

Accuracy of end-tidal PCO_2 measurements using a sidestream capnometer in infants and children ventilated with the Sechrist infant ventilator

Simon C. Hillier MB ChB FFARCS,
J. Michael Badgwell MD, M. Elizabeth McLeod MD FRCPC,
Robert E. Creighton MD FRCPC, Jerrold Lerman MD FRCPC

To determine the accuracy of end-tidal PCO_2 (PETCO_2) measurements analyzed with a sidestream capnometer in infants and children whose lungs were ventilated with a Sechrist infant ventilator and an Ayre's t-piece, we compared PETCO_2 measurements obtained from the proximal (PETCO_{2-p}) and distal (PETCO_{2-d}) ends of the tracheal tube to arterial PCO_2 (PaCO_2) in 37 healthy infants and children between 1.3 and 24.5 kg. Both PETCO_{2-p} and PETCO_{2-d} accurately approximated PaCO_2 , however, the mean (\pm SD) arterial to end-tidal PCO_2 difference ($\Delta(a\text{-ET})\text{PCO}_2$) was significantly greater with proximal (1.27 ± 1.54 mmHg) than with distal sampling (0.64 ± 1.64 mmHg) ($P < 0.01$). In the subgroup of patients who weighed < 12 kg, the $\Delta(a\text{-ET})\text{PCO}_2$ using proximal gas sampling (1.94 ± 1.29 mmHg) was also significantly greater than it was using distal sampling (0.74 ± 1.31 mmHg) ($P < 0.001$). We conclude that although statistically different, both proximal and distal estimates of PETCO_2 provide acceptable estimates of PaCO_2 in healthy infants and children who are ventilated with a Sechrist infant ventilator and an Ayre's t-piece system.

Chez 37 enfants en bonne santé pesant de 1,3 à 24,5 kg, nous avons mesuré la PCO_2 en fin d'expiration (PETCO_2) avec un capnomètre à infrarouge qui aspirait soit au bout distal (PETCO_{2-d}) soit au bout proximal (PETCO_{2-p}) d'un tube endotrachéal branché sur un respirateur Sechrist ou sur une pièce en T de type Ayre. La PETCO_{2-p} et la PETCO_{2-d} représentaient toutes deux, un estimé adéquat de la PCO_2 artérielle. Toutefois la différence (moyenne \pm écart-type) entre la PCO_2 artérielle et en fin d'expiration ($\Delta(a\text{-ET})\text{PCO}_2$) était plus grande pour le site d'aspiration proximal que pour le site distal: $1,27 \pm 1,54$ mmHg vs $0,64 \pm 1,64$ mmHg, $P < 0,01$. Pour les patients de moins de 12 kg, ce gradient affichait le même comportement avec $1,94 \pm 1,29$ mmHg au site proximal et $0,74 \pm 1,31$ mmHg au site distal, $P < 0,001$. Malgré une certaine différence, les deux sites d'aspiration permettent donc d'obtenir une PETCO_2 estimant adéquatement la PaCO_2 d'enfants en bonne santé, ventilés avec une pièce en T ou un respirateur Sechrist.

Key words

ANESTHESIA: paediatric;
CARBON DIOXIDE: alveolar, arterial, end-tidal;
MEASUREMENT TECHNIQUES: capnometry;
VENTILATION: mechanical.

From the Department of Anaesthesia and the Research Institute, The Hospital for Sick Children, University of Toronto, Toronto, Ontario, Canada.

Presented, in part, at the Canadian Anaesthetists' Society Meeting, Calgary, Alberta, June 1987 and the American Society of Anesthesiologists, Atlanta, GA, October 1987.

Address correspondence to: Dr. J. Lerman, Department of Anaesthesia, The Hospital for Sick Children, 555 University Avenue, Toronto, Ontario, Canada M5G 1X8.

