

Neutrino oscillations: quantum mechanics vs. quantum field theory

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ABSTRACT: A consistent description of neutrino oscillations requires either the quantum-mechanical (QM) wave packet approach or a quantum field theoretic (QFT) treatment. We compare these two approaches to neutrino oscillations and discuss the correspondence between them. In particular, we derive expressions for the QM neutrino wave packets from QFT and relate the free parameters of the QM framework, in particular the effective momentum uncertainty of the neutrino state, to the more fundamental parameters of the QFT approach. We include in our discussion the possibilities that some of the neutrino's interaction partners are not detected, that the neutrino is produced in the decay of an unstable parent particle, and that the overlap of the wave packets of the particles involved in the neutrino production (or detection) process is not maximal. Finally, we demonstrate how the properly normalized oscillation probabilities can be obtained in the QFT framework without an ad hoc normalization procedure employed in the QM approach.

KEYWORDS: Neutrino Physics, Solar and Atmospheric Neutrinos

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1 Introduction

It is well known by now that neutrino oscillations can be consistently described either in the quantum-mechanical (QM) wave packet approach, or within a quantum field theoretic (QFT) framework.¹ In the QM method [1, 3–16], neutrinos produced in weak-interaction processes are described by propagating wave packets, the spatial length of which is related to the momentum uncertainty at neutrino production, and the detected states are also

¹Although in a number of sources a plane wave approach to neutrino oscillations is employed, it is actually marred by inconsistencies and, if applied correctly, does not lead to neutrino oscillations at all [1, 2].

described by wave packets, centered at the detection point. The transition (oscillation) amplitude is then obtained by projecting the evolved emitted neutrino state onto the detection state. In the QFT treatment [1, 9–11, 17–26], one considers neutrino production, propagation and detection as a single process, described by a tree-level Feynman diagram with the neutrino in the intermediate state (see figure 1). Neutrinos are represented in this framework by propagators rather than by wave functions. Both approaches lead to the standard formula for the probability of neutrino oscillations in vacuum in the case when the decoherence effects related to propagation of neutrinos as well as to their production and detection can be neglected. They differ, however, in the way they account for possible decoherence effects, with the QFT approach leading to a more consistent and accurate description. The QM method treats neutrino energy and momentum uncertainties responsible for these effects in a simplified way; in addition, it involves an ad hoc normalization procedure for the transition amplitude that is not properly justified.

The goal of the present paper is to compare the two approaches and establish a relationship between them, as well as to clarify some of the procedures that are employed in the QM method from the more general and consistent QFT standpoint. Some work in that direction has been done before. In [12] neutrino wave packets were derived starting from the QFT formalism (see also a discussion in [2]). In [27], a comparison of the QM and QFT approaches was presented for the special case of Mössbauer neutrinos, i.e. neutrinos produced and detected recoillessly in hypothetical Mössbauer-type experiments (see, e.g., [26, 28, 29] and references therein). The new results obtained in the present work include a more advanced and general study of the QFT-based derivation of the neutrino wave packets (including the possibility that some of the external particles are not detected), matching of the QFT and QM expressions for the neutrino wave packets, study of the general properties of the wave packets describing the neutrino states (including their energy uncertainties in the case when neutrinos are produced in decays of unstable particles) and clarification of the issue of normalization of the oscillation probabilities in QM and QFT.

The paper is organized as follows. To make the presentation self-contained, in sections 2 and 3 we review, respectively, the QM wave packet formalism and the QFT approach to neutrino oscillations. Sections 4–6 contain our main results. In section 4 we discuss how the neutrino wave packets, which are a necessary ingredient of the QM approach, can be derived starting from the QFT formalism. Next, we consider some general properties of the neutrino wave packets and discuss the conditions under which they can be approximated by Gaussian wave packets. Using the case of Gaussian wave packets as an example, we then discuss how the QFT-derived wave packets can be represented in the form usually adopted in the QM treatment. We also find expressions for the effective parameters describing the QM wave packets in terms of the more fundamental input parameters of the QFT framework. Next, we discuss the neutrino energy uncertainty in the case when neutrinos are produced in decays of unstable particles. In section 5 we consider the problem of normalization of the neutrino wave packets in the QM framework and show how the normalization problem is solved in a natural way in the QFT-based approach. In section 6 we discuss how one can relax some assumptions usually adopted in the QM and QFT approaches. Those include the assumption that the maxima of wave packets of all particles involved in the neutrino

production (or detection) process meet at one space-time point, as well as the assumption that the mean momenta of the emitted and detected neutrino wave packets coincide. We summarize our results and conclude in section 7. Some technical material is included in appendices A and B.

2 Review of the QM wave packet formalism

We start with some generalities that are common to QFT and QM and then move on to review the QM wave packet approach to neutrino oscillations. We shall use the natural units $\hbar = c = 1$ throughout the paper.

In quantum theory, one-particle states of particles of type A can be written as

$$|A\rangle = \int [dp] f_A(\mathbf{p}, \mathbf{P}) |A, \mathbf{p}\rangle, \quad (2.1)$$

where $|A, \mathbf{p}\rangle$ is the one-particle momentum eigenstate corresponding to momentum \mathbf{p} and energy $E_A(\mathbf{p})$ (for free particles, $E_A(\mathbf{p}) = \sqrt{\mathbf{p}^2 + m_A^2}$, m_A being the mass of the particle), $f_A(\mathbf{p}, \mathbf{P})$ is the momentum distribution function with the mean momentum \mathbf{P} , and we use the shorthand notation

$$[dp] \equiv \frac{d^3p}{(2\pi)^3 \sqrt{2E_A(\mathbf{p})}}. \quad (2.2)$$

For particles with spin, the states $|A\rangle$ and $|A, \mathbf{p}\rangle$ depend also on a spin variable, which we suppress to simplify the notation. We will also often omit the second argument of f_A where this cannot cause confusion.

We choose the Lorentz invariant normalization condition for the plane wave states $|A, \mathbf{p}\rangle$:

$$\langle A, \mathbf{p}' | A, \mathbf{p} \rangle = 2E_A(\mathbf{p}) (2\pi)^3 \delta^{(3)}(\mathbf{p} - \mathbf{p}'). \quad (2.3)$$

The standard normalization of the states $\langle A | A \rangle = 1$ then implies

$$\int \frac{d^3p}{(2\pi)^3} |f_A(\mathbf{p})|^2 = 1. \quad (2.4)$$

The quantity $\sqrt{2E_A(\mathbf{p})} f_A(\mathbf{p})$ is actually the momentum-space wave function of A : $\sqrt{2E_A(\mathbf{p})} \times f_A(\mathbf{p}) = \langle \mathbf{p} | A \rangle$. The time dependent wave function is $\sqrt{2E_A(\mathbf{p})} f_A(\mathbf{p}) e^{-iE_A(\mathbf{p})t} = \langle \mathbf{p} | A(t) \rangle$, where $|A(t)\rangle = e^{-iHt} |A\rangle$ and H is the free Hamiltonian of A . The coordinate-space wave function $\Psi_A(t, \mathbf{x})$ is the Lorentz-invariant Fourier transform of $\langle \mathbf{p} | A(t) \rangle$:²

$$\Psi_A(t, \mathbf{x}) \equiv \langle \mathbf{x} | A(t) \rangle = \int \frac{d^3p}{(2\pi)^3 2E_A(\mathbf{p})} \langle \mathbf{p} | A(t) \rangle e^{i\mathbf{p}\mathbf{x}}, \quad (2.5)$$

²Recall that the Fourier transformation is based on the completeness condition for 1-particle momentum eigenstates, which for our normalization convention reads $\int \frac{d^3p}{(2\pi)^3 2E_A(\mathbf{p})} |\mathbf{p}\rangle \langle \mathbf{p}| = \mathbb{1}_{1part}$. (see, e.g., [30], eq. (2.39)). Here the right hand side is the unit operator in the subspace of 1-particle states and zero in the rest of the Hilbert space. Note that the integration measure $d^3p/E_A(\mathbf{p})$ is Lorentz invariant.

or

$$\Psi_A(t, \mathbf{x}) = \int [dp] f_A(\mathbf{p}) e^{-iE_A(\mathbf{p})t + i\mathbf{p}\mathbf{x}}. \quad (2.6)$$

In the QFT framework, it can be written as

$$\Psi_A(x) = \langle 0 | \hat{\Psi}_A(x) | A \rangle, \quad (2.7)$$

where $x \equiv (t, \mathbf{x})$ and $\hat{\Psi}_A(x)$ is the second-quantized field operator of A . Using the standard decomposition of the field $\hat{\Psi}_A(x)$ in terms of production and annihilation operators, one can readily obtain (2.5) from (2.7) and (2.1). Note that expressions (2.5) and (2.6) can describe both bound states and propagating wave packets (in the case of bound states or particles propagating in a potential, the relation $E_A(\mathbf{p}) = \sqrt{\mathbf{p}^2 + m_A^2}$ simply has to be replaced by the proper dispersion relation). A wave packet is obtained when the momentum distribution function $f_A(\mathbf{p}, \mathbf{P})$ is sharply peaked at or close to a nonzero mean momentum \mathbf{P} ,³ i.e. when the momentum dispersion σ_p satisfies $\sigma_p \ll |\mathbf{P}|$; for the rest of this section we will assume this to be the case. The wave function (2.6) then describes a wave packet whose maximum of amplitude is located at $\mathbf{x} = 0$ at time $t = 0$. A wave packet that is peaked at coordinate \mathbf{x}_0 at time t_0 is obtained by acting on the state $|A\rangle$ by the space-time translation operator $e^{i\hat{P}x_0}$, where \hat{P}^μ is the 4-momentum operator. For the coordinate-space wave function this yields

$$\Psi_A(x) = \int [dp] f_A(\mathbf{p}) e^{-iE_A(\mathbf{p})(t-t_0) + i\mathbf{p}(\mathbf{x}-\mathbf{x}_0)} \quad (2.8)$$

instead of eq. (2.6).

Eqs. (2.6) and (2.8) represent wave packets that propagate with the group velocity $\mathbf{v} \equiv \frac{\partial E_A(\mathbf{p})}{\partial \mathbf{p}}|_{\mathbf{p}=\mathbf{P}} = \frac{\mathbf{P}}{E_A(\mathbf{P})}$ and in general spread with time both in the longitudinal direction and in the directions transverse to their mean momentum. The spreading is due to the fact that different momentum components of the wave packet have slightly different velocities $\mathbf{p}/E_A(\mathbf{p})$.

Let us now consider neutrino oscillations in the framework of the QM wave packet formalism, sometimes also called the “intermediate wave packet” approach. Neutrinos produced or absorbed in charged-current weak interaction processes are considered to be flavour eigenstates ν_α ($\alpha = e, \mu, \tau$), which are coherent linear superpositions of mass eigenstates ν_j ($j = 1, 2, 3$) with coefficients given by the elements of the leptonic mixing matrix $U_{\alpha j}$. The mass eigenstates are represented by the corresponding wave packets. If a neutrino of flavour α was produced at time t_P at a source centered at \mathbf{x}_P , its momentum-space wave function at a time $t > t_P$ is

$$\langle \mathbf{p} | \nu_{\alpha P}(t) \rangle = \sum_j U_{\alpha j}^* \sqrt{2E_A(\mathbf{p})} f_{jP}(\mathbf{p}, \mathbf{P}) e^{-iE_j(\mathbf{p})(t-t_P) - i\mathbf{p}\mathbf{x}_P}. \quad (2.9)$$

Here the subscript P shows that the wave packet corresponds to a neutrino produced at the source. Note that the index α at $\nu_{\alpha P}(t)$ simply indicates that the emitted neutrino

³The peak momentum coincides with the mean momentum for symmetric wave packets.

was of flavour α at its production time $t = t_P$; it is, of course, no longer so for $t > t_P$. The shape of the wave packet of the j th mass-eigenstate neutrino is given by the momentum distribution function f_{jP} , which is determined by the mechanism and conditions of neutrino production. In the QM framework, however, the neutrino production and detection processes are not explicitly taken into account; therefore the functions f_{jP} are postulated rather than determined, with the corresponding momentum widths estimated from the localization properties of the production process. Usually, the wave packets are taken to be of the Gaussian form

$$f_{jP}(\mathbf{p}, \mathbf{P}) = \left(\frac{2\pi}{\sigma_{pP}^2} \right)^{3/4} \exp \left[-\frac{(\mathbf{p} - \mathbf{P})^2}{4\sigma_{pP}^2} \right], \quad (2.10)$$

where σ_{pP} characterizes the momentum uncertainty of the produced neutrino state, and similarly for the state of the detected neutrino. The advantage of Gaussian wave packets is that they allow most calculations to be done analytically (the same is also true for Lorentzian wave packets, see ref. [27]).

The state of the detected neutrino ν_β is described by a wave packet peaked at the detection coordinate \mathbf{x}_D . In the momentum-space representation it is given by

$$\langle \mathbf{p} | \nu_{\beta D} \rangle = \sum_k U_{\beta k}^* \sqrt{2E_A(\mathbf{p})} f_{kD}(\mathbf{p}, \mathbf{P}') e^{-i\mathbf{p}\mathbf{x}_D}, \quad (2.11)$$

where the subscript D stands for detection. The momentum distribution functions f_{kD} are governed by the properties of the detection process; however, just as for neutrino production, in the QM approach these functions are postulated rather than determined. Note that, although the assumption $\mathbf{P} = \mathbf{P}'$ is adopted in most studies, in general there is no reason to expect the mean momenta of the produced and detected wave packets to coincide. We will discuss this point in more detail in section 6.

The amplitude for the transition $\nu_\alpha \rightarrow \nu_\beta$ is obtained by projecting the evolved neutrino production state onto the detection state:

$$\mathcal{A}_{\alpha\beta}(T, \mathbf{L}) = \langle \nu_{\beta D} | \nu_{\alpha P}(t_D) \rangle, \quad (2.12)$$

where t_D is the detection time, $T \equiv t_D - t_P > 0$ and $\mathbf{L} \equiv \mathbf{x}_D - \mathbf{x}_P$. Performing the projection in momentum space, we obtain from (2.9) and (2.11)⁴

$$\begin{aligned} \mathcal{A}_{\alpha\beta}(T, \mathbf{L}) &= \int \frac{d^3p}{(2\pi)^3 2E_A(\mathbf{p})} \langle \nu_{\beta D} | \mathbf{p} \rangle \langle \mathbf{p} | \nu_{\alpha P}(t_D) \rangle \\ &= \sum_j U_{\alpha j}^* U_{\beta j} \int \frac{d^3p}{(2\pi)^3} f_{jP}(\mathbf{p}, \mathbf{P}) f_{jD}^*(\mathbf{p}, \mathbf{P}') e^{-iE_j(\mathbf{p})T + i\mathbf{p}\mathbf{L}}. \end{aligned} \quad (2.13)$$

For future reference, we shall also write this as a superposition of the amplitudes corresponding to the contributions of different neutrino mass eigenstates:

$$\mathcal{A}_{\alpha\beta}(T, \mathbf{L}) = \sum_j U_{\alpha j}^* U_{\beta j} \mathcal{A}_j(T, \mathbf{L}) \quad (2.14)$$

⁴Projection in momentum space will turn out to be convenient for our subsequent discussion. The coordinate-space projection $\mathcal{A}_{\alpha\beta}(T, \mathbf{L}) = \int d^3x \langle \nu_{\alpha D} | \mathbf{x} \rangle \langle \mathbf{x} | \nu_{\alpha P}(t_D) \rangle$ yields, of course, the same result.

with

$$\mathcal{A}_j(T, \mathbf{L}) = \int \frac{d^3 p}{(2\pi)^3} f_{jP}(\mathbf{p}, \mathbf{P}) f_{jD}^*(\mathbf{p}, \mathbf{P}') e^{-iE_j(\mathbf{p})T + i\mathbf{p}\mathbf{L}}. \quad (2.15)$$

The oscillation probability is given by the squared modulus of the transition amplitude: $P_{\alpha\beta}(T, \mathbf{L}) \equiv P(\nu_\alpha \rightarrow \nu_\beta, T, \mathbf{L}) = |\mathcal{A}_{\alpha\beta}(T, \mathbf{L})|^2$. Since in most experiments the neutrino emission and detection times are not measured, the standard procedure is then to integrate $P(\nu_\alpha \rightarrow \nu_\beta, T, \mathbf{L})$ over T . This gives

$$P(\nu_\alpha \rightarrow \nu_\beta, \mathbf{L}) \equiv P_{\alpha\beta}(\mathbf{L}) = \int dT |\mathcal{A}_{\alpha\beta}(T, \mathbf{L})|^2. \quad (2.16)$$

Substituting here the transition amplitude (2.13) yields, up to a normalization factor, the standard probability of neutrino oscillations in vacuum provided that all decoherence effects are negligible. The normalization factor can then be fixed by requiring that the oscillation probability satisfy the unitarity condition (see section 5 for a more detailed discussion).

