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AdS asymptotic symmetries from CFT mirrors

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ABSTRACT: We study Kac-Moody asymptotic symmetries and memory effects in $AdS_4^{Poincare}$ gauge theory and (when accompanied by 4D gravity) in its holographic CFT₃ dual. While such infinite-dimensional symmetries are absent in standard asymptotic analyses of AdS_4 , we show how they arise with alternate AdS boundary conditions. In the 3D holographic description, these alternate boundary conditions correspond to a modified \widetilde{CFT}_3 obtained by Chern-Simons gauging of the CFT_3 dual defined by standard boundary conditions, so that Kac-Moody symmetries then follow from the familiar Chern-Simons/Wess-Zumino-Witten correspondence. Apart from their own intrinsic interest, in abelian AdS_4 gauge theories these alternate boundary conditions are equivalent to standard boundary conditions imposed on electric-magnetic dual variables. In the holographic description this corresponds to 3D "mirror" symmetries connecting the original and modified CFTs. Further, in both abelian and non-abelian theories we show that the alternative/ CFT_3 theory emerges at leading order in large Chern-Simons level from the correlators of the standard theory, upon incorporating large-wavelength limits in the holographically emergent dimension. We point out similarities and differences between 4D AdS and Minkowski gauge theories in their asymptotic symmetries, "soft" limits and memory effects.

KEYWORDS: AdS-CFT Correspondence, Chern-Simons Theories, Conformal Field Theory

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1 Introduction

In gravitational and gauge theories, asymptotic symmetries (AS) are a global remnant of large diffeomorphisms and gauge transformations which act non-trivially on physical data at spacetime infinity. The classic example of infinite-dimensional AS, and in many ways the best understood and applied, is that of (quantum) General Relativity (GR) in asymptotically 3D Anti-de Sitter (AdS₃) spacetime. The analysis of Brown and Henneaux [1] uncovered Virasoro symmetries which presaged, and were ultimately elegantly incorporated into, the AdS_3/CFT_2 correspondence, translating into the implications of 2D conformal invariance and unitarity. The Virasoro structure and central charges, with modular invariance, led to a precise microscopic account [2] of the Bekenstein-Hawking entropy of AdS₃ Schwarzschild black holes, dual to the CFT_2 Cardy formula [3]. There is an ongoing program of exploiting this symmetry structure to address more detailed aspects of black hole information puzzles [4, 5]. In a similar vein to these gravitational asymptotic symmetries, 3D Chern-Simons (CS) gauge theories display infinite-dimensional Kac-Moody (KM) asymptotic symmetries with central extensions, reflecting 2D Wess-Zumino-Witten (WZW) current algebras via the technically simpler CS/WZW correspondence [6–9].

In higher dimensions the situation is intriguing, but less well understood. The primordial example is provided by the infinite-dimensional BMS "supertranslations" of GR in asymptotically 4D Minkowski spacetime (Mink₄) [10, 11], later extended to include Virasoro-type "superrotations" [12, 13], and Kac-Moody asymptotic symmetries from 4D gauge theory [14-17]. However, the symmetry algebras have appeared without central extensions, ordinarily required by unitarity in lower-dimensional contexts. There are new deep aspects in 4D, unifying asymptotic symmetries with soft limits of gravitons and gauge bosons, and with in-principle physical gravitational and gauge "memory" effects (see ref. [18] for a review and extensive list of references). There are also hopes of applying AS to help understand black hole information [19-23], although this is still under debate [24-29]. The asymptotic symmetries can be shown to derive from 2D current algebras "living" on the celestial sphere, but it is unclear what the precise connection is between this structure and some form of holography in Minkowski spacetime. One hint comes from an intermediate step between 4D and 2D: the soft limit of gravitational and gauge fields renders them effectively 3-dimensional, in a more nuanced generalization of the trivial loss of the time dimension in the *static* limit. In particular, some of the soft fields take the form of 3D GR and CS [30], with close ties to the AdS_3/CFT_2 and CS/WZW correspondences [6–9].

In order to explore the connection of 4D asymptotic symmetries to holography, ref. [31] turned to the study of asymptotic symmetries in (portions of) AdS₄, taking advantage of the well-established AdS₄/CFT₃ correspondence. In this context, there is a natural way to include 3D (conformal) GR and CS, by simply having them gauge the holographic CFT₃ at the outset. Applying 3D (conformal) GR and CS (+ CFT₃ "matter") analyses then yields a set of infinite-dimensional asymptotic symmetries with central extensions. Even in the limit in which the external 3D GR and CS fields decouple from CFT₃, these asymptotic symmetries remain, but *losing their central extensions* as the price for restricting to CFT correlators with a well-defined decoupling limit. The resulting asymptotic symmetries closely parallel the supertranslation, superrotation and Kac-Moody asymptotic symmetries of Mink₄.

In this paper, we continue the study of asymptotic symmetries in the context of AdS_4/CFT_3 . We restrict our attention to gauge theory in the Poincaré patch of AdS_4 for technical and conceptual simplicity, with 4D GR only an incidental presence needed for duality with CFT₃. Within this framework, we will identify different but interconnected ways in which Kac-Moody asymptotic symmetries arise. Most directly we extend the approach of ref. [31] to the Poincaré patch, with CS-gaugings of the holographic CFT defining new \widetilde{CFTs} , and the canonical CS structure leading to Kac-Moody asymptotic symmetries with finite central extensions. The AdS dual of the modified \widetilde{CFT} shares the same 4D dynamics as the AdS dual of the original CFT, but with the former having an

alternate set of AdS boundary conditions [32](particular to 4D). This is key to evading no-go arguments [33, 34] for infinite-dimensional asymptotic symmetries in $AdS_{d>3}$.

In the case of *abelian* gauge/global symmetries of AdS_4/CFT_3 , we can make a stronger statement because the original CFT and the \widetilde{CFTs} are connected by $SL(2, \mathbb{Z})$ "mirror" symmetry [32]. From the AdS_4 viewpoint, this $SL(2, \mathbb{Z})$ is associated to electric-magnetic duality, which relates the standard boundary conditions to alternate boundary conditions. In this sense, Kac-Moody asymptotic symmetries structure already resides in the standard AdS_4/CFT_3 construction, albeit applied in suitable electric-magnetic/mirror dual variables.

For both abelian and non-abelian theories, there is another way in which we will show that the standard AdS_4/CFT_3 theory contains the "seeds" of the alternate/ \widetilde{CFT} theory, namely by taking gauge-boson long-wavelength limits in the holographically emergent dimension within ∂AdS_4 correlators. We show that this "holographic soft limit" of the standard theory yields the correlators and Kac-Moody asymptotic symmetries of the alternate theory to leading order in the CS level, closely matching and adding physical significance to the decoupling limit AS analysis of ref. [31]. Paralleling the connections in Mink₄ between asymptotic symmetries, soft limits and memory effects, we will show in AdS₄ abelian gauge theory that the KM asymptotic symmetries and holographic soft limits are closely connected to "magnetic" gauge memory effects.

The paper is organized as follows. In section 2, we introduce gauge theory in the Poincaré patch of AdS_4 , standard and alternate boundary conditions, and their holographic translations in terms of CFT_3 and $\widetilde{CFT}_3 \equiv CS + CFT_3$, respectively. In section 3 we derive the Kac-Moody asymptotic symmetries of the alternate AdS_4/\widetilde{CFT}_3 theory from its canonical CS structure. In section 4, we restrict to abelian theories and point out the passage from standard AdS_4/CFT_3 to alternate AdS_4/\widetilde{CFT}_3 , and hence Kac-Moody asymptotic symmetries, via electric-magnetic/mirror duality. In section 5, we derive another passage from standard AdS_4/CFT_3 to alternate AdS_4/\widetilde{CFT}_3 in abelian theories, this time by introducing the "holographic soft limit" in its simplest form. In section 6 we generalize this soft limit analysis to non-abelian gauge theories in AdS_4 , involving more careful treatment of multiple soft external lines. In section 7 we describe (abelian) magnetic memory effects in standard AdS_4/CFT_3 and give their holographic interpretation and connections to Kac-Moody asymptotic symmetries structure and soft limits. We provide our conclusions in section 8, including several parallels and contrasts between the AdS_4 and $Mink_4$ asymptotic symmetry analyses.

2 AdS₄ gauge theory, boundary conditions and holography

We describe the Poincaré patch of AdS_4 by coordinates $X^M \equiv (t, x, y, z)$ and metric,

$$ds_{\text{AdS}_4}^2 = \frac{dt^2 - dx^2 - dy^2 - dz^2}{z^2}, \ z > 0,$$
(2.1)

where we work in units of the AdS radius of curvature. Its boundary, $\partial \text{AdS}_4 \equiv \text{Mink}_3$, is at z = 0, with 3D coordinates $x^{\mu} \equiv (t, x, y)$. We consider AdS dynamics of the form,

$$\mathcal{L}_{\mathrm{AdS}_4} = -\frac{1}{2g^2} \operatorname{Tr} \mathcal{F}_{MN} \mathcal{F}^{MN} + \frac{\theta}{16\pi^2} \operatorname{Tr} \mathcal{F}_{MN} \widetilde{\mathcal{F}}^{MN} + \mathcal{A}^a_M \mathcal{J}^{Ma} + \cdots, \qquad (2.2)$$

where $\mathcal{A}_M \equiv \mathcal{A}_M^a t^a$ is a 4D gauge field with field strength $\mathcal{F}_{MN} \equiv \mathcal{F}_{MN}^a t^a$, \mathcal{J}_M^a is the 4D current due to gauge-charged matter, t^a are the generators of gauge group, normalized as Tr $t^a t^b = \delta^{ab}/2$, and the ellipsis includes the 4D matter Lagrangian as well as 4D quantum gravity. We will not explicitly need the details of quantum gravity in this paper, but with it the AdS₄ theory has a CFT₃ holographic dual on Mink₃, which we will invoke (see refs. [35, 36] for a review).

