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# **Boundary Regularity for Parabolic Quasiminima**(\*).

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Summary. - We prove a Wiener-type criterion for parabolic Q-minima.

## 1. - Introduction.

Let  $D \in \mathfrak{R}^{n+1}$ , n > 2, be an open bounded set. In this paper we establish a sufficient condition for a boundary point of D to be regular for a parabolic Q-minimum. Our criterion is analogous to the Wiener-type criterion proved by W. P. ZIEMER [Z-2] for elliptic Q-minima.

The main tools in the proof of our result are the Harnack inequalities. In fact Harnack inequalities for functions in parabolic De Giorgi classes are proved by G. WANG [Wa].

Moreover W. WIESER [Wi] proved that parabolic Q-minima belong to parabolic De Giorgi classes.

The generic point  $z \in \Re^{n+1}$  will be denoted by z = (x, t), with  $x \in \Re^n$  and  $t \in \Re$ .  $u_x$  or  $\nabla_x u$  will denote the gradient on x of a function u(x, t).

Let  $H^{0,1}(D)$  be the space  $\{u \in L^2(D) | \nabla_x u \in L^2(D)\}.$ 

The existence of the parabolic Q-minima is connected to  $V^2(D)$ . It is the space of functions u which belong to  $H^{0,1}(D)$  and such that  $||u||_{L^2(D_t)} \in L_{\infty}(\mathfrak{R}^1)$  where  $D_t = : D \cap \{z = (x, \tau) | \tau = t\}$ .  $V^2(D)$  is endowed with the norm

$$\|u\|_{V^{2}(D)} = : \sup_{t} \left( \int_{D_{t}} u(x, t)^{2} dx \right)^{1/2} + \left( \int_{D} |\nabla_{x} u(x, t)|^{2} dx dt \right)^{1/2}.$$

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Let Z(D) be the space  $\{u \in \mathcal{O}^1(D) | u = \operatorname{div} g, g \in L^2(D)\}$  endowed with the norm  $\|u\|_{Z(D)} = \inf_{u = \operatorname{div} g} \|g\|_{L^2(D)}$  and  $W^1(D) = \{u \in H^{0, 1}(D), \frac{\partial u}{\partial t} \in Z(D)\}.$ 

We denote  $B_r(x_0) = \{x \in \mathfrak{R}^n, |x - x_0| < r\}$  if  $x_0 \in \mathfrak{R}^n$  and

$$\widehat{Q}_r(z_0) = B_r(x_0) \times (t_0 - r^2, t_0 + r^2)$$
 if  $z_0 = (x_0, t_0) \in \Re^{n+1}$ .

If  $u \in W^1(D)$ ,  $z_0 \in \partial D$  and  $l \in \Re$ , we say that

$$(1.1) u(z_0) \le 1 weakly$$

if for every k > 1 there is r > 0 and a sequence  $\{u_m\} \subseteq W^{1, \infty}(\mathfrak{R}^{n+1})$  such that  $u_m \to u$ in  $W^1(D \cap \widehat{Q}_r(z_0))$  and spt  $\eta(u_m - k)^+ \subset D \cap \widehat{Q}_r(z_0)$  whenever  $\eta \in C_0^{\infty}(\widehat{Q}_r(z_0))$ . The definition of

$$(1.2) u(z_0) \ge 1 weakly$$

is analogous, and  $u(z_0) = 1$  if both (1.1) and (1.2) hold.

Many different definitions of capacity are introduced to study the regularity problem for parabolic equations. A capacity which is «naturally» associated to the space  $V^2(D)$  is

(1.3) 
$$\operatorname{cap}_{*}(E) = \inf \left\{ \sup_{t \in D} \int u^{2} dx + \int_{D} |\nabla_{x} u|^{2} dx dt, u \in V^{2}(\mathfrak{R}^{n+1}), E \subset \operatorname{int} [u \ge 1] \right\}.$$

W. P. ZIEMER [Z-1] proved a sufficient condition for the regularity at the boundary of weak solutions of parabolic equations in term of the capacity  $cap_*$ . In analogy with the Newtonian capacity (cfr. [F.Z]), L. C. EVANS and R. GARIEPY [E.G] introduced the thermal capacity, which R. GARIEPY and W. P. ZIEMER [G.Z] proved to be strictly weaker than  $cap_*$ . In [G.Z] a Wiener criterion (only sufficient condition) is also proved for bounded weak solutions of parabolic equations, in terms of the thermal capacity.

This result improved of [Z-1].

