2}\nabla_{\hat{\mu}}x\nabla^{\hat{\mu}}x - \frac{1}{4}f_{\hat{\mu}\hat{\nu}}f^{\hat{\mu}\hat{\nu}} + xf_{\hat{\mu}\hat{\nu}}G^{\hat{\mu}\hat{\nu}}, \qquad (3.17)$$

where the background gauge field $G^{\hat{\mu}\hat{\nu}}$ has AdS₂ and S² components $G^{\mu\nu} = \frac{1}{\sqrt{2}}\epsilon^{\mu\nu}$ and $G^{\alpha\beta} = \frac{1}{\sqrt{2}}\epsilon^{\alpha\beta}$. The resulting 4D equations of motion for the scalar and the vector are

$$\nabla^2 x + f_{\hat{\mu}\hat{\nu}}G^{\hat{\mu}\hat{\nu}} = 0, \qquad (3.18)$$

$$\nabla^{\hat{\mu}} \left(f_{\hat{\mu}\hat{\nu}} - 2xG^{\hat{\mu}\hat{\nu}} \right) = 0 . \qquad (3.19)$$

Applying partial wave expansions of the form (3.2), (3.3), the gauge condition (3.12), and dualization (3.14) we find

$$\left[\left(\nabla_A^2 - l(l+1) \right) x + \sqrt{2}l(l+1)b_2 + \sqrt{2}\nabla_A^2 a_\perp \right] Y = 0, \quad (3.20)$$

$$\epsilon_{\nu\mu}\nabla^{\mu}\left[\left(\nabla_{A}^{2}-l(l+1)\right)a_{\perp}+\sqrt{2}x\right]Y+\nabla_{\nu}\left[\left(\nabla_{A}^{2}-l(l+1)\right)a_{\parallel}\right]Y=0,\qquad(3.21)$$

$$\left[-\nabla_A^2 a_{\parallel}\right] \nabla_{\alpha} Y + \left[\left(\nabla_A^2 - l(l+1)\right) b_2 + \sqrt{2}x\right] \epsilon_{\alpha\beta} \nabla^{\beta} Y = 0. \quad (3.22)$$

The partial wave numbers (lm) on the 2D fields $x, b_2, a_{\perp}, a_{\parallel}$ and on the spherical harmonics Y are suppressed for brevity. Since $Y_{(00)}$ is a constant on S^2 (3.22) has no content for l = 0. For the same reason, the expansion (3.3) in vector harmonics on S^2 leaves the component $b_2^{(00)}$ undefined. Importantly, the combination $l(l+1)b_2^{(00)}$ unambiguously vanishes for l = 0, so (3.20) is meaningful for all $l \ge 0$.

The 4D equations of motion (3.20), (3.21), (3.22) are equivalent to the vanishing of each expression in square bracket by itself, due to orthogonality of the spherical harmonics. For (3.21) we also appeal to uniqueness of dualization in order to remove the gradients on AdS₂. In the following we diagonalize these 2D equations of motion. We first discuss modes with $l \geq 1$ and then address the special case l = 0.

Vector block: $l \ge 1$ modes. For $l \ge 1$ we can apply (3.22). In particular, the first equation shows that $a_{\parallel} = 0$, due to the absence of propagating harmonic modes. Then (3.20), (3.21), (3.22) give

$$\left(\nabla_A^2 - l(l+1)\right)x + \sqrt{2}l(l+1)b_2 + \sqrt{2}\nabla_A^2 a_\perp = 0, \qquad (3.23)$$

$$\left(\nabla_A^2 - l(l+1)\right)a_{\perp} + \sqrt{2}x = 0, \qquad (3.24)$$

$$\left(\nabla_A^2 - l(l+1)\right)b_2 + \sqrt{2}x = 0, \qquad (3.25)$$

which can be reordered into the diagonal form

$$\left(\nabla_A^2 - (l-1)l\right)\left(\sqrt{2}x + (l+1)(a_\perp + b_2)\right) = 0, \qquad (3.26)$$

$$\left(\nabla_A^2 - l(l+1)\right)(a_{\perp} - b_2) = 0, \qquad (3.27)$$

$$\left(\nabla_A^2 - (l+1)(l+2)\right)\left(\sqrt{2}x - l(a_\perp + b_2)\right) = 0, \qquad (3.28)$$

The eigenvalues of the AdS_2 Laplacian ∇_A^2 thus give the scalar masses

$$m^2 = (l-1)l, \ l(l+1), \ (l+1)(l+2),$$
(3.29)

and so the conformal weights (1.1) become

$$h = j, \ j+1, \ j+2,$$
 (3.30)

for all integral $j \ge 1$. We identified the angular quantum number j = l by noting that each value of the conformal weight has degeneracy (2l+1), the dimension of the irreducible representation of SU(2) with j = l.

Vector block: l = 0 modes. In the l = 0 sector The 4D gauge field $b_{\hat{\mu}}$ has no components on the S^2 so the only non-vanishing field components are a_{\perp} , a_{\parallel} , and x. Since $Y_{(00)} = 1$ the LdD gauge condition (3.10) is empty for l = 0. On the other hand, the standard 4D gauge transformation $b_{\hat{\mu}} \rightarrow b_{\hat{\mu}} + \partial_{\hat{\mu}}\Lambda$ reduces to a 2D symmetry acting on the AdS₂ components b_{μ} because for l = 0 it does not act on the (non-existent) components b_{α} of the vector field on S^2 . We can exploit this gauge symmetry to set the longitudinal component $a_{\parallel} = 0$. The equations of motion (3.20), (3.21) then give

$$\begin{cases} \nabla_A^2 x + \sqrt{2} \nabla_A^2 a_\perp = 0\\ \nabla_A^2 a_\perp + \sqrt{2} x = 0 \end{cases} \Rightarrow \begin{cases} \left(\nabla_A^2 - 2\right) x = 0\\ \nabla_A^2 \left(\sqrt{2} a_\perp + x\right) = 0 \end{cases}$$
(3.31)

The lower equation becomes a constraint $\sqrt{2}a_{\perp} + x = 0$ up to a harmonic solution for a_{\perp} which is equivalent to a_{\parallel} that vanishes due to the gauge condition. The l = 0 sector therefore reduces to one degree of freedom which we can identify as the scalar field x. This is the expected result because the vector block consists of a scalar and a vector but 2D vector fields have no degrees of freedom.

The upper equation in (3.31) identifies the eigenvalue of the scalar as $m^2 = 2$ which corresponds to conformal weight h = 2. We can present this in terms of the result (3.30) for $j \ge 1$: the tower with h = j + 2 is completed so it includes an entry for j = 0 while the other two towers have no j = 0 mode.

3.4 Bulk modes of the KK block

Expansion of the Kaluza-Klein Lagrangian to quadratic order around the $AdS_2 \times S^2$ background supported by nonBPS fluxes yields a Lagrangian for the quadratic fluctuations (given explicitly in [13]). This in turn gives the equations of motion for the KK block, summarized in the following.

KK block: Einstein equation. The 4D Einstein equation is given by

$$\nabla^{2}h_{\hat{\mu}\hat{\nu}} + \nabla_{\hat{\mu}}\nabla_{\hat{\nu}}h - 2\nabla_{(\hat{\mu}}\nabla^{\hat{\alpha}}h_{\hat{\nu})\hat{\alpha}} - 2R^{\alpha}_{(\hat{\mu}}h_{\hat{\nu})\hat{\alpha}} - 2R_{\hat{\alpha}\hat{\mu}\hat{\nu}\hat{\beta}}h^{\hat{\alpha}\beta} + h_{\hat{\mu}\hat{\nu}}R
+ g_{\hat{\mu}\hat{\nu}}(-\nabla^{2}h + \nabla_{\hat{\alpha}}\nabla_{\hat{\beta}}h^{\hat{\alpha}\hat{\beta}} - h^{\hat{\alpha}\hat{\beta}}R_{\hat{\alpha}\hat{\beta}})$$

$$= -8G_{(\hat{\mu}}{}^{\hat{\alpha}}f_{\hat{\nu})\hat{\alpha}} + 4G_{\hat{\mu}\hat{\alpha}}G_{\hat{\nu}\hat{\beta}}h^{\hat{\alpha}\hat{\beta}} + 2g_{\hat{\mu}\hat{\nu}}(G^{\hat{\alpha}\hat{\beta}}f_{\hat{\alpha}\hat{\beta}} - G_{\hat{\alpha}}{}^{\hat{\nu}}G_{\hat{\beta}\hat{\nu}}h^{\hat{\alpha}\hat{\beta}}) + h_{\hat{\mu}\hat{\nu}}G^{\hat{\alpha}\hat{\beta}}G_{\hat{\alpha}\hat{\beta}} + 8\sqrt{3}\varphi G_{\hat{\mu}}{}^{\hat{\alpha}}G_{\hat{\nu}\hat{\alpha}}.$$

$$(3.32)$$

The background is described by the 4D metric $g_{\hat{\mu}\hat{\nu}}$ with Riemann curvature $R^{\hat{\alpha}}_{\hat{\beta}\hat{\gamma}\hat{\delta}}$ as well as the gauge fields $G_{\mu\nu} = \frac{1}{\sqrt{2}}\epsilon_{\mu\nu}$ along AdS₂ and $G_{\alpha\beta} = \frac{1}{\sqrt{2}}\epsilon_{\alpha\beta}$ through S^2 . The fluctuations are the metric $h_{\hat{\mu}\hat{\nu}}$, the field strength $f_{\hat{\mu}\hat{\nu}}$, and the scalar field φ .

The partial wave expansions of the 4D fields take the form (3.1)–(3.6). Considering first the equations where $\hat{\mu}\hat{\nu} = \mu\nu$ so both indices are within AdS₂ we find

$$\left[(l(l+1)+2)H - 2\left(\nabla_A^2 - l(l+1)\right)\phi_3 + 4\sqrt{2}\nabla_A^2 a_\perp - 4\sqrt{2}l(l+1)b_2 + 8\sqrt{3}\varphi \right] Y = 0, \quad (3.33)$$
$$\left[-l(l+1)H_{\{\mu\nu\}} + 2\nabla_{\{\mu}\nabla_{\nu\}}\phi_3 \right] Y = 0, \quad (3.34)$$

for the scalar and symmetric traceless components of the AdS₂ indices $\mu\nu$. We suppress the partial wave indices (lm) on the 2D fields to avoid clutter. The analogous equations for $\hat{\mu}\hat{\nu} = \alpha\beta$ so both indices of the Einstein equation (3.32) are on the S^2 give

$$\left[\nabla_{\rho} \nabla_{\sigma} H^{\rho\sigma} - \left(\nabla_A^2 - \frac{1}{2} l(l+1) \right) H - \left(\nabla_A^2 + 2 \right) \phi_3 - 2\sqrt{2} \nabla_A^2 a_\perp + 2\sqrt{2} l(l+1) b_2 - 4\sqrt{3} \varphi \right] g_{\alpha\beta} Y + [H] \nabla_{\{\alpha} \nabla_{\beta\}} Y - \left[2\nabla_A^2 B_{2\parallel} \right] \epsilon_{\{\alpha}{}^{\gamma} \nabla_{\beta\}} \nabla_{\gamma} Y = 0.$$
(3.35)

Finally, the partial wave expansion of the Einstein equation with mixed indices $\hat{\mu}\hat{\nu} = \mu\alpha$ becomes

$$\left(\epsilon_{\mu\nu} \nabla^{\nu} \left[(\nabla_A^2 - l(l+1)) B_{2\perp} - 2\sqrt{2}b_2 - 2\sqrt{2}a_{\perp} \right] - \nabla_{\mu} \left[l(l+1) B_{2\parallel} + 2\sqrt{2}a_{\parallel} \right] \right) \epsilon_{\alpha\beta} \nabla^{\beta} Y$$

$$+ \left[\nabla_{\mu} H - \nabla^{\nu} H_{\mu\nu} + \nabla_{\mu} \phi_3 + 2\sqrt{2}\epsilon_{\mu\nu} b^{\nu} - 2\sqrt{2}\nabla_{\mu} b_2 + 2\epsilon_{\mu\nu} B_2^{\nu} \right] \nabla_{\alpha} Y = 0.$$

$$(3.36)$$

KK block: vector equation. The equation of motion for the vector field in KK theory is

$$\nabla^{\hat{\mu}} \left(f_{\hat{\mu}\hat{\nu}} - h_{\hat{\mu}\hat{\rho}} G^{\hat{\rho}}_{\ \hat{\nu}} + h_{\hat{\nu}\hat{\rho}} G^{\hat{\rho}}_{\ \hat{\mu}} + \frac{1}{2} h G_{\hat{\mu}\hat{\nu}} - 2\sqrt{3}\varphi G_{\hat{\mu}\hat{\nu}} \right) = 0, \qquad (3.37)$$

after linearizing around our background. For $\hat{\nu} = \nu$ the 4D index is along AdS₂ and the partial wave expansions (3.1)–(3.6) give

$$\left(\epsilon_{\nu\mu}\nabla^{\mu}\left[\left(\nabla_{A}^{2}-l(l+1)\right)a_{\perp}-\frac{1}{\sqrt{2}}l(l+1)B_{2\perp}+\frac{1}{2\sqrt{2}}H-\frac{1}{\sqrt{2}}\phi_{3}+\sqrt{6}\varphi\right]-\nabla_{\nu}\left[\nabla_{A}^{2}a_{\parallel}+\frac{1}{\sqrt{2}}l(l+1)B_{2\parallel}\right]\right)Y=0.$$
 (3.38)

We used the identity $\nabla_{\mu} H^{\{\mu\rho\}} \epsilon_{\rho\nu} = \nabla_{\mu} H_{\{\nu\rho\}} \epsilon^{\rho\mu}$. The partial wave expansion of the 4D field equation (3.37) for $\hat{\nu} = \alpha$ similarly gives

$$\left[\left(\nabla_{A}^{2} - l(l+1) \right) b_{2} + \frac{1}{\sqrt{2}} \phi_{3} - \frac{1}{\sqrt{2}} \nabla_{A}^{2} B_{2\perp} - \frac{1}{2\sqrt{2}} H + \sqrt{6} \varphi \right] \epsilon_{\alpha\beta} \nabla^{\beta} Y - \nabla_{A}^{2} \left[a_{\parallel} + \frac{1}{\sqrt{2}} B_{2\parallel} \right] \nabla_{\alpha} Y = 0 . \quad (3.39)$$

KK block: scalar equation. The last equation of motion for KK theory is the one for the KK scalar:

$$8\nabla^2 \varphi + 8\sqrt{3}G^{\hat{\mu}\hat{\nu}}f_{\hat{\mu}\hat{\nu}} - 4\sqrt{3}R^{\hat{\mu}\hat{\nu}}h_{\hat{\mu}\hat{\nu}} = 0.$$
 (3.40)

The partial wave expansion gives

$$\left[\left(\nabla_A^2 - l(l+1) \right) \varphi + \sqrt{6} \nabla_A^2 a_\perp + \sqrt{6} l(l+1) b_2 + \frac{\sqrt{3}}{2} H - \sqrt{3} \phi_3 \right] Y = 0 . \quad (3.41)$$

At this point we must solve all these equations. Orthogonality of spherical harmonics show that all terms in square brackets vanish. However, we must take into account that gradients $\nabla_{\alpha} Y$ of the spherical harmonics vanish for l = 0 and traceless combinations of the double gradients $\nabla_{\alpha} \nabla_{\beta} Y$ vanish also for l = 1. Therefore we first discuss the equations for $l \geq 2$ and then address l = 1, 0. KK block: $l \ge 2$ modes. From (3.35) and (3.39) we find

$$\nabla_A^2 B_{2\parallel} = \nabla_A^2 a_{\parallel} = 0, \qquad (3.42)$$

$$H = 0 (3.43)$$

The uniqueness of AdS₂ dualization (up to modes that decouple) means we can take all these fields to vanish $B_{2\parallel} = a_{\parallel} = H = 0$. Additionally (3.34) shows that the graviton perturbations $H_{\{\mu\nu\}}$ can be expressed in terms of ϕ_3 so they do not represent independent degrees of freedom.