2.1 Standard "Dirichlet" boundary conditions

The standard AdS_4 boundary condition (b.c.) is

$$\mathcal{A}^a_\mu(x^\nu, z) \xrightarrow[z \to 0]{} \mathcal{A}^a_\mu(x^\nu) , \qquad (2.3)$$

where $A^a_{\mu}(x^{\nu})$ is the source for the dual CFT₃ conserved global current, $J^a_{\mu}(x^{\nu})$. The 4D θ -term introduces a subtlety, seen by the decomposition,

$$\theta = \bar{\theta} + 2\pi\kappa, \ \bar{\theta} \in [0, 2\pi), \ \kappa \in \mathbb{Z}.$$
(2.4)

4D bulk physics only depends on the angle $\bar{\theta}$ as usual. For simplicity, in this paper we restrict attention to $\bar{\theta} = 0$. However, given the total derivative nature of the θ -term, κ survives as a ∂AdS_4 action for the source A_{μ} ,

$$\mathcal{L}_{\text{Mink}_3} = \mathcal{L}_{\text{CFT}_3} + A^a_\mu J^{\mu a} + \frac{\kappa}{4\pi} \,\epsilon^{\mu\nu\rho} \,\text{Tr}\left(A_\mu \partial_\nu A_\rho + \frac{2}{3} A_\mu A_\nu A_\rho\right).$$
(2.5)

This gives extra contact terms, consistent with 3D conformal invariance, in multi-current correlators at coincident points [32]. For example,

$$\left\langle T\left\{J^{a}_{\mu}(x)J^{b}_{\nu}(x')\cdots\right\}\right\rangle \supset \kappa \epsilon_{\mu\nu\rho}\delta^{ab}\partial^{\rho}\delta^{3}(x-x')\left\langle\cdots\right\rangle.$$
 (2.6)

For vanishing source, A = 0, the boundary condition takes the "Dirichlet" (D) form $\mathcal{A}_{\mu}(x^{\nu}, z) \xrightarrow[z \to 0]{} 0$, or more gauge-invariantly,

$$\mathcal{F}^{a}_{\mu\nu}(x^{\nu},z) \xrightarrow[z\to 0]{} 0, \qquad (2.7)$$

since the 3D dual description is also gauge-invariant if we transform the source A_{μ} as a background 3D gauge field.

2.2 CS-gauged CFT₃ and alternate boundary conditions

We define a modified \widetilde{CFT}_3 by simply elevating the source A_{μ} above to a fully dynamical field with the same action, eq. (2.5). The κ terms no longer represent contact terms for

global current correlators of CFT₃, but rather a CS action for A_{μ} , which then gauges the CFT₃ current J_{μ} . Schematically, $\widetilde{\text{CFT}}_3 = \text{CS} + \text{CFT}_3$.

The AdS₄ dual of CFT₃ is given by the same bulk dynamics as for the original CFT₃ but with an alternate boundary condition [32]. A large set of gauge-invariant boundary conditions respecting the AdS₄ isometries (3D conformal invariance) exist because one can replace the "Dirichlet" vanishing of $\mathcal{F}_{\mu\nu}$ at the boundary by vanishing of a more general linear combination of $\mathcal{F}_{\mu\nu}$ and $\tilde{\mathcal{F}}_{\mu\nu}$. We see that the CS equations of motion corresponding to the action of eq. (2.5) is matched by alternate boundary condition of the form,

$$\frac{\kappa}{2\pi} \mathcal{F}_{\mu\nu} + \frac{1}{g^2} \widetilde{\mathcal{F}}_{\mu\nu} \xrightarrow[z \to 0]{} 0, \qquad (2.8)$$

because of the standard holographic matching

$$2\widetilde{\mathcal{F}}_{\mu\nu} \equiv \epsilon_{\mu\nu\rho z} \mathcal{F}^{z\rho} \xrightarrow[z \to 0]{} 2g^2 \epsilon_{\mu\nu\rho} J^{\rho}.$$
(2.9)

In the simplest case, $\kappa = 0$, the alternate boundary condition is just a gauge invariant version of "Neumann" (N) boundary condition:

$$2\widetilde{\mathcal{F}}_{\mu\nu} \equiv \epsilon_{\mu\nu\rho z} \mathcal{F}^{z\rho} \xrightarrow[z \to 0]{} 0 , \qquad (2.10)$$

as is clear in axial gauge $\mathcal{A}_z^a = 0$,

$$\mathcal{F}_{z\rho} = \partial_z \mathcal{A}_\rho \xrightarrow[z \to 0]{} 0.$$
 (2.11)

3 Kac-Moody AS from CS structure

In this section we consider the above AdS_4 gauge theory (+ quantum gravity) with alternate boundary condition, or equivalently in 3D, $\widetilde{\operatorname{CFT}}_3 \equiv \operatorname{CS} + \operatorname{CFT}_3$, with level κ . 3D CS gauge theory coupled to matter (provided here by CFT_3) describes relativistic (non)-abelian Aharanov-Bohm type effects between separated charges (e.g. see ref. [37] for a review), thereby providing charged matter with quantum "topological hair". This is manifest already in the CS Gauss Law constraint (A_0^a equation of motion),

$$\frac{\kappa}{2\pi} F^a_{xy} = J^a_0 , \qquad (3.1)$$

where $F^a_{\mu\nu}$ is the field strength of A. Outside the support of the charge density J_0 , $F_{xy} = 0$, but spatial Wilson loops (as seen by test charges) here are non-trivial when enclosing charge J_0 , as in figure 1.

Related to the topological nature of their Aharanov-Bohm effects, CS structure on 3D spacetimes with a 2D boundary can be mapped to WZW 2D current algebras, exhibiting Kac-Moody asymptotic symmetries at the 2D boundary [6–9]. In the present context however, CS lives on Mink₃, with no finite 2D boundary. But from the canonical viewpoint the state wavefunctional, Ψ , at some fixed time, say t = 0, does exhibit Euclidean signature WZW/KM structure on the spatial x - y plane at that time, the relevant Ward identities supplied by Gauss' Law [6]. One can think of $\Psi(t = 0)$ as given by a CS + CFT₃ path integral on the earlier half of Mink₃, t < 0, a spacetime with 2D boundary t = 0.

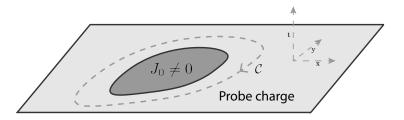


Figure 1. Non-trivial Wilson loops C enclosing charge density, giving rise to Aharanov-Bohm type effects on test charges.

3.1 Gauss law constraints on canonical CS fields

To review this, we introduce complex coordinates,

$$u \equiv x + iy, \quad \bar{u} \equiv x - iy, \tag{3.2}$$

in which Gauss' Law (A_0 equation of motion) reads

$$\left(\partial_{\bar{u}}j^a - 2i\kappa\,\partial_u A^a_{\bar{u}} - f^{abc}j^b A^c_{\bar{u}}\right)\Psi[A_{\bar{u}}] = 2\pi J^a_0\Psi[A_{\bar{u}}].\tag{3.3}$$

To explain our notation, from eq. (2.5) we see from the CS Lagrangian that (after integrating out A_0^a) A_u and $A_{\bar{u}}$ are canonically conjugate. Here, we choose to work in $A_{\bar{u}}$ field-space, and denote a (non-canonically normalized, for later convenience) conjugate field-momentum by

$$j^{a}(u,\bar{u}) \equiv i\pi \frac{\partial \mathcal{L}_{\rm CS}}{\partial \dot{A}^{a}_{\bar{u}}} = 2i\kappa A^{a}_{u}.$$
(3.4)

The wavefunctional Ψ is taken to depend on $A_{\bar{u}}$ (coherent state representation) and the CFT fields. At the quantum level the conjugate field-momentum is then given by

$$j^{a}(u,\bar{u}) = i\pi \frac{\delta}{\delta A^{a}_{\bar{u}}(u,\bar{u})},$$
(3.5)

The quantum Gauss' Law has the form of a functional differential equation that effectively determines the $A_{\bar{u}}$ -dependence of the wavefunctional in terms of the matter CFT state.

3.2 Holomorphic 2D WZW current and KM symmetry from CS

For simplicity, we begin by exploring Ψ at $A_{\bar{u}} = 0$ and for the special case of the CFT state consisting only of pointlike disturbances at t = 0,

$$\Psi \propto \prod_{n} \mathcal{O}_{n}(u, \bar{u}) \left| 0 \right\rangle \,, \tag{3.6}$$

where the \mathcal{O} are local operators. We discuss more general $A_{\bar{u}}$ below, and more general CFT states in the next subsection.