In this paper we consider another type of parabolic capacity, which we will denote cap(E):

(1.4) 
$$\operatorname{cap}(E) = \inf \left\{ \int_{D} |\nabla_{x} u|^{2}, u \in C_{0}^{\infty}(\mathfrak{R}^{n+1}), E \subset \operatorname{int}[u \ge 1] \right\}.$$

Here E was a compact set.

BIROLI and MOSCO [B.M-2] showed that cap(E) is a Choquet capacity and, for any bounded Borel set E,

(1.5) 
$$\operatorname{cap}(E) = \int N - \operatorname{cap}(E_t) dt$$

where  $E_t = : E \cap \{z = (x, \tau) | \tau = t\}$  and N-cap denote the usual Newtonian capacity of  $E_t$  with respect to  $\Re^n$ . It is known (cf. [M]) that N-cap  $(E_t) =$ 

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 $= \sup \{ \|R_1 * \mu\|_2^{-1} | \operatorname{spt} \mu \in E_t, \, \mu(E_t) = 1 \}, \text{ where } \mu \text{ is a positive Borel measure in } \mathfrak{R}^n \text{ and } R_1(x) = \|x\|^{1-n}.$ 

If  $z_0 = (x_0, t_0) \in \partial D$  we will denote, for  $\alpha, r > 0$ ,

$$egin{aligned} R_{lpha}(r) &= B_r(x_0) imes \left(t_0 - rac{3}{4}lpha r^2, \, t_0 + rac{1}{4}lpha r^2
ight), \ R_{lpha}^*(r) &= B_r(x_0) imes \left(t_0 - rac{9}{4}lpha r^2, \, t_0 - rac{5}{4}lpha r^2
ight), \ \delta_{lpha}(r) &= rac{ ext{cap}\left(R_{lpha}^*(r) - D
ight)}{ ext{cap}\left(R_{lpha}^*(r)
ight)}. \end{aligned}$$

We will prove that

$$\int_{0}^{1} \exp\left(-\left[C\delta_{\alpha}(r)^{-1}\right]\right) \frac{dr}{r} = +\infty$$

is a sufficient condition for the regularity of a parabolic Q-minimum in  $z_0$ , where C depends on  $\|u\|_{L^2(D)}$  and the structure conditions of the Q-minimum.

Obviously  $\operatorname{cap}(E) \leq \operatorname{cap}_*(E)$ , and, a fortiori, thermal capacity is weaker than cap.

Then our result would be refined using cap<sub>\*</sub> or thermal capacity.

We don't know if it is possible to employ these capacities.

#### 2. - Q-minima and De Giorgi classes.

Consider a Caratheodory function

(2.1) 
$$F = F(z, u, p): D \times \Re \times \Re^n \to \Re$$

satisfying the growth condition

(2.2) 
$$\lambda |p|^2 - b|u|^2 - g(z) \leq F(z, u, p) \leq \mu |p|^2 + b|u|^2 + g(z)$$

where g is a nonnegative function,  $g \in L^q(D)$ , q > n and b,  $\lambda$ ,  $\mu$  are positive constants.

DEFINITION 1. – For  $Q \ge 1$  we define a function  $u: D \to \Re$  with  $u, u_x \in L^2(D)$ , to be a parabolic Q-minimum if for every  $\phi \in C_0^{\infty}(D)$  the following inequality

(2.3) 
$$-\int_{K} u\phi' dz + E(u, K) \leq QE(u - \phi, K)$$

holds, where  $K = \operatorname{spt} \phi$  and

$$E(w, K) = \int_{K} F(z, w, \nabla_{x} w) dz.$$

We can suppose that  $\phi$  is a Lipschitz function with spt $\phi \in D$ . A result of WEI-SER [Wi] is

PROPOSITION 1. – Let F satisfy (2.8) and  $u: D \to \Re$  be a Q-minimum such that  $u, u_x \in L^2(D)$ . Then  $u \in V^2(D)$ .

