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Moduli stabilization in asymptotic flux compactifications

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ABSTRACT: We present a novel strategy to systematically study complex-structure moduli stabilization in Type IIB and F-theory flux compactifications. In particular, we determine vacua in any asymptotic regime of the complex-structure moduli space by exploiting powerful tools of asymptotic Hodge theory. In a leading approximation the moduli dependence of the vacuum conditions are shown to be polynomial with a dependence given by sl(2)-weights of the fluxes. This simple algebraic dependence can be extracted in any asymptotic regime, even though in nearly all asymptotic regimes essential exponential corrections have to be present for consistency. We give a pedagogical introduction to the sl(2)-approximation as well as a detailed step-by-step procedure for constructing the corresponding Hodge star operator. To exemplify the construction, we present a detailed analysis of several Calabi-Yau three- and fourfold examples. For these examples we illustrate that the vacua in the sl(2)-approximation match the vacua obtained with all polynomial and essential exponential corrections rather well, and we determine the behaviour of the tadpole contribution of the fluxes. Finally, we discuss the structure of vacuum loci and their relations to several swampland conjectures. In particular, we comment on the realization of the so-called linear scenario in view of the tadpole conjecture.

KEYWORDS: Flux Compactifications, Superstring Vacua

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1 Introduction

In order to obtain phenomenologically interesting effective theories from string theory, it is vital to ensure that neutral scalar fields have a sufficiently large mass in order to not contradict fifth force bounds. The most prominent way to achieve this is by considering string theory solutions that include background fluxes [1–3]. Such fluxes can, for example, fix the complex-structure of the compactifications geometry and thus give potential complex-structure deformation moduli a mass. The most prominent and best understood scenario where this happens in a controlled way are Type IIB orientifold compactifications with O3/O7-planes and their more general F-theory counterparts. In these settings the precise way how moduli stabilization occurs has been studied for many examples by explicitly constructing the compact Calabi-Yau geometry, specifying a flux, and deriving the scalar potential for the complex-structure moduli. However, it is difficult to draw general conclusions from such specific examples, which makes it hard to interpret the findings in these special cases in a broader context.

In recent years the swampland program, which aims at identifying general principles that every effective theory consistent with quantum gravity has to satisfy, has forced us to develop novel approaches to study general properties of effective theories arising from string theory. In particular, the well-defined Type IIB and F-theory settings, are a perfect testing ground for many swampland conjectures. This does require, however, to move beyond studying specific examples and rather uncover the universal structures appearing in all such compactifications. While this is a challenging task in general, it has recently become clear that it becomes tractable if we focus on the asymptotic regimes of the field space and apply the powerful tools of asymptotic Hodge theory. Following [4] we will call the such scenarios asymptotic flux compactifications in the following. To introduce them in more detail, we recall that the relevant compactification geometries for Type IIB and F-theory settings without fluxes are Calabi-Yau three- and fourfolds, respectively. These manifolds admit a deformation space known as the complex-structure moduli space, which parametrizes the allowed choices of complex-structures on these geometries. It is crucial to realize that this moduli space is not compact, but rather has boundaries and thus associated asymptotic regions. Asymptotic Hodge theory gives a detailed understanding of how the Hodge decomposition of forms behaves in these regions and provides a dictionary for how data associated to an individual boundary component determines the asymptotic form of the flux-induced scalar potential.

In this work we suggest that the mathematical results of asymptotic Hodge theory provide a systematic algorithm to perform moduli stabilization near any boundary of moduli space. Finding flux vacua requires to solve a self-duality condition on the fluxes involving the Hodge star. The moduli dependence of the Hodge star is, in general, a very complicated transcendental function that depends on many details of the compactification geometry. However, in the asymptotic regime there exists an approximation scheme to extract the moduli dependence in essentially three steps: (1) the sl(2)-approximation, (2) the nilpotent orbit approximation, (3) the fully corrected result. In steps (1) and (2) a certain set of corrections is consistently neglected, turning the extremely hard problem of finding flux vacua into a tractable algebraic problem. It is important to stress that it is a very non-trivial fact that such approximations exist in all asymptotic regimes. In particular, this is remarkable because it was shown in [5] that in almost all asymptotic regimes exponential corrections are essential when deriving the Hodge star from the period integrals of the unique (3,0)-form or (4,0)-form of the Calabi-Yau manifold. The determination of the approximated Hodge star is non-trivial, but can be done explicitly for any given example following the algorithms that we discuss in detail in this work.

The most involved computations are needed to determine the sl(2)-approximation. The crucial result of [6] is that in any boundary regime in which the moduli satisfy a certain hierarchy a number of n commuting $sl(2, \mathbb{C})$ algebras and a boundary Hodge decomposition

can be determined. This data in turn determines a split of the flux space and the asymptotic form of the Hodge star operator used, for example, in the flux vacuum conditions. We collect some intuitive arguments why such structures emerge at the boundary and discuss the step-by-step procedure to construct the sl(2)s and the boundary Hodge decomposition. This algorithm has already been given in [7] and can be extracted from the original works [6, 8]. The approach is iterative in the number of moduli that admit a hierarchy and uses solely linear algebra, even though in a somewhat intricate way. Therefore, it can be easily implemented on a computer and any given example can be evaluate very rapidly. We discuss one example in detail and present all the asymptotic data needed in the sl(2)-approximation. Remarkably, the sl(2)-approximation can also be used abstractly, i.e. one can parametrise unknown coefficients and study the general form of the asymptotic flux scalar potential and vacuum conditions by considering all possible sl(2)-representations. This more abstract approach can be viewed as an avenue to study general aspects of flux vacua and eventually provide a classification of possibilities at least in the regime where the sl(2)-approximation is valid. Initial steps in this program have been carried out for two-moduli Calabi-Yau fourfolds in [4]. Independent of whether one uses the sl(2)-approximation concretely for specific examples or abstractly in a classification, we stress that with its help the search for flux vacua is turned into a rather simple algebraic problem. It can therefore be viewed as providing the computationally ideal starting point when looking for vacua of the fully corrected potential.

In order to make use of any results obtained in the sl(2)-approximation, it is crucial to show how closely it approximates the full answer. In particular, the second approximation step, the nilpotent orbit approximation, will differ from the sl(2)-approximation by subleading polynomial corrections. The presence of these corrections complicates the field dependence of the Hodge star significantly and the search for vacua becomes much more involved and quickly computationally intractable. We show by studying a number of explicit Calabi-Yau threefold examples, that the sl(2)-approximation actually provides a rather good approximation, even for a mild hierarchy among the moduli. We show this not only for a large complex-structure regime, but also at so-called conifold-large complex structure boundaries that are not straightforwardly accessible via large complex-structure/large volume mirror symmetry. The periods of the latter setting have been derived in [9, 10] and we will see explicitly that the general sl(2)-approximation can be evaluated from this information. Our findings will support the claim that the successive approximation scheme is powerful not only in specific asymptotic regimes, but rather provides a general systematics in all asymptotic regimes in Type IIB flux compactifications.

In the final part of this work we show that our strategy is equally applicable to Calabi-Yau fourfolds used in F-theory flux compactifications. We hereby focus on discussing the large complex-structure regime, for which the necessary data that determines the sl(2)-approximation can be given in terms of the intersection numbers and Chern classes of the mirror Calabi-Yau fourfold. In order to provide an example computation we discuss an explicit Calabi-Yau fourfold that realizes the so-called linear scenario recently proposed in [11].¹ We show that this scenario admits a flat direction in the sl(2)-approximation that

¹A related Type IIA version of such a scenario has been first proposed in [12].

is subsequently lifted in the nilpotent orbit approximation. Furthermore, we will argue that this does not necessarily imply that the tadpole conjecture of [13] is violated by adapting a strategy recently suggested in [14]. The conjecture claims that in high-dimensional moduli spaces not all fields can be stabilized by fluxes without overshooting the tadpole bound. If true, it would have far reaching consequences for string phenomenology. The desire to provide evidence for this conjecture, or to disprove it, can be viewed as another motivation for implementing a systematic approximation scheme. Remarkably, our constructions can be carried out for examples with many moduli without much effort and therefore give an exciting opportunity to explore moduli stabilization on higher-dimensional moduli spaces [15].

This paper is organized as follows. In section 2 we briefly recall some basics about Type IIB orientifold compactifications, the conditions on flux vacua, and the form of the Hodge star as a function of the complex-structure moduli. We give a detailed introduction to the sl(2)-approximation and its derivation in section 3. The idea is to first collect some intuitive ideas how to see the emergence of an sl(2) and thereafter delve in the technical details of the general construction. For concreteness we will also exemplify the construction on one explicit example. In section 4 we then introduce the moduli stabilization scheme with the successive approximation steps. We show in a number of examples how close the vacua determined in the sl(2)-approximation are to the vacua obtained with Hodge star that has all polynomial corrections. Finally, in section 5 we extend the discussion to Calabi-Yau fourfolds and F-theory. For simplicity, in this case we only discuss the large complex-structure regime in detail. We determine the sl(2)-approximation for a concrete fourfold example and show how moduli can be stabilized using the linear scenario of [11]. This will allow us to comment on the compatibility of this scenario (in its type IIB version) with the tadpole conjecture.

2 Moduli stabilization for type IIB orientifolds

In this section we briefly review moduli stabilization for type IIB orientifolds with O3and O7-planes, focusing on the complex-structure and axio-dilaton sectors. (For more extensive reviews we refer the reader to [1-3], and for recent systematic analyses of modulistabilization scenarios to [4, 11, 16-18]). This part is meant to establish our notation and conventions but contains no new results, and the reader familiar with the topic can safely skip to section 3.

Orientifold compactifications. We consider compactifications of type IIB string theory on Calabi-Yau threefolds Y_3 , subject to an orientifold projection which contains a holomorphic involution σ . This involution is chosen to act on the Kähler form and on the holomorphic three-form of Y_3 as $\sigma^*J = +J$ and $\sigma^*\Omega = -\Omega$, and σ splits the cohomology groups of Y_3 into even and odd eigenspaces as $H^{p,q}(Y_3) = H^{p,q}_+(Y_3) \oplus H^{p,q}_-(Y_3)$. Relevant for our purpose is the orientifold-odd third cohomology of Y_3 , for which we can choose an integral symplectic basis as

$$\{\alpha_I, \beta^I\} \in H^3_{-}(Y_3), \qquad I = 0, \dots, h^{2,1}_{-}.$$
 (2.1)

For this paper we assume that $h_{+}^{2,1} = 0$, and we therefore set $h_{-}^{2,1} = h^{2,1}$ in the following. The only non-vanishing pairings of the basis forms in (2.1) are given by

$$\int_{Y_3} \alpha_I \wedge \beta^J = \delta_I{}^J \,. \tag{2.2}$$

Moduli. The effective four-dimensional theory obtained after compactification preserves $\mathcal{N} = 1$ supersymmetry and contains scalar fields which parametrize deformations of Y_3 . Of interest to us are the axio-dilaton τ and the complex-structure moduli t^i , which we define as

$$\tau = c + is,$$
 $t^{i} = x^{i} + iy^{i},$ $i = 1, \dots, h^{2,1},$ (2.3)

and our conventions are such s > 0 and $y^i > 0$. The Kähler moduli are not relevant for our discussion. The Kähler potential describing the dynamics of the moduli fields is given by

$$K = -\log\left[-i(\tau - \bar{\tau})\right] - \log\left[+i\int_{Y_3} \Omega \wedge \bar{\Omega}\right] - 2\log \mathcal{V}, \qquad (2.4)$$

where Ω depends on the complex-structure moduli t^i and the volume \mathcal{V} of the threefold depends on the Kähler moduli. The holomorphic three-form Ω of the Calabi-Yau orientifold will play an important role in our subsequent discussion, and it can be expanded in the symplectic basis (2.1) as follows

$$\Omega = X^I \alpha_I - \mathcal{F}_I \beta^I, \qquad I = 0, \dots, h^{2,1}.$$
(2.5)

Using the intersections (2.2) we can then express the Kähler potential for the complexstructure moduli $K^{cs} = -\log \left[+i \int_{Y_3} \Omega \wedge \overline{\Omega} \right]$ in terms of a period vector Π and a symplectic pairing η as

$$K^{\rm cs} = -\log\left[-i\,\bar{\Pi}^T\eta\,\Pi\right], \qquad \Pi = \begin{pmatrix} X\\ -\mathcal{F} \end{pmatrix}, \qquad \eta = \begin{pmatrix} 0 & +\mathbb{1}\\ -\mathbb{1} & 0 \end{pmatrix}. \tag{2.6}$$

Fluxes. In order to generate a potential for the axio-dilaton and the complex-structure moduli we consider NS-NS and R-R three-form fluxes H_3 and F_3 along the internal space Y_3 . These can be expanded into the integral symplectic basis (2.1) as

$$H_3 = h^I \alpha_I - h_I \beta^I, \qquad F_3 = f^I \alpha_I - f_I \beta^I, \qquad (2.7)$$

where the expansion coefficients h^{I} , h_{I} , f^{I} , f_{I} are integers. These fluxes generate a scalar potential in the effective four-dimensional theory, which is encoded in the superpotential [19]

$$W = \int_{Y_3} \Omega \wedge G_3 , \qquad G_3 = F_3 - H_3 \tau . \qquad (2.8)$$

The fluxes furthermore contribute to the tadpole cancellation conditions. In particular, the fluxes (2.7) appear in the D3-brane tadpole condition in the combination (which in our conventions is positive)

$$N_{\rm flux} = \int_{Y_3} F_3 \wedge H_3 = h_I f^I - h^I f_I \,. \tag{2.9}$$

Scalar potential. The effective four-dimensional theory resulting from compactifying type II string theory on Calabi-Yau orientifolds can be described in terms of $\mathcal{N} = 1$ supergravity. After taking into account the no-scale property of the Kähler potential, the corresponding F-term potential takes the form

$$V_F = e^K F_A G^{A\overline{B}} \overline{F}_{\overline{B}}, \qquad (2.10)$$

where A, B label the axio-dilaton and the complex-structure moduli, where $F_A = \partial_A W + (\partial_A K)W$ denotes the F-terms and where $G^{A\overline{B}}$ is the inverse of the Kähler metric computed from (2.4). The global minimum of (2.10) is of Minkowski-type and corresponds to vanishing F-terms, that is $F_A = 0$. As discussed in [20, 21], these conditions can equivalently be expressed as an imaginary self-duality condition for the flux G_3 which was given in (2.8). In particular, with \star denoting the Hodge-star operator of Y_3 the F-term conditions are equivalent to

$$\star G_3 = i G_3 \,. \tag{2.11}$$

Note that the value of the superpotential in the minimum W_0 can be zero or non-zero. The first possibility corresponds to supersymmetric vacua (including the Kähler moduli sector) while the second possibility is important for the KKLT and Large Volume Scenarios [22, 23]. In particular, for KKLT and small W_0 is needed which has been studied recently in [9, 10, 24–29].

Hodge-star operator. Let us become more concrete about the Hodge-star operator acting on the third cohomology. This operator can be determined from a matrix \mathcal{N}_{IJ} , which is computed from the periods $\Pi = (X^I, -\mathcal{F}_I)$ as $\mathcal{N}_{IJ} = (\mathcal{F}_I, D_{\bar{i}} \bar{\mathcal{F}}_I) (X^J, D_{\bar{j}} \bar{X}^J)^{-1}$. However, in a frame for which a prepotential \mathcal{F} exists, one can use its second derivatives $\mathcal{F}_{IJ} = \partial_I \partial_J \mathcal{F}$ to determine $\mathcal{N} = \mathcal{R} + i\mathcal{I}$ as

$$\mathcal{N}_{IJ} = \overline{\mathcal{F}}_{IJ} + 2i \, \frac{\mathrm{Im}(\mathcal{F}_{IM})X^M \,\mathrm{Im}(\mathcal{F}_{JN})X^N}{X^P \,\mathrm{Im}(\mathcal{F}_{PQ})X^Q} \,. \tag{2.12}$$

For the integral symplectic basis $\{\alpha_I, \beta^I\}$ introduced in (2.1) the action of the Hodge-star operator then takes the form

$$\star \begin{pmatrix} \alpha \\ \beta \end{pmatrix} = C \begin{pmatrix} \alpha \\ \beta \end{pmatrix}, \qquad C = \begin{pmatrix} \mathcal{R}\mathcal{I}^{-1} & -\mathcal{I} - \mathcal{R}\mathcal{I}^{-1}\mathcal{R} \\ \mathcal{I}^{-1} & -\mathcal{I}^{-1}\mathcal{R} \end{pmatrix}, \qquad (2.13)$$

while for elements in the Dolbeault cohomology the Hodge-star operator acts as

$$C\omega^{p,q} = i^{p-q}\omega^{p,q}, \qquad \omega^{p,q} \in H^{p,q}(Y_3).$$

$$(2.14)$$

With the help of the relation (2.13), we can now become more concrete about the self-duality condition (2.11). First, we compute $\mathcal{M} = \eta C$ and recall the symplectic matrix η from (2.6) as

$$\mathcal{M} = \begin{pmatrix} -\mathcal{I} - \mathcal{R}\mathcal{I}^{-1}\mathcal{R} & -\mathcal{R}\mathcal{I}^{-1} \\ -\mathcal{I}^{-1}\mathcal{R} & -\mathcal{I}^{-1} \end{pmatrix}, \qquad \eta = \begin{pmatrix} 0 & +\mathbb{1} \\ -\mathbb{1} & 0 \end{pmatrix}.$$
(2.15)

Denoting the flux vectors by $H_3 = (h^I, -h_I)^T$ and $F_3 = (f^I, -f_I)^T$, the self-duality condition (2.11) can be written in matrix form notation as

$$\mathsf{F}_3 = (\eta \,\mathcal{M}\,s + \mathbb{1}\,c)\,\mathsf{H}_3\,. \tag{2.16}$$

3 The sl(2)-approximation to the Hodge star

In this section we study the asymptotic regimes in complex-structure moduli space in more detail. In particular, in view of moduli stabilization we are interested in the boundary behaviour of the Hodge-star matrix C defined in (2.13). We will show how its leading form $C_{\rm sl(2)}$, which we call the sl(2)-approximated Hodge star, can be computed systematically in every asymptotic regime. Our approach relies on techniques coming from asymptotic Hodge theory, originally developed in the mathematical works [6, 30]. For applications of the underlying technology in the swampland program we refer to [4, 7, 29, 31–45].

3.1 Sketch of the general idea

Let us first introduce some of the main ideas for studying the boundary behaviour of the Hodge-star matrix. For simplicity, in this section we consider only one modulus sent to the boundary but discuss the general case in section 3.2 below. Much of this following discussion can also be found in [38, 42], but we will provide here a slightly different angle on the construction.

Boundaries and monodromy symmetries. In complex-structure moduli space one can naturally associate to each boundary a discrete symmetry, known as a monodromy symmetry. Sending only a single modulus to the boundary we can choose local coordinates such that z = 0 corresponds to the boundary locus, but a more useful parametrization is given by

$$t = x + iy = \frac{1}{2\pi i} \log z \,, \tag{3.1}$$

where the boundary corresponds to the limit $t \to i\infty$. The monodromy symmetry is realized by encircling the boundary as $z \to e^{2\pi i} z$, which corresponds to a shift of the coordinate xof the form $x \to x + 1$. But, even though the effective theory is invariant under this shift, certain quantities transform non-trivially. A prominent example is the period vector Π of the holomorphic three-form Ω shown in (2.5), which behaves as

$$\Pi \xrightarrow{x \to x+1} \Pi' = T \Pi, \qquad (3.2)$$

where matrix notation is understood. Here, T denotes an integer-valued monodromy matrix which in order for the Kähler potential (2.6) to be invariant has to satisfy

$$T^T \eta T = \eta, \qquad T \in \text{Sp}(2h^{2,1} + 2, \mathbb{Z}).$$
 (3.3)

Although not obvious, it turns out that for Calabi-Yau threefolds Y_3 the monodromy matrices T can always be made unipotent [46], that is $(T - 1)^{m+1} = 0$ for some $m \ge 0.2$

²This might require sending $z \to z^n$ and amounts to removing a possible semi-simple part of a general monodromy matrix T.

Furthermore, to each T we can associate a so-called log-monodromy matrix defined as $N = \log T$, which is an element of the Lie algebra $\mathfrak{sp}(2h^{2,1} + 2, \mathbb{R})$ and therefore satisfies

$$N = \log T, \qquad (\eta N) - (\eta N)^T = 0, \qquad N \in \mathfrak{sp}(2h^{2,1} + 2, \mathbb{R}). \qquad (3.4)$$

Since the monodromy matrices T are unipotent, it follows that the log-monodromy matrices N are nilpotent, that is $N^{m+1} = 0$ for some $m \ge 0$. Note that the symmetry N might induce an approximate continuous shift symmetry of the moduli space metric near certain boundaries. This is familiar, for example, from the large complex-structure regime. In order to simplify the naming we will refer to x as being the axion and y is being the saxion, even if no continuous symmetry is restored in the limit.

Nilpotent orbit theorem. The nilpotent orbit theorem allows us to describe the moduli dependence of differential forms close to the boundary. An example is the holomorphic three-form Ω , and one finds that in the limit $t \to i\infty$ the period vector Π defined in (2.6) can be expressed as

$$\Pi(t) = e^{tN} e^{\Gamma(z)} \mathbf{a}_0, \qquad (3.5)$$

where $\Gamma(z) \in \mathfrak{sp}(2h^{2,1}+2,\mathbb{C})$ is a matrix which depends holomorphically on $z = e^{2\pi i t}$. The $(2h^{2,1}+2)$ -dimensional vector \mathbf{a}_0 is a reference point which is independent of t but which in general depends holomorphically on all moduli not sent to the boundary. We have therefore expressed the dependence of the period vector Π on t near the boundary in a simple form. Expanding the second exponential in (3.5) we find a natural split of $\Pi(t)$ as

$$\Pi(t) = \Pi_{\text{poly}} + \Pi_{\text{exp}} = e^{tN} \mathbf{a}_0 + \mathcal{O}(e^{2\pi i t})$$
(3.6)

where we have collected all polynomial terms in $\Pi_{\text{poly}} = e^{tN} \mathbf{a}_0$ while all exponentially suppressed terms reside in Π_{exp} . It will be crucial below that the nilpotent orbit theorem implies that an expansion of the form (3.6) also occurs for all its holomorphic derivatives.

Let us furthermore recall that for a Calabi-Yau threefold Ω is an element of $H^{3,0}(Y_3)$. Taking a holomorphic (covariant) derivative of Ω lowers its holomorphic degree by one, and hence the fourth (covariant) derivative of Ω has to vanish. Combining this observation with (3.5) and ignoring some technical subtleties, we find the necessary condition $N^4 = 0$. The highest non-vanishing power m of N depends on the boundary under consideration. We find the four choices

$$N^m \neq 0, \ N^{m+1} = 0, \qquad \text{for} \quad 0 \le m \le 3,$$
(3.7)

where m = 0 implies that there is no unipotent monodromy associated to the boundary.