Taking these simplification into account, we gather the equations of motions (3.33), (3.36), (3.38), (3.39), (3.41) and find

$$\left(\nabla_A^2 - l(l+1)\right)\phi_3 = 2\sqrt{2}\nabla_A^2 a_\perp - 2\sqrt{2}l(l+1)b_2 + 4\sqrt{3}\varphi\,,\tag{3.44}$$

$$\left(\nabla_A^2 - l(l+1)\right) B_{2\perp} = 2\sqrt{2}b_2 + 2\sqrt{2}a_\perp \,, \tag{3.45}$$

$$\left(\nabla_A^2 - l(l+1)\right)a_{\perp} = \frac{1}{\sqrt{2}}l(l+1)B_{2\perp} + \frac{1}{\sqrt{2}}\phi_3 - \sqrt{6}\varphi, \qquad (3.46)$$

$$\left(\nabla_A^2 - l(l+1)\right)b_2 = -\frac{1}{\sqrt{2}}\phi_3 + \frac{1}{\sqrt{2}}\nabla_A^2 B_{2\perp} - \sqrt{6}\varphi\,,\tag{3.47}$$

$$\left(\nabla_A^2 - l(l+1)\right)\varphi = -\sqrt{6}\nabla_A^2 a_\perp - \sqrt{6}l(l+1)b_2 + \sqrt{3}\phi_3 .$$
 (3.48)

We can reorganize these equations as

$$\left(\nabla_A^2 - (l+2)(l+3)\right) \left[2\sqrt{3}\varphi - l(l+1)B_{2\perp} - 2\sqrt{2}la_{\perp} - 2\sqrt{2}lb_2\right] = 0, \quad (3.49)$$

$$\left(\nabla_A^2 - (l+1)(l+2)\right) \left[-\phi_3 - lB_{2\perp} - \sqrt{2}la_{\perp} + \sqrt{2}lb_2\right] = 0, \quad (3.50)$$

$$\left(\nabla_A^2 - l(l+1)\right) \left[2\varphi + \sqrt{3}(l^2 + l - 1)B_{2\perp} + \sqrt{6}a_{\perp} + \sqrt{6}b_2 \right] = 0, \quad (3.51)$$

$$\left(\nabla_A^2 - (l-1)l\right) \left[\phi_3 - (l+1)B_{2\perp} - \sqrt{2}(l+1)a_{\perp} + \sqrt{2}(l+1)b_2\right] = 0, \quad (3.52)$$

$$\left(\nabla_A^2 - (l-2)(l-1)\right) \left[2\sqrt{3}\varphi - l(l+1)B_{2\perp} + 2\sqrt{2}(l+1)\left(a_{\perp} + b_2\right)\right] = 0. \quad (3.53)$$

The scalar masses read off from the eigenvalues of ∇^2_A are

$$m^{2} = (l-2)(l-1), \ (l-1)l, \ l(l+1), \ (l+1)(l+2), \ (l+2)(l+3).$$
(3.54)

Each of the AdS_2 scalars have degeneracy (2l + 1) so we identify j = l, where j is the angular quantum number labeling the irreducible representation of SU(2). The conformal weights (1.1) of the 1D conformal fields dual to the five partial wave towers of the KK block become

$$h = j - 1, j, j + 1, j + 2, j + 3.$$
(3.55)

This result is valid for $j \ge 2$.

KK block: l = 1 modes. The l = 1 sector is special because $\epsilon_{\alpha\beta}\nabla^{\beta}Y_{(1m)}/\nabla_{\alpha}Y_{(1m)}$ are Killing Vectors (KVs)/Conformal Killing Vectors (CKVs) on S^2 . Therefore $\epsilon_{\{\alpha}{}^{\gamma}\nabla_{\beta\}}\nabla_{\gamma}Y_{(1m)} = \nabla_{\{\alpha}\nabla_{\beta\}}Y_{(1m)} = 0$ and so the partial wave expansion (3.6) does not include the coefficient functions $\phi_1^{(1m)}$ and $\phi_2^{(1m)}$. Moreover, the gauge conditions $\nabla^{\alpha}h_{\{\alpha\beta\}} = 0$ are automatic, they fail to constrain diffeomorphisms ξ_{α} on the S^2 .

We gauge fix the diffeomorphisms along the KVs by setting $B_{2\parallel}^{(1m)} = 0$ and those along the CKVs by taking $\phi_3^{(1m)} = 0$. With these conditions (3.34) becomes a constraint that sets $H_{\{\mu\nu\}}^{(1m)} = 0$ and the vanishing of the second square bracket in (3.36) demands that also $a_{\parallel}^{(1m)} = 0$.

After gauge fixing the 15 partial wave components in the generic KK-block have been reduced to only 5. We gather the remaining terms in (3.33), (3.36), (3.38), (3.39), (3.41) for l = 1 and get the equations of motion for these 5 fields in AdS₂:

$$H^{(1m)} = -\sqrt{2}\nabla_A^2 a_{\perp}^{(1m)} + 2\sqrt{2}b_2^{(1m)} - 2\sqrt{3}\varphi^{(1m)}, \qquad (3.56)$$

$$\left(\nabla_A^2 - 2\right) B_{2\perp}^{(1m)} = 2\sqrt{2}b_2^{(1m)} + 2\sqrt{2}a_{\perp}^{(1m)}, \qquad (3.57)$$

$$\left(\nabla_A^2 - 2\right) a_{\perp}^{(1m)} = -\frac{1}{2\sqrt{2}} H^{(1m)} + \sqrt{2} B_{2\perp}^{(1m)} - \sqrt{6} \varphi^{(1m)} , \qquad (3.58)$$

$$\left(\nabla_A^2 - 2\right) b_2^{(1m)} = \frac{1}{\sqrt{2}} \nabla_A^2 B_{2\perp}^{(1m)} + \frac{1}{2\sqrt{2}} H^{(1m)} - \sqrt{6} \varphi^{(1m)} , \qquad (3.59)$$

$$\left(\nabla_A^2 - 2\right)\varphi^{(1m)} = -\sqrt{6}\nabla_A^2 a_{\perp}^{(1m)} - 2\sqrt{6}b_2^{(1m)} - \frac{\sqrt{3}}{2}H^{(1m)} .$$
 (3.60)

Simplifying the first of these equations using the others we find

$$H^{(1m)} = -4\sqrt{2}a_{\perp}^{(1m)} + 4\sqrt{2}b_{2}^{(1m)} - 4B_{2\perp}^{(1m)} .$$
(3.61)

Therefore $H^{(1m)}$ is not an independent field. We diagonalize the remaining equations as

$$\left(\nabla_A^2 - 12\right) \left(-B_{2\perp}^{(1)} - \sqrt{2}a_{\perp}^{(1)} - \sqrt{2}b_2^{(1)} + \sqrt{3}\varphi^{(1)} \right) = 0, \qquad (3.62)$$

$$\left(\nabla_A^2 - 6\right) \left(-B_{2\perp}^{(1)} - \sqrt{2}a_{\perp}^{(1)} + \sqrt{2}b_2^{(1)} \right) = 0, \qquad (3.63)$$

$$\left(\nabla_A^2 - 2\right) \left(\sqrt{3}B_{2\perp}^{(1)} + \sqrt{6}a_{\perp}^{(1)} + \sqrt{6}b_2^{(1)} + 2\varphi^{(1)}\right) = 0, \qquad (3.64)$$

$$\nabla_A^2 \left(-B_{2\perp}^{(1)} + 2\sqrt{2}a_{\perp}^{(1)} + 2\sqrt{2}b_2^{(1)} + \sqrt{3}\varphi^{(1)} \right) = 0 .$$
 (3.65)

The final equation amounts to the constraint

$$-B_{2\perp}^{(1)} + 2\sqrt{2}a_{\perp}^{(1)} + 2\sqrt{2}b_{2}^{(1)} + \sqrt{3}\varphi^{(1)} = 0.$$
(3.66)

up to a harmonic function that can be fixed by residual symmetry.

The three eigenvectors that remain represent propagating modes. This is the expected net number of physical fields from a gauge field and a scalar, the field content in the l = 1sector of the KK block. The source of all the complications addressed here is the mixing of these degrees of freedom with gravity and with each other. Therefore, for j = l = 1, the eigenvalues of ∇_A^2 are $m^2 = 12, 6, 2$, corresponding to the conformal weights h = 4, 3, 2 respectively. Among the five towers in (3.55), we thus find that those with h = j + 1, j + 2, j + 3 are extended to j = 1 while the towers with h = j - 1, j do not include modes j = 1. Indeed, the three eigenvectors (3.62), (3.63), (3.64) with eigenvalues 12, 6, 2 found for l = 1 extend those identified in (3.49), (3.50), (3.51) for $l \ge 2$.

KK block: l = 0 modes. The spherical harmonic $Y_{(00)} = 1$ is constant, so for l = 0 the only non-vanishing terms defined by the partial wave expansions (3.1)–(3.6) are the 2D metric $H_{\mu\nu}^{(00)}$, the 2D gauge field $b_{\mu}^{(00)}$, the KK scalar $\varphi^{(00)}$ and the S^2 volume $\phi_3^{(00)}$. This is a total of 7 non-vanishing 2D field components for l = 0. In the l = 0 sector the LdD gauge conditions (3.10) place no restrictions on the fields. The 2D diffeomorphism symmetry generated by an AdS₂ vector ξ_{μ} is therefore unfixed, as is the 2D gauge symmetry. We fix these three symmetries by imposing

$$\nabla^{\mu}\nabla^{\nu}H^{(00)}_{\{\mu\nu\}} = 0, \qquad a^{(00)}_{\parallel} = 0.$$
(3.67)

Notice that there are still residual diffeomorphisms that satisfy $\nabla^{\mu}\nabla^{\nu}\nabla_{\{\mu}\xi_{\nu\}}^{(00)} = 0$, which we will take advantage of later.

The 2D equations of motion (3.33), (3.34), (3.35), (3.38), (3.41) of the remaining 4 field components $a_{\perp}^{(00)}$, $\phi_3^{(00)}$, $H^{(00)}$, and $\varphi^{(00)}$ can be organized as

$$\nabla_A^2 \left(\sqrt{6}a_{\perp}^{(00)} + \varphi^{(00)} + \frac{\sqrt{3}}{4}H^{(00)} + 2\sqrt{3}\phi_3^{(00)} \right) = 0, \qquad (3.68)$$

$$\nabla_{\{\mu} \nabla_{\nu\}} \phi_3^{(00)} = 0, \qquad (3.69)$$

$$(\nabla_A^2 - 2)\phi_3^{(00)} = 0, \qquad (3.70)$$

$$(\nabla_A^2 - 2)H^{(00)} = -12\phi_3^{(00)},$$
 (3.71)

$$\left(\nabla_A^2 - 6\right)\varphi^{(00)} = 0$$
. (3.72)

Now (3.68) amounts to a constraint that expresses $a_{\perp}^{(00)}$ in terms of other fields, up to a harmonic mode that is inconsequential for the physical spectrum. Similarly, (3.69) define Conformal Killing Vectors (CKVs) $\nabla_{\mu}\phi_3^{(00)}$ but, since there are no normalizable CKVs on (Euclidean) AdS₂, we must have $\phi_3^{(00)} = 0$. Then (3.71) becomes

$$(\nabla_A^2 - 2)H^{(00)} = 0. (3.73)$$

However, the gauge conditions (3.67) permit residual diffeomorphisms ξ_{μ} satisfying

$$\nabla^{\mu}\nabla^{\nu}\nabla_{\{\mu}\xi_{\nu\}}^{(00)} = 0 \quad \Leftrightarrow \quad (\nabla_{A}^{2} - 2)\delta H^{(00)} = 0 .$$
(3.74)

Such ξ_{μ} are CKVs that are necessarily nonnormalizable, but they correspond to normalizable $\delta H_{\mu\nu}^{(00)}$. Comparison of (3.73) and (3.74) shows that $H^{(00)}$ is pure gauge; it can be set to be zero by residual diffeomorphisms $\xi_{\mu}^{(00)}$. In summary, in the l = 0 sector of the KK-block there is only one physical degree of freedom which can be identified as $\varphi^{(00)}$. This mode generalizes the partial wave tower (3.49) to l = 0. It is an eigenfunction of ∇_A^2 with eigenvalue $m^2 = 6$, corresponding to h = 3. Thus it extends the final entry h = j + 3 in (3.55) to the value j = 0.

3.5 Bulk modes of the gaugino block

The gaugino block has the 4D Lagrangian [13]

$$e^{-1}\delta^2 \mathcal{L}_{\text{gaugino}} = -\bar{\Lambda}_A \Gamma^{\hat{\mu}} D_{\hat{\mu}} \Lambda_A - \frac{1}{2} \epsilon_{AB} \bar{\Lambda}_A \hat{G} \Lambda_B , \qquad (3.75)$$

where $\hat{G} \equiv \frac{1}{2}\Gamma^{\hat{\mu}\hat{\nu}}G_{\hat{\mu}\hat{\nu}}$, summation over the indices A, B = 1, 2 is implied, and ϵ_{AB} is antisymmetric with $\epsilon_{12} = +1$. It gives the 4D equation of motion

$$\Gamma^{\hat{\mu}}D_{\hat{\mu}}\Lambda_A + \frac{1}{2}\hat{G}\epsilon_{AB}\Lambda_B = 0.$$
(3.76)

Applying the partial wave expansion (3.7) to the two Majorana gaugini Λ_A we find

$$\Lambda_A^{(L/R)} = \frac{1}{2} (1 \pm \Gamma_5) \Lambda_A = \frac{1}{2} \left(\lambda_{A+} \pm \gamma_A \lambda_{A-} \right) \otimes \eta + \frac{1}{2} \left(\lambda_{A-} \pm \gamma_A \lambda_{A+} \right) \otimes \gamma_S \eta .$$
(3.77)

for their left- and right-handed components. The indices (σlm) on the 2D fields $\lambda_{A\pm}$ and the spinor harmonics η are suppressed for brevity. Inserting the expansion in spinor partial waves (3.77) into the 4D equations of motion (3.76) projected on to the right helicity by the operator $\frac{1}{2}(1 - \Gamma_5)$ we get

$$0 = \left[\gamma^{\mu}D_{\mu}\left(\lambda_{A-} + \gamma_{A}\lambda_{A+}\right) + i(l+1)\left(\lambda_{A+} + \gamma_{A}\lambda_{A-}\right) - \frac{1}{2}e^{i\frac{\pi}{4}}\epsilon_{AB}\left(\lambda_{B-} - \gamma_{A}\lambda_{B+}\right)\right] \otimes \eta + \left[\gamma^{\mu}D_{\mu}\left(\lambda_{A+} + \gamma_{A}\lambda_{A-}\right) - i(l+1)\left(\lambda_{A-} + \gamma_{A}\lambda_{A+}\right) - \frac{1}{2}e^{i\frac{\pi}{4}}\epsilon_{AB}\left(\lambda_{B+} - \gamma_{A}\lambda_{B-}\right)\right] \otimes \gamma_{S}\eta.$$

$$(3.78)$$

Orthogonality of spinor harmonics then give us the 2D equation of motion

$$\gamma^{\mu} D_{\mu} \hat{\lambda}_{A}^{(L)} - (l+1) \hat{\lambda}_{A}^{(L)} - \frac{1}{2} e^{i\frac{\pi}{4}} \epsilon_{AB} \hat{\lambda}_{B}^{(R)} = 0, \qquad (3.79)$$

$$\gamma^{\mu} D_{\mu} \widetilde{\lambda}_{A}^{(L)} + (l+1) \widetilde{\lambda}_{A}^{(L)} - \frac{1}{2} e^{i\frac{\pi}{4}} \epsilon_{AB} \widetilde{\lambda}_{B}^{(R)} = 0, \qquad (3.80)$$

for every spinor harmonic index (σlm). Here $\hat{\lambda}_A^{(L/R)}$ and $\widetilde{\lambda}_A^{(L/R)}$ are defined by

$$\hat{\lambda}_{A}^{(L/R)} \equiv (\lambda_{A+} \pm \gamma_A \lambda_{A-}) + i \left(\lambda_{A-} \pm \gamma_A \lambda_{A+} \right) , \qquad (3.81)$$

$$\widehat{\lambda}_{A}^{(L/R)} \equiv (\lambda_{A+} \pm \gamma_A \lambda_{A-}) - i \left(\lambda_{A-} \pm \gamma_A \lambda_{A+}\right) . \tag{3.82}$$