3 Neutrino oscillations in QFT

In the QFT approach (which is sometimes also called the “external wave packet” formalism), neutrino production, propagation, and detection are considered as a single process, described by the Feynman diagram shown in figure 1, with the neutrino in the intermediate state. In our overview of the QFT formalism we will mostly follow ref. [2]. Assume that the neutrino production process involves one initial state and one final state particle (besides the neutrino). Likewise, we will assume that the detection process involves only one particle besides the neutrino in the initial state and one particle in the final state. The generalization to the case of an arbitrary number of particles involved in the neutrino production and detection processes is straightforward and would just complicate the formulas without providing further physical insight. All external particles will be assumed to be on their respective mass shells.⁵

The states describing the particles accompanying neutrino production and detection (“external particles”) can be represented in the form (2.1). For the initial and final states at neutrino production we can write

$$|P_i\rangle = \int [dq] f_{Pi}(\mathbf{q}, \mathbf{Q}) |P_i, \mathbf{q}\rangle, \quad |P_f\rangle = \int [dk] f_{Pf}(\mathbf{k}, \mathbf{K}) |P_f, \mathbf{k}\rangle, \quad (3.1)$$

and similarly for the states accompanying neutrino detection:

$$|D_i\rangle = \int [dq'] f_{Di}(\mathbf{q}', \mathbf{Q}') |D_i, \mathbf{q}'\rangle, \quad |D_f\rangle = \int [dk'] f_{Df}(\mathbf{k}', \mathbf{K}') |D_f, \mathbf{k}'\rangle. \quad (3.2)$$

We assume these states to fulfill the normalization condition (2.4). Some (or all) of the mean momenta of the external particles \mathbf{Q} , \mathbf{K} , \mathbf{Q}' and \mathbf{K}' may vanish, i.e. the states in eqs. (3.1) and (3.2) can describe bound states at rest as well as wave packets.

⁵Since only one particle is assumed to be in the initial state of the production process, it must be unstable. This will be of no importance for us here because, as was already mentioned, the results are easily generalized to the case of an arbitrary number of external particles. Possible instability of the parent particle will be taken into account in section 4.4.

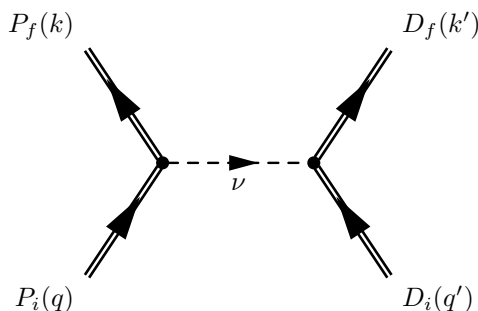


Figure 1. Feynman diagram describing neutrino production, propagation and detection as a single process.

The amplitude of the neutrino production-propagation-detection process is given by the matrix element

$$i\mathcal{A}_{\alpha\beta} = \langle P_f D_f | \hat{T} \exp \left[-i \int d^4x \mathcal{H}_I(x) \right] - \mathbb{1} | P_i D_i \rangle, \quad (3.3)$$

where \hat{T} is the time ordering operator and $\mathcal{H}_I(x)$ is the charged-current weak interaction Hamiltonian.⁶ Note that no neutrino flavour eigenstates have to be introduced in the QFT framework, and the indices α and β simply refer here to the flavour of the charged leptons participating in the production and detection processes.

From eq. (3.3) it is easy to calculate the transition amplitude in the lowest nontrivial (i.e. second) order in \mathcal{H}_I using the standard QFT methods. The resulting expression corresponds to the Feynman diagram of figure 1 and can be written as

$$i\mathcal{A}_{\alpha\beta} = \sum_j U_{\alpha j}^* U_{\beta j} \int [dq] f_{P_i}(\mathbf{q}, \mathbf{Q}) \int [dk] f_{P_f}^*(\mathbf{k}, \mathbf{K}) \\ \times \int [dq'] f_{D_i}(\mathbf{q}', \mathbf{Q}') \int [dk'] f_{D_f}^*(\mathbf{k}', \mathbf{K}') i\mathcal{A}_j^{p.w.}(q, k; q', k'). \quad (3.4)$$

Here the sum runs over all intermediate states (i.e. different neutrino mass eigenstates), and the quantity $\mathcal{A}_j^{p.w.}(q, k; q', k')$ is the plane-wave amplitude of the process with the j th neutrino mass eigenstate propagating between the source and the detector:

$$i\mathcal{A}_j^{p.w.}(q, k; q', k') = \int d^4x_1 \int d^4x_2 \tilde{M}_D(q', k') e^{-i(q'-k')(x_2-x_D)} \\ \times i \int \frac{d^4p}{(2\pi)^4} \frac{\not{p} + m_j}{p^2 - m_j^2 + i\epsilon} e^{-ip(x_2-x_1)} \cdot \tilde{M}_P(q, k) e^{-i(q-k)(x_1-x_P)}. \quad (3.5)$$

Here x_1 and x_2 are the 4-coordinates of the neutrino production and detection points, the quantities $\tilde{M}_P(q, k)$ and $\tilde{M}_D(q', k')$ are the plane-wave amplitudes of the processes $P_i \rightarrow P_f + \nu_j$ and $D_i + \nu_j \rightarrow D_f$, respectively, with the neutrino spinors $\bar{u}_j(p, s)$ and $u_j(p, s)$ excluded. The choice of the 4-coordinate dependent phase factors corresponds to

⁶We consider neutrino production and detection at energies well below the W -boson mass, so that \mathcal{H}_I is the effective 4-fermion Hamiltonian of weak interactions.

the assumption that the peaks of the wave packets of particles involved in the production process are all located at $\mathbf{x}_1 = \mathbf{x}_P$ at the time $t_1 = t_P$, whereas for the detection process the corresponding peaks are all situated at $\mathbf{x}_2 = \mathbf{x}_D$ at the time $t_2 = t_D$ (we will discuss in section 6 how this assumption can be relaxed). The integral in the second line of eq. (3.5) gives the coordinate-space propagator of the j th neutrino mass eigenstate.

It is convenient to switch to shifted 4-coordinate variables x'_1, x'_2 , defined according to $x_1 = x_P + x'_1, x_2 = x_D + x'_2$. Taking into account that $\not{p} + m_j = \sum_s u_j(p, s) \bar{u}_j(p, s)$, one can then rewrite eq. (3.5) as

$$i\mathcal{A}_j^{p.w.}(q, k; q', k') = i \int \frac{d^4 p}{(2\pi)^4} \frac{e^{-ip(x_D - x_P)}}{p^2 - m_j^2 + i\epsilon} \int d^4 x'_1 \sqrt{2p_0} M_{jP}(q, k) e^{-i(q-k-p)x'_1} \times \int d^4 x'_2 \sqrt{2p_0} M_{jD}(q', k') e^{-i(q'+p-k')x'_2}, \quad (3.6)$$

where

$$M_{jP}(q, k) \equiv \frac{\bar{u}_{jL}(p)}{\sqrt{2p_0}} \tilde{M}_P(q, k) \quad \text{and} \quad M_{jD}(q', k') \equiv \tilde{M}_D(q', k') \frac{u_{jL}(p)}{\sqrt{2p_0}} \quad (3.7)$$

are the full amplitudes (with the neutrino spinors included) of the processes $P_i \rightarrow P_f + \nu_j$ and $D_i + \nu_j \rightarrow D_f$, respectively, and we have taken into account that the matrix elements $M_{jP}(q, k)$ and $M_{jD}(q', k')$ involve the left-handed chirality projection, so that only the left-handed spinors $u_{jL}(p)$ and $\bar{u}_{jL}(p)$ contribute to the sum over the neutrino spin variable s .

Substituting (3.6) into eq. (3.4), we finally obtain

$$i\mathcal{A}_{\alpha\beta} = i \sum_j U_{\alpha j}^* U_{\beta j} \int \frac{d^4 p}{(2\pi)^4} \Phi_{jP}(p^0, \mathbf{p}) \Phi_{jD}(p^0, \mathbf{p}) \frac{2p_0 e^{-ip^0 T + i\mathbf{p}L}}{p^2 - m_j^2 + i\epsilon}. \quad (3.8)$$

Here the so-called overlap functions $\Phi_{jP}(p^0, \mathbf{p})$ and $\Phi_{jD}(p^0, \mathbf{p})$ are defined as

$$\begin{aligned} \Phi_{jP}(p^0, \mathbf{p}) &= \int d^4 x'_1 e^{ipx'_1} \int [dq] \int [dk] f_{Pi}(\mathbf{q}, \mathbf{Q}) f_{Pf}^*(\mathbf{k}, \mathbf{K}) e^{-i(q-k)x'_1} M_{jP}(q, k), \\ \Phi_{jD}(p^0, \mathbf{p}) &= \int d^4 x'_2 e^{-ipx'_2} \int [dq'] \int [dk'] f_{Di}(\mathbf{q}', \mathbf{Q}') f_{Df}^*(\mathbf{k}', \mathbf{K}') e^{-i(q'-k')x'_2} M_{jD}(q', k'). \end{aligned} \quad (3.9)$$

Note that they are independent of x_P and x_D . Expressions (3.8) and (3.9) are the main results of the QFT-based approach to neutrino oscillations [2, 12].

4 Comparing the QM and QFT approaches to neutrino oscillations

Let us now compare the results of the QM and QFT approaches to neutrino oscillations. Consider first the transition amplitude (3.8) obtained in the QFT formalism. The integration over the neutrino 4-momentum in this expression can be done in different order. Here it will be more convenient for us to integrate first over p^0 and then over \mathbf{p} (the opposite order will be used in section 5). Since the distance L between the neutrino source and detector is macroscopic, the phase factor in the integrand of eq. (3.8) undergoes fast oscillations and the integral is strongly suppressed except when the intermediate neutrino is on

the mass shell. Thus, the dominant contribution to the integral is given by the residue at the pole of the neutrino propagator at $p^0 = E_j(\mathbf{p}) - i\epsilon$,⁷ where

$$E_j(\mathbf{p}) = \sqrt{\mathbf{p}^2 + m_j^2}. \quad (4.1)$$

eq. (3.8) can therefore be rewritten as

$$i\mathcal{A}_{\alpha\beta} = \Theta(T) \sum_j U_{\alpha j}^* U_{\beta j} \int \frac{d^3p}{(2\pi)^3} \Phi_{jP}(E_j(\mathbf{p}), \mathbf{p}) \Phi_{jD}(E_j(\mathbf{p}), \mathbf{p}) e^{-iE_j(\mathbf{p})T + i\mathbf{p}\mathbf{L}}. \quad (4.2)$$

where $\Theta(x)$ is the Heaviside step function.

4.1 Deriving neutrino wave packets in the QFT-based approach

Let us now compare eqs. (4.2) and (2.13). We see that the two equations are of the same form and actually coincide if we identify the QM wave packets as

$$f_{jP}(\mathbf{p}) = \Phi_{jP}(E_j(\mathbf{p}), \mathbf{p}), \quad f_{jD}(\mathbf{p}) = \Phi_{jD}^*(E_j(\mathbf{p}), \mathbf{p}), \quad (4.3)$$

where the functions Φ_{jP} and Φ_{jD} were defined in eq. (3.9).

The obtained result can be easily understood. Indeed, as follows from the definition of $\Phi_{jP}(p^0, \mathbf{p})$, for $p^0 = E_j(\mathbf{p})$ (i.e. on the mass shell of ν_j) this quantity is the probability amplitude of the production process in which the j th mass eigenstate neutrino is emitted with momentum \mathbf{p} ; but this is nothing but the momentum distribution function of the produced neutrino, i.e. the momentum-state wave packet $f_{jP}(\mathbf{p})$. A similar argument applies to the neutrino detection process and $f_{jD}(\mathbf{p})$. The wave packets $f_{jP}(\mathbf{p})$ and $f_{jD}(\mathbf{p})$ in eq. (4.3) are not normalized according to (2.4), though they can be easily modified to satisfy this condition. However, as we shall see in section 5, this is not necessary and actually would be misleading.

An alternative method of deriving neutrino wave packets in the QFT framework, based on the S-matrix approach, was suggested in [12]; the obtained results are equivalent to those in eqs. (4.3) and (3.9).

Let us now consider the wave packet describing the produced neutrino state in more detail (the state of the detected neutrino can be studied quite analogously). According to (4.3), the momentum distribution function $f_{jP}(\mathbf{p})$ characterizing the state of the emitted neutrino of mass m_j is essentially given by the on-shell function $\Phi_{jP}(E_j(\mathbf{p}), \mathbf{p})$. Since the matrix element $M_{jP}(q, k)$ is a smooth function of the on-shell 4-momenta p and q , whereas the wave packets of the external states are assumed to be sharply peaked at or near the corresponding mean momenta, one can replace M_{jP} by its value at the mean momenta and pull it out of the integral. Eqs. (3.9) and (4.3) then yield

$$f_{jP}(\mathbf{p}) \simeq M_{jP}(Q, K) \int d^4x e^{iE_j(\mathbf{p})t - i\mathbf{p}\mathbf{x}} \int [dq] \int [dk] f_{Pi}(\mathbf{q}, \mathbf{Q}) f_{Pf}^*(\mathbf{k}, \mathbf{K}) e^{-i(q-k)x}, \quad (4.4)$$

⁷The contribution of the pole at $p^0 = -E_j(\mathbf{p}) + i\epsilon$ is strongly suppressed due to an approximate conservation of mean energies at production and the fact that $E_{Pi}(\mathbf{Q}) - E_{Pf}(\mathbf{K}) > 0$.

where the 4-momenta Q and K are defined as

$$Q = (E_{P_i}(\mathbf{Q}), \mathbf{Q}), \quad K = (E_{P_f}(\mathbf{K}), \mathbf{K}). \quad (4.5)$$

From eq. (4.4) (or eqs. (3.9) and (4.3)) one can draw some important conclusions about the properties of the neutrino momentum distribution functions $f_{jP}(\mathbf{p})$ which determine the emitted neutrino wave packets:

- Since the quantities $f_{P_i}(\mathbf{q}, \mathbf{Q})$ and $f_{P_f}(\mathbf{k}, \mathbf{K})$ depend only on the properties of the external particles, and the j -dependence of the matrix elements $M_{jP}(p, q)$ comes through the on-shell neutrino spinor factors $[(2p^0)^{-1/2}u_j(p, s)]_{p^0=E_j(\mathbf{p})}$, which depend on j only through the neutrino energy, the functions $f_{jP}(\mathbf{p})$ depend on the index j solely through the neutrino energy $E_j(\mathbf{p})$. This, in particular, means that for ultra-relativistic or quasi-degenerate in mass neutrinos the momentum distribution functions of all neutrino mass eigenstates are practically the same (provided that their energy differences $|E_j - E_k|$ are small compared to the energy uncertainty σ_{eP}).
- Because the integral over the 3-coordinate \mathbf{x} in eq. (4.4) yields $\delta^{(3)}(\mathbf{q} - \mathbf{k} - \mathbf{p})$, and the momentum distribution functions $f_{P_i}(\mathbf{q}, \mathbf{Q})$ and $f_{P_f}(\mathbf{k}, \mathbf{K})$ are sharply peaked at or near their respective mean momenta \mathbf{Q} and \mathbf{K} , the neutrino momentum distribution functions $f_{jP}(\mathbf{p})$ are sharply peaked at or close to the momentum $\mathbf{P} \equiv \mathbf{Q} - \mathbf{K}$, with the width of the peak σ_{pP} dominated by the largest between the momentum uncertainties of the states of P_i and P_f .