For the special state above, Gauss' Law reduces to

$$\partial_{\bar{u}} j^a(u,\bar{u}) \Psi[A_{\bar{u}}=0] = 2\pi \sum_{\alpha=1}^n T^a_{(\alpha)} \delta^2(u-u_\alpha) \Psi[A_{\bar{u}}=0], \qquad (3.7)$$

where $T^a_{(\alpha)}$ is the representation of the (non-)abelian generator acting on the particular local CFT operator $\mathcal{O}_{\alpha}(u_{\alpha}, \bar{u}_{\alpha})$, giving its charge. This equation can be integrated¹ to give

$$j^{a}(u,\bar{u})\Psi[A_{\bar{u}}=0] = \sum_{\alpha} \frac{T^{a}_{(\alpha)}}{u-u_{\alpha}}\Psi[A_{\bar{u}}=0], \qquad (3.8)$$

using the identity $\partial_{\bar{u}} (1/(u-u_{\alpha})) = 2\pi \,\delta^2 (u-u_{\alpha})$. From this we can then extract a 2D "OPE", matching that of a standard holomorphic WZW current with a charged operator in 2D Euclidean field theory (e.g. see ref. [38] for a review),

$$j^{a}(u,\bar{u})\mathcal{O}_{\alpha}(u_{\alpha},\bar{u}_{\alpha}) \xrightarrow[u \to u_{\alpha}]{} \frac{T^{a}_{(\alpha)}\mathcal{O}_{\alpha}(u_{\alpha},\bar{u}_{\alpha})}{u-u_{\alpha}}.$$
 (3.9)

Next, we begin with non-vanishing $A_{\bar{u}}$ and act on Gauss' Law with the operator $j^b(u', \bar{u}') \equiv i\pi \delta/\delta A^b_{\bar{u}}(u', \bar{u}')$, and only then set $A_{\bar{u}} = 0$:

$$\left[\kappa \,\partial_u \delta^2(u-u')\delta^{ab} + \frac{1}{2\pi}\partial_{\bar{u}}j^a j^{b'} - \frac{i}{2}f^{abc}\delta^2(u-u')j^c\right]\Psi = j^b(u',\bar{u}')J^a_0(u,\bar{u})\Psi. \quad (3.10)$$

We consider u away from any CFT local operators at u_{α} (within Ψ), so the right-hand side is non-singular in u - u'. The left-hand side can again be integrated, using the identity $-\partial_{\bar{u}} \left(1/(u - u')^2 \right) = \partial_{\bar{u}} \partial_u \left(1/(u - u') \right) = 2\pi \partial_u \delta^2(u - u')$, to give the jj' OPE,

$$j^{a}(u,\bar{u})j^{b}(u',\bar{u}') \xrightarrow[u\to u']{} \frac{\kappa}{(u-u')^{2}}\delta^{ab} + \frac{if^{abc}}{2(u-u')}j^{c}.$$

$$(3.11)$$

Choosing u' = 0 the 2D holomorphic current can be expanded in a Laurent expansion of KM charges

$$j^{a}(u) \equiv \sum_{m} \frac{Q_{m}^{a}}{u^{m+1}},$$
(3.12)

Plugging this into the OPE and interpreting the result in standard 2D Euclidean radial quantization gives the KM symmetry algebra,

$$\left[Q_m^a, Q_n^b\right] = \kappa \, m \, \delta^{ab} \, \delta_{m,-n} + i f^{abc} \, Q_{m+n}^c \,, \qquad (3.13)$$

where the central extension is provided by the CS level κ .

Via AdS_4/CFT_3 duality, we then conclude that with alternate boundary condition, eq. (2.8), this CFT derivation of the Kac-Moody algebra structure translates to AdS_4 gauge theory. So far our derivation focused on the special CFT state with all charged local operators acting on the vacuum at the same time, t = 0, dual to all charged lines in AdS₄ arriving at the boundary at the same time t = 0. Below, we consider more general CFT/AdS states.

¹We are assuming the wavefunctional is a well-behaved function of $A_{\bar{u}}$ at infinity, so that we do not have to include an analytic function of u as integration constant in r.h.s. of eq. (3.8).

3.3 General CFT states and non-holomorphicity of WZW current

More typical CFT states cannot be described by purely local disturbances of the vacuum, created by just local operators at t = 0. Instead, we can think of them as follows. If we consider the CFT to have a large-N type gauge theoretic structure, it will contain CFT-gauge charged "quarks" also transforming under a global symmetry of the CFT, which is then gauged by CS. The state at t = 0 will consist of CFT-gauge singlet combinations of these 3D "quarks" and "gluons", but the quarks in a minimal CFT-singlet will typically not all be localized at a single point, but rather dispersed to some extent in 2D space. From this fundamental CFT₃ perspective, our construction of j will still be a holomorphic current, with simple poles at the locations of the 3D quarks at t = 0, and the entire KM algebra and symmetry structure via Gauss' Law still follows straightforwardly.

However, from the AdS₄ dual perspective individual CFT quarks are not explicitly described, rather the 4D description is an effective "hadronic" description of the different CFT-gauge-singlet combinations of 3D quarks and gluons, in terms of which we only see a "smeared" continuum approximation to the fundamentally pointlike quark CS-charges, with J_0 taking the form of the boundary limit of the 4D transverse electric field. Local CFT/boundary operators can still be used to interpolate the more general states, but they must be allowed to act *before* t = 0 so that their disturbance of the vacuum can spread by t = 0. This is dual to 4D particles created at the boundary at early times having moved off into the bulk of AdS₄ by t = 0.

We illustrate the nature of this smearing in the case of abelian CS symmetry. The discrete sum over CS-charge locations in eq. (3.7) is more generally replaced by the charge density J_0 as in eq. (3.3), so that j in eq. (3.8) is replaced by a "smeared" integral over poles,

$$j = \int d^2 u' \frac{J_0(u', \bar{u}')}{u - u'},$$
(3.14)

rather than the discrete sum of poles that is more familiar from standard CS/WZW contexts. Nevertheless, we know from the CFT quark perspective that the KM symmetry structure is fully intact for general states. Even at the smeared level of description, the meaning of the KM charges can be discerned. For example, if we consider a state at t = 0 with some finite region of support for J_0 , then j is holomorphic outside this region. If the support of J_0 excludes the origin, we can expand for small u,

$$j = -\sum_{n \ge 0} \int d^2 u' \frac{J_0(u', \bar{u}')u^n}{u'^{n+1}},$$
(3.15)

corresponding to KM charges as moments of the charge distribution,

$$Q_n = -\int d^2 u' \frac{J_0(u', \bar{u}')}{u'^n}, \ n < 0.$$
(3.16)

We can also expand for large u compared to the support of J_0 ,

$$j = \sum_{n \ge 0} \int d^2 u' \frac{J_0(u', \bar{u}')u'^n}{u^{n+1}},$$
(3.17)

thereby identifying effective KM charges,

$$Q_n = \int d^2 u' J_0(u', \bar{u}') u'^n, \ n \ge 0.$$
(3.18)

In later sections we will discuss "smeared" KM structure and associated memory effects in the context of ∂AdS_4 correlators with standard Dirichlet boundary conditions, which more closely parallel features of the Mink₄ S-matrix and memory effects. Nevertheless, the above features of KM structure from the canonical wavefunctional viewpoint for (the holographic dual of) alternate boundary conditions are already somewhat reminiscent of Mink₄. The 2D KM current construction in Mink₄ gauge theory, has simple poles at angular locations of charged particles arriving at lightlike infinity, \mathcal{I}^+ . But here too this simple pole structure can be smeared out if the charged particles instead arrive at timelike infinity [17, 18, 39]. However, in Mink₄ the final destination of charged particles is determined by their 4D mass, massless charges automatically arriving at \mathcal{I}^+ and massive charges at timelike infinity. In this sense, the simple pole structure in Mink₄ is more readily arranged, by restricting to a final state with only massless charges. By contrast in AdS₄, the restricted states at t = 0 yielding simple pole structure do not follow automatically by restricting the 4D particle species/masses of the final state.

Amusingly, the holographic perspective reveals that there is indeed a correlation between the mass of charges and the robustness of the simple pole structure of the 2D KM currents, but the correlation is given in terms of 3D holographic masses! Furthermore, it is for the massive case that the simple pole structure is robust and for the massless case that it is not. In CS theories with massive 3D charged species, the restriction to states with a few pointlike charged excitations at t = 0 is automatic given a finite energy "budget", yielding simple-pole structure of j generally. But a CFT₃ consists instead of 3D-massless (and strongly-coupled) "quarks" as discussed above, so a typical state is a collection of indefinite numbers of these "quarks".

4 AS from 4D electric-magnetic duality/3D mirror symmetry

We have seen that alternate AdS_4 boundary condition, dual to the modified CFT_3 , explicitly contains CS and hence CS/WZW-related KM structure. But this analysis seems to exclude the case of standard AdS_4 boundary condition, dual to the isolated original CFT₃. The remainder of this paper is devoted to showing different senses in which even this original unmodified theory does connect to Kac-Moody asymptotic symmetries. In this section, we will show that in the case of *abelian* AdS_4 gauge symmetry there is a full CS and Kac-Moody asymptotic symmetries structure arising from standard boundary condition, when these are imposed on the 4D gauge theory in suitable electric-magnetic dual variables. At the holographic level, this shows how the standard and modified CFTs transform into one another via 3D mirror symmetries.

The most familiar form of electric-magnetic duality arises from the invariance of pure Maxwell theory under

$$\mathcal{F} \to \widetilde{\mathcal{F}}, \ \widetilde{\mathcal{F}} \to -\mathcal{F}.$$
 (4.1)

More precisely, in the presence of charged matter it is described by a discrete duality transformation, S, which acts on states with electric charge ng and magnetic charge $2\pi m/g$ (where n, m are integers for Dirac quantization) according to

$$S(n,m) = (m, -n).$$
 (4.2)

From the viewpoint of the 4D magnetic dual gauge field, \widetilde{A}_M : $\widetilde{F}_{MN} = \partial_M \widetilde{A}_N - \partial_N \widetilde{A}_M$, the roles of the "standard" D and "Neumann" N boundary conditions are exchanged, as is clear from their gauge-invariant forms, eq. (2.7), and eqs. (2.10), (2.11). That is, $D \equiv \widetilde{N}, N \equiv \widetilde{D}$.