Wieser proved also that any Q-minimum belongs to a De Giorgi class. To expound a general definition of De Giorgi class we will need some new notations: for any  $\rho, \tau > 0, \ \overline{z} = (\overline{x}, \ \overline{t}) \in \Re^{n+1}$ , and  $k \in \Re$  we will denote

$$B_{\rho} = B_{\rho}(\bar{x}), \qquad Q_{\rho,\tau} = Q_{\rho,\tau}(\bar{z}) = B(\bar{x},\rho) \times (t, t+\tau),$$
$$(u-k)^{\pm} = \max(\pm u \mp k, 0) \quad \text{and} \quad A_{k,\rho,\tau}^{\pm} = Q_{\rho,\tau} \cap [(u-k)^{\pm} > 0]$$

DEFINITION 2. – A function  $u \in V^2(D)$  belongs to a De Giorgi class  $DG_2^{\pm}(D) = DG_2^{\pm}(D, L, q)$  if there exist some constants L > 0 and q > 1 such that, for any  $Q_{e_1,\tau} = Q_{e_1,\tau}(\overline{z}) \in D$  and any  $\sigma_1, \sigma_2 \in (0, 1), k \ge 0$  the following inequalities hold:

$$(2.4) \quad \max_{t \in [\bar{i}, \bar{t} + \tau]} \int_{B_{(1-\sigma_1)\rho}} |(u-k)^{\pm}(t)|^2 dx \leq \int_{B_{\rho}} |(u-k)^{\pm}(\bar{t})|^2 dx + L\left\{ (\sigma_1 \rho)^{-2} \int_{Q_{\rho,\tau}} |(u-k)^{\pm}|^2 dz + |A_{k,\rho,\tau}^{\pm}|^{1-1/q} \right\},$$

$$(2.5) \quad \max_{t \in [\bar{i}, \bar{t} + (1-\sigma_2)\tau]} \int_{B_{(1-\sigma_1)\rho}} |(u-k)^{\pm}(t)|^2 dx + \int_{Q_{(1-\sigma_1)\rho,(1-\sigma_2)\tau}} |\nabla(u-k)^{\pm}|^2 dz + L\left\{ (\sigma_1 \rho)^{-2} \int_{Q_{\rho,\tau}} |\nabla(u-k)^{\pm}|^2 dz + (\sigma_1 \rho)^{-2} \int_{Q_{\rho,\tau}} |\nabla(u-k)^{-2} dz + (\sigma_1 \rho)^{-2} \int_{Q_{\rho,\tau}} |\nabla(u-k)^{-2}$$

+  $L\left\{ \left[ (\sigma_1 \rho)^{-2} + (\sigma_2 \tau)^{-1} \right] \int_{Q_{\rho,\tau}} |(u-k)^{\pm}|^2 dz + |A_{k,\rho,\tau}^{\pm}|^{1-1/q} \right\}.$ 

The De Giorgi classes  $DG_2(D)$  are defined as

 $DG_2(D) = DG_2(D, L, q) = : DG_2^+(D, L, q) \cap DG_2^-(D, L, q)$  (with the same D, L, q).

The Harnack inequalities for functions in De Giorgi classes obtained by WANG [Wa] are the following:

THEOREM 1. - Let  $u(x, t) \in DG_2(D)$ ,  $\theta > 0$ , R > 0,  $B_R(\bar{x}) \times (\bar{t}, \bar{t} + \theta R^2) \subset D$ ,  $\sigma \in (0,1)$ . Then for any p > 0 there exists a constant C > 0 such that

$$\sup_{B_{\sigma R}(\bar{x}) \times (\bar{t} + (1 - \sigma^2)\theta R^2, \bar{t} + \theta R^2)} u(x, t) \leq C \left\{ \oint_{B_{\sigma R}(\bar{x}) \times (\bar{t}, \bar{t} + \theta R^2)} |u^+|^p dx dt \right\}^{1/p}$$

where C depends on p, n, L,  $\sigma$ ,  $\theta$ . We supposed  $\oint_{S} v \, dx \, dt = \frac{1}{|S|} \oint_{S} v \, dx \, dt$  where |S| denote the (n + 1)-dimensional measure of S.

THEOREM 2. – Let  $u(x, t) \in DG_2(D), \ \theta > 0, \ R > 0, \ B_R(\bar{x}) \times (\bar{t}, \bar{t} + \theta R^2) \subset D$ . Then for any  $\sigma_1$ ,  $\sigma_2 \in (0, 1)$ ,  $0 < \theta_1 < \theta_1 < \theta$  there exist positive constants  $p_0$  and C such that

$$\inf_{B_{\sigma_1R}(\bar{x})\times(\bar{t}+\theta_2R^2,\bar{t}+\theta R^2)} u(x,\,t) \ge C \left\{ \oint_{B_{\sigma_2R}(\bar{x})\times(\bar{t},\bar{t}+\theta_1R^2)} |u|^{p_0} dx dt \right\}^{1/p_0}$$

where C depends only n, L,  $\theta_1$ ,  $\theta_2$ ,  $\theta$ ,  $\sigma_1$  and  $\sigma_2$ .