Similarly acting with the left projection operator $\frac{1}{2}(1 + \Gamma_5)$ on the 4D equations of motion (3.76), we find the 2D wave equations that are conjugate of (3.79), (3.80):

$$\gamma^{\mu}D_{\mu}\widetilde{\lambda}_{B}^{(R)} + (l+1)\widetilde{\lambda}_{B}^{(R)} + \frac{1}{2}e^{-i\frac{\pi}{4}}\epsilon_{BA}\widetilde{\lambda}_{A}^{(L)} = 0, \qquad (3.83)$$

$$\gamma^{\mu} D_{\mu} \hat{\lambda}_{B}^{(R)} - (l+1)\hat{\lambda}_{B}^{(R)} + \frac{1}{2}e^{-i\frac{\pi}{4}}\epsilon_{BA}\hat{\lambda}_{A}^{(L)} = 0.$$
(3.84)

Combining (3.79) and (3.84), as well as (3.80) and (3.83) with A = 1, B = 2, we get

$$(\gamma^{\mu}D_{\mu} - (l+1)) \begin{pmatrix} \hat{\lambda}_{1}^{(L)} \\ \hat{\lambda}_{2}^{(R)} \end{pmatrix} = \frac{1}{2} \begin{pmatrix} 0 & e^{i\frac{\pi}{4}} \\ e^{-i\frac{\pi}{4}} & 0 \end{pmatrix} \begin{pmatrix} \hat{\lambda}_{1}^{(L)} \\ \hat{\lambda}_{2}^{(R)} \end{pmatrix} .$$
(3.85)

$$\left(\gamma^{\mu}D_{\mu} + (l+1)\right) \begin{pmatrix} \widetilde{\lambda}_{1}^{(L)} \\ \widetilde{\lambda}_{2}^{(R)} \end{pmatrix} = \frac{1}{2} \begin{pmatrix} 0 & e^{i\frac{\pi}{4}} \\ e^{-i\frac{\pi}{4}} & 0 \end{pmatrix} \begin{pmatrix} \widetilde{\lambda}_{1}^{(L)} \\ \widetilde{\lambda}_{2}^{(R)} \end{pmatrix} .$$
(3.86)

We are giving these results in full gory detail because the phases $e^{\pm i\frac{\pi}{4}}$ in the final result are physical consequences of the interplay between electric and magnetic fields which can be technically challenging to account for.

The matrices on the right hand side of (3.85) have eigenvalues $\pm \frac{1}{2}$. Therefore, the eigenvalues of the Dirac operator $\gamma^{\mu}D_{\mu}$ give the four AdS₂ spinor masses

$$m = \pm \left(l + \frac{1}{2}\right) , \ \pm \left(l + \frac{3}{2}\right) . \tag{3.87}$$

The sign of the fermion mass is formal and has no physical meaning. The conformal weight of the 1D conformal operator dual to an AdS₂ spinor is given by the relation $h_{\text{spinor}} = |m| + \frac{1}{2}$, so we find h = l + 1, l + 2, each with multiplicity 2.

The harmonic expansion for spinor fields has degeneracy 2(l+1), while the irreducible representation of SU(2) labeled by the angular quantum number j has (2j+1) states. We therefore identify $j = l + \frac{1}{2}$ for spinors. This gives our final result for the spectrum of the gaugino block

$$h = 2 \times \left(j + \frac{1}{2}\right), \quad 2 \times \left(j + \frac{3}{2}\right), \quad (3.88)$$

where "2×" denotes multiplicity 2, not the normal multiplication. This result is valid for all $j \geq \frac{1}{2}$.

3.6 Bulk modes of gravitino block

The gravitino block has the 4D Lagrangian [13]

$$e^{-1}\delta^{2}\mathcal{L}_{\text{gravitino}} = -\bar{\Psi}_{A\hat{\mu}}\Gamma^{\hat{\mu}\hat{\nu}\hat{\rho}}D_{\hat{\nu}}\Psi_{A\hat{\rho}} - 2\bar{\Lambda}_{A}\Gamma^{\hat{\mu}}D_{\hat{\mu}}\Lambda_{A} - \frac{1}{2}\epsilon_{AB}\bar{\Psi}_{A\hat{\mu}}\left(G^{\hat{\mu}\hat{\nu}} + \Gamma_{5}\widetilde{G}^{\hat{\mu}\hat{\nu}}\right)\Psi_{B\hat{\nu}} -\frac{\sqrt{3}}{2}\left(\bar{\Psi}_{A\hat{\mu}}\hat{G}\Gamma^{\hat{\mu}}\Lambda_{A} + \bar{\Lambda}_{A}\Gamma^{\hat{\mu}}\hat{G}\Psi_{A\hat{\mu}}\right) + 2\epsilon_{AB}\bar{\Lambda}_{A}\hat{G}\Lambda_{B}, \qquad (3.89)$$

where $\tilde{G}^{\hat{\mu}\hat{\nu}} \equiv -\frac{i}{2} \epsilon^{\hat{\mu}\hat{\nu}\hat{\rho}\hat{\sigma}} G_{\hat{\rho}\hat{\sigma}}$. It gives the 4D equations of motion

$$\Gamma^{\hat{\mu}\hat{\nu}\hat{\rho}}D_{\hat{\nu}}\Psi_{A\hat{\rho}} + \frac{1}{2}\left(G^{\hat{\mu}\hat{\nu}} + \Gamma_5\tilde{G}^{\hat{\mu}\hat{\nu}}\right)\epsilon_{AB}\Psi_{B\hat{\nu}} + \frac{\sqrt{3}}{2}\hat{G}\Gamma^{\hat{\mu}}\Lambda_A = 0, \qquad (3.90)$$

$$2\Gamma^{\hat{\mu}}D_{\hat{\mu}}\Lambda_A - 2\epsilon_{AB}\hat{G}\Lambda_B + \frac{\sqrt{3}}{2}\Gamma^{\hat{\mu}}\hat{G}\Psi_{A\hat{\mu}} = 0. \qquad (3.91)$$

In the following we work out the corresponding 2D equations of motion.

Gravitino block: gravitino equation. We first act with the right projection operator $\frac{1}{2}(1-\Gamma_5)$ on the 4D equations of motion for gravitini (3.90) and then insert partial wave expansions in spinor harmonics (3.7)–(3.8). The S^2 components $\hat{\mu} = \alpha$ of the equations become

$$0 = \left[\frac{1}{2}i(l+1)\gamma_{\mu}\left(\psi_{A-}^{\mu}+\gamma_{A}\psi_{A+}^{\mu}\right)+\gamma_{\mu\nu}D^{\mu}\left(\psi_{A+}^{\nu}+\gamma_{A}\psi_{A-}^{\nu}\right)+\frac{\sqrt{3}}{2}ie^{-i\frac{\pi}{4}}\left(\lambda_{A-}+\gamma_{A}\lambda_{A+}\right)\right]\otimes\gamma^{\alpha}\eta \\ + \left[-\frac{1}{2}i(l+1)\gamma_{\mu}\left(\psi_{A+}^{\mu}+\gamma_{A}\psi_{A-}^{\mu}\right)+\gamma_{\mu\nu}D^{\mu}\left(\psi_{A-}^{\nu}+\gamma_{A}\psi_{A+}^{\nu}\right)+\frac{\sqrt{3}}{2}ie^{-i\frac{\pi}{4}}\left(\lambda_{A+}+\gamma_{A}\lambda_{A-}\right)\right]\otimes\gamma^{\alpha}\gamma_{S}\eta \\ + \left[-\gamma_{\mu}\left(\psi_{A-}^{\mu}+\gamma_{A}\psi_{A+}^{\mu}\right)+\gamma^{\mu}D_{\mu}\left(\psi_{A-}+\gamma_{A}\psi_{A+}\right)-\frac{1}{2}ie^{i\frac{\pi}{4}}\epsilon_{AB}\left(\psi_{B-}-\gamma_{A}\psi_{B+}\right)\right]\otimes D^{(\alpha)}\eta \\ + \left[-\gamma_{\mu}\left(\psi_{A+}^{\mu}+\gamma_{A}\psi_{A-}^{\mu}\right)+\gamma^{\mu}D_{\mu}\left(\psi_{A+}+\gamma_{A}\psi_{A-}\right)-\frac{1}{2}ie^{i\frac{\pi}{4}}\epsilon_{AB}\left(\psi_{B+}-\gamma_{A}\psi_{B-}\right)\right]\otimes D^{(\alpha)}\gamma_{S}\eta .$$
(3.92)

The AdS₂ components $\hat{\mu} = \mu$ of the equations similarly give

$$0 = \left[-i(l+1)\gamma_{\mu\nu} \left(\psi_{A+}^{\nu} + \gamma_{A}\psi_{A-}^{\nu} \right) + \frac{1}{2}((l+1)^{2} - 1)\gamma_{\mu} \left(\psi_{A-} + \gamma_{A}\psi_{A+} \right) \right. \\ \left. - \frac{\sqrt{3}}{2}ie^{i\frac{\pi}{4}}\gamma_{\mu} \left(\lambda_{A+} + \gamma_{A}\lambda_{A-} \right) + \frac{1}{2}e^{-i\frac{\pi}{4}}\gamma_{\mu\nu}\epsilon_{AB} \left(\psi_{B-}^{\nu} - \gamma_{A}\psi_{B+}^{\nu} \right) \right] \otimes \eta \\ \left. + bg[i(l+1)\gamma_{\mu\nu} \left(\psi_{A-}^{\nu} + \gamma_{A}\psi_{A+}^{\nu} \right) + \frac{1}{2}((l+1)^{2} - 1)\gamma_{\mu} \left(\psi_{A+} + \gamma_{A}\psi_{A-} \right) \right. \\ \left. - \frac{\sqrt{3}}{2}ie^{i\frac{\pi}{4}}\gamma_{\mu} \left(\lambda_{A-} + \gamma_{A}\lambda_{A+} \right) + \frac{1}{2}e^{-i\frac{\pi}{4}}\gamma_{\mu\nu}\epsilon_{AB} \left(\psi_{B+}^{\nu} - \gamma_{A}\psi_{B-}^{\nu} \right) \right] \otimes \gamma_{S}\eta \,. \tag{3.93}$$

Again, we suppress the indices (σlm) of the spinor harmonics. Orthogonality of the spinor harmonics mean each square bracket vanishes by itself. This gives four towers of equations from the S^2 but only two from the AdS₂ because the $\hat{\mu} = \alpha$ index incorporates spin- $\frac{3}{2}$ components on S^2 while the $\hat{\mu} = \mu$ index only includes spin- $\frac{1}{2}$ components. To present the equations we define

$$\hat{\psi}_{A\mu}^{(L/R)} \equiv (\psi_{A\mu+} \pm \gamma_A \psi_{A\mu-}) + i (\psi_{A\mu-} \pm \gamma_A \psi_{A\mu+}) , \qquad (3.94)$$

$$\widetilde{\psi}_{A\mu}^{(L/R)} \equiv (\psi_{A\mu+} \pm \gamma_A \psi_{A\mu-}) - i \left(\psi_{A\mu-} \pm \gamma_A \psi_{A\mu+}\right) , \qquad (3.95)$$

in analogy with the variables $\hat{\lambda}_A^{(L/R)}$ and $\tilde{\lambda}_A^{(L/R)}$ introduced for the gaugino block in (3.81). This gives the three coupled equations

$$\gamma^{\mu\nu}D_{\mu}\widetilde{\psi}^{(L)}_{A\nu} - \frac{1}{2}(l+1)\gamma^{\mu}\widetilde{\psi}^{(L)}_{A\mu} + \frac{\sqrt{3}}{2}e^{-i\frac{\pi}{4}}\widehat{\lambda}^{(L)}_{A} = 0, \quad (3.96)$$

$$-\gamma^{\mu}\tilde{\psi}_{A\mu}^{(L)} + \gamma^{\mu}D_{\mu}\tilde{\psi}_{A}^{(L)} - \frac{1}{2}ie^{i\frac{\pi}{4}}\epsilon_{AB}\tilde{\psi}_{B}^{(R)} = 0, \quad (3.97)$$

$$(l+1)\tilde{\psi}_{A\rho}^{(L)} - \frac{1}{2}((l+1)^2 - 1)\gamma_{\rho}\tilde{\psi}_{A}^{(L)} + \frac{\sqrt{3}}{2}e^{-i\frac{\pi}{4}}\hat{\lambda}_{A}^{(L)} - \frac{1}{2}e^{-i\frac{\pi}{4}}\epsilon_{AB}\tilde{\psi}_{B\rho}^{(R)} = 0, \quad (3.98)$$

as well as the three coupled equations

$$\gamma^{\mu\nu}D_{\mu}\hat{\psi}^{(L)}_{A\nu} + \frac{1}{2}(l+1)\gamma^{\mu}\hat{\psi}^{(L)}_{A\mu} - \frac{\sqrt{3}}{2}e^{-i\frac{\pi}{4}}\widetilde{\lambda}^{(L)}_{A} = 0,$$
 (3.99)

$$-\gamma^{\mu}\hat{\psi}_{A\mu}^{(L)} + \gamma^{\mu}D_{\mu}\hat{\psi}_{A}^{(L)} - \frac{1}{2}ie^{i\frac{\pi}{4}}\epsilon_{AB}\hat{\psi}_{B}^{(R)} = 0, \quad (3.100)$$

$$-(l+1)\hat{\psi}_{A\rho}^{(L)} - \frac{1}{2}((l+1)^2 - 1)\gamma_\rho\hat{\psi}_A^{(L)} - \frac{\sqrt{3}}{2}e^{-i\frac{\pi}{4}}\tilde{\lambda}_A^{(L)} - \frac{1}{2}e^{-i\frac{\pi}{4}}\epsilon_{AB}\hat{\psi}_{B\rho}^{(R)} = 0. \quad (3.101)$$

Similarly, starting out by acting with the left projection operator $\frac{1}{2}(1 + \Gamma_5)$ on the 4D equations of motion we find the complex conjugate of the preceding six equations.

Gravitino block: gaugino equation. Acting with the right projection operator $\frac{1}{2}(1-\Gamma_5)$ on the 4D equations of motion for gaugini (3.91) and expanding it in partial waves, we get

Again, orthogonality implies that each square bracket vanishes by itself. After introduction of the variables (3.81) the 2D equation of motion become

$$\gamma^{\mu}D_{\mu}\hat{\lambda}_{A}^{(L)} - (l+1)\hat{\lambda}_{A}^{(L)} + ie^{-i\frac{\pi}{4}}\epsilon_{AB}\hat{\lambda}_{B}^{(R)} + \frac{\sqrt{3}}{4}e^{i\frac{\pi}{4}}\gamma^{\mu}\widetilde{\psi}_{A\mu}^{(L)} = 0, \qquad (3.103)$$

$$\gamma^{\mu} D_{\mu} \widetilde{\lambda}_{A}^{(L)} + (l+1) \widetilde{\lambda}_{A}^{(L)} + i e^{-i\frac{\pi}{4}} \epsilon_{AB} \widetilde{\lambda}_{B}^{(R)} - \frac{\sqrt{3}}{4} e^{i\frac{\pi}{4}} \gamma^{\mu} \widehat{\psi}_{A\mu}^{(L)} = 0 .$$
 (3.104)

Similarly, starting out by acting with the left projection operator $\frac{1}{2}(1 + \Gamma_5)$ on the 4D equations of motion we find the complex conjugate of these two equations.

We next proceed to solve the 2D equations of motion and compute the mass spectrum of gravitino block. We first discuss modes with $l \ge 1$ modes and then deal with the special case l = 0.

Gravitino block: $l \geq 1$ modes. We begin by considering (3.96), (3.97), (3.98), (3.103) and the equations conjugate to (3.99), (3.100), (3.101), (3.104) since only $\tilde{\psi}_{A\mu}^{(L/R)}$, $\tilde{\psi}_{A}^{(L/R)}$ and $\hat{\lambda}_{A}^{(L/R)}$ are involved in this system.

