

# Anesthésie chez le nouveau-né

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Les progrès du diagnostic prénatal, des soins obstétricaux et de la chirurgie néonatale ont augmenté la survie du nouveau-né à haut risque. Des interventions chirurgicales nouvelles et plus fréquentes ont accru l'implication de l'anesthésiste dans le soin périnatal de l'enfant à terme et prématuré. L'administration de l'anesthésie chez ces enfants compte parmi les défis les plus exigeants auxquels un anesthésiste peut avoir à faire face. Il comporte des difficultés évidentes associées à la petite taille des nouveau-nés, ce qui rend les tâches techniques de routine comme la ponction veineuse et l'intubation plus difficile. De plus, l'adaptation différente des nouveau-nés aux agents anesthésiques et autres substances, ajoute un stress additionnel à l'anesthésie de routine. Enfin, les aspects particuliers du développement de la physiologie du nouveau-né compliquent l'administration de l'anesthésie dans cette population et augmentent le risque de complications sérieuses telles l'hypoxémie, l'hypothermie, ainsi que les anomalies hydro-électrolytiques.

Les soins anesthésiques doivent être donnés à ces patients seulement par des anesthésistes complètement familiers avec les aspects particuliers de la physiologie et de la pharmacologie du nouveau-né en développement, et qui sont préparés aux défis techniques et aux complications possibles de l'anesthésie néonatale.

## Considérations physiologiques

### *Physiologie de transition chez le nouveau-né*

Une des différences les plus importantes entre le nouveau-né et l'enfant plus vieux se retrouve dans la transition de la physiologie cardio-pulmonaire intra-utérine vers la physiologie adulte. Chez l'adulte, les ventricules pompent en série, avec le sang désaturé qui passe à travers le côté droit du cœur vers l'artère pulmonaire. Le sang saturé d'oxygène retourne ensuite des poumons vers le côté gauche du cœur et est remis en circulation en périphérie. La circulation fœtale, par contre, est un circuit parallèle dans lequel il y a deux sites de mélange de sang saturé et désaturé, la fenêtre ovale et un canal artériel. La fermeture physiologique de ces deux sites tôt après la naissance amène la transition vers la circulation en série de l'adulte.<sup>1</sup>

Dans l'utérus, les échanges gazeux et la captation d'éléments nutritifs pour le fœtus se fait par le placenta. Le sang oxygéné, saturé à 80%, retourne du placenta vers

la veine ombilicale et se rend vers la veine cave inférieure. A ce niveau le sang qui arrive du placenta se mélange avec celui qui revient des extrémités inférieures du fœtus, et ceci ramène la saturation à environ 70%. Ce sang est de façon dominante dirigé vers l'oreillette gauche à travers la fenêtre ovale. La saturation du sang du côté gauche du cœur est de 65% après son mélange avec le sang de retour veineux des extrémités supérieures et du lit vasculaire pulmonaire exempt d'échanges gazeux. Une partie du sang qui revient du placenta se mélange avec celui qui arrive de la veine cave supérieure et entre dans le ventricule droit. Ceci amène une saturation de 55% au niveau du cœur droit. Le débit sanguin vers les poumons du fœtus constitue à peine 7% du débit ventriculaire combiné, puisque les poumons ne participent pas aux échanges gazeux *in utero*. En fait, le lit pulmonaire fœtal utilise l'oxygène disponible dans la circulation pulmonaire pour sa croissance et son métabolisme. La résistance vasculaire pulmonaire est très élevée chez le fœtus. Ceci limite la circulation pulmonaire et amène le sang à passer directement dans l'artère pulmonaire principale à travers le canal artériel perméable jusque vers l'aorte descendante. Ce sang se mélange ensuite avec celui qui traverse l'arc aortique et perfuse la partie inférieure du corps et le placenta. La saturation de ce sang est d'environ 60%. La déviation préférentielle et la différenciation dans le mélange du sang placentaire dans le cœur procure au myocarde et au cerveau du fœtus en développement un sang plus riche en oxygène et en éléments nutritifs que celui qui perfuse la partie inférieure du corps.<sup>2</sup>