Taking into account eq. (2.5), eq. (4.4) can be rewritten as

$$f_{jP}(\mathbf{p}) \simeq M_{jP}(Q, K) \int d^4x e^{ipx} \Psi_{P_i}(x) \Psi_{P_f}^*(x) \Big|_{p^0=E_j(\mathbf{p})}. \quad (4.6)$$

Thus, the momentum distribution function that determines the wave packet of the emitted neutrino is essentially the 4-dimensional Fourier transform of the product of the coordinate-space wave functions of the external particles participating in the neutrino production process, taken under the condition that the components of the neutrino 4-momentum are on the mass shell. Eq. (4.6) can be readily generalized to the case when more than two external particles participate in the neutrino production process: the expression $\Psi_{P_i}(t, \mathbf{x}) \Psi_{P_f}^*(t, \mathbf{x})$ in the integrand of (4.6) should simply be replaced by the product of the wave functions of all particles in the initial state of the production process and of complex conjugates of the wave functions of all particles in the final state (except the neutrino).

The neutrino wave packet in coordinate space $\psi_{jP}(x)$ is obtained from eq. (4.6) by performing the Fourier transformation over the 3-momentum variable \mathbf{p} according to the transformation law (2.6), which gives

$$\begin{aligned} \psi_{jP}(x) &\simeq \bar{u}_j(P, s) \tilde{M}_{jP}(Q, K) \int d^4x' \Psi_{P_i}(x') \Psi_{P_f}^*(x') \\ &\times \frac{\Theta(t-t')}{|\mathbf{x}-\mathbf{x}'|} \frac{-i}{2(2\pi)^2} \int_0^\infty \frac{dp p}{\sqrt{p^2+m_j^2}} e^{-i\sqrt{p^2+m_j^2}(t-t')} [e^{ip|\mathbf{x}-\mathbf{x}'|} - e^{-ip|\mathbf{x}-\mathbf{x}'|}]. \end{aligned} \quad (4.7)$$

Here $p \equiv |\mathbf{p}|$ and we have used eq. (3.7) to extract the p -dependent factor $(2p^0)^{-1/2} = 2^{-1/2}(\mathbf{p}^2 + m_j^2)^{-1/4}$ from $M_{jP}(Q, K)$. The integral over p in eq. (4.7) can be expressed in terms of the modified Bessel function K_1 [31], giving

$$\psi_{jP}(x) \simeq \frac{\bar{u}_j(P, s) \tilde{M}_{jP}(Q, K)}{(2\pi)^2} \int d^4x' \Psi_{P_i}(x') \Psi_{P_f}^*(x') \frac{m_j \Theta(t-t')}{\sqrt{-(x-x')^2}} K_1 \left(m_j \sqrt{-(x-x')^2} \right), \quad (4.8)$$

where $(x-x')^2 \equiv (t-t')^2 - (\mathbf{x}-\mathbf{x}')^2$. The integral in the second line of eq. (4.7) is greatly simplified in the limit of vanishing neutrino mass:

$$\psi_{jP}(x) \simeq \bar{u}_j(P, s) \tilde{M}_{jP}(Q, K) \frac{-1}{(2\pi)^2} \int d^4x' \Psi_{P_i}(x') \Psi_{P_f}^*(x') \frac{\Theta(t-t')}{(x-x')^2}. \quad (4.9)$$

Note, however, that in this limit all neutrino species travel with the same speed and therefore the wave functions in eq. (4.9) cannot describe decoherence due to the separation of wave packets. In order to take possible wave packet separation effects into account the more accurate expression (4.8) has to be used. Alternatively, one can employ the momentum-representation wave function (4.6).

Expression (4.8) for the wave function of the produced neutrino state $\Psi_{jP}(t, \mathbf{x})$ allows a simple interpretation. Note that $\frac{1}{(2\pi)^2} m_j \Theta(t-t') K_1(m_j \sqrt{-(x-x')^2}) / \sqrt{-(x-x')^2}$ is the scalar retarded propagator in the coordinate representation. Therefore the neutrino wave packet (4.8) is essentially the convolution of the neutrino source (the role of which is played by the neutrino production amplitude $\Psi_{P_f}^*(x) M_{jP} \Psi_{P_i}(x)$) with the retarded neutrino propagator, in full agreement with the well known result of QFT. Note that only the scalar part of the propagator contributes to $\Psi_{jP}(x)$; this is because the coordinate space and momentum space neutrino wave functions $\Psi_{jP}(x)$ and $f_{jP}(\mathbf{p})$ are scalars in our formalism. The spinor factors are included in the matrix elements M_{jP} and M_{jD} (note that these quantities are also scalar, whereas the amputated matrix elements \tilde{M}_{jP} and \tilde{M}_{jD} , i.e. those with the neutrino spinors removed, have spinorial indices).

In our discussion of the wave packets of the emitted neutrino states, we were assuming that the momentum distribution functions of all the external particles accompanying neutrino production are known. This implies, in particular, that all particles in the final state of the production process are “measured”, either by direct detection or through their interaction with the medium in the process of neutrino production. It is quite possible, however, that some of the particles accompanying neutrino production escape undetected; this is, e.g., the case for atmospheric or accelerator neutrinos born in the process $\pi^\pm \rightarrow \mu^\pm + \nu_\mu(\bar{\nu}_\mu)$, in which the final state muon is normally not detected. It is also possible that some of the particles accompanying neutrino detection are “unmeasured”. How can one determine the neutrino wave packets in those cases?

To answer this question, let us recall that the momentum uncertainty σ_{pP} characterizing the emitted neutrino depends in general on the momentum uncertainties of all the external particles at neutrino production and is dominated by the largest among them (see the discussion after eqs. (4.4) and (4.5)). In particular, in the case of Gaussian wave packets, one has [2, 12]

$$\sigma_{pP}^2 = \sigma_{pP_i}^2 + \sigma_{pP_f}^2. \quad (4.10)$$

For more than two external particles at production, the sum on the right-hand side of this relation would contain the contributions of the squared momentum uncertainties of all these particles. Now, if a particle goes “unmeasured” in the neutrino production process, its momentum uncertainty cannot affect the momentum uncertainty of the emitted neutrino state and therefore can be neglected. To put it differently, undetected particles are completely delocalized, and therefore, according to Heisenberg’s uncertainty relation, have vanishing momentum uncertainty. This means that undetected particles can be represented by states of definite momenta, i.e. by plane waves. If, for example, the particle P_f at production is undetected, one has to replace in eq. (4.4) the momentum distribution function $f_{Pf}(\mathbf{k}, \mathbf{K})$ by $[(2\pi)^3/\sqrt{V}]\delta^{(3)}(\mathbf{k} - \mathbf{K})$ where V is the normalization volume, and in eq. (4.6) the coordinate-space wave function $\Psi_{Pf}(x)$ by $e^{-iKx}/\sqrt{2E_{Pf}(\mathbf{K})V}$, with eqs. (4.7)–(4.9) modified accordingly. The mean momentum of the neutrino state depends, of course, on the momentum of the undetected particle; if the latter can take values in some range, the same will be true for the mean momentum of the emitted neutrino state. In this case the flux of emitted neutrinos will be characterized by a continuous spectrum.

In most of our discussion in this subsection we concentrated on the wave packets of the produced neutrino states. Our consideration, however, applies practically without changes to the detected neutrino states; the corresponding formulas can be obtained from eqs. (4.4) and (4.6)–(4.9) by replacing, where appropriate, $e^{ipx} \rightarrow e^{-ipx}$, $\Psi_{Pi} \rightarrow \Psi_{Di}$ and $\Psi_{Pf} \rightarrow \Psi_{Df}$.

4.2 General properties of neutrino wave packets

We have already considered some of the general properties of the neutrino wave packets in the previous subsection. In particular, we have found that the momentum distribution functions $f_{jP}(\mathbf{p})$ of mass-eigenstate neutrinos ν_j depend on the index j only through the neutrino energy $E_j(\mathbf{p})$, and that the functions $f_{jP}(\mathbf{p})$ are sharply peaked at or near the momentum $\mathbf{P} = \mathbf{Q} - \mathbf{K}$, with the width of the peak dominated by the largest between the widths of the functions f_{Pi} and f_{Pf} . Further insight into the general properties of the neutrino wave packets can be gained by comparing expressions (3.9) with their plane-wave limits. If the external particles were described by plane waves, the quantities $\Phi_{jP}(E_j(\mathbf{p}), \mathbf{p})$ and $\Phi_{jD}(E_j(\mathbf{p}), \mathbf{p})$, which determine the neutrino wave packets, would be just equal to the matrix elements of the neutrino production or detection processes divided by the factor $\sqrt{2EV}$ for each external particle and multiplied, correspondingly, by $(2\pi)^4\delta^{(4)}(q - k \mp p)$. The latter factors represent energy-momentum conservation at the production and detection vertices. As follows from (3.9), in the case when the external particles are described by wave packets, the quantities $\Phi_{jP}(E_j(\mathbf{p}), \mathbf{p})$ and $\Phi_{jD}(E_j(\mathbf{p}), \mathbf{p})$ (and therefore the momentum distribution functions of the neutrino wave packets) correspond to “smeared δ -functions”, representing approximate conservation of the mean energies and mean momenta of the participating particles. How exactly this smearing occurs will depend on the form of the wave packets of the external particles, and to move ahead one has to specify this form.

A particularly useful and illuminating example of a specific form of the external wave packets, and the one most often used in the literature, is the case of Gaussian

wave packets. We will employ this example to illustrate the general properties of the neutrino wave packets.

Let us discuss first the conditions under which an arbitrary wave packet can be accurately approximated by a Gaussian one. For simplicity, we will consider here 1-dimensional wave packets. This is a good approximation in the case when the distance between the neutrino source and detector is very large compared to their sizes, so that the neutrino momentum is practically collinear with $\mathbf{L} = \mathbf{x}_D - \mathbf{x}_P$ (the generalization to the 3-dimensional case is straightforward). Consider a wave packet described by a momentum distribution function $f(p)$, sharply peaked at some value P_0 of the momentum. We can write this function in the exponential form as

$$f(p) = e^{-g(p)}, \quad \text{where} \quad g(p) = -\ln[f(p)]. \quad (4.11)$$

The Gaussian approximation corresponds to the case when the integral over p of the function $f(p)$ multiplied by any function of p that is smooth in the vicinity of P_0 can be evaluated in the saddle point approximation. Indeed, in this approach one expands the function $g(p)$ around its minimum at $p = P_0$ and keeps the terms up to and including the quadratic one:

$$g(p) \simeq g(P_0) + \frac{1}{2} g''(P_0)(p - P_0)^2. \quad (4.12)$$

This precisely means the wave packet $f(p)$ is approximated by the Gaussian one. The validity condition for this approximation is given in terms of the derivatives of the function g at P_0 :

$$\frac{1}{4!} |g^{(IV)}(P_0)| \ll \frac{1}{2} |g''(P_0)|^2. \quad (4.13)$$

It can be satisfied for a wide range of functions $f(p)$. However, it is easy to construct wave packets for which it is not satisfied. Consider, e.g. a class of wave packets

$$f(p) = \frac{C_n}{[(p - P_0)^2 + \gamma^2]^n} \quad (4.14)$$

with integer n and C_n a constant, which can be found from the normalization condition for $f(p)$. It is easy to check that condition (4.13) is equivalent to $1/4n \ll 1$. Thus, the momentum distribution functions (4.14) can be accurately approximated by the Gaussian ones only when $n \gg 1$. This condition, in particular, is not satisfied for Lorentzian wave packets.

4.3 Matching the QFT and QM neutrino wave packets

Let us now discuss how one can match the QFT and QM wave packets of neutrinos. Using the case of Gaussian wave packets as an example, we shall find out how the effective parameters describing the QM wave packets can be expressed in terms of the more fundamental parameters entering into the QFT approach.

We start by introducing some notation (we mostly follow [2, 12] here). The coordinate uncertainty σ_{xP_i} characterizing the wave function of the initial state particle P_i in the neutrino production process is related to its momentum uncertainty σ_{pP_i} by

$$\sigma_{xP_i} \sigma_{pP_i} = \frac{1}{2}, \quad (4.15)$$

and similarly for all other external particles. One can also introduce the effective coordinate uncertainty of the production process σ_{xP} , which is connected to the effective momentum uncertainty of this process σ_{pP} defined in eq. (4.10) by a relation similar to (4.15), or equivalently

$$\frac{1}{\sigma_{xP}^2} = \frac{1}{\sigma_{xPi}^2} + \frac{1}{\sigma_{xPf}^2}. \quad (4.16)$$

This formula has a simple physical interpretation: since the neutrino production process requires an overlap of the wave functions of all the participating particles, the effective uncertainty of the coordinate of the production point is determined by the particle with the smallest coordinate uncertainty. This is in accord with the already discussed fact that the effective momentum uncertainty at production σ_{pP} , which determines the momentum uncertainty of the produced neutrino, is dominated by the largest among the momentum uncertainties of all the external particles involved in neutrino production.

Next, we define the effective velocity of the neutrino source \mathbf{v}_P and its effective squared velocity Σ_P as

$$\mathbf{v}_P \equiv \sigma_{xP}^2 \left(\frac{\mathbf{v}_{Pi}}{\sigma_{xPi}^2} + \frac{\mathbf{v}_{Pf}}{\sigma_{xPf}^2} \right), \quad \Sigma_P \equiv \sigma_{xP}^2 \left(\frac{\mathbf{v}_{Pi}^2}{\sigma_{xPi}^2} + \frac{\mathbf{v}_{Pf}^2}{\sigma_{xPf}^2} \right). \quad (4.17)$$

If $\mathbf{v}_{Pi} \sim \mathbf{v}_{Pf}$, they are approximately equal to, respectively, the velocity and squared velocity of the particle with the smallest coordinate uncertainty. We will also need the quantity σ_{eP} defined through

$$\sigma_{eP}^2 = \sigma_{pP}^2 (\Sigma_P - \mathbf{v}_P^2) \equiv \sigma_{pP}^2 \lambda_P. \quad (4.18)$$

This quantity can be interpreted as the effective energy uncertainty at neutrino production [2]. It can be also shown that $0 \leq \lambda_P \leq 1$, i.e. $0 \leq \sigma_{eP} \leq \sigma_{pP}$.

We can now discuss the results obtained in the QFT framework in the case when the external particles are represented by Gaussian wave packets. The function $\Phi_{jP}(E_j(\mathbf{p}), \mathbf{p})$, which coincides with the momentum distribution function of the emitted mass-eigenstate neutrino ν_j , can be written as [2, 12]

$$\Phi_{jP}(E_j(\mathbf{p}), \mathbf{p}) = N_P M_{jP}(Q, K) \frac{1}{\sigma_{eP} \sigma_{pP}^3} \exp[-g_P(E_j(\mathbf{p}), \mathbf{p})], \quad (4.19)$$

where

$$N_P = \frac{\pi^2}{(2\pi\sigma_{xPi}^2)^{3/4} (2\pi\sigma_{xPf}^2)^{3/4} [2E_{Pi}(\mathbf{Q}) \cdot 2E_{Pf}(\mathbf{K})]^{1/2}} \quad (4.20)$$

is the normalization factor and

$$g_P(E_j(\mathbf{p}), \mathbf{p}) = \frac{(\mathbf{p} - \mathbf{P})^2}{4\sigma_{pP}^2} + \frac{[E_j(\mathbf{p}) - E_P - \mathbf{v}_P(\mathbf{p} - \mathbf{P})]^2}{4\sigma_{eP}^2}. \quad (4.21)$$

Here

$$\mathbf{P} \equiv \mathbf{Q} - \mathbf{K}, \quad E_P \equiv E_{Pi}(\mathbf{Q}) - E_{Pf}(\mathbf{K}), \quad (4.22)$$

and $E_j(\mathbf{p})$ was defined in eq. (4.1). Note that in the limit when the external particles are represented by plane waves ($\sigma_{pPi} \rightarrow 0, \sigma_{pPf} \rightarrow 0$), the first equation in (3.9) yields

$$\begin{aligned} \Phi_{jP}(E_j(\mathbf{p}), \mathbf{p}) &= (2\pi)^4 \delta[E_{Pi}(\mathbf{Q}) - E_{Pf}(\mathbf{K}) - E_j(\mathbf{P})] \delta^{(3)}(\mathbf{Q} - \mathbf{K} - \mathbf{P}) \\ &\quad \times \frac{M_{jP}(Q, K)}{\sqrt{2E_{Pi}(\mathbf{Q})V \cdot 2E_{Pf}(\mathbf{K})V}}, \end{aligned} \quad (4.23)$$

as discussed in the previous subsection. From eq. (4.10) and the fact that $\sigma_{eP} \leq \sigma_{pP}$ it follows that in this limit the momentum uncertainty σ_{pP} and energy uncertainty σ_{eP} of the produced neutrino state vanish as well; thus, if the external particles are described by plane waves, then so is the produced neutrino. As follows from eq. (4.23), the plane wave limit corresponds to exact energy and momentum conservation at production.⁸ This can also be seen from eqs. (4.19) and (4.21): indeed, in the limit $\sigma_{eP}, \sigma_{pP} \rightarrow 0$ the right hand side of (4.19) is proportional to the product of the energy and momentum conserving δ -functions. For finite values of σ_{pP} and σ_{eP} , eqs. (4.19) and (4.21) yield Gaussian-type “smeared delta functions”, i.e. describe approximate conservation laws for the mean momenta and mean energies of the wave packets, for which are responsible, respectively, the first term and the second term in (4.21).

