

Both DQA1 and DQB1 genes are implicated in HLA-associated protection from Type 1 (insulin-dependent) diabetes mellitus in a British Caucasian population

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Summary. Inherited susceptibility to Type 1 (insulin-dependent) diabetes mellitus is partly determined by HLA genes. It has been suggested that protection from disease may be conferred by HLA-DQB1 genes which encode molecules with aspartate at position 57. We investigated the contributions of HLA-DRB1, DQA1 and DQB1 genes to protection from disease. Restriction fragment length polymorphism and sequence specific oligonucleotide analysis in 156 British Caucasian Type 1 diabetic and 116 control subjects showed protection from disease was associated with DR2, DRw6 and DR7 haplotypes. The most protective DQA1 allele was DQA1*0102 which occurred on both DR2 and DRw6 haplotypes. The DQB1 alleles DQB1*0303, DQB1*0602 and DQB1*0603 were associated with protection, as was

DQB1*0604, which encodes an Asp-57 negative DQ β molecule. Heterozygosity for both protective and predisposing HLA markers was reduced in diabetic compared with control subjects. We conclude that both DQA1 and DQB1 genes are implicated in HLA-associated protection from Type 1 diabetes in this British Caucasian population. The overall structure of the DQ heterodimer is critical and DQ β -Asp 57 is of secondary importance in determining protection from disease. The effect of protective HLA types may predominate over that of predisposing markers.

Key words: Type 1 (insulin-dependent) diabetes mellitus, HLA genes, protection.

HLA genes contribute 30 to 60 % of genetic susceptibility to Type 1 diabetes mellitus [1, 2]. Early serological data established positive disease associations with DR3 and DR4 and a negative association with DR2 [3]. The finding of stronger disease associations with certain HLA-DQ alleles has focussed attention on the DQA1 and DQB1 genes as susceptibility determinants [4]. These encode the α and β chains of DQ molecules which are expressed by antigen presenting cells, where they present antigen to CD4 + T cells.

Previous studies have shown evidence of a protective effect of HLA genes [5]. It has been suggested that HLA-associated protection is mediated by DQB1 alleles which encode a β -chain with aspartate at position 57 (Asp 57-positive), possibly by affecting binding of antigen to the DQ molecule, whereas other amino acids at this position (Asp 57-negative) predispose to disease [6]. There are important arguments against this hypothesis [7] and it is likely that other factors are involved in determining protection from disease.

More recently, DQA1 alleles which encode an α -chain with arginine at position 52 have been shown to be positively associated with disease [8]. The only common Arg 52-

positive DQA1 alleles in Caucasians, however, are those occurring on DR3 and DR4 haplotypes and it is possible that the disease correlation with Arg-52 merely reflects the known predisposing effects of these haplotypes. Alternatively, DQ-mediated protection may result from an effect on the T-cell repertoire, for which there is evidence from animal studies [9].

The consistent negative disease association of certain DQB1 alleles in different races [4] suggests a direct protective effect. Alternatively the effect may be secondary to linkage disequilibrium with the DQA1 gene or other as yet unidentified genes. As both DQA1 and DQB1 genes determine the structure of the DQ molecule, it is possible that both are important in determining protection from the disease.

DQ molecules may be encoded by DQA1 and DQB1 alleles on the same haplotype (in cis position) or on different haplotypes (in trans position) [10]. Although expression of certain DQ molecules in trans has not been demonstrated, it has been suggested that particular DQ heterodimers encoded either in cis or trans may be important in determining susceptibility to Type 1 diabetes.

The aims of the present study were to determine: 1) the DR types and DQA1 and DQB1 alleles which are associated with protection from Type 1 diabetes in a British Caucasian population, 2) whether protection is predominantly conferred by the DQA1 or DQB1 genes and 3) whether the effect of a protective marker predominates over that of a predisposing marker.

Subjects and methods

Subjects

One hundred and fifty-six subjects with Type 1 diabetes and 116 racially-matched control subjects were studied. All subjects were British Caucasians and currently resident in Birmingham or the surrounding area. They were diagnosed below 30 years of age with acute onset of symptoms and absolute dependence on insulin from the time of diagnosis. Control subjects were racially matched with no personal or family history of diabetes. Selected data concerning DR types from the diabetic subjects and 71 of the control subjects have been reported previously [11].