À la naissance, la circulation fœtale est le siège de changements remarquables par la conversion de la physiologie cardio-pulmonaire fœtale en une physiologie adulte; plusieurs de ces changements se produisent en quelques secondes. La première respiration néonatale s'accompagne d'une augmentation du débit sanguin vers les poumons par suite d'une diminution de la résistance vasculaire pulmonaire d'origine mécanique et hormonale, et les échanges gazeux néonataux commencent. L'augmentation du retour veineux pulmonaire augmente la pression dans l'oreillette gauche et produit une fermeture fonctionnelle de la fenêtre ovale. À peu près dans le même temps, le canal artériel commence à se contracter en réponse à une élévation de la pression partielle d'oxygène et par l'action de médiateurs endogènes qui incluent les prostaglandines. Quand le cordon ombilical est coupé et

predictor of respiratory morbidity and mortality than pulmonary flow tests.<sup>12</sup> A pre-operative DLCO >80 per cent predicted, is associated with a <10 per cent incidence of postoperative respiratory complications, while a DLCO <60 per cent has a >30 per cent risk of respiratory complications.

Any of the common tests of lung function will give a falsely low estimate of postoperative function if there is an obstructing lesion in a major airway. For pneumonectomies it may be possible to revise downward the estimated risk on the basis of a bronchoscopy report or  $\dot{V}/\dot{Q}$  scan. However, for resections of one lobe or less the  $\dot{V}/\dot{Q}$  scan,<sup>13</sup> bronchography or bronchoscopy<sup>11</sup> do not enhance the estimate of postoperative pulmonary function.

An arterial blood gas breathing air must always be obtained and any patient with an elevation of the PaCO<sub>2</sub> is at high risk for even the most minor resection.

#### *Cardiovascular disease*

Cardiovascular complications occur as frequently as respiratory complications. Any ECG abnormality suggests an increased risk. Didolkar<sup>14</sup> in 1974 found a 22 per cent mortality after pulmonary resections if the preoperative ECG was abnormal, versus nine per cent with normal ECG. The Goldman index was developed in 1977 as a multifactorial predictor for cardiac risk in non-cardiac surgery.<sup>15</sup> Patients may be divided into four risk classes on the basis of a 0–53 point score. During 1986–1987 157 patients who had pulmonary resections at the Montreal General Hospital were studied prospectively. The Goldman score predicted a low risk (five per cent, 5/97) for the class 1 patients. However, there was no further discriminatory predictive power among the Goldman classes 2, 3 or 4 who had a combined cardiac risk of 33 per cent (20/60). Cardiomegaly on the chest x-ray<sup>6</sup> or a right-ventricular strain pattern on the ECG<sup>16</sup> were associated with a particularly high rate of cardiac complications.

#### *Exercise tolerance*

Exercise capacity may be the most specific predictor of post-thoracotomy cardio-respiratory complications.<sup>8</sup> Patients with a maximum O<sub>2</sub> consumption > 20 ml · kg<sup>-1</sup> min<sup>-1</sup> are extremely unlikely to have complications. Stair climbing is a useful but less objective assessment of cardio-respiratory reserve. Stair climbing capacity correlates with maximum voluntary ventilation, FEV<sub>1</sub> and DLCO.<sup>18</sup> A patient who can climb two flights without difficulty can usually tolerate even a pneumonectomy without problem.

#### *Extent of resection*

Morbidity is highest for pneumonectomy, less for lobectomy and still less for segmentectomy or wedge resection.<sup>4</sup> In patients with peripheral stage 1 carcinomas the five-year survival was not different after lobectomy than

after a lesser resection.<sup>19</sup> Miller<sup>20</sup> found an acceptable five-year survival of 31 per cent in 31 patients with marked impairment of pulmonary function (e.g., FEV<sub>1</sub> < 1.0 l) after wedge resection for non-small cell bronchogenic cancer.

Pneumonectomy, especially right pneumonectomy, is associated with cardiac complications. One study found the incidence of post-pneumonectomy arrhythmias was 26 per cent in patients with a previous history of angina, cardiac failure or hypertension; while it was only 2 per cent in others.<sup>21</sup> Pneumonectomy patients who have an intra-pericardial dissection or develop postoperative pulmonary oedema are also at increased risk for arrhythmias. The post-pneumonectomy mortality in another study<sup>22</sup> was 25 per cent with arrhythmias versus 10 per cent in non-arrhythmic patients. The commonest important postoperative arrhythmia is atrial fibrillation. In view of these risks, and since improving myocardial contractility seems to be an important treatment for sudden increases in right-ventricular afterload<sup>23</sup> it seems advisable to digitalize perioperatively any pneumonectomy patient with a history of cardiac disease.