Inspection of (3.98) with A = 1 and the conjugate of (3.101) with A = 2 shows that the 2D gravitino $\tilde{\psi}_{A\mu}^{(L/R)}$ is not an independent field. It can be expressed by $\tilde{\psi}_{A}^{(L/R)}$ and

$$\hat{\lambda}_{A}^{(L/R)} \text{ as}$$

$$\begin{pmatrix} \tilde{\psi}_{1\mu}^{(L)} \\ \tilde{\psi}_{2\mu}^{(R)} \end{pmatrix} = \begin{pmatrix} \frac{4(l+1)}{4(l+1)^{2}-1} & \frac{2e^{-i\frac{\pi}{4}}}{4(l+1)^{2}-1} \\ \frac{2e^{i\frac{\pi}{4}}}{4(l+1)^{2}-1} & \frac{4(l+1)}{4(l+1)^{2}-1} \end{pmatrix} \gamma_{\mu} \left[\frac{1}{2} ((l+1)^{2}-1) \begin{pmatrix} \tilde{\psi}_{1}^{(L)} \\ \tilde{\psi}_{2}^{(R)} \end{pmatrix} - \frac{\sqrt{3}}{2} \begin{pmatrix} e^{-i\frac{\pi}{4}} \hat{\lambda}_{1}^{(L)} \\ e^{i\frac{\pi}{4}} \hat{\lambda}_{2}^{(R)} \end{pmatrix} \right] .$$

$$(3.105)$$

Inserting this into (3.97), (3.103) with A = 1 and the conjugates of (3.100), (3.104) with A = 2 we find

$$\gamma^{\mu}D_{\mu}\begin{pmatrix}\hat{\lambda}_{1}^{(L)}\\\hat{\lambda}_{2}^{(R)}\\\widetilde{\psi}_{1}^{(R)}\\\widetilde{\psi}_{2}^{(R)}\end{pmatrix} = \begin{pmatrix} l+1 & -e^{i\frac{\pi}{4}} & 0 & 0\\ -e^{-i\frac{\pi}{4}} & l+1 & 0 & 0\\ 0 & 0 & -\frac{1}{2}e^{-i\frac{\pi}{4}} & 0 \end{pmatrix} \begin{pmatrix}\hat{\lambda}_{1}^{(L)}\\\hat{\lambda}_{2}^{(R)}\\\widetilde{\psi}_{1}^{(L)}\\\widetilde{\psi}_{1}^{(L)}\end{pmatrix} + \gamma^{\mu} \begin{pmatrix} -\frac{\sqrt{3}}{4}e^{i\frac{\pi}{4}}\widetilde{\psi}_{1\mu}^{(L)}\\ -\frac{\sqrt{3}}{4}e^{-i\frac{\pi}{4}}\widetilde{\psi}_{2\mu}^{(R)}\\\widetilde{\psi}_{1\mu}^{(R)}\\\widetilde{\psi}_{2\mu}^{(R)} \end{pmatrix}$$
(3.106)

$$= \begin{pmatrix} (l+1) + \frac{3(l+1)}{4(l+1)^2 - 1} & \left(\frac{3(l+1)}{8(l+1)^2 - 2} - 1\right) e^{i\frac{\pi}{4}} & -\frac{\sqrt{3}(l+1)((l+1)^2 - 1)}{4(l+1)^2 - 1} e^{i\frac{\pi}{4}} & -\frac{\sqrt{3}((l+1)^2 - 1)}{8(l+1)^2 - 2} \\ \begin{pmatrix} \frac{3(l+1)}{8(l+1)^2 - 2} - 1 \end{pmatrix} e^{-i\frac{\pi}{4}} & (l+1) + \frac{3(l+1)}{4(l+1)^2 - 1} & -\frac{\sqrt{3}((l+1)^2 - 1)}{8(l+1)^2 - 2} & -\frac{\sqrt{3}(l+1)((l+1)^2 - 1)}{4(l+1)^2 - 1} e^{-i\frac{\pi}{4}} \\ \frac{4\sqrt{3}(l+1)}{4(l+1)^2 - 1} e^{-i\frac{\pi}{4}} & -\frac{2\sqrt{3}}{4(l+1)^2 - 1} & (l+1) - \frac{3(l+1)}{4(l+1)^2 - 1} & -\frac{3}{8(l+1)^2 - 2} e^{-i\frac{\pi}{4}} \\ -\frac{2\sqrt{3}}{4(l+1)^2 - 1} & \frac{4\sqrt{3}(l+1)}{4(l+1)^2 - 1} e^{i\frac{\pi}{4}} & -\frac{3}{8(l+1)^2 - 2} e^{i\frac{\pi}{4}} & (l+1) - \frac{3(l+1)}{4(l+1)^2 - 1} \end{pmatrix} \begin{pmatrix} \hat{\lambda}_1^{(L)} \\ \hat{\lambda}_2^{(L)} \\ \tilde{\psi}_1^{(R)} \\ \tilde{\psi}_2^{(R)} \end{pmatrix}.$$

The matrix on the right hand side appears very complicated but, remarkably, it has simple eigenvalues: $l - \frac{1}{2}$, $l + \frac{1}{2}$, $l + \frac{3}{2}$, and $l + \frac{5}{2}$.

Similarly, taking equations (3.99), (3.100), (3.101), (3.104) with A = 1 and the conjugates of (3.96), (3.97), (3.98), (3.103) with A = 2 we find a matrix equation for $\tilde{\lambda}_1^{(L)}, \tilde{\lambda}_2^{(R)}, \psi_1^{(L)}, \psi_2^{(R)}$. The matrix again has simple eigenvalues: $-(l - \frac{1}{2}), -(l + \frac{1}{2}), -(l + \frac{3}{2})$, and $-(l + \frac{5}{2})$. Thus the complete result for the eigenvalues of $\gamma^{\mu}D_{\mu}$ can be expressed as eight AdS₂ spinor masses

$$m = \pm \left(l - \frac{1}{2}\right), \ \pm \left(l + \frac{1}{2}\right), \ \pm \left(l + \frac{3}{2}\right), \ \pm \left(l + \frac{5}{2}\right).$$
 (3.107)

For spinors we use the relations $j_{\text{spinor}} = l + \frac{1}{2}$ for the SU(2) quantum number and $h_{\text{spinor}} = |m| + \frac{1}{2}$ for the conformal weight and so our result for the spectrum of the gravitino block becomes

$$h = 2 \times \left(j - \frac{1}{2}\right), \ 2 \times \left(j + \frac{1}{2}\right), \ 2 \times \left(j + \frac{3}{2}\right), \ 2 \times \left(j + \frac{5}{2}\right), \tag{3.108}$$

where "2×" denotes multiplicity 2, not the normal multiplication. This result is valid for $j \ge \frac{3}{2}$.

Gravitino block: l = 0 modes. The l = 0 mode is special for gravitini because the helicity $\pm \frac{3}{2}$ components in the partial wave expansion (3.9) vanish identically. Therefore, the fields $\psi_{A\pm}$ are not defined for l = 0.

The manipulations giving (3.105) for the AdS₂ gravitini remain valid for l = 0 and we see that, in this special case, the term involving the nonexistent $\psi_{A\pm}$ has vanishing coefficient. Therefore, all components of the 2D gravitini $\psi_{A\mu}$ are determined by the gaugini λ_A . Accordingly, the first equation in (3.106) depends only on gaugini for l = 0. The resulting equation of motion can be read off from the upper left 2×2 submatrix of the second equation in (3.106) by taking l = 0:

$$\gamma^{\mu} D_{\mu} \begin{pmatrix} \hat{\lambda}_{1}^{(L)} \\ \hat{\lambda}_{2}^{(R)} \end{pmatrix} = \begin{pmatrix} 2 & -\frac{1}{2} e^{i\frac{\pi}{4}} \\ -\frac{1}{2} e^{-i\frac{\pi}{4}} & 2 \end{pmatrix} \begin{pmatrix} \hat{\lambda}_{1}^{(L)} \\ \hat{\lambda}_{2}^{(R)} \end{pmatrix} .$$
(3.109)

The matrix on the right hand side has eigenvalues $\frac{3}{2}$ and $\frac{5}{2}$ and the analogous equations for $\widetilde{\lambda}_A^{(L/R)}$ similarly give $-\frac{3}{2}$ and $-\frac{5}{2}$. This corresponds to two modes with conformal weight h = 2 and another two with h = 3. These modes extend the towers $h = 2 \times (j + \frac{3}{2})$, $2 \times (j + \frac{5}{2})$ in (3.108) so they apply for all $j \geq \frac{1}{2}$.

3.7 Boundary modes

Boundary modes are harmonic modes on AdS_2 which are formally pure gauge but in fact physical because the gauge functions that generate them are non-normalizable. There are no boundary modes for the scalar block or gaugino block because they involve no gauge symmetries. Thus all boundary modes come from vector blocks, the KK block, and gravitino blocks. This subsection determines the boundary modes of these three types of blocks in turns.

Since boundary modes are somewhat subtle we proceed with special care. In each case we add gauge fixing terms and compute the full off-shell spectrum, along with the appropriate ghosts. This requires some additional effort. On the other hand, since the gauge functions underlying boundary modes are harmonic, they generally do not couple to bulk modes so the relevant field content remains manageable.

Boundary modes in vector blocks. For boundary modes in vector blocks we add the gauge fixing term $(\nabla_{\hat{\mu}}a^{\hat{\mu}})^2$ to the Lagrangian (3.17), with hatted variables denoting 4D indices as in previous sections. We can consistently ignore the scalar field in the vector block because it couples to $\nabla_{\mu}b^{\mu}$ which vanishes in the boundary sector due to the harmonic condition. The effective Lagrangian of the boundary modes in the vector block becomes

$$\mathcal{L}_{\text{vector}}^{\text{bndy}} = b^{\mu} \left(\nabla_A^2 + 1 - l(l+1) \right) b_{\mu} .$$
 (3.110)

Harmonic vector modes satisfy $\nabla_A^2 + 1$ so this is equivalent to a tower of nondynamical fields with $m^2 = l(l+1), l \ge 0$ with degeneracy 2l + 1. This result is unsurprising because the residual gauge transformations underlying these modes satisfy the massless Klein-Gordon equation in 4D $\nabla_4^2 \Lambda = 0$.

Boundary modes in the KK block. In this sector we must consider gravity as well as the KK vector field. We add the gauge fixing term

$$\mathcal{L}_{\mathrm{KK}}^{\mathrm{g.f.}} = -\left(\nabla^{\hat{\mu}}h_{\hat{\mu}\hat{\rho}} - \frac{1}{2}\nabla_{\hat{\rho}}h\right)\left(\nabla^{\hat{\nu}}h_{\hat{\nu}}^{\ \hat{\rho}} - \frac{1}{2}\nabla^{\hat{\rho}}h\right) - 4\left(\nabla_{\hat{\mu}}a^{\hat{\mu}}\right)^{2},\qquad(3.111)$$

to the 4D Lagrangian of the KK block. We then compute the corresponding 2D Lagrangian

$$\mathcal{L}_{\rm KK}^{\rm bndy} = H'^{\{\mu\nu\}} \left(\nabla_x^2 + 2 - l(l+1) \right) H'_{\{\mu\nu\}} + \left(b'_{\mu} B'_{2\mu} B'_{1\mu} \right) \tag{3.112}$$

$$\left(\left(\nabla_A^2 + 1 - l(l+1) \right) \delta^{\mu}_{\nu} - \sqrt{2l(l+1)} \delta^{\mu}_{\nu} - \sqrt{2l(l+1)} \epsilon^{\mu}_{\nu} \right) \left(b'^{\nu}_{\mu\nu} \right)$$

$$\times \begin{pmatrix} & -\sqrt{2l(l+1)}\delta_{\nu}^{\mu} & (\nabla_{A}^{2}+1-l(l+1))\delta_{\nu}^{\mu} & -2\epsilon_{\nu}^{\mu} \\ & \sqrt{2l(l+1)}\epsilon_{\nu}^{\mu} & 2\epsilon_{\nu}^{\mu} & (\nabla_{A}^{2}+1-l(l+1))\delta_{\nu}^{\mu} \end{pmatrix} \begin{pmatrix} B_{2}^{\prime\nu} \\ B_{1}^{\prime\nu} \end{pmatrix}$$

where we introduced conveniently normalized fields $H'_{\{\mu\nu\}} = \frac{1}{\sqrt{2}}H_{\{\mu\nu\}}, b'_{\mu} = 2b_{\mu}$ and $B'^{\mu}_{1/2} = \sqrt{l(l+1)}B^{\mu}_{1/2}$. We consistently ignored scalar fields because their couplings to AdS₂ vectors all contain $\nabla_{\mu}b^{\mu}$ or $\nabla_{\mu}B^{\mu}_{1/2}$ which vanish for boundary modes.

We can diagonalize the mass matrix in $\mathcal{L}_{\text{KK}}^{\text{bndy}}$ above and so determine the eigenvalues of ∇_A^2 . The scalars that are equivalent to AdS₂ tensors and vectors have masses given by the eigenvalues of $\nabla_A^2 + 2$ and $\nabla_A^2 + 1$, respectively. The eigenvectors and the corresponding scalar masses become:

$$m^2 = l(l+1): H'_{\{\mu\nu\}}, \qquad l \ge 0, \qquad (3.113)$$

$$m^{2} = l(l-1): \quad \sqrt{\frac{1+l}{1+2l}}b'_{\mu} - \sqrt{\frac{l}{1+2l}}\frac{1}{\sqrt{2}}\left(B'_{2\mu} + \epsilon_{\mu\nu}B'^{\nu}_{1}\right), \qquad l \ge 0, \qquad (3.114)$$

$$m^{2} = (l+1)(l+2): \quad \sqrt{\frac{l}{1+2l}}b'_{\mu} + \sqrt{\frac{1+l}{1+2l}}\frac{1}{\sqrt{2}}\left(B'_{2\mu} + \epsilon_{\mu\nu}B'^{\nu}_{1}\right), \qquad l \ge 1, \qquad (3.115)$$

$$m^{2} = l(l+1) + 2: \quad \frac{1}{\sqrt{2}} \left(B'_{1\mu} + \epsilon_{\mu\nu} B'^{\nu}_{2} \right) , \qquad l \ge 1 . \quad (3.116)$$

The last three entries deserve some comments: the matrix in the second line of (3.112) acts on AdS₂ vectors so it is 6×6 and it allows 6 eigenvectors. However, harmonic modes satisfy a duality condition so, in order to avoid overcounting we should take either the eigenvector or the dual eigenvector, not both. Since the formalism is off-shell there is some scheme-dependence to this choice. The analogous treatment of boundary modes for BPS black holes by Sen [5] includes all contributions and divide by two in the end. We elect instead to pick an orthogonal set that diagonalizes the off-diagonal terms in (3.112) and cancels the ghost tower determined below. This choice seems more physical to us but the final on-shell results are at any rate independent of scheme.

Tensors $H_{\{\mu\nu\}}$ have degeneracy three [5, 6], therefore the boundary modes in the KK block are 3 towers of $m^2 = l(l+1)$ with $l \ge 0$, 1 tower of $m^2 = l(l-1)$ with $l \ge 0$, 1 tower of $m^2 = (l+1)(l+2)$ with $l \ge 1$, and 1 tower of $m^2 = l(l+1) + 2$ with $l \ge 1$.

In the off-shell formalism that we apply for boundary modes we must consider also the contribution from the ghost that generates the diffeomorphism $\delta H_{\{\mu\nu\}} = \nabla_{\mu}\xi_{\nu} + \nabla_{\nu}\xi_{\mu} - g_{\mu\nu}\nabla^{\rho}\xi_{\rho}$. The ghost equation of motion follows by variation of the gauge condition under a diffeomorphism:

$$\delta\left(\nabla^{\hat{\mu}}h_{\hat{\mu}\hat{\rho}} - \frac{1}{2}\nabla_{\hat{\rho}}h\right) = 0 \quad \Rightarrow \quad \left(\nabla^2_A - 1 - l(l+1)\right)\xi_{\rho} = 0 \quad . \tag{3.117}$$

Since eigenvalues of $\nabla_A^2 + 1$ acting on a vector can be identified with the mass of the dual scalar we find that the boundary ghosts have $m^2 = l(l+1) + 2, l \ge 0$ with degeneracy 2l + 1. These contributions effectively cancel one of the 6 towers of KK boundary modes.