DNA was extracted from 30 ml venous blood using a phenol-chloroform extraction method. DR types were identified by restriction fragment length polymorphism analysis [12]. DNA (7 µg) was digested with Taq I restriction enzyme to identify DR types. Bam HI digests were subsequently performed to distinguish DR3 and DRw6 and Hind III digests to distinguish DR7 and DR9. Digested fragments were separated and blotted onto nylon filters. Taq I and Hind III fragments were hybridised with radiolabelled cDNA consisting of the 500 base pair Pst fragment of pII-β-4, and Bam HI fragments were hybridised with radiolabelled cDNA consisting of the Hind III/Pst insert of pII-β-1 (full length DQB1 gene). DR2 and DRw6 subtypes were deduced from the associated DQB1 alleles. DR2 in association with DQB1*0602 and DQB1*0601, respectively were typed DRw15 (Dw2) and DRw15 (Dw12). DRw6 in association with DQB1*0603, DQB1*0604 and DQB1*0503, respectively were typed DRw13 (Dw18), DRw13 (Dw19) and DRw14 (Dw9).

Sequence specific oligonucleotide typing of DQA1 and DQB1 alleles

DQA1 and DQB1 alleles were identified using oligonucleotide probing of polymerase chain reaction (PCR) amplified DNA. The second exons of the DQA1 and DQB1 genes were amplified from 2 µg genomic DNA by PCR. The DQA1 gene was amplified as described previously [13]. The DQB1 gene was amplified for 35 cycles using the 5' GH29 (5' GAG CTG CAG GTA GTT GTG TCT GCA CAC 3') and 3' DB130 (5' AGG GAT CCC CGC AGA GGA TTT CGT GTA CC 3') primers. Denaturation was at 94 °C for 1 min (5 min first cycle) and annealing/extension at 60 °C for 1 min (5 min final cycle). Amplified DNA (5 µl) was denatured and dot blotted onto nylon filters which were hybridised with eight sequence specific oligonucleotide probes which distinguish seven DQA1 alleles, and 15 probes which distinguish 12 DQB1 alleles [14]. In a small number of cases, successful DQB1 amplification was not possible for technical reasons. The total numbers of evaluable results for each locus are shown in the results tables.

Statistical analysis

The number of subjects in each group carrying: 1) each HLA type and allele, 2) an Asp 57- or Arg 52-positive allele, 3) each deduced DQ heterodimer and 4) both protective and predisposing markers at each locus was calculated. Differences between the groups were analysed using the chi-squared test or Fishers exact probability test

Table 1. HLA DR associations with Type 1 diabetes

DR	Diabetic patients <i>n</i> = 156	Control subjects <i>n</i> = 116	Rank	RR (95% CI)	<i>pc</i>
1	26 (16.6)	19 (16.4)	+ 1		NS
2	1 (0.6)	26 (22.4)	+ 4	0.03 (0.01–0.14)	< 10 ⁻⁶
3	106 (67.5)	39 (33.6)		4.05 (2.46–6.75)	< 10 ⁻⁶
4	104 (66.2)	36 (31.0)		4.30 (2.59–7.17)	< 10 ⁻⁶
5	11 (7.0)	15 (12.9)	0		NS
w6	4 (2.5)	35 (30.2)	+ 3.5	0.07 (0.02–0.18)	< 10 ⁻⁶
7	14 (8.9)	29 (25.0)	+ 1	0.29 (0.15–0.59)	< 5 × 10 ⁻³
w8	4 (2.5)	4 (3.4)	- 1		NS
9	3 (1.9)	7 (6.0)	+ 1		NS

Number (%) of diabetic and control subjects with each DR type. Rank, Final rank (see text for explanation); RR, relative risk; CI, confidence interval; *pc*, corrected *p* value