Let us now try to cast expressions (4.19) and (4.21) into the form usually adopted in the QM wave packet approach to neutrino oscillations. We want to reduce $\Phi_{jP}(E_j(\mathbf{p}), \mathbf{p})$ to an expression similar to that in eq. (2.10). To this end, we expand the neutrino energy around the point $\mathbf{p} = \mathbf{P}$ and keep terms up to the second order:

$$E_j(\mathbf{p}) \simeq E_j + \mathbf{v}_j(\mathbf{p} - \mathbf{P}) + \frac{1}{2E_j}(\delta^{kl} - v_j^k v_j^l)(p - P)^k (p - P)^l. \quad (4.24)$$

Here

$$E_j \equiv E_j(\mathbf{P}), \quad \mathbf{v}_j \equiv \left. \frac{\partial E_j(\mathbf{p})}{\partial \mathbf{p}} \right|_{\mathbf{p}=\mathbf{P}} = \frac{\mathbf{P}}{E_j}. \quad (4.25)$$

The lower index j corresponds to the neutrino mass eigenstates, while the upper indices k and l number the components of the 3-vectors \mathbf{p}, \mathbf{P} and \mathbf{v}_j (i.e. v_j^k is the k th component of the group velocity of the j th neutrino mass eigenstate). The function $g_P(E_j(\mathbf{p}), \mathbf{p})$ defined in (4.21) can then be written as

$$g_P(E_j(\mathbf{p}), \mathbf{p}) = (p - P)^k \alpha^{kl} (p - P)^l - \beta^k (p - P)^k + \gamma_j, \quad (4.26)$$

where

$$\alpha^{kl} = \frac{1}{4\sigma_{eP}^2} \left[\lambda_P \delta^{kl} + (v_j - v_P)^k (v_j - v_P)^l + \frac{E_j - E_P}{E_j} (\delta^{kl} - v_j^k v_j^l) \right], \quad (4.27)$$

$$\beta^k = -\frac{1}{2\sigma_{eP}^2} (E_j - E_P)(v_j - v_P)^k, \quad \gamma_j = \frac{(E_j - E_P)^2}{4\sigma_{eP}^2}. \quad (4.28)$$

⁸Since the neutrino energy and momentum are completely determined by those of the external particles in this case, only one neutrino mass eigenstate can be produced in any given interaction process, and therefore no oscillations are possible in the plane wave limit [2].

Let us now try to represent $g_P(E_j(\mathbf{p}), \mathbf{p})$ in the form

$$g_P(E_j(\mathbf{p}), \mathbf{p}) = (p - P_{\text{eff}})^k \alpha^{kl} (p - P_{\text{eff}})^l + \tilde{\gamma}_j \quad (4.29)$$

with

$$\mathbf{P}_{\text{eff}} \equiv \mathbf{P} + \boldsymbol{\delta}, \quad (4.30)$$

where the parameters $\boldsymbol{\delta}$ and $\tilde{\gamma}_j$ are to be determined by comparing eqs. (4.29) and (4.26). They describe, respectively, a shift of the neutrino mean momentum compared to the naive expectation $\langle \mathbf{p} \rangle = \mathbf{P}$ and a modification of the overall normalization of the neutrino wave function. The effective momentum uncertainty characterizing the QM neutrino wave packet can be obtained by diagonalizing the matrix α . The squared uncertainties of the different components of the neutrino momentum are given, up to the factor 1/4, by the reciprocals of the eigenvalues of the matrix α . In general, these eigenvalues are different, i.e. the neutrino momentum uncertainty is anisotropic. This actually means that expression (2.10) for a 3-dimensional Gaussian wave packet is oversimplified: its exponent has to be replaced by $-[(p^x - P_{\text{eff}}^x)^2/4(\sigma_{pP}^x)^2 + (p^y - P_{\text{eff}}^y)^2/4(\sigma_{pP}^y)^2 + (p^z - P_{\text{eff}}^z)^2/4(\sigma_{pP}^z)^2]$.

Comparing eqs. (4.29) and (4.26), one finds that the shift $\boldsymbol{\delta}$ of the neutrino mean momentum satisfies the equation

$$2 \alpha^{kl} \delta^l = \beta^k, \quad (4.31)$$

whereas the parameter $\tilde{\gamma}_j$ is given by

$$\tilde{\gamma}_j = \gamma_j - \delta^k \alpha^{lk} \delta^l = \gamma_j - \frac{1}{2} \delta^k \beta^k. \quad (4.32)$$

The full solutions of eqs. (4.31) and (4.32) are given in appendix A; here we present the results obtained in the leading order in the small parameter $(E_j - E_P)/E_j$.⁹ The diagonalization of the matrix α^{kl} gives in this limit

$$(\sigma_{pP}^x)_{\text{eff}}^2 = (\sigma_{pP}^y)_{\text{eff}}^2 = \sigma_{pP}^2, \quad \frac{1}{(\sigma_{pP}^z)_{\text{eff}}^2} = \frac{1}{\sigma_{pP}^2} + \frac{(\mathbf{v}_j - \mathbf{v}_P)^2}{\sigma_{eP}^2}, \quad (4.33)$$

where the z axis was chosen in the direction of $\mathbf{v}_j - \mathbf{v}_P$. For δ^k and $\tilde{\gamma}_j$ one finds

$$\delta^k = -\frac{(E_j - E_P)(v_j - v_P)^k}{\lambda_P + (\mathbf{v}_j - \mathbf{v}_P)^2}, \quad \tilde{\gamma}_j = \frac{(E_j - E_P)^2}{4\sigma_{eP}^2} \frac{\lambda_P}{\lambda_P + (\mathbf{v}_j - \mathbf{v}_P)^2}. \quad (4.34)$$

From eq. (4.33) it follows that the neutrino momentum uncertainty in the direction of $\mathbf{v}_j - \mathbf{v}_P$ is smaller than those in the orthogonal directions. Note that for non-relativistic sources ($v_P \ll 1$) the direction of $\mathbf{v}_j - \mathbf{v}_P$ essentially coincides with that of the mean neutrino momentum, and eq. (4.33) means that the longitudinal uncertainty of the neutrino momentum is smaller than the transversal ones. To understand this property qualitatively, one can imagine the neutrino production region (i.e. the region where the wave packets of the particles involved in the production process have significant overlap) to be approximately spherical with radius of order σ_{xP} . Then, the transverse extent of the neutrino

⁹That this parameter is indeed small can be readily seen from eqs. (4.19) and (4.21): the function Φ_{jP} is strongly suppressed unless $|E_j - E_P| \lesssim \max\{\sigma_{eP}, \sigma_{pP}\} \ll E_j$.

wave packet will also be $\mathcal{O}(\sigma_{xP})$. On the other hand, its longitudinal spread is determined by the duration of the production process (i.e. the time interval during which the wave packets have significant overlap), which is given by $\sim \sigma_{xP}/\delta v$, where δv is the relative velocity of the two external particles.

Summarizing the results of the current subsection, we can see that the QM neutrino wave packets can match those obtained in the QFT framework if one applies the following changes to the QM results:

- The momentum uncertainties of the neutrino mass eigenstates are replaced by the effective ones, defined in eq. (4.33). They are in general different in different directions.
- The mean momentum \mathbf{P} is shifted according to $\mathbf{P} \rightarrow \mathbf{P}_{\text{eff}} = \mathbf{P} + \boldsymbol{\delta}$, where the components of $\boldsymbol{\delta}$ are given in eq. (4.34).
- The wave packet of each neutrino mass eigenstate gets an extra factor $N_j = \exp[-\tilde{\gamma}_j]$, where $\tilde{\gamma}_j$ is given in (4.34).

From the last point one can see that if the differences of the energies of different neutrino mass eigenstates are small compared to the energy uncertainty σ_{eP} ,

$$|E_i - E_j| \simeq \frac{\Delta m_{ij}^2}{2E} \ll \sigma_{eP}, \tag{4.35}$$

the additional factors N_j are essentially the same for the wave functions of all neutrino mass eigenstates and can be included in their common normalization factor. If, on the contrary, this condition is violated, the coherence of the emission of different neutrino mass eigenstates will be lost [15].

As follows from eq. (4.33), the effective momentum uncertainties that should be used to describe the wave packets of emitted neutrinos in the QM formalism are not just equal to the true momentum uncertainty at production σ_{pP} , as naively expected, but also depend on the energy uncertainty σ_{eP} , which is an independent parameter, as well as on the neutrino velocity \mathbf{v}_j and the effective velocity of the neutrino production region \mathbf{v}_P . Except for $\mathbf{v}_j \simeq \mathbf{v}_P$, the momentum uncertainty along the direction of $\mathbf{v}_j - \mathbf{v}_P$ is dominated by the smaller between σ_{pP} and σ_{eP} , which turns out to be σ_{eP} . This is related to the fact that neutrinos propagate over macroscopic distances and therefore are on their mass shell, which enforces the relation $E_j(\mathbf{p})\sigma_{eP} \simeq |\mathbf{p}|\sigma_{pP\text{eff}}$ (see section 5.2 of ref. [15]). In the limit $\sigma_{eP}, \mathbf{v}_P \rightarrow 0$, which corresponds to a stationary neutrino source approximation [2], the effective longitudinal momentum uncertainty $\sigma_{pP\text{eff}}^z$ vanishes, even though the true momentum uncertainty σ_{pP} is nonzero. This implies an infinite coherence length, in accordance with the well known result for the stationary case [6, 20]. It also confirms the expectation that wave packets of Mössbauer neutrinos, which are emitted in a quasi-stationary process, have a very large spatial extent [26, 32].

We have discussed here the matching of the QFT and QM wave packets of the produced neutrino states; for the wave packets of the detected states the consideration is completely analogous.

4.4 The case of an unstable neutrino source

Let us now consider the situation when neutrinos are produced in decays of unstable particles. Once again, we will assume that the external particles are described by Gaussian wave packets. Compared to the standard formalism that leads to eqs. (4.19) and (4.21), one now has to introduce the following modifications:

1. The energy of the parent particle P_i acquires an imaginary part, i.e. one has to replace $E_{P_i}(\mathbf{q}) \rightarrow E_{P_i}(\mathbf{q}) - i\Gamma/2$, where $\Gamma = [m_{P_i}/E_{P_i}(\mathbf{q})]\Gamma_0 \simeq [m_{P_i}/E_{P_i}(\mathbf{Q})]\Gamma_0$, Γ_0 being the rest-frame decay width of P_i . This amounts to replacing the energy difference E_P defined in (4.22) according to $E_P \rightarrow E_P - i\Gamma/2$.
2. The integration over time in the formula for Φ_{jP} in eq. (3.9) now has to be performed from 0 to ∞ rather than from $-\infty$ to ∞ (assuming that $t = 0$ is the production time of the parent particle P_i).

As a result of these modifications, eqs. (4.19), (4.21) get replaced by

$$\Phi_{jP}(E_j(\mathbf{p}), \mathbf{p}) = N_P M_{jP}(Q, K) \frac{1}{\sqrt{\pi}\sigma_{pP}^3} \exp\left[-\frac{(\mathbf{p}-\mathbf{P})^2}{4\sigma_{pP}^2}\right] I_1, \quad (4.36)$$

where

$$I_1 \equiv \int_0^\infty e^{-a(t-t_P)^2 + ib(t-t_P) - \frac{\Gamma}{2}t} dt = e^{-\frac{\Gamma}{2}t_P} \int_{-t_P}^\infty e^{-at^2 + i\tilde{b}t} dt, \quad (4.37)$$

with

$$a \equiv \sigma_{eP}^2, \quad b \equiv E_j(\mathbf{p}) - E_P - \mathbf{v}_P(\mathbf{p} - \mathbf{P}), \quad \tilde{b} \equiv b + i\Gamma/2, \quad (4.38)$$

and $t_P \geq 0$ being the time of maximum wave packet overlap in the production region (see section 3). The \mathbf{p} -dependent Gaussian factor in eq. (4.36) describes, as before, an approximate conservation of mean momenta at neutrino production, whereas the factor I_1 is responsible for an approximate conservation of mean energies. Unlike in the case of stable particles considered in section 4.3, the latter does not have a Gaussian form. Note the different time dependence of the different terms in the exponent in the integrand of the first integral in (4.37): the terms proportional to a and b are multiplied by $t - t_P$, whereas the term $\propto \Gamma$ is multiplied by t . This is because the former two terms reflect the fact that the peak of the wave packet of P_i is located at the neutrino production point $\mathbf{x} = \mathbf{x}_P$ at the time $t = t_P$, whereas the wave function of this particle exhibits an overall exponential suppression starting from its creation time $t = 0$.

Calculating the integral in (4.37), we find

$$\Phi_{jP}(E_j(\mathbf{p}), \mathbf{p}) = \frac{N_P M_{jP}(Q, K)}{2\sigma_{pP}^3 \sigma_{eP}} \exp\left[-\frac{(\mathbf{p}-\mathbf{P})^2}{4\sigma_{pP}^2}\right] \exp\left[-\frac{\Gamma}{2}t_P - \frac{\tilde{b}^2}{4a}\right] \left[\operatorname{erf}\left(\frac{i\tilde{b}}{2\sqrt{a}} + \sqrt{a}t_P\right) + 1\right], \quad (4.39)$$

where $\operatorname{erf}(x)$ is the error function. The limiting cases of interest can now be obtained from the relevant expansions of this function, but it is actually easier to study them starting directly with the expression for I_1 in eq. (4.37).

Indeed, the integrand of I_1 contains the exponential and oscillating factors, and therefore the integration domain that gives significant contribution to I_1 is

$$|t| \lesssim \min \left\{ \frac{1}{\sqrt{a}}, \frac{1}{|\tilde{b}|} \right\}, \quad (4.40)$$

provided that the right hand side of this condition does not exceed t_P ; if it does, for negative t the domain is limited by $|t| > t_P$. Let us note that, while the parameter b may vanish, \tilde{b} cannot, as it has a non-zero imaginary part $\Gamma/2$. Consider first the limit $\sqrt{a} \gg |\tilde{b}|$, $\sqrt{a} t_P \gg 1$, which implies

$$\sigma_{eP} \gg \Gamma/2, \quad \sigma_{eP} t_P \gg 1. \quad (4.41)$$

In this case one can set $\tilde{b} \simeq b$ and also extend the lower integration limit in eq. (4.37) to $-\infty$, which gives $I_1 \simeq \sqrt{\pi/a} \exp[-b^2/4a]$. Substituting this into eq. (4.36) yields, up to the extra factor $e^{-(\Gamma/2)t_P}$, the old result of eqs. (4.19) and (4.21). If instead of the second condition in (4.41) one considers the opposite limit $\sigma_{eP} t_P \ll 1$, the lower integration limit in the last integral in (4.37) can be set equal to zero. Since the error function goes to zero for small arguments, it follows that the result in this case is just 1/2 of that in the case $\sigma_{eP} t_P \gg 1$. Thus, we conclude that in the limit $\sigma_{eP} \gg \Gamma/2$ the approximate conservation of mean energies is given by the same (in this case Gaussian) law as in the case of the stable neutrino source, with the same energy uncertainty σ_{eP} . This is an expected result.

Consider now the limit $\sqrt{a} \ll |\tilde{b}|$, $\sqrt{a} t_P \ll 1$, or¹⁰

$$\sigma_{eP} \ll \Gamma/2, \quad \sigma_{eP} t_P \ll 1. \quad (4.42)$$

In this case one can neglect the term $-at^2$ in the exponent in the last integral in (4.37), which yields

$$I_1 \simeq e^{-\frac{\Gamma}{2}t_P} \frac{ie^{-i\tilde{b}t_P}}{\tilde{b}} = \frac{ie^{-i\tilde{b}t_P}}{E_j(\mathbf{p}) - E_P - \mathbf{v}_P(\mathbf{p} - \mathbf{P}) + i\Gamma/2}. \quad (4.43)$$

This is the usual Lorentzian energy distribution factor corresponding to the decay of an unstable parent state.