Electric-magnetic duality extends to a full $SL(2, \mathbb{Z})$, generated by S and T, where T corresponds to the shift in the CP-violating parameter $\theta \to \theta + 2\pi$, another invariance of the bulk 4D physics. Witten has pointed out that general shifts in θ induce shifts in the spectrum of electric charges of states with non-zero magnetic charge. For the (2π) integer shift of T this Witten effect [40] corresponds to

$$T(n,m) = (n+m,m).$$
 (4.3)

In this way, $SL(2, \mathbb{Z})$ duality exchanges ordinary electric charges with more general dyonic charges (n, m).

As we saw for the S transformation above, the AdS boundary conditions are not invariant under the more general $SL(2, \mathbb{Z})$ transformations, since they pick out the particular type of (n, m) charge whose gauge field is given Dirichlet boundary condition, thereby defining the global current of the dual CFT. The standard boundary condition picks out ordinary electric charges (1, 0) of course. For a general (n, m) the boundary conditions involve an obvious linear combination of the Dirichlet and Neumann boundary conditions,

$$gn\mathcal{F}_{\mu\nu} + \frac{2\pi m}{g}\widetilde{\mathcal{F}}_{\mu\nu} \xrightarrow[z \to 0]{} 0.$$
(4.4)

 $SL(2, \mathbb{Z})$ thereby incarnates as 3D mirror symmetry, transforming between the different CFTs given by these different boundary conditions.

For example, if we first apply the TS transformation to the 4D gauge theory and *then* impose standard boundary conditions, we get Dirichlet boundary condition applied to the gauge field that couples to TS(1,0) = (-1,-1) charges,

$$g\mathcal{F}_{\mu\nu} + \frac{2\pi}{g}\widetilde{\mathcal{F}}_{\mu\nu} \xrightarrow[z \to 0]{} 0.$$
 (4.5)

From the discussion of subsection 2.2, we see that this corresponds to a CS gauging of the original CFT₃, with level $\kappa = 1$.

In this way, $SL(2, \mathbb{Z})$ equates the standard boundary conditions of AdS_4 gauge theory with alternative boundary conditions, which then manifest Kac-Moody asymptotic symmetries as described earlier.

5 Alternate/CFT correlators from "holographic soft limit"

We now turn to the sense in which the standard AdS_4 Dirichlet boundary condition, dual to CFT₃ in isolation, has implicit CS structure and AS in the original "electric" variables once we include a natural $AdS^{Poincare}$ generalization of the notion of "soft limit", applying whether the 4D gauge theory is abelian or non-abelian. This form of CS/AS represents our closest analog of the Mink₄ AS analysis developed in ref. [30], and also builds on the $AdS_4^{Poincare}$ discussion of ref. [31]. We begin with abelian gauge theory for simplicity in this section, and extend to non-abelian gauge theory in the next.

5.1 Fixed helicity ∂AdS_4 correlators

In Mink₄ an S-matrix amplitude with an external photon takes the form,

$$\int_{\text{Mink}_4} d^4 X \mathcal{A}_M \mathcal{J}^M , \qquad \mathcal{A}_M(X) = \epsilon_M^{\pm}(q) e^{iq \cdot X}, \tag{5.1}$$

where \mathcal{J} represents the on-shell current consisting of the rest of the amplitude with amputated photon leg, and $\epsilon_M^{\pm}(q)$ is the polarization vector for \pm helicity, satisfying

$$q^2 = q \cdot \epsilon^{\pm} = \epsilon^{\pm} \cdot \epsilon^{\pm} = 0, \epsilon^{\pm} \cdot \epsilon^{\mp} = 1.$$
(5.2)

In AdS_4 we compute boundary correlators rather than an S-matrix,

$$\int_{\partial \mathrm{AdS}_4} d^3 x A^{\mu}(x) \left\langle T\{J^{\mathrm{CFT}}_{\mu}(x) \cdots \} \right\rangle = \int_{\mathrm{AdS}_4} d^4 X \mathcal{A}_M(X) \mathcal{J}^M(X) \,, \quad \mathcal{A}_{\mu}(x,z) \xrightarrow[z \to 0]{} \mathcal{A}_{\mu}(x) \,, \tag{5.3}$$

where \mathcal{A}_M satisfies the AdS Maxwell's equations. Given the obvious Weyl invariance of the Maxwell action and the Weyl equivalence of AdS₄ to *half* of Mink₄,

$$ds^2_{\text{AdS}_4} \sim dt^2 - dx^2 - dy^2 - dz^2, \quad z > 0,$$
 (5.4)

Mink₄ LSZ wavefunctions for external photons, $\mathcal{A}_M^{\pm}(X) = \epsilon_M^{\pm}(q)e^{iq\cdot X}$, are also valid choices for AdS correlators. This corresponds to a CFT₃ source,

$$A^{\pm}_{\mu}(x) = \epsilon^{\pm}_{\mu}(q)e^{i\hat{q}\cdot x}, \hat{q} \equiv (q_0, q_x, q_y) .$$
 (5.5)

While A, \mathcal{A} are complex, their real and imaginary parts define standard $\partial AdS/CFT$ correlators, and we are just considering their complex superposition.

We choose to work in 4D axial gauge, $\epsilon_z = 0$. It is clear that A's of the above form span all possible sources in Mink₃ with timelike 3-momentum, \hat{q} , given that J is conserved (in momentum space, $\hat{q}.J(\hat{q}) = 0$). We see that 4D helicity for massless photons matches a 3D "helicity" for timelike CFT sources. The different helicity sources satisfy Chern-Simons-Proca (CSP) equations:

$$2\epsilon^{\mu\nu\rho}\partial_{\nu}A_{\rho} = \pm m_3 A^{\mu} , \quad m_3 \equiv q_z, \tag{5.6}$$

for \pm helicity. Here, m_3 is the mass Casimir invariant of Mink₃, that is $m_3^2 = \hat{q}^2 \equiv q_\mu q^\mu$ for momentum eigenstates, so that $m_3 = q_z$ by eq. (5.2). This has a similar structure to the 3D CSP form of helicity-cut Mink₄ S-matrix amplitudes derived in ref. [30], where m_3 was the Casimir invariant of a Euclidean AdS₃ foliation of (a future light cone in) Mink₄.

5.2 The "holographic soft limit" of ∂AdS_4 correlators

In Mink₄, it was shown that the conventional (leading) soft photon limit of amplitudes captured by the Weinberg Soft Theorems, was equivalent to the limit $m_3 \rightarrow 0$. Here, we simply translate the analogous definition of "soft limit" to the AdS₄ context, as vanishing CSP mass, $m_3 \rightarrow 0$, arriving at the (sourceless) CS equation,

$$\epsilon^{\mu\nu\rho}\partial_{\nu}A_{\rho} = 0 , \quad \partial_{\mu}A^{\mu} = 0 .$$
(5.7)

We also effectively have a Lorentz-gauge fixing condition as can be seen by taking the divergence of the CSP eq. (5.6) for $m_3 \neq 0$ followed by $m_3 \rightarrow 0$. This gives rise to a "soft" $\partial AdS/CFT$ correlator, eq. (5.3), where

$$A_{\mu}(x,z) = A_{\mu}(x), \quad A_{z} = 0.$$
 (5.8)

This follows because A is pure gauge in Mink₃ since F = 0 by eq. (5.7), and therefore this \mathcal{A}_M is pure gauge in AdS₄, hence trivially satisfying 4D Maxwell's equations and $\mathcal{A}_{\mu}(x,z) \xrightarrow[z \to 0]{} \mathcal{A}_{\mu}(x).$

From the 4D viewpoint, unlike the standard notion of "soft" in Minkowski spacetime, it is (only) the holographically emergent direction's z-dependence, rather than t-dependence (overall energy) which is softened.² The above 4D pure gauge configurations in the holographic soft limit are the "large" gauge transformations at the root of AS, which we now derive.

It is convenient to focus on CFT_3 correlators of the form,

$$\left\langle 0|T\left\{e^{i\int d^3x A_{\mu}(x)J^{\mu}(x)} \mathcal{O}_1(x_1)\cdots \mathcal{O}_n(x_n)\right\}|\mathrm{in}\right\rangle,$$
(5.9)

as depicted in figure 2a, where $A_{\mu}(x)$ is the source for "soft" photons, the \mathcal{O}_{α} are arbitrary local CFT operators with U(1) charges Q_{α} (including possibly J^{μ} itself, corresponding to ∂ AdS correlators for 4D photons which are "hard" in our sense), and the $|\text{in}\rangle$ represents a generic initial CFT state.

We write the pure gauge form of A solving the (Lorentz-gauge) CS equations as

$$A_{\mu}(x) = \partial_{\mu}\lambda(x), \qquad \Box_{\text{Mink}_{3}}\lambda(x) = 0.$$
(5.10)

We can specify a particular solution in terms of the "initial" value (t = 0), $\bar{a}(u, \bar{u}) \equiv A_{\bar{u}}(u, \bar{u}, t = 0)$, first determining

$$\lambda(u, \bar{u}, t = 0) = \int \frac{d^2 u'}{2\pi} \, \frac{\bar{a}(u', \bar{u}')}{u - u'} \,, \tag{5.11}$$

and then uniquely extending to all t once we impose only positive frequencies (absorbing source) in $\lambda(u, \bar{u}, t)$,

$$\lambda(q_u, q_{\bar{u}}, t) = \lambda(q_u, q_{\bar{u}}, t = 0) e^{-2i\sqrt{q_u q_{\bar{u}}} t}.$$
(5.12)

 $^{^{2}}$ In both Mink₄ and AdS₄ it is important that the helicity is fixed as we take the soft limit.