In previous studies the accuracy of end-tidal PCO_2 (PETCO_2) measurements as estimates of arterial PCO_2 (PaCO_2) were investigated in infants and children who were anaesthetized and whose lungs were mechanically ventilated.^{1,2} We found that with a non-rebreathing Siemens Elema 900C Servo ventilator, measurements of PETCO_2 obtained from both the proximal (PETCO_{2-p}) and distal (PETCO_{2-d}) ends of the tracheal tube in infants and children closely approximated the PaCO_2 . These findings held true even for infants who weighed less than 8 kg. However, when we repeated these studies with the Air Shields Ventimeter ventilator and the Ayre's t-piece breathing circuit, we found that while both PETCO_{2-p} and PETCO_{2-d} closely approximated the PaCO_2 in infants and children > 12 kg, only PETCO_{2-d} accurately approxi-

mated the PaCO₂ in infants < 12 kg.² These latter findings suggested that the inaccuracy of PETCO₂ measurements with the sidestream capnometry may be attributed, in part, to entrainment of fresh gas into the sampling line in infants with small tidal volumes and rapid respiratory rates.³ If entrainment of gas were the most important determinant of these large arterial-end-tidal PCO₂ differences ($\Delta(a-ET)PCO_2$), then we would expect similar differences in infants < 12 kg whose lungs were ventilated with other ventilators and the Ayre's t-piece breathing circuit.

The Sechrist Infant Ventilator (SIV) is used to ventilate the lungs of neonates and infants during anaesthesia and surgery. It is a continuous flow, pressure limited, time cycled device that is cycled by fluidic control using compressed air. During inspiration, an expiratory mushroom valve closes and the lungs inflate passively by the rapid inflow of gas into the breathing circuit. This gas comes from two sources: fresh gas from the anaesthetic machine and 100 per cent oxygen from the ventilator to prime the ventilator circuit. During expiration, the gas within the breathing circuit is actively vented down the expiratory limb by a venturi jet located at the expiratory valve. This jet generates a small negative pressure within the breathing circuit. We hypothesized that this active expiratory flow pattern improves the accuracy of PETCO₂ measurements as estimates of the PaCO₂, even when the PETCO₂ is sampled at the proximal end of the tracheal tube, and obviates the need for distal sampling in small infants. Thus, the present study was designed to determine the accuracy of PETCO₂ as an estimate of PaCO₂ in anaesthetized infants and children who were ventilated via a SIV and an Ayre's t-piece breathing circuit.

Methods

After obtaining approval from the Human Ethics Committee, 37 unpremedicated healthy infants and children, ages newborn to six years were studied. All patients were ASA physical status I or II, and scheduled for elective surgery. Patients with cardiopulmonary disease and those scheduled for thoracic or upper abdominal surgery were excluded. All patients were studied in the supine horizontal position. After thiopentone (5 mg·kg⁻¹), atropine (0.020 mg·kg⁻¹) and succinylcholine (1.5–2.0 mg·kg⁻¹) were administered IV, the trachea was intubated. The size of the tracheal tube was chosen so that the tracheal tube passed through the cricoid ring easily but allowed no audible gas leak at 30 cmH₂O pressure. Ventilation was then controlled with a SIV and an Ayre's t-piece circuit (Jackson-Rees modification) (Figure 1).

Anaesthesia was maintained with 65 per cent N₂O in O₂ and either halothane (0.5–1.5 per cent inspired concentration), or isoflurane (0.5–2.0 per cent inspired concentration) and fentanyl (0.5–2.0 µg·kg⁻¹). All patients were paralyzed with either d-tubocurarine or atracurium. The initial fresh gas flows were adjusted according to the formula of Rose and Froese to maintain the PETCO₂ between 26–44 mmHg.⁴ The inspiratory:expiratory ratio (I:E) was set at 1:2 and the tidal volume was approximately 15 ml·kg⁻¹ when peak inspiratory pressures were 20–30 cmH₂O. Respiratory rates were maintained at 20–30 breaths per minute. Positive end-expiratory pressure was 0–2 cmH₂O. The study was started after the lungs had been ventilated for at least 15 min at the desired ventilator setting. PETCO₂ was measured continuously by sampling gas (150 ml·min⁻¹) via a 1.5 m non-water permeable sampling tube from the proximal and distal ends of the tracheal tube and analyzing the gas in a calibrated Puritan-Bennett infrared analyzer. The analyzer was calibrated with a five per cent CO₂ reference gas mixture.