Thus, we conclude that in the case when $\Gamma/2 \ll \sigma_{eP}$ the factor in $\Phi_{jP}(E_j(\mathbf{p}), \mathbf{p})$ that is responsible for the approximate conservation of mean energies in the production process is essentially the same as in the case of a stable neutrino source (Gaussian in the case we considered), whereas in the opposite limit, $\Gamma/2 \gg \sigma_{eP}$, it is given by the Lorentzian energy distribution corresponding to the natural linewidth of the source $\Gamma/2$. In the intermediate case $\Gamma \sim \sigma_{eP}$, the energy-dependent factor in $\Phi_{jP}(E_j(\mathbf{p}), \mathbf{p})$ is neither Gaussian nor Lorentzian, with the effective energy uncertainty being of the same order as σ_{eP} and Γ .

¹⁰Note that the second condition in (4.42) cannot be relaxed: indeed, if one had $\sigma_{eP} t_P \gtrsim 1$, then $\Gamma/2 \gg \sigma_{eP}$ would imply $\Gamma t_P \gg 1$, and the wave function of the parent particle would be exponentially suppressed by the time the neutrino is produced.

5 Oscillation probabilities and the normalization conditions

5.1 Normalization of oscillation probabilities in the QM approach

In the QM wave packet approach to neutrino oscillations, one has to normalize the oscillation probability $P_{\alpha\beta}(L)$ by hand by requiring that it satisfy the unitarity constraint

$$\sum_{\beta} P_{\alpha\beta}(L) = 1. \tag{5.1}$$

This is an ad hoc procedure that is not properly justified within the QM formalism; however, it seems to be unavoidable in that approach. In particular, one can readily make sure that the standard normalization of the neutrino wave packets $\langle \nu_j | \nu_j \rangle = 1$, or, equivalently, $\int |f_j(\mathbf{p})|^2 d^3p / (2\pi)^3 = 1$, does not lead to the correct normalization of the oscillation probability (that this is indeed the case can be easily verified by using Gaussian wave packets as an example). Moreover, as we will show below, *no independent normalization of the produced and detected neutrino states can lead to the correct normalization of the oscillation probability in the QM wave packet approach.*

Let us now consider the unitarity constraints on the oscillation probabilities and their connection to the normalization conditions in more detail. As it is presented in eq. (5.1), the unitarity condition simply reflects the fact that during the propagation between the source and detector neutrinos are neither destroyed nor (re)created: once a neutrino is produced, it can only change its flavour.¹¹ Therefore, for a fixed initial flavour α , the probabilities $P_{\alpha\beta}(L)$ of neutrino conversion to all final flavours β sum to unity. Note that the quantities $P_{\alpha\beta}(L)$ by construction depend only on the distance L between the source and the detector and not on the propagation time T , which is integrated over (see eq. (2.16)).¹² Thus, the meaning of the unitarity condition (5.1) is that, once a neutrino is produced, the probability that it will be found at a given distance L from the source at some time between zero and infinity is equal to one, provided that all flavour states are accounted for. Similarly, if one introduces $P_{\alpha\beta}(T) \equiv \int d^3L P_{\alpha\beta}(T, \mathbf{L})$, it will also satisfy a unitarity condition analogous to (5.1). In other words, once the neutrino is created, the probability to find it (in any flavour state) at a fixed time T after its production somewhere in space is equal to one.

The unitarity conditions can only be satisfied if the oscillation probabilities are properly normalized. It is important to note, however, that in a consistent formalism unitarity must be satisfied automatically rather than being imposed by hand.

How about the un-integrated probability $P_{\alpha\beta}(T, \mathbf{L})$, should it satisfy a unitarity constraint similar to (5.1)? Obviously, for arbitrary \mathbf{L} and T the answer to this question is negative. Indeed, unless $|\mathbf{L} - \mathbf{v}T| \lesssim \sigma_x$ where \mathbf{v} is the average group velocity of the neutrino wave packet and σ_x is its spatial length, the probabilities $P_{\alpha\beta}(T, \mathbf{L})$ are vanishingly small for all α and β . Thus, unitarity cannot be used to normalize the un-integrated probability. The normalization of $P_{\alpha\beta}(T, \mathbf{L})$ can, however, be fixed differently: in the limit $T \rightarrow 0$, $\mathbf{L} \rightarrow 0$, i.e. when the produced neutrino did not have time to evolve yet, the probability must satisfy the initial condition $P_{\alpha\beta}(0, \mathbf{0}) = \delta_{\alpha\beta}$. Note that the above limit should be

¹¹We neglect tiny probabilities of neutrino decay and absorption.

¹²For simplicity we assume here the neutrino emission to be spherically symmetric. Otherwise, $P_{\alpha\beta}(L)$ has to be defined as $P_{\alpha\beta}(L) \equiv \int P_{\alpha\beta}(\mathbf{L}) d\Omega / 4\pi$.

understood in the sense that \mathbf{L} and T are small compared to the oscillation length but large compared to, respectively, the sizes of the spatial localization regions and time scales of the neutrino emission and absorption processes. From eqs. (2.14) and (2.15) it then immediately follows that the amplitudes $\mathcal{A}_j(T, \mathbf{L})$ corresponding to neutrino mass eigenstates must satisfy $\mathcal{A}_j(0, \mathbf{0}) = e^{i\phi}$ where the real phase ϕ is the same for all j . By a rephasing of the momentum distribution functions of either emitted or detected neutrinos, this common phase can be eliminated, and one finally gets $\mathcal{A}_j(0, \mathbf{0}) = 1$.

Now, let us check if this condition is fulfilled in the particular case of Gaussian wave packets. For the momentum distribution functions of the produced and detected neutrino states normalized according to eq. (2.4), i.e. having the form (2.10), a straightforward calculation yields

$$\mathcal{A}_j(0, \mathbf{0}) = \int \frac{d^3p}{(2\pi)^3} f_{jP}(\mathbf{p}, \mathbf{P}) f_{jD}^*(\mathbf{p}, \mathbf{P}') = \left(\frac{2\sigma_{pP}\sigma_{pD}}{\sigma_{pP}^2 + \sigma_{pD}^2} \right)^{3/2} \exp \left[-\frac{(\mathbf{P} - \mathbf{P}')^2}{4(\sigma_{pP}^2 + \sigma_{pD}^2)} \right]. \quad (5.2)$$

For $\sigma_{pP} \neq \sigma_{pD}$ and $\mathbf{P} \neq \mathbf{P}'$ both factors on the right hand side of the last equality are smaller than one, and therefore the condition $\mathcal{A}_j(0, \mathbf{0}) = 1$ is clearly violated. Moreover, the dependence of the result on the parameters of the produced and detected neutrino states does not factorize; this means that no independent normalization of these states can lead to the correct normalization of the amplitude, as pointed out above.

It is actually quite easy to understand why this happens. The integral in eq. (5.2) is nothing but the overlap integral of the wave functions of the produced and detected neutrinos. If these wave functions are normalized to unity and the momentum (or energy) spectra of the emitted and detected states do not coincide, this overlap integral is always less than one. In reality, the spectra of the emitted and absorbed neutrino states are determined by the physical nature and experimental conditions of the neutrino production and detection processes, which are always different. This, in particular, means that a fraction of the produced neutrinos may simply not be detectable. For instance, if the threshold in the detection process (either the physical threshold or the one imposed by energy cuts of the detected events) is higher than the maximum energy of the emitted neutrino, no detection will be possible at all. Mathematically, the fact that the overlap integral (5.2) is always less than one is a consequence of the Schwarz inequality $|(f, g)|^2 \leq (f, f)(g, g)$, where the equality is only reached if $f = \text{const} \cdot g$.

Even if one adopts the unrealistic assumption $f_{jP}(\mathbf{p}) = f_{jD}(\mathbf{p})$ (which for Gaussian wave packets would mean $\mathbf{P} = \mathbf{P}'$ and $\sigma_{pP} = \sigma_{pD}$), this will not solve all the normalization problems of the QM wave packet approach. The condition $\mathcal{A}_j(0, \mathbf{0}) = 1$ will be satisfied in this case; however, the physically observable oscillation probability $P_{\alpha\beta}(\mathbf{L})$ defined in eq. (2.16) will still not be properly normalized, and the unitarity condition (5.1) will not be satisfied. Indeed, from eq. (2.14) it follows that unitarity requires that [15]

$$\int dT |\mathcal{A}_j(T, \mathbf{L})|^2 = 1 \quad (5.3)$$

for all j . Obviously, the fulfilment of the condition $\mathcal{A}_j(0, \mathbf{0}) = 1$ does not enforce (5.3); therefore in the QM formalism condition (5.3) has to be imposed by hand.

It is not difficult to understand why yet another normalization problem arises: integration over the time T should actually be considered as time averaging in the QM approach, and the integral on the right hand side of eq. (2.16) should be normalized by dividing it by the characteristic time ΔT which depends on time scales of both the neutrino production and detection processes. This follows from the fact that the amplitude $\mathcal{A}_j(T, \mathbf{L})$ is substantially different from zero only when $|\mathbf{L} - \mathbf{v}T| \lesssim \sigma_x$, where the effective length of the wave packet σ_x is determined by both the neutrino production and detection processes, which gives $\Delta T \sim \sigma_x/v$. It is difficult to calculate the quantity ΔT precisely, and the simplest way out is just to impose the unitarity condition by hand, which yields the correct normalization of $P_{\alpha\beta}(L)$.¹³

Thus, in the QM approach there are two sources of the normalization problems: lack of the overlap of the wave functions of the produced and detected neutrino states and the necessity of integration over the neutrino propagation time T . We will show now how both these problems are naturally solved in the QFT-based formalism.

5.2 Normalized oscillation probabilities in the QFT framework

5.2.1 Generalities

Let us start with recalling the operational definition of the neutrino oscillation probability. In a detection process that is sensitive to neutrinos of flavour β , the detection rate is¹⁴

$$\Gamma_{\beta}^{\text{det}} = \int dE j_{\beta}(E) \sigma_{\beta}(E), \tag{5.4}$$

where $\sigma_{\beta}(E)$ is the detection cross section and $j_{\beta}(E)$ is the energy density (spectrum) of the ν_{β} flux at the detector. If a source at a distance L from the detector emits neutrinos of flavour α with the energy spectrum $d\Gamma_{\alpha}^{\text{prod}}(E)/dE$, the energy density of the ν_{β} flux at the detector is

$$j_{\beta}(E) = \frac{1}{4\pi L^2} \frac{d\Gamma_{\alpha}^{\text{prod}}(E)}{dE} P_{\alpha\beta}(L, E), \tag{5.5}$$

where $P_{\alpha\beta}(L, E)$ is the $\nu_{\alpha} \rightarrow \nu_{\beta}$ oscillation probability for neutrinos of energy E , and we once again assumed for simplicity neutrino emission to be spherically symmetric. Substituting this into eq. (5.4) yields the rate of the overall neutrino production-propagation-detection process:

$$\Gamma_{\alpha\beta}^{\text{tot}} \equiv \int dE \frac{d\Gamma_{\alpha\beta}^{\text{tot}}(E)}{dE} = \frac{1}{4\pi L^2} \int dE \frac{d\Gamma_{\alpha}^{\text{prod}}(E)}{dE} P_{\alpha\beta}(L, E) \sigma_{\beta}(E). \tag{5.6}$$

The oscillation probability can now be extracted from the integrand of eq. (5.6) by dividing it by the neutrino emission spectrum, detection cross section and the geometrical factor

¹³Note that once the normalization condition (5.3) is enforced, one can demonstrate that the resulting oscillation probability $P_{\alpha\beta}(L)$ is Lorentz invariant [15]. This is not trivial in the QM approach because the QM formalism is not manifestly Lorentz covariant.

¹⁴We omit the obvious factors of detection efficiency and energy resolution that are not relevant to our argument.

$1/4\pi L^2$:

$$P_{\alpha\beta}(L, E) = \frac{d\Gamma_{\alpha\beta}^{\text{tot}}(E)/dE}{\frac{1}{4\pi L^2} [d\Gamma_{\alpha}^{\text{prod}}(E)/dE] \sigma_{\beta}(E)}. \quad (5.7)$$

Note that an important ingredient of this argument is the assumption that at a fixed neutrino energy E the overall rate of the process factorizes into the production rate, propagation (oscillation) probability and detection cross section. Should such a factorization turn out to be impossible, the very notion of the oscillation probability would lose its sense, and one would have to deal instead with the overall rate of neutrino production, propagation and detection.

Now let us come back to the QFT-based treatment of neutrino oscillations and try to cast the rate of the overall process in the form of eq. (5.6). To this end, we return to eq. (3.8) for the amplitude of the process but, unlike in the previous sections, perform in it the integration over 3-momentum before integrating over the energy variable $p^0 \equiv E$. In doing so, we will make use of a result obtained by Grimus and Stockinger [20], which states that, for a large baseline L , positive A and a sufficiently smooth function $\psi(\mathbf{p})$,

$$\int d^3p \frac{\psi(\mathbf{p}) e^{i\mathbf{p}\mathbf{L}}}{A - \mathbf{p}^2 + i\epsilon} = -\frac{2\pi^2}{L} \psi(\sqrt{A}\frac{\mathbf{L}}{L}) e^{i\sqrt{A}L} + \mathcal{O}(L^{-\frac{3}{2}}), \quad (5.8)$$

whereas for $A < 0$ the integral behaves as L^{-2} . This result was obtained in [20] in the limit $L \rightarrow \infty$, but a careful examination of the derivation shows that its applicability condition is actually $L \gg p_j/\sigma_p^2$, which we will assume to be satisfied. Applying (5.8) to eq. (3.8) yields

$$i\mathcal{A}_{\alpha\beta}(T, \mathbf{L}) = \frac{-i}{8\pi^2 L} \sum_j U_{\alpha j}^* U_{\beta j} \int dE \Phi_{jP}(E, p_j \mathbf{1}) \Phi_{jD}(E, p_j \mathbf{1}) 2E e^{-iET + ip_j L}, \quad (5.9)$$

where

$$p_j \equiv \sqrt{E^2 - m_j^2}, \quad \mathbf{1} \equiv \frac{\mathbf{L}}{L}. \quad (5.10)$$

Next, we note that, just as the quantities $\Phi_{jP}(E_j, \mathbf{p})$ depend on the index j only through the neutrino energy E_j , the functions $\Phi_{jP}(E, p_j \mathbf{1})$ depend on the index j only through the neutrino momentum p_j . Therefore, to simplify the notation we will denote

$$\Phi_{jP}(E, p_j \mathbf{1}) \equiv \Phi_P(E, p_j \mathbf{1}), \quad \Phi_{jD}(E, p_j \mathbf{1}) \equiv \Phi_D(E, p_j \mathbf{1}). \quad (5.11)$$

The overall probability of the neutrino production-propagation-detection process $P_{\alpha\beta}^{\text{tot}}(T, \mathbf{L})$ is the squared modulus of the amplitude (5.9):

$$P_{\alpha\beta}^{\text{tot}}(T, \mathbf{L}) \equiv |\mathcal{A}_{\alpha\beta}(T, \mathbf{L})|^2 = \sum_{j,k} U_{\alpha j}^* U_{\beta j} U_{\alpha k} U_{\beta k}^* \mathcal{A}_j(T, \mathbf{L}) \mathcal{A}_k^*(T, \mathbf{L}). \quad (5.12)$$

We will actually need the integral of this probability over the time T (the reasons for integration over T will be discussed in sections 5.2.2 and 7):

$$\begin{aligned} \tilde{P}_{\alpha\beta}^{\text{tot}}(\mathbf{L}) &= \int dT P_{\alpha\beta}(T, \mathbf{L}) = \frac{1}{8\pi^2} \frac{1}{4\pi L^2} \sum_{j,k} U_{\alpha j}^* U_{\beta j} U_{\alpha k} U_{\beta k}^* \\ &\times \int dE \Phi_P(E, p_j \mathbf{1}) \Phi_D(E, p_j \mathbf{1}) \Phi_P^*(E, p_k \mathbf{1}) \Phi_D^*(E, p_k \mathbf{1}) (2E)^2 e^{i(p_j - p_k)L}. \end{aligned} \quad (5.13)$$

We use the tilde here to stress that $\tilde{P}_{\alpha\beta}^{\text{tot}}(\mathbf{L})$ is not a probability but rather a time-integrated probability, which has the dimension of time. Note that (5.13) contains an incoherent sum (integral) over contributions from different energy eigenstates. This means that only the amplitudes corresponding to the same neutrino energy interfere, as it is in the stationary case. This is related to the integration over time T and is a reflection of the fact that the time-integrated nonstationary probability is equivalent to the energy-integrated stationary probability [2, 15]. It should also be stressed that, although the integration over E in eq. (5.13) is formally performed over the interval $(-\infty, \infty)$ (recall that E coincides with the variable p^0 of eq. (3.8)), the contribution of the unphysical region of negative energies is actually negligible and can be discarded. This is a consequence of the fact that $\Phi_{P,D}$ are sharply peaked at positive values of energy $E_{P,D}$, with the peak widths satisfying $\sigma_{eP,eD} \ll E_{P,D}$.