Blocks	Bulk Modes Spectrum (h, j)
Scalar	(k+1,k)
Gaugino	$2(k+2,k+\frac{1}{2}) 2(k+1,k+\frac{1}{2})$
Vector	(k+2,k) $(k+2,k+1)$ $(k+1,k+1)$
Gravitino	$2(k+3,k+\frac{1}{2}) 2(k+2,k+\frac{1}{2}) 2(k+2,k+\frac{3}{2}) 2(k+1,k+\frac{3}{2})$
KK	(k+3,k) $(k+3,k+1)$ $(k+2,k+1)$ $(k+2,k+2)$ $(k+1,k+2)$

Table 2. Mass spectrum of bulk modes in non-BPS blocks. The label k = 0, 1, ...

Boundary modes in gravitino blocks. In the off-shell formalism that we apply to boundary modes we add the gauge fixing term $\frac{1}{2} \left(\bar{\Psi}_{A\hat{\mu}} \Gamma^{\hat{\mu}} \right) \Gamma^{\hat{\nu}} D_{\hat{\nu}} \left(\Gamma^{\hat{\rho}} \Psi_{A\hat{\rho}} \right)$ to the gravitino Lagrangian (3.89) and redefine the field as $\Phi_{A\hat{\mu}} = \Psi_{A\hat{\mu}} - \frac{1}{2} \Gamma_{\hat{\mu}} \Gamma^{\hat{\rho}} \Psi_{A\hat{\rho}}$. The Lagrangian for the gravitini then becomes

$$e^{-1}\delta^2 \mathcal{L}_{\text{gravitino}}^{\text{bndy}} = -\bar{\Phi}_{A\hat{\mu}} H_{AB}^{\hat{\mu}\hat{\nu}} \Phi_{B\hat{\nu}} = -\bar{\Phi}_{A\hat{\mu}} \left[\Gamma^{\hat{\rho}} D_{\hat{\rho}} g^{\hat{\mu}\hat{\nu}} - \frac{1}{2} \epsilon_{AB} \left(G^{\hat{\mu}\hat{\nu}} + \Gamma_5 \widetilde{G}^{\hat{\mu}\hat{\nu}} \right) \right] \Phi_{B\hat{\nu}} ,$$
(3.118)

after consistently ignoring the gaugini which do not couple to harmonic modes.

The square of the quadratic fluctuation operator $H_{AB}^{\hat{\mu}\hat{\nu}}$ is

$$\Lambda_{AB}^{\hat{\mu}\hat{\nu}} = H_{AC}^{\hat{\mu}\hat{\rho}\dagger} H_{\hat{\rho}\ CB}^{\ \hat{\nu}} = -\left(\Gamma^{\hat{\rho}} D_{\hat{\rho}} \Gamma^{\hat{\sigma}} D_{\hat{\sigma}} + \frac{1}{4}\right) g^{\hat{\mu}\hat{\nu}} \delta_{AB} \ . \tag{3.119}$$

Only the components of $\Phi_A^{\hat{\mu}}$ with $\hat{\mu} = \mu$ have boundary modes. Using the partial wave expansion $\Phi_A^{\mu} = \phi_{A+}^{\mu} \otimes \eta + \phi_{A-}^{\mu} \otimes \gamma_S \eta$, the equation of motion $\Lambda_{AB}^{\mu\hat{\nu}} \Phi_{B\hat{\nu}} = 0$ can be expanded as

$$-\left(\gamma^{\rho}D_{\rho}\gamma^{\sigma}D_{\sigma}-(l+1)^{2}+\frac{1}{4}\right)\phi^{\mu}_{A+}\otimes\eta-\left(\gamma^{\rho}D_{\rho}\gamma^{\sigma}D_{\sigma}-(l+1)^{2}+\frac{1}{4}\right)\phi^{\mu}_{A-}\otimes\gamma_{S}\eta=0.$$
(3.120)

Thus we find that there are 4 towers of gravitino boundary modes with identical mass squared $m^2 = (l+1)^2 - \frac{1}{4}$, each with the degeneracy 2l+2.

3.8 Summary of the mass spectrum

As conclusion to this long section we summarize our results.

The mass spectrum (h, j) for the bulk modes is given in table 2. In all cases the conformal weight h is related to an effective scalar mass as $m^2 = h(h-1)$ for bosons or $m^2 = (h - \frac{1}{2})^2$ for fermions. For scalar fields m^2 is the on-shell eigenvalue of ∇_A^2 . However, for vectors and tensors we identify m^2 as the eigenvalue of $\nabla_A^2 + 1$ and $\nabla_A^2 + 2$, respectively. This is justified by the action of the operators $\nabla_A^2 + 1$, $\nabla_A^2 + 2$ on vectors and tensors being equivalent to the action of ∇_A^2 on the corresponding scalar field obtained by the appropriate dualization in AdS₂. Thus we can use the formula for the conformal weight (1.1) for all bosons.

A notable feature of the results recorded in table 2 is that the conformal weight h is integral in all cases. Since h is determined for each entry by solving the quadratic $m^2 = h(h-1)$ or $m^2 = (h-\frac{1}{2})^2$ this is a rather nontrivial result. It requires that all effective masses are such that the discriminant of the quadratic is a perfect square. This property would be expected if the spectrum was organized in supermultiplets but, in the present context, supersymmetry is entirely broken by the background. We will develop this point further in sections 5 and 6.

The angular momentum quantum number j labels the irreducible representation of SU(2). There are (2j + 1) states for each value of j. The values of j are integral (half-integral) for bosons (fermions), as expected. It is interesting that, in contrast, the conformal weight h is integral for both bosons and fermions. In our context states are not organized in supermultiplets so there is no general expectation that h must be half-integral for fermions but the result seems surprising nonetheless. We will also develop this point further in section 5.

The scalars in the vector block generally mix with the vector field. However, the vector field does not include a spherically symmetric mode so the j = 0 sector has just one mode, an effective 2D scalar with h = 2. A minimally coupled scalar would have h = 1, as for the scalar block, so these scalars are non-minimally coupled even in the spherically symmetric sector. This is an aspect of the attractor mechanism which determines the horizon value of the scalars in the vector block as a function of the charges and therefore inhibits their fluctuations around the preferred attractor value. This is a nonBPS version of the mechanism familiar from BPS black holes where these fields are known as fixed scalars [26].

The analogous scalar mode in the j = 0 sector of the KK block is also interesting. It has conformal weight h = 3. Thus the coupling between the KK scalar and gravity is stronger than the analogous coupling between gauge fields and their scalar partners. This effect has no analogue on the BPS branch but the h = 3 mode was previously identified for rotating black holes [27].

Boundary modes are more subtle since they are based on harmonic modes which have no bulk kinetic term. For these modes we worked out the full off-shell spectrum, to circumvent any ambiguity. The result is present in terms of "masses" in table 3. The mass indicates the departure from a true zero-mode so m^2 is the eigenvalue appropriate for computing functional determinants.

4 Heat kernels

In this section we use the mass spectrum determined in the previous section to compute the 4D heat kernel and the associated logarithmic corrections to black hole entropy. There are three distinct contributions:

- *Bulk modes*: the propagating degrees of freedom summarized in table 2.
- Boundary modes: the global degrees of freedom due to the harmonic modes of AdS_2 vectors, gravitini, and tensors. They are summarized in table 3.

Blocks	Blocks Masses of Boundary Modes		Multiplicity	Range
KK	$m^2 = l(l+1)$	2l + 1	3	$l \ge 0$
KK	$m^2 = (l-1)l$	2l + 1	1	$l \ge 0$
KK	$m^2 = (l+1)(l+2)$	2l + 1	1	$l \ge 1$
KK	$m^2 = l(l+1) + 2$	2l + 1	1	$l \ge 1$
KK	$m^2 = l(l+1) + 2$	2l + 1	-1	$l \ge 0$
Vector	$m^2 = l(l+1)$	2l + 1	1	$l \ge 0$
Gravitino	$m^2 = (l+1)^2 - \frac{1}{4}$	2l + 2	4	$l \ge 0$

Table 3. Mass spectrum of boundary modes in non-BPS blocks. (Multiplicity -1 denotes contribution from ghosts.)

• Zero mode corrections: on-shell boundary modes that were already counted as boundary modes need corrections to their counting weights.

4.1 Heat kernel preliminaries

The action for quadratic fluctuations around a background has the generic form

$$S = -\int d^4x \sqrt{-g} \,\phi_n \Lambda_m^n \phi^m \,, \tag{4.1}$$

where $\{\phi_n\}$ is a complete set of fields and Λ_m^n is a matrix that encodes the action of quadratic fluctuations around the background. The heat kernel of the operator Λ is then defined by

$$K_4(s) = \operatorname{Tr} e^{-s\Lambda} = \sum_i e^{-s\lambda_i}, \qquad (4.2)$$

where $\{\lambda_i\}$ is the set of eigenvalues of Λ .

We denote the heat kernel of a massless field on AdS_2 by $K_A(s)$. The result for a mode with effective 2D mass m^2 is suppressed by an additional factor e^{-m^2s} so, upon summing over a complete tower of states with masses m_j^2 and $\operatorname{SU}(2)$ quantum number j, we find

$$K_4(s) = K_A(s)K_S(s) = K_A(s) \frac{1}{4\pi} \sum_{j} (2j+1)e^{-m_j^2 s}, \qquad (4.3)$$

for the 4D heat kernel on $\operatorname{AdS}_2 \times S^2$. The sum over the tower can be interpreted as a field on S^2 and so we divide it by the area 4π of the unit S^2 and denote it by $K_S(s)$. The masses are related to conformal weights as $m^2 = h(h-1)$ for bosons and $m^2 = (h-\frac{1}{2})^2 = h(h-1) + \frac{1}{4}$ for fermions.

The Laurent expansion of the heat kernel $K_4(s)$ around s = 0 generally has poles of order s^{-2} and s^{-1} , followed by a constant that we denote K_4^{const} . It is related to the central charge a of the 4D conformal anomaly by

$$a = 2\pi^2 K_4^{\text{const}} . \tag{4.4}$$

The other central charge c is immaterial here because the $AdS_2 \times S^2$ background is conformally flat.

4.2 Bulk modes

All bulk bosons in 2D are represented as scalars. A massless scalar on Euclidean AdS_2 has continuous eigenvalues $\lambda_A = p^2 + \frac{1}{4}$, $p \in \mathbb{R}$ weighted by the Plancherel measure $\mu(p) = p \tanh(\pi p)$. It has heat kernel [4]:

$$K_A^b(s) = \frac{1}{2\pi} \int_0^\infty dp \ p \tanh(\pi p) \exp\left[-s\left(p^2 + \frac{1}{4}\right)\right] \\ = \frac{1}{4\pi s} \left(1 - \frac{1}{3}s + \frac{1}{15}s^2 + \mathcal{O}(s^3)\right) .$$
(4.5)

For sums over towers of modes an essential benchmark is the heat kernel of a minimally coupled scalar on S^2 . The standard result from introductory quantum mechanics is that the eigenvalues of $-\nabla_S^2$ are l(l+1) with degeneracy 2l+1 and range $l = 0, 1, \ldots$. This gives the heat kernel:

$$K_S^b(s) = \frac{1}{4\pi} \sum_{k=0}^{\infty} (2k+1)e^{-k(k+1)s} = \frac{1}{4\pi s} \left(1 + \frac{1}{3}s + \frac{1}{15}s^2 + \mathcal{O}(s^3) \right) .$$
(4.6)

With these results the spectrum for bulk bosons given in table 2 yields the following heat kernels:

Scalar block. The scalar block is just a minimal scalar with spectrum (h, j) = (k+1, k) for $k \ge 0$. For bosons, we have $m^2 = h(h-1)$ with degeneracy 2j + 1, therefore

$$K_4^{\text{scalar}} = K_A^b K_S^{\text{scalar}} = K_A^b \frac{1}{4\pi} \sum_{k=0}^{\infty} (2k+1)e^{-k(k+1)s}$$
$$= \frac{1}{16\pi^2 s^2} \left(1 + \frac{1}{45}s^2 + \mathcal{O}(s^3) \right) . \tag{4.7}$$

where we used (4.6) for the sum over the tower. The constant term $K_4^{\text{const}} = \frac{1}{720\pi^2}$ corresponds to the conformal anomaly $a^{\text{scalar.bulk}} = \frac{1}{360}$ according to (4.4). This is the standard answer for a minimally coupled scalar (1 d.o.f.) [28, 29].

Vector block. The spectrum of the vector block has 3 towers: (h, j) = (k + 2, k), (k + 2, k + 1), (k + 1, k + 1) for $k \ge 0$. Therefore we have

$$\begin{split} K_4^{\text{vector}} &= K_A^b K_S^{\text{vector}} \\ &= \frac{K_A^b}{4\pi} \left(\sum_{k=0} (2k+1) e^{-(k+1)(k+2)s} + \sum_{k=1} (2k+1) \left(e^{-k(k+1)s} + e^{-(k-1)ks} \right) \right) \\ &= K_A^b \frac{1}{4\pi} \left(3 \sum_{k=0} (2k+1) e^{-k(k+1)s} \right) = 3K_4^{\text{scalar}} . \end{split}$$
(4.8)

Thus the vector block (3 d.o.f.) has the same heat kernel as 3 minimally coupled scalars: $a^{\text{vector.bulk}} = \frac{1}{120}$. **KK block.** The spectrum of the KK block has 5 towers: (h, j) = (k+3, k), (k+3, k+1), (k+2, k+1), (k+2, k+2), (k+1, k+2) for $k \ge 0$. Therefore we have

$$\begin{aligned} K_4^{\text{gravity}} &= K_A^b K_S^{\text{gravity}} \\ &= \frac{K_A^b}{4\pi} \left(\sum_{k=0}^{k} (2k+1) e^{-(k+2)(k+3)s} + \sum_{k=1}^{k} (2k+1) \left(e^{-(k+1)(k+2)s} + e^{-k(k+1)s} \right) \right) \\ &+ \sum_{k=2}^{k} (2k+1) \left(e^{-(k-1)ks} + e^{-(k-2)(k-1)s} \right) \right) \\ &= K_A^b \frac{1}{4\pi} \left(5 \sum_{k=0}^{k} (2k+1) e^{-k(k+1)s} \right) = 5K_4^{\text{scalar}} . \end{aligned}$$
(4.9)

Thus the KK block (5 d.o.f.) has the same heat kernel as 5 minimally coupled scalars: $a^{\text{KK.bulk}} = \frac{1}{72}$.

The heat kernel of a massless minimally coupled spinor (1 d.o.f.) on AdS₂ is given by [30]

$$K_{A}^{f}(s) = -\frac{1}{2\pi} \int_{0}^{\infty} dp \ p \coth(\pi p) \exp\left(-sp^{2}\right)$$
$$= -\frac{1}{4\pi s} \left(1 + \frac{1}{6}s - \frac{1}{60}s^{2} + \mathcal{O}(s^{3})\right), \qquad (4.10)$$

where the overall sign incorporates fermionic statistics. With this result as starting point, the spectrum for bulk fermions given in table 2 yields:

Gaugino block. The spectrum of the gaugino block has 4 towers: two copies of $(h, j) = (k+2, k+\frac{1}{2}), (k+1, k+\frac{1}{2})$ for $k \ge 0$. For fermions we have $m^2 = (h-\frac{1}{2})^2 = h(h-1) + \frac{1}{4}$ with degeneracy 2j + 1, therefore

$$\begin{aligned} K_4^{\text{gaugino}} &= K_A^f K_S^{\text{gaugino}} \\ &= K_A^f \frac{2}{4\pi} e^{-\frac{1}{4}s} \left(\sum_{k=0}^{k} (2k+2) e^{-k(k+1)s} + \sum_{k=1}^{k} 2k e^{-k(k+1)s} \right) \\ &= K_A^f \frac{4}{4\pi} e^{-\frac{1}{4}s} \sum_{k=0}^{k} (2k+1) e^{-k(k+1)s} \\ &= -\frac{1}{4\pi^2 s^2} \left(1 + \frac{1}{4}s + \frac{17}{1440}s^2 + \mathcal{O}(s^3) \right) . \end{aligned}$$
(4.11)

We used (4.6) for the sum over the tower, as for bosons. The constant term $K_4^{\text{const}} = -\frac{17}{5760\pi^2}$ and (4.4) give the conformal anomaly $a^{\text{gaugino.bulk}} = -\frac{17}{2880}$ for the gaugino block (4 d.o.f.).