### 3. - The Wiener condition.

If  $\bar{x} \in \Re^n$ , 0 < r < R, we will say that  $\eta(x)$  is a cut-off function for the pair  $(B_r(\bar{x}), B_R(\bar{x})) \text{ if } \eta(x) \in C_0^\infty(\mathfrak{N}^n), \ \eta(x) = 1 \text{ on } B_r(\bar{x}), \ \eta(x) = 0 \text{ on } \mathfrak{N}^n - B_R(\bar{x}), \ 0 \leq \eta(x) \leq 0 \text{ on } \mathfrak{N}^n + \mathbb{E}_R(\bar{x}), \ 0 \leq \eta(x) \leq 0 \text{ on } \mathfrak{N}^n + \mathbb{E}_R(\bar{x}), \ 0 \leq \eta(x) \leq 0 \text{ on } \mathfrak{N}^n + \mathbb{E}_R(\bar{x}), \ 0 \leq \eta(x) \leq 0 \text{ on } \mathfrak{N}^n + \mathbb{E}_R(\bar{x}), \ 0 \leq \eta(x) \leq 0 \text{ on } \mathfrak{N}^n + \mathbb{E}_R(\bar{x}), \ 0 \leq \eta(x) \leq 0 \text{ on } \mathfrak{N}^n + \mathbb{E}_R(\bar{x}), \ 0 \leq \eta(x) \leq 0 \text{ on } \mathfrak{N}^n + \mathbb{E}_R(\bar{x}), \ 0 \leq \eta(x) \leq 0 \text{ on } \mathfrak{N}^n + \mathbb{E}_R(\bar{x}), \ 0 \leq \eta(x) \leq 0 \text{ on } \mathfrak{N}^n + \mathbb{E}_R(\bar{x}), \ 0 \leq \eta(x) \leq 0 \text{ on } \mathfrak{N}^n + \mathbb{E}_R(\bar{x}), \ 0 \leq \eta(x) \leq 0 \text{ on } \mathfrak{N}^n + \mathbb{E}_R(\bar{x}), \ 0 \leq \eta(x) \leq 0 \text{ on } \mathfrak{N}^n + \mathbb{E}_R(\bar{x}), \ 0 \leq \eta(x) \leq 0 \text{ on } \mathfrak{N}^n + \mathbb{E}_R(\bar{x}), \ 0 \leq \eta(x) \leq 0 \text{ on } \mathfrak{N}^n + \mathbb{E}_R(\bar{x}), \ 0 \leq \eta(x) \leq 0 \text{ on } \mathfrak{N}^n + \mathbb{E}_R(\bar{x}), \ 0 \leq \eta(x) \leq 0 \text{ on } \mathfrak{N}^n + \mathbb{E}_R(\bar{x}), \ 0 \leq \eta(x) \leq 0 \text{ on } \mathfrak{N}^n + \mathbb{E}_R(\bar{x}), \ 0 \leq \eta(x) \leq 0 \text{ on } \mathfrak{N}^n + \mathbb{E}_R(\bar{x}), \ 0 \leq \eta(x) \leq 0 \text{ on } \mathfrak{N}^n + \mathbb{E}_R(\bar{x}), \ 0 \leq \eta(x) \leq 0 \text{ on } \mathfrak{N}^n + \mathbb{E}_R(\bar{x}), \ 0 \leq \eta(x) \leq 0 \text{ on } \mathfrak{N}^n + \mathbb{E}_R(\bar{x}), \ 0 \leq \eta(x) \leq 0 \text{ on } \mathfrak{N}^n + \mathbb{E}_R(\bar{x}), \ 0 \leq \eta(x) \leq 0 \text{ on } \mathfrak{N}^n + \mathbb{E}_R(\bar{x}), \ 0 \leq \eta(x) \leq 0 \text{ on } \mathfrak{N}^n + \mathbb{E}_R(\bar{x}), \ 0 \leq \eta(x) \leq 0 \text{ on } \mathfrak{N}^n + \mathbb{E}_R(\bar{x}), \ 0 \leq \eta(x) \leq 0 \text{ on } \mathfrak{N}^n + \mathbb{E}_R(\bar{x}), \ 0 \leq \eta(x) \leq 0 \text{ on } \mathfrak{N}^n + \mathbb{E}_R(\bar{x}), \ 0 \leq \eta(x) \leq 0 \text{ on } \mathfrak{N}^n + \mathbb{E}_R(\bar{x}), \ 0 \leq \eta(x) \leq 0 \text{ on } \mathfrak{N}^n + \mathbb{E}_R(\bar{x}), \ 0 \leq \eta(x) \leq 0 \text{ on } \mathfrak{N}^n + \mathbb{E}_R(\bar{x}), \ 0 \leq \eta(x) \leq 0 \text{ on } \mathfrak{N}^n + \mathbb{E}_R(\bar{x}), \ 0 \leq \eta(x) \leq 0 \text{ on } \mathfrak{N}^n + \mathbb{E}_R(\bar{x}), \ 0 \leq \eta(x) \leq 0 \text{ on } \mathfrak{N}^n + \mathbb{E}_R(\bar{x}), \ 0 \leq \eta(x) \leq 0 \text{ on } \mathfrak{N}^n + \mathbb{E}_R(\bar{x}), \ 0 \leq \eta(x) \leq 0 \text{ on } \mathfrak{N}^n + \mathbb{E}_R(\bar{x}), \ 0 \leq \eta(x) \leq 0 \text{ on } \mathfrak{N}^n + \mathbb{E}_R(\bar{x}), \ 0 \leq \eta(x) \leq 0 \text{ on } \mathfrak{N}^n + \mathbb{E}_R(\bar{x}), \ 0 \leq \eta(x) \leq 0 \text{ on } \mathfrak{N}^n + \mathbb{E}_R(\bar{x}), \ 0 \leq \eta(x) \leq$  $\leq 1, |\nabla \eta| \leq C/(R-r).$ 