To simplify the following consideration, we will once again assume that the neutrino production and detection processes are isotropic. In our approach this means that we have to average the quantities $\Phi_P(E, p_j \mathbf{l})$ and $\Phi_D(E, p_j \mathbf{l})$ over the directions of the incoming particles P_i and D_i , which amounts to averaging over the directions of \mathbf{l} . We can therefore denote $\Phi_{P,D}(E, p_j) \equiv \int \frac{d\Omega}{4\pi} \Phi_{P,D}(E, p_j \mathbf{l})$ and drop \mathbf{l} from the arguments of $\Phi_{P,D}$ in eq. (5.13) and all the subsequent expressions. Relaxing the isotropy assumption would complicate the analysis but would not change the final result for the probability of neutrino oscillations.

The next step is to calculate the neutrino production and detection probabilities. As can be seen from eq. (3.8), neutrinos in the intermediate state are considered in our framework as plane waves weighted with the factors $\Phi_{P,D}$. We therefore describe the external particles by wave packets and neutrinos by plane waves. Application of the standard rules of QFT then yields¹⁵

$$\begin{aligned} P_{\alpha}^{\text{prod}} &= \sum_j |U_{\alpha j}|^2 \int \frac{d^3 p_j}{(2\pi)^3} |\Phi_P(E, p_j)|^2 \\ &= \sum_j |U_{\alpha j}|^2 \frac{1}{8\pi^2} \int dE |\Phi_P(E, p_j)|^2 4E p_j. \end{aligned} \tag{5.14}$$

The spectral density of emitted neutrino flux, $dP_{\alpha}^{\text{prod}}(E)/dE$, is obtained by removing the integration over energy on the right hand side of the last equality in (5.14).

For the detection probability we obtain

$$P_{\beta}^{\text{det}}(E) = \sum_k |U_{\beta k}|^2 |\Phi_D(E, p_k)|^2 \frac{1}{V}, \tag{5.15}$$

where the normalization volume V comes from the plane-wave description of the incoming neutrino. Note that the expression for the production probability P_{α}^{prod} in eq. (5.14) does not contain the factor $1/V$ even though it is also calculated for plane-wave neutrinos. This is because neutrinos are in the final state at production, and the calculation of their phase space volume involves integration over $V d^3 p$.

¹⁵Note that we do not include the factor $[2E_j(p)]^{-1}$ in the integration measure because it is already included in the definition of $|\Phi_{jP}(E, \mathbf{p})|^2$, see eqs. (3.7) and (3.9).

5.2.2 The case of continuous fluxes of incoming particles

A direct inspection of the expressions for the probabilities of neutrino production and detection as well as of the probability of the overall production-propagation-detection process obtained above shows that they are independent of the total running time of the experiment t . This is because they were calculated for individual processes with single external wave packets of each type, and the microscopic production and detection time intervals were assumed to be centered at fixed instants of time t_P and t_D , respectively. On the other hand, in practice one is usually interested in the total probabilities for the processes to occur within a macroscopic time interval of length t or in interaction rates. Normally, the probabilities are proportional to t , while the rates are t -independent. In the wave packet approach, this can be achieved if we take into account that in realistic situations one often has to deal with continuous fluxes of incoming particles. (We will comment on the opposite case of stationary initial states in the next subsection.)

Let us start with calculating the production rate and detection cross section in the case of steady fluxes of the incoming particles. Consider some interval of time T_0 that is large compared to the time scales of the neutrino production and detection processes. Let the number of the projectile particles P_i entering the production region (e.g. a spherical region of radius σ_{xP} around the point $\mathbf{x} = \mathbf{x}_P$) during this interval be N_P . Then the number of particles P_i entering the production region during the interval dt_P is $dN_{P_i} = N_P(dt_P/T_0)$.

If the production probability in the case of the individual process with single external wave packets is P_α^{prod} , the probability of neutrino emission during the finite interval of time t ($0 \leq t \leq T_0$) is¹⁶

$$P_\alpha^{\text{prod}}(t) = N_P \int_0^t \frac{dt_P}{T_0} P_\alpha^{\text{prod}} = N_P P_\alpha^{\text{prod}} \frac{t}{T_0}. \tag{5.16}$$

The integration is trivial because P_α^{prod} is actually independent of t_P due to invariance with respect to time translations. Note that the probability $\mathcal{P}_\alpha^{\text{prod}}$ is proportional to t . We can therefore define the production rate in the usual way:

$$\Gamma_\alpha^{\text{prod}} = \frac{d\mathcal{P}_\alpha^{\text{prod}}(t)}{dt} = N_P \frac{P_\alpha^{\text{prod}}}{T_0}. \tag{5.17}$$

Let us now consider the detection cross section. Normally, a cross section is defined for a single, fixed target particle. However, the initial-state particles D_i in our treatment of the detection process are described by moving wave packets, which enter the detection region that is centered at a fixed point $\mathbf{x} = \mathbf{x}_D$. Therefore, our treatment of neutrino detection should be similar to that of the production process. For the individual detection process with single external wave packets of each type the detection probability is given by eq. (5.15). Let us now assume that the number of the particles D_i entering the detection

¹⁶If the flux of the incoming particles is not steady, the number of particles entering the production region over the time t is given by $\int_0^t \rho_P(t_P) dt_P$, where $\rho_P(t_P)$ is the distribution of these particles with respect to t_P , normalized according to $\int_0^{T_0} \rho_P(t_P) dt_P = N_P$. The right hand side of the first equality in eq. (5.16) then has to be replaced by $\int_0^t \rho_P(t_P) P_\alpha^{\text{prod}} dt_P$. For a steady flux the distribution is uniform, i.e. $\rho_P(t_P) = N_P/T_0 = \text{const}$.

region during the interval of time T_0 is N_D . Then we obtain for the time-dependent detection probability in the case of steady incoming fluxes of D_i and neutrinos

$$\mathcal{P}_\beta^{\text{det}}(t) = N_D \int_0^t \frac{dt_D}{T_0} P_\beta^{\text{det}} = N_D P_\beta^{\text{det}} \frac{t}{T_0}, \quad (5.18)$$

and for the detection rate

$$\Gamma_\beta^{\text{det}} = \frac{d\mathcal{P}_\beta^{\text{det}}(t)}{dt} = N_D \frac{P_\beta^{\text{det}}}{T_0}. \quad (5.19)$$

To obtain the detection cross section we have to divide this rate (more precisely, the summand of the sum over k that enters into (5.19)) by the flux of incoming neutrinos $j_{\nu k} = n_{\nu k} v_{\nu k}$, where $n_{\nu k}$ is the number density of the detected ν_k and $v_{\nu k}$ is their velocity. With our normalization (one particle in the normalization volume) we have $n_{\nu k} = 1/V$, and from eqs. (5.15) and (5.19) we finally obtain

$$\sigma_\beta(E) = \frac{N_D}{T_0} \sum_k |U_{\beta k}|^2 |\Phi_D(E, p_k)|^2 \frac{E}{p_k}. \quad (5.20)$$

Now we proceed to the calculation of the rate of the overall production-propagation-detection process. Since we want to calculate this quantity not for a single process with individual wave packets of external particles but for steady fluxes of incoming P_i and D_i , we have to integrate the T -dependent probability of the single process $P_{\alpha\beta}^{\text{tot}}(T, L)$ given by eq. (5.12) over both t_P and t_D . Proceeding in the same way as before, we find for the probability of the process for steady fluxes of the incoming particles

$$\mathcal{P}_{\alpha\beta}^{\text{tot}}(t, L) = \frac{N_P N_D}{T_0^2} \int_0^t dt_D \int_0^t dt_P P_{\alpha\beta}^{\text{tot}}(T, L). \quad (5.21)$$

Introducing the new integration variables $\tilde{T} \equiv (t_P + t_D)/2$ and $T = t_D - t_P$, we obtain

$$\begin{aligned} \mathcal{P}_{\alpha\beta}^{\text{tot}}(t, L) &= \frac{N_P N_D}{T_0^2} \left[\int_0^t dT P_{\alpha\beta}^{\text{tot}}(T, L)(t - T) + \int_{-t}^0 dT P_{\alpha\beta}^{\text{tot}}(T, L)(t + T) \right] \\ &= \frac{N_P N_D}{T_0^2} \left[t \int_{-t}^t dT P_{\alpha\beta}^{\text{tot}}(T, L) - \int_0^t dT T P_{\alpha\beta}^{\text{tot}}(T, L) + \int_{-t}^0 dT T P_{\alpha\beta}^{\text{tot}}(T, L) \right] \\ &\equiv \frac{N_P N_D}{T_0^2} [tI_1(t) - I_2(t) + I_3(t)]. \end{aligned} \quad (5.22)$$

It can be readily shown that in the limit of large t (much larger than the time scales of the neutrino production and detection processes) the integral I_1 coincides with the quantity $\tilde{P}_{\alpha\beta}^{\text{tot}}(L)$ defined in eq. (5.13), whereas I_2 and I_3 give negligible contributions (see appendix B). Therefore for large t eq. (5.22) can be rewritten as

$$\mathcal{P}_{\alpha\beta}^{\text{tot}}(t, L) = \frac{N_P N_D}{T_0^2} t \tilde{P}_{\alpha\beta}^{\text{tot}}(L). \quad (5.23)$$

The rate of the overall process is then¹⁷

$$\Gamma_{\alpha\beta}^{\text{tot}}(L) = \frac{d\mathcal{P}_{\alpha\beta}^{\text{tot}}(t, L)}{dt} = N_P N_D \frac{\tilde{P}_{\alpha\beta}^{\text{tot}}}{T_0^2}. \quad (5.24)$$

5.2.3 The case of stationary initial states

If the initial state particles P_i and/or D_i are in stationary states rather than being described by moving wave packets, the above consideration has to be slightly modified. Consider, for example, neutrino production in decays of unstable particles P_i bound in a solid. Let $\rho_P(t_P)$ be the probability distribution function for the decay times of the parent particles in the source, and let N_P be defined by the condition $\int_0^{T_0} \rho_P(t_P) dt_P = N_P$. If T_0 is short compared to the lifetime Γ^{-1} of P_i , one has $\rho_P(t_P) = \text{const} = N_P/T_0$. If, on the contrary, $T_0 \gtrsim \Gamma^{-1}$, $\rho_P(t_P)$ will usually have an exponential form.¹⁸ The neutrino production probability is then again given by eq. (5.16), just as in the case of a continuous flux of incoming particles P_i .

The situation with bound-state stationary particles D_i in the detector can be considered quite similarly. One can assume D_i to be stable. If the source creates a steady flux of neutrinos, then for the ensemble of D_i in the detector the distribution $\rho_D(t_D)$ of the detection times t_D is uniform and is given by N_D/T_0 , where N_D is defined by the normalization condition $\int_0^{T_0} \rho_D(t_D) dt_D = N_D$. The detection probability is then given by eq. (5.18).

Thus, with these re-interpretations of N_P and N_D , the expressions for the neutrino production and detection probabilities and rates and the detection cross section obtained in the previous subsection remain valid in the case of stationary initial states as well.

We are now in a position to obtain the normalized oscillation probability.

5.2.4 The oscillation probability in the QFT approach

In the case when the rate of the overall production-propagation-detection process at a fixed neutrino energy factorizes, the oscillation probability should be obtainable from eq. (5.7). Substituting eqs. (5.24) and (5.17) (with $\tilde{P}_{\alpha\beta}^{\text{tot}}$ and P_α^{prod} defined in eqs. (5.13) and (5.14)) and eq. (5.20) into this relation, we find

$${}^{\prime\prime}P_{\alpha\beta}(L, E) = \frac{\sum_{j,k} U_{\alpha j}^* U_{\beta j} U_{\alpha k} U_{\beta k}^* \Phi_P(E, p_j) \Phi_D(E, p_j) \Phi_P^*(E, p_k) \Phi_D^*(E, p_k) e^{i(p_j - p_k)L}}{\sum_j |U_{\alpha j}|^2 |\Phi_P(E, p_j)|^2 p_j \sum_k |U_{\beta k}|^2 |\Phi_D(E, p_k)|^2 p_k^{-1}}. \quad (5.25)$$

The quotation marks here are to remind us that we yet have to prove that this quantity can indeed be interpreted as the oscillation probability.

The alert reader has probably noticed that, while the integral in (5.6) is taken over the energies of different neutrinos in the neutrino flux, the integration in (5.13) is performed over the energy distribution within the wave packet of an individual neutrino. This, however, does not invalidate our argument leading to eq. (5.25). The reason for this is that

¹⁷For the reader willing to check the dimensions of our expressions, we note that from the definitions of $\Phi_{P,D}$ it follows that they have dimension $m^{-3/2}$. It is then easy to see that the amplitude (5.9) and the probabilities (5.13)–(5.16), (5.18), (5.21) and (5.23) are dimensionless, the cross section (5.20) has the dimension of squared length (or m^{-2}), and the rates (5.17), (5.19) and (5.24) have the dimension of inverse time (or m), as they should.

¹⁸An exception is the case where P_i in the source are continuously replenished. In this case, the function $\rho_P(t_P)$ will depend on the time dynamics of the production of these particles.

the following two situations are known to be experimentally indistinguishable [1, 6]: (a) a flux of neutrinos described by identical wave packets, each with an energy spread $f(E)$, and (b) a flux of neutrinos, each with a sharp energy, with the overall energy distribution $\phi(E) = |f(E)|^2$.

Let us now examine expression (5.25). First, we note that when the produced neutrinos are either ultra-relativistic or quasi-degenerate in mass, i.e. when $|p_j - p_k| \ll p_j, p_k$, the probabilities of emission of different neutrino mass eigenstates are characterized by essentially the same transition matrix elements and the same phase space volumes, i.e. to a very good accuracy these probabilities only differ by the factors $|U_{\alpha j}|^2$. Therefore, one can replace the factors $\Phi_P(E, p_j)$ in eq. (5.14) by the value $\Phi_P(E, p)$ calculated at the average momentum p , and also replace the factors p_j by p , and pull them out of the sum. A similar argument applies to the detection cross section. As a result, in the denominator of (5.25) we can replace

$$\begin{aligned} \sum_j |U_{\alpha j}|^2 |\Phi_P(E, p_j)|^2 p_j &\rightarrow |\Phi_P(E, p)|^2 p \sum_j |U_{\alpha j}|^2 = |\Phi_P(E, p)|^2 p, \\ \sum_k |U_{\beta j}|^2 |\Phi_D(E, p_k)|^2 p_k^{-1} &\rightarrow |\Phi_D(E, p)|^2 p^{-1} \sum_k |U_{\beta k}|^2 = |\Phi_D(E, p)|^2 p^{-1}, \end{aligned} \quad (5.26)$$

where in the last equalities we have used unitarity of the leptonic mixing matrix.¹⁹ Note that such a procedure cannot in general be applied to the numerator of eq. (5.25). Indeed, the latter contains interference terms proportional to products of the functions Φ_P taken at different momenta, p_j and p_k , where p_j was defined in (5.10). The momentum distribution functions Φ_P are all peaked at the same momentum P , therefore, if $|p_j - p_k|$ exceeds the width of the peak σ_{pP} , the interference terms will be strongly suppressed. The same argument applies to the detected neutrino states $\Phi_D(E, p_j)$ and $\Phi_D(E, p_k)$, which also enter into these interference terms and which are peaked at momentum P' and have widths σ_{pD} . For ultra-relativistic or quasi-degenerate neutrinos (i.e. when $|p_j - p_k| \ll p$), one has $|p_j - p_k| \simeq \Delta m_{jk}^2/2p$; if $\Delta m_{jk}^2/2p \gtrsim \sigma_p$ where σ_p is the effective momentum uncertainty dominated by the smallest between σ_{pP} and σ_{pD} , the interference terms in (5.25) (and therefore neutrino oscillations) will be strongly suppressed. Physically, this can be traced to the lack of coherence at neutrino production and/or detection. It can be shown that production or detection decoherence is equivalent to the lack of localization of, respectively, the production or detection process [1, 4, 15]. If, on the contrary,

$$\frac{\Delta m_{jk}^2}{2p} \ll \sigma_p, \quad (5.27)$$

i.e. the difference $|p_j - p_k|$ is small compared to the effective width of the neutrino momentum distribution, the production/detection coherence condition is satisfied, and the

¹⁹It should be stressed that the mean momentum p is defined here as an average over different mass eigenstates of the momenta $p_j = (E^2 - m_j^2)^{1/2}$ taken at the same fixed value of energy E . It is therefore different from the mean momentum P of the individual wave packets, introduced earlier, for which the average was taken over the spread of momenta (or energies) within the wave packet.

oscillations can be observed.²⁰ Since the effective momentum uncertainty σ_p is actually dominated by the energy uncertainty σ_e (see section 4.3), condition (5.27) is equivalent to the one in eq. (4.35).