Gravitino block. The spectrum of the gravitino block has 8 towers: two copies of $(h, j) = (k+3, k+\frac{1}{2}), (k+2, k+\frac{1}{2}), (k+2, k+\frac{3}{2}), (k+1, k+\frac{3}{2})$ for $k \ge 0$. It gives

the heat kernel

$$\begin{aligned} K_4^{\text{gravitino}} &= K_A^f K_S^{\text{gravitino}} \\ &= K_A^f \frac{2}{4\pi} e^{-\frac{1}{4}s} \bigg(\sum_{k=0} (2k+4) e^{-k(k+1)s} + \sum_{k=1} (2k+2) e^{-k(k+1)s} \\ &+ \sum_{k=1} 2k e^{-k(k+1)s} + \sum_{k=2} (2k-2) e^{-k(k+1)s} \bigg) \\ &= K_A^f \frac{8}{4\pi} e^{-\frac{1}{4}s} \sum_{k=0} (2k+1) e^{-k(k+1)s} = 2K_4^{\text{gaugino}} . \end{aligned}$$
(4.12)

Thus the gravitino block (8 d.o.f.) has the same heat kernel as 2 gaugino blocks: $a^{\text{gravitino.bulk}} = -\frac{17}{1440}$.

It is interesting that in all cases the results are equivalent to free massless bosons or fermions with the appropriate number of degrees of freedom. This amounts to a delicate conspiracy between non-minimal couplings and ranges of partial wave towers. The origin of these simplifications is not clear to us.

For $\mathcal{N} = 8$ SUGRA, there are 1 KK block, 27 vector blocks, 42 minimally coupled scalars, 4 gravitino blocks, and 24 gaugino blocks. In this case the total contribution from the bulk modes becomes:

$$a_{\mathcal{N}=8}^{\text{bulk}} = (5+27\times3+42)\times\frac{1}{360} - (4\times2+24)\times\frac{17}{2880} = \frac{1}{6}$$
 (4.13)

For $\mathcal{N} = 4$ SUGRA with n_V matter multiplets, there are 1 KK block, $(n_V + 5)$ vector blocks, $(5n_V - 4)$ minimally coupled scalars, 2 gravitino blocks, and $2n_V$ gaugino blocks, which give the bulk contribution $a_{\mathcal{N}=4}^{\text{bulk}} = \frac{n+2}{96}$.

4.3 Boundary modes

As discussed in subsection 3.7, boundary modes are due to the harmonic modes on AdS_2 of vectors, gravitini, and tensors. The scalar and gaugino blocks do not have boundary modes. These modes are constant on the AdS_2 space with (renormalized) volume 2π . Therefore, the heat kernel for a single boundary mode is given by

$$K_A^{\text{zero}}(s) = \pm \frac{1}{2\pi},$$
 (4.14)

where \pm is for bosons/fermions. The contributions to the heat kernel from the entire towers of boundary modes are then computed as follows.

Vector block. The spectrum of boundary modes for the vector block given in table 3 is $m_l^2 = l(l+1)$ with integral $l \ge 0$. This is equivalent to a single scalar field on the S^2 . Their contribution to the heat kernel become

$$K_4^{\text{vector.bndy}} = K_A^{\text{zero}} K_S$$

= $\frac{1}{2\pi} \frac{1}{4\pi} \sum_{k=0}^{\infty} (2k+1) e^{-k(k+1)s}$
= $\frac{1}{8\pi^2 s} \left(1 + \frac{1}{3} s + \mathcal{O}(s^2) \right),$ (4.15)

where we used the sum (4.6).

According to (4.4) the constant term in this expression gives conformal anomaly $a^{\text{vector.bndy}} = \frac{1}{12}$, so, adding the bulk contribution of a single vector block $a^{\text{vector.bulk}} = \frac{1}{120}$ from table 2, our explicit sum over modes gives $a^{\text{vector.bulk+bndy}} = \frac{11}{120}$. This agrees with the result found in [13] using a very different method.

KK block. The boundary modes listed for the KK block in table 3 comprise 6 towers as well as a single ghost tower. Their heat kernel becomes

$$\begin{split} K_4^{\text{KK.bndy}} &= \frac{1}{2\pi} \frac{1}{4\pi} \Biggl(3 \sum_{k=0}^{\infty} (2k+1) e^{-sk(k+1)} + \sum_{k=0}^{\infty} (2k+1) e^{-s(k-1)k} \\ &+ \sum_{k=1}^{\infty} (2k+1) e^{-s(k+1)(k+2)} + e^{-2s} \sum_{k=1}^{\infty} (2k+1) e^{-sk(k+1)} - e^{-2s} \sum_{k=0}^{\infty} (2k+1) e^{-sk(k+1)} \Biggr) \\ &= \frac{1}{8\pi^2} \left(5 \sum_{k=0}^{\infty} (2k+1) e^{-sk(k+1)} + 2 - 2e^{-2s} \right) \\ &= \frac{1}{8\pi^2} \left(\frac{5}{s} + \frac{5}{3} + \frac{13}{3} s + \mathcal{O}(s^2) \right) \,. \end{split}$$
(4.16)

Reading off the constant term $K_4^{\text{KK,bndy}}$ we find $a^{\text{KK,bndy}} = \frac{5}{12}$ from (4.4). Adding the bulk contribution $a^{\text{KK,bulk}} = \frac{1}{72}$, we get $a^{\text{KK,bulk+bndy}} = \frac{31}{72}$, which also agrees with the result in [13].

Gravitino block. According to table 3, the gravitino block comprises 4 towers of boundary modes with $m_l^2 = (l+1)^2 - \frac{1}{4}$, $l \ge 0$, each with degenracy 2l + 2. This spectrum gives the heat kernel

$$K_4^{\text{gravitino.bndy}} = K_A^{\text{zero}} K_S$$

= $-\frac{1}{2\pi} \frac{4}{4\pi} \sum_{k=0}^{\infty} (2k+2)e^{-((k+1)^2 - \frac{1}{4})s}$
= $-\frac{4}{8\pi^2 s} \left(1 - \frac{1}{6}s + \mathcal{O}(s^2)\right) e^{\frac{1}{4}s}$
= $-\frac{4}{8\pi^2 s} \left(1 + \frac{1}{12}s + \mathcal{O}(s^2)\right),$ (4.17)

corresponding to $a^{\text{gravitino.bndy}} = -\frac{1}{12}$. With the bulk contribution $a^{\text{gravitino.bulk}} = -\frac{17}{1440}$. Again, the sum $a^{\text{gravitino.bulk+bndy}} = -\frac{137}{1440}$ agrees with that of [13].

For $\mathcal{N} = 8$ SUGRA, there are 1 KK block, 27 vector blocks, and 4 gravitino blocks. In this case the total contribution from the boundary modes becomes:

$$a_{\mathcal{N}=8}^{\text{boundary}} = 27 \times \frac{1}{12} + \frac{5}{12} - 4 \times \frac{1}{12} = \frac{7}{3}.$$
 (4.18)

For $\mathcal{N} = 4$ SUGRA with n_V matter multiplets, there are 1 KK block, $(n_V + 5)$ vector blocks and 2 gravitino blocks, which give the boundary modes contribution $a_{\mathcal{N}=4}^{\text{boundary}} = \frac{n_V+8}{12}$.

4.4 Zero mode corrections

Almost all of the modes we encounter are suppressed in the heat kernel (4.2): their eigenvalue is strictly positive. The zero modes are the exceptions: they are constant on the AdS_2 like all boundary modes but they are also constant on the S^2 ; so they are zero-modes on the full spacetime $AdS_2 \times S^2$. The canonical relation between the heat kernel and the effective action which is implicitly presumed in the formula (4.4) for the anomaly coefficient *a* requires damping for large *s* of an integral over the Feynman parameter *s* and this assumption fails in the case of zero-modes.

The correct treatment of zero-modes takes advantage of their relation to symmetries which means their contributions to the path integral are given by integrals over the volume of the appropriate symmetry group, rather than Gaussian integrals over damped modes [5]. Therefore, the correct contribution to the conformal anomaly *a* depends on the dimension of the symmetry parameter which is $\Delta = 1, \frac{3}{2}, 2$ for vectors, gravitini, tensors. The heat kernel (4.2) includes all modes with weight 1 but the correct scaling dimension is Δ for bosons and 2Δ for fermions. The zero mode *correction* takes this effect into account.

Gauge symmetry generators have $\Delta = 1$ so their zero-modes are, by chance, already accounted for correctly in the naïve heat kernel, in the sense that the formula for a (4.4) can be trusted. Moreover, on the nonBPS branch the gravitino has no zero-modes, because supersymmetry is entirely broken. Therefore, the KK-block is the only one affected by zero mode corrections. For diffeomorphisms $\Delta = 2$ so, since they were already counted with weight one, the contributions of these zero modes should be doubled.

In the KK block, there are in total 6 zero modes from non-normalizable diffeomorphisms that need zero mode corrections: 3 zero modes from the AdS₂ tensor $H_{\{\mu\nu\}}$ and 3 more from the mixed vector modes (3.114) with l = 1. This gives the zero mode correction

$$a^{\text{KK.zero}} = 2\pi^2 \times 6 \times \frac{1}{8\pi^2} = \frac{3}{2}$$
 (4.19)

This is the same as the contribution from $m^2 = 0$ modes to the sum (4.16) over KK boundary modes. Thus, by adding this zero mode *correction* their contribution is doubled, as it should be.

4.5 Summary of anomaly coefficients

As summary of this section we give our results for the anomaly coefficients a in table 4. The entry for boundary modes a^{bndy} includes naïve zero modes and a^{zero} denotes the corrections determined by the more careful treatment. The sum $a^{\text{bulk}}_{+\text{bndy}}$ is of interest since it can be compared with results from the local method [13]. We find agreement for each of the 5 type of blocks. This gives great confidence in all our computations.

5 Compactifications with an AdS_3 factor

In this section we consider the special case where the $AdS_2 \times S^2$ geometry arises from $AdS_3 \times S^2$ with (0,4) supersymmetry through a reduction along a direction that is nearly null. We recover the black hole spectrum on the BPS (or nonBPS) branch depending on whether the reduction is along the "0" (or the "4") direction.

Blocks	d.o.f.	a^{bulk}	a^{bndy}	a^{zero}	$a^{\text{bulk}}_{+\text{bndy}}$	a^{total}
Scalar	1	$\frac{1}{360}$	0	0	$\frac{1}{360}$	$\frac{1}{360}$
Gaugino	4	$-\frac{17}{2880}$	0	0	$-\frac{17}{2880}$	$-\frac{17}{2880}$
Vector	3	$\frac{1}{120}$	$\frac{1}{12}$	0	$\frac{11}{120}$	$\frac{11}{120}$
Gravitino	8	$-\frac{17}{1440}$	$-\frac{1}{12}$	0	$-\frac{137}{1440}$	$-\frac{137}{1440}$
KK	5	$\frac{1}{72}$	$\frac{5}{12}$	$\frac{3}{2}$	$\frac{31}{72}$	$\frac{139}{72}$
$\mathcal{N} = 4$	$32 + 16n_V$	$\frac{n_V+2}{96}$	$\frac{n_V+8}{12}$	$\frac{3}{2}$	$\frac{3n_V+22}{32}$	$\frac{3n_V+70}{32}$
$\mathcal{N} = 8$	256	$\frac{1}{6}$	$\frac{7}{3}$	$\frac{3}{2}$	$\frac{5}{2}$	4

Table 4. Anomaly Coefficients of the Non-BPS Blocks.

5.1 String theory on $AdS_3 \times S^2 \times M$

We consider M-theory compactified to 5D on a Calabi-Yau manifold \mathcal{M} in the supergravity limit. The 5D $\mathcal{N} = 2$ content of this theory was worked out in [31]. We include cases with enhanced holonomy $\mathcal{M} = K3 \times T^2$ and $\mathcal{M} = T^6$ so, in the long distance approximation, we effectively study 5D SUGRA with $\mathcal{N} \geq 2$ supersymmetry. It is useful to describe this theory as $\mathcal{N} = 2$ SUGRA coupled to $n_S = \mathcal{N} - 2$ gravitino multiplets (corresponding to supersymmetry extended beyond $\mathcal{N} = 2$) and also to $\mathcal{N} = 2$ matter in n_V vector multiplets and n_H hypermultiplets.

In the setting of these 5D theories we consider field configurations with magnetic fluxes through an S^2 . They correspond to black string solutions in 5D that are interesting for our purposes because, after further compactification of the string on a circle, they correspond to black holes in 4D [32]. We focus on fluxes such that the world-volume of the 5D black strings preserve (0, 4) supersymmetry while their gravitational description features an $AdS_3 \times S^2$ near horizon geometry. Supergravity fluctuations in this background can be classified by the quantum numbers of primary fields $(h_L, h_R; j_R)$, where h_L is the scaling dimension with respect to an $SL(2)_L$ isometry of AdS_3 and h_R , j_R are the quantum number under $SL(2)_R$ and $SU(2)_R$ isometries of AdS_3 and S^2 , respectively.

Because the 5D black string solution preserves (0, 4) supersymmetry we can organize its spectrum into supermultiplets. The supergravity fluctuations are all in short multiplets characterized by chiral primaries (states with $h_R = j_R$ but any h_L) and their descendants under the preserved $\mathcal{N} = 2$ supersymmetry are

$$(h_L, h_R; j_R), \quad 2\left(h_L, h_R + \frac{1}{2}; j_R - \frac{1}{2}\right), \quad (h_L, h_R + 1; j_R - 1), \quad (5.1)$$

with appropriate truncations of the multiplet for small values of j_R . The short multiplet numerically has $h_R = j_R$ but we retain both notations to emphasize that these are quantum numbers of two distinct operators. The short multiplet structure applies to all fluctuations in the supergravity approximation so it is common to present the black hole spectrum in terms of the chiral primaries, with descendants under supersymmetry (5.1) implied.

5D multiplets	Spectrum (h_L, h_R, j_R) of chiral primaries $(h_R = j_R)$
Hyper	$2\left(k+1,k+\frac{1}{2};k+\frac{1}{2}\right)$
Vector	(k+2, k+1; k+1) $(k+1, k+1; k+1)$
Gravitino	$(k+2, k+\frac{1}{2}; k+\frac{1}{2})$ $(k+2, k+\frac{3}{2}; k+\frac{3}{2})$ $(k+1, k+\frac{3}{2}; k+\frac{3}{2})$
Gravity	(k+3,k+1;k+1) (k+2,k+1;k+1) (k+2,k+2;k+2) (k+1,k+2;k+2)

Table 5. The spectrum of chiral primaries on $AdS_3 \times S^2 \times M$. The label $k = 0, 1, \ldots$

A standard computation (see e.g. [44]) yields the spectrum of chiral primaries for the $AdS_3 \times S^2$ compactification of 5D supergravity given in table 5. We want to deduce the implications of this spectrum on $AdS_3 \times S^2$ for theories on $AdS_2 \times S^2$.

5.2 nNull reduction: thermodynamics

Many versions of the reduction from AdS_3/CFT_2 to AdS_2/CFT_1 have appeared in the literature over the years, including [17, 33–36]. However, the recent advent of $nAdS_2/nCFT_1$ correspondence [7, 37] justifies renewed scrutiny of this point.

We first describe the dimensional reduction from a thermodynamic point of view, that is more familiar. Because of the chiral nature of CFT_2 's it is useful to introduce two independent "temperatures" $T_{L,R}$ that incorporate both "the" temperature T (the thermodynamic potential for energy $E = (h_L + h_R)/\ell_3$)

$$\frac{1}{T} = \frac{1}{2} \left(\frac{1}{T_L} + \frac{1}{T_R} \right) \,, \tag{5.2}$$

and an independent chemical potential (the difference of "temperatures") for the spin $s = h_L - h_R$.