#### *Surgical incision*

The use of median sternotomy has been described for lung resection. This incision gave a significant improvement of pulmonary function (in non-resection intrathoracic surgery) on postoperative days 2–4 versus lateral thoracotomy.<sup>24</sup> Urschel<sup>25</sup> described the successful, routine use of median thoracotomy for all types of lung resections, including 15 patients with preoperative FEV<sub>1</sub> < 0.8 l who were deemed to be inoperable by lateral thoracotomy.

Median sternotomy requires mandatory use of one-lung ventilation (OLV) and is technically more difficult for some left lower lobe and posterior chest wall lesions. It is extremely useful for simultaneous bilateral resections.<sup>26,27</sup>

#### **Concurrent medical therapy**

Another potential cause of improved outcome is improved preoperative therapy of concurrent medical problems. A large fraction of these patients has chronic obstructive pulmonary disease (COPD). These patients may have bronchospasm, atelectasis and/or infection which require optimal preoperative physical and medical treatment. In particular the anaesthetist should consider:

#### *The regular use of nebulized aerosol $\beta_2$ -agonists even in the absence of detectable wheezing*

Beta<sub>2</sub>-agonists have been shown to be therapeutic, and also prophylactic in preventing bronchoconstrictive mediator release from mast cells.<sup>28</sup>

*The additional use of nebulized aerosol ipratropium bromide, a topical atropine-like bronchodilator*

Ipratropium is long acting, synergistic with  $\beta$ -agonists<sup>29</sup> and particularly effective in COPD.<sup>30</sup> Also, intraoperative bronchospasm may, to large degree, involve parasympathetic reflexes.<sup>31</sup>

*Certain susceptible patients develop bronchial hyper-reactivity with left ventricular overload<sup>32</sup>*

Fluid overload should be avoided and/or aggressively treated.

In all patients with a malignancy the anaesthetist must consider the "3 M's" (mass effects, metabolic abnormalities and metastases). In particular there are a number of paraneoplastic syndromes (Cushings, SIADH, Eaton-Lambert, hypercalcaemia, etc.) associated with lung malignancy that must be evaluated preoperatively.

### **Intraoperative management**

*Monitoring:* There have been many recent advances in perioperative monitoring. Among the most important for pulmonary resection surgery are:

*Pulse oximetry*

The risk of arterial oxygen desaturation is much higher during lung surgery than most other forms of elective surgery. The availability of an "on-line" assessment of arterial oxygen saturation has improved the intraoperative safety. The anaesthetist must be aware of the reliability and limitations of the particular equipment used<sup>33</sup> and verify periodically with arterial blood gases.

*Invasive arterial pressure*

Surgery around the lung hilum often causes sudden wide swings in systemic blood pressure due to sympathetic stimulation or venous compression. Invasive monitoring simplifies intraoperative management and allows assessment of the postoperative PaCO<sub>2</sub>.

*Expired minute volume*

A ventilator which measures expired minute volume (e.g., Siemens 900 series) is particularly useful in thoracic anaesthesia. A leak from the ventilated to non-ventilated lung via a double-lumen tube, or a parenchymal or bronchial air leak will be demonstrated by a decrease in the expired volume.

*Expired CO<sub>2</sub>*

During one-lung ventilation, sudden changes in the ventilation of the dependent lung will be reflected by a change in the capnograph. This is an important early indication of surgical airway compression or tube dis-

placement that may otherwise be difficult to diagnose when the patient is in the lateral position.

*Intravascular volume*

The central venous pressure (CVP) does not seem to add much useful information in the majority of cases in the lateral position with the thorax open. As in other types of major surgery<sup>34</sup> if preload information is critical, a pulmonary artery (PA) catheter is more useful. It is quite common for a PA catheter in the non-dependent lung (ND-lung) to become permanently wedged during lung collapse for one-lung ventilation. Falsely low pulmonary capillary wedge pressures (PCWP) can occur after pneumectomy<sup>35</sup> or in other situations with an extensive loss of the pulmonary vascular bed. In the majority of lung resections CVP or PA monitoring is not needed.

*Fibreoptic bronchoscope (FOB)*

The FOB is a useful monitor to survey the placement of bronchial tubes or blockers. As with any new monitor it will take time until a consensus is reached whether it should be used routinely or only for specific indications.<sup>36</sup> The criteria for appropriate size and function of a suitable FOB have been described.<sup>37</sup> The risk/benefit ratio of FOB assessment of double-lumen tube position is so low that I recommend it for all cases involving one-lung ventilation. An appropriate FOB should be available in any institution that has a significant volume of elective pulmonary surgery. Training in the use of the FOB should now be a part of any anaesthetic residency program.