If  $\overline{z} = (\overline{x}, \overline{t}) \in \Re^{n+1}$ , 0 < r < R, 0 < s < S, we will say that  $\eta(x, t)$  is a cut-off function for the pair  $(Q_{r,s}(\bar{z}), Q_{R,s}(\bar{z}))$  if  $\eta(x, t)$  is a Lipschitz function

$$\eta(x, t) = 1$$
 on  $Q_{r,s}$ ,

$$\begin{split} \eta(x,t) &= 0 \ \text{ on } (\Re^{n+1} - Q_{R,S})_{t>\bar{t}} = \{(x,t) \in Q_{R,S} \,| \, t > \bar{t}\}, \qquad 0 \leq \eta(x,t) \leq 1, \\ &|\eta'| + |\nabla \eta|^2 \leq C \bigg[ \frac{1}{(R-r)^2} + \frac{1}{S-s} \bigg]. \end{split}$$

We observe that no conditions are imposed on  $\eta$  for  $t \leq \overline{t}$ . In fact we will always multiply n for a function depending on the only variable t and having the support inclosed in  $\{t > t\}$ .

Let  $z_0 = (x_0, t_0) \in \partial D$  be. If  $u(z_0) \leq 1$  weakly, and l < k denote  $u_k = (u - k)^+$  prolonged by zero out of D and let r > 0 and  $\{u_m\} \in W^{1,\infty}(\mathfrak{R}^{n+1})$  such that  $u_m \to u$  in  $W^1(D \cap \widehat{Q}_{3r}(z_0))$ , spt  $\eta(u_m - k)^+ \in D \cap \widehat{Q}_{3r}(z_0)$  wheanever  $\eta \in C_0^{\infty}(\widehat{Q}_{3r}(z_0))$ .

We observe that the function  $u_k$  is bounded on any compact set of D because of the

Harnack inequality (Theorem 1). Moreover if  $u_k$  is prolonged out of D by zero, it remains bounded also near the boundary of D, the bound depending on  $||u||_{L^2(D)}$  and the structure conditions (2.1). Therefore for any fixed  $\alpha > 0$ ,  $u_k(2r) = \sup \{u_k(z), z \in R_{\alpha}(2r)\}$  is finite for all small r. This is applied in the proof of the following theorem.

THEOREM 3. – There exist positive constants C and  $p_0$  depending on  $||u||_{L^2(D)}$  and the structure conditions (2.1) such that, for any  $\alpha > 0$ ,

$$u_{k}(2r) - u_{k}(r) \ge C \left( \int_{R_{x}^{*}(r)} [u_{k}(2r) - u_{k}(z)]^{p_{0}} dz \right)^{1/p_{0}}$$

PROOF. - Let  $\overline{x} = x_0$ ,  $\overline{t} = t_0 - (9/4) \alpha r^2$ ,  $\overline{z} = (\overline{x}, \overline{t})$ . Denote  $v(x) = u_k(2r) - u_k(z)$  prolonged by  $u_k(2r)$  out of D. We observe that  $v, v_x \in L^2(D \cup Q_{2r,(5/4)zr^2}(\overline{z}))$ .