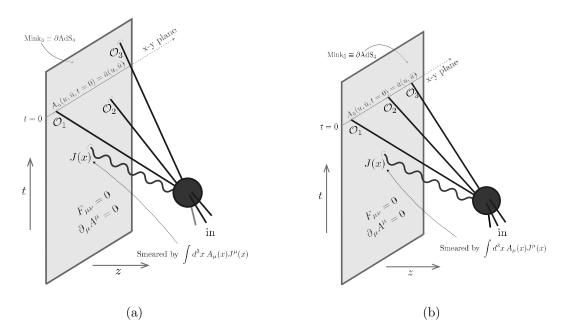


Figure 2. Typical ∂AdS_4 correlators involving 4D photons and matter particles, dual to CFT₃ correlators of the form eq. (5.9) involving the U(1) current and other local operators. (a) corresponds to charged matter lines arriving at general times on the boundary, while (b) corresponds to the special case in which all charged matter arrives at t = 0.

By the CFT current Ward identity,

$$\partial_{\mu}J^{\mu} = -\sum_{\alpha} Q_{\alpha}\delta^{3}(x - x_{\alpha}), \qquad (5.13)$$

we find

$$i \int d^3x A_\mu(x) J^\mu(x) = i \sum_\alpha Q_\alpha \lambda(x_\alpha)$$
(5.14)

5.3 2D holomorphic abelian WZW current from holographic soft limit

Let us focus first on the special case that all the \mathcal{O}_{α} are simultaneous, $t_{\alpha} = 0$, as depicted in figure 2b, so that by eqs. (5.14), (5.11),

$$i \int d^3x A_{\mu}(x) J^{\mu}(x) = -i \int \frac{d^2u}{2\pi} \bar{a}(u,\bar{u}) \sum_{\alpha} \frac{Q_{\alpha}}{u - u_{\alpha}} \,.$$
(5.15)

Thinking of $\bar{a}(u, \bar{u})$ as a source defining a 2D current $j \equiv 2\pi i \, \delta / \delta \bar{a}(u, \bar{u})$, we arrive at a 2D holomorphic form for j,

$$\langle 0|j(u,\bar{u})\mathcal{O}_1(x_1)\cdots\mathcal{O}_n(x_n)|\mathrm{in}\rangle = \sum_{\alpha} \frac{Q_{\alpha}}{u-u_{\alpha}} \langle 0|\mathcal{O}_1(x_1)\cdots\mathcal{O}_n(x_n)|\mathrm{in}\rangle.$$
(5.16)

The simple pole structure of j is clearly very similar to that observed in soft limits of the Mink₄ S-matrix. We can straightforwardly obtain multiple-j correlators since the source

is simply exponentiated, but there is no central extension singularity in jj correlators as they coincide, for reasons further discussed in the next section.

In the general case of non-simultaneous t_{α} (figure 2a), eq. (5.14) gives a 2D current defined by source \bar{a} ,

$$j(u,\bar{u}) = -2\pi \sum_{\alpha} Q_{\alpha} \frac{\delta\lambda(\bar{a}, x_{\alpha})}{\delta\bar{a}(u,\bar{u})},$$
(5.17)

but this is no longer holomorphic, reminiscent of the case of massive charges in the Mink₄ Smatrix. We explore this non-holomorphic structure more closely in section 7 in the context of the memory effect.

6 Non-abelian generalization of holographic soft limit and AS

There is a natural generalization of "soft" to (tree-level) non-Abelian AdS₄ gauge theory. Generalizing eq. (5.3), we consider a 4D "soft" field \mathcal{A}^a_M which is a complex solution to the 4D Yang-Mills equations, coupled to a 4D gauge current \mathcal{J}^a_M representing other charged matter and "hard" gluons. The boundary limit $\mathcal{A}^a_\mu \xrightarrow{z \to 0} \mathcal{A}^a_\mu$ of such a complex solution simply corresponds to a complex source A_μ for $\mathcal{J}^{\text{CFT}}_\mu$ and its associated CFT correlators.

When there are multiple "soft" gluons, we must generalize the fixing of helicity of "soft" photons in the Abelian case in a manner that is compatible with Yang-Mills self-couplings. This is given by requiring the *complex* \mathcal{A}_M^a to be self-dual (or alternatively, anti self-dual):

$$\frac{1}{2}\epsilon^{\mu\nu\rho}\mathcal{F}^{a}_{\mu\nu}(x,z) = i\mathcal{F}^{\rho z \ a}(x,z) = i\partial_{z}\mathcal{A}^{a \ \rho}(x,w), \qquad (6.1)$$

where \mathcal{F} is the full non-abelian 4D field strength. This is closely analogous to what is seen in 4D Minkowski spacetime, where the non-abelian soft "branches" attached to a hard scattering process are self-dual when all its external soft gluons have positive helicity [30].

In axial-gauge, the holographic soft limit is again that in which \mathcal{A}^a_{ρ} is z-independent. Self-duality then implies the vanishing of all of \mathcal{F} , so that \mathcal{A} is pure-gauge. The CFT source is simply given by $A^a_{\mu} \equiv \mathcal{A}^a_{\mu}(x, z \to 0) = \mathcal{A}^a_{\mu}(x)$, so that it satisfies a (sourceless) non-Abelian CS equation,

$$\epsilon^{\mu\nu\rho}F^a_{\nu\rho}(x) = 0, \tag{6.2}$$

again closely analogous to the Mink₄ analysis. More precisely, there will also be an effective 3D gauge-fixing condition that results from the approach to the soft limit, but it will be more complicated than the simple 3D Lorentz gauge of the Abelian case, eq. (5.7). As for the Abelian case, this condition will not be relevant for the special case of *equal-time* correlators of CFT local operators, to which we now turn.

6.1 2D holomorphic non-abelian WZW current from holographic soft limit

The vanishing of the non-Abelian field strength of the source in the soft limit has the solution,

$$iA_{\mu}(x) = e^{-i\lambda(x)}\partial_{\mu}e^{i\lambda(x)}, \quad \lambda \equiv \lambda^{a}t^{a}, \ A_{\mu} \equiv A^{a}_{\mu}t^{a}, \tag{6.3}$$

where the $\lambda^a(x)$ are *complex* gauge transformation fields, reflecting the complex nature of A^a_{μ} (necessary for Lorentzian self-dual gauge fields). Starting from the general correlator,

$$\left\langle T\left\{e^{i\int d^3x A^a_\mu(x)J^{\mu a}(x)} \mathcal{O}_1(x_1)\cdots \mathcal{O}_n(x_n)\right\}\right\rangle$$
, (6.4)

we will again consider $\bar{a}^a(u, \bar{u}) \equiv A^a_{\bar{u}}(u, \bar{u}, t = 0)$ as the independent variables behind our soft source $A_{\mu}(x)$, and define a 2D current

$$j^{a}(u,\bar{u}) \equiv 2\pi i \,\frac{\delta}{\delta\bar{a}^{a}(u,\bar{u})} \,. \tag{6.5}$$

For single j correlators with equal-time "hard" operators, $t_{\alpha} = 0$, the non-Abelian structure is clearly irrelevant, and we arrive at the analog of eq. (5.16) again,

$$\langle 0|j^{a}(u,\bar{u})\mathcal{O}_{1}(x_{1})\cdots\mathcal{O}_{n}(x_{n})|\mathrm{in}\rangle = \sum_{\alpha} \frac{T^{a}_{(\alpha)}}{u-u_{\alpha}} \langle 0|\mathcal{O}_{1}(x_{1})\cdots\mathcal{O}_{n}(x_{n})|\mathrm{in}\rangle .$$
(6.6)

Next we probe correlators $\langle j^a(u, \bar{u}) j^b(u', \bar{u}') \dots \rangle$, to search for a non-abelian contribution to the jj' 2D "OPE". This requires us to work to order \bar{a}^2 . At first order in \bar{a} , we obviously have

$$\lambda^{(1)a}(u,\bar{u},t=0) = \int \frac{d^2u'}{2\pi} \,\frac{\bar{a}^a(u',\bar{u}')}{u-u'}\,,\tag{6.7}$$

as in the Abelian case. To second order, by eq. (6.3),

$$A^{a}_{\mu}(x) \approx \partial_{\mu}\lambda^{(1)\,a}(x) - \frac{1}{2}f^{abc}\lambda^{(1)\,b}(x)\partial_{\mu}\lambda^{(1)\,c}(x) + \partial_{\mu}\lambda^{(2)\,a}(x)\,.$$
(6.8)

We can use the \bar{u} component of this to solve for $\lambda^{(2)}(t=0)$,

$$\partial_{\bar{u}}\lambda^{(2)\,a}(u,\bar{u},t=0) = \frac{1}{2}f^{abc}\lambda^{(1)\,b}(u,\bar{u},t=0)\,\bar{a}^c(u,\bar{u})\,,\tag{6.9}$$

from which we derive

$$\lambda^{(2)a}(u,\bar{u},t=0) = \frac{1}{2}f^{abc}\int \frac{d^2u'}{2\pi} \int \frac{d^2u''}{2\pi} \frac{\bar{a}^b(u',\bar{u}')\bar{a}^c(u'',\bar{u}'')}{(u-u'')(u''-u')}.$$
(6.10)

In this way we see two types of non-abelian corrections enter into the typical $\partial \text{AdS}_4/\text{CFT}_3$ correlator compared to the abelian case, as depicted in figure 3. Of course there are nonabelian interactions in the 4D bulk, but we also have non-abelian corrections to the CFT "softened" source A^a_{μ} when expressed in terms of the independent variables \bar{a}^a .