### **One-lung ventilation (OLV)**

The routine use of OLV for pulmonary resections was first advocated by Jenkins and Clarke<sup>38</sup> in 1957 and since that time has gradually increased in popularity. Complete acceptance of the routine use of OLV in chest surgery has been delayed by anaesthetists' legitimate concerns regarding intraoperative hypoxaemia, airway trauma and malposition of bronchial tubes or blockers. On the positive side, OLV makes the surgical dissection quicker and easier. In one prospective study of pulmonary surgery with/without OLV: there were no differences in the PaO<sub>2</sub> during OLV versus during two-lung ventilation with retraction of the ventilated ND-lung.<sup>39</sup>

Significant airway trauma occurs so rarely that it is difficult to study. Better designed double-lumen tubes<sup>40</sup> and further studies of their function<sup>41</sup> should decrease this risk. Increased use of the FOB for direct-vision bronchial intubation in difficult cases should also decrease airway trauma and tube malposition. Better understanding of the pathophysiology of OLV allows us to begin to predict which patients are likely to demonstrate oxygen desatu-

ration during OLV<sup>42</sup> and also allows the improvement of intraoperative techniques to treat and prevent hypoxaemia during OLV.<sup>43</sup>

OLV should be regarded as an anaesthetic technique used to facilitate surgery, similar to such techniques as controlled hypotension or muscle relaxation. OLV can aid in the management of any pulmonary resection and the decision to use OLV should be on the basis of a risk/benefit analysis by the anaesthetist and surgeon.

### Endobronchial tubes and blockers

For the majority of pulmonary resections there is no clear-cut advantage to using either a double-lumen bronchial tube or a bronchial blocker to achieve OLV. A new "Univent" tracheal tube which incorporates a movable bronchial blocker has had positive and negative reviews.<sup>44,45</sup> A new system of co-axial broncho-tracheal intubation has also been described.<sup>46</sup>

The use of double-lumen tubes allows easy and repetitive access to the ND-lung for visualization, suction or oxygenation. In the United States, routine use of double-lumen tubes for thoracic surgery is increasing. Silvey in a hospital survey in 1983 found the minority of anaesthetic departments used double-lumen tubes in >50 per cent of pulmonary resections.<sup>47</sup> When the study repeated in 1988<sup>48</sup> they found that the majority was now using double-lumen tubes for >50 per cent of cases. Most reports of tracheobronchial trauma from disposable double-lumen tubes suggest improper size<sup>49</sup> or overdistention of the bronchial cuff.<sup>50</sup>

Since the left main stem bronchus is longer than the right, the average margin of safety in positioning left double-lumen tubes (15–20 mm) is greater than that for right-sided tubes (0–5 mm).<sup>51</sup> Also, the concentric shape of the bronchial cuff on left-sided tubes permits more reliable one-lung isolation at lower bronchial cuff inflation pressures. However, the narrower left main bronchus is anatomically more difficult to intubate. The difficulty of bronchial intubation must be assessed preoperatively by reviewing the chest x-ray, CT scan and bronchoscopist's report. In cases with distorted peri-carinal anatomy it is best to intubate the bronchus under direct FOB guidance.

Although left-sided double-lumen tubes function well in the majority of left- and right-sided thoracotomies, their use is impossible in certain cases such as left main bronchial surgery. In these a right-sided tube must be used. There are major differences in the design and clinical function of currently available right-sided double-lumen tubes<sup>52</sup> leading to potential difficulty in achieving one-lung isolation and obstruction of the right upper lobe bronchus.

