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A critical analysis of the arterial/alveolar oxygen tension ratio  $(a/APO_2)$  is presented by rearranging the terms of the pulmonary shunt equation. The influence of the shunt and inspired oxygen concentration on  $a/APO_2$  are illustrated. It is shown that, despite reports to the contrary,  $a/APO_2$  varies with F1O<sub>2</sub>, particularly at high shunt levels.

In recent years, the arterial/alveolar oxygen tension ratio (PaO<sub>2</sub>/PAO<sub>2</sub>), sometimes denoted a/APO<sub>2</sub>, has developed a reputation as an index of gas exchange well-suited for use in pulmonary assessment and oxygen therapy. For example, while the alveolar/arterial oxygen tension difference (A-aPO<sub>2</sub>) is known to depend strongly on the fraction of inspired oxygen  $(FiO_2)$ ,<sup>1</sup> this property has been reported to be absent for the a/APO<sub>2</sub>, thereby rendering it an index of gas exchange less dependent on extrapulmonary factors.<sup>2</sup> In particular, the reputed stability of a/APO<sub>2</sub> across varying FIO<sub>2</sub> levels has formed the basis for two reports concerning its use to predict PaO<sub>2</sub> following changes in FIO2.<sup>3,4</sup> Similarly, Peris et al.<sup>5</sup> found a/APO<sub>2</sub> to be useful "not only for predicting the arterial oxygen tension but also choosing the necessary oxygen supplementation." However, to date, it appears that a/APO2 has only been examined empirically and that no study of the physiological factors affecting it determined from first principles

#### Key words

ARTERIAL/ALVEOLAR OXYGEN TENSION RATIO; a/APO<sub>2</sub>; pulmonary shunt.

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# Arterial/alveolar oxygen tension ratio: a critical appraisal

has been reported. Such a study is presented here. It is demonstrated that  $a/APO_2$  does, in fact, have some dependence on FIO<sub>2</sub>, particularly at high levels of pulmonary shunt.

#### Analysis

Pulmonary shunt is an excellent measure of oxygen transfer properties of the lung which has been studied widely. The pulmonary shunt equation is well known:

 $Qs/Qt = (CćO_2 - CaO_2)/(CćO_2 - C\bar{v}O_2)$ 

However, for notational simplicity, we will denote Qs/Qt as Z. By algebraic manipulation of the shunt equation, as shown in the appendix, it is possible

### Glossary

a/APO <sub>2</sub>	- arterial/alveolar oxygen tension ratio
A-aPO <sub>2</sub>	- alveolar/arterial oxygen tension differ-
	ence (mmHg)
CaO <sub>2</sub>	<ul> <li>arterial oxygen content (vol%)</li> </ul>
CćO <sub>2</sub>	<ul> <li>end pulmonary capillary oxygen</li> </ul>
	content (vol%)
C⊽O₂	- mixed venous oxygen content (vol%)
Ca-vO2	- arterial/mixed venous oxygen content
	difference (vol%)
FIO <sub>2</sub>	- fraction of inspired oxygen
Hb	- haemoglobin concentration (g/dl)
PaCO <sub>2</sub>	- arterial carbon dioxide (mmHg)
PaO <sub>2</sub>	<ul> <li>arterial oxygen tension (mmHg)</li> </ul>
PaO <sub>2</sub>	<ul> <li>alveolar oxygen tension (mmHg)</li> </ul>
Рв	<ul> <li>barometric pressure (mmHg)</li> </ul>
Рн <sub>2</sub> о	- water vapour pressure (mmHg)
Qs/Qt (Z)	- pulmonary shunt fraction
R	<ul> <li>gas exchange ratio</li> </ul>
SaO2	<ul> <li>arterial oxygen saturation</li> </ul>
SćO <sub>2</sub>	<ul> <li>end pulmonary capillary oxygen</li> </ul>
	saturation
SvO2	<ul> <li>mixed venous oxygen saturation</li> </ul>

to relate arterial oxygen tension to its influencing factors:

(1) 
$$PaO_2 = PAO_2 - [Ca - \bar{v}O_2 \times Z/(1-Z) - 1.34 \times (ScO_2 - SaO_2) \times Hb]/0.0031$$

where  $PAO_2$  (mmHg) is the alveolar oxygen tension,  $Ca-\bar{v}O_2$  (vol%) is the arterial/mixed venous oxygen content difference (=  $CaO_2 - C\bar{v}O_2$ ), SćO<sub>2</sub> is end-pulmonary capillary fractional saturation,  $SaO_2$  is the arterial saturation and Hb is the blood haemoglobin concentration (g·dl<sup>-1</sup>). The alveolar gas equation is used to determine  $PAO_2$ :