We see that eq. (6.10) can give rise to a non-trivial "OPE" divergence for coinciding j's, so we drop $\lambda^{(1)}$ contributions to focus on that of $\lambda^{(2)}$:

$$\int d^{3}x \ A^{a}_{\mu}(x) J^{\mu a}(x) \supset \int d^{3}x \ \partial_{\mu} \lambda^{(2) a}(x) J^{\mu a}(x)$$

= $-\int d^{3}x \ \lambda^{(2) a}(x) \partial_{\mu} J^{\mu a}(x) = \sum_{\alpha} \lambda^{(2) a}(x_{\alpha}) T^{a}_{(\alpha)} .$ (6.11)

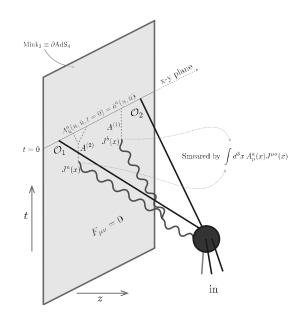


Figure 3. A typical ∂AdS_4 correlator for non-abelian AdS gauge theory, with all hard matter arriving at t = 0. Note that there are both non-abelian bulk interactions and non-abelian corrections to the "softened" source in terms of the independent variables \bar{a}^a . The leading source term $A^{(1)}$ is similar in form to the abelian case, while the next non-abelian correction $A^{(2)}$ is given by the last two terms in eq. (6.8).

Specializing to the simultaneous limit, $t_{\alpha} = 0$,

$$\int d^3x \ A^a_{\mu}(x) J^{\mu a}(x) \supset \sum_{\alpha} \lambda^{(2) a}(u_{\alpha}, \bar{u}_{\alpha}, t_{\alpha} = 0) \ T^a_{(\alpha)}$$
$$= \frac{1}{2} f^{abc} \int \frac{d^2u}{2\pi} \ \int \frac{d^2u'}{2\pi} \ \frac{\bar{a}^b(u, \bar{u}) \ \bar{a}^c(u', \bar{u}')}{(u_{\alpha} - u')(u' - u)} \ T^a_{(\alpha)} \,. \tag{6.12}$$

We thereby derive,

$$\left\langle 0|T\left\{j^{a}(u,\bar{u})j^{b}(u',\bar{u}')\mathcal{O}_{1}(x_{1})\cdots\mathcal{O}_{n}(x_{n})\right\}|\mathrm{in}\right\rangle$$

$$\supset \frac{1}{2}f^{abc}\sum_{\alpha}T^{c}_{(\alpha)}\left\{\frac{1}{(u_{\alpha}-u)(u-u')}-\frac{1}{(u_{\alpha}-u')(u'-u)}\right\}\langle 0|\mathcal{O}_{1}(x_{1})\cdots\mathcal{O}_{n}(x_{n})|\mathrm{in}\rangle$$

$$\sum_{u'\to u}\frac{f^{abc}}{u'-u}\sum_{\alpha}\frac{T^{c}_{(\alpha)}}{u-u_{\alpha}}\left\langle 0|\mathcal{O}_{1}(x_{1})\cdots\mathcal{O}_{n}(x_{n})|\mathrm{in}\rangle$$

$$= \frac{f^{abc}}{u'-u}\left\langle 0|T\left\{j^{c}(u,\bar{u})\mathcal{O}_{1}(x_{1})\cdots\mathcal{O}_{n}(x_{n})\right\}|\mathrm{in}\rangle.$$
(6.13)

In this sense, we have arrived at the Euclidean 2D KM "OPE",

$$j^{a}(u,\bar{u}) j^{b}(u',\bar{u}') \sim_{u \to u'} \frac{f^{abc}}{u-u'} j^{c}(u,\bar{u}),$$
 (6.14)

but unlike the canonical eq. (3.11) we see that we have vanishing central extension here! This absence of a central extension in AS from soft limits matches what is seen in 4D Minkowski spacetime. But as pointed out in ref. [30], it is closer to the truth to say that we have *infinite* central extension, as we review below.

6.2 Holographic soft limit as portal from standard to alternate theory

The structure of correlators of j we see in the holographic soft limit with Dirichlet boundary condition precisely matches that found in ref. [31] for alternate b.c in the $\kappa \to \infty$ limit, as shown there by simple κ -counting diagrammatic arguments. Here, we just give a heuristic argument for why this is so, based on the path integral for *dynamical* CS coupled to the CFT (dual to alternate boundary condition),

$$\int \mathcal{D}A_{\mu} \exp\left\{i \int d^3x \,\frac{\kappa}{4\pi} \,\epsilon^{\mu\nu\rho} \operatorname{Tr}\left(A_{\mu}\partial_{\nu}A_{\rho} + \frac{2}{3} \,A_{\mu}A_{\nu}A_{\rho}\right) + A^a_{\mu} \,J^{\mu a}_{\mathrm{CFT}_3}\right\} \,. \tag{6.15}$$

We see that as the CS level $\kappa \to \infty$, there is a wild phase in the path integral, forcing the κ -dependent part of the action to be extremized, yielding eq. (6.2), derived here via the "soft" limit. With the t = 0 condition on the path integral, $A^a_{\bar{u}}(t = 0) \equiv \bar{a}^a$ (and gauge-fixing), this leads to a specific $A^a_{\mu}(x)$. In this way, the alternate boundary condition becomes effectively Dirichlet boundary condition as $\kappa \to \infty$, in particular matching the holographic soft limit. The one "flaw" with this argument is that the $\kappa \to \infty$ limit for dynamical A is ill-defined for jj' correlators, precisely because of the central term in eq. (3.11). As pointed out in ref. [31], this is avoided by only considering connected correlators of the CS fields with the CFT, since the central term arises from connected correlators of CS with only itself. From the Dirichlet boundary condition viewpoint, this restriction is automatic since we are always considering soft dressing of "hard" CFT correlators. With this restriction, the central extension of KM is absent, as if it vanished, when in fact it is infinite as $\kappa \to \infty$.

The seeds of alternate boundary condition correlators are contained in the Dirichlet boundary condition AdS_4 (pure CFT_3) correlators via their holographic soft limits. One can then unitarize these leading-in- κ correlators by going to finite large $\kappa < \infty$, and including the simple pure-CS correlators, which contain the central extension. In this nuanced sense, AS from soft limits are a remnant of the alternate b.c theory, dual to the CS-gauged CFT₃.

7 CS memory effects and the holographic soft limit

Finally, we point out that AdS_4 gauge theory exhibits an analog of the electromagnetic "memory" phenomenon of Mink₄ [18, 41–43], closely connected to AS structure. The memory effect compares the parallel transport between two test charges far from a scattering process, long before and after the scattering event, more precisely given by a Wilson loop consisting of spatial transport between the two charges at early and late times, and temporal transport between those times. We focus on the abelian case.

7.1 Alternate boundary conditions and electric memory

We begin with alternate boundary condition, in its dual formulation as U(1) CS + CFT₃. Canonically, the CS fields are $A_{\bar{u}}, A_u$, effectively in temporal gauge $A_0 = 0$ after deriving the Gauss Law constraint. For simplicity focussing on vanishing electromagnetic field strengths at early times (hence only neutral particles in the initial state), we can choose the further gauge condition $A_u(t = -\infty), A_{\bar{u}}(t = -\infty) = 0$. We see that our canonical (can) fields therefore precisely define "memory" Wilson loops in more general (gen) gauges,

$$A_{i}^{\mathrm{can}}(u,\bar{u},t=0)dx^{i} = A_{i}^{\mathrm{gen}}(u,\bar{u},t=0)dx^{i} + \int_{0}^{-\infty} dt' A_{0}^{\mathrm{gen}}(u+du,\bar{u}+d\bar{u},t')$$
(7.1)
$$-A_{i}^{\mathrm{gen}}(u,\bar{u},t=-\infty)dx^{i} + \int_{-\infty}^{0} dt' A_{0}^{\mathrm{gen}}(u,\bar{u},t') , \text{ where } i \equiv u,\bar{u}.$$

The four terms on the right define four sides of a narrow gauge-invariant "memory" Wilson loop, from u to u + du at time t = 0, to time $-\infty$ at u + du, back from u + du to u at time $-\infty$, and then from time $-\infty$ to t = 0 at u. Similarly, a Wilson line of A^{can} along a finite spatial curve C in the x - y plane at time t = 0 is equivalent to a more general memory Wilson *loop* in a general gauge, completing the curve with time-like lines to $t = -\infty$ and spatial Wilson line reversing C at time $-\infty$. This is depicted in figure 4. Because of the Gauss Law constraint, the precise choice of C does not matter as along as one does not cross 3D charges in deforming the curve.

In the above sense, arbitrary CS gauge theories describe the dynamics of memory effects in 3D. But when the CS charged matter is a CFT₃ with AdS₄ dual, the memory effects "lift" to 4D. The 3D memory Wilson loop above is now seen as a 4D memory Wilson loop at (or near) ∂ AdS₄, z = 0, far from a bulk scattering. This is similar to the Mink₄ memory Wilson loops at large distance from a scattering process [41–43]. In the alternate boundary condition AdS case, the CS Gauss Law gives a general relationship between the canonical memory fields A_u^{can} , $A_{\bar{u}}^{can}$. As noted in subsection 3.1, this relationship effectively determines the CS quantum state completely in terms of the matter CFT state, say as a wavefunctional in $A_{\bar{u}}^{can}$ in coherent state basis. Both A_u^{can} and $A_{\bar{u}}^{can}$ are determined as operators acting on this state. That is, Gauss' Law completely determines the memory effect at the quantum level. As we saw in subsections 3.2 and 3.3 Gauss' Law is essentially equivalent to the KM structure. Thus, at the most fundamental level, the memory effect is the physical face of the AS structure.