Essential exponential corrections. As stressed in (3.7) the nilpotency order of N does not have to be maximal, i.e. m = 3. This implies that the polynomial $\Pi_{\text{poly}} = e^{tN} \mathbf{a}_0$ appearing in the expansion (3.6) can be of any degree smaller or equal three and is given by the highest k with non-vanishing $N^k a_0$. For a Calabi-Yau threefold the full middle cohomology can be obtained by taking holomorphic derivatives of Ω . However, if k < 3 the derivative of Π_{poly} with respect to t cannot generate all three-forms. This implies that some of the exponential corrections in Π_{exp} have to be present. Such corrections are thus essential near any boundary with k < 3 and were termed essential exponential corrections or essential instantons in [5]. A more precise statement can be made by introducing the expansion

$$\Pi(t) = e^{tN} \left(\mathbf{a}_0 + e^{2\pi i t} \mathbf{a}_1 + e^{4\pi i t} \mathbf{a}_2 + \dots \right).$$
(3.8)

Denoting by k_i the lowest integer such that $N^{k_i}\mathbf{a}_i = 0$, one finds that the term \mathbf{a}_{i+1} has to be included whenever $k_0 + \ldots + k_i < 3$. Essential instanton corrections are thus needed at almost all boundaries. We stress that essential instanton corrections will be taken into account in the rest of this work. While they can be constructed systematically as shown in [5], we will use there presence in a more indirect way in the following.

Nilpotent matrices and $\mathfrak{sl}(2)$. As we have seen above, N is a nilpotent matrix which belongs to a symplectic Lie algebra $\mathfrak{sp}(2h^{2,1}+2,\mathbb{C})$. The classification of nilpotent elements of semi-simple Lie algebras is a well-studied mathematical problem, and in the following we want to outline the main ideas of this classification. The classifications for $N \in \mathfrak{sp}(2h^{2,1}+2,\mathbb{R})$ is slightly more involved and we will only quote the result in the following. For a clearer presentation, let us for this paragraph denote the nilpotent Lie algebra element by N^- and let us use m instead of $h^{2,1} + 1$.

Let N⁻ ∈ sp(2m, K) be a nilpotent element of the Lie algebra sp(2m, C). Here K can be either R or C. A theorem by Jacobson and Morozov states that one can always find elements N⁰, N⁺ ∈ sp(2m, K) such that the algebra generated by {N⁻, N⁺, N⁰} is isomorphic to sl(2, K). Recall that sl(2, K) is generated by a triple {n⁻, n⁺, n⁰} that satisfies the commutation relations

$$[n^{0}, n^{+}] = +2n^{+}, \qquad [n^{0}, n^{-}] = -2n^{-}, \qquad [n^{+}, n^{-}] = n^{0}. \qquad (3.9)$$

Here, n^+ is a raising operator, n^- is a lowering operator and n^0 is the weight operator, and we note that $\mathfrak{sl}(2,\mathbb{K})$ is closely related to the Lie algebra $\mathfrak{su}(2)$.

• The triple $\{n^-, n^+, n^0\} \in \mathfrak{sl}(2, \mathbb{K})$ is represented by $2m \times 2m$ dimensional matrices $\{N^-, N^+, N^0\}$ acting on a 2m-dimensional vector space V over \mathbb{K} . However, this representation of $\mathfrak{sl}(2, \mathbb{K})$ is in general reducible and can therefore be expressed as a direct sum of irreducible representations of $\mathfrak{sl}(2, \mathbb{K})$. Concretely, this means that up to conjugation we can write each N^-, N^+, N^0 as

$$N^{-}, N^{+}, N^{0} = \begin{bmatrix} & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & & \\ & & & & \\ & & & & & & \\ & & & & & & \\ & & & & & & \\ & & & & & & \\ & & & & & & \\ & & &$$

where each block corresponds to an irreducible $\mathfrak{sl}(2,\mathbb{K})$ representation of $\{n^-, n^+, n^0\}$ of a certain dimension. Note that typically some of these blocks correspond to the one-dimensional representation of $\mathfrak{sl}(2,\mathbb{K})$ which is simply a zero. Also, the dimensions of the blocks have to add up to 2m.

The classification of all possible nilpotent matrices N⁻ becomes a combinatorial problem of how these can be decomposed in irreducible pieces. Considering N⁻ ∈ sp(2m, C) it is well-known that Young diagrams classify irreducible representations. In the case of N⁻ ∈ sp(2m, R) this problem is solved using so-called signed Young diagrams as explained, for example, in [7, 47, 48].

Let us now apply the above discussion to our situation. The nilpotent $\mathfrak{sp}(2h^{2,1}+2)$ matrix N introduced in (3.4) can be identified with the representation N^- of n^- acting on the vector space $V = H^3(Y_3, \mathbb{K})$. Therefore, there exists a matrix $S \in \operatorname{Sp}(2h^{2,1}+2)$ such that $S^{-1}NS$ has a block-diagonal form where each block ν is a matrix representation $\mathsf{N}_{[\nu]}^-$ of the $\mathfrak{sl}(2)$ lowering operator n^- , that is



Let us finally note that the weight operators $\mathsf{N}^0_{[\nu]}$ in each irreducible block are determined only up to conjugation. This freedom will be fixed in asymptotic Hodge theory by picking a certain Deligne splitting to be discussed below. Furthermore, we have suppressed in this discussion that the nilpotent matrix N and the associated triple $\{N^- = N, N^+, N^0\}$ has to be compatible with the Hodge decomposition in the limit $t \to i\infty$. This aspect will be also relevant in the discussion of the Hodge star and will be more central in section 3.2.

Weight-space decomposition. We now want to get a better understanding of how a nilpotent matrix acts on vectors, for instance how in (3.5) the matrix N acts on \mathbf{a}_0 . This leads us to the weight-space decomposition under the action of $\mathfrak{sl}(2,\mathbb{K})$, where again we can consider \mathbb{K} being either \mathbb{R} or \mathbb{C} . We start with a *single irreducible* n-dimensional representation $\{N^-, N^+, N^0\}$ of $\mathfrak{sl}(2, \mathbb{K})$, which corresponds to one particular block in (3.10). (We suppress the subscript $[\nu]$ for now.) As is known from Lie-algebra representation theory, the vector space V on which the $n \times n$ -dimensional matrices $\{N^-, N^+, N^0\}$ are acting can be decomposed into one-dimensional weight spaces as

$$\mathsf{V} = \mathsf{V}_d \oplus \mathsf{V}_{d-2} \oplus \ldots \oplus \mathsf{V}_{-d}, \qquad \qquad \mathsf{V}_\ell = \{v \in \mathsf{V} : \mathsf{N}^0 v = \ell v\}, \qquad (3.12)$$

where the eigenvalues ℓ of \mathbb{N}^0 are the weights and d = n - 1 is the highest weight. The raising and lowering operators \mathbb{N}^+ and \mathbb{N}^- then map between these spaces as $\mathbb{N}^+ : \mathbb{V}_{\ell} \to \mathbb{V}_{\ell+2}$ and $N^-: V_\ell \to V_{\ell-2}$. From this decomposition we find that N^- satisfies

$$(\mathsf{N}^{-})^{d+1} = (\mathsf{N}^{+})^{d+1} = 0.$$
(3.13)

So far we have focussed on a single block of the decomposition (3.10), but we can now combine these building blocks as follows. The triple of $2m \times 2m$ dimensional matrices $\{N^-, N^+, N^0\}$ is a sum of triples $\{N^-_{[\nu]}, N^+_{[\nu]}, N^0_{[\nu]}\}$, which is therefore acting on a 2*m*-dimensional vector space that can be decomposed as

$$V = \mathsf{V}_{[1]} \oplus \mathsf{V}_{[2]} \oplus \dots$$
(3.14)

The nilpotent matrix $N = N^-$ satisfies (3.7), and therefore the largest allowed highest weight of the subspaces satisfies $d^{(i)} \leq 3$. In other words, in the decomposition (3.10) at most four-dimensional irreducible representations of $\mathfrak{sl}(2,\mathbb{K})$ can appear.

Hodge star. The nilpotent orbit theorem can be used to determine the periods (3.5) of the holomorphic three-form near the boundary. From this expression one can, in principle, determine the Hodge-star operator in that limit for every asymptotic regime. However, this approximation can be still too involved to be of practical use for moduli stabilization, since it will generally contain many sub-leading polynomial and exponential corrections. We are therefore going to perform other approximations as follows:

• Let us focus again on one particular block in the decomposition (3.10), and consider the weight-space decomposition shown in (3.12). For a given triple {N⁻, N⁺, N⁰} we now introduce a real operator C_{∞} satisfying the relations $C_{\infty}^{-1}N^+C_{\infty} = N^-$, $C_{\infty}^{-1}N^0C_{\infty} = -N^0$ and the requirement $C_{\infty}^2 = -1$. This operator maps the subspaces V_{ℓ} as

$$\mathsf{C}_{\infty}: \mathsf{V}_{\ell} \to \mathsf{V}_{-\ell} \,. \tag{3.15}$$

While these conditions significantly constrain C_{∞} they do not fix it completely. In order to fix C_{∞} we require that it corresponds to the Hodge star operator acting on this representation after being appropriately extended to the boundary. To make this more precise, we combine the C_{∞} of the individual irreducible representations of $\mathfrak{sl}(2,\mathbb{C})$ into a $C_{\infty} \in \mathfrak{sp}(2m,\mathbb{R})$ acting on the full vector space V given in (3.14). C_{∞} is then obtained from the full Hodge star operator C via the limiting procedure

$$C_{\infty} = \lim_{y \to \infty} e C e^{-1}, \qquad \qquad e = \exp\left[\frac{1}{2}\log y N^{0}\right], \qquad (3.16)$$

where y = Im t is send to the boundary and where N^0 denotes the weight operator in the triple $\{N, N^+, N^0\}$. Let us note that the simple expression (3.16) is somewhat deceiving, since the construction of an appropriate N^0 requires to check for compatibility of the choice with the Hodge decomposition. In practice, as we will see in section 3.2, we will construct C_{∞} and the triple $\{N, N^+, N^0\}$ at the same time. • The operator C_{∞} in (3.15) does not contain any dependence on the complex-structure variable y = Im t which is send to the boundary. We therefore introduce a so-called sl(2)-approximated Hodge star operator by

$$C_{\mathrm{sl}(2)}: \mathsf{V}_{\ell} \to \mathsf{V}_{-\ell}, \qquad C_{\mathrm{sl}(2)}v = y^{\ell}C_{\infty}v, \qquad (3.17)$$

where $v \in V_{\ell}$ and the power in the variable y corresponds to the weight of the weight-space V_{ℓ} . Using e defined in (3.16) we can make (3.17) more precise as follows

$$C_{\rm sl(2)} = e^{-1} C_{\infty} e \,, \tag{3.18}$$

which produces precisely the type of mapping shown in (3.17). Again, the action on the full vector space V is obtained by combining the action in each subspace. Note that in (3.18) we set the axion to zero, which can be re-installed by replacing $C_{\rm sl(2)} \rightarrow e^{+xN}C_{\rm sl(2)}e^{-xN}$. Finally, the relation to the Hodge-star matrix (2.15) is

$$\mathcal{M}_{\mathrm{sl}(2)} = \eta C_{\mathrm{sl}(2)} \,. \tag{3.19}$$

So far much of the above discussion was possible on the real vector space V = H³(Y₃, ℝ). As soon as one aims to talk about the Hodge decomposition and the compatibility with the construction of the sl(2, ℂ) representation one is forced to work over ℂ. Let us denote by Q the operator acting on elements of H^{q,3-q} with eigenvalue q - ³/₂. Since H^{p,q} = H^{q,p} the operator Q is imaginary and we have Q ∈ i sp(2m, ℝ). It follows from (2.14) that the Hodge star operator C can be written in terms of Q as C = e^{πiQ} = (-1)^Q. In analogy to (3.16) one can then extract the information about the boundary Hodge decomposition by evaluating

$$Q_{\infty} = \lim_{y \to \infty} e \, Q \, e^{-1} \,. \tag{3.20}$$

As we will explain in the next subsection, also Q_{∞} should actually be constructed together with the triple $\{N, N^+, N^0\}$, since these operators are linked through nontrivial compatibility conditions. To display these compatibility relations it is useful to introduce a complex triple $\{L_{-1}, L_0, L_{+1}\}$ by setting

$$L_{\pm 1} = \frac{1}{2} (N^+ + N^- \mp i N^0), \qquad L_0 = i (N^- - N^+).$$
(3.21)

The algebra satisfied by $\{L_{-1}, L_0, L_{+1}, Q_\infty\}$ then reads

$$[L_0, L_{\pm 1}] = \pm 2L_{\pm 1}, \qquad [L_1, L_{-1}] = L_0, \qquad [Q_{\infty}, L_{\alpha}] = \alpha L_{\alpha}. \tag{3.22}$$

Note that this is the algebra of $\mathfrak{sl}(2,\mathbb{C}) \oplus \mathfrak{u}(1)$ if one introduces the operator $\hat{Q} = Q_{\infty} - \frac{1}{2}L_0$ as the generator of the $\mathfrak{u}(1)$.

For our purpose of moduli stabilization, the sl(2)-approximation (3.18) of the Hodge star operator is particularly useful as it one the one hand contains non-trivial information about the boundary behaviour and on the other is still manageable for practical purposes. In particular, the field dependence in the sl(2)-approximation is polynomial and thus leads to algebraic equations when used, for example, in the vacuum condition (2.11). It is, however, important to stress that even in the sl(2)-approximation of the Hodge star we automatically include essential exponential corrections to the periods as discussed around (3.8). The intuitive understanding that exponential corrections can lead to a polynomially growing Hodge star arises by noting that after taking a holomorphic derivative of Π there is the freedom to rescale the result and remove an overall exponential factor. In the computation of the Hodge star, one indeed checks that exponential terms in the leading terms precisely cancel and ensure the leading polynomial behavior with coefficients set by, in general, several \mathbf{a}_i .

Summary of main steps. Let us finally summarize the necessary steps to construct the sl(2)-approximated Hodge-star operator shown in equation (3.18):

- 1. One has to choose a modulus y = Im t which approaches the boundary of moduli space. Associated to this boundary, the corresponding axion x = Re t admits a discrete symmetry corresponding to the monodromy transformation of the period vector shown in (3.2).
- 2. The associated monodromy matrix T can be made unipotent, and induces a nilpotent log-monodromy matrix $N = \log T$. For Calabi-Yau threefolds each boundary has an $0 \le m \le 3$ with $N^m \ne 0$ and $N^{m+1} = 0$.
- 3. The log-monodromy matrix N can be interpreted as a lowering operator in an $\mathfrak{sl}(2,\mathbb{C})$ triple $\{N^- = N, N^+, N^0\}$. Then, the weight operator N^0 needs to be constructed which is used in the definition of the sl(2)-approximated Hodge-star operator shown in (3.18).
- 4. The $\mathfrak{sl}(2,\mathbb{C})$ triple $\{N^- = N, N^+, N^0\}$ has to be compatible with the Hodge decomposition extended to the boundary. The latter can be encoded by an operator Q_{∞} that is constructed jointly with the triple.

Let us emphasize that the above steps apply when sending a single modulus to the boundary. Additional complications arise when two or more moduli t^i are considered. More concretely, even though the corresponding log-monodromy matrices N_i can be shown to commute, when including the associated weight operators N_i^0 these operators generically do not all commute with each other and hence one cannot construct a consistent weight-space decomposition immediately. How to deal with this situation will be explained in the next section.

3.2 Constructing the multi-moduli sl(2)-approximation

In this subsection we present a more rigorous approach for constructing the sl(2)-approximated Hodge star operator, including the situation with multiple moduli sent to the boundary. We first introduce the main concepts from asymptotic Hodge theory, and then describe an algorithm for obtaining the sl(2)-approximation of the Hodge-star operator. This algorithm can be extracted from [6] and has also been discussed in [7]. **Approximations.** Let us start by introducing two of approximations which can be made near boundaries in complex-structure moduli space. We use the coordinates $t^i = x^i + iy^i$ (with i = 1, ..., n) introduced in (3.1), for which the boundary is located at $y^i = \infty$.

- As a first approximation we consider the regime $y^i \gg 1$, which allows us to drop exponential corrections of order $\mathcal{O}(e^{2\pi i t^i})$ in the Hodge-star matrix (2.15). We refer to this near-boundary region as the *asymptotic regime*. While this already simplifies the Hodge star to a polynomial expression, it will still depend rather non-trivially on the relative ratios between the saxions y^i .
- For a second approximation we assume relative hierarchies between the coordinates y^i , which corresponds to the sl(2)-approximation. This means we consider an ordering of the saxions as $y^1 \gg y^2 \gg \ldots \gg y^n \gg 1$, and we refer to this regime as the *strict asymptotic regime*. This regime can be specified more accurately by constraining the ratios y_i/y_{i-1} by the inequalities

$$\frac{y_1}{y_2} > \lambda \,, \quad \frac{y_2}{y_3} > \lambda \,, \, \dots \,, \, \frac{y_{n-1}}{y_n} > \lambda \,, \, y_n > \lambda \,, \tag{3.23}$$

where $\lambda \geq 1$ is a real number. Note that the choice of a strict asymptotic regime specifies an ordering. This implies, in particular, that a considered regime includes the ordered limit in which one takes first y^1 to the boundary, after that y^2 and so on. Furthermore, we emphasize that different orderings typically give rise to different asymptotic expressions for the considered coupling functions in the effective theories. The expansion parameter for these asymptotic expansions will be $1/\lambda$. Keeping only the leading terms then becomes more accurate for larger λ .

Main concepts I: (pure) Hodge structures and nilpotent orbits. In order to explain how to construct the sl(2)-approximation, we introduce the relevant notions from asymptotic Hodge theory. For definiteness, let us consider a Calabi-Yau threefold and recall the familiar decomposition of the three-form cohomology $H^3(Y_3, \mathbb{C})$ into (p, q)-forms

$$H^{3}(Y_{3},\mathbb{C}) = \bigoplus_{p=0}^{3} H^{3-p,p} = H^{3,0} \oplus H^{2,1} \oplus H^{1,2} \oplus H^{0,3}, \qquad (3.24)$$

where $\overline{H}^{p,q} = H^{q,p}$. In asymptotic Hodge theory this (p,q)-decomposition is reformulated in terms of the Hodge filtration F^p with $0 \le p \le 3$, which groups all three-forms with at least p holomorphic indices together. More concretely, for a Calabi-Yau threefold we have

$$F^{3} = H^{3,0},$$

$$F^{2} = H^{3,0} \oplus H^{2,1},$$

$$F^{1} = H^{3,0} \oplus H^{2,1} \oplus H^{1,2},$$

$$F^{0} = H^{3,0} \oplus H^{2,1} \oplus H^{1,2} \oplus H^{0,3},$$

$$(3.25)$$

and the two formulations are related by

$$H^{p,q} = F^p \cap \overline{F}^q, \qquad \qquad F^p = \bigoplus_{k=p}^3 H^{k,3-k}.$$
(3.26)

The Hodge star operator \star can be evaluated on the elements of the Hodge decomposition and will be denoted by C when acting on cohomology classes. It acts as

$$C\omega = i^{p-q}\omega, \qquad \omega \in H^{p,q}. \tag{3.27}$$

The Hodge structure and the Hodge filtration vary non-trivially over the complexstructure moduli space. We have already briefly discussed that the (3,0)-form Ω , as a representative of $F^3 = H^{3,0}$, has a holomorphic dependence on the coordinates. In fact, one can find holomorphically varying sections for spanning all spaces F^p . While in general the moduli dependence of these sections is very complicated, we can provide more information of form of the F^p in the asymptotic regime $y^i \gg 1$ and constrain the moduli dependence on the t^i , $i = 1, \ldots, n$. It is a key result of the nilpotent orbit theorem by Schmid [30] that there is always a nilpotent orbit F^p_{nil} that approximates the F^p . The idea is that, similar to the expansion of the Ω periods in (3.6), we can drop exponential corrections proportional $z^i = e^{2\pi i t^i}$ near the boundary for all (p, q)-forms. In practice this means we approximate the Hodge filtration F^p in the following way

$$F^p \simeq F^p_{\rm nil} = e^{t^i N_i} F^p_0 \,,$$
 (3.28)

where F_0^p is the limiting filtration which is obtained through

$$F_0^p \equiv \lim_{t^i \to i\infty} e^{-t^i N_i} F^p(t) \,. \tag{3.29}$$

Here we have introduced multiple log-monodromy matices N_i which can be shown to commute $[N_i, N_j] = 0$ if one focuses on one boundary located at $z_1 = z_2 = \ldots = z_n = 0$.

Let us note that the vector spaces F_0^p can be thought of as setting the leading terms in the holomorphic expansion in $z^i = e^{2\pi i t^i}$ around $z^i = 0$, which are constant with respect to changes in t^i . In other words, in the asymptotic regime the dependence of the Hodge filtration F^p on the complex-structure moduli t^i is captured entirely through the factor $e^{t^i N_i}$. Note that this means that F_{nil}^3 is represented by specifying the periods $e^{t^i N_i} \mathbf{a}_0$, i.e. the polynomial term in (3.6). In other words, referring back to (3.8), the one-dimensional space $F_{\rm nil}^3$ does not contain the information about the essential exponential corrections and the derivatives of F_{nil}^3 with respect to t^i will, in general, not span the full spaces F_{nil}^p , p < 3. Nevertheless this information is encoded in the full nilpotent orbit when considering all F_{nil}^p , i.e. looking at $p = 0, \ldots, 3$. In fact, it was shown in [5] how the F_{nil}^p can be used to reconstruct the essential exponential corrections. In this process one repeatedly uses the fact $F_{\rm nil}^p$ are vector spaces and that overall exponential factors can be simply ignored. In other words, the nilpotent orbit form (3.28) states that these spaces are spanned by polynomial expressions up to overall rescalings in each direction. Importantly, the Hodge star C acting as in (3.27) only depends on the (p,q)-splitting of $H^3(Y_3,\mathbb{C})$, i.e. its split into vector spaces. This implies that it is equally independent of overall rescalings in each direction.