By comparing eqs. (5.13) and (5.25), it is easy to see that in the approximation (5.26), when the spectral density of the production probability and the detection cross section are independent of the elements of the leptonic mixing matrix, they can be factored out of the sum over j and k in eq. (5.13), which leads to a factorization of the form (5.6). This means that the oscillation probability $P_{\alpha\beta}(E, L)$ can be defined as a sensible quantity and is given by

$$P_{\alpha\beta}(L, E) = \frac{\sum_{j,k} U_{\alpha j}^* U_{\beta j} U_{\alpha k} U_{\beta k}^* \Phi_P(E, p_j) \Phi_D(E, p_j) \Phi_P^*(E, p_k) \Phi_D^*(E, p_k) e^{i(p_j - p_k)L}}{|\Phi_P(E, p)|^2 |\Phi_D(E, p)|^2}. \quad (5.28)$$

With the help of eq. (5.26), it is easy to make sure that this expression automatically satisfies the unitarity condition (5.1), i.e. is properly normalized. Thus, the QFT-based approach allows one to identify the conditions under which $P_{\alpha\beta}(L, E)$ can be sensibly defined, and also gives the correctly normalized expression for this probability. The condition for the existence of well-defined oscillation probabilities is that neutrinos are either ultra-relativistic or nearly degenerate in mass. Note that the factorization of the integrand of (5.13) according to (5.6) does not by itself mean that the oscillation probability (5.28) is production and detection independent; for this, one would also have to require that condition (5.27) be fulfilled [15]. If it is satisfied, all the momenta p_j, p_k in the interference terms are sufficiently close to each other, and one can replace $\Phi_P(E, p_j) \rightarrow \Phi_P(E, p)$, $\Phi_D(E, p_j) \rightarrow \Phi_D(E, p)$ also in the numerators of eqs. (5.25) and (5.28). As a result, the factors $|\Phi_P(E, p)|^2 |\Phi_D(E, p_k)|^2$ in the numerators and denominators of these equations cancel, and one obtains

$$P_{\alpha\beta}(L, E) = \sum_{j,k} U_{\alpha j}^* U_{\beta j} U_{\alpha k} U_{\beta k}^* e^{i(p_j - p_k)L}. \quad (5.29)$$

Since for ultra-relativistic or quasi-degenerate neutrinos $p_j - p_k \simeq -\Delta m_{jk}^2/2p$, this is just the standard formula for the probability of neutrino oscillations in vacuum.

The above QFT-based considerations also allow one to shed some light on the meaning of the normalization condition imposed on the oscillation probability in the QM wave packet approach, which looks rather arbitrary within the QM framework. As was pointed out in section 4.1, the QM and QFT approaches can be matched if the QM quantities f_{jP} and f_{jD} are identified with the QFT functions $\Phi_{jP}(E_j, \mathbf{p})$ and $\Phi_{jD}^*(E_j, \mathbf{p})$, respectively. The latter, however, bear information not only on the properties of the emitted and absorbed neutrinos, but also on the production and detection processes. The QM normalization procedure that is tailored to obtain an expression for the oscillation probability satisfying

²⁰While condition (5.27) ensures the production/detection coherence (localization), it says nothing about another possible source of decoherence — separation of neutrino wave packets at long enough distances $L > L_{\text{coh}}$ due to the difference of the group velocities of different neutrino mass eigenstates. This is related to the fact that a fixed neutrino energy corresponds to the stationary situation, when the coherence length $L_{\text{coh}} \rightarrow \infty$. The finite coherence length is recovered upon the integration over energy in eq. (5.13) [2].

the unitarity condition can then be easily seen to be equivalent, in the limit (5.26), to the division of the overall rate of the process by the production rate and detection cross section, as in eq. (5.28).

6 Some additional comments

In this section we will comment on some issues pertaining to the description of neutrino oscillations in the external and intermediate wave packet approaches that were not discussed or were only briefly mentioned above. We will also show how one can relax some assumptions usually adopted in the QM and QFT approaches.

6.1 Unequal mean momenta of the produced and detected neutrino states

In the vast majority of derivations of the neutrino oscillation probability within the QM wave packet framework, it was assumed that the mean momenta of the produced and detected neutrino states, \mathbf{P} and \mathbf{P}' , coincide.²¹ There are, however, no reasons for this to be the case. Indeed, the mean momenta of the emitted and absorbed neutrino states are determined by the kinematics and experimental conditions of the neutrino production and detection processes, respectively, and those are in general different. Let us now examine the consequences of $\mathbf{P} \neq \mathbf{P}'$ using, as before, the case of Gaussian wave packets as an example.

It was shown in section 4.3 that the neutrino wave packets derived in QFT can be cast into the form usually adopted for neutrino wave packets in the QM approach if one uses for the latter the effective (in general anisotropic) momentum uncertainties, shifted mean momenta and modified normalization factors. Since the expressions for the QM wave packets look simpler, we will study the implications of unequal mean momenta of the produced and detected neutrino states within the QM formalism. We will also ignore possible anisotropy of the neutrino momentum uncertainties. Taking it into account would just complicate the calculations without changing the essence of the result.

We will now calculate the amplitude (2.15) corresponding to the emission and absorption of the neutrino mass eigenstate ν_j . To do so, we expand the energy $E_j(\mathbf{p})$ around a momentum \mathbf{p}_0 which we do not specify for now:

$$E_j(\mathbf{p}) \simeq E_j(\mathbf{p}_0) + \mathbf{v}_j(\mathbf{p} - \mathbf{p}_0). \quad (6.1)$$

An important point is that, strictly speaking, using such an expansion in the integral in (2.15) is only justified if \mathbf{P} and \mathbf{P}' are not too far from each other and if $\mathbf{p}_0 = \mathcal{O}(\mathbf{P}, \mathbf{P}')$, which we will assume. As we shall see, under these conditions the final result will be insensitive to the choice of the expansion point \mathbf{p}_0 . In eq. (6.1) we have neglected higher order terms in the expansion; this corresponds to neglecting the spreading of the neutrino wave packets, which is unimportant for our argument.

The Gaussian wave packets for the produced neutrino states are given by eq. (2.10), and the detected wave packets have a similar form, with σ_{pP} and \mathbf{P} replaced by σ_{pD} and

²¹The only exceptions we are aware of are refs. [15, 27].

\mathbf{P}' , respectively. Substituting these expressions and expansion (6.1) into eq. (2.15) yields, after a simple Gaussian integration,

$$\mathcal{A}_j(T, \mathbf{L}) \simeq \left(\frac{2\sigma_{pP}\sigma_{pD}}{\sigma_{pP}^2 + \sigma_{pD}^2} \right)^{3/2} e^{-\frac{(\mathbf{P}-\mathbf{P}')^2}{4(\sigma_{pP}^2 + \sigma_{pD}^2)}} e^{-iE_j(\bar{\mathbf{p}})T + i\bar{\mathbf{p}}\mathbf{L}} e^{-\frac{(\mathbf{L}-\mathbf{v}_j T)^2}{4\sigma_x^2}}. \quad (6.2)$$

Here

$$\bar{\mathbf{p}} \equiv \frac{\mathbf{P}\sigma_{pD}^2 + \mathbf{P}'\sigma_{pP}^2}{\sigma_{pP}^2 + \sigma_{pD}^2}, \quad \frac{1}{\sigma_p^2} \equiv \frac{1}{\sigma_{pP}^2} + \frac{1}{\sigma_{pD}^2}, \quad \sigma_x \equiv \frac{1}{2\sigma_p}. \quad (6.3)$$

and we have used the relation $E_j(\mathbf{p}_0) + \mathbf{v}_j(\bar{\mathbf{p}} - \mathbf{p}_0) \simeq E_j(\bar{\mathbf{p}})$ which follows from (6.1). As can be seen from eq. (6.2), the dependence on the expansion point \mathbf{p}_0 has completely disappeared from the amplitude.

The meaning of the obtained result is quite transparent: as usual, the amplitude $\mathcal{A}_j(T, \mathbf{L})$ contains a normalization factor, a plane wave factor calculated at some mean momentum (in this case $\bar{\mathbf{p}}$) and the envelope factor $\exp[-(\mathbf{L} - \mathbf{v}_j T)^2/4\sigma_x^2]$. However, on top of this, it contains the extra factor $\exp[-(\mathbf{P} - \mathbf{P}')^2/4(\sigma_{pP}^2 + \sigma_{pD}^2)]$, which is a reflection of the approximate conservation of the mean neutrino momentum. It suppresses the amplitude unless the difference of the mean momenta of the produced and detected neutrino states is small compared to the effective total momentum width $(\sigma_{pP}^2 + \sigma_{pD}^2)^{1/2}$. Note that this width is dominated by the larger of σ_{pP} and σ_{pD} , unlike the momentum width σ_p defined in (6.3), which is dominated by the smaller of them.

6.2 Non-central collisions

In our discussion of the external wave packet formalism, as well as in all other treatments of this topic we are aware of, it was assumed that the peaks of the wave packets of all the external particles participating in neutrino production (or detection) meet at the same space-time point. In other words, it was assumed that at production these peaks are all located at the point $\mathbf{x} = \mathbf{x}_P$ at the same time $t = t_P$, whereas at detection the peaks of the wave packets of the external particles are all located at $\mathbf{x} = \mathbf{x}_D$ at the same time $t = t_D$. Is this always true and how crucial is this assumption?

While in some situations, such as neutrino production in decays of unstable particles, such an assumption may indeed be justified, this is not in general so when neutrinos are born in scattering processes, where the collisions of the wave packets in the initial state may be non-central. Let us consider non-central collisions assuming, as before, only two external particles at production and two at neutrino detection (the generalization to an arbitrary number of external particles is straightforward). One obvious consequence of this is that the neutrino production and detection are only possible if the minimum distances between the peaks of the participating wave packets do not exceed significantly the sizes of, correspondingly, the production and detection regions. Consider the production process. We shall now assume that the wave packets of the external particles P_i and P_f are given by expressions of the type (2.8), with the phase factors in the integrand being $e^{-iq(x-x_a)}$ and $e^{-ik(x-x_b)}$, respectively. This means that the peak of the wave packet describing P_i is located at $\mathbf{x} = \mathbf{x}_a$ at the time $t = t_a$, and the peak of the wave packet of P_f is located at $\mathbf{x} = \mathbf{x}_b$ at the time $t = t_b$. We will consider the positions of these peaks at the same

time, i.e. we will take $t_a = t_b$. It is natural to choose t_P to be the value of this common time corresponding to the minimum distance between the two peaks, i.e. $t_a = t_b = t_P$, $|\mathbf{x}_a - \mathbf{x}_b| = \min\{|\mathbf{x}_a(t) - \mathbf{x}_b(t)|\}$.²² The coordinate \mathbf{x}_P can then be chosen to lie anywhere between \mathbf{x}_a and \mathbf{x}_b on the line connecting them; in particular, one can choose $\mathbf{x}_P = \mathbf{x}_a$ or $\mathbf{x}_P = \mathbf{x}_b$. Neutrino detection can be considered quite similarly.

One can now repeat the calculations presented in section 3, arriving at the transition amplitude that can again be written in the form (3.8). However, the expressions for $\Phi_{jP}(p^0, \mathbf{p})$ and $\Phi_{jD}(p^0, \mathbf{p})$ in eq. (3.9) have to be modified: their integrands should be multiplied, respectively, by $e^{i\mathbf{q}(\mathbf{x}_P - \mathbf{x}_a) - i\mathbf{k}(\mathbf{x}_P - \mathbf{x}_b)}$ and $e^{i\mathbf{q}'(\mathbf{x}_P - \mathbf{x}_c) - i\mathbf{k}'(\mathbf{x}_P - \mathbf{x}_d)}$, where \mathbf{x}_c and \mathbf{x}_d are the positions of the peaks of the wave packets representing D_i and D_f at detection at the time t_D when the distance between these two peaks reaches its minimum. This can be taken into account by redefining the momentum distribution functions of the wave packets according to

$$\begin{aligned} f_{Pi}(\mathbf{q}, \mathbf{Q}) &\rightarrow f_{Pi}(\mathbf{q}, \mathbf{Q})e^{i\mathbf{q}(\mathbf{x}_P - \mathbf{x}_a)}, & f_{Pf}(\mathbf{k}, \mathbf{K}) &\rightarrow f_{Pf}(\mathbf{k}, \mathbf{K})e^{i\mathbf{k}(\mathbf{x}_P - \mathbf{x}_b)}, \\ f_{Di}(\mathbf{q}', \mathbf{Q}') &\rightarrow f_{Di}(\mathbf{q}', \mathbf{Q}')e^{i\mathbf{q}'(\mathbf{x}_P - \mathbf{x}_c)}, & f_{Df}(\mathbf{k}', \mathbf{K}') &\rightarrow f_{Df}(\mathbf{k}', \mathbf{K}')e^{i\mathbf{k}'(\mathbf{x}_P - \mathbf{x}_d)}. \end{aligned} \quad (6.4)$$

The newly defined momentum distribution functions share a crucial feature with the old ones: they decrease rapidly when the deviation of the corresponding momenta from their peak values exceed the relevant momentum uncertainties (i.e. the widths of the peaks) σ_{pPi} , σ_{pPf} , σ_{pDi} or σ_{pDf} . In addition, the new momentum distributions do not exhibit fast oscillations when the momentum variations are smaller than, or of the order of, the corresponding widths of the peaks. Indeed, consider neutrino production. Our choice of \mathbf{x}_P implies that $|\mathbf{x}_P - \mathbf{x}_a| \leq |\mathbf{x}_a - \mathbf{x}_b|$ and $|\mathbf{x}_P - \mathbf{x}_b| \leq |\mathbf{x}_a - \mathbf{x}_b|$. On the other hand, as we mentioned above, the production is only possible when the distance between the peaks of the wave packets of the external particles $|\mathbf{x}_a - \mathbf{x}_b|$ is smaller than, or of the order of, the size of the production region, which is of the order of $[\max\{\sigma_{pPi}, \sigma_{pPf}\}]^{-1}$. A similar argument applies to neutrino detection. Therefore the variation of the exponents of the exponential factors in eq. (6.4) is $\lesssim 1$ and these factors do not undergo fast oscillations across the peaks of the momentum distributions.

From the above considerations it follows that the properties of the redefined momentum distributions are essentially the same as those of the old ones. All the results of the present paper therefore apply to the case of non-central collisions as well, if one substitutes the original momentum distribution functions by those redefined according to eq. (6.4).

7 Discussion and summary

In this paper we have compared the quantum mechanical approach to neutrino oscillations, where neutrinos are described by wave packets, with the quantum field theoretical method, where they are represented by propagators connecting the neutrino production

²²This is easily generalized to the case of more than two external particles. For instance, for three particles with peaks at \mathbf{x}_a , \mathbf{x}_b and \mathbf{x}_c the time t_P can be chosen to correspond to the minimum of $(\mathbf{x}_a - \mathbf{x}_b)^2 + (\mathbf{x}_a - \mathbf{x}_c)^2 + (\mathbf{x}_b - \mathbf{x}_c)^2$.

and detection vertices in a Feynman diagram, whereas the external particles are described by wave packets in order to localize the process in space and time. We have shown how the neutrino wave packets underlying the QM approach can be derived in QFT by comparing the QM and QFT expressions for the transition amplitude. Equivalently, the wave packet representing the emitted neutrino can be obtained as the convolution of the neutrino source (the production amplitude) with the retarded neutrino propagator, in accord with the well known result of QFT. Quite analogously, the wave packet of the detected neutrino can be obtained as the convolution of the neutrino detection amplitude with the advanced neutrino propagator, with the result then taken at the time corresponding to neutrino detection.