### Intraoperative ventilation

Dead space ventilation will increase from approximately 0.3 to 0.5 of tidal volume ( $V_T$ ) when the ventilated patient is turned from the supine to the lateral position. The dead space fraction may increase further or decrease with the onset of OLV. A simple method of ventilation is to use a  $V_T$  of  $10 \text{ ml} \cdot \text{kg}^{-1}$  for both two- and one-lung ventilation. A higher  $V_T$  may encourage more perfusion of the collapsed ND-lung due to increased airway pressure during OLV. A lower  $V_T$  may encourage dependent-lung atelectasis.<sup>53</sup>

The compliance of the dependent lung will decrease by 20 per cent and the functional residual capacity (FRC) by eight per cent after turning to the lateral position.<sup>54</sup> Once the thorax is open pulmonary mechanics change markedly. The FRC is the balance point between chest wall expansion and lung recoil. Once the thorax is open the ND-lung collapses and thus FRC is meaningless. Similarly the dependent lung will exhale slowly over a prolonged period as the mediastinum settles. With normal ventilation rates, this lung does not usually reach to its new FRC between breaths and a positive end-expiratory flow develops. Positive end-expiratory flow is associated with the development of intrinsic positive end-expiratory pressure (auto-PEEP). The mean level of auto-PEEP measured during routine OLV in a group of ten patients was 6 cm  $\text{H}_2\text{O}$  and correlated with the age of the patient.<sup>55</sup>

### Lung perfusion

The major haemodynamic changes occur with turning to the lateral position and an opening the chest. Subsequent initiation of OLV causes little cardiovascular change in most patients.<sup>56</sup> The venous return decreases in the lateral position and a secondary decrease in CVP, PCWP and cardiac output may follow. OLV causes no significant change in the mean PA pressure in most patients. Clamping the ipsilateral PA causes an average increase of 3 mmHg in the mean PA pressure in pneumonectomies.<sup>16</sup>

OLV will cause the intrapulmonary shunt ( $Q_s/Q_t$ ) to rise from levels of 0.15–0.2 during two-lung ventilation to 0.3–0.5 during OLV. There are several mechanisms which limit the increase of  $Q_s/Q_t$  during OLV:

- 1 The ventilated lung is usually the dependent lung and has a hydrostatic increase in perfusion pressure. The right lung normally receives 55 per cent of the cardiac output. In the lateral position the proportion of perfusion to the dependent lung increases by ten per cent. This will not apply during lung resection via median sternotomy.
- 2 Hypoxic pulmonary vasoconstriction (HPV) is a reflex which acts at the pulmonary arterioles via a mechanism that seems to involve leukotrienes<sup>57</sup> to decrease perfusion to hypoxic lung regions. A maximal HPV re-

sponse decreases regional perfusion by 50 per cent.<sup>58</sup> HPV functions best during normal homeostasis and large deviations of PaCO<sub>2</sub>, PETCO<sub>2</sub>, PA pressure or mixed venous PO<sub>2</sub> cause inhibition. Lung trauma, aspiration, catecholamines and vasodilators also inhibit HPV. The volatile anaesthetics halothane,<sup>59</sup> enflurane and isoflurane<sup>60</sup> are mild inhibitors of HPV in clinical doses.

There is no consensus on how important HPV is during OLV.<sup>61</sup> Clinical studies suggest that volatile anaesthetic agents do not impair oxygenation during OLV.<sup>62</sup>

3 Mechanical factors due to atelectasis may decrease regional perfusion, but this is not clear.<sup>63–66</sup> These studies have largely been on animal models and extrapolation to the clinical setting is uncertain.

Early studies of OLV suggested that arterial oxygen desaturation occurred in 15–20 per cent<sup>67,68</sup> of cases. The nadir of the fall in PaO<sub>2</sub> occurred 15–30 minutes after the start of OLV. It has been stated that the decrease in PaO<sub>2</sub> is unpredictable.<sup>69</sup> However, in a prospective study (unpublished data) we found that the PaO<sub>2</sub> decreased to <80 mmHg during OLV in only 6/80 (7.5 per cent) of patients. The PaO<sub>2</sub> during OLV correlated directly with the PaO<sub>2</sub> during two-lung ventilation and inversely with the preoperative FEV<sub>1</sub> per cent. Also, patients having left-sided thoracotomies had better PaO<sub>2</sub> values during OLV than those having right-sided surgery. Patients who had decreased perfusion to the operative lung (ND-lung) on a preoperative  $\dot{V}/\dot{Q}$  scan had better PaO<sub>2</sub> values during OLV.<sup>70,71</sup>

### Treatment of Hypoxaemia during OLV

There are several methods of treating and/or preventing hypoxaemia during OLV:

#### *Re-expansion of the lung*

Periodic re-inflation of the ND-lung is a reliable treatment for hypoxaemia.<sup>72</sup> However, this will interfere with surgery.