We want to prove that v belongs to a De Giorgi class  $DG_2^-(D \cup Q_{2r,(5/4)\alpha r^2}(\bar{z}))$ . We prove that v verifies (2.4) and (2.5) for any  $Q_{\rho,\tau}(\bar{z})$  with  $\rho < 2r$ ,  $\tau < (5/4)\alpha r^2$ . For  $0 < h < u_k(2r)$  consider  $(v - h)^-$  prolonged out of D by zero. Let  $\varphi_{m,\epsilon,h}(x,t) = -(\gamma^2(v_m - h)^- \tilde{K}^{\alpha}_{[t_1,\bar{t}+\tau]})_{\epsilon}$  where  $\gamma$  is a cut-off function for the pair  $(B_{2s_1\tau}(x_0), B_{2s_2\tau}(x_0))$ ,  $0 < s_1 < s_2 < 1$ ,  $\bar{t} < t_1 < \bar{t} + \tau$ ,  $0 < \tau < \alpha(tr)^2$  and, for any l > 0,  $\tau_1 < \tau_2$ 

$$\aleph^{I}_{\tau_{1}, \tau_{2}}(t) = \begin{cases} 0 & \text{if } t \leq \tau_{1} - l \text{ or } t \geq \tau_{2} + l \text{,} \\\\ 1 & \text{if } \tau_{1} \leq t \leq \tau_{2}, \\\\ 1 + \frac{t - \tau_{1}}{l} & \text{if } \tau_{1} - l \leq t \leq \tau_{1}, \\\\ 1 - \frac{t - \tau_{2}}{l} & \text{if } \tau_{2} \leq t \leq \tau_{2} + l \text{,} \end{cases}$$

denote the piecewise linear approximation of the characteristic function  $\aleph_{[\tau_1, \tau_2]}$ .  $\psi_{\varepsilon}$  denote a «time»-mollification of  $\psi$ . So  $\varphi \in W_0^{1,2}(D \cap R_{\alpha}(2r))$ . Inserting  $\varphi$  as test function in (2.3) and letting to the limit  $m \to +\infty$  and  $\alpha, \varepsilon \to 0$ , we obtain that v satisfies the  $\sim -\infty$ -part of (2.4) with  $\rho = 2s_2r$ ,  $\sigma_1 = 1 - s_1/s_2$ . We avoid the calculus which allows us to this issue. It can be obtained following the same line of reasoning of Theorem 3.1 of [Z-2] and Theorem 4.1 of [Wi]. Let now  $\eta = \eta(x,t)$  in the test function  $\varphi$  be a cut-off function for the pair  $(Q_{2s_1r,\tau_1}(\bar{z}), Q_{2s_2r,\tau_2}(\bar{z})), 0 < \tau_1 < (5/2) \alpha(s_1r)^2, 0 < \tau_2 < (5/2) \alpha(s_2r)^2, \tau_2 < \tau_1$ . Inserting  $\varphi$  as test function in (2.3), we obtain in this case that v satisfies the  $\sim -\infty$ -part of (2.5).

We can so affirm that v belongs to a De Giorgi class  $DG_2^-(D \cup Q_{2r,(5/4)\alpha r^2}(\bar{z}))$ . Therefore, applying the Harnack inequality (Theorem 2) to the function v, with R = r,  $\theta_1 = 1$ ,  $\theta_2 = (3/2)\alpha$ ,  $\theta = (5/2)\alpha$ ,  $\sigma_1 = \sigma_2 = 1$ , Theorem 3 is proved.

We recall the following Lemma:

LEMMA 1 (see Lemma 3.2 of [Z-2]). – Let  $u \in W^{1, 2}(B)$  where B is an open ball of  $\Re^n$  of radius r, and let  $\mu$  be a probability measure supported in  $B \cap [u = 0]$ . Then, if k > 0,

(3.1) 
$$k|[|u| \ge k]| \le Cr \int_{B} |\nabla u| + Cr^{n} \int_{B} (R_{1}*\mu) |\nabla u|.$$

Let  $u_k(2r)$  be defined as at the beginning of Theorem 3 and let  $k_j = u(2r) - 2^{-j}u_k(2r)$ , j = 0, 1, ... where  $u(2r) = \sup_{R_x(2r)} u$ . Denote  $A^{\pm}(k_j, r) = R_x^*(r) \cap [(u - k_j)^{\pm} > 0]$ .