Implementing the low temperature limit $T \to 0$ by taking $T_R \to 0$ with T_L fixed, the semiclassical entropy of the theory takes the form

$$S = \frac{\pi^2}{3} \left(c_L T_L + c_R T_R \right) \ell_3 = S_0 + \frac{1}{2} \pi T \mathcal{L} + \mathcal{O}(T^2) \,, \tag{5.3}$$

where the extremal entropy $S_0 = \frac{\pi^2}{3} c_L T_L \ell_3$ is independent of the temperature and the length scale $\mathcal{L} = \frac{2\pi}{3} c_R \ell_3$ that characterizes the linear term in the temperature is proportional to the inverse mass gap of the theory [7, 38, 39]. Our normalization for the length scale \mathcal{L} follows [19] and ensures that it agrees with the "long string scale" that is characteristic of the (0, 4) models underlying microscopics of 4D black holes.

The *strict* extremal limit $T \to 0$ clearly retains states of the form |anything, gs> where the *R*-sector is in its ground state (except perhaps for a finite ground state multiplicity) and "anything" is the origin of the extremal entropy S_0 . In the standard BPS limit "anything" are the states counted by the elliptic genus.

The near extremal limit is qualitatively different: it is the theory of excitations above the strict extremal limit $T \rightarrow 0$. If focusses on states that take the schematic form |anything, δgs ⟩. The right-moving excitations $|\delta gs\rangle$ are responsible for the term in the entropy (5.3) that is linear in T. It is the spectrum of these excitations that we study.

The upshot of our discussion of near-extreme thermodynamics is that reduction from $AdS_3 \times S^2$ to $AdS_2 \times S^2$ amounts to a basic prescription: simply *disregard* the left moving weight h_L corresponding to the "anything" that specifies the extremal state and retain the right moving weight h_R that characterizes the excitation. Simple as this algorithm may be, it is quite unusual. The canonical set-up for Kaluza-Klein compactification considers a small Kaluza-Klein circle S^1 and finds that the low energy approximation retains only modes that are *constant* on the compactification circle because higher Fourier modes on the S^1 are "heavy". In contrast, our prescription keeps *all* modes on the Kaluza-Klein circle, we *omit* a "momentum" quantum number rather than insisting that it vanishes.

The nNull reduction is chiral in that it (nearly) projects to either the L(eft) or the R(ight) moving sector, depending on whether we study $T_L \to 0$ or $T_R \to 0$. Its two versions are equivalent a priori but, when we apply the construction to the (0, 4) CFT₂'s that we have in mind, there is an asymmetry between the two chiralities. In this subsection, we elected to focus on the nNull reduction $T_R \to 0$ that (nearly) projects on the BPS branch, since that facilitates comparison with the literature. However, our interest in this paper will ultimately is primarily in the analogous discussion for the nonBPS branch. It follows by interchanging L and R labels.

5.3 nNull reduction: kinematics

The thermodynamic reasoning above establishes features that reduction from AdS_3/CFT_2 to AdS_2/CFT_1 must exhibit in order to describe the facts we have established by explicit computations in $AdS_2 \times S^2$. They are not consistent with standard Kalaza-Klein reduction on a spatial circle so their geometrical implementation must be nonstandard. In the following we show that they can be recovered from *null reduction*, i.e. "compactification" on a null circle. The details will not only prove illuminating conceptually but also yield precise consequences that we can test.

A Lorentzian CFT₂ on a spatial circle with radius R is obviously invariant under simultaneous shift of the two null coordinates $x_{R,L} = t \pm x$ by $\pm 2\pi R$. However, due to invariance under a boost (with rapidity η) it is also invariant under shifts of these null coordinates by unequal amounts $\pm 2\pi R e^{\pm \eta}$. Therefore, as in the DLCQ description of M(atrix)-theory [17, 40], there is a family of equivalent theories that all have the same fixed periodicity of the coordinate x_L but variable periodicity of x_R . As this periodicity get smaller, states with large "momentum" h_R become heavy, as the intuition from standard Kaluza-Klein compactification suggests. However, in contrast to the standard construction, the value of the "momentum" h_L is inconsequential in this limit.

In the language of effective quantum field theory, the nNull-reduction presents operators in the theory as

$$\left(\frac{p_R}{\Lambda}\right)^{h_R-1} \mathcal{O}^{(h_L,h_R;j_R)}(x_L,x_R), \qquad (5.4)$$

where p_R is the typical frequency corresponding to the x_R dependence and Λ is the "R" cutoff. The dependence on x_L is inconsequential. The strict IR limit takes the cut-off $\Lambda \to \infty$ with the physical momenta $p_{L,R}$ fixed so only operators with $h_R = 1$ remain. These ground states of the R sector are the BPS states in the case of a CFT₂ with (0, 4) supersymmetry. These important operators form the chiral ring of the CFT₂ and they are counted by the the elliptic genus. However, the *near* IR limit describes the *approach to* the IR limit by operators (5.4) with $h_R > 1$. Geometrically, this corresponds to compactification along a direction that is *nearly* null. We refer to this construction as a nNull reduction.

In the nNull reduction procedure, the wave functions on AdS_3 generally depend on the x_L coordinate but we are instructed to ignore this dependence and instead focus exclusively on the R direction. Therefore, the effective 2D wave functions that follow from nNull reduction depend on the position in AdS_2 . We interpret our computations directly in 2D as the identification of this dependence.

The nNull reduction thus ignores the L sector and describes the dynamics of the R sector as a self-contained theory. It is a consistency condition on this procedure that operators with identical x_R dependence but distinct x_L dependence realize physics that is largely independent of the latter. This is indeed the expectation: the L sector is in a thermal state characterized by temperature T_L and, according to standard arguments in statistical mechanics, the precise state of this thermal background is inconsequential.

The situation is similar to the well-known description of quasiparticles in the effective field theory of Fermi liquids. In that context the vast majority of the electrons reside deep under the Fermi surface but these "typical" electrons are not the interesting ones: the nontrivial dynamics is captured by the quasiparticles corresponding to low energy excitations on top of the Fermi surface. It is consistent that the Fermi liquid theory ignores the vast number of states under the Fermi surface as long as the quasiparticles are long lived, a condition that is satisfied at low temperature. Similarly, in our black hole context, the coupling between left- and right-moving sectors will also be suppressed thermally. We can interpret the small residual interaction as the origin of Hawking radiation from the black hole [41].

5.4 Explicit comparison between $AdS_3 \times S^2$ and $AdS_2 \times S^2$

We can use the prescription from the preceding subsection to compare results from explicit computations in 4D with dimensional reductions from 5D. It is important to distinguish two cases from the 4D point of view: the BPS branch that was already discussed in the literature [42, 43] and the nonBPS branch that this paper analyzes in detail. They correspond to two distinct dimensional reductions of the spectrum on $AdS_3 \times S^2$. In terms of the labels $(h_L, h_R; j_R)$ employed in table 5 for bulk 5D representations they are:

- The BPS branch: the dimensional reduction removes the h_L quantum number. It is manifest that the spectrum is organized into short multiplets of the form (5.1) also after reduction. Starting from the 5D spectrum in table 5 we recover the bulk BPS spectrum on $AdS_2 \times S^2$ presented in table 6 for reference and comparison.
- The nonBPS branch: the reduction removes the h_R quantum number from the labels $(h_L, h_R; j_R)$. Thus, to find the spectrum on the nonBPS branch of $AdS_2 \times S^2$ we first augment the chiral primaries in table 5 with the structure of short multiplets (5.1)

4D supermultiplet	upermultiplet Spectrum (h, j) of BPS solutions				
Hypermultiplet	$2\left(k+\frac{1}{2},k+\frac{1}{2}\right)$	$4\left(k+1,k\right)$	$2\left(k+\frac{5}{2},k+\frac{1}{2}\right)$	20	
Vector multiplet	$2\left(k+1,k+1\right)$	$4\left(k+\frac{3}{2},k+\frac{1}{2}\right)$	$2\left(k+2,k\right)$	15	
Gravitino multiplet	$2\left(k+\frac{3}{2},k+\frac{3}{2}\right)$	$4\left(k+2,k+1\right)$	$2\left(k+\frac{5}{2},k+\frac{1}{2}\right)$	6	
Gravity multiplet	$2\left(k+2,k+2\right)$	$4\left(k+\frac{5}{2},k+\frac{3}{2}\right)$	$2\left(k+3,k+1\right)$	1	

Table 6. Bulk spectrum of BPS solutions. The integral label $k \ge 0$. In each line the first entry is the chiral primary and the remaining entries reflect the structure (5.1) of a short multiplet.

and only then omit the index h_R . The spectrum of primaries that follows from this procedure retains no simplifications that can be obviously traced to supersymmetry. Nonetheless, the result for primaries identified this way agree with our explicit computations on $AdS_2 \times S^2$ presented in table 2.

In the discussion of CFT_2 's in this paper we have assigned the theory (0, 4) supersymmetry. This convention implies no loss of generality by itself but, once we have it, it is consequential that in subsections 5.2 and 5.3 we discussed reduction along the null-direction with label L, corresponding to the thermodynamic limit $T_R \to 0$. This choice preserves supersymmetry so it amounts to focus the BPS branch of $AdS_2 \times S^2$. The discussion of the nonBPS branch is entirely analogous but, as noted in the end of subsection 5.2, the labels L and R must be interchanged throughout. In the introduction we similarly opted to assign labels L, R such that they are appropriate for the more familiar BPS branch.

With these potential confusions in mind, we spell out the details for each 5D $\mathcal{N} = 2$ multiplet at a time:

• Hypermultiplet

The on-shell field content of a 5D hypermultiplet in $\mathcal{N} = 2$ supergravity is two gaugini $(2 \times 2 \text{ d.o.f})$, and four scalars $(4 \times 1 \text{ d.o.f.})$. On the BPS branch this amounts precisely to a 4D hypermultiplet but on the nonBPS branch the fields split so fermions are in one gaugino block (with two gaugini) and the bosons are in four scalar blocks (each with one real scalar).

Table 5 indicates that on $\operatorname{AdS}_3 \times S^2$ an $\mathcal{N} = 2$ hypermultiplet is organized in two towers of chiral primaries that both have $(h_L, h_R; j_R) = (k + 1, k + \frac{1}{2}; k + \frac{1}{2})$ where $k = 0, 1, \ldots$ The structure of short multiplets given in (5.1) then yields 8 towers of primary fields with $(h_L, h_R; j_R) = 2(k + 1, k + \frac{1}{2}; k + \frac{1}{2}), 4(k + 1, k + 1; k), 2(k + 1, k + \frac{3}{2}; k - \frac{1}{2})$. In the last towers the entry with k = 0 is empty so we may replace these tower with $2(k + 2, k + \frac{5}{2}; k + \frac{1}{2})$ with $k = 0, 1, \ldots$

Dimensional reduction to the BPS branch of $AdS_2 \times S^2$ simply omits h_L . The resulting 8 towers indeed reproduce the BPS spectrum found directly in 4D that is summarized in table 6 [44, 45].

On the nonBPS branch we must instead remove the quantum number h_R . This results in 4 bosonic towers with the quantum numbers given in table 2 for a scalar

block, i.e. a minimally coupled scalar field. Importantly, it also gives 4 fermion towers with the assignments previously found for a gaugino block on the nonBPS branch.

• Vector multiplet

The on-shell field content of a 5D vector multiplet in $\mathcal{N} = 2$ supergravity is one 5D vector field (3 d.o.f.), two gaugini (2 × 2 d.o.f), and one scalar (1 d.o.f.). Dimensional reduction of a 5D vector field gives a 4D vector field and a *real* scalar so an $\mathcal{N} = 2$ vector multiplet in 5D corresponds to an $\mathcal{N} = 2$ vector multiplet in 4D on the BPS branch, comprising one 4D vector, two gaugini and a *complex* scalar. On the nonBPS branch these 8 degrees of freedom are organized into one vector block (a 4D vector plus one real scalar), one gaugino block (two gaugini), and one scalar block (one real scalar).

On $\operatorname{AdS}_3 \times S^2$ an $\mathcal{N} = 2$ vector multiplet gives chiral primaries that, according to table 5, are organized in two towers with $(h_L, h_R; j_R) = (k+2, k+1; k+1)$ and (k+1, k+1; k+1) where $k = 0, 1, \ldots$ The structure of short multiplets given in (5.1) then yields 8 towers of primary fields.

On the BPS branch our algorithm instructs us to omit the h_L index so it is immediately clear that the reduction of the 5D spectrum to $AdS_2 \times S^2$ yields two copies of $(h_R; j_R) = (k + 1; k + 1)$, each with the descendants prescribed by (5.1). This agrees with the BPS result exhibited in table 6.

The nonBPS branch is less familiar, but equally simple. Upon omission of the quantum number h_R , the 8 aforementioned towers of primary fields each give unambiguous values for the pair (h_L, j_R) . The quantum numbers found by this procedure can be organized into the sum of the spectra presented in table 2 for a vector block, a gaugino block, and a scalar block.

• Gravitino multiplet

The 5D gravitino multiplet consists of one 5D gravitino (4 d.o.f.), two 5D vectors $(2 \times 3 \text{ d.o.f.})$ and a gaugino (2 d.o.f.). Dimensional reduction of a 5D gravitino gives a gravitino and a gaugino in 4D. An $\mathcal{N} = 2$ gravitino multiplet in 5D therefore corresponds to one 4D gravitino, two 4D vectors, two gaugini, and two scalars. On the BPS branch these fields amount to the sum of an $\mathcal{N} = 2$ gravitino multiplet and an $\mathcal{N} = 2 \frac{1}{2}$ -hypermultiplet in 4D. However, on the nonBPS branch, they decompose as the sum of half a gravitino block (one gravitino plus one gaugino in 4D), two vector blocks (two vectors plus two scalars in 4D) and half a gaugino block (one gaugino).

The 5D quantum numbers on $\operatorname{AdS}_3 \times S^2$ given in table 5 indeed reduce to the sum of a gravitino multiplet and half a hypermultiplet entries given for the 4D BPS branch in table 6, upon omission of the h_L index. After omission of the h_R index they similarly agree with the sum of half a gravitino block, two vector blocks, and half a gaugino block given for the 4D nonBPS branch in table 2.

• Gravity multiplet

The gravity multiplet in 5D $\mathcal{N} = 2$ SUGRA consists of the 5D graviton (5 d.o.f.), two 5D gravitini (2 × 4 d.o.f), and the 5D graviphoton (3 d.o.f). On the BPS branch

these fields are represented in 4D as the sum of an $\mathcal{N} = 2$ gravity multiplet (4 + 4 d.o.f.) and an $\mathcal{N} = 2$ vector multiplet (4+4 d.o.f.). On the nonBPS branch, they are represented instead as the sum of a KK-block (5 d.o.f.), one gravitino block (2 × 4 d.o.f.), and a 4D vector block (3 d.o.f.).

The 5D quantum numbers on $AdS_3 \times S^2$ given in table 5 for the gravity multiplet indeed reduce to the sum of the gravity and hypermultiplet entries given for the 4D BPS branch in table 6, upon omission of the h_L index. After omitting the h_R index they similarly agree with the sum of a KK block, two gravitino blocks, and a vector block given for the 4D nonBPS branch in table 2.

It is interesting that the decomposition into decoupled blocks on the nonBPS branch faithfully reflect their 5D origin: the 5D graviton reduces to the KK block, the two 5D gravitini reduce to a gravitino block, and the 5D vector field reduces to the vector block.

The dimensional reduction from 5D to 4D illuminates the unsettling feature that fermions on the nonBPS branch all have integral conformal weight in AdS₂. A 5D spinor on AdS₃ × S² has half-integral spin on AdS₃ and S² independently. Projection of the halfintegral spin vector in AdS₃ on to the periodic spatial coordinate give a half-integral value of $s = h_L - h_R$. Since h_R is tied by supersymmetry to the half-integral spin j_R on S² it must be that h_L is integral. Since the reduction from AdS₃ to AdS₂ on the nonBPS branch omits h_R we see that "the" conformal weight on AdS₂ is the integral h_L . The integral weights in 2D are therefore perfectly consistent with the spin-statistics theorem. Indeed, on the nonBPS branch they are required by its 5D version.