Main concepts II: mixed Hodge structures. As we have discussed above, we can associate a (pure) Hodge structure $H^{p,q}$ to the Hodge filtration F^p , and their relation has been given in equation (3.26). A natural question then is whether a similar structure

underlies the limiting filtration F_0^p — and indeed, the relevant framework in this case is that of a mixed Hodge structure. Concretely, this means we consider another splitting of the three-form cohomology $H^3(Y_3, \mathbb{C})$ known as the Deligne splitting $I^{p,q}$, which is more refined than the (p, q)-form decomposition $H^{p,q}$. We discuss the following three aspects thereof:

• The Deligne splitting requires us to introduce another set of vector spaces based on the log-monodromy matrices N_i . For definiteness, say we are interested in a limit involving the first k saxions $y^1, \ldots, y^k \to \infty$ for which we define $N = N_{(k)} = N_1 + \ldots + N_k$.³ The so-called monodromy weight filtration for N is then given by

$$W_{\ell}(N) = \sum_{j \ge \max(-1, \ell-3)} \ker N^{j+1} \cap \operatorname{im} N^{j-\ell+3}.$$
(3.30)

It is instructive to compare these vector spaces with the weight decomposition of $H^3(Y_3, \mathbb{C})$ under an $\mathfrak{sl}(2)$ -triple. Namely, the log-monodromy matrix N is nilpotent and can therefore be considered as the lowering operator of a $\mathfrak{sl}(2)$ -triple. The corresponding weight operator allows for a decomposition of $H^3(Y_3, \mathbb{C})$ into weight eigenspaces V_{ℓ} similarly as in (3.12), and the monodromy-weight filtration is then given by

$$W_{\ell} = \bigoplus_{m}^{\ell-3} V_m \,. \tag{3.31}$$

• After having introduced the monodromy weight filtration $W_{\ell}(N)$, we can now define the Deligne splitting $I^{p,q}$. It is given by the following intersection of vector spaces

$$I^{p,q} = F_0^p \cap W_{p+q} \cap \left(\bar{F}_0^q \cap W_{p+q} + \sum_{j \ge 1} \bar{F}_0^{q-j} \cap W_{p+q-j-1}\right) , \qquad (3.32)$$

where in the case of a Calabi-Yau threefold we have p, q = 0, ..., 3. These vector spaces can be arranged into a diagram, which we have shown in figure 1. The limiting filtration F_0^p as well as the monodromy weight filtration W_ℓ can be recovered from the Deligne splitting via the relations

$$F_0^p = \bigoplus_{r \ge p} \bigoplus_s I^{r,s}, \qquad \qquad W_\ell = \bigoplus_{p+q=\ell} I^{p,q}.$$
(3.33)

• Let us note that the expression (3.32) is somewhat involved, but for particular cases it reduces to a simpler form. More concretely, in general the vector spaces $I^{p,q}$ are related under complex conjugation only up to lower-positioned elements, that is

$$\bar{I}^{p,q} = I^{q,p} \mod \bigoplus_{r < q, s < p} I^{r,s}.$$
(3.34)

The special case $\bar{I}^{p,q} = I^{q,p}$ is called \mathbb{R} -split, for which the Deligne splitting (3.32) reduces to⁴

$$I_{\mathbb{R}-\text{split}}^{p,q} = F_0^p \cap \bar{F}_0^q \cap W_{p+q} \,. \tag{3.35}$$

³In fact, one can consider any element $N = c_1 N_1 + \ldots + c_k N_k$ in the linear cone $c_i > 0$. The resulting vector spaces $W_{\ell}(N)$ are independent of the choice of c_i .

⁴A simple check of this relation is to see how one can recover the pure Hodge structure (3.26) corresponding to a log-monodromy matrix N = 0. In this case the monodromy weight filtration (3.30) is given by $W_{\ell} = 0$ for $\ell < 3$ and $W_{\ell} = H^3(Y_3)$ for $\ell \geq 3$, and hence the Deligne splitting reduces to $I^{p,q} = F_0^p \cap \overline{F}_0^q$ for p+q=3while all others vanish.



Figure 1. Arrangement of the Deligne splitting $I^{p,q}$ for Calabi-Yau threefolds.

Algorithm I: rotation to the $\mathfrak{sl}(2)$ -splitting. Having introduced some of the main concepts of asymptotic Hodge theory, we now turn to the algorithmic part of determining the sl(2)-approximation of the Hodge-star operator. A crucial observation relevant for the sl(2)-orbit theorem [6, 30] is that any Deligne splitting can be rotated to a special \mathbb{R} -split, known as the $\mathfrak{sl}(2)$ -split. This rotation is implemented by rotating the limiting filtration F_0^p and consists of two steps: first we use a real phase operator δ to rotate to an \mathbb{R} -split, and then we rotate to the $\mathfrak{sl}(2)$ -split via another real operator ζ

$$\boxed{I^{p,q}} \xrightarrow{\delta} \widehat{I^{p,q}} = \mathbb{R}\text{-split } I^{p,q} \xrightarrow{\zeta} \widetilde{I^{p,q}} = \mathfrak{sl}(2)\text{-split } I^{p,q} \tag{3.36}$$

Let us begin by performing the rotation to the \mathbb{R} -split. We introduce a grading operator \mathcal{N}^0 to ascertain how the complex conjugation rule $\bar{I}^{p,q} = I^{q,p}$ is modified by (3.34). This grading operator will serve as a starting point to construct a triple with $N = N_1 + \ldots + N_k$ as the lowering operator. To be precise, we the grading operator \mathcal{N}^0 acts on an element of $I^{p,q}$ as follows

$$\mathcal{N}^0 \,\omega_{p,q} = (p+q-3)\,\omega_{p,q}\,,\qquad\qquad\omega_{p,q} \in I^{p,q}\,.\tag{3.37}$$

Clearly this means that when the Deligne splitting is not \mathbb{R} -split, i.e. $\overline{I}^{p,q} \neq I^{q,p}$, then \mathcal{N}^0 is not a real operator since $I^{p,q}$ and $I^{q,p}$ are part of the same eigenspace of \mathcal{N}^0 . In fact, we can use the way that \mathcal{N}^0 transforms under complex conjugation to determine how the F_0^p should be rotated. We can achieve this by writing the transformation rule conveniently as

$$\bar{\mathcal{N}}^0 = e^{-2i\delta} \mathcal{N}^0 e^{+2i\delta}, \qquad \delta \in \mathfrak{sp}(2h^{2,1} + 2, \mathbb{R}), \qquad (3.38)$$

where δ denotes the phase operator of the rotation. Note that δ commutes with all logmonodromy matrices N_i . This operator can be decomposed with respect to its action on $I^{p,q}$ as follows

$$\delta = \sum_{p,q \ge 1} \delta_{-p,-q} , \qquad \delta_{-p,-q} I^{r,s} = I^{r-p,s-q} . \qquad (3.39)$$

The fact that δ only has components with $p, q \geq 1$ follows from the fact that under complex conjugation the $I^{p,q}$ are related only modulo lower-positioned elements, as can be seen from (3.34). One can then proceed and solve (3.38) for the components $\delta_{-p,-q}$ of the phase

operator as⁵

$$\delta_{-1,-1} = \frac{i}{4} (\bar{\mathcal{N}}^0 - \mathcal{N}^0)_{-1,-1}, \quad \delta_{-1,-2} = \frac{i}{6} (\bar{\mathcal{N}}^0 - \mathcal{N}^0)_{-1,-2}, \quad \delta_{-1,-3} = \frac{i}{8} (\bar{\mathcal{N}}^0 - \mathcal{N}^0)_{-1,-3}, \\ \delta_{-2,-2} = \frac{i}{8} (\bar{\mathcal{N}}^0 - \mathcal{N}^0)_{-2,-2}, \quad \delta_{-2,-3} = \frac{i}{10} (\bar{\mathcal{N}}^0 - \mathcal{N}^0)_{-2,-3} - \frac{i}{5} [\delta_{-1,-2}, \delta_{-1,-1}], \\ \delta_{-3,-3} = \frac{i}{12} (\bar{\mathcal{N}}^0 - \mathcal{N}^0)_{-3,-3} - \frac{i}{3} [\delta_{-2,-2}, \delta_{-1,-1}],$$

$$(3.40)$$

and the other components follow by complex conjugation. The rotation of the limiting filtration F_0^p to the \mathbb{R} -split is then straightforwardly given by (as shown in (3.36), we use a hat to distinguish the \mathbb{R} -split case from the non- \mathbb{R} -split case)

$$\hat{F}_0^p \equiv e^{-i\delta} F_0^p \,. \tag{3.41}$$

Next we want to rotate from the \mathbb{R} -split to the $\mathfrak{sl}(2)$ -split. We parametrize this rotation by an algebra element $\zeta \in \mathfrak{sp}(2h^{2,1} + 2, \mathbb{R})$. For a recent discussion in the physics literature on how ζ is fixed we refer to [38]. For our purposes let us simply write down the obtained result, which tells us that ζ can be expressed componentwise in terms of δ as⁶

$$\begin{aligned} \zeta_{-1,-2} &= -\frac{i}{2}\delta_{-1,-2} , \qquad & \zeta_{-1,-3} &= -\frac{3i}{4}\delta_{-1,-3} , \\ \zeta_{-2,-3} &= -\frac{3i}{8}\delta_{-2,-3} - \frac{1}{8}[\delta_{-1,-1},\delta_{-1,-2}] , \qquad & \zeta_{-3,-3} &= -\frac{1}{8}[\delta_{-1,-1},\delta_{-2,-2}] , \end{aligned}$$
(3.42)

and all other components either vanish or are fixed by complex conjugation. Let us emphasize that these (p,q)-decompositions of the operators are computed with respect to the \mathbb{R} -split $\hat{I}^{p,q}$ obtained from (3.41) and not $I^{p,q}$. In particular, this means that one cannot use the components $\delta_{-p,-q}$ following from (3.40) directly, since these were evaluated with respect to the starting Deligne splitting $I^{p,q}$. One should rather compute the \mathbb{R} -split $\hat{I}^{p,q}$ first explicitly by using (3.32) for \hat{F}_0^p , and subsequently decompose δ with respect to $\hat{I}^{p,q}$ in order to determine ζ . The $\mathfrak{sl}(2)$ -split is then obtained by applying ζ on the limiting filtration in the following way

$$\tilde{F}_0^p = e^{\zeta} \hat{F}_0^p = e^{\zeta} e^{-i\delta} F_0^p \,, \tag{3.43}$$

where we used a tilde to distinguish the $\mathfrak{sl}(2)$ -split from the other two cases. The $\mathfrak{sl}(2)$ -split $\tilde{I}^{p,q}$ is then straightforwardly computed by using (3.32) for \tilde{F}_0^p , similar to how the \mathbb{R} -split $\hat{I}^{p,q}$ was obtained.

Algorithm II: iterating through the saxion hierarchies. We now iterate the above procedure through all saxion hierarchies in order to obtain the sl(2)-approximation in the regime $y^1 \gg y^2 \gg \ldots \gg y^n \gg 1$ specified by (3.23). We start from the lowest hierarchy where all saxions are taken to be large $y^1, \ldots, y^n \to \infty$, and move one saxion at a time up to the highest hierarchy $y^1 \to \infty$. A flowchart illustrating how this iteration runs has been

⁵Here we used the identity $e^X Y e^{-X} = Y + [X,Y] + \frac{1}{2!} [X, [X,Y]] + \dots$, and that $[H, \delta_{-p,-q}] = -(p+q)\delta_{-p,-q}$. ⁶These relations apply for Calabi-Yau threefolds, and their extended version for Calabi-Yau fourfolds is

⁶These relations apply for Calabi-Yau threefolds, and their extended version for Calabi-Yau fourfolds is given in (C.5) and (C.4).



Figure 2. Flowchart illustrating the algorithm for constructing the sl(2)-approximation. This figure focuses on the construction of the $\mathfrak{sl}(2)$ -split Deligne splittings $\tilde{I}_{(k)}^{p,q}$. We labelled each arrow by the rotation that has to be applied on the limiting filtration F_0^p according to (3.45). In particular, each downward arrow corresponds to an iteration of the $\mathfrak{sl}(2)$ -splitting algorithm for the Deligne splitting $I_{(k)}^{p,q}$, where δ_k, ζ_k are determined by (3.40) and (3.42).

provided in figure 2. Our construction follows the same steps as [6-8], in particular, we point out that [7] already contains some examples that have been worked out explicitly.

- 1. Our starting data from the periods is given by the limiting filtration F_0^p obtained from (3.29), together with the log-monodromy matrices N_i . We begin from the lowest hierarchy where all saxions are taken to be large. This means we consider the monodromy weight filtration (3.30) of $N_{(n)} = N_1 + \cdots + N_n$. By using (3.32) we subsequently compute the Deligne splitting $I_{(n)}^{p,q}$, where we included a subscript to indicate that it involves all *n* limiting coordinates. We can then apply the algorithm laid out above to compute rotation operators δ_n, ζ_n by using (3.40) and (3.42) in order to obtain the $\mathfrak{sl}(2)$ -split $\tilde{I}_{(n)}^{p,q}$ through (3.43).
- 2. The next step is to consider the Deligne splitting for the limit $y^1, \ldots, y^{n-1} \to \infty$. Similar to the previous step we can compute the monodromy weight filtration (3.30) for $N_{(n-1)} = N_1 + \cdots + N_{n-1}$. However, the limiting filtration we should consider requires slightly more work. Let us denote the limiting filtration of the $\mathfrak{sl}(2)$ -split obtained in the previous step by $\tilde{F}_{(n)}^p$. Rather than taking this limiting filtration, we should apply N_n in the following way

$$F^{p}_{(n-1)} = e^{iN_{n}} \tilde{F}^{p}_{(n)} \,. \tag{3.44}$$

Roughly speaking this can be understood as fixing the saxion y^n to a value, i.e. $y^n = 1$, while keeping the other saxions large. We then take this limiting filtration and compute the Deligne splitting $I_{(n-1)}^{p,q}$ at hierarchy n-1.

3. From here on the construction continues in the same manner as for the previous step, where we start from $I_{(n-1)}^{p,q}$. We first determine the rotation matrices $\delta_{n-1}, \zeta_{n-1}$ to obtain the $\mathfrak{sl}(2)$ -split $\tilde{I}_{(n-1)}^{p,q}$, and then move on to the next hierarchy by applying N_{n-1} .

To summarize, the full iterative process can therefore be described by the two recursive identities

$$\tilde{F}^{p}_{(k)} = e^{\zeta_{k}} e^{i\delta_{k}} F^{p}_{(k)}, \qquad F^{p}_{(k-1)} = e^{iN_{k}} \tilde{F}^{p}_{(k)}. \qquad (3.45)$$

Algorithm III: constructing the sl(2) triples and sl(2)-approximated Hodge star. We now discuss the final steps in constructing the sl(2)-approximated Hodge star $C_{\text{sl}(2)}$. The above iteration of the $\mathfrak{sl}(2)$ -splitting algorithm already provided us the necessary data in the form of the Deligne splittings $\tilde{I}_{(k)}^{p,q}$. The remaining task is now to read off the relevant

quantities for constructing $C_{\rm sl(2)}$ from this data. The strategy is to first determine a set of

mutually communing sl(2)-triples

$$\{N_k^-, N_k^+, N_k^0\}, \qquad k = 1, \dots, n, \qquad (3.46)$$

one associated to each N^k . Subsequently we will read off the charge operator Q_{∞} and the boundary Hodge star C_{∞} and then derive $C_{sl(2)}$ in generalization of (3.18).

We begin by determining the weight operators $N_{(k)}^0 = N_1^0 + \dots N_k^0$. Their action on the $\mathfrak{sl}(2)$ -split $\tilde{I}_{(k)}^{p,q}$ is given as grading operators that multiply elements as

$$N_{(k)}^{0}\,\omega_{p,q} = (p+q-3)\,\omega_{p,q}\,,\qquad\qquad\omega_{p,q}\in\tilde{I}_{(k)}^{p,q}\,.\tag{3.47}$$

Since the iteration of the $\mathfrak{sl}(2)$ -splitting algorithm provides us with explicit expressions for the vector spaces $\tilde{I}_{(k)}^{p,q}$, this property suffices to write down the grading operators $N_{(k)}$ explicitly. The weight operators associated with the individual saxions are then determined via

$$N_k^0 = N_{(k)}^0 - N_{(k-1)}^0, \qquad \qquad N_{(0)}^0 = 0.$$
(3.48)

Next we determine the lowering operators N_k^- of the commuting $\mathfrak{sl}(2)$ -triples. The idea is to construct these lowering operators out of the log-monodromy matrices N_k . However, while the N_k commute with each other, generally they do not yet commute with the weight operators N_k^0 of the other $\mathfrak{sl}(2)$ -triples. This can be remedied by projecting each N_k onto its weight-zero piece under $N_{(k-1)}^0$. We can write this projection out as

$$N_{k} = N_{k}^{-} + \sum_{\ell \ge 2} N_{k,-\ell}, \qquad \left[N_{(k-1)}^{0}, N_{k,-\ell} \right] = -\ell N_{k,-\ell}, \qquad (3.49)$$

where ℓ specifies the weight under $N_{(k-1)}^0$, and the lowering operator $N_k^- = N_{k,0}$ is fixed as the weight-zero piece. By projecting out the other components $N_{k,-\ell}$ we ensure that the resulting $\mathfrak{sl}(2)$ -triples are commuting. It is now straightforward to complete the $\mathfrak{sl}(2)$ triples (3.46) by solving $[N_k^0, N_k^+] = 2N_k^+$ and $[N_k^+, N_k^-] = N_k^0$ for the raising operators N_i^+ . For the purpose of computing $C_{\mathfrak{sl}(2)}$ this will not be necessary.

Besides the $\mathfrak{sl}(2)$ -triples we also need the boundary Hodge structure to construct the sl(2)-approximated Hodge star. The Hodge filtration F^p_{∞} defining this boundary Hodge structure can be obtained from any of the $\mathfrak{sl}(2)$ -split filtrations $\tilde{F}^p_{(k)}$. By applying $N^-_{(k)} = N^-_1 + \ldots + N^-_k$ on this filtration in a similar manner as the second equation in (3.45) we obtain

$$F^p_{\infty} = e^{iN^-_{(k)}} \tilde{F}^p_{(k)}.$$
(3.50)

The pure Hodge structure is then obtained straightforwardly through (3.26) and we can read off the operator Q_{∞} by

$$Q_{\infty}\omega = \frac{1}{2}(p-q)\omega, \qquad \omega \in H^{p,q}_{\infty} = F^p_{\infty} \cap \bar{F}^q_{\infty}.$$
(3.51)

Intuitively the appearance of this pure Hodge structure (rather than a mixed Hodge structure) follows from the fact that it corresponds to the Deligne splitting with a trivial nilpotent element $N_{(0)}^- = 0$, so it reduces to a pure Hodge structure as discussed in footnote 4. The boundary Hodge star operator can obtained through

$$C_{\infty} w_{p,q} = i^{p-q} w_{p,q}, \qquad \qquad w_{p,q} \in H^{p,q}_{\infty}, \qquad (3.52)$$

or by recalling that $C_{\infty} = e^{i\pi Q_{\infty}}$.

Finally, let us put the above building blocks together and construct the sl(2)-approximated Hodge star $C_{\rm sl(2)}$. Analogous to the one-modulus case (3.18) we introduce a saxionic scaling operator e(y) to capture the dependence on the saxions y^k . This allows us to define the sl(2)-approximated Hodge star as

$$C_{\rm sl(2)} \equiv e^{+x^k N_k^-} e(y)^{-1} C_\infty e(y) e^{-x^k N_k^-}, \qquad e(y) = \exp\left[\frac{1}{2} \sum_k \log[y^k] N_k^0\right], \qquad (3.53)$$

and the relation to the Hodge-star matrix (2.15) is again given by $\mathcal{M}_{\mathrm{sl}(2)} = \eta C_{\mathrm{sl}(2)}$. We then find that $C_{\mathrm{sl}(2)}$ gives a good approximation to the Hodge star operator C in the strict asymptotic regime (3.23) with large λ , similar to the one-modulus case (3.16).

3.3 An example computation of the sl(2)-approximation

In this subsection we work out in detail the sl(2)-approximation for a two-moduli example. We consider the large complex-structure region for the mirror of the Calabi-Yau hypersurface inside $\mathbb{P}_4^{1,1,2,2,2}[8]$, which in this context was studied originally in [49–51]. A similar two-moduli model, $\mathbb{P}_4^{1,1,1,6,9}[18]$ of [50, 52], has been worked out in [7] to which we refer for an additional example.

Periods and log-monodromy matrices at large complex structure. Let us begin by recalling some generalities on periods near the large complex-structure point. The periods are encoded in a prepotential \mathcal{F} , which splits into a tree-level part, one-loop corrections, and world-sheet instanton corrections when mapped via mirror symmetry to a quantity depending on curve volumes. At the large complex-structure point there are no essential exponential corrections and some of the leading polynomial corrections play no central role in the evaluation of the Hodge star.⁷ Thus, we can work at leading order with the prepotential

$$\mathcal{F} = -\frac{1}{3!} \frac{\kappa_{ijk} X^i X^j X^k}{X^0} + \frac{1}{2} (X^0)^2 \frac{\chi \zeta(3)}{(2\pi i)^3}, \qquad (3.54)$$

where κ_{ijk} and χ are the triple-intersection numbers and Euler characteristic of the mirror Calabi-Yau threefold. Using the conventions shown in (2.6) and noting that $\mathcal{F}_I = \partial_I \mathcal{F}$, this

⁷More precisely, we note that there are generally linear and quadratic terms in X^i appearing in (3.54). In contrast to the term proportional to χ , their coefficients are real rational numbers. One can show that they can be absorbed by an additional $\mathfrak{sp}(6, \mathbb{Q})$ -rotation of the three-form basis.

prepotential results in the period vector

$$\Pi = \begin{pmatrix} 1 \\ t^{i} \\ \frac{1}{6} \kappa_{ijk} t^{i} t^{j} t^{k} + \frac{i\chi\zeta(3)}{8\pi^{3}} \\ -\frac{1}{2} \kappa_{ijk} t^{j} t^{k} \end{pmatrix}, \qquad (3.55)$$

where we set $X^{I} = (1, t^{i})$. Using the relation (3.2) we can determine the monodromy matrix T, and via (3.4) we find the log-monodromy matrices N_{a} for $a = 1, \ldots, h^{2,1}$. Together with the leading term of the period vector (cf. (3.5)), we have

$$N_{a} = \begin{pmatrix} 0 & 0 & 0 & 0 \\ \delta_{ai} & 0 & 0 & 0 \\ 0 & 0 & 0 & -\delta_{aj} \\ 0 & -\kappa_{aij} & 0 & 0 \end{pmatrix}, \qquad \mathbf{a}_{0} = \left(1, 0, \frac{i\chi\zeta(3)}{8\pi^{3}}, 0\right)^{T}.$$
(3.56)

Note that for a large complex-structure limit the matrices N_a always commute, and that they are elements of $\mathfrak{sp}(2h^{2,1}+2,\mathbb{Z})$. Let us now specialize our discussion to the Calabi-Yau threefold $\mathbb{P}_4^{1,1,2,2,2}[8]$. The relevant topological data can be found for instance in [49], which we recall as follows⁸

$$\kappa_{122} = 4, \qquad \kappa_{222} = 8, \qquad \chi = -168,$$
(3.57)

and all other intersection numbers vanishing. The log-monodromy matrices (3.56) are then given by

Having specified the relevant data about the periods near the large complex-structure point, we are now in the position to construct the sl(2)-approximation. In the following we work out this construction in explicit detail for the asymptotic regime $y^1 \gg y^2 \gg 1$.