#### *Obstruction of the ipsilateral pulmonary artery*

This can be done by surgical clamping or a PA balloon. These manoeuvres require surgical manipulation and are generally only feasible during pneumonectomys.

#### *Manipulation of the tidal volume to the dependent lung*

Patients who have a low PaO<sub>2</sub> with a small VT (7 ml · kg<sup>-1</sup>) may show improved PaO<sub>2</sub> with a larger VT (14 ml · kg<sup>-1</sup>) and vice versa.<sup>53</sup>

#### *PEEP to the dependent lung*

In the majority of patients this produces a deterioration in

PaO<sub>2</sub>.<sup>53</sup> However, the occasional individual with considerable arterial desaturation improves with PEEP. Studies of PaO<sub>2</sub> during OLV with PEEP have not allowed for the probable complex interaction of applied (extrinsic) PEEP with auto-peep.<sup>55</sup>

#### *Continuous positive airway pressure (CPAP) to the ND-lung*

This is the most useful single technique.<sup>73</sup> However, the levels of CPAP should be kept low (2–5 cmH<sub>2</sub>O) and the lung allowed to deflate partially to give an optimal compromise between PaO<sub>2</sub> and surgical exposure.<sup>43</sup>

#### *High frequency ventilation (HFV) of the ND-lung*

This gives excellent PaO<sub>2</sub> values during OLV but causes dilatation of central airways and interferes with the surgical resection.<sup>74,75</sup> HFV may be more useful during non-pulmonary thoracic surgery.

#### *Pharmacological manipulations*

Dobutamine (5 μg · kg<sup>-1</sup> · min<sup>-1</sup>) can cause an increase in PaO<sub>2</sub> during OLV, apparently due to better V/Q matching.<sup>76</sup> Clinically, I have not found the degree of increase in PaO<sub>2</sub> to be useful in those patients who desaturate.

ND-lung CPAP is all that is needed for the majority of patients who demonstrate arterial desaturation during OLV. For refractory patients a combination of the above techniques may be superior to CPAP alone.<sup>77</sup>

### Anaesthetic techniques

Yeager *et al.*,<sup>78</sup> in a study of high-risk patients, found the combined use of "light" general anaesthesia with epidural anaesthesia/analgesia (EAA) reduced postoperative complications. This would question the popular notion that anaesthetic technique has no influence on outcome. A report of 90 pulmonary resections using EAA described very low morbidity and mortality figures.<sup>79</sup>

During thoracic surgery nitrous oxide is of no benefit. It is contraindicated with bullae or pneumothoraces. It offers less protection against collapse of low V/Q lung regions than O<sub>2</sub> alone.<sup>80</sup> N<sub>2</sub>O mildly inhibits HPV and can cause overdistention of an air-filled bronchial cuff. Substitution of air for N<sub>2</sub>O during lung surgery decreases postoperative atelectasis.<sup>81</sup>

It is possible that allowing complete atelectasis of the ND-lung may cause a temporary dysfunction after re-expansion. Re-expansion pulmonary oedema can occur after only several hours of collapse.<sup>82</sup> In dogs, lung water is less after slow than after fast re-expansion.<sup>83</sup> Nevin<sup>84</sup> found better postoperative PaO<sub>2</sub> values and fewer chest infections if the ND-lung was maintained distended with HFV during OLV versus collapse.

### Fluid management

This has not been well studied for chest surgery. In the absence of extensive chest wall or mediastinal dissection there does not seem to be a large potential "third space" loss. Surgical manipulation of the ND-lung probably interferes with lymphatic flow and the lung's defences against fluid overload are reduced. Dependent lung oedema can also occur, perhaps related to an increased pulmonary capillary pressure in this lung<sup>85</sup> but not in the collapsed lung. Pulmonary congestion can develop easily with fluid overload in thoracic surgery.<sup>86</sup> Excessive intraoperative fluid administration correlates with post-pneumonectomy pulmonary oedema.<sup>21</sup>

EAA makes it more difficult to avoid fluid overload since these patients tend to be hypotensive. Right ventricular function becomes more important in the setting of decreased preload and a sudden increase in afterload.<sup>87</sup> This may necessitate more liberal perioperative use of invasive monitoring, vasopressors and inotropes. It should also be noted that perioperative blood transfusion may decrease long-term survival after lung cancer surgery.<sup>88</sup>

### Postoperative management

#### *Respiratory function*

The early recovery of respiratory function after lung surgery has not been carefully studied. Much of what has been written is extrapolated from other types of surgery. The effect of the thoracotomy itself will disappear by six weeks.<sup>89</sup> The immediate decrease in vital capacity (VC) (40 per cent) is less than after upper abdominal surgery (60 per cent). However, the VC will continue to decline after thoracotomy until the third postoperative day. In all other types of surgery the VC rises after the first day.<sup>90</sup> This may contribute to the high incidence of respiratory complications in the two to four days after surgery.