7.2 Dirichlet boundary conditions and magnetic memory

Let us switch to Dirichlet boundary condition, in which case the boundary-localized memory Wilson loop vanishes (dual to the absence of CS fields, given just the isolated CFT_3). But we saw in section 4 that in magnetic dual variables $\widetilde{\mathcal{A}}$ the boundary condition becomes effectively Neumann. This allows us to consider non-vanishing magnetic memories, given by 't Hooft loops (Wilson loops in $\widetilde{\mathcal{A}}(z \to 0)$). We will see that this can be non-trivial even in processes involving only standard electric charges but no magnetic charges. These effects are analogous to (the electric-magnetic dual of) the magnetic memory effects in Mink₄ discussed in ref. [43].

There is an important but subtle contrast with the previous subsection. From the holographic viewpoint of the magnetic dual description, there is a 3D \tilde{A} which is the mirror version of A above. Naively, this \tilde{A} translates via AdS/CFT into $\tilde{\mathcal{A}}(z=0)$ in the 4D description. But formally \tilde{A} has a CS level $\tilde{\kappa} = 0$, so that rather than being a CS

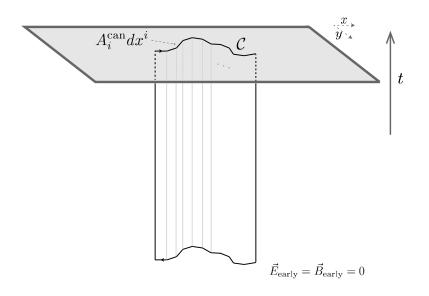


Figure 4. A general CS memory Wilson loop in Mink₃, comparing parallel transport along the spatial curve C at early and late times, where for simplicity the early state has vanishing gauge field strength. It can be viewed as composed of many narrow memory Wilson loops, with shared timelike lines canceling due to their opposing orientations. In terms of the canonical CS fields, effectively in temporal gauge, this general Wilson loop is therefore given by just the late Wilson line along C. (See eq. (7.1)).

field it reduces to a simple Lagrange multiplier for \widetilde{J} , which translates via AdS/CFT to the Lagrange multiplier enforcing the Neumann boundary conditions in AdS. Thus, once we are considering the 4D magnetic dual description with Neumann boundary conditions, this $\widetilde{\mathcal{A}}(z=0)$ has already been integrated out of the theory. Instead, in this subsection we are considering the distinct Neumann bulk field $\widetilde{\mathcal{A}}(z)$ in the limit $z \to 0$. Unlike A (or \widetilde{A}), $\widetilde{\mathcal{A}}_u(z\to 0)$ and $\widetilde{\mathcal{A}}_{\bar{u}}(z\to 0)$ are not canonically conjugate, and are not constrained by a (mirror) Gauss Law constraint.

We begin with the standard AdS/CFT identification of holographic charge density,

$$J_0^{\text{CFT}} \equiv \frac{1}{g^2} \mathcal{F}_{0z}(z \to 0) = \frac{1}{g^2} \widetilde{\mathcal{F}}_{xy}(z \to 0) = \frac{-2i}{g^2} \left(\partial_u \widetilde{\mathcal{A}}_{\bar{u}}(z \to 0) - \partial_{\bar{u}} \widetilde{\mathcal{A}}_u(z \to 0) \right) .$$
(7.2)

Note that this relates the magnetic $\widetilde{\mathcal{A}}(z \to 0)$ gauge field with the original electric CFT₃ current. For given J, this is a general constraint on the memories measured by the (temporal gauge) $\widetilde{\mathcal{A}}_u(z \to 0), \widetilde{\mathcal{A}}_{\bar{u}}(z \to 0)$.

In special circumstances, analogous to the set-up in Mink₄, we can make a stronger statement. We will assume that our initial state has vanishing field strengths, involving a non-trivial scattering of neutral particles deep in the bulk of AdS₄, and results in production of 4D electromagnetic radiation and electrically (not magnetically) charged particles. We take the charges to be massless so that we can continue to treat AdS₄ as effectively Mink₄/2 by Weyl invariance, and take local CFT operators $\mathcal{O}_{\alpha}(x_{\alpha})$ to annihilate the charges on ∂ AdS₄ at $t_{\alpha} < 0$, before the memory measurement at t = 0. More generally, we take the

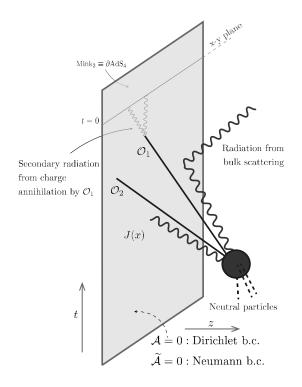


Figure 5. A ∂AdS_4 correlator for radiation and charged matter created by a distant bulk scattering, initiated from an electromagnetically neutral state. We focus on a 't Hooft line at t = 0 in temporal gauge, corresponding to a magnetic memory loop, allowed by the standard boundary conditions. It receives contributions from the secondary radiation emitted by charged matter annihilated at the boundary by local operators. Radiation from the bulk scattering is either absorbed by the CFT current J or reflected by the boundary, and therefore does not contribute to the late-time 't Hooft line.

radiation and particles to arrive at ∂AdS_4 earlier than t = 0, and either be reflected away into the bulk or absorbed by boundary/CFT operators. Therefore, radiation from the bulk scattering does not contribute to the boundary $\widetilde{\mathcal{A}}(z \to 0)$ gauge fields at t = 0. This set-up is depicted in figure 5.

But further radiation can result when the charged particles are absorbed by \mathcal{O}_{α} on ∂AdS_4 , effectively "annihilating" with their images in the Mink₄ covering space of Mink₄/2 ~ AdS₄. This secondary radiation from $z \sim 0$ can spread until t = 0 and contribute to the boundary fields $\widetilde{\mathcal{A}}(z \to 0)$ then. In temporal gauge, the transverse radiation satisfies $\partial_x \widetilde{\mathcal{A}}_x(z \to 0) + \partial_y \widetilde{\mathcal{A}}_y(z \to 0) + \partial_z \widetilde{\mathcal{A}}_z(z \to 0) = 0$ as usual. Since the secondary radiation travels in the x - y directions but remains at $z \sim 0$ in order to contribute to the memory measurement there, the z-momentum is subdominant, and we have

$$\partial_x \widetilde{\mathcal{A}}_x(z \to 0) + \partial_y \widetilde{\mathcal{A}}_y(z \to 0) \equiv \partial_u \widetilde{\mathcal{A}}_{\bar{u}}(u, \bar{u}, z \to 0, t = 0) + \partial_{\bar{u}} \widetilde{\mathcal{A}}_u(u, \bar{u}, z \to 0, t = 0) \approx 0.$$
(7.3)

We can then solve the simultaneous equations, eqs. (7.2), (7.3), for the memory fields,

$$\widetilde{\mathcal{A}}_{u}(u,\bar{u},z\to 0,t=0) = -\frac{ig^{2}}{4} \int \frac{d^{2}u'}{2\pi} \frac{J_{0}(u',\bar{u}',t=0)}{u'-u} \\
\widetilde{\mathcal{A}}_{\bar{u}}(u,\bar{u},z\to 0,t=0) = \frac{ig^{2}}{4} \int \frac{d^{2}u'}{2\pi} \frac{J_{0}(u',\bar{u}',t=0)}{\bar{u}-\bar{u}'}.$$
(7.4)

We now show that the above memory effect precisely matches the holographic soft limit we derived in section 5. First we note that the secondary radiation satisfies the Maxwell equations,

$$0 = \partial_i B_i + \partial_z B_z \approx \partial_i B_i$$

$$0 = \partial_0 B_i + \epsilon_{ij} \partial_j E_z - \epsilon_{ij} \partial_z E_j \approx \partial_0 B_i + \epsilon_{ij} \partial_j E_z, \text{ where } i \equiv x, y, \qquad (7.5)$$

and where again the z-momentum is subdominant so that we drop the ∂_z terms. Since we are near the boundary, we can translate $B_i \to g^2 \epsilon_{ij} J_j$ and $E_z \to g^2 J_0$, so that the above relations become

$$\epsilon^{\mu\nu\rho}\partial_{\nu}J_{\rho}\approx 0. \tag{7.6}$$

Therefore $J_{\mu} \approx \partial_{\mu} \Phi$ is a total gradient. The current Ward identity, eq. (5.13), then reads

$$\partial_{\mu}\partial^{\mu}\Phi = -\sum_{\alpha}Q_{\alpha}\delta^{3}(x-x_{\alpha}), \qquad (7.7)$$

with solution

$$\Phi(x) = -i \sum_{\alpha} Q_{\alpha} G_S(x - x_{\alpha}) , \qquad (7.8)$$

where G_S is the Mink₃ scalar Φ propagator. Therefore, eq. (7.4), reads

$$\widetilde{\mathcal{A}}_{u}(u,\bar{u},z\to 0,t=0) = -\frac{g^{2}}{4} \sum_{\alpha} Q_{\alpha} \int \frac{d^{2}u'}{2\pi} \frac{\partial_{0}G_{S}(u'-u_{\alpha},\bar{u}'-\bar{u}_{\alpha},-t_{\alpha})}{u-u'} \,.$$
(7.9)

Let us compare this result with the holographic soft limit for non-simultaneous \mathcal{O}_{α} , as given by

$$j(u,\bar{u}) = \sum_{\alpha} Q_{\alpha} \int \frac{d^2 u'}{u-u'} \int \frac{dq_u}{2\pi} \frac{dq_{\bar{u}}}{2\pi} e^{iq_u(u_{\alpha}-u')} e^{iq_{\bar{u}}(\bar{u}_{\alpha}-\bar{u}')} e^{-2i\sqrt{q_uq_{\bar{u}}}t_{\alpha}}$$
(7.10)

following from eqs. (5.17), (5.12), (5.11). This precisely matches the form of memory, eq. (7.9), since the time-ordering in G_S is fixed because all $t_{\alpha} < 0$.