Step 1: sl(2)-splitting of $I_{(2)}^{p,q}$. We begin our analysis with both saxions y^1 and y^2 being large, which corresponds to considering the sum of log-monodromy matrices

$$N_{(2)} = N_1 + N_2 \,. \tag{3.59}$$

As explained on page 16, in order to write down the associated Deligne splitting $I_{(2)}^{p,q}$ we need to determine the monodromy weight filtration $W_{\ell}(N_{(2)})$ and the limiting filtration F_0^p . We discuss these structures in turn:

⁸For a clearer presentation, we chose to interchange the complex-structure moduli $t^1 \leftrightarrow t^2$ as compared to [49].

• The monodromy weight filtration for the nilpotent operator (3.59) is computed using (3.30). Since $N_{(2)}$ is a finite-dimensional and explicitly-known matrix this can easily be done using a computer-algebra program, and we find

$$W_{0}(N_{(2)}) = W_{1}(N_{(2)}) = \operatorname{span}[(0,0,0,1,0,0)],$$

$$W_{2}(N_{(2)}) = W_{3}(N_{(2)}) = \operatorname{span}[(0,0,0,0,1,0), (0,0,0,0,0,1)] \oplus W_{0}(N_{(2)}),$$

$$W_{4}(N_{(2)}) = W_{5}(N_{(2)}) = \operatorname{span}[(0,1,0,0,0,0), (0,0,1,0,0,0)] \oplus W_{2}(N_{(2)}),$$

$$W_{6}(N_{(2)}) = \operatorname{span}[(1,0,0,0,0,0)] \oplus W_{4}(N_{(2)}).$$
(3.60)

Note that this filtration corresponds to the decomposition of the even homology into zero-, two-, four- and six-cycles on the mirror dual. To be precise, $W_{2p}(N_{(2)})$ is spanned by all even cycles of degree 2p or lower, which turns out to be a general feature for the monodromy weight filtration of $N_{(h^{2,1})}$ at large complex structure.

• For the limiting filtration (3.29) we recall that the vector space F^p is spanned by the first 3 - p derivatives of the period vector. At large complex structure the derivative with respect to t^i simply lowers a log-monodromy matrix N_i in (3.5), since we can ignore derivatives of $\Gamma(z)$ near this boundary. Subsequently multiplying by $e^{-t^i N_i}$ from the left we find we are left with the vectors $\mathbf{a}_0, N_i \mathbf{a}_0, \ldots$ to span the spaces F_0^p . In other words, the vector space F_0^p is obtained by taking the span of up to 3 - p log-monodromy matrices N_i acting on \mathbf{a}_0 . We can represent this information about the limiting filtration F_0^p succinctly in terms of a period matrix as

$$\Pi_{(2)} = \begin{pmatrix} 1 & 0 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 & 0 & 0 \\ -\frac{21i\zeta(3)}{\pi^3} & 0 & 0 & 0 & 1 \\ 0 & 0 & 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 0 & 1 & 0 \end{pmatrix}.$$
(3.61)

Here the first column corresponds to F_0^3 , the first three columns to F_0^2 , the first five columns to F_0^1 , and all six columns $F_0^0 = H^3(Y_3)$.

• Given the monodromy weight filtration (3.60) and the limiting filtration (3.61), we can now compute the Deligne splitting via equation (3.32). Expressed in the diagrammatic form introduced in figure 1, with the associated vectors understood as spanning the corresponding subspace, this yields

However, note that the Deligne splitting (3.62) is not \mathbb{R} -split. Indeed, under complex conjugation $I_{(2)}^{3,3}$ is shifted by a piece in $I_{(2)}^{0,0}$ as

$$\begin{split} \bar{I}_{(2)}^{3,3} &= \operatorname{span}\left[\left(1,0,0, +\frac{21i\zeta(3)}{\pi^3},0,0 \right) \right] \\ &= \operatorname{span}\left[\left(1,0,0, -\frac{21i\zeta(3)}{\pi^3},0,0 \right) \right] \ \mathrm{mod} \ \operatorname{span}\left[\frac{42i\zeta(3)}{\pi^3}(0,0,0,1,0,0) \right] = I_{(2)}^{3,3} \ \mathrm{mod} \ I_{(2)}^{0,0}, \end{split}$$
(3.63)

and hence the complex-conjugation rule shown in (3.34) follows. We now want to perform a complex rotation of the period matrix $\Pi_{(2)}$ to make the Deligne splitting \mathbb{R} -split. This procedure is outlined between equations (3.37) and (3.41).

• To begin with, we determine the grading operator $\mathcal{N}^{0}_{(2)}$ as defined by (3.37) for the Deligne splitting (3.62). Using for instance a computer algebra progam, this operator is computed as

$$\mathcal{N}_{(2)}^{0} = \begin{pmatrix} 3 & 0 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 & 0 & 0 \\ -\frac{126i\zeta(3)}{\pi^{3}} & 0 & 0 & -3 & 0 & 0 \\ 0 & 0 & 0 & 0 & -1 & 0 \\ 0 & 0 & 0 & 0 & 0 & -1 \end{pmatrix},$$
(3.64)

where the imaginary component of $\mathcal{N}^{0}_{(2)}$ corresponds to the breaking of the \mathbb{R} -split property of the Deligne splitting $I^{p,q}_{(2)}$ in (3.63).

• Next, we recall that the rotation is implemented through a phase operator δ_2 , which is fixed by the grading operator $\mathcal{N}^0_{(2)}$ through (3.38), and δ_2 can be computed explicitly by using (3.40). For the derived grading operator (3.64) and Deligne splitting (3.62) we then find the phase operator

where we stressed that δ_2 only has a (-3, -3)-component with respect to Deligne splitting $I_{(2)}^{p,q}$. By using (3.42) one therefore can already see that $\zeta_2 = 0$, so our rotation by δ will directly rotate us to the $\mathfrak{sl}(2)$ -split. Following (3.45), the period matrix of this limiting filtration $\tilde{F}_{(2)}^p$ is given by

$$\tilde{\Pi}_{(2)} = e^{i\delta_2}\Pi_{(2)} = \begin{pmatrix} 1 & 0 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 1 \\ 0 & 0 & 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 0 & 1 & 0 \end{pmatrix}.$$
(3.66)

• Combining this result with the monodromy weight filtration (3.60), one straightforwardly shows that the $\mathfrak{sl}(2)$ -splitting at the lowest hierarchy is spanned by



Notice that the resulting $\mathfrak{sl}(2)$ -split $\tilde{I}_{(2)}^{p,p}$ can be interpreted precisely as the decomposition into (p, p)-forms on the mirror dual Kähler side, similar to our comment on the filtration $W(N_{(2)})$ below equation (3.60).

Step 2: sl(2)-splitting of $I_{(1)}^{p,q}$. Following the algorithm outlined in figure 2, we next consider the hierarchy set by y^1 with $y^2 \ll y^1$. From a practical perspective this means we will be working with the Deligne splitting $I_{(1)}^{p,q}$ associated with the log-monodromy matrix N_1 . We determine this splitting as follows:

• Similarly as above, we first compute the monodromy weight filtration (3.30) associated with N_1 . It takes the following form

$$\begin{split} W_0(N_1) &= W_1(N_1) = 0, \\ W_2(N_1) &= W_3(N_1) = \operatorname{span}\left[(0, 1, 0, 0, 0, 0), (0, 0, 0, 0, 0, 1), (0, 0, 0, 0, 1, 0, 0) \right], \\ W_4(N_1) &= W_5(N_1) = \operatorname{span}\left[(1, 0, 0, 0, 0, 0), (0, 0, 1, 0, 0, 0), (0, 0, 0, 0, 1, 0) \right] \oplus W_2(N_1), \\ W_6(N_1) &= W_4(N_1). \end{split}$$

$$(3.68)$$

• Next, we determine the limiting filtration $F_{(1)}^p$ from the $\mathfrak{sl}(2)$ -split filtration $\tilde{F}_{(2)}^p$ of the previous hierarchy through equation (3.45). At the level of the period matrix this means we rotate (3.66) as

$$\Pi_{(1)} = e^{iN_2}\tilde{\Pi}_{(2)} = e^{iN_2}e^{i\delta_2}\Pi_{(2)} = \begin{pmatrix} 1 & 0 & 0 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 & 0 & 0 \\ -i & 0 & 1 & 0 & 0 & 0 \\ \frac{4i}{3} & -2 & -4 & 0 & -i & 1 \\ 2 & 0 & 4i & 1 & 0 & 0 \\ 4 & 4i & 8i & 0 & 1 & 0 \end{pmatrix}.$$
(3.69)

• Using the definition of the Deligne splitting given in (3.32) together with the monodromy weight filtration (3.68) and the limiting filtration (3.69), we find that the

Deligne splitting is spanned by

$$I_{(1)}^{p,q} = \underbrace{(1,0,-i,\frac{4i}{3},2,4)}_{(0,1,0,-2,0,4i)} \underbrace{(1,-\frac{2i}{3},0,-\frac{4i}{3},-2,-\frac{4}{3})}_{(0,1,0,-2,0,4i)} \underbrace{(0,1,-\frac{4i}{3},i,\frac{4i}{3},2,-\frac{4}{3})}_{(0,1,0,-2,0,-4i)} .$$
(3.70)

Note that none of the spaces in the upper part of the Deligne splitting (3.70) obey the \mathbb{R} -split conjugation rule. In particular, under complex conjugation $I^{2,2}$ is related to itself up to a shift in $I^{1,1}$, while $I^{1,3}$ is related to $I^{3,1}$ up to a piece in $I^{2,0}$ and vice versa. To be precise, we find

$$\begin{split} \bar{I}_{(1)}^{2,2} &= \operatorname{span}\left[(1, +\frac{2i}{3}, 0, +\frac{4i}{3}, -2, -\frac{4}{3}) \right] \\ &= \operatorname{span}\left[(1, -\frac{2i}{3}, 0, -\frac{4i}{3}, -2, -\frac{4}{3}) \right] \mod \operatorname{span}\left[\frac{4i}{3}(0, 1, 0, 2, 0, 0) \right] = I_{(1)}^{2,2} \mod I_{(1)}^{1,1}, \\ \bar{I}_{(1)}^{3,1} &= \operatorname{span}\left[(1, +\frac{4i}{3}, -i, -\frac{4i}{3}, 2, -\frac{4}{3}) \right] \\ &= \operatorname{span}\left[(1, 0, -i, +\frac{4i}{3}, 2, 4) \right] \mod \operatorname{span}\left[\frac{4i}{3}(0, 1, 0, -2, 0, 4i) \right] = I_{(1)}^{3,1} \mod I_{(1)}^{2,0}, \end{split}$$
(3.71)

which is in accordance with the complex conjugation rule (3.34). We can now perform the rotation to the $\mathfrak{sl}(2)$ -split $\tilde{I}_{(1)}^{p,q}$:

• As before we begin by computing the grading operator defined in (3.37) for the above Deligne splitting (3.70). This grading operator is found to be

$$\mathcal{N}_{(1)}^{0} = \begin{pmatrix} 1 & 0 & 0 & 0 & 0 & 0 \\ -\frac{4i}{3} & -1 & -\frac{4}{3} & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & -1 & \frac{4i}{3} & 0 \\ 0 & 0 & 0 & 0 & 1 & 0 \\ 0 & 0 & \frac{16i}{3} & 0 & \frac{4}{3} & -1 \end{pmatrix} .$$
(3.72)

• Next, using (3.40), the phase operator is then computed from $\mathcal{N}^0_{(1)}$ as

Note that similar to the Deligne splitting $I_{(2)}^{p,q}$ discussed above, we find that $\zeta_1 = 0$ by using (3.42), since δ only has a (-1, -1)-component. Therefore by rotating to the \mathbb{R} -split we again directly rotate to the $\mathfrak{sl}(2)$ -split. At the level of the period matrix (3.69) this means that $\tilde{F}_{(1)}^p$ can be represented as

$$\tilde{\Pi}_{(1)} = e^{i\delta_1} \Pi_{(1)} = \begin{pmatrix} 1 & 0 & 0 & 0 & 0 & 0 \\ \frac{2i}{3} & 1 & 0 & 0 & 0 & 0 \\ -i & 0 & 1 & 0 & 0 & 0 \\ 0 & -2 & -\frac{4}{3} & -\frac{2i}{3} & i & 1 \\ 2 & 0 & 4i & 1 & 0 & 0 \\ \frac{4}{3} & 4i & \frac{16i}{3} & 0 & 1 & 0 \end{pmatrix} .$$

$$(3.74)$$

- Finally, using (3.32) for the filtration $\tilde{F}^p_{(1)}$ we obtain the $\mathfrak{sl}(2)$ -splitting which is spanned by

$$\tilde{I}_{(1)}^{p,q} = \underbrace{(1, \frac{2i}{3}, -i, 0, 2, \frac{4}{3})}_{(0, 1, 0, -2, 0, 4i)} \underbrace{(1, 0, 0, 0, -2, -\frac{4}{3})}_{(0, 1, 0, 2, 0, 0)} \underbrace{(1, -\frac{2i}{3}, i, 0, 2, \frac{4}{3})}_{(0, 1, 0, -2, 0, -4i)} .$$
(3.75)

Step 3: constructing the $\mathfrak{sl}(2)$ -approximation. We now construct the $\mathfrak{sl}(2)$ -approximated Hodge star from the two $\mathfrak{sl}(2)$ -splittings (3.75) and (3.67) determined above. We begin with the $\mathfrak{sl}(2)$ -triples. The weight operators $N_{(i)}^0$ are fixed by the multiplication rule (3.47) on the two $\mathfrak{sl}(2)$ -splittings. For (3.75) and (3.67) these grading operators are respectively given by

$$N_{(1)}^{0} = \begin{pmatrix} 1 & 0 & 0 & 0 & 0 & 0 \\ 0 & -1 & -\frac{4}{3} & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & -1 & 0 & 0 \\ 0 & 0 & 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 0 & \frac{4}{3} & -1 \end{pmatrix}, \qquad N_{(2)}^{0} = \begin{pmatrix} 3 & 0 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & -3 & 0 & 0 \\ 0 & 0 & 0 & 0 & -1 & 0 \\ 0 & 0 & 0 & 0 & 0 & -1 \end{pmatrix}.$$
(3.76)

In order to construct the lowering operators N_i^- we need to decompose the log-monodromy matrices N_a with respect to the weight operators as described in (3.49). For the first lowering operator we find simply that $N_1 = N_1^-$, since $N_{(0)}^0 = 0$. On the other hand, for N_2 we find that it decomposes with respect to $N_{(1)}^0$ as

$$N_2 = (N_2)_0 + (N_2)_{-2}, \qquad (3.77)$$

where

We then identify the lowering operator with the weight-zero piece as $N_2^- = (N_2)_0$.

Next we construct the boundary Hodge star C_{∞} . The Hodge filtration F_{∞}^p associated with this boundary Hodge structure follows from (3.50), and we can write the period matrix associated to these vector spaces F_{∞}^p as

$$\tilde{\Pi}_{(0)} = e^{iN_1^-}\tilde{\Pi}_{(1)} = e^{i(N_1^- + N_2^-)}\tilde{\Pi}_{(2)} = \begin{pmatrix} 1 & 0 & 0 & 0 & 0 & 0 \\ \frac{i}{3} & 1 & 0 & 0 & 0 & 0 \\ i & 0 & 1 & 0 & 0 & 0 \\ -2i & -2 & -\frac{16}{3} & -\frac{i}{3} & -i & 1 \\ 2 & 0 & -4i & 1 & 0 & 0 \\ \frac{16}{3} & -4i & -\frac{28i}{3} & 0 & 1 & 0 \end{pmatrix}.$$
(3.79)

From this boundary Hodge filtration one finds that the boundary (p,q)-spaces $H^{p,q}_{\infty} = F^p_{\infty} \cap F^q_{\infty}$ are spanned by

$$H_{\infty}^{3,0} = \operatorname{span}\left[\left(1, -\frac{i}{3}, -i, 2i, 2, \frac{16}{3}\right)\right],$$

$$H_{\infty}^{2,1} = \operatorname{span}\left[\left(1, 0, -\frac{3i}{8}, -2i, -\frac{1}{2}, -\frac{11}{6}\right), \left(0, 1, -\frac{3}{8}, 0, -\frac{3i}{2}, \frac{i}{2}\right)\right],$$
(3.80)

and the other spaces follow by complex conjugation. The corresponding Hodge star operator is then determined by the multiplication rule (3.52) as

$$C_{\infty} = \begin{pmatrix} 0 & 0 & 0 & \frac{1}{2} & 0 & 0 \\ 0 & 0 & 0 & 0 & \frac{11}{18} & -\frac{1}{6} \\ 0 & 0 & 0 & 0 & -\frac{1}{6} & \frac{1}{4} \\ -2 & 0 & 0 & 0 & 0 & 0 \\ 0 & -2 & -\frac{4}{3} & 0 & 0 & 0 \\ 0 & -\frac{4}{3} & -\frac{44}{9} & 0 & 0 & 0 \end{pmatrix}.$$
 (3.81)

Having constructed the necessary building blocks, we are now ready to put together the sl(2)-approximated Hodge star operator according to (3.53). Setting the axions to zero for simplicity, that is $x^i = 0$, we find that

$$C_{\rm sl(2)} = \begin{pmatrix} 0 & 0 & 0 & -\frac{1}{2y_1y_2^2} & 0 & 0\\ 0 & 0 & 0 & 0 & -\frac{y_1}{2y_2^2} - \frac{1}{9y_1} & \frac{1}{6y_1}\\ 0 & 0 & 0 & 0 & \frac{1}{6y_1} & -\frac{1}{4y_1}\\ 2y_1y_2^2 & 0 & 0 & 0 & 0\\ 0 & \frac{2y_2^2}{y_1} & \frac{4y_2^2}{3y_1} & 0 & 0 & 0\\ 0 & \frac{4y_2^2}{3y_1} & \frac{8y_2^2}{9y_1} + 4y_1 & 0 & 0 & 0 \end{pmatrix},$$
(3.82)

and the Hodge-star matrix $\mathcal{M}_{sl(2)}$ is then again obtained as $\mathcal{M}_{sl(2)} = \eta C_{sl(2)}$.

It is instructive to compare the sl(2)-approximated Hodge star operator (3.82) to the full Hodge star operator (2.13) computed via the LCS prepotential (3.54). In particular, this allows us to see which terms are dropped in the sl(2)-approximation. We find that the full Hodge star operator is given by

$$C = \begin{pmatrix} 0 & 0 & \frac{6}{K} & 0 \\ 0 & 0 & 0 & \frac{3}{2K}G^{ij} \\ -\frac{\kappa}{6} & 0 & 0 & 0 \\ 0 & -\frac{2\kappa}{3}G_{ij} & 0 & 0 \end{pmatrix}$$

$$= \begin{pmatrix} 0 & 0 & 0 & -\frac{3}{6y_1y_2^2 + 4y_2^3} & 0 & 0 \\ 0 & 0 & 0 & 0 & -\frac{3y_1^2 + 4y_1y_2 + 2y_2^2}{6y_1y_2^2 + 4y_2^3} & \frac{1}{6y_1 + 4y_2} \\ 0 & 0 & 0 & 0 & 0 & -\frac{3y_1^2 + 4y_1y_2 + 2y_2^2}{6y_1y_2^2 + 4y_2^3} & \frac{1}{6y_1 + 4y_2} \\ 0 & 0 & 0 & 0 & 0 & \frac{1}{6y_1 + 4y_2} & -\frac{3}{12y_1 + 8y_2} \\ \frac{2}{3}y_2^2(3y_1 + 2y_2) & 0 & 0 & 0 & 0 & 0 \\ 0 & \frac{6y_2^2}{3y_1 + 2y_2} & \frac{4y_2^2}{3y_1 + 2y_2} & 0 & 0 & 0 \\ 0 & \frac{4y_2^2}{3y_1 + 2y_2} & \frac{4(3y_1^2 + 4y_1y_2 + 2y_2^2)}{3y_1 + 2y_2} & 0 & 0 & 0 \end{pmatrix},$$

$$(3.83)$$

with $\mathcal{K} = \mathcal{K}_{ijk} y^i y^j y^k - \frac{3\chi\zeta(3)}{16\pi^3}$ and Kähler metric

$$G_{ij} = \begin{pmatrix} \frac{144y_2^4}{(8y_2^2(3y_1+2y_2)-3\epsilon)^2} & \frac{96y_2^4+36y_2\epsilon}{(8y_2^2(3y_1+2y_2)-3\epsilon)^2} \\ \frac{96y_2^4+36y_2\epsilon}{(8y_2^2(3y_1+2y_2)-3\epsilon)^2} & \frac{96y_2^2(3y_1^2+4y_1y_2+2y_2^2)+36\epsilon(y_1+2y_2)}{(8y_2^2(3y_1+2y_2)-3\epsilon)^2} \end{pmatrix},$$
(3.84)

where in the second line of (3.83) we dropped the $\epsilon = \frac{\chi\zeta(3)}{8\pi^3}$ correction. Note by comparing (3.82) and (3.83), we see that the sl(2)-approximation is more involved than simply dropping ϵ , and in the limit $y_1 \gg y_2 \gg 1$ we exclude further polynomial corrections in y_2/y_1 .

4 Moduli stabilization in the asymptotic regime

In this section we explain how the sl(2)-approximation can be used as a first step for finding flux vacua. We begin with an overview of the various degrees of approximation in section 4.1. Using this scheme we then stabilize moduli for three different examples in complex-structure moduli space in sections 4.2, 4.3 and 4.4.

4.1 An approximation scheme for finding flux vacua

In general, it is a rather difficult problem to stabilize moduli in flux compactifications, since the Hodge star operator in the extremization conditions (2.16) depends in an intricate way on the underlying complex-structure moduli. In this work we propose to address this problem by approximating the moduli dependence of the scalar potential in three steps as



By using the sl(2)-approximation as a first step, we break the behavior of the scalar potential down into polynomial terms in the complex-structure moduli. This allows us to solve the relevant extremization conditions for the vevs of the moduli straightforwardly. Subsequently, we can include corrections to this polynomial behavior by switching to the nilpotent orbit approximation. Roughly speaking, this amounts to dropping all exponential corrections in the scalar potential,⁹ but including corrections to the simple polynomial terms of the sl(2)-approximation, yielding algebraic equations in the moduli. Taking the flux vacua found in the sl(2)-approximation as input, we then iterate a numerical program to extremize the scalar potential in the nilpotent orbit approximation. Finally, one can include exponentially small terms in the scalar potential, but in principle one could use these corrections to lift flat directions in the first two steps.

Sl(2)-approximation. As a starting point, we study the extremization conditions for flux vacua in the sl(2)-approximation (3.23) for the saxions. In section 3 we explained how the Hodge star in this regime can be approximated by the operator $C_{\rm sl(2)}$ given by (3.53). By writing the self-duality condition (2.11) with $C_{\rm sl(2)}$ we obtain as extremization condition

$$C_{\rm sl(2)}(x,y) \ G_3 = iG_3 \,.$$

$$\tag{4.2}$$

This approximation drastically simplifies how the Hodge star operator depends on the moduli. For the axions the dependence enters only through factors of $e^{-x^k N_k^-}$. It is therefore useful to absorb these factors into G_3 by defining flux axion polynomials

$$\rho_{\rm sl(2)}(x) \equiv e^{-x^k N_k^-} G_3 \,. \tag{4.3}$$

Note that $\rho_{\rm sl(2)}$ is invariant under monodromy transformations $x^i \to x^i + 1$ when we shift the fluxes according to $G_3 \to e^{N_i^-}G_3$.