After pulmonary surgery there is probably a degree of diaphragmatic dysfunction,<sup>91</sup> similar to that seen after upper abdominal surgery.<sup>92</sup> The work of respiration doubles during the first postoperative week. This increased work of breathing must be met by increased chest wall work. For upper abdominal surgery some of what was thought to be diaphragm dysfunction may be related to abnormal abdominal muscle activity.<sup>93</sup>

The FEV<sub>1</sub> will decrease to 35–45 per cent of the preoperative value in the immediate postoperative period.<sup>94</sup> The early loss of pulmonary function is disproportionately high for lobectomies versus pneumonectomies,<sup>95</sup> but by six weeks the loss of FEV<sub>1</sub> is in proportion to the amount of lung resected.<sup>96</sup> Clinically, lobectomy patients tend more toward postoperative respiratory than cardiac complications.

### Postoperative analgesia

Among the many recent innovations are:

#### *Epidural/spinal opioid analgesia*

The incidence of postoperative complications is related to the loss of pulmonary reserve. Epidural analgesia is the only form of post-thoracotomy analgesia consistently shown to improve pulmonary function. In a double-blind study Shulman<sup>94</sup> showed a significantly greater early recovery of FEV<sub>1</sub> to 67 per cent of preoperative values with epidural morphine versus 44 per cent with parenteral morphine. The expected FEV<sub>1</sub> recovery two weeks after a lobectomy is only 63 per cent.<sup>97</sup> There is at present no consensus on the optimal drug, dosage, route or combination with local anaesthetic.

#### *Intrapleural analgesia*

Although useful in some patients, this has not had the same success rate following thoracic as upper abdominal surgery. It was not found superior to parenteral opioids.<sup>98</sup>

#### *Cryoanalgesia*

Mechanical freezing of the intercostal nerves provides a degree of analgesia, but this was found inferior to epidural fentanyl.<sup>99</sup> Also, there is some concern regarding the incidence of neuralgia following cryoanalgesia.<sup>100</sup>

#### *Thoracic paravertebral block*

This has been described for analgesia after thoracic trauma<sup>101</sup> and surgery.<sup>102</sup> The spread of anaesthetic seems extremely variable. It has not yet been studied in a controlled fashion.

#### *Intercostal local anaesthetic blockade*

Intercostal blockade: single/multiple and intermittent/continuous is a useful and well known method of post-thoracotomy analgesia.<sup>103</sup> It was not found to be superior to epidural local anaesthetic.<sup>104</sup>

#### *Patient controlled analgesia (PCA)*

Recent advances in pump design have made this a valuable clinical tool.<sup>105</sup> In patients unsuitable for epidural analgesia, we use a combination of intercostal block and PCA opioid.

### Summary

Management options to consider in the high-risk patient for pulmonary resection include:

- 1 The use of EAA plus a postoperative pain management scheme to optimize pulmonary function in the critical two to four days after surgery.
- 2 The use of a "step-down" or intermediate care area,<sup>106</sup> with a level of monitoring between that of the intensive

care unit and the regular postoperative ward, for the initial three to four days.

- 3 Preoperative optimization of concurrent medical conditions with aggressive physical and medical therapy.
- 4 Careful titration of intra-operative fluids with early recourse to invasive monitoring, vasopressors and inotropes. Perioperative digitalization of patients with a history of cardiovascular disease for pneumonectomy.
- 5 Avoidance of N<sub>2</sub>O. Ventilate intraoperatively with an air/oxygen mixture, during both two- and one-lung ventilation, titrated against the arterial oxygen saturation. Avoidance of complete intraoperative atelectasis of the ND-lung with a low level of air/oxygen CPAP.
- 6 Surgical alternatives. The use of a median sternotomy or limited resection.

A simple cost/benefit analysis tells us that not every recent advance in thoracic anaesthesia is indicated for every patient. It is now part of the anaesthetist's responsibility to identify the high-risk patient and to develop an appropriately stratified management plan.

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