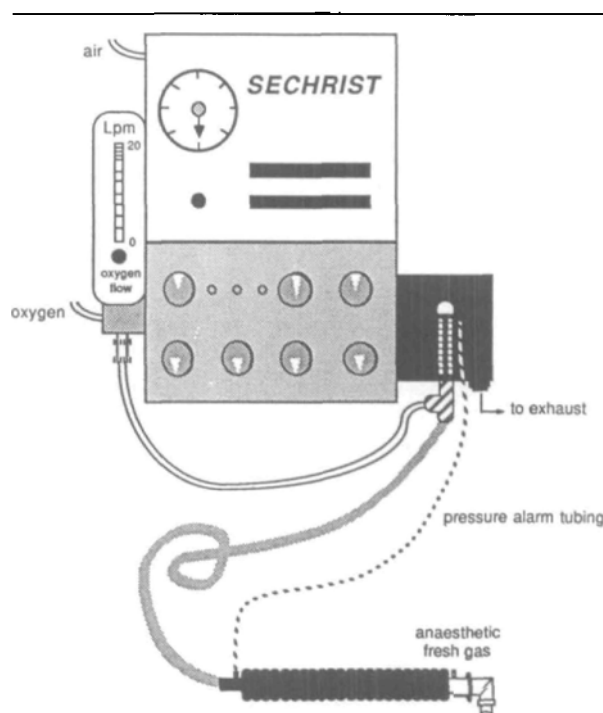


FIGURE 1 The configuration of the Sechrist Infant Ventilator and Ayre's t-piece breathing circuit (Jackson-Rees modification) used in this study. The expiratory valve is shown as the white semi-circle in the side-mounted black box.

The PETCO₂-p was sampled directly from the luer-lok port of the clear plastic elbow in the t-piece circuit. The PETCO₂-d measured from the distal end of the tracheal tube through a #19 G central venous catheter inserted via the luer-lok port to within 5 mm of the distal end of the tracheal tube.² All PETCO₂ measurements were corrected for the presence of 65 per cent nitrous oxide. The PETCO₂-p

The PETCO₂-p was sampled directly from the luer-lok port of the clear plastic elbow in the t-piece circuit. The PETCO₂-d measured from the distal end of the tracheal tube through a #19 G central venous catheter inserted via the luer-lok port to within 5 mm of the distal end of the tracheal tube.² All PETCO₂ measurements were corrected for the presence of 65 per cent nitrous oxide. The PETCO₂-p

and PETCO₂-d were measured in random order and within 15 min of each other during steady state. In most patients, both PETCO₂-p and PETCO₂-d were measured in the same patient. Arterial blood and PETCO₂ measurements were obtained simultaneously. The PaCO₂ was analyzed with a Corning 175 blood/gas analyzer using a CO₂ electrode that was calibrated before each use. Blood/gas analyses were not corrected for body temperature.

The differences between PaCO₂ and both PETCO₂-p and PETCO₂-d ($\Delta(a-ET)PCO_2$ -p and $\Delta(a-ET)PCO_2$ -d respectively) were compared to body weight using least squares linear regression analysis. The coefficient of determination (r^2) was determined for each regression. The slopes of the linear regressions were compared using the Student's *t* test.⁵ The $\Delta(a-ET)PCO_2$ -p and -d were compared using the Student's *t* test for paired data. Statistical significance was accepted if $P \leq 0.05$.

Results

Thirty-seven patients were studied. Both PETCO₂-p and PETCO₂-d were compared with PaCO₂ in 31 patients; PETCO₂-p alone was compared in three additional patients and PETCO₂-d alone in another three. Although only one paired comparison (PaCO₂ vs PETCO₂-p or PETCO₂-d) was made in each of these additional six patients, these data were included because in each case the PETCO₂-p or PETCO₂-d was compared with the patient's own PaCO₂.

The mean (\pm SD) weight of the 37 patients studied was 9.7 ± 5.9 kg. Patients in the PETCO₂-p and PETCO₂-d groups did not differ in weight or in the number of patients with negative $\Delta(a-ET)PCO_2$ values. The mean (\pm SD) $\Delta(a-ET)PCO_2$ -p (1.3 ± 1.5 mmHg) was significantly greater than that for distal measurements (0.6 ± 1.6 mmHg) ($P < 0.01$) (Table). For $\Delta(a-ET)PCO_2$ -p, $\Delta(a-ET)PCO_2$ increased inversely but linearly with body weight, $y = -0.157x + 2.82$, $r^2 = 0.37$ ($P < 0.001$) where y is $\Delta(a-ET)PCO_2$ -p and x is body weight (Figure 2). However, for $\Delta(a-ET)PCO_2$ -d, $y = -0.023x + 0.85$, $r^2 = 0.006$ ($P = NS$). Qualitatively, the capnographic waveforms obtained using proximal sampling were sim-

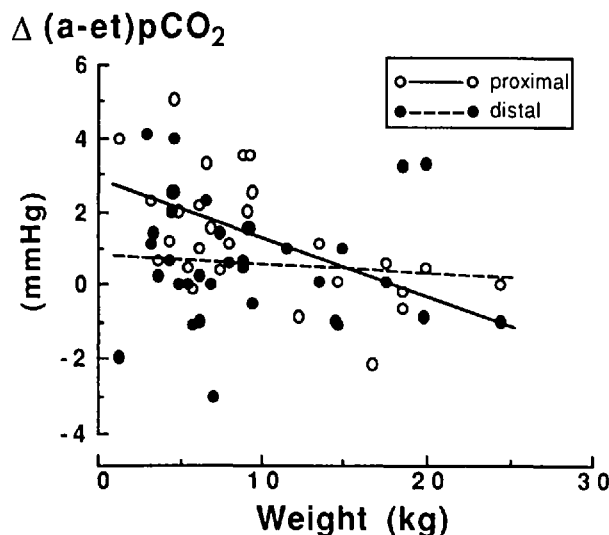


FIGURE 2 The difference between arterial and end-tidal PCO₂ values ($\Delta(a-ET)PCO_2$) compared to body weight using sampling sites at the distal and proximal ends of the tracheal tube.

ilar to those obtained using distal sampling (Figure 3). However, when the slopes of the regression lines for proximal and distal PETCO₂ were compared, the slope for the proximal data was significantly greater than that for the distal measurements ($P < 0.01$). When only patients < 12 kg in weight were considered, the mean weight was 6.2 ± 2.5 kg. The mean $\Delta(a-ET)PCO_2$ -p (1.94 ± 1.29 mmHg) was significantly greater than that for distal measurements (0.7 ± 1.3 mmHg) ($P < 0.001$) (Table). The linear regressions between $\Delta(a-ET)PCO_2$ -p or -d and body weight were not significant ($P = NS$).

Discussion

We found that both PETCO₂-p and -d closely approximated the PaCO₂ in infants and children whose lungs were ventilated with the SIV and an Ayre's *t*-piece. These results are in agreement with the results of distal sidestream capnometry with the Air Shields Ventimeter Ventilator reported in one study² and proximal sidestream capnometry with the Siemen's Elema Ventilator in another.¹ The magnitude of the differences between proximal and distal sampling is known to depend on several factors which include the patient weight, type of ventilator and breathing circuit, fresh gas flow, and capnometer (gas sampling) characteristics.^{1,2,6-9} In view of the many factors that can influence the accuracy of PETCO₂ measurements, we suggest that the interpretation of these results be applied with caution unless identical anaesthetic and monitoring equipment is used.

Several studies have demonstrated that the gas sampling site is an important determinant of the $\Delta(a-ET)PCO_2$

TABLE Demographic data and $\Delta(a-ET)PCO_2$ values

	All patients	Infants < 12 kg
Number	37	25
Weight (kg)	9.74 ± 5.89	6.19 ± 2.49
$\Delta(a-ET)PCO_2$ (mmHg):		
- proximal	1.27 ± 1.54	1.94 ± 1.29
- distal	$0.64 \pm 1.64^*$	$0.74 \pm 1.31^\dagger$

Data are means \pm SD.

* $P < 0.01$ compared with proximal in all patients.

† $P < 0.001$ compared with proximal in infants < 12 kg.

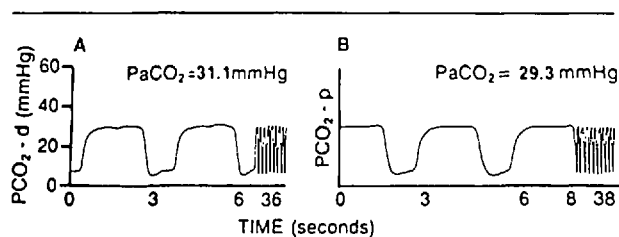


FIGURE 3 Capnographic waveforms obtained from the distal (A) and proximal (B) ends of the tracheal tube in a 3.3 kg newborn infant using the Sechrist Infant Ventilator. Fresh gas flow = 1.4 L · min⁻¹, respiratory rate = 20 breaths · min⁻¹, I:E ratio = 1:2 and airway pressure = 25/2 cmH₂O.

in small infants who are monitored with sidestream capnometry.^{2,10} Many clinicians prefer proximal site capnometry in lieu of distal site capnometry because the former is easy to use, requires little maintenance, and has minimal risk of blockage or contamination. Difficulties associated with distal site sampling include an increased risk of disconnection at the site of insertion of the catheter into the tracheal tube, and blockage of the catheter. Previous studies have recommended proximal site sidestream capnometry for accurate estimates of PaCO₂ during anaesthesia and surgery in healthy infants and children except when partial rebreathing circuits are used with infants < 12 kg in weight.^{1,2} In the latter instance, distal site capnometry is preferred. The results of this study indicate that although $\Delta(a-ET)PCO_{2-d}$ is statistically significantly less than $\Delta(a-ET)PCO_{2-p}$, these differences are small and are not likely to dictate the site of PETCO₂ monitoring in infants and children using an Ayre's t-piece and SIV.

In a previous study, we identified a clinically significant difference between PETCO_{2-p} and PETCO_{2-d} estimates of PaCO₂ in patients weighing < 8 kg¹ and < 12 kg² using an Air Shields Ventimeter and an Ayre's t-piece. However, the differences between PETCO_{2-p} and PETCO_{2-d} estimates of PaCO₂ in this study were not as large. To explain this difference, we examined the design and function of the SIV in relation to end-tidal gas sampling.¹¹ Cycling from inspiration to expiration occurs when the expiratory valve opens and the gas within the breathing circuit is vented through the valve (Figure 1). To ensure complete removal of expired gases from the breathing circuit, i.e., to avoid inadvertent PEEP, negative pressure is applied to the patient side of the expiratory valve of the SIV. This negative pressure is generated by a venturi jet that is located at the expiratory valve. The net effect is to divert fresh gas that might otherwise be entrained into the sampling line during expiration away from the proximal sampling site. This unique design attenuates the difference between proximal and distal

PETCO₂ estimates of PaCO₂ and provides more accurate proximal gas sampling with the SIV and Ayre's t-piece even in infants < 12 kg.

In summary, we found that when using the SIV with an Ayre's t-piece breathing circuit for infants and children, PETCO_{2-p} provides accurate estimates of the PaCO₂ even in infants < 12 kg.

Acknowledgements

The authors thank Ms. T. Cain for her assistance in preparing this manuscript and Ms. S. Johnston for her assistance with the artwork.

References

- 1 Badgwell JM, Heavner JE, May WS, Goldthorn JF, Lerman J. End-tidal PCO₂ monitoring in infants and children ventilated with either a partial rebreathing or a non-rebreathing circuit. *Anesthesiology* 1987; 66: 405–10.
- 2 Badgwell JM, McLeod ME, Lerman J, Creighton RE. End-tidal PCO₂ sampled at the distal and proximal ends of the endotracheal tube in infants and children. *Anesth Analg* 1987; 66: 959–64.
- 3 Epstein RA, Reznik AM, Epstein MAF. Determinants of distortion of CO₂ catheter sampling systems: a mathematical model. *Respir Physiol* 1980; 41: 127–36.
- 4 Rose DK, Froese AB. The regulation of PaCO₂ during controlled ventilation of children with a t-piece. *Can Anaesth Soc J* 1979; 26: 104–13.
- 5 Zar JH. *Biostatistical analysis*. 2d ed. Englewood Cliffs. Prentice Hall, 1984, pp. 292–5.
- 6 Kaplan RF, Paulus DA. Error in sampling of expired gases. *Anesth Analg* 1983; 62: 955–6.
- 7 Gravenstein N, Lampotang S, Beneken JEW. Factors influencing capnography in the Bain circuit. *J Clin Monit* 1985; 1: 6–10.
- 8 From RP, Scammon FL. Ventilatory frequency influences accuracy of end-tidal CO₂ measurements. Analysis of seven capnometers. *Anesth Analg* 1988; 67: 884–6.
- 9 Mogue LR, Rantala R. Capnometers. *J Clin Monit* 1988; 4: 115–21.
- 10 McEvedy BAB, McLeod ME, Mulera M, Kirpalani H, Lerman J. End-tidal, transcutaneous and arterial pCO₂ measurements in critically ill neonates: a comparative study. *Anesthesiology* 1988; 69: 112–6.
- 11 Sechrist Model IV-100B Operating manual. Heathdyne corporation. General description, pp. 7–23.