For the saxions we can make the dependence explicit by decomposing into eigenspaces of the weight operators N_i^0 of the $\mathfrak{sl}(2,\mathbb{R})$ -triples. By applying this decomposition for $\rho_{\mathrm{sl}(2)}$ we obtain

$$\rho_{\rm sl(2)}(x^i) = \sum_{\ell \in \mathcal{E}} \rho_\ell(x^i) \,, \qquad N_i^0 \rho_\ell(x^i) = \ell_i \rho_\ell(x^i) \,, \tag{4.4}$$

where $\ell = (\ell_1, \ldots, \ell_n)$, and \mathcal{E} denotes the index set that specifies which values the ℓ_i can take. Generally one has bounds $-d \leq \ell_i \leq d$, with d the complex dimension of the underlying Calabi-Yau manifold, which here is d = 3. This decomposition (4.4) allows us to write out the self-duality condition (4.2) in terms of the sl(2)-approximated Hodge star (3.53) componentwise as

$$y_1^{\ell_1} \cdots y_n^{\ell_n} C_\infty \rho_\ell(x^i) = \rho_{-\ell}(x^i), \qquad \ell \in \mathcal{E},$$

$$(4.5)$$

⁹We should stress that one has to be careful where these exponential corrections are dropped. While we can drop corrections at these orders in the scalar potential, essential exponential corrections have to be included in its defining Kähler potential and flux superpotential. These terms are required to be present for consistency of the period vector following the discussion of (3.8) (see also [5, 29] for a more detailed explanation).

where C_{∞} is the boundary Hodge star operator independent of the limiting coordinates.¹⁰ With (4.5) we now have obtained a simple set of polynomial equations that one can solve straightforwardly.

Nilpotent orbit approximation. For the next step in our approximation scheme we proceed to the nilpotent orbit. Recall that this approximation amounts to dropping some exponential terms in the (3,0)-form periods. In order to identify the essential corrections which remain one has to use (3.28) and (3.29). In the end, this yields a set of vector spaces $H_{\text{nil}}^{p,q}$ that can be spanned by a basis valued polynomially in the coordinates x^i, y^i . Generally speaking, the corresponding Hodge star operator C_{nil} then depends on these coordinates through algebraic functions, in contrast to more general transcendental functions when exponential corrections are included. On the other hand, in comparison to the sl(2)-approximation one finds that C_{nil} contains series of corrections to $C_{\text{sl}(2)}$ when expanding in $y_1/y_2, \ldots, y_{n-1}/y_n, 1/y_n \ll 1$. For now, let us simply state the self-duality condition (2.11) at the level of the nilpotent orbit as

$$C_{\rm nil} G_3 = iG_3 \,.$$
 (4.6)

Next, we write out the dependence of C_{nil} on the coordinates in a similar manner as we did for the sl(2)-approximation. The dependence on the axions again factorizes as

$$C_{\rm nil}(x^i, y^i) = e^{x^k N_k} \, \hat{C}_{\rm nil}(y^i) \, e^{-x^k N_k} \,, \qquad \hat{C}_{\rm nil}(y^i) = C_{\rm nil}(0, y^i) \,, \tag{4.7}$$

where here the log-monodromy matrices N_i appear instead of the lowering operators N_i^- of the $\mathfrak{sl}(2,\mathbb{R})$ -triples in (3.53). In analogy to (4.3) we then absorb the axion dependence into flux axion polynomials as

$$\rho_{\rm nil}(x^i) = e^{-x^k N_k} G_3 \,, \tag{4.8}$$

which are invariant under monodromy transformations $x^i \to x^i + 1$ if we redefine the fluxes according to $G_3 \to e^{N_i}G_3$. The dependence of \hat{C}_{nil} on the saxions y^i is considerably more complicated than that of the sl(2)-approximated Hodge star operator $C_{sl(2)}$, so we do not write this out further.¹¹ The self-duality condition (4.6) can then be rewritten as

$$\hat{C}_{\rm nil}(y^i)\,\rho_{\rm nil}(x^i) = i\rho_{\rm nil}(x^i)\,. \tag{4.9}$$

This extremization condition can be expanded in any basis of choice for the fluxes by writing out ρ_{nil} componentwise, yielding a system of algebraic equations in the moduli. Our approach in this work is to take solutions of (4.5) as input, and then slowly vary the moduli vevs to find a solution to (4.9).

Exponential corrections. As a final remark, let us comment on the importance of exponential corrections in the saxions. Following the discussion of (3.8) based on [5, 29], we can divide the set of exponential corrections into terms that are essential in the period vector and those that are not. The former are included in the nilpotent orbit approximation, while the latter represent subleading corrections.

 $^{^{10}}$ The operator (3.52) is also constructed as a product of the sl(2)-approximation. It can be understood as a Hodge star operator attached to the boundary for the Hodge structure (3.50) arising in the asymptotic limit.

¹¹A systematic approach to incorporate these corrections in $y_1/y_2, \ldots, y_{n-1}/y_n, 1/y_n$ to the Hodge star operator was put forward in the holographic perspective of [38, 42].

- Essential exponential corrections. These corrections have to be included in the Kähler potential and superpotential when deriving the scalar potential from (2.10) in the nilpotent orbit approximation. They give contributions to the polynomial part of the scalar potential, which arise due to non-trivial cancelations with the inverse Kähler metric. One is thus allowed to drop exponential terms in the saxions only once the scalar potential has been computed. In particular, we want to point out that these corrections therefore have to be included in the F-term conditions $D_I W = 0$, while in the self-duality condition (2.11) exponential terms can be ignored. The fact that the self-duality condition turns moduli stabilization manifestly into an algebraic problem is the reason we prefer to work in this paper with those extremization conditions over the vanishing F-terms constraints.
- Non-essential exponential corrections. These terms in the periods can only produce exponential corrections in the scalar potential. They are relevant when the nilpotent orbit approximation does not yet suffice to stabilize all moduli, in which case one can use them to lift the remaining flat directions by means of e.g. racetrack potentials. In this work we stabilize all moduli via (4.9) at the level of the nilpotent orbit, so these corrections can be ignored as long as the saxions take sufficiently large values.

4.2 Large complex-structure limit for $h^{2,1} = 2$

Let us consider the example discussed in section 3.3. We recall the triple intersection numbers of the mirror threefold from (3.57) as

$$\kappa_{122} = 4, \qquad \kappa_{222} = 8,$$
(4.10)

which specify the Kähler metric in the complex-structure sector via the prepotential (3.54). The sl(2)-approximated Hodge-star operator in the regime $y^1 \gg y^2 \gg 1$ has been shown in (3.82), where we note that the prepotential contains more detailed information about the moduli-space geometry than the sl(2)-approximation. In order to compare these two approaches, we define the relative difference

$$\Delta = \frac{||\phi^{\rm nil} - \phi^{\rm sl(2)}||}{||\phi^{\rm nil}||} \,, \tag{4.11}$$

where $\phi = (y^i, x^i, s, c)$ and where for simplicity we used the Euclidean norm. To illustrate this point, let us give an explicit example:

| fluxes | sl(2)-approximation | large complex structure | |
|-----------------------|----------------------|-------------------------|--------|
| $h^{I} = (0, 0, 1)$ | $y^i = (9.72, 2.79)$ | $y^i = (7.85, 2.79)$ | |
| $h_I = (-162, 0, 0)$ | $x^i = (0.00, 0.00)$ | $x^i = (0.00, 0.00)$ | (4.19) |
| $f^{I} = (1, 0, 0)$ | s = 0.93 | s = 0.93 | (4.12) |
| $f_I = (0, 1, 37)$ | c = 0.00 | c = 0.00 | |
| $N_{\rm flux} = -199$ | Δ | | |

The choice of H_3 and F_3 fluxes together with their tadpole contribution (cf. equation (2.9)) is shown in the first column, in the second column we show the location of the minimum in the sl(2)-approximation, and in the third column the location of the minimum determined using the full prepotential at large complex structure is shown. These two loci agree reasonablywell even for the small hierarchy of three, and their relative difference Δ is 22%. (Note that we have chosen $s \simeq 1$ for illustrative purposes, but could have similarly stabilized s at $s \gg 1$.)

Next, we want to investigate how well the sl(2)-approximation to the Hodge-star operator agrees with the large complex-structure result depending on the hierarchy of the saxions. We implement the hierarchy through a parameter λ as follows

$$y^1 = \lambda^2, \qquad y^2 = \lambda, \qquad (4.13)$$

and for larger λ we expect a better agreement between the two approaches. We have considered three different families of fluxes characterized by different initial choices for h^{I} , f^{I} and the axions x^{i} . (The data for the fluxes has been summarized in appendix A.) The dependence of the relative difference Δ on the hierarchy parameter λ is shown in figure 3a, and in figure 3b we shown the dependence of the (absolute value) of the tadpole contribution N_{flux} on λ . We make the following observations:

- As it is expected, for a large hierarchy λ the sl(2)-approximation agrees better with the large complex-structure result. Somewhat unexpected is however how quickly a reasonable agreement is achieved, for instance, for a hierarchy of $\lambda = 6$ the two approaches agree up to a difference of 15%.
- Furthermore, it is also expected that when approaching the boundary in moduli space the tadpole contribution increases, however, the rate with which the tadpole increases is higher than naively expected. For the family corresponding to the green curve in figures 4 the tadpole dependence can be fitted as

$$N_{\rm flux} = 8.27 \,\lambda^{3.99} + 34.69\,, \tag{4.14}$$

which is in good agreement with the data for $\lambda \geq 2$. Thus, for these examples, when approaching the large complex-structure limit the tadpole contribution increases rapidly. The scaling in λ of the tadpole can also be understood from the weights of the fluxes under the $\mathfrak{sl}(2)$ -triples. The heaviest charge is (1, 0, 0, 0, 0, 0) with weights $(\ell_1, \ell_2) = (1, 2)$ under N_1^0, N_2^0 . Using (3.53) for the asymptotic behavior of the Hodge star and plugging in (4.13) for the saxions we find that

$$N_{\text{flux}} \sim (y^1)^{\ell_1} (y^2)^{\ell_2} = \lambda^4 .$$
 (4.15)

4.3 Large complex-structure limit for $h^{2,1} = 3$

As a second example we consider the large complex-structure limit in the case $h^{2,1} = 3$, for which the prepotential \mathcal{F} appearing in (2.12) is cubic

$$\mathcal{F} = -\frac{1}{3!} \frac{\kappa_{ijk} X^i X^j X^k}{X^0} , \qquad (4.16)$$



Figure 3. Large complex-structure limit for $h^{2,1} = 2$: dependence of the relative difference Δ and of the tadpole contribution N_{flux} on the hierarchy parameter λ . The plots show three different families, which all show a similar behaviour.

with $t^i = x^i + iy^i = \frac{X^i}{X^0}$. For our specific model we chose the following non-trivial triple intersection numbers

$$\kappa_{123} = 3, \qquad \kappa_{133} = 4, \qquad \kappa_{233} = 4, \qquad \kappa_{333} = 5,$$
(4.17)

and the corresponding Kähler metric in the complex-structure sector is well-defined inside the Kähler cone characterized by $\text{Im} t^i = y^i > 0$.

As our sl(2)-approximation for this example we consider the regime $y^1 \gg y^2 \gg y^3 \gg 1$. Using the algorithm laid out in 3.2, we construct the sl(2)-approximated Hodge star (3.53). Let us give the relevant building blocks here. The $\mathfrak{sl}(2)$ -triples are given by

and the boundary Hodge star by

$$C_{\infty} = \begin{pmatrix} 0 & 0 & 0 & 0 & \frac{1}{3} & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & \frac{13}{27} & \frac{4}{27} & -\frac{2}{9} \\ 0 & 0 & 0 & 0 & 0 & \frac{4}{27} & \frac{13}{27} & -\frac{2}{9} \\ 0 & 0 & 0 & 0 & 0 & -\frac{2}{9} & -\frac{2}{9} & \frac{1}{3} \\ -3 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & -3 & 0 & -2 & 0 & 0 & 0 \\ 0 & 0 & -3 & -2 & 0 & 0 & 0 \\ 0 & 0 & -3 & -2 & 0 & 0 & 0 \\ 0 & -2 & -2 & -\frac{17}{3} & 0 & 0 & 0 \end{pmatrix}.$$
(4.19)

Let us now again compare the sl(2)-approximation with the full prepotential at large complex structure, and display the following explicit example:

| fluxes | sl(2)-approximation | large complex structure | |
|-------------------------|----------------------------|----------------------------|-------|
| $h^{I} = (0, 0, 0, 1)$ | $y^i = (8.01, 4.01, 2.00)$ | $y^i = (6.39, 2.69, 2.18)$ | |
| $h_I = (-192, 0, 0, 0)$ | $x^i = (0.00, 0.00, 0.00)$ | $x^i = (0.00, 0.00, 0.00)$ | (4.20 |
| $f^{I} = (1, 0, 0, 0)$ | s = 1.00 | s = 1.08 | (4.20 |
| $f_I = (0, 2, 8, 55)$ | c = 0.00 | c = 0.00 | |
| N = -247 | Δ : | | |

Similarly as above, the choice of H_3 and F_3 fluxes is shown in the first column, in the second column we show the minimum in the sl(2)-approximation, and the third column contains the location of the minimum using the full prepotential. These loci agree reasonably-well even for the small hierarchy of two, and their relative difference Δ is 13%. (We note again that $s \simeq 1$ has been chosen for illustrative purposes.)

Next, we investigate how well the sl(2)-approximation to the Hodge-star operator agrees with the large complex-structure result depending on the hierarchy of the saxions. We implement the hierarchy through as follows

$$y^1 = \lambda^3$$
, $y^2 = \lambda^2$, $y^3 = \lambda$, (4.21)

and for larger λ we expect a better agreement between the two approaches. We have again considered three different families of fluxes characterized by different initial choices for h^{I} , f^{I} and the axions x^{i} . (The data for the fluxes has been summarized in appendix A.) The dependence of the relative difference Δ on the hierarchy parameter λ is shown in figure 4a, and in figure 4b we shown the dependence of the (absolute value) of the tadpole contribution N_{flux} on λ . We make the following observations:

- For a large hierarchy λ the sl(2)-approximation agrees well with the large complexstructure result. For a hierarchy of $\lambda = 4$ the two approaches agree up to a difference of 15%.
- When approaching the boundary in moduli space the tadpole contribution increases, and for the family corresponding to the green curve in figures 4 the tadpole dependence can be fitted as

$$N_{\rm flux} = 3.21 \,\lambda^{5.97} + 351.16 \,, \tag{4.22}$$



Figure 4. Large complex-structure limit: dependence of the relative difference Δ and of the tadpole contribution N_{flux} on the hierarchy parameter λ . The plots show three different families, which all show a similar behaviour. Note that in 4b the green and blue line are almost overlapping.

which is in good agreement with the data for $\lambda \geq 3$. Again we can understand this scaling from the weights of the fluxes under the $\mathfrak{sl}(2)$ -triples. The heaviest charge (1,0,0,0,0,0) has weights $\ell_1 = \ell_2 = \ell_3 = 1$ under the grading operators H_i . Using (3.53) for the asymptotic behavior of the Hodge star and plugging in (4.21) for the saxions we find that

$$N_{\text{flux}} \sim (y^1)^{\ell_1} (y^2)^{\ell_2} (y^3)^{\ell_3} = \lambda^6 \,. \tag{4.23}$$

4.4 Conifold-large complex-structure limit for $h^{2,1} = 3$

As a third example we consider a combined conifold and large complex-structure limit. We choose $h^{2,1} = 3$, and send one saxion to a conifold locus in moduli space and the remaining two saxions to the large complex-structure point. This example has been considered before in [9], but here we neglect the instanton contributions to the prepotential. In particular, for our purposes it is sufficient to consider the following prepotential

$$\mathcal{F} = -\frac{1}{3!} \frac{\kappa_{ijk} X^i X^j X^k}{X^0} + \frac{1}{2} a_{ij} X^i X^j + b_i X^i X^0 + c \left(X^0\right)^2 - \frac{1}{2\pi i} \left(X^3\right)^2 \log\left[\frac{X^3}{X^0}\right], \quad (4.24)$$

where i, j, k, = 1, ..., 3. The non-trivial triple intersection numbers κ_{ijk} and constants a_{ij} and b_i are given by

$$\begin{aligned}
\kappa_{111} &= 8, \\
\kappa_{112} &= 2, \\
\kappa_{113} &= 4, \\
\kappa_{123} &= 1, \\
\kappa_{133} &= 2,
\end{aligned}
\qquad b_1 &= \frac{23}{6}, \\
b_2 &= 1, \\
b_3 &= \frac{23}{12}.
\end{aligned}$$
(4.25)

The constant c can be set to zero for the limit we are interested in for simplicity. After computing the periods $\partial_I \mathcal{F}$ and the matrix $\partial_I \partial_J \mathcal{F}$, we set $t^i = X^i/X^0$ and $X^0 = 1$, and we perform a further field redefinition of the form

$$t^1 \to \tilde{t}^1, \qquad t^2 \to \tilde{t}^2 + 4\tilde{t}^3, \qquad t^3 \to e^{2\pi i \tilde{t}^3}, \qquad (4.26)$$

where the tildes will be omitted in the following. The domain of our coordinates is then specified by $\text{Im} t^i = y^i > 0$.

Let us now consider the sl(2)-approximation in the regime $y^3 \gg y^2 \gg y^1 \gg 1$. Using the periods following from the prepotential (4.24) and the algorithm of section 3.2 we construct the sl(2)-approximated Hodge star (3.53). The relevant building blocks are the $\mathfrak{sl}(2)$ -triples

and the boundary Hodge star

$$C_{\infty} = \begin{pmatrix} 0 & -\frac{23}{24} & -\frac{1}{4} & -\frac{23}{48} & \frac{1}{4} & 0 & 0 & 0 \\ -\frac{5}{16} & 0 & 0 & \frac{1}{2} & 0 & \frac{5}{8} & -\frac{1}{6} & -1 \\ -\frac{43}{12} & 0 & 0 & 0 & 0 & -\frac{1}{6} & \frac{38}{9} & 0 \\ 0 & 0 & 0 & -1 & 0 & -1 & 0 & 2 \\ -\frac{281}{32} & 0 & 0 & 0 & 0 & \frac{5}{16} & \frac{413}{2} & 0 \\ 0 & -\frac{1745}{144} & -\frac{31}{24} & -\frac{1745}{288} & \frac{23}{24} & 0 & 0 & 0 \\ 0 & -\frac{31}{24} & -\frac{1}{2} & -\frac{31}{48} & \frac{1}{4} & 0 & 0 & 0 \\ 0 & -\frac{1745}{288} & -\frac{31}{48} & -\frac{2321}{282} & \frac{23}{23} & -\frac{1}{2} & 0 & 1 \end{pmatrix}.$$
(4.28)

To compare moduli stabilization within the sl(2)-approximation and within the conifold-large

| fluxes | sl(2)-approximation | coni-LCS | | |
|--------------------------|------------------------------|------------------------------|-------|--|
| $h^{I} = (1, 0, 0, 1)$ | $y^i = (1.88, 3.78, 8.20)$ | $y^i = (1.88, 2.61, 6.92)$ | | |
| $h_I = (3, -60, 1, -30)$ | $x^i = (-0.14, -1.27, 0.99)$ | $x^i = (-0.14, -1.27, 1.35)$ | (1.90 | |
| $f^{I} = (0, 1, 0, 0)$ | s = 1.05 | s = 1.05 | (4.28 | |
| $f_I = (132, 32, 0, 18)$ | c = 0.07 | c = 0.07 | | |
| N = -210 | $\Delta = 0.22$ | | | |

complex structure limit (coni-LCS), we consider first the following example

The hierarchy in the sl(2)-approximation has been chosen as a factor of two, the relative difference to the moduli stabilized via the coni-LCS prepotential (4.24) for this example is 22%. (Note that $s \simeq 1$ has been chosen for illustrative purposes, and that $s \gg 1$ can easily be realized.)

Next, we want to study how well the sl(2)-approximation of the Hodge-star operator captures moduli stabilization via the coni-LCS prepotential. We follow a strategy similar to the previous example and implement a hierarchy for the saxions through a parameter λ as

$$y^1 = \lambda$$
, $y^2 = \lambda^2$, $y^3 = \lambda^3$. (4.30)

As before, we expect that for larger λ the agreement between the two approaches improves. We have again considered three different families of fluxes characterized by different initial choices for h^{I} , f^{I} and the axions x^{i} . (The data for the fluxes has been summarized in appendix A.) The dependence of the relative difference Δ on the hierarchy parameter λ is shown in figure 5a, and in figure 5b we shown the dependence of the (absolute value) of the tadpole contribution N_{flux} on λ . Our observations agree with the previous example, in particular:

- we see that even for a small hierarchy of $\lambda = 4$ the relative difference between the stabilized moduli is only around 10%.
- The tadpole contribution (2.9) grows rapidly with the hierarchy parameter λ . For instance, for the orange curve in figure 5b we obtain a fit

$$N_{\rm flux} = 4.29\,\lambda^{4.97} + 96.71\,,\tag{4.31}$$

which is in good agreement with the data for $\lambda \geq 3$. Therefore, also for this example the tadpole contribution increases rapidly when approaching the boundary in moduli space. Again this scaling is understood from the growth of the Hodge star for the heaviest charge (1, 0, 0, 0, 0, 23/6, 1, 23/12). Using (3.53) we find that

$$N_{\rm flux} \sim (y^1)^{\ell_1} (y^2)^{\ell_2} (y^3)^{\ell_3} = \lambda^5 , \qquad (4.32)$$

where we used that its weights under the N_i^0 are $(\ell_1, \ell_2, \ell_3) = (2, 0, 1)$, and plugged in the scaling (4.30) of the saxions in λ .



Figure 5. Conifold-large complex-structure limit: dependence of the relative difference Δ and of the tadpole contribution N_{flux} on the hierarchy parameter λ . The plots show three different families, which all show a similar behaviour.

5 Moduli stabilization in F-theory

We now want to apply the techniques discussed in the above sections to F-theory compactifications on elliptically fibered Calabi-Yau fourfolds. We begin with a brief review of the scalar potential induced by four-form flux, and in section 5.1 we specialize our discussion to the large complex-structure regime. This then serves as the starting point for an F-theory example which we study in section 5.2 and which has been discussed before in [11]. For reviews on the subject of F-theory compactifications and its flux vacua we refer the reader to [53, 54].