LEMMA 2. – There is a constant C > 0, depending on  $||u||_{L^2(D)}$  and the structure conditions (2.1) such that for any positive integer s,

(3.2) 
$$sr^{n} \operatorname{cap} \left(R_{\alpha}^{*} - D\right) \leq Csr^{n-2} \left|A^{-}(k_{s}, r)\right| + Cr^{n+1/2} \left[1 + \frac{r^{a}}{u(2r) - k_{s}}\right]$$

where a = 1 - (n + 1)/2q.

PROOF. – Inserting in (2.3) the test function  $\varphi_{m, \varepsilon, h} = -(\gamma^2 (u_m - k_j)^+ \aleph_{[t_1, \bar{t} + \tau_1]}^h)_{\varepsilon}$ where  $\{u_m\} \subset W^{1, \infty}(\Re^{n+1}), u_m \to u$  in  $W^{1, \infty}(D)$  and spt  $(u_m - h)^- \subset D, \eta$  is a cut-off function for the pair  $(Q_{r, \tau_1}(\bar{z}), Q_{2r, \tau_2}(\bar{z})), \bar{z} = (\bar{x}, \bar{t}), \bar{x} = x_0, \bar{t} = t_0 - (9/4) \alpha r^2, 0 < \tau_1 < \alpha r^2, 0 < \tau_2 < (13/4) \alpha r^2, \tau_1 < \tau_2$ , we obtain

(3.3) 
$$\int_{A^+(k_j, r)} |\nabla u|^2 \leq C[r^{n-1}(u(2r) - k_j)^2 + |A^+(k_j, 2r)|^{1-1/q}] \leq C[r^{n-1}(u(2r) - k_j)^2 + |A^+(k_j, 2r)|^{1-1/q}]$$

$$\leq Cr^{n-1}(k_{j+1}-k_j)^2 \left[1+rac{r^a}{u(2r)-k_j}\right]^2$$
 where  $s \geq j+1, \ a=1-rac{n+1}{2q}$ .

For any  $t \in \Re$  let now  $\mu_t$  be a positive measure supported in  $(R^*_{\alpha}(r) - A^+(k, r))_t$  such that

(3.4) 
$$\int |R_1 * \mu_t|^2 \leq (4 \cdot N - \operatorname{cap} (R_{\alpha}^*(r) - D))_t^{-2}.$$

Denote  $N - \operatorname{cap} (R_{\alpha}^{*}(r) - D)_{t} = \gamma_{r, t}$ . Apply Lemma 1 to the function  $v(x) = (u - k_{j})^{+} + k_{j}$  with  $k = k_{j}$  for the level t (we observe that  $v(x) > k_{j} \Leftrightarrow u(x) > k_{j}$ ). We obtain

$$(3.5) \quad k_j |A^+(k_j, r)_t| \leq C \left( \int_{A^+(k_j, r)_t} |\nabla u|^2 \right)^{1/2} \left( r |A^+(k_j, r)_t|^{1/2} + Cr^n \left( \int_{A^+(k_j, r)_t} |(R_1 * \mu_t)|^2 \right)^{1/2} \right)$$

We will suppose  $s \ge j$ , so  $A^+(k_s, r) \in A^+(k_j, r)$ . Then

$$\begin{split} k_{j} \left| A^{+}(k_{s}, r)_{t} \right| &\leq C \left( \int_{A^{+}(k_{j}, r)_{t}} |\nabla u|^{2} \right)^{1/2} \cdot \left( r^{1+n/2} + r^{n} \left( \int_{A^{+}(k_{j}, r)_{t}} (R_{1} * \mu_{t})^{2} \right)^{1/2} \right) \\ &\leq C \left( \int_{A^{+}(k_{j}, r)_{t}} |\nabla u|^{2} \right)^{1/2} \cdot (r^{1+n/2} + r^{n} \gamma_{r, t}^{-1}) \leq C \left( \int_{A^{+}(k_{j}, r)_{t}} |\nabla u|^{2} \right)^{1/2} \cdot r^{n+1/2} \cdot \gamma_{r, t}^{-1}. \end{split}$$

Hence

$$k_{j} | A^{+}(k_{s}, r)_{t} | \gamma_{r, t} \leq C \left( \int_{A^{+}(k_{j}, r)_{t}} | \nabla u |^{2} \right)^{1/2} \cdot r^{1 + n/2}$$

Integrating in t and taking into account (3.3) we have

$$k_{j}\int |A^{+}(k_{s}, r)_{t}|\gamma_{r, t}dt \leq Cr^{n+1/2}(k_{j+1}-k_{j})\left[1+\frac{r^{a}}{u(2r)-k_{s}}\right].$$