We have studied the general properties of QFT-derived wave packets representing the produced neutrino states and demonstrated that the wave packets of mass eigenstates ν_j depend on the index j only through the neutrino energy E_j , and that in the momentum representation they are given by the production amplitude multiplied by “smeared delta functions” describing approximate conservation of mean energy and mean momentum at production. The widths of these “smeared delta functions” are determined by the largest among the corresponding widths of the external particles involved in the neutrino production process. Similar conclusions apply to the wave packets of the detected neutrino states.

We also identified the conditions under which general neutrino wave packets can be approximated by Gaussian ones. Using Gaussian wave packets as an example, we then demonstrated that the neutrino wave packets derived in QFT can be cast into the form they are usually assumed to have in the QM formalism, provided that

- (i) The momentum uncertainty of the QM approach is replaced by the effective one, which depends not only on the true momentum uncertainty at production (or detection), but also on the corresponding energy uncertainty, as well as on the neutrino velocity and the effective velocity of the neutrino production (or detection) region. Moreover, these momentum uncertainties are different in different directions, i.e. are anisotropic. The longitudinal effective momentum uncertainties of the produced and detected neutrino states are dominated by the energy uncertainties characterizing, respectively, the neutrino production and detection processes, whereas the transverse effective momentum uncertainties coincide with the corresponding true momentum uncertainties.
- (ii) The mean momentum of the neutrino state is shifted from its naively expected value.
- (iii) The wave packets of different mass eigenstates acquire (in general different) extra overall factors.

Thus, the simplistic QM wave packet approach may need QFT-motivated modifications; however, once these modifications have been done, one can still work within the QM framework without losing any essential physical content.

We have also studied the energy uncertainties characterizing the neutrino wave packets in the case of unstable neutrino sources and have shown that in general these uncertainties depend both on the decay rate of the parent particle and on the inverse time scale of the

overlap of the wave packets of the external particles. The neutrino energy uncertainty is dominated by the larger of the two.

In the last part of the paper, we have discussed in detail the normalization of the QM and QFT expressions for the oscillation probability $P_{\alpha\beta}(L)$. We have seen that in the QM framework $P_{\alpha\beta}(L)$ has to be normalized by hand in order to fulfill the unitarity relation $\sum_{\beta} P_{\alpha\beta}(L) = 1$. There are two reasons why this ad hoc procedure is unavoidable in the QM approach. First, as we have demonstrated, no independent normalization of the produced and detected neutrino states can lead to the correct normalization of the oscillation probability because the overlap integral of these states is always smaller than unity in the realistic case when their momentum distributions are different. The overlap integral depends on the characteristics of the produced and detected states in a non-factorizable way, and so the problem cannot be cured by just modifying the normalization of these states. Second, the QM formalism involves an integration over the unobserved difference of the neutrino detection and production times $T = t_D - t_P$, which leads to yet another undefined factor — the time interval by which one has to divide the result in order to recover the correct dimension of the oscillation probability. In the QM method both these problems are solved by imposing unitarity of the oscillation probability by hand.

We have demonstrated how the QFT approach avoids all the normalization problems of the QM formalism and naturally leads to the correctly normalized oscillation probability that automatically satisfies the unitarity condition, provided that neutrinos are ultra-relativistic or quasi-degenerate in mass. If this requirement is not fulfilled, the interaction rate cannot be factorized into the production rate, propagation (oscillation) probability and detection cross section, so that the oscillation probability is undefined. In that case one would have to deal instead with the overall rate of the neutrino production-propagation-detection process.

The QFT approach also allows one to understand the physical meaning of the QM normalization recipe: By imposing unitarity by hand one implicitly rids the calculated transition probability of the probabilities of neutrino production and detection, thus extracting the sought oscillation probability.

A comment on the the integration over T is in order. Such an integration is involved in both the QM and QFT approaches to neutrino oscillations. In the QM framework, it has to be introduced to account for the fact that the neutrino's time of flight is not measured (or at least not measured accurately enough) in realistic experiments. At the same time, in our QFT treatment of neutrino oscillations, it emerges naturally from the observation that in real situations one has to deal with continuous fluxes of incoming particles (or with ensembles of neutrino emitters and absorbers in the case of bound stationary initial states) rather than with individual acts of neutrino production, propagation and detection, in which single wave packets of the external particles of each type are involved. Can one still sensibly define an unintegrated oscillation probability $P_{\alpha\beta}(T, L)$ for such a single act?

We will argue now that the probability $P_{\alpha\beta}(T, L)$ is not a useful quantity since it is unmeasurable (or almost unmeasurable). An important point here is that in practice both L and T can only be measured with some accuracy. If we consider the T -integrated probability $P_{\alpha\beta}(L)$ which depends (in addition to neutrino energy) only on L , then this

quantity is well defined only if the error ΔL in the measurement of L is small compared to the variations of L over which the probability changes significantly. This means that this error must be small compared to the neutrino oscillation length l_{osc} , and this condition is normally easily satisfied. If one considers the case when the time T is measured, whereas the distance L is not (even though it is hard to imagine how such a situation could be realized in practice), then one would have to integrate $P_{\alpha\beta}^{tot}(T, L)$ over L , and the resulting probability would be a function of T . Then the situation would be similar — the error ΔT in the determination of T would have to be small compared to the change of T over which $P_{\alpha\beta}(T)$ varies significantly, which is $\sim (l_{osc}/v)$ with v the neutrino velocity.

The situation would be quite different if one considers the unintegrated probability $P_{\alpha\beta}(T, L)$ which depends on both T and L – in this case the requirements on ΔL and ΔT would be by far more demanding. Indeed, $P_{\alpha\beta}(T, L)$ is substantially different from zero only when $|L - vT| \lesssim \sigma_x$, where σ_x is the spatial length of the neutrino wave packet. This means that for uncorrelated variations of L and T this probability varies significantly when L changes by σ_x (which can be extremely small) and T changes by (σ_x/v) . The latter quantity is essentially given by the largest between the time scales of the neutrino production and detection processes. Thus, the un-integrated probability $P_{\alpha\beta}(T, L)$ can only be accurately measured if the distance L is measured with an accuracy better than the length of the neutrino wave packet and simultaneously the time between neutrino emission and detection is measured with an error that is small compared to the duration of these processes. Such a possibility appears rather unrealistic at the very least.

It follows from our results that there are both intricate relations and important differences between the QM and QFT approaches to neutrino oscillations. In table 1, we compare the main features of these two approaches.

In summary, we have explicated the close relation between the quantum mechanical and quantum field theoretical approaches to neutrino oscillations and have shown how QFT — apart from providing expressions for oscillation probabilities and event rates in its own right — can be used to derive the input parameters required for the QM approach and to elucidate some QM procedures which were not properly justified or fully understood within that approach. We have also clarified several subtle points regarding neutrinos from unstable sources, the case of unequal mean momenta of the produced and detected neutrino states and the normalization of the oscillation probability.

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QM approach	QFT approach
<p>1. Simple and transparent description of neutrino oscillations. Neutrino production and detection processes are not properly taken into account. Simplified description of neutrino energy and momentum uncertainties.</p>	<p>Most complete description of neutrino production, propagation and detection. Accurate treatment of neutrino energy and momentum uncertainties. The formalism is more complicated than that of the QM approach.</p>
<p>2. Produced and detected neutrino states are flavour eigenstates, defined according to</p> $ \nu_\alpha\rangle = \sum_j U_{\alpha j}^* \nu_j\rangle$	<p>Only mass eigenstates are considered. (In fact, defining flavour eigenstates in QFT poses great difficulties because they do not form a physically meaningful Fock space [33].)</p>
<p>3. Mass eigenstates composing neutrino flavour eigenstates are described by wave packets whose form is postulated rather than derived and the parameters (momentum uncertainties) are estimated from the properties of the production and detection processes. Derivation of neutrino wave functions is not possible since they depend on the dynamics of neutrino production and detection, and particle creation and annihilation cannot be described in QM.</p>	<p>Because neutrinos are only in the intermediate states, their wave functions are not necessary for the formalism (but can be derived from the production and detection amplitudes according to well defined rules). Wave functions of the external particles accompanying neutrino production and detection have to be known. Undetected external particles can be described by plane waves.</p>
<p>4. The oscillation amplitude is obtained by evolving the produced neutrino state in time and then projecting it onto the detected state.</p>	<p>The amplitude of the combined process of neutrino production, propagation, and detection is computed according to the Feynman rules. Time evolution of the neutrino states in QM corresponds to their on-shell propagation in QFT. Projection in QM corresponds to the integration over the momentum of the intermediate neutrino in QFT.</p>
<p>5. The oscillation probability has to be normalized by hand by imposing the unitarity condition. (The physical meaning and justification of this normalization procedure is elucidated in QFT.)</p>	<p>The oscillation probability $P_{\alpha\beta}(L)$ that is properly normalized and satisfies the unitarity constraint is automatically obtained from the formalism in the case when neutrinos are ultra-relativistic or quasi-degenerate. Otherwise $P_{\alpha\beta}(L)$ is undefined.</p>

Table 1. Comparison of main features of QM and QFT approaches to neutrino oscillations.

A Matching the QFT and QM wave packets in the 3-dimensional case

We present here the full solutions of eqs. (4.31) and (4.32).

The quantities α^{kl} and δ^k depend on the components of two linearly independent vectors, $\mathbf{v}_j - \mathbf{v}_P$ and \mathbf{v}_j . We therefore seek the solution of eq. (4.31) in the form

$$\delta^k = c_0(v_j - v_P)^k + c_1 v_j^k. \quad (\text{A.1})$$

Substituting this into eq. (4.31) and comparing the coefficients of $(v_j - v_P)^l$ and of v_j^l on both sides of the equality, one finds

$$c_0 = -\frac{(E_j - E_P) \left[\lambda_P + \frac{E_j - E_P}{E_j} (1 - \mathbf{v}_j^2) \right]}{\left[\lambda_P + \frac{E_j - E_P}{E_j} (1 - \mathbf{v}_j^2) \right] \left[\lambda_P + (\mathbf{v}_j - \mathbf{v}_P)^2 + \frac{E_j - E_P}{E_j} \right] + \frac{E_j - E_P}{E_j} [(\mathbf{v}_j - \mathbf{v}_P) \mathbf{v}_j]^2}, \quad (\text{A.2})$$

$$c_1 = c_0 \frac{E_j - E_P}{E_j} \frac{[(\mathbf{v}_j - \mathbf{v}_P) \mathbf{v}_j]}{\left[\lambda_P + \frac{E_j - E_P}{E_j} (1 - \mathbf{v}_j^2) \right]}. \quad (\text{A.3})$$

The parameter $\tilde{\gamma}_j$ can then be obtained from eq. (4.32), which gives

$$\tilde{\gamma}_j = \frac{(E_j - E_P)^2}{4\sigma_{eP}^2} + \frac{(E_j - E_P)}{4\sigma_{eP}^2} [c_0(\mathbf{v}_j - \mathbf{v}_P)^2 + c_1[(\mathbf{v}_j - \mathbf{v}_P) \mathbf{v}_j]]. \quad (\text{A.4})$$

Substituting here the expressions for c_0 and c_1 , one finds

$$\tilde{\gamma}_j = \frac{(E_j - E_P)^2}{4\sigma_{eP}^2} \frac{\left[\lambda_P + \frac{E_j - E_P}{E_j} (1 - \mathbf{v}_j^2) \right] \left[\lambda_P + \frac{E_j - E_P}{E_j} \right]}{\left[\lambda_P + \frac{E_j - E_P}{E_j} (1 - \mathbf{v}_j^2) \right] \left[\lambda_P + (\mathbf{v}_j - \mathbf{v}_P)^2 + \frac{E_j - E_P}{E_j} \right] + \frac{E_j - E_P}{E_j} [(\mathbf{v}_j - \mathbf{v}_P) \mathbf{v}_j]^2}. \quad (\text{A.5})$$

The above expressions simplify significantly if one makes use of the fact that $\frac{E_j - E_P}{E_j}$ is small (note that for relativistic neutrinos the above formulas contain one more small parameter, $(1 - \mathbf{v}_j^2)$). In the leading order in $\frac{E_j - E_P}{E_j}$ the effective momentum uncertainties that are related to the eigenvalues of the matrix α^{kl} are given by eq. (4.33) of section (4.3), whereas the shift of the mean momentum and the parameters $\tilde{\gamma}_j$ are given by eq. (4.34).

B Calculation of the integrals I_1 , I_2 and I_3

Here we calculate the integrals I_1 , I_2 and I_3 that enter into eq. (5.22) in the limit of large t (t much larger than the time scales of the neutrino production and detection processes). For generality, we will not assume isotropy of neutrino emission and detection here. In the limit of large t the integral I_1 is given by

$$I_1 = \frac{1}{64\pi^4 L^2} \sum_{j,k} U_{\alpha j}^* U_{\beta j} U_{\alpha k} U_{\beta k}^* \int_{-\infty}^{\infty} dT \int dE \int dE' \Phi_P(E, p_j \mathbf{l}) \Phi_D(E, p_j \mathbf{l}) \\ \times \Phi_P^*(E', p'_k \mathbf{l}) \Phi_D^*(E', p'_k \mathbf{l}) (4EE') e^{-i(E-E')T + i(p_j - p'_k)L}, \quad (\text{B.1})$$

where we use the shorthand notation $p'_k \equiv p_k(E')$. This quantity coincides with the time-integrated probability $\tilde{P}_{\alpha\beta}^{\text{tot}}(L)$ defined in eq. (5.13) since $\int_{-\infty}^{\infty} dT e^{-i(E-E')T} = 2\pi\delta(E-E')$.

Let us now show that in the limit of large t the contributions of the integrals I_2 and I_3 to eq. (5.22) are negligible. We first notice that I_3 is essentially zero because so is $P_{\alpha\beta}^{\text{tot}}(T, L)$ for $T < 0$. From $I_3 = 0$ it follows that we can replace $I_2 - I_3$ by $I_2 + I_3$, which turns out to be easier to calculate. For this quantity eq. (5.22) yields $I_2 + I_3 = \sum_{j,k} U_{\alpha j}^* U_{\beta j} U_{\alpha k} U_{\beta k}^* B_{jk}$ with

$$B_{jk} \equiv \frac{1}{64\pi^4 L^2} \int_{-\infty}^{\infty} dT T \int dE \int dE' \Phi_P(E, p_j \mathbf{l}) \Phi_D(E, p_j \mathbf{l}) \times \Phi_P^*(E', p'_k \mathbf{l}) \Phi_D^*(E', p'_k \mathbf{l}) (4EE') e^{-i(E-E')T + i(p_j - p'_k)L}. \quad (\text{B.2})$$

Note that the integral here is similar to that in eq. (B.1), but, unlike the latter, contains $\int_{-\infty}^{\infty} dT T e^{-i(E-E')T} = -2\pi i \delta'(E-E')$, where the prime stands for differentiation with respect to E' . Because the integral over E' of $f(E')\delta'(E-E')$ yields $-f'(E)$, one obtains

$$B_{jk} = \frac{2\pi i}{64\pi^4 L^2} \int_{-\infty}^{\infty} dE C_j(E) \frac{d}{dE} C_k^*(E), \quad (\text{B.3})$$

where $C_j(E) \equiv \Phi_P(E, p_j \mathbf{l}) \Phi_D(E, p_j \mathbf{l}) (2E) e^{-iET + ip_j(E)L}$. Integrating in (B.3) by parts we find

$$B_{jk} = \frac{2\pi i}{64\pi^4 L^2} \left[C_j(E) C_k^*(E) \Big|_{-\infty}^{\infty} - \int_{-\infty}^{\infty} dE C_k^*(E) \frac{d}{dE} C_j(E) \right]. \quad (\text{B.4})$$

The first term on the right hand side vanishes because so do the functions $\Phi_{P,D}$ at $E \rightarrow \pm\infty$. Therefore eq. (B.4) means $B_{jk} = -B_{kj}^*$. On the other hand, from the definition (B.2) of B_{jk} it follows that $B_{jk} = B_{kj}^*$. Hence, $B_{jk} = 0$ and $I_2 + I_3$ vanishes.

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