Table 2. DR2 and DRw6 subtypes

Deduced subtype	Diabetic patients <i>n</i> = 149	Control subjects <i>n</i> = 101	RR (95% CI)	<i>pc</i>
DRw15 (Dw2)	1 (0.7)	16 (15.8)	0.05 (0.01–0.22)	< 2 × 10 ⁻⁵
DRw15 (Dw12)	0	4 (4.0)		NS
DRw13 (Dw18)	0	11 (10.9)	0.03 (0.003–0.21)	< 3 × 10 ⁻³
DRw13 (Dw19)	3 (2.0)	18 (17.8)	0.11 (0.02–0.33)	< 3 × 10 ⁻⁴
DRw14 (Dw9)	0	4 (4.0)		NS

Number (%) of diabetic and control subjects with deduced DR2 and DRw6 subtypes. RR, Relative risk; CI, confidence interval; *pc*, corrected *p* value

Table 3. HLA DQA1 associations with Type 1 diabetes

DQA1	Diabetic patients <i>n</i> = 156	Control subjects <i>n</i> = 116	Rank	RR (95% CI)	<i>pc</i>
*0101	27 (17.2)	26 (23.0)	- 1		NS
*0102	3 (1.9)	49 (43.4)	+ 3.5	0.03 (0.01–0.09)	< 10 ⁻⁶
*0103	3 (1.9)	11 (9.7)	+ 1	0.21 (0.06–0.66)	< 0.035
*0201	12 (7.6)	28 (24.8)	+ 1	0.27 (0.13–0.54)	< 0.004
*0301	112 (71.3)	42 (37.2)		4.33 (2.61–7.17)	< 10 ⁻⁶
*0401	4 (2.5)	4 (3.5)	- 1		NS
*0501	113 (72.0)	44 (38.9)		4.15 (2.51–6.89)	< 10 ⁻⁶

Number (%) of diabetic and control subjects with each DQA1 allele. Rank, Final rank (see text for explanation); RR, relative risk; CI, confidence interval; *pc*, corrected *p* value

as appropriate. *P* values were corrected (*pc*) for the number of comparisons made at each locus for HLA types and alleles. *P* values for heterodimer frequencies were multiplied by the total number of deduced molecules. Relative risk (RR) values were calculated for markers which showed significant differences between the groups. The method of Woolf with Haldane's modification for small numbers was used [15].

Rank order analysis was used to rank the DR, DQA1 and DQB1 alleles [16]. The strongly predisposing alleles were discounted to remove the effect of an excess of these markers on the frequency of other markers at that locus. Alleles were ranked in order of frequency in both groups and the net rank deduced by subtracting the rank in the control group from that in the disease group. Subsequent rank order analysis was performed following serial removal of the marker with the highest net rank, until all markers had been assigned a final rank. A positive net rank denotes a negative disease association, and a negative net rank, a positive association.

Results

Table 1 shows the distribution of DR types among the diabetic and control groups. DR3 and DR4 were significantly positively associated and DR2 negatively associated with disease. DRw6, however, was more frequent in the control population than DR2 and was significantly negatively associated with disease. DR7 was also significantly reduced in the diabetic group compared with the control group. No subject in our population carried DRw10. Rank order analysis of DR types after removal of the predisposing DR3 and DR4 types showed DR2 to have the highest rank (+4). Ranks for other markers are shown in Table 1. Table 2 shows the deduced DR2 and DRw6 subtypes. All DR2 haplotypes which could be deduced were DRw15 (Dw2) except for four which were DRw15 (Dw12). The one DR2-positive diabetic subject was DRw15 (Dw2). The DRw6 subtypes DRw13 (Dw18) and DRw13 (Dw19) were significantly reduced in the diabetic compared with the control group.

The numbers of subjects possessing each DQA1 are shown in Table 3. DQA1*0102 was the most protective DQA1 allele and occurred on both DR2 and DRw6 (DRw13 (Dw19)) haplotypes: it was present in 1.9% of the diabetic group and 43.4% of control subjects. DQA1*0103, which occurred on DRw6 (DRw13 (Dw18)) haplotypes, was also significantly negatively associated with disease. The DR7 associated allele DQA1*0201 was associated with protection. This allele occurred on all but three DR7 haplotypes (two diabetic and one control) which carried DQA1*0301. Rank order analysis of the DQA1 allele frequencies after removal of the predisposing DR3 and DR4-associated alleles DQA1*0501 and DQA1*0301 showed DQA1*0102 to have the highest rank (+3.5).