Theories on $\operatorname{AdS}_2 \times S^2$ that arise through dimensional reduction from $\operatorname{AdS}_3 \times S^2$ are not the most general ones, specific assumptions on the moduli of the 5D theory must be imposed. However, for the purpose of computing primary fields in supergravity, this situation does not imply any limitations. This is obvious from a practical point of view: there is a canonical equivalence between the allowed supermultiplets of $\mathcal{N} = 2$ supergravity in 4D and in 5D to the extent that, allowing ourselves some abuse of terminology, we apply identical names to analogous representations in 4D and in 5D: supergravity, gravitino, vector, hyper. Therefore, since consistency requires that the black hole spectrum agrees for the $\operatorname{AdS}_2 \times S^2$ theories that descend from $\operatorname{AdS}_3 \times S^2$, it must in fact agree for all black holes. A more abstract approach reaches the same conclusion: since chiral primaries are robust under motions in moduli space it is sufficient to establish the correspondence when $\operatorname{AdS}_2 \times S^2$ descends from $\operatorname{AdS}_3 \times S^2$ and then we can conclude that the chiral primaries determined these two ways must agree. From either point of view our explicit computation of the black hole spectrum on the nonBPS branch at some level amounts to a consistency check, albeit a rather nontrivial one.

6 Global supersymmetry

Although our focus is on black holes that do not preserve any supersymmetry it is significant that they are solutions to supergravity. One aspect of this setting is that a remnant of the symmetry persists in the spectrum where it acts as a global supersymmetry.

6.1 Global supercharges: the BPS branch of $\mathcal{N} = 8$ theory

Recall that on the BPS branch there are two spinors $\epsilon_{1,2}$ such that the supersymmetry transformation (2.7) vanishes. This indicates preserved *local* supersymmetry and forces the black hole spectrum into short multiplets with the structure (5.1). The nonBPS branch has no analogous symmetries and so its spectrum is not organized into short multiplets. However, on both branches we can exploit the *global* part of supersymmetry, i.e. the actions of the transformations (2.7) (and analogous actions on the bosons) that do not depend on spacetime position.

On the BPS branch of $\mathcal{N} = 8$ SUGRA the R-symmetry is partially broken as $\mathrm{SU}(8)_R \to \mathrm{SU}(2)_R \times \mathrm{SU}(6)$. The 2 preserved and the 6 broken supersymmetries transform as $(\mathbf{2}, \mathbf{1})$ and $(\mathbf{1}, \mathbf{6})$ under the unbroken $\mathrm{SU}(2)_R \times \mathrm{SU}(6)$. In this section we write the generators of the broken supersymmetry as $Q_A^{(\frac{1}{2},\frac{1}{2})}$ where superscripts refer to (h_R, j_R) and A is an SU(6) index. These global supersymmetries (anti)commute with the preserved ones so they leave the structure (5.1) of short multiplets intact.

The chiral primaries are the first entries in each line of table 6. Their multiplicities **20**, **15**, **6**, **1** can be identified with dimensions of SU(6) representations. For example, the towers of hypermultiplets are in the antisymmetric 3-tensor of SU(6) and their chiral primaries are gaugini with quantum numbers $(h_R, j_R) = (k + \frac{1}{2}, k + \frac{1}{2})$ that we can write as $\Lambda_{(k+\frac{1}{2},k+\frac{1}{2})}^{ABC}$. With this notation the obvious contractions

$$V_{(k+1,k+1)}^{AB} = Q_C^{\left(\frac{1}{2},\frac{1}{2}\right)} \Lambda_{\left(k+\frac{1}{2},k+\frac{1}{2}\right)}^{ABC}, \tag{6.1}$$

$$S^{A}_{\left(k+\frac{3}{2},k+\frac{3}{2}\right)} = \frac{1}{2} Q^{\left(\frac{1}{2},\frac{1}{2}\right)}_{B} Q^{\left(\frac{1}{2},\frac{1}{2}\right)}_{C} \Lambda^{ABC}_{\left(k+\frac{1}{2},k+\frac{1}{2}\right)}, \tag{6.2}$$

$$G_{(k+2,k+2)} = \frac{1}{6} Q_A^{\left(\frac{1}{2},\frac{1}{2}\right)} Q_B^{\left(\frac{1}{2},\frac{1}{2}\right)} Q_C^{\left(\frac{1}{2},\frac{1}{2}\right)} \Lambda_{\left(k+\frac{1}{2},k+\frac{1}{2}\right)}^{ABC}, \tag{6.3}$$

reproduce the remaining chiral primaries in table 6. In each case indices indicate (h_R, j_R) so note that, while generally an SU(2) quantum number j can combine with the $j_R = \frac{1}{2}$ of the supercharge and give $j \pm \frac{1}{2}$, for the broken supersymmetry we select just the upper sign. This defines global supersymmetry as an operator in the ring of chiral primary fields.

6.2 Global supercharges: the nonBPS branch of $\mathcal{N} = 8$ theory

We now apply the analogous considerations to the nonBPS branch of $\mathcal{N} = 8$ SUGRA. In this case the local supersymmetry is entirely broken but we can exploit the global supersymmetry that remains. Its manifestation is a set of global charges $Q_A^{(0,\frac{1}{2})}$ where the index A denotes the fundamental representation of the preserved global USp(8) symmetry and, as usual, $(h_L, j_R) = (0, \frac{1}{2})$ denote the SL(2)×SU(2) quantum numbers of the AdS₂×S² isometries.

We start with the 42 moduli, the minimally coupled real scalar fields assembled in a **42** of the global USp(8). We denote this antisymmetric four-tensor of USp(8) as $W^{ABCD}_{(k+1,k)}$. Upon action with the global supercharges we find

$$Q_A^{\left(0,\frac{1}{2}\right)} W_{(k+1,k)}^{ABCD} = \Lambda_{\left(k+1,k+\frac{1}{2}\right)}^{BCD} \oplus \Lambda_{\left(k+2,k+\frac{1}{2}\right)}^{BCD} .$$
(6.4)

In this formula, and generally on the nonBPS branch, we refer by definition to an entire tower with indices $k = 0, 1, \ldots$. In other words, for a given value of k the product of the SU(2) representations $j_R = k$ and $j_R = \frac{1}{2}$ generally allows the values $j_R = k \pm \frac{1}{2}$. However, in the special case of k = 0 the option of "-" is absent so, for the second tower in (6.4), we must shift the indices $k \to k + 1$. We stress that, on the nonBPS branch, we take towers for both the "+" and "-" of $j_R = k \pm \frac{1}{2}$. This is in contrast with the BPS branch where multiplets are shortened so that only the "-" applies for preserved supersymmetries and only the "+" is active for broken supersymmetries. In the context of the global symmetry group USp(8), the contraction of the antisymmetric four-tensor **42** with the supercharge yields an antisymmetric three-tensor **48**. Thus the gaugino spectrum (6.4) agrees with the one we find by explicit computation in section **3** and summarized in table 2.

Action with two global supercharges on the minimal scalar fields similarly gives

$$Q_A^{\left(0,\frac{1}{2}\right)} Q_B^{\left(0,\frac{1}{2}\right)} W_{(k+1,k)}^{ABCD} = V_{(k+2,k)}^{CD} \oplus V_{(k+2,k+1)}^{CD} \oplus V_{(k+1,k+1)}^{CD} .$$
(6.5)

Since supercharges anticommute and the fields are antisymmetric in the indices A, B, \ldots , the product of the global supersymmetries is effectively symmetric and so corresponds to spin 1. Generically the product of spin 1 and spin k gives three towers with spin k + 1, k, and k - 1. However, for k = 0 there is obviously just one tower in this product so, according to our convention that the index k has range $k = 0, 1, \ldots$, we redefined the label $k \to k + 1$ in the first two towers of (6.5). Since the two USp(8) indices of the fields V^{CD} place the fields in the **27** of USp(8) we recover the spectrum of a vector block reported in table 2, as claimed.

For three global supercharges we similarly reason that, when acting on an antisymmetric representation, we effectively multiply spin k of the scalar field with spin $\frac{3}{2}$ of the generators. This gives the decomposition

$$Q_A^{\left(0,\frac{1}{2}\right)} Q_B^{\left(0,\frac{1}{2}\right)} Q_C^{\left(0,\frac{1}{2}\right)} W_{(k+1,k)}^{ABCD} = S_{\left(k+3,k+\frac{1}{2}\right)}^D \oplus S_{\left(k+2,k+\frac{1}{2}\right)}^D \oplus S_{\left(k+2,k+\frac{3}{2}\right)}^D \oplus S_{\left(k+1,k+\frac{3}{2}\right)}^D .$$
(6.6)

The smallest values are easily checked by hand: the $h_L = 1$ state in $W_{(k+1,k)}^{ABCD}$ has $j_R = 0$ so, after taking the product with spin $\frac{3}{2}$ of the generators, we find that the $h_L = 1$ level has just one state and that state has $j_R = \frac{3}{2}$. The only $h_L = 1$ on the right hand side is the fourth term for k = 0 and this term indeed has $j_R = \frac{3}{2}$. Similarly, the $h_L = 2$ states on the left hand side arise from the spin composition $\frac{3}{2} \otimes 1 = \frac{1}{2} \oplus \frac{3}{2} \oplus \frac{5}{2}$, in agreement with the j_R values of the k = 0 states in the 2nd and 3rd tower and the k = 1 state in the 4th tower. The result for the spectrum (6.6) generated by global supersymmetry agrees with that given in table 2 for half a gravitino block.

Finally, we act with four global supercharges and get

$$Q_{A}^{(0,\frac{1}{2})}Q_{B}^{(0,\frac{1}{2})}Q_{C}^{(0,\frac{1}{2})}Q_{D}^{(0,\frac{1}{2})}W_{(k+1,k)}^{ABCD} = G_{(k+3,k)} \oplus G_{(k+3,k+1)} \oplus G_{(k+2,k+1)} \oplus G_{(k+2,k+2)} \oplus G_{(k+1,k+2)} .$$
(6.7)

We find the structure of the right hand side by multiplication of spin 2 and spin k, and then adjust the indices on states with $h_L = 1$ and $h_L = 2$ following the model from the preceding paragraph. Our result matches the spectrum of the KK block given in table 2, as expected.

6.3 Global supercharges in AdS₃

We have shown that the black hole spectrum on the BPS branch is generated by global supercharges $Q_A^{\left(\frac{1}{2},\frac{1}{2}\right)}$ while on the nonBPS branch it is organized by $Q_A^{\left(0,\frac{1}{2}\right)}$. It is interesting to inquire whether these charges acting on the AdS₂ spectra can descend from AdS₃.

The $\operatorname{AdS}_3 \times S^2$ near horizon geometry of triply self-intersecting strings in 5D $\mathcal{N} = 8$ theory [46, 47] features a supercharge of the form $Q_A^{\left(0,\frac{1}{2};\frac{1}{2}\right)}$ where $(h_L, h_R; j_R) = \left(0, \frac{1}{2}; \frac{1}{2}\right)$. According to the rules for dimensional reduction introduced in section 5.2 omission of h_L yields the BPS branch while omission of h_R gives the nonBPS branch. Therefore, a single AdS₃ supercharge gives appropriate supercharges on both branches of the AdS₂ theory. This construction explains the unusual feature that the supercharge on the nonBPS branch has h = 0. This is possible because the energy h_R is unimportant after the reduction to the nonBPS branch and is closely related to the reason that fermions have integral conformal weights.

However, the global symmetry encoded in the index A is not entirely clear. The moduli space of $AdS_3 \times S^2$ vacua in 5D $\mathcal{N} = 8$ SUGRA is $F_{4(4)}/USp(2) \times USp(6)$ [46] and from this perspective the index A transforms according to the $USp(2) \times USp(6)$ group in the denominator. Upon dimensional reduction to $AdS_2 \times S^2$ this global symmetry must be enhanced to $SU(2) \times SU(6)$ (on the BPS branch) or USp(8) (on the nonBPS branch). It is unsurprising that the global symmetry is enhanced upon restriction to one sector or the other but the details have confusing aspects (see [47, 48] for discussion).

6.4 Global supersymmetry in the $\mathcal{N} = 4$ theory: the nonBPS branch

It is also interesting to determine the global supersymmetry realized by the spectrum of nonBPS black holes in $\mathcal{N} = 4$ SUGRA with n_V matter multiplets. The situation is similar to $\mathcal{N} = 8$ SUGRA but for $\mathcal{N} = 4$ SUGRA the entire spectrum is not unified into a single representation so we encounter several distinct multiplets.

The structure of global symmetries for the nonBPS branch of $\mathcal{N} = 4$ SUGRA with n_V matter multiplets was summarized in table 1. The black hole breaks the global symmetry group of the theory $SU(4)_R \times SO(n_V)_{matter}$ to $USp(4) \times SO(n_V - 1)_{matter}$ so the global supercharges $Q_A^{(0,\frac{1}{2})}$ have USp(4) index A.

• $\mathcal{N} = 4$ superKK Vector Blocks

There are $n_V - 1$ decoupled blocks in the fundamental of the SO $(n_V - 1)$ global symmetry. Each superKK vector block has field content of **5** scalar blocks, **4** $\frac{1}{2}$ gaugino blocks, and **1** vector block. Table **2** gives their spectrum as

$$5(k+1,k)$$

$$4\left(k+2,k+\frac{1}{2}\right), \quad 4\left(k+1,k+\frac{1}{2}\right)$$

$$(k+2,k), \quad (k+2,k+1), \quad (k+1,k+1).$$

$$(6.8)$$

We can fit this spectrum into a supermultiplet generated by global supercharges $Q_A^{\left(0,\frac{1}{2}\right)}$ acting once or twice on a scalar block W^{AB} in the **5** of USp(4). The spin-1 USp(4) singlet $\Omega^{AB}Q_A^{\left(0,\frac{1}{2}\right)}Q_B^{\left(0,\frac{1}{2}\right)}$ acts trivially in this representation.

• The $\mathcal{N} = 4$ SuperKK Gravity Block.

This is the minimal theory with a KK solution: $\mathcal{N} = 4$ SUGRA with $n_V = 1$ vector multiplets. Our discussion in section 2 decomposes the $\mathcal{N} = 4$ matter content into fields that decouple in the KK background: **1** KK block, **4** $\frac{1}{2}$ gravitino blocks, **6** vector blocks, **4** $\frac{1}{2}$ gaugino blocks, and **1** scalar block. Boldfaced letters refers not only to the multiplicity but also to the USp(4) representation. These fields are all singlets of SO($n_V - 1$) so there is just one $\mathcal{N} = 4$ superKK-block, as expected because gravity is unique. Table 2 gives their spectrum as

$$\begin{array}{c} (k+1,k) \\ & 4\left(k+2,k+\frac{1}{2}\right), \ 4\left(k+1,k+\frac{1}{2}\right) \\ & 6\left(k+2,k\right), \ 6\left(k+2,k+1\right), \ 6\left(k+1,k+1\right) \\ & 4\left(k+3,k+\frac{1}{2}\right), \ 4\left(k+2,k+\frac{1}{2}\right), \ 4\left(k+2,k+\frac{3}{2}\right), \ 4\left(k+1,k+\frac{3}{2}\right) \\ & (k+3,k), \ (k+3,k+1), \ (k+2,k+1), \ (k+2,k+2), \ (k+1,k+2) \end{array}$$

We can fit all these fields into a tower of supermultiplets generated by supercharges $Q_A^{(0,\frac{1}{2})}$. Antisymmetric representations formed by tensoring 0, 1, 2, 3, 4 vectors under the global USp(4) (labelled by 0, 1, 2, 3, 4 indices A, B, \ldots) account for the degeneracies **1**, **4**, **6**, **4**, **1**. The middle entry is reducible as an USp(4) representation **6** = **5** \oplus **1**. However, both components are kept when the singlet $\Omega^{AB}Q_A^{(0,\frac{1}{2})}Q_B^{(0,\frac{1}{2})}$ is represented nontrivially. Moreover, symmetric combinations of 0, 1, 2, 3, 4 supercharges of this form transform as spin 0, $\frac{1}{2}$, 1, $\frac{3}{2}$, 2. These spins act on the first line of the equation using the standard product rule of angular momenta and, after compensating for missing entries with small spin by adjusting the index k so $k = 0, 1, \ldots$ in all cases, the remaining lines follow precisely.

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