Supergravity description. We begin by considering M-theory compactifications on Calabi-Yau fourfolds Y_4 with G_4 -flux turned on. This gives rise to an effective $\mathcal{N} = 2$ supergravity theory in three dimensions, where the flux induces a scalar potential for the complex-structure and Kähler structure moduli [55]. One can then lift this setting to a four-dimensional $\mathcal{N} = 1$ supergravity theory by requiring Y_4 to be elliptically fibered and shrinking the volume of the torus fiber [53, 54, 56]. The scalar potential obtained in this way reads

$$V = \frac{1}{\mathcal{V}_4^3} \left(\int_{Y_4} G_4 \wedge \star G_4 - \int_{Y_4} G_4 \wedge G_4 \right) \,, \tag{5.1}$$

where \mathcal{V}_4 denotes the volume of the Calabi-Yau fourfold Y_4 and \star is the corresponding Hodge-star operator. The scalar potential (5.1) depends both on the complex-structure and Kähler moduli through the \star in the first term, and the overall volume factor \mathcal{V}_4 gives an additional dependence on the Kähler moduli. We also note that the flux G_4 is constrained by the tadpole cancellation condition as [57]

$$\frac{1}{2} \int_{Y_4} G_4 \wedge G_4 = \frac{\chi(Y_4)}{24} \,. \tag{5.2}$$

Let us now focus on the complex-structure sector of this theory and mostly ignore the Kähler moduli in the following. This requires us to assume that G_4 is an element of the

primitive cohomology $H_p^4(Y,\mathbb{Z})$ [55], which can be expressed as the condition $J \wedge G_4 = 0$ with J being the Kähler two-form of Y_4 . The Kähler and superpotential giving rise to the scalar potential (5.1) can then be written as [19, 55]

$$K = -\log \int_{Y_4} \Omega \wedge \bar{\Omega} , \qquad \qquad W = \int_{Y_4} \Omega \wedge G_4 , \qquad (5.3)$$

where Ω is the (up to rescaling) unique (4, 0)-form on Y_4 . Minima of the scalar potential (5.1) are found by either solving for vanishing F-terms or imposing a self-duality condition on G_4 [55], and these constraints read

$$D_I W = \partial_I W + K_I W = 0, \qquad \star G_4 = G_4, \qquad (5.4)$$

respectively. One easily checks that this leads to a vanishing potential (5.1) at the minimum, giving rise to a Minkowski vacuum.

5.1 Large complex-structure regime

To make our discussion more explicit, we now specialize to a particular region in complexstructure moduli space. More concretely, we consider the large complex-structure regime of a Calabi-Yau fourfold Y_4 .

Moduli space geometry. Using homological mirror symmetry the superpotential can be expressed in terms of the central charges of B-branes wrapping even-degree cycles of the mirror-fourfold X_4 , and we refer to the references [58–61] for a more detailed discussion. Following the conventions of [11], we expand the periods of the holomorphic four-form Ω around the large complex-structure point as

$$\Pi = \begin{pmatrix} 1 \\ -t^{I} \\ \frac{1}{2}\eta_{\mu\nu}\zeta_{IJ}^{\nu}t^{I}t^{J} \\ -\frac{1}{6}\mathcal{K}_{IJKL}t^{J}t^{K}t^{L} + iK_{I}^{(3)} \\ \frac{1}{24}\mathcal{K}_{IJKL}t^{I}t^{J}t^{K}t^{L} - iK_{I}^{(3)}t^{I} \end{pmatrix},$$
(5.5)

where \mathcal{K}_{IJKL} are the quadruple intersection numbers of X_4 and the coefficients $K_I^{(3)}$ arise from integrating the third Chern class. In formulas this reads

where D_I denotes a basis of divisor classes for X_4 that generate its Kähler cone¹² and $J_I \in H^2(X_4, \mathbb{Z})$ denote their Poincaré dual two-forms. Under mirror symmetry the $h^{1,1}(X_4)$ Kähler moduli t^i of X_4 are identified with the $h^{3,1}(Y_4)$ complex-structure moduli of Y_4 , and the coefficients $K_I^{(3)}$ can be interpreted as perturbative corrections to the periods, similar to the correction involving the Euler characteristic in the prepotential (3.54) for the threefold

¹²We assume the Kähler cone to be simplicial, i.e. the number of generators is equal to $h^{1,1}(X_4)$.

case. Furthermore, we introduced a tensor ζ_{IJ}^{μ} to expand all intersections of divisor classes $D_I \cdot D_J$ into a basis of four-cycles H_{μ} as

$$D_I \cdot D_J = \zeta^{\mu}_{IJ} H_{\mu} \,. \tag{5.7}$$

The intersection of two four-cycles $H_{\mu} \cdot H_{\nu}$ is denoted by

$$\eta_{\mu\nu} = H_{\mu} \cdot H_{\nu} \,, \tag{5.8}$$

and comparing with (5.6) leads to the following relation for the intersection numbers

$$\mathcal{K}_{IJKL} = \zeta^{\mu}_{IJ} \eta_{\mu\nu} \zeta^{\nu}_{KL} \,. \tag{5.9}$$

The superpotential (5.3) shown above can be expressed as $W = G_4 \Sigma \Pi$, where Σ is the matrix coupling the periods to the flux quanta which is given by

$$\Sigma = \begin{pmatrix} 0 & 0 & 0 & 0 & 1 \\ 0 & 0 & -\delta_J^I & 0 \\ 0 & 0 & \eta^{\mu\nu} & 0 & 0 \\ 0 & -\delta_I^J & 0 & 0 & 0 \\ 1 & 0 & 0 & 0 & 0 \end{pmatrix}.$$
 (5.10)

Note that we used I, μ to label the rows and J, ν for columns. The G_4 -flux written in these conventions takes the form

$$G_4 = \left(m, \ m^I, \ \hat{m}_{\mu}, \ e_I, \ e \right), \tag{5.11}$$

where the flux-quanta are (half-)interger quantized, and the contribution of the fluxes to the tadpole cancellation condition is given by

$$N_{\text{flux}} = \frac{1}{2} \int_{Y_4} G_4 \wedge G_4 = \frac{1}{2} G_4 \Sigma G_4 \,. \tag{5.12}$$

Let us stress that the period vector (5.5) is not expanded in an integral basis, which means that special care has to be taken with the quantized fluxes coupling to these periods in the superpotential (5.3). This quantization was worked out in [60], and has been reformulated as a rational shift of the flux quanta in [11]. For our purposes implementing this shift will not be important, however, we still require the flux quanta in (5.11) to take integer values. From the perspective of moduli stabilization these rational shifts typically only affect the moduli vevs and their masses slightly, while we merely want to demonstrate the effect of corrections to the sl(2)-approximation on aspects such as flat directions. Also for the minimal tadpole these shifts only add a small rational term, while we are interesting in the scaling in large $h^{3,1}$.

Finally, it is instructive to decompose the periods in (5.5) according to the nilpotent orbit form similar to the threefold case discussed above. In particular, we can read off the log-monodromy matrices N_A and leading term a_0 of the periods as

$$\Pi = e^{t^{I}N_{I}}a_{0}, \qquad N_{A} = \begin{pmatrix} 0 & 0 & 0 & 0 & 0 \\ -\delta_{AI} & 0 & 0 & 0 & 0 \\ 0 & -\eta_{\mu\rho}\zeta^{\rho}_{AJ} & 0 & 0 & 0 \\ 0 & 0 & -\zeta^{\nu}_{AI} & 0 & 0 \\ 0 & 0 & 0 & -\delta_{AJ} & 0 \end{pmatrix}, \qquad a_{0} = \begin{pmatrix} 1 \\ 0 \\ 0 \\ K_{I}^{(3)} \\ 0 \end{pmatrix}.$$
(5.13)

Periods for an elliptically fibered mirror. Let us now specialize to an elliptically fibered mirror fourfold X_4 , which corresponds to the example considered in the following section. For fourfolds with this fibration structure the topological data of X_4 is determined by the base B_3 , and one can explicitly construct a basis for the four-cycles H_{μ} as discussed in [61]. In the following we work in the conventions of [11]. We start by constructing a basis for the two- and six-cycles of X_4 from the base B_3 . For the generators of the Mori cone of B_3 corresponding to two-cycles we write C'^a , while the dual four-cycles that generate the Kähler cone are denoted by divisors D'_a . The index runs as $a = 1, \ldots, h^{1,1}(B_3)$ with $h^{1,1}(B_3) = h^{1,1}(X_4) - 1$. Denoting the projection of the fibration by π and the divisor class of the section by E, we can then generate the Mori cone of X_4 by

$$C^{0}, \qquad C^{a} = E \cdot \pi^{-1} C^{\prime a}, \qquad (5.14)$$

where C^0 corresponds to the class of the fiber. We generate the Kähler cone of X_4 by the dual basis of divisor classes

$$D_0 = E + \pi^* c_1(B_3), \qquad D_a = \pi^* D'_a, \tag{5.15}$$

where $c_1(B_3) = c_1^a D'_a$ denotes the first Chern class of the base B_3 . We can recover the intersection numbers of B_3 from those of X_4 as

$$\mathcal{K}_{abc} = D'_a \cdot D'_b \cdot D'_c = D_0 \cdot D_a \cdot D_b \cdot D_c = \mathcal{K}_{0abc} \,. \tag{5.16}$$

Having constructed a basis for the two- and six-cycles, let us next consider the four-cycles H_{μ} . We can generate these four-cycles via the divisors D'_a and curves C'^a of B_3 as

$$H_a = D_0 \cdot \pi^{-1}(D'_a) = D_0 \cdot D_a, \qquad H_{\hat{a}} = \pi^{-1}(C'^a), \qquad a, \hat{a} = 1, \dots, h^{1,1}(B_3), \quad (5.17)$$

where we split $\mu = (a, \hat{a})$. As a final task we construct the tensors ζ_{ij}^{μ} and $\eta_{\mu\nu}$ appearing in the periods (5.5). The tensor ζ_{IJ}^{μ} relating intersections of two-cycles $D_I \cdot D_J$ to the four-cycle basis H_{μ} by (5.7) is found to be

$$\zeta_{0b}^{a} = \delta_{ab}, \qquad \zeta_{bc}^{\hat{a}} = \mathcal{K}_{abc}, \qquad \zeta_{00}^{a} = c_{1}^{a}, \qquad (5.18)$$

and all other components either vanish or are fixed by symmetry. The intersections $\eta_{\mu\nu}$ between the four-cycles H_{μ} are given by

$$\eta_{ab} = \mathcal{K}_{abc} c_1^c \,, \qquad \eta_{a\hat{b}} = \delta_{ab} \,, \qquad \eta_{\hat{a}\hat{b}} = 0 \,. \tag{5.19}$$

5.2 The linear scenario — construction

With the expressions introduced above, we can now discuss a more concrete setting. We consider the triple fibration $T^2 \to \mathbb{P}^1 \to \mathbb{P}^1 \to \mathbb{P}^1$ as the mirror fourfold, and for the toric construction we refer to [62]. In [11] this geometry was used to realize a particular moduli stabilization scheme called the linear scenario. Here we discuss this setup from the perspective of the sl(2)-approximation, and we comment on the scaling of the corresponding tadpole-contribution of the fluxes.

Moduli space geometry. The relevant topological data of the above fourfold X_4 can be summarized by the following intersection numbers

$$\mathcal{K}_{ABCD} y^{A} y^{B} y^{D} y^{D} = y_{L} \left(32y_{0}^{3} + 24y_{0}y_{1}y_{2} + 12y_{0}y_{2}^{2} + 24y_{0}^{2}y_{1} + 26y_{0}^{2}y_{2} \right) + 64y_{0}^{2}y_{1}y_{2} + 24y_{0}y_{1}^{2}y_{2} + 8y_{0}y_{2}^{3} + 24y_{0}y_{1}y_{2}^{2} + 64y_{0}^{3}y_{1} + 24y_{0}^{2}y_{1}^{2} + 36y_{0}^{2}y_{2}^{2} + 72y_{0}^{3}y_{2} + 52y_{0}^{4} = 4y_{L}\mathcal{K}_{L} + f(y^{\alpha}) ,$$
(5.20)

and by the integrated third Chern class

$$K_A^{(3)}y^A = -\frac{\zeta(3)}{8\pi^3} \left(3136y^0 + 480y^L + 960y^1 + 1080y^2 \right), \tag{5.21}$$

and the base B_3 of the elliptic fibration has first Chern class

$$c_1(B_3) = D_1 + 2D_2. (5.22)$$

The divisor D_0 corresponds to the zero section of the elliptic fibration as given in the basis (5.15), while D_L corresponds to the class of the Calabi-Yau threefold fiber over \mathbb{P}^1 . In the last line of (5.20) we singled out the terms dependent on the saxion y^L by writing

$$\mathcal{K}_L = \sum_{\alpha,\beta,\gamma} \mathcal{K}_{Labc} y^{\alpha} y^{\beta} y^{\gamma}, \qquad f(y^a) = \sum_{\alpha,\beta,\gamma,\delta} \mathcal{K}_{\alpha\beta\gamma\delta} y^{\alpha} y^{\beta} y^{\gamma} y^{\delta}, \tag{5.23}$$

where $f(y^a)$ contains the remaining terms only depending on $y^{\alpha} = y^0, y^1, y^2$. Let us also note that we sort our complex-structure moduli in the order (t^0, t^L, t^1, t^2) for the construction of the log-monodromy matrices as described by (5.13), and split the indices as $A = (L, \alpha)$ with $\alpha = 0, 1, 2$. Furthermore, we use the four-cycle basis as described by (5.17), where we let the indices run as $a, \hat{a} = L, 1, 2$.¹³

Saxion hierarchies and the sl(2)-approximation. Let us now introduce particular scalings for the saxions y_A . For the linear scenario of [11] there is a hierarchy $y_L \gg y_{\alpha}$ which we realize by

$$y_L = \lambda^3, \qquad \qquad y_\alpha = \lambda \, \hat{y}_\alpha \,.$$
 (5.24)

In order to understand this regime from the perspective of the sl(2)-approximation we need to consider a further hierarchy $y_L \gg y_1 \gg y_2 \gg y_0$, which we implement via an additional scaling parameter ρ as

$$y_L = \lambda^3 \rho$$
, $y_0 = \lambda$, $y_1 = \lambda \rho^2$, $y_2 = \lambda \rho$. (5.25)

Setting for instance $\rho = \lambda$ we find scalings $(y_L, y_0, y_1, y_2) = (\lambda^4, \lambda, \lambda^3, \lambda^2)$ as needed for the hierarchy of the sl(2)-approximation, while for $\rho = 1$ we reduce to a scaling of the form of (5.24). Typically we will keep both the scaling in ρ and λ explicit rather than making a choice for ρ . We can then use the scaling in λ found in the sl(2)-approximation to make statements about the linear scenario. In order to keep the discussion here concise we included the data specifying the sl(2)-approximated Hodge star in appendix B. It is, however, instructive to give the eigenspaces of the weight operators N_i^0 , which have been summarized in table 1.

 $^{^{13}}$ Note that this four-cycle basis differs from the one used in [11], where another basis was taken using the fibration structure of the threefold fiber rather than the elliptic fiber.

| weights | charges |
|----------|---|
| (-1, -3) | (0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0 |
| (-1, -1) | $\begin{array}{c}(0,0,0,0,0,0,0,0,0,0,0,0,1,0,0,0,0)\\(0,0,0,0,0,0,0,0,0,0,0,0,0,0,0,0,0,0,0,$ |
| (-1,1) | $\begin{array}{c}(0,0,0,0,0,0,1,0,0,0,0,0,0,0,0,0)\\(0,0,0,0,0,0,0,1,0,0,0,0,0,0,0,0)\\(0,0,0,0,0,0,0,0,1,0,0,0,0,0,0,0)\end{array}$ |
| (-1,3) | (0, 0, 1, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, |
| (1, -3) | $(0,0,0,0,0,0,0,0,0,0,0,0,1,1,1,\frac{1}{2},0)$ |
| (1, -1) | $\begin{array}{c}(0,0,0,0,\overline{0,1,0,0,-\frac{1}{2},1,-1,0,0,0,0,0)}\\(0,0,0,0,0,0,1,0,1,-\frac{3}{2},1,0,0,0,0,0)\\(0,0,0,0,0,0,0,1,-1,1,0,0,0,0,0,0)\end{array}$ |
| (1,1) | $\begin{array}{c}(0,1,0,0,-2,0,0,0,0,0,0,0,0,0,0,0,0)\\(0,0,1,0,-2,0,0,0,0,0,0,0,0,0,0,0,0)\\(0,0,0,1,-2,0,0,0,0,0,0,0,0,0,0,0,0)\end{array}$ |
| (1,3) | (1, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, |

Table 1. Eigenspaces of the weight operators given in (B.1). In order to connect to the scaling of the linear scenario (5.24) we grouped the weights ℓ_{α} under N_{α}^{0} together as $(\ell_{L}, \ell_{0} + \ell_{1} + \ell_{2})$.

Parametric scaling of the tadpole. Regarding this model, the aim in [11] was to make a flux-choice which keeps the tadpole contribution N_{flux} finite asymptotically. The parametric growth of the tadpole due to a flux is specified by its weights under the $\mathfrak{sl}(2,\mathbb{R})$ -triples and we recall that for a charge in the eigenspace $q \in V_{\ell_1 \ell_2 \ell_3 \ell_4}$ its Hodge norm (3.53) grows as

$$\|q\|^2 \sim y_L^{\ell_L} y_0^{\ell_0} y_1^{\ell_1} y_2^{\ell_2} \,. \tag{5.26}$$

Using the scalings of the saxions shown in (5.25), we find for large λ that $3\ell_L + \ell_0 + \ell_1 + \ell_2 \leq 0$ must hold for our flux quanta. From (B.1) we can compute that $\ell_A = \pm 1$ for all weights, so the fluxes with weights $\ell_L = 1$ and $\ell_0 + \ell_1 + \ell_2 = -1, 1, 3$ should be turned off. Inspecting table 1 we then find that this corresponds to the flux choice

$$m = 0, \qquad m_i = (0, m_L, 0, 0), \qquad \hat{m}^{\mu} = (0, \hat{m}^1, \hat{m}^2, \hat{m}^L, 0, 0), \qquad (5.27)$$

and all other fluxes unconstrained. This matches precisely with the fluxes that were turned off in [11], motivated from the sl(2)-approximation. (Note that we chose a different four-cycle basis as compared to [11] so the choice of \hat{m}^{μ} takes a slightly different form.)

Flat directions. Given the above choice of fluxes, we can now investigate the stabilization of the saxions within various approximation schemes. Via the self-duality condition of the

 G_4 -flux, the axions are fixed as [11]

$$x^{\alpha} = -\frac{\hat{m}^{\alpha}}{m^{L}}, \qquad x^{L} = -\frac{e}{e_{L}} - \frac{1}{3e_{L}(m^{L})^{2}} \left(\mathcal{K}_{Labc} \hat{m}^{a} \hat{m}^{b} \hat{m}^{c} - 3e_{a} \hat{m}^{a} m^{L} \right).$$
(5.28)

However, for simplicity we set these axions to zero in the following, that is $x^L = x^0 = x^1 = x^2 = 0$, which means we impose $e = \hat{m}^1 = \hat{m}^2 = \hat{m}^{\hat{L}} = 0$ on the flux quanta in addition to (5.27).

• sl(2)-approximation. For the specified choice of fluxes (5.27) with vanishing axions we find (1, -3) and (-1, 3) as allowed weights for $(\ell_L, \ell_0 + \ell_1 + \ell_2)$. Using the sl(2)-approximated Hodge star (3.53) in the self-duality condition (5.4), this leads to

$$e_L = -\frac{y_0 y_1 y_2}{2 y_L} m_L , \qquad e_\alpha = 2 e_L .$$
 (5.29)

Notice that the saxions only appear as the combination $y_0y_1y_2/y_L$, so there are three saxionic directions left unconstrained. In particular, when plugging in the scaling of the linear scenario (5.24) we see that λ drops out completely. We can stabilize these seemingly flat directions by including corrections to the sl(2)-approximation.

• Nilpotent orbit approximation. We next include the full set of polynomial terms in the periods, in particular, we investigate the difference between including the corrections $K_i^{(3)}$ or not. The relevant extremization conditions for the saxions now read [11]

$$e_L = -\frac{\mathcal{K}}{6} g_{LL} m^L = \left(-\frac{\mathcal{K}_L}{t_L} + \frac{f}{24t_L^2} - \frac{K_L^{(3)}\zeta(3)}{64\pi^3 t_L} \right) m^L + \mathcal{O}\left(\frac{1}{\lambda^4}\right) ,$$

$$e_\alpha = \frac{m^L}{6} \mathcal{K}_L \partial_\alpha \left(\frac{f}{4\mathcal{K}_L}\right) - \frac{9K_L^{(3)}\mathcal{K}_{L\alpha}m^L}{8\mathcal{K}_L} + \mathcal{O}\left(\frac{1}{\lambda^2}\right) ,$$
(5.30)

where $\mathcal{K}_{L\alpha} = \sum_{\beta,\gamma} \mathcal{K}_{L\alpha\beta\gamma} y^{\beta} y^{\gamma}$. We also specified the order in λ at which corrections to these equations enter under the scaling (5.24). Note that only $K_L^{(3)}$ appears here, so it dominates over the other corrections $K_{\alpha}^{(3)}$ in the expansion in λ . Since it is rather difficult to solve (5.30) explicitly for the saxions, we will instead consider some specific flux quanta e_L, e_{α} to exemplify that the saxions can indeed be stabilized. As flux quanta we take

$$m_L = 623, \qquad e_A = (-4698, -3072, -2760, -1566), \qquad (5.31)$$

for which the saxions (5.24) are stabilized at

$$\lambda = 6, \qquad \hat{y}^{\alpha} = 1. \tag{5.32}$$

• Nilpotent orbit approximation without $K_L^{(3)}$. We also compute the eigenvalues of the mass matrix $K^{ab}\partial_a\partial_b V$ while formally setting $K_L^{(3)} = 0$ and $K_{\alpha}^{(3)} = 0$. This yields the canonically-normalized masses

$$m^{2} = (3.4 \cdot 10^{18}, 1.7 \cdot 10^{13}, 1.7 \cdot 10^{13}, 1.7 \cdot 10^{13}, 1.6 \cdot 10^{13}, 3.8 \cdot 10^{11}, 2.7 \cdot 10^{11}, 0), (5.33)$$

and hence for this setting there is one flat direction.

• Nilpotent orbit approximation with $K_L^{(3)}$. We then include the correction $K_L^{(3)}$ but still set $K_{\alpha}^{(3)} = 0$ and find that the flat direction now acquires a mass

$$m^{2} = (3.4 \cdot 10^{18}, \ 1.7 \cdot 10^{13}, \ 1.7 \cdot 10^{13}, \ 1.7 \cdot 10^{13}, \ 1.6 \cdot 10^{13}, \ 3.6 \cdot 10^{11}, \ 2.5 \cdot 10^{11}, \ 2.3 \cdot 10^{7})$$

$$(5.34)$$

while the other masses are only affected slightly. The now non-zero mass is significantly smaller as compared to the other moduli, since its mass scale is set by the correction $K_L^{(3)}$ rather than the leading polynomial terms.