The special case of $t_{\alpha} \to 0$ in AdS₄ is similar to the case of massless charges in Mink₄ reaching lightlike infinity, in each case leading to holomorphic j with simple poles. We see this explicitly at $t_{\alpha} = 0$ in eq. (7.10), where the Fourier transforms give $\delta^2(u' - u_{\alpha})$. General $t_{\alpha} \neq 0$ in AdS₄ is similar to the case of massive charges in Mink₄ which approach timelike infinity, in which case j is not holomorphic. See refs. [17, 18, 39] for the same smeared structure of poles in Mink₄ memory for massive charges as our eq. (7.4). However, we see that in AdS₄ we have a clear holographic interpretation for this smearing in terms of the spreading of holographic charge density over time starting from δ -function localization, $J_0 \propto \partial_0 G_S$, because the 3D charges are "blobs" of massless CFT constituents. This is in contrast to a 3D theory with only 3D-massive point-particle charges (without 4D dual), where J_0 would retain the form of δ -functions at particle locations over time, and the analogous construction of j would have simple poles in u without smearing over time. See the discussion in subsection 3.3.

8 Discussion

In this paper we have studied infinite-dimensional Kac-Moody (KM) asymptotic symmetries arising in $AdS_4^{Poincaré}$ gauge theories. The standard asymptotic analysis, famously admitting only the finite-dimensional global symmetries of a holographically dual CFT_3 , was evaded in two steps, identified in ref. [31] but taking their simplest form here. In the present context, the major step was to consider alternate AdS boundary conditions peculiar to four dimensions, holographically dual to a modified CFT_3 obtained by an external Chern-Simons (CS) gauging of the original CFT_3 . The second step was to restrict attention to boundary/CFT correlators (or wavefunctional) at a fixed time, say t = 0, where the canonical CS structure yields holomorphic currents, whose Laurent expansion coefficients are KM charges. For more general correlators the physical essence of the KM symmetries is retained and generalized by the CS structure, but with a smearing out of the simple pole structure of KM holomorphic currents. We showed how all this connects to "holographic soft limits" in $AdS_4^{Poincaré}$ which underlie its KM asymptotic symmetries, for both abelian and non-abelian gauge fields. The 4D fields in this "soft limit" take the form of 3D CS fields (implying alternate boundary conditions for the AdS dual) which then lead to KM symmetries on an effectively 2D boundary of the CS spacetime, via the CS/WZW correspondence.

While soft limits yield the alternate/ \overline{CFT}_3 theory to leading order in the associated CS level, in the sense of ref. [31], it is interesting to see if the all-orders theory (finite CS level) can naturally emerge from the standard/ \overline{CFT}_3 construction. We showed this for the case of *abelian* symmetry, where the standard construction imposed on electric-magnetic (mirror) dual variables assumes the alternate (\overline{CFT}_3) form in the original variables, with finite CS level in the holographic description! The KM symmetries were thereby seen to be generalizations of dyonic charge conservation rather than simple electric charge conservation. It is less clear whether there is a non-abelian generalization, given the key role played by the S-duality transformation exchanging electric and magnetic charges. Perhaps a good theoretical laboratory is provided by those special supersymmetric non-abelian theories in which S-duality persists [44].

There are several ways in which the KM structure derived in this work bears a resemblance to that of gauge theories in 4D Minkowski spacetime. It is useful to explore the similarities and differences in AS analyses of Mink₄ and AdS₄. In AdS₄, we have seen here and in ref. [31] that holography allows us to straightforwardly and insightfully arrive at an AS structure previously unnoticed, whereas in Mink₄ there is a more familiar AS structure which may well point to some version of Minkowski holography, as yet unknown. In what follows, we comment on the similarities and differences, summarized briefly in table 1. The first hint that the AS structures in these two spacetimes may have some commonalities

\mathbf{Mink}_4	\mathbf{AdS}_4	
S-matrix	$\partial \mathrm{AdS}_4/\mathrm{CFT}_3$ local correlators	
Timelike infinity \equiv Euclidean AdS ₃	$\partial \mathrm{AdS}_4 \equiv \mathrm{Mink}_3$	
Null infinity (\mathcal{I}) , 2D geometry	Fixed time $t = 0$ on ∂AdS_4 , 2D geometry	
Soft limit, $m_3 \rightarrow 0$, where m_3 is the Casimir invariant of Euclidean AdS ₃ [30]	Holographic soft limit, $m_3 \rightarrow 0$, where m_3 is the Casimir invariant of Mink ₃	
CS structure of soft fields	CS structure of soft fields	
2D holomorphic-WZW currents j^a for (massless) charges hitting \mathcal{I}	2D holomorphic-WZW currents j^a for charges hitting $t = 0$ on ∂AdS_4	
(Non-)abelian Kac-Moody AS	(Non-)abelian Kac-Moody AS	
Electric/Magnetic Memories	Electric/Magnetic Memories	
Electric flux Memory Kernel	Electric flux/Holographic charge density	
??	Holographic Duality	
??	$\widetilde{\mathrm{CFT}}_3$ with fully dynamical CS (finite level)	

Table 1. The parallel developments between $Mink_4$ and AdS_4 gauge dynamics, their soft limits and associated infinite-dimensional KM asymptotic symmetries. AdS/CFT holography provides more of an explanatory structure in the case of AdS_4 .

comes from the observation that the underlying CS gauge structure responsible for KM asymptotic symmetries in AdS_4 , was also seen in the Minkowski analysis of ref. [30]. Yet, naively, a close resemblance would have seemed unlikely — AdS_4 and $Mink_4$ are different spacetimes, with very different boundary structures. Further, Mink₄ KM asymptotic symmetries reflect gauge-boson soft limits, whereas standard AdS^{global} lacks such soft limits. Nevertheless, we showed here that there is a simple generalization to "holographic soft limits" in AdS^{Poincaré} which underlies its KM asymptotic symmetries.

In Mink₄ gauge theory, massive charges emerging from a scattering event asymptotically approach future timelike infinity. This is a space parametrized by particle boosts, geometrically 3D hyperbolic space or, more suggestively, Euclidean AdS_3 [45]. Its boundary is future null infinity, \mathcal{I}^+ , the destination for massless particles, which, while 3-dimensional, has 2D geometry due to the one null direction. In AdS₄, there are also asymptotic 3D and 2D geometries. The asymptotic infinity of $AdS_4^{Poincare}$ is of course the boundary $\equiv Mink_3$, the entire spacetime from the holographic perspective. The analogous "2D boundary" for AdS_4 is provided by a constant time slice on ∂AdS_4 , a boundary if one considers a wavefunctional on this time slice as determined by a path integral over just the earlier spacetime region. Canonically in CS, AS structure is associated to the wavefunctional, at say t = 0, with its spacelike 2D geometry. In this work, we considered scattering in the bulk of AdS₄, with some outgoing particles headed to the boundary and absorbed by local (CFT₃) operators there. Charged particles arriving at ∂ AdS₄ at t = 0 then play a somewhat analogous role to massless charged particles arriving at \mathcal{I}^+ in Mink₄. This is seen more sharply by the soft CS/WZW structure that arises. In both cases, we get 2D holomorphic currents, with poles at the locations of the charges, and with Laurent expansions in terms of KM charges. Charged particles arriving at ∂ AdS₄ at more general $t \neq 0$ are the analogs of massive charges arriving at timelike infinity in Mink₄ — the 2D currents exist but are no longer holomorphic, the above-mentioned poles effectively being "smeared" [17, 18, 39]. This smearing effect in the context of AdS₄ finds a natural holographic explanation in the tendency of 3D charge density to spread in a CFT₃ even if initially created in point-like form by a local operator. As discussed in subsection 3.3, this smearing for general AdS₄ states does not compromise the KM structure, and furthermore in the CFT₃ dual description the smeared pole structure again resolves into discrete simple poles at the level of the 3D "quarks" of the CFT.

The analogy between AdS_4 and $Mink_4$ is imperfect in one significant regard: while massless 4D charges robustly arrive at null infinity, \mathcal{I}^+ , in $Mink_4$, and massive charges do not, in AdS_4 there is no such robust determinant of whether 4D charges will arrive at ∂AdS_4 at t = 0 or not. Instead, from the 3D Chern-Simons perspective the determining factor of whether KM currents have robust simple pole structure or not is whether 3D charges are massive or massless, respectively. Of course, for the CFT₃ dual to AdS_4 the fundamental charges are massless.

Like in Mink₄, AdS_4 also has a close connection between KM symmetries and the memory effect, given by a large asymptotic spacetime Wilson loop. In AdS_4 , the analogous Wilson loop at the AdS boundary must vanish by standard boundary conditions. Nevertheless, we demonstrated that non-trivial "magnetic" memory effects exist even with standard boundary conditions in AdS_4 , associated with non-vanishing 't Hooft loops on the boundary, and that these are closely related to holographic soft limits and KM structure.

It is an exciting open question as to how the rich structure of asymptotic symmetries and memories imply a new form of "hair" for complex 4D states such as black holes, and can algebraically encode information that might seem lost according to standard 4D effective field theory analysis. We hope that the simple form and derivation of asymptotic symmetries and memories presented here for $AdS_4^{Poincaré}$, and the deep connection to holography, will help to answer this question in the future.

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