Table 4 shows the numbers of subjects possessing each DQB1 allele in the diabetic and control groups. DQB1*0602 was associated with DR2 and was significantly protective, as were DQB1*0603 and DQB1*0604 which occurred on DRw6 haplotypes (DRw13 (Dw18) and DRw13 (Dw19), respectively). DQB1*0303 occurred on all DR9 haplotypes and 30% of DR7 haplotypes and was significantly protective. The remaining DR7 haplotypes carried DQB1*0201, which also occurred on all DR3 haplotypes and was positively associated with disease. Rank order analysis of the DQB1 allele frequencies after removal of the DR3 and DR4-associated predisposing alleles DQB1*0201 and DQB1*0302 showed DQB1*0603 to have the highest rank (+1).

Analysis of the amino acid at position 57 of the DQ β chain encoded by the DQB1 alleles showed that Asp 57 homozygosity occurred in 3% of diabetic subjects compared with 57% of control subjects; Asp/non-Asp heterozygosity occurred in 11% and 28% and non-Asp homozygosity in 86% and 15%, respectively ($\chi^2 = 144.6$, $p < 10^{-6}$). The corresponding data for arginine at position 52 of the α -chain were as follows: Arg 52 homozygosity occurred in 73% of diabetic and 21% of control subjects; Arg/non-Arg heterozygosity in 25% and 47% and non-Arg homozygosity in 2% and 32%, respectively ($\chi^2 = 86.3$, $p < 10^{-6}$).

Table 4. HLA DQB1 associations with Type 1 diabetes

DQB1	Diabetic patients <i>n</i> = 149	Control subjects <i>n</i> = 101	Rank	RR (95% CI)	<i>pc</i>
*0201	111 (74.5)	39 (38.6)		4.44 (2.66–7.85)	$< 10^{-6}$
*0301	22 (14.8)	29 (28.7)	+0.5	0.42 (0.23–0.91)	NS
*0302	87 (58.3)	15 (14.9)		7.63 (4.18–14.58)	$< 10^{-6}$
*0303	5 (3.3)	20 (19.8)	+0.5	0.14 (0.06–0.39)	$< 5 \times 10^{-4}$
*0402	3 (2.0)	3 (3.0)	–4.5		NS
*0501	22 (14.8)	20 (19.8)	–1		NS
*0503	0	5 (5.0)	+1		NS
*0601	0	4 (4.0)	0		NS
*0602	1 (0.7)	18 (17.8)	+0.5	0.05 (0.01–0.20)	$< 10^{-5}$
*0603	0	11 (10.9)	+1	0.03 (0.003–0.21)	$< 10^{-3}$
*0604	3 (2.0)	18 (17.8)	–0.5	0.11 (0.02–0.33)	$< 10^{-4}$

Number (%) of diabetic and control subjects with each DQB1 allele. Rank, Final rank (see text for explanation); RR, relative risk; CI, confidence interval; *pc*, corrected *p* value

Table 5. Frequency of diabetic and control subjects heterozygous for predisposing and protective HLA markers

	Diabetic patients <i>n</i> = 156	Control subjects <i>n</i> = 116	RR (95% CI)	<i>p</i> value
2/3 DR	0	5 (4.3)		
2/4	1 (0.6)	3 (2.6)		
w6/3	1 (0.6)	6 (5.2)		
w6/4	1 (0.6)	7 (6.0)		
7/3	7 (4.4)	10 (8.6)		
7/4	4 (2.6)	4 (3.5)		
Total DR	14 (9.0)	35 (30.2)	0.23 (0.12–0.45)	$< 10^{-5}$
DQA1*	<i>n</i> = 156	<i>n</i> = 116		
0102/0301	2 (1.3)	12 (10.3)	0.13 (0.04–0.47)	$< 0.03^a$
0102/0501	1 (0.6)	12 (10.3)	0.08 (0.02–0.36)	$< 0.03^a$
0103/0301	1 (0.6)	3 (2.6)		
0103/0501	0	2 (1.7)		
0201/0301	4 (2.6)	5 (4.3)		
0201/0501	6 (3.8)	9 (7.8)		
Total DQA1	14 (9.0)	43 (37.1)	0.17 (0.09–0.33)	$< 10^{-6}$
DQB1*	<i>n</i> = 149	<i>n</i> = 101		
0303/0201	1 (0.7)	8 (7.9)		
0303/0302	0	1 (1.0)		
0602/0201	0	5 (5.0)		
0602/0302	0	2 (2.0)		
0603/0201	0	0		
0603/0302	0	1 (1.0)		
0604/0201	1 (0.7)	6 (5.9)		
0604/0302	1 (0.7)	1 (1.0)		
Total DQB1	3 (2.0)	24 (23.8)	0.08 (0.03–0.24)	$< 10^{-5}$