Type IIB2 case. In order to understand the above observations better, let us reduce the F-theory setting to Type IIB string theory. This brings us to the IIB2 moduli stabilization scheme of [11], which was originally considered in [12]. In order to match the two configurations we set f = 0 and $K_{\alpha}^{(3)} = 0$, and identify $K_{L\alpha\beta\gamma}$ with the intersection numbers of the Calabi-Yau threefold and $K_L^{(3)}$ as the Euler characteristic correction. Furthermore, the dilaton t^L is interpreted as the axio-dilaton τ . We will keep the notation of the F-theory setting, and simply drop the terms that are absent in the Type IIB setup, for which the self-duality condition (5.30) reduces to

$$e_L = \left(-\frac{1}{6} \frac{\mathcal{K}_L}{y_L} - \frac{\mathcal{K}_L^{(3)} \zeta(3)}{64\pi^3 y_L} \right) m^L, \qquad e_\alpha = -\frac{9\mathcal{K}_L^{(3)} \mathcal{K}_{L\alpha} m^L}{8\mathcal{K}_L}.$$
(5.35)

When we drop the correction $K_L^{(3)}$ and plug in the saxion scaling (5.24) we see that λ cancels out of these equations. However, subsequently including the correction fixes λ as

$$\lambda^3 = \frac{64\pi^3}{K_L^{(3)}\zeta(3)} \left(-\frac{1}{6} \mathcal{K}_{L\alpha\beta\gamma} \hat{y}^{\alpha} \hat{y}^{\beta} \hat{y}^{\gamma} - \frac{e_L}{m^L} \right) \,. \tag{5.36}$$

Thus in the IIB2 scenario the modulus λ parametrizes precisely the flat direction we encountered before, which is stabilized by including perturbative corrections. In the general F-theory setup this symmetry was more difficult to spot in (5.24) due to the presence of the function $f(\hat{y}^{\alpha})$ which alters this parametrization. Nevertheless, by studying the effect of $K_L^{(3)}$ on the masses in (5.33) and (5.34) we were able to observe this feature. We should also point out that, in contrast to the general F-theory setting, there is more than one flat saxionic direction present when $K_L^{(3)}$ is dropped here. In this case (5.35) only imposes a single constraint on the saxions, so in addition to λ there are $h^{2,1} - 1$ other saxionic directions that remain flat. This shows how λ is stabilized by corrections to the sl(2)-approximation, but we will not look further into these other flat directions.

5.3 The linear scenario — discussion

We now want to discuss the linear scenario in view of relations to swampland conjectures, to the finiteness theorem and to the tadpole conjecture. **Relations to swampland conjectures and finiteness theorem.** The above hierarchy in the masses of the linear scenario is quite interesting in light of some swampland conjectures. Say we place a cutoff scale between the moduli masses stabilized in (5.33) and the field direction stabilized by the $K_L^{(3)}$ effect in (5.34). From the mass hierarchy it then follows that we can integrate out all axion fields (and three saxion fields), while one runaway direction remains. In the IIB2 case this direction is parametrized via the saxionic modulus λ by (5.24), while in the more general F-theory setup this parametrization is slightly more involved. Either way, by restricting to this valley of the scalar potential we obtain a pseudomoduli space containing a single saxion field and no axions. In turn, we can send $\lambda \to \infty$, resulting in an infinite distance limit. Generically this path does not lift to a geodesic in the original higher-dimensional moduli space, so this provides us with an interesting class of examples for the Convex Hull Distance Conjecture of [40]. On the other hand, this infinite distance limit is rather intriguing from the perspective of the Distant Axionic String Conjecture [37, 43]. It predicts the emergence of asymptotically tensionless strings coupled to axion fields, but in this effective field theory all axions have already been integrated out due to the cutoff. It would be interesting to return to this puzzle in the near future, and see if a more detailed study of axion strings in the background of such scalar potentials elucidates this matter.

Let us also comment on the linear scenario in the context of the general finiteness theorems for flux vacua satisfying the self-duality condition [38, 63]. A first observation is that the tadpole $N_{\rm flux} = -e_L m^L$ seems to be independent of the flux e_α . However, it is not hard to see that e_α cannot be chosen freely and there are only finitely many choices allowed in this setting. The second equation in (5.35) implies that if we want to increase e_α , we either have to increase m^L or decrease the moduli. In the former case, we immediately see that the tadpole grows, while in the latter case we reach a point where our use of the asymptotic results are no longer applicable. Furthermore, we check that the possible vevs for the saxions are bounded from above, which is another necessary condition for the finiteness of solutions. Inserting the scaling (5.24) into the first equation of (5.35) we see that the \hat{y}^{α} are bounded from above. Namely, otherwise e_L grows as we increase the volume $\mathcal{K}_{L\alpha\beta\gamma}\hat{y}^{\alpha}\hat{y}^{\beta}\hat{y}^{\gamma}$, resulting in a diverging tadpole $N_{\rm flux} = -e_L m^L$. Using similar reasoning we find that λ is bounded through the condition (5.36).

Finally, let us also have a closer look at the flux vacuum loci themselves. In particular, consider the case $K_L^{(3)} = 0$. For such geometries the modulus λ is unfixed due to the absence of corrections in the nilpotent orbit approximation. This means the resulting flux vacua are not point-like, but rather infinite lines stretching to the boundary of moduli space.¹⁴ It is interesting to point out that vacuum loci of this type do not need to be algebraic. This implies that if one aims to describe the structure of all flux vacua one has to leave the world of algebraic geometry. Remarkably, a delicate and powerful extension of algebraic geometry that can be use to describe flux vacua is provided by using tame topology and o-minimality [63]. The resulting tame form of geometry manifest the notion of finiteness and removes many pathologies that are allowed in geometric settings based on ordinary topology.

 $^{^{14}}$ It could be the case that the inclusion of exponential corrections stabilizes this flat direction. There are, however, examples where such corrections are absent [63]. In that regard it is interesting to point out the work of [64], where the absence of instantons in special cases was related to higher-supersymmetric settings.

Tadpole conjecture I — without axions. We now focus on the tadpole contribution of the fluxes and its scaling with the number of moduli [13, 65]. For simplicity we restrict our attention to the IIB2 case, and our discussion follows closely the line of arguments first presented in [14]. In particular, we will be using statistical data for Calabi-Yau threefolds obtained in [66] to show that the linear scenario is, under certain genericity assumptions, compatible with the tadpole conjecture. Our main argument can then be summarized as follows:

• Since we restrict our discussion to the large complex-structure limit and ignore instanton corrections, we need to ensure that the latter are sufficiently suppressed. To implement this constraint on the mirror-dual side, we require that all holomorphic curves C have a volume greater than a constant c. Similarly we require that all divisors D and the mirror threefold \tilde{Y}_3 itself to have volumes greater than c, and we use these conditions to define the stretched Kähler cone [66], i.e. we consider those J that satisfy

$$\int_C J > c \,, \qquad \frac{1}{2} \int_D J^2 > c \,, \qquad \frac{1}{6} \int_{\tilde{Y}_3} J^3 > c \,. \tag{5.37}$$

Note that (after applying mirror-symmetry) the asymptotic regime introduced at the beginning of section 3.2 lies in the stretched Kähler cone with c = 1.

- In [66] the authors analyzed the Kreuzer-Skarke list [67] and determined properties associated with the stretched Kähler cone. Via mirror symmetry, these results on the Kähler-moduli side then translate to the large complex-structure limit we are interested in.
- We note that the Kähler form J can be expanded in any basis of the second cohomology. For example, we can use the generators ω_α of a simplicial subcone as discussed in more detail in [35] and write J = y^αω_α. Setting c = 1 in (5.37) then implies y^α > 1 and we obtain the above parametrization of the asymptotic regime. However, in [66] the analysis was carried out in a basis naturally arising in the explicit construction of Calabi-Yau threefold examples. Denoting this basis by [D_α] we expand J = v^α[D_α]. We note that the v^α now obey certain non-trivial inequalities for J to be an element of the stretched Kähler cone (5.37). Clearly, also the intersection numbers κ_{αβγ} = D_α · D_β · D_γ have to be evaluated in this different basis and the statistical statements of [66] concerning their behaviour is generically true in this 'special' basis.
- Of interest to us are the number of non-zero entries in the triple intersection numbers $\kappa_{\alpha\beta\gamma}$ and a measure for how stretched the Kähler cone is at large $h^{1,1}$. To associate a number to the latter, we introduce the distance $|v| = \sqrt{\sum_a (v^a)^2}$ from the origin of the Kähler cone to a Kähler form $J = v^a [D_a]$, and denote by d_{\min} the minimal distance between the origin of the Kähler cone and the tip of the stretched Kähler cone. In [66] these have been determined for the Kreuzer-Skarke database and their dependence on $h^{1,1}$ have been determined. Under mirror symmetry this dependence

translates into¹⁵

$$\#(\kappa_{\alpha\beta\gamma} \neq 0) \gtrsim 6.5 h^{2,1} + 25, \qquad d_{\min} \simeq 10^{-1.4} (h^{2,1})^{2.5}.$$
 (5.38)

Furthermore, for large $h^{2,1}$ the size of the entries of $\kappa_{\alpha\beta\gamma}$ is of order $\mathcal{O}(10)$ which can be inferred from figure 2 of [66]. For generic situations and in the large $h^{2,1}$ limit we then obtain the following rough scaling behavior (see [14] for details on the derivation)

$$\mathcal{K}_L \sim (h^{2,1})^{-1/2} |v|^3, \qquad \qquad \mathcal{K}_{L\alpha} \sim (h^{2,1})^{-1} |v|^2 \qquad \qquad \mathcal{K}_L^{(3)} \sim h^{2,1}.$$
 (5.39)

The scaling behaviour of $\mathcal{K}_{L\alpha}$ can be determined using (5.38) together with $v^{\alpha} = |v| \mathbf{e}^{\alpha}$ and $\mathbf{e}^{\alpha} \sim (h^{2,1})^{-1/2}$, and we included here the quantity $K_L^{(3)}$ which contains the Euler characteristic. Note that this scaling behaviour matches roughly the statistical analysis presented in [66] for the minimal total volume and divisor volumes in the stretched Kähler cone when replacing |v| with d_{\min} .

Let us now use (5.39) and determine the moduli-dependent expression in the second relation in equation (5.35). For generic situations and for large $h^{2,1}$ we can make the following estimate

$$\frac{9K_L^{(3)}\mathcal{K}_{L\alpha}}{8\mathcal{K}_L} \sim (h^{2,1})^{1/2} |v|^{-1} \lesssim (h^{2,1})^{-2}, \qquad (5.40)$$

where in the second step we applied the bound $|v| \ge d_{\min}$ and where we ignored numerical factors. This scaling can now be used in the self-duality condition (5.35), where the second condition together with (5.40) translates to

$$e_{\alpha} \lesssim (h^{2,1})^{-2} m^L$$
 (5.41)

However, since the fluxes e_{α} and m^L are integer quantized it follows that for non-zero e_{α} and m^L the flux m^L has to scale at least as $(h^{2,1})^2$. For the tadpole contribution this implies

$$N_{\rm flux} = -e_L m^L \sim (h^{2,1})^2 \,, \tag{5.42}$$

which is in agreement with the tadpole conjecture.

While this result is non-trivial, let us emphasize that we have used fitted statistical data to make this estimate. Some of our steps, most notably (5.40), are true only approximately and are made under the assumption that conspicuous cancellations are absent. Comparing (5.39) with statistical results on the divisor volumes obtained in [66] shows agreement, but ideally we would like to have available results on the minimal value that $\mathcal{K}_L/\mathcal{K}_{L\alpha}$ can take in the stretched Kähler cone directly. Moreover, it is conceivable that certain families of compactification spaces exist that show a slightly different scaling behaviour. Hence, we do not claim that the IIB2 moduli stabilization scheme of [11] cannot produce examples that violate the tadpole conjecture — however, under the assumptions stated above the scheme of [11] generically satisfies the tadpole conjecture (in the case of vanishing axions). Note that a similar observation has been made independently in [68].

¹⁵When using the basis ω_{α} to expand the Kähler form then the dependence of the quantities in (5.38) on $h^{2,1}$ will be different. Since this information is not readily available, we use the basis employed in [66].

Tadpole conjecture II — including axions. We finally want to discuss the case of non-vanishing axions. Having non-zero fluxes \hat{m}^{μ} introduces additional terms, and the modified self-duality equation in F-theory reads

$$m^{L}e_{\alpha} - \frac{1}{2}\mathcal{K}_{L\alpha\beta\gamma}\hat{m}^{\beta}\hat{m}^{\gamma} = (m^{L})^{2} \left(\frac{1}{6}\mathcal{K}_{L}\partial_{\alpha}\left(\frac{f}{4\mathcal{K}_{L}}\right) - \frac{9K_{L}^{(3)}\mathcal{K}_{L\alpha}}{8\mathcal{K}_{L}}\right).$$
 (5.43)

For the type IIB limit we set f = 0, and together with the first relation in (5.35) the self-duality condition can be expressed as

$$e_{L} = \left(-\frac{1}{6} \frac{\mathcal{K}_{L}}{y_{L}} - \frac{K_{L}^{(3)} \zeta(3)}{64\pi^{3} y_{L}} \right) m^{L}, \quad m^{L} e_{\alpha} - \frac{1}{2} \mathcal{K}_{L\alpha\beta\gamma} \hat{m}^{\beta} \hat{m}^{\gamma} = -\frac{9K_{L}^{(3)} \mathcal{K}_{L\alpha} (m^{L})^{2}}{8\mathcal{K}_{L}}.$$
(5.44)

We now want to repeat our reasoning from above, for which we have to distinguish two cases:

• We first consider the case in which the combination $m^L e_{\alpha} - \frac{1}{2} \mathcal{K}_{L\alpha\beta\gamma} \hat{m}^{\beta} \hat{m}^{\gamma}$ appearing in the second relation of (5.44) is generic, in particular, for large m^L this combination is a (half-)integer of order m^L (or larger). Using then the scaling (5.40), we conclude again that

$$m^L \sim (h^{2,1})^2 \implies N_{\text{flux}} \sim (h^{2,1})^2,$$
 (5.45)

as in our discussion for vanishing axions. Hence, also in this situation the tadpole conjecture is satisfied.

• As a second case we consider a situation where the combination $m^L e_{\alpha} - \frac{1}{2} \mathcal{K}_{L\alpha\beta\gamma} \hat{m}^{\beta} \hat{m}^{\gamma}$ is fine-tuned to a non-vanishing $\mathcal{O}(1)$ half-integer. Here we only need to require a linear scaling of m^L with $h^{2,1}$, which leads to

$$m^L \sim h^{2,1} \qquad \Longrightarrow \qquad N_{\text{flux}} \sim h^{2,1} \,. \tag{5.46}$$

The scaling behaviour of the tadpole conjecture is therefore satisfied also in this non-generic situation.

6 Conclusions

In this work we have presented a novel strategy for systematically stabilizing complexstructure moduli in Type IIB and F-theory flux compactifications. For generic regions in field space the underlying scalar potential is known to be a complicated transcendental function of the complex-structure moduli. However, a remarkably universal structure emerges when we move towards an asymptotic regime, i.e. when we approach the boundaries of the moduli space. Motivated by key results from asymptotic Hodge theory, we laid out an approximation scheme to study flux vacua in such settings. This procedure approximates the dependence on the moduli in three steps, which are given by: (1) the sl(2)-approximation, (2) the nilpotent orbit approximation, and (3) the full series of exponential corrections. The first two steps both drop exponential corrections to the scalar potential, therefore yielding a system of algebraic extremization conditions for the moduli. In fact, in the sl(2)-approximation the self-duality condition reduces even further to a simple set of polynomial equations. By iterating through these degrees of approximation and improving the moduli vevs at each step, we turn moduli stabilization into an organized procedure where the complicated dependence on the moduli has been broken down systematically.

It is important to stress that our approach can be realized in every asymptotic regime and generally we find that both approximations (1) and (2) are non-trivial. In particular, determining the nilpotent orbit approximation (2) might be familiar for the large complex structure regime, where exponential corrections nicely decouple. However, this decoupling does not happen on most other boundaries and dropping exponential corrections becomes a non-trivial step that has to be performed when determining the nilpotent orbit. The derivation of the sl(2)-approximation (1) is non-trivial in essentially all asymptotic regions including the large complex structure regime. For this reason, in section 3 we gave an extensive introduction that explains pedagogically how to construct this sl(2)-approximation step-by-step. Furthermore, in order to exemplify this story, we worked out an explicit twomoduli example in great detail. The goal of this approximation is to derive a representation of $sl(2)^n$ and the boundary Hodge decomposition that arise for a *n*-parameter limit in complex-structure moduli space. In order to do that one has to impose a hierarchy among the complex-structure saxions, and if one restricts attention to this strict asymptotic regime corrections proportional to ratios between the saxions can be dropped. The $sl(2)^n$ representation and the boundary Hodge decomposition can then be computed algorithmically by iterating through the saxion hierarchies. The resulting data allowed us to introduce an sl(2)-approximated version of the Hodge star denoted by $C_{sl(2)}$. It encodes in a simple fashion how the Hodge norm behaves in the strict asymptotic regime. Furthermore, the properties of $C_{\rm sl(2)}$ allow us to write down polynomial conditions that need to be satisfied for flux vacua in this approximation.

The sl(2)-approximation turns the original very complicated problem of solving the flux vacuum conditions into a tractable polynomial task. We explicitly carried out its construction for a number of examples, and showed that the vacua found with this method resemble the vacua found in the nilpotent orbit approximation rather well — even if one imposes only a moderate hierarchy of the saxions. Raising the hierarchy to an $\mathcal{O}(10)$ -factor we found that the location of vacua in approximation (1) and (2) differ only by few percent. This was true in a two-moduli and two three-moduli examples in which we derived the sl(2)-approximation. i.e. both in the large complex-structure and the conifold-LCS regime. Combining these observations with the fact that the approximation (2) and (3) differ only by exponentially suppressed corrections [30], we thus realize that our proposed stabilization scheme gives a favourable numerical approach for finding vacua. It would be very interesting to explore if this gives a novel way to perform moduli stabilizations in settings with many moduli and compare the efficiency with direct searches for vacua. We expect that the step-wise approach reduces the computational complexity (see [69, 70] for an in-depth discussion of the arising challenges). Furthermore, it would be exciting to combine our approach with recent efforts to use machine learning algorithms in studying the string landscape [16, 65, 71-77].

In this work we have also argued that our strategy can be directly extended to F-theory flux compactifications on Calabi-Yau fourfolds. We have given the relevant information needed to generally determine the $sl(2)^n$ -representation and boundary Hodge decomposition which includes the relations in appendix C. To prepare for giving an explicit example, we then specialized to the large complex-structure regime where the defining information of the scalar potential can be given in terms of the topological data of the mirror fourfold. The example that we studied in detail was the Calabi-Yau fourfold geometry considered in [11] and we focused on the realization of the so-called linear moduli stabilization scenario. In order to analyze this geometry from the perspective of asymptotic Hodge theory, we computed the nilpotent orbit and then determined the sl(2)-approximation. We then required the tadpole contribution of the fluxes to remain parametrically finite in the strict asymptotic regime, leading to constraints on the flux quanta matching with [11]. Subsequently we investigated the stabilization of moduli in the various degrees of approximation at our disposal. In the sl(2)-approximation only one combination of saxions was stabilized (while it already fixes all axions), and by systematically including subleading corrections we could check how the remaining flat directions were lifted. In particular, we reaffirmed the importance of the coefficient $K_L^{(3)}$ that is included when going form the sl(2)-approximation to the nilpotent orbit. This correction reduces to the Euler characteristic term in the type IIB case. Restricting to cases in which it vanishes we found sets of flux vacua that are real lines stretching to the boundary.

Based on this analysis of the linear scenario we also made some additional observations related to recent swampland conjectures and finiteness results. Firstly, we noted that describing all vacuum loci in a systematic fashion requires one to go beyond the usual algebro-geometric tools, and instead prompts one to use techniques furnished by tame topology and o-minimality [63]. We argued that, as it has to be the case, the linear scenario satisfies the finiteness theorem of [63]. Secondly, we pointed out that flux vacua being real lines stretching to the boundary provide us with interesting examples that decouple axions from their counterparts, allowing for infinite distance limits that could serve as non-trivial testing grounds for the axionic string conjecture of [37, 43], the convex hull distance conjecture [40], and the claims on supersymmetric protection [64]. Thirdly, we investigated the validity of the tadpole conjecture [13] for the linear scenario. By using statistical arguments for Calabi-Yau three-folds following [14] we were able to confirm that, under certain genericity assumptions, these models are compatible with the tadpole conjecture. These results suggest that the linear scenario (in its type IIB version) provides no inherent structure to generically give counter-examples to the tadpole conjecture — if one aims to find such a counter-example, one would have to perform a thorough search through the available Calabi-Yau databases. It remains an open question whether an explicit counter-example exists.

Let us close by commenting on some further directions that exploit the general nature of our approach. To begin with, we can delve deeper into step (1) of our approximation scheme, the sl(2)-approximation. By using the classification of boundaries one can turn moduli stabilization into an abstract problem: the extremization conditions are a simple set of polynomial equations, and the allowed exponents are fixed by the possible boundary types. We can then determine at which boundaries the sl(2)-approximation stabilizes all moduli. For two-moduli fourfolds this has already been worked out in [4], but a more general investigation is still missing. For step (2) of our scheme, the nilpotent orbit approximation, there is also an abstract approach left unexplored. It has recently been shown that based on [6] the corrections completing the sl(2)-approximation into the nilpotent orbit approximation can also be incorporated systematically by using the holographic perspective put forward in [38, 42]. It would be interesting to study moduli stabilization using the same strategy. Finally, we note that our in our search for flux vacua with approximation steps (1) and (2) we have only included polynomial corrections and essential exponential corrections. Finding exact vacua including the whole series of exponential corrections remains a challenging open task. Luckily, in the asymptotic regime, we can judge how close we are to the full answer due to the existence of the outlined approximation scheme.