(2) 
$$P_{AO_2} = (P_B - P_{H_2O}) \times F_{IO_2} - P_{aCO_2} \times [F_{IO_2} + (1 - F_{IO_2})/R]$$

where PB is the barometric pressure (assumed to be 760 mmHg),  $PH_{20}$  is the patient's water vapour pressure (assumed to be 47 mmHg),  $PaCO_2$  is the arterial  $CO_2$  tension (usually assumed to be 40 mmHg) and R is the gas exchange ratio (assumed to be 0.8).

The arterial/alveolar PO2 ratio can thus be obtained by taking the ratio of equations (1) and (2) for specific choices of physiological variables. However, solving equation (1) is complicated by the need to introduce analytical relationships between  $PAO_2$  and  $ScO_2$ , and  $PaO_2$  and  $SaO_2$ . Kellman<sup>6</sup> has developed a nonlinear analytical expression relating oxygen tension and saturation given pH, PCO2 and temperature. This relation was used to obtain both SćO<sub>2</sub> estimates from PAO<sub>2</sub> and SaO<sub>2</sub> estimates from PaO<sub>2</sub> with the assumed levels of pH, PCO<sub>2</sub> and temperature being the same in both cases. (The usual assumptions were pH = 7.4,  $PCO_2 =$ 40 mmHg, temperature =  $37^{\circ}$ C.) The commonly used simplifying assumption of setting ScO2 equal to unity was avoided to provide for accuracy under conditions of low barometric pressure. Unfortunately, even with the Kellman equation, equation (1) is not easily solved because we are dealing with the solution of a set of two nonlinear equations (i.e., equation (1) and Kellman's equation). In the case of this study a computer-based successive approximation method was employed to obtain a solution. This amounted to iteratively making successively more accurate estimates of PaO<sub>2</sub> levels which met both equation (1) and Kellman's equation. Equation (1) was solved in this way to an accuracy of 0.005 mmHg for various values of Hb, Ca-vO2 and FIO<sub>2</sub>.



FIGURE Variation in arterial/alveolar PO<sub>2</sub> ratio (a/APO<sub>2</sub>) with varying inspired oxygen levels (FiO<sub>2</sub>) and various levels of pulmonary shunt. Here Hb is 150 g·L<sup>-1</sup>. Ca- $\overline{v}O_2$  is 5 vol%, pH is 7.4, PaCO<sub>2</sub> is 40 mmHg, PB is 760 mmHg, R is 0.8, and body temperature is 37° C. Note the strong dependence of a/APO<sub>2</sub> on FiO<sub>2</sub> at high shunt levels. The table presents some of this data in more detail.

#### Results

The figure shows how a/APO<sub>2</sub> varies with pulmonary shunt for FiO<sub>2</sub> levels of 21, 30, 40, 50, 60, 70, 80, 90, and 100 per cent and with Ca- $\overline{v}O_2$  chosen to be 5 vol% and Hb set to 150 g  $\cdot$  L<sup>-1</sup>. Here, for a given FIO2, a/APO2 always decreases with shunt, while for a given shunt, a/APO<sub>2</sub> may vary in either direction with increases in FIO2. For example, for a patient with the above Ca-vO2 and Hb values and with a five per cent shunt, when breathing room air the a/APO<sub>2</sub> will be 0.848 while at an FIO<sub>2</sub> of 40 per cent the a/APO2 will drop to 0.740 and on 100 per cent oxygen the a/APO2 will rise to 0.875. Similarly, with a 30 per cent shunt the a/APO<sub>2</sub> will be 0.525 on room air, 0.257 on 40 per cent oxygen and 0.163 on 100 per cent oxygen. As can be seen from the figure, variation of a/APO<sub>2</sub> with FIO<sub>2</sub> seems to increase with shunt. In the table we present some of the data in more detail. Of particular interest is the observation that the ratio of maximum to minimum a/APO<sub>2</sub> values across the FIO<sub>2</sub> values chosen increases with shunt, i.e., a/APO<sub>2</sub> varies more across F1O<sub>2</sub> values with increasing shunt. Similar results were obtained for other haemoglobin and  $Ca-\bar{v}O_2$  values. Clearly, the data suggest that a/APO<sub>2</sub> may vary considerably with FiO<sub>2</sub> under