Number (%) of protective/predisposing heterozygotes in each group. RR, Relative risk; CI, confidence interval; ^a corrected *p* value

From the combinations of DQA1 and DQB1 alleles observed in the diabetic and control groups, 64 distinct heterodimers were deduced, either expressed in cis or trans. Both the heterodimers encoded by DQA1*0102-DQB1*0602 and DQA1*0102-DQB1*0604 were significantly associated with protection from disease (Diabetic (D) 1 (0.7%) vs Control (C) 18 (18%) and D 2 (1.3%) vs C 13 (13%) respectively, $p_c < 6.4 \times 10^{-5}$ in each case, correction factor = 64). The DQA1*0102-DQB1*0201 het-

erodimer was also protective ($p_c < 0.032$), occurring in one diabetic and 11 control subjects. Three of these control subjects carried DR7-DQB1*0201 and eight carried DR3-DQB1*0201 haplotypes. The DQA1*0103-DQB1*0603 heterodimer was reduced in diabetic subjects (D 0 vs C 7 (7%)), as was the DQA1*0201-DQB1*0303 heterodimer (D 3 (2%) vs C 12 (12%)), although the differences were not significant following correction ($\chi^2 = 9.7$ and 9.0, respectively).

Table 5 shows the DR and DQ genotypes heterozygous for a protective and predisposing marker. The total number of subjects with DR genotypes heterozygous for a protective (DR2, w6 or 7) and predisposing (DR3 or 4) marker was significantly reduced in the diabetic compared with the control group (D 14 (9.0%) vs C 35 (30.2%), $p < 10^{-5}$). DQA1 genotypes heterozygous for a protective (DQA1*0102, DQA1*0103 or DQA1*0201) and predisposing (DQA1*0301 or DQA1*0501) allele were reduced in the diabetic group (D 14 (9%) vs C 43 (37.1%), $p < 10^{-6}$). DQB1 genotypes heterozygous for a protective (DQB1*0303, DQB1*0602, DQB1*0603 or DQB1*0604) and predisposing (DQB1*0201 or DQA1*0302) allele were also reduced in the diabetic group (D 3 (2%) vs C 24 (23.8%), $p < 10^{-5}$).

Analysis of the four deduced DR-DQ haplotypes which were significantly protective gave relative risk values similar to those of the associated DQB1 alleles (DR2-DQA1*0102-DQB1*0602, RR 0.05; DRw13 (Dw19)-DQA1*0102-DQB1*0604, RR 0.11; DRw13 (Dw18)-DQA1*0103-DQB1*0603, RR 0.04; DR7-DQA1*0201-DQB1*0303, RR 0.11).

Discussion

This is the largest study of protective DR, DQA1 and DQB1 associations in a Caucasian diabetic population. We confirm the well-established negative disease association with DR2. We have demonstrated, however, that DRw6 and DR7 are also associated with protection from disease in this race.

The strength of the association with DRw6 has not been reported in previous studies and reflects the negative association of both DRw13 (Dw18) and DRw13 (Dw19) in the present study. In one large population study, DRw6 was positively associated with disease [17]. Other studies have shown DRw13 (Dw18) to be neutral [3] or weakly protective [18] and DRw13 (Dw19) predisposing [6]. There is evidence that DRw6 types are more frequent in British subjects than in some other European populations [19], but this alone