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A Data on flux-families

The flux choices for the three families considered in section 4.2 are of the following general form

| family 1: | $h^{I} = (0, 0, 2),$ | $h_I = \left(\begin{array}{cc} h_0, & 0 \end{array} \right),$ | $f^{I} = (1, 0, 0),$ | $f_I = (0, 3, f_2),$ |
|-----------|----------------------|--|-----------------------|--------------------------|
| family 2: | $h^{I} = (0, 0, 0),$ | $h_I = (h_0, -1, h_2),$ | $f^{I} = (-1, 0, 1),$ | $f_I = (0, 0, 0), (A.1)$ |
| family 3: | $h^{I} = (2, 2, 0),$ | $h_I = (0, -3, h_2),$ | $f^{I} = (0, 1, 1),$ | $f_I = (f_0, 4, 3),$ |

where the unspecified flux quanta take the values listed below:

| family | · 1 | fami | ly 2 | fam | ily 3 | | |
|-------------|-----|-------|-------|-------|-------|--|--|
| h_0 f_2 | | h_0 | h_2 | h_2 | f_0 | | |
| -2 | 10 | 2 | -5 | -6 | 4 | | |
| -5 | 14 | 5 | -7 | -8 | 10 | | |
| -10 | 20 | 10 | -10 | -11 | 20 | | |
| -19 | 26 | 19 | -13 | -14 | 38 | | |
| -32 | 34 | 32 | -17 | -18 | 64 | | |
| -51 | 42 | 51 | -21 | -22 | 103 | | |
| -78 | 52 | 78 | -26 | -27 | 156 | | |
| -114 | 62 | 114 | -31 | -32 | 229 | | |
| -162 | 74 | 162 | -37 | -38 | 324 | | |
| -223 | 86 | 223 | -43 | -44 | 446 | | |
| -300 | 100 | 300 | -50 | -51 | 600 | | |
| -396 | 114 | 396 | -57 | -58 | 791 | | |
| -512 | 130 | 512 | -65 | -66 | 1024 | | |
| -653 | 146 | 653 | -73 | -74 | 1305 | | |
| -820 | 164 | 820 | -82 | -83 | 1640 | | |
| -1018 | 182 | 1018 | -91 | -92 | 2036 | | |
| -1250 | 202 | 1250 | -101 | -102 | 2500 | | |
| -1519 | 222 | 1519 | -111 | -112 | 3039 | | |
| -1830 | 244 | 1830 | -122 | -123 | 3660 | | |
| -2186 | 266 | 2186 | -133 | -134 | 4373 | | |
| -2592 | 290 | 2592 | -145 | -146 | 5184 | | |
| -3052 | 314 | 3052 | -157 | -158 | 6104 | | |
| -3570 | 340 | 3570 | -170 | -171 | 7140 | | |
| -4152 | 366 | 4152 | -183 | -184 | 8304 | | |
| -4802 | 394 | 4802 | -197 | -198 | 9604 | | |
| -5526 | 422 | 5526 | -211 | -212 | 11051 | | |
| -6328 | 452 | 6328 | -226 | -227 | 12656 | | |
| -7215 | 482 | 7215 | -241 | -242 | 14430 | | |
| -8192 | 514 | 8192 | -257 | -258 | 16384 | | |
| -9265 | 546 | 9265 | -273 | -274 | 18530 | | |
| -10440 | 580 | 10440 | -290 | -291 | 20880 | | |
| -11724 | 614 | 11724 | -307 | -308 | 23447 | | |
| -13122 | 650 | 13122 | -325 | -326 | 26244 | | |
| -14642 | 686 | 14642 | -343 | -344 | 29284 | | |
| -16290 | 724 | 16290 | -362 | -363 | 32580 | | |
| -18074 | 762 | 18074 | -381 | -382 | 36148 | | |
| -20000 | 802 | 20000 | -401 | -402 | 40000 | | |

(A.2)

(A.4)

The flux choices for the three families considered in section 4.3 are of the following general form

| family 1: | $h^{I} = (0, 0, 0, 1),$ | $h_I = (h_0, 0, 0, 0,$ | 0), $f^{I} = (1, 0, 0, 0)$, | $f_I = (0, 2, f_2, f_3),$ |
|-----------|-------------------------------|-----------------------------|------------------------------------|---------------------------|
| family 2: | $h^{I}=\left(1,0,0,0\right),$ | $h_I = (h_0, -2, h_2, h_3)$ | $_{3}), f^{I} = (1, 0, 0, 1),$ | $f_I = (f_0, 0, 0, 0),$ |
| family 3: | $h^{I}=\left(0,1,0,0\right),$ | $h_I = (h_0, -2, h_2, h_3)$ | $_{3}$), $f^{I} = (1, 0, 0, 1)$, | $f_I = (0, 3, 0, 2),$ |
| | | | | (A.3) |

where the unspecified flux quanta take the values listed below:

| family 1 | | | | fam | ily 2 | family 3 | | | |
|----------|-------------------|-------|----------|-------|--------|----------|----------|-------|--------|
| h_0 | h_0 f_2 f_3 | | h_0 | h_2 | h_3 | f_0 | h_0 | h_2 | h_3 |
| -3 | 2 | 6 | -3 | -2 | -6 | 3 | -3 | -2 | -6 |
| -11 | 3 | 11 | -11 | -3 | -11 | 11 | -11 | -3 | -11 |
| -34 | 4 | 20 | -34 | -4 | -20 | 34 | -34 | -4 | -20 |
| -86 | 6 | 34 | -86 | -6 | -34 | 86 | -86 | -6 | -34 |
| -192 | 8 | 55 | -192 | -8 | -55 | 192 | -192 | -8 | -55 |
| -389 | 10 | 85 | -389 | -10 | -85 | 389 | -389 | -10 | -85 |
| -732 | 12 | 127 | -732 | -12 | -127 | 732 | -732 | -12 | -127 |
| -1298 | 15 | 183 | -1298 | -15 | -183 | 1298 | -1298 | -15 | -183 |
| -2187 | 18 | 256 | -2187 | -18 | -256 | 2187 | -2187 | -18 | -256 |
| -3535 | 21 | 350 | -3535 | -21 | -350 | 3535 | -3535 | -21 | -350 |
| -5515 | 24 | 468 | -5515 | -24 | -468 | 5515 | -5515 | -24 | -468 |
| -8343 | 28 | 613 | -8343 | -28 | -613 | 8343 | -8343 | -28 | -613 |
| -12288 | 32 | 791 | -12288 | -32 | -791 | 12288 | -12288 | -32 | -791 |
| -17679 | 36 | 1004 | -17679 | -36 | -1004 | 17679 | -17679 | -36 | -1004 |
| -24911 | 40 | 1259 | -24911 | -40 | -1259 | 24911 | -24911 | -40 | -1259 |
| -34457 | 45 | 1559 | -34457 | -45 | -1559 | 34457 | -34457 | -45 | -1559 |
| -46875 | 50 | 1910 | -46875 | -50 | -1910 | 46875 | -46875 | -50 | -1910 |
| -62817 | 55 | 2317 | -62817 | -55 | -2317 | 62817 | -62817 | -55 | -2317 |
| -83042 | 60 | 2787 | -83042 | -60 | -2787 | 83042 | -83042 | -60 | -2787 |
| -108425 | 66 | 3325 | -108425 | -66 | -3325 | 108425 | -108425 | -66 | -3325 |
| -139968 | 72 | 3937 | -139968 | -72 | -3937 | 139968 | -139968 | -72 | -3937 |
| -178814 | 78 | 4631 | -178814 | -78 | -4631 | 178814 | -178814 | -78 | -4631 |
| -226257 | 84 | 5413 | -226257 | -84 | -5413 | 226257 | -226257 | -84 | -5413 |
| -283755 | 91 | 6290 | -283755 | -91 | -6290 | 283755 | -283755 | -91 | -6290 |
| -352947 | 98 | 7270 | -352947 | -98 | -7270 | 352947 | -352947 | -98 | -7270 |
| -435662 | 105 | 8360 | -435662 | -105 | -8360 | 435662 | -435662 | -105 | -8360 |
| -533936 | 112 | 9569 | -533936 | -112 | -9569 | 533936 | -533936 | -112 | -9569 |
| -650027 | 120 | 10904 | -650027 | -120 | -10904 | 650027 | -650027 | -120 | -10904 |
| -786432 | 128 | 12375 | -786432 | -128 | -12375 | 786432 | -786432 | -128 | -12375 |
| -945899 | 136 | 13990 | -945899 | -136 | -13990 | 945899 | -945899 | -136 | -13990 |
| -1131449 | 144 | 15758 | -1131449 | -144 | -15758 | 1131449 | -1131449 | -144 | -15758 |
| -1346386 | 153 | 17689 | -1346386 | -153 | -17689 | 1346386 | -1346386 | -153 | -17689 |
| -1594323 | 162 | 19792 | -1594323 | -162 | -19792 | 1594323 | -1594323 | -162 | -19792 |
| -1879194 | 171 | 22078 | -1879194 | -171 | -22078 | 1879194 | -1879194 | -171 | -22078 |
| -2205276 | 180 | 24557 | -2205276 | -180 | -24557 | 2205276 | -2205276 | -180 | -24557 |
| -2577205 | 190 | 27239 | -2577205 | -190 | -27239 | 2577205 | -2577205 | -190 | -27239 |
| -3000000 | 200 | 30135 | -3000000 | -200 | -30135 | 3000000 | -3000000 | -200 | -30135 |

The flux choices for the three families considered in section 4.4 are of the following general form,

| family 1: | $h^{I} = (1, 0, 1, 1),$ | $h_I = (3, h_1, 1, h_3),$ | $f^{I} = (0, 1, 0, 0),$ | $f_I = (f_0, f_1, 0, f_3),$ |
|-----------|---------------------------------|-----------------------------|-------------------------------|------------------------------|
| family 2: | $h^{I} = \left(0,0,0,1\right),$ | $h_I = (h_0, h_1, 0, h_3),$ | $f^{I} = (1, 0, 0, 1) ,$ | $f_I = (2, f_1, 1, f_3),$ |
| family 3: | $h^{I}=\left(1,0,0,0\right),$ | $h_I = (h_0, h_1, 1, h_3),$ | $f^{I} = (-1, 1, 1, 1),$ | $f_I = (f_0, f_1, -2, f_3),$ |
| | | | | (A.5) |

where the unspecified flux quanta take the values listed below.

| family 1 | | | | | family 2 | | | | family 3 | | | | | | |
|----------|-------|--------|-------|-------|----------|---------|-------|-------|----------|--------|--------|-------|--------|-------|-------|
| h_1 | h_3 | f_0 | f_1 | f_3 | h_0 | h_1 | h_3 | f_1 | f_3 | h_0 | h_1 | h_3 | f_0 | f_1 | f_3 |
| -5 | -2 | 8 | 5 | 3 | -2 | -4 | -2 | 8 | 5 | 7 | -11 | -6 | 11 | -5 | -2 |
| -12 | -6 | 16 | 8 | 5 | -10 | $^{-8}$ | -4 | 12 | 7 | 19 | -24 | -13 | 19 | -7 | -3 |
| -23 | -11 | 34 | 14 | 8 | -28 | -14 | -7 | 17 | 10 | 42 | -44 | -23 | 38 | -9 | -4 |
| -39 | -19 | 69 | 22 | 12 | -64 | -22 | -12 | 25 | 15 | 84 | -72 | -38 | 75 | -13 | -6 |
| -60 | -30 | 132 | 32 | 18 | -126 | -32 | -18 | 36 | 20 | 156 | -109 | -56 | 138 | -19 | -9 |
| -87 | -43 | 234 | 46 | 25 | -229 | -46 | -25 | 49 | 28 | 270 | -156 | -81 | 243 | -26 | -12 |
| -121 | -60 | 394 | 63 | 34 | -389 | -63 | -34 | 66 | 37 | 445 | -216 | -111 | 405 | -34 | -17 |
| -163 | -81 | 633 | 83 | 45 | -627 | -83 | -45 | 87 | 48 | 702 | -288 | -148 | 646 | -44 | -22 |
| -212 | -106 | 976 | 108 | 59 | -970 | -108 | -58 | 112 | 61 | 1066 | -375 | -192 | 992 | -57 | -28 |
| -271 | -135 | 1454 | 137 | 74 | -1448 | -137 | -73 | 141 | 76 | 1570 | -477 | -244 | 1474 | -71 | -35 |
| -339 | -169 | 2105 | 172 | 92 | -2099 | -172 | -91 | 175 | 94 | 2251 | -597 | -305 | 2128 | -89 | -44 |
| -418 | -209 | 2970 | 211 | 113 | -2964 | -211 | -112 | 215 | 115 | 3151 | -735 | -375 | 2999 | -108 | -54 |
| -508 | -254 | 4100 | 256 | 136 | -4094 | -256 | -136 | 260 | 138 | 4320 | -893 | -454 | 4134 | -131 | -65 |
| -610 | -305 | 5550 | 307 | 163 | -5544 | -307 | -162 | 311 | 165 | 5815 | -1071 | -545 | 5591 | -156 | -78 |
| -725 | -362 | 7385 | 365 | 192 | -7379 | -365 | -192 | 368 | 195 | 7700 | -1272 | -646 | 7433 | -185 | -92 |
| -854 | -426 | 9676 | 429 | 226 | -9670 | -429 | -225 | 433 | 228 | 10047 | -1497 | -760 | 9732 | -217 | -108 |
| -996 | -498 | 12504 | 500 | 263 | -12498 | -500 | -262 | 504 | 265 | 12938 | -1747 | -886 | 12569 | -253 | -126 |
| -1154 | -576 | 15957 | 579 | 303 | -15952 | -579 | -303 | 583 | 306 | 16460 | -2023 | -1025 | 16032 | -292 | -146 |
| -1327 | -663 | 20135 | 666 | 348 | -20129 | -666 | -347 | 669 | 350 | 20714 | -2326 | -1178 | 20221 | -336 | -167 |
| -1517 | -758 | 25146 | 761 | 397 | -25140 | -760 | -396 | 764 | 399 | 25807 | -2658 | -1346 | 25243 | -383 | -191 |
| -1724 | -862 | 31108 | 864 | 450 | -31102 | -864 | -450 | 868 | 452 | 31860 | -3021 | -1528 | 31218 | -435 | -217 |
| -1949 | -974 | 38151 | 977 | 508 | -38145 | -977 | -507 | 980 | 510 | 39002 | -3415 | -1727 | 38275 | -491 | -245 |
| -2193 | -1096 | 46415 | 1099 | 570 | -46410 | -1099 | -570 | 1102 | 573 | 47373 | -3841 | -1942 | 46555 | -552 | -276 |
| -2457 | -1228 | 56054 | 1230 | 638 | -56049 | -1230 | -637 | 1234 | 640 | 57127 | -4302 | -2174 | 56210 | -618 | -308 |
| -2740 | -1370 | 67232 | 1372 | 711 | -67226 | -1372 | -710 | 1376 | 713 | 68429 | -4799 | -2424 | 67406 | -689 | -344 |
| -3045 | -1522 | 80126 | 1524 | 788 | -80120 | -1524 | -788 | 1528 | 791 | 81456 | -5332 | -2692 | 80318 | -765 | -382 |
| -3371 | -1685 | 94926 | 1688 | 872 | -94920 | -1688 | -871 | 1691 | 874 | 96398 | -5903 | -2980 | 95139 | -847 | -423 |
| -3720 | -1860 | 111836 | 1862 | 961 | -111831 | -1862 | -961 | 1866 | 963 | 113462 | -6513 | -3287 | 112071 | -934 | -466 |
| -4092 | -2046 | 131076 | 2048 | 1056 | -131070 | -2048 | -1056 | 2052 | 1058 | 132864 | -7165 | -3614 | 131334 | -1027 | -513 |
| -4488 | -2244 | 152876 | 2246 | 1157 | -152871 | -2246 | -1157 | 2250 | 1159 | 154838 | -7858 | -3963 | 153159 | -1126 | -562 |
| -4909 | -2454 | 177486 | 2457 | 1264 | -177480 | -2457 | -1264 | 2460 | 1267 | 179632 | -8594 | -4333 | 177795 | -1231 | -615 |
| -5356 | -2677 | 205167 | 2680 | 1378 | -205162 | -2680 | -1378 | 2684 | 1381 | 207508 | -9376 | -4726 | 205505 | -1343 | -671 |
| -5828 | -2914 | 236200 | 2916 | 1499 | -236194 | -2916 | -1498 | 2920 | 1501 | 238748 | -10203 | -5142 | 236567 | -1461 | -730 |
| -6328 | -3163 | 270879 | 3166 | 1626 | -270873 | -3166 | -1625 | 3170 | 1628 | 273645 | -11077 | -5581 | 271277 | -1586 | -792 |
| -6855 | -3427 | 309516 | 3430 | 1760 | -309510 | -3430 | -1759 | 3433 | 1762 | 312513 | -12000 | -6045 | 309947 | -1718 | -858 |
| -7411 | -3705 | 352442 | 3707 | 1901 | -352436 | -3707 | -1901 | 3711 | 1904 | 355682 | -12973 | -6534 | 352908 | -1857 | -928 |
| -7996 | -3998 | 400004 | 4000 | 2050 | -399998 | -4000 | -2050 | 4004 | 2052 | 403500 | -13997 | -7048 | 400506 | -2003 | -1001 |
| | | | | | | | | | | | | | | | (A.6) |

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B Sl(2)-approximation for linear scenario example

In this section we summarize the relevant building blocks for the sl(2)-approximated Hodge star (3.53). This consists of the weight and lowering operators H_i, N_i^- of the $\mathfrak{sl}(2)$ -triples, and the boundary Hodge star C_{∞} . The weight operators are given by



(B.1)

The lowering operators are given by

Finally, the Hodge star operator of the boundary Hodge structure is given by

0 0 0 0 0 0 0 0

 $0 \quad 0 \quad 0 \quad 0 \quad 0 \quad 0$

0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0

0 0 0 0 0 0 0

0 0 0 0 0 0 0 0

0 0

0

C Operator relations for Calabi-Yau fourfolds

In the construction of the sl(2)-approximation two operators η and ζ appear, which can be expressed componentwise in terms of δ with respect to the decomposition (3.39). In this appendix we summarize these relations for Calabi-Yau fourfolds. For the purposes of this work we only need ζ , but the expressions for η are included for completeness.

Before we state these relations, let us briefly recall how η and ζ are fixed in terms of δ . The main relation between η , ζ and δ is given by [6]

$$e^{i\delta} = e^{\zeta} \left(1 + \sum_{k \ge 1} P_k(C_2, \dots, C_{k+1}) \right),$$
 (C.1)

where the polynomials $P_k(C_2, \ldots, C_{k+1})$ are defined recursively as

$$P_0 = 1, \qquad P_k = -\frac{1}{k} \sum_{j=1}^k P_{k-j} C_{j+1},$$
 (C.2)

and coefficients C_k are given in terms of η as

$$C_{k+1}(\eta) = i \sum_{p,q} b_{p-1,q-1}^{k-1} \eta_{-p,-q}, \qquad (1-x)^p (1+x)^q = \sum_{p,q,k} b_{p,q}^k x^k.$$
(C.3)

Solving (C.1) componentwise for $\zeta_{-p,-q}$ and $\eta_{-p,-q}$ in terms of $\delta_{-r,-s}$ is still a rather nontrivial task. In the mathematics literature [8] this was solved for the components of ζ for threefolds.¹⁶ These results were extended in [42], where the components of η were given in the threefold case. Following the strategy of these works [8, 42], we proceed and derive the componentwise expressions for η and ζ for fourfolds. We refer to these articles for a more detailed explanation on how to work out these operator relations. We can express ζ componentwise in terms of δ as

$$\begin{split} \zeta_{-1,-1} &= \zeta_{-2,-2} = 0, \quad \zeta_{-1,-2} = -\frac{i}{2} \delta_{-1,-2}, \quad \zeta_{-1,-3} = -\frac{3i}{4} \delta_{-1,-3}, \quad \zeta_{-1,-4} = -\frac{7i}{8} \delta_{-1,-4}, \\ \zeta_{-2,-3} &= -\frac{3i}{8} \delta_{-2,-3} + \frac{1}{8} [\delta_{-1,-2}, \delta_{-1,-1}], \qquad \zeta_{-2,-4} = -\frac{5i}{8} \delta_{-2,-4} + \frac{1}{4} [\delta_{-1,-3}, \delta_{-1,-1}], \\ \zeta_{-3,-3} &= \frac{1}{8} [\delta_{-2,-2}, \delta_{-1,-1}], \\ \zeta_{-3,-4} &= -\frac{5i}{16} \delta_{-3,-4} + \frac{3}{16} [\delta_{-2,-3}, \delta_{-1,-1}] + \frac{3}{16} [\delta_{-1,-3}, \delta_{-2,-1}] + \frac{i}{48} [\delta_{-1,-1}, [\delta_{-1,-1}, \delta_{-1,-2}]], \\ \zeta_{-4,-4} &= \frac{3}{16} [\delta_{-3,-3}, \delta_{-1,-1}] + \frac{3}{32} [\delta_{-3,-2}, \delta_{-1,-2}] + \frac{3}{32} [\delta_{-2,-3}, \delta_{-2,-1}] + \frac{i}{32} [\delta_{-1,-1}, [\delta_{-2,-1}, \delta_{-1,-2}]], \\ (C.4) \end{split}$$

¹⁶Furthermore, general expressions for the components $\zeta_{-p,-q}$ and $\eta_{-p,-q}$ in terms of $\delta_{-p,-q}$ were derived, modulo commutators of $\delta_{-r,-s}$ $(r \leq p \text{ and } s \leq q)$ left undetermined.

while η is expressed componentwise as

$$\begin{split} \eta_{-1,-1} &= -\delta_{-1,-1} \,, \qquad \eta_{-1,-2} = -\delta_{-1,-2} \,, \qquad \eta_{-1,-3} = -\frac{3}{4} \delta_{-1,-3} \,, \qquad \eta_{-1,-4} = -\frac{1}{2} \delta_{-1,-4} \,, \\ \eta_{-2,-2} &= -\frac{3}{2} \delta_{-2,-2} \,, \qquad \qquad \eta_{-2,-3} = -\frac{3}{2} \delta_{-2,-3} + \frac{i}{2} [\delta_{-1,-1}, \delta_{-1,-2}] \,, \\ \eta_{-2,-4} &= -\frac{5}{4} \delta_{-2,-4} + \frac{5i}{8} [\delta_{-1,-1}, \delta_{-1,-3}] \,, \qquad \eta_{-3,-3} = -\frac{15}{8} \delta_{-3,-3} + \frac{5i}{4} [\delta_{-2,-1}, \delta_{-1,-2}] \,, \\ \eta_{-3,-4} &= -\frac{15}{8} \delta_{-3,-4} + \frac{3i}{8} [\delta_{-1,-1}, \delta_{-2,-3}] \,, \\ &+ \frac{3i}{2} [\delta_{-2,-1}, \delta_{-1,-3}] + \frac{3i}{4} [\delta_{-2,-2}, \delta_{-1,-2}] + \frac{1}{8} [\delta_{-1,-1}, [\delta_{-1,-1}, \delta_{-1,-2}]] \,, \\ \eta_{-4,-4} &= -\frac{35}{16} \delta_{-4,-4} + \frac{63i}{32} [\delta_{-3,-1}, \delta_{-1,-3}] + \frac{21i}{16} [\delta_{-3,-2}, \delta_{-1,-2}] + \frac{21i}{16} [\delta_{-2,-1}, \delta_{-2,-3}] \,, \\ &+ \frac{7}{48} [\delta_{-1,-1}, [\delta_{-1,-1}, \delta_{-2,-2}]] + \frac{7}{24} [\delta_{-2,-1}, [\delta_{-1,-1}, \delta_{-1,-2}]] + \frac{7}{24} [\delta_{-1,-2}, [\delta_{-1,-1}, \delta_{-2,-1}]] \,. \end{split}$$
(C.5)

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