Per cent shunt	a/APO2 on 21% oxygen	a/APO₂ on 100% oxygen	Minimum a/APO2	Maximum a/APO2	Max/min ratio
5	0.848	0.875	0.740(40)	0.875(100)	1.18
10	0.745	0.738	0.536(40)	0.745(21)	1.39
15	0.670	0.587	0.386(50)	0.670(21)	1.74
20	0.612	0.425	0.281(60)	0.612(21)	2.18
25	0.565	0.268	0.207(70)	0.565(21)	2.73
30	0.525	0.163	0.155(90)	0.525(21)	3.39
35	0.489	0.117	0.117(100)	0.489(21)	4.18
40	0.455	0.096	0.096(100)	0.455(21)	4.74
45	0.422	0.083	0.083(100)	0.422(21)	5.08
50	0.389	0.073	0.073(100)	0.389(21)	5.33

TABLE Detailed results of some of the data shown in the figure

Note that the variation of  $a/APO_2$  across  $FtO_2$  increases with shunt. Here  $Ca-\bar{v}O_2$  was set at 5 vol% with a haemoglobin of  $150 \text{ g} \cdot L^{-1}$ . Values in parentheses given are per cent  $FtO_2$  values at which a maximum or minimum was found.

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#### Résumé

Une analyse critique du rapport de la tenson d'oxygène artérielle/alvéolaire  $(a/APO_2)$  est présentée en réarrangeant les termes de l'équation du shunt pulmonaire. Les influences du shunt et de la concentration d'oxygène inspirée sur le rapport  $a/APO_2$  sont illustrées. Il est démontré que malgré les assertions contraires, les rapports  $a/APO_2$  varient avec la FIO<sub>2</sub> particulièrement à des niveaux de shunt élevés.

**Discussion** The  $a/APO_2$  ratio has been discussed widely in the critical care literature, but to date an analytical basis for understanding its behaviour with alterations of various physiological variables has not been available. Such an analysis based on the shunt equation is presented here. The widely held belief that  $a/APO_2$  is relatively independent of FtO<sub>2</sub> is examined critically and is shown to be true only to a limited extent, particularly with large shunts. This is consistent with the later work of Gilbert *et al.*<sup>7</sup> who partially altered their original statements regarding alleged  $a/APO_2$  stability with FtO<sub>2</sub> changes to point out that in critically ill patients  $a/APO_2$  may change with FtO<sub>2</sub>, although less so than A-aPO<sub>2</sub>.

The above notwithstanding, it is the author's belief that the  $a/APO_2$  remains a clinically useful index of gas exchange when its limitations are appreciated. Although the  $a/APO_2$  is not independent of FIO<sub>2</sub>, it can be shown to be less dependent of FIO<sub>2</sub> than the A-aPO<sub>2</sub>, and on this basis alone it may be useful.

### References

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## Appendix

Derivation of equation (1):

$$Z (= Qs/Qt) = (CćO_2 - CaO_2)/(CćO_2 - C\bar{v}O_2)$$

Let

 $C\bar{v}O_2 = CaO_2 - Ca-\bar{v}O_2.$ 

Then

$$Z = (C\acute{c}O_2 - CaO_2)/(C\acute{c}O_2 - CaO_2 + Ca-\bar{v}O_2)$$
 or

$$CćO_2 - CaO_2 = Z \times (CćO_2 - CaO_2 + Ca-\bar{v}O_2)$$

Next, expressing oxygen contents in terms of oxygen saturation and oxygen tension, we have:

$$\begin{split} (ScO_2 - SaO_2) \times Hb + (PAO_2 - PaO_2) \times 0.0031 \\ &= Z \times [(ScO_2 - SaO_2) \times Hb + (PAO_2 \\ &- PaO_2) \times 0.0031 + Ca-\bar{v}O_2] \end{split}$$

or

$$\begin{split} & [PAO_2 - PaO_2] \times (1 - Z) \times 0.0031 \\ & + [ScO_2 - SaO_2] \times Hb \times 1.34 \times (1 - Z) \\ & = Z \times Ca \cdot \tilde{v}O_2 \end{split}$$

or

$$P_{AO_{2}} - P_{aO_{2}} = [Ca \cdot \hat{v}O_{2} \times Z/(1 - Z) - 1.34 \\ \times (ScO_{2} - SaO_{2}) \times Hb]/0.0031$$

or

$$PaO_2 = PAO_2 - [Ca \cdot \bar{v}O_2 \times Z/(1 - Z) - 1.34 \times (ScO_2 - SaO_2) \times Hb]/0.0031.$$

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