Summing over j from 0 to s + 1 implies

$$\left(\sum_{j=0}^{s+1} k_{j}\right) \int |A^{+}(k_{s}, r)_{t}| \gamma_{r, t} dt \leq Cr^{n+1/2} \left(\sum_{j=0}^{s+1} k_{j+1} - k_{j}\right) \left[1 + \frac{r^{a}}{u(2r) - k_{s}}\right]$$
  
We have  $\sum_{j=0}^{s+1} k_{j} > s \cdot u_{k}(2r)$  and  $\sum_{j=0}^{s+1} (k_{j+1} - k_{j}) < u_{k}(2r)/2$ , then  
(3.6)  $s \int |A^{+}(k_{s}, r)_{t}| \gamma_{r, t} dt \leq Cr^{n+1/2} \left[1 + \frac{r^{a}}{u(2r) - k_{s}}\right].$ 

We have  $|A^{+}(k_{s}, r)_{t}| \ge C(|B_{r}| - |A^{-}(k_{s}, r)_{t}|)$ , then

$$s\int |A^+(k_s, r)_t|\gamma_{r,t}dt \geq sC\int r^n\gamma_{r,t}dt - sC\int |A^-(k_s, r)_t|\gamma_{r,t}dt.$$

Hence, taking into account (3.6) and that  $\gamma_{r,t} \leq Cr^{n-2}$  with C independent of t and r, Lemma 2 is proved.

THEOREM 4. – Let  $u \in W^{1,2}(D)$  be a Q-minimum and let  $z_0 \in \partial D$ . Then there is a constant C > 0, depending on  $||u||_{L^2(D)}$  and the structure conditions (2.1) such that, if

(3.7) 
$$\int_{0}^{1} \exp\left(-\left[C\delta_{x}(r)^{-1}\right]\right) \frac{dr}{r} = +\infty$$

for some  $\alpha > 0$ , and  $u(z_0) \leq 1$  weakly, then

$$\lim_{\substack{z \to z_0 \\ z \in D}} \sup u(z) \leq 1$$

PROOF. - The proof is analogous to that of Theorem 3.4 of [Z-2].

Let  $\lim_{\substack{z \to z_0 \\ z \in D}} \sup u(z) \ge 1$ . Then there are numbers k > 1 and  $\theta > 0$  such that

(3.8)  $u_k(2r) \ge \theta > 0$  for all small r > 0.

Let  $\omega(r) = u_k(2r) - u_k(r)$  and observe that  $\int_{0}^{1} \omega(r)(dr/r) < +\infty$ . From Theorem 3 follows that there exists  $p_0^0 > 0$  such that

$$\omega(r)^{p_0} \ge (2^{-j}u_k(2r))^{p_0} \frac{|A^-(k_j, r)|}{|R^*_{\alpha}(r)|} \quad \text{ for any integer } j \ge 1.$$

Thus, from (3.8),  $|A^{-}(k_{j}, r)| / |R_{\alpha}^{*}(r)| \leq (\theta^{-1}2^{j}\omega(r))^{p_{0}}$ . Taking into account Lemma 2 and that  $\operatorname{cap}(R_{\alpha}^{*}(r)) \approx Cr^{n}$ ,

(3.9) 
$$s\delta(r) \leq Cr^{1/2} \left[ 1 + \frac{r^a}{u(2r) - k_s} \right] + Csr^n (\theta^{-1} 2^s \omega(r))^{p_0} \leq \\ \leq Cr^{1/2} \left[ 1 + \frac{2^s r^a}{u_k(2r)} \right] + Csr^n (\theta^{-1} 2^s \omega(r))^{p_0}$$

Let s = s(r) be the largest integer such that

(3.10) 
$$s^{1}(\theta^{-1}2^{s}\omega(r))^{p_{0}} \leq \frac{1}{2}.$$

Then  $2^s (\theta^{-1} 2^s \omega(r))^{p_0} \ge 1/2^{p_0+1}$  and therefore

$$s(r)(p_0+1)\log 2 + p_0\log[\omega(r)] \ge \log \frac{1}{2} \left(\frac{\theta}{2}\right)^{p_0}$$

hence, dividing by  $p_0 + 1$ ,

(3.11) 
$$s(r) + \log \left[ \omega(r) \right] \ge \log M, \qquad M = M(\theta, p_0).$$

So, dividing (3.9) by s and taking into account of (3.10), we have

$$\delta(r) \le Cs(r)^{-1}$$

with  $C = C(\theta, p_0)$ . From (3.7), (3.10) and (3.12) we obtain  $\int_0^1 \omega(r) dr/r = +\infty$ . This yields a contradiction, and Theorem 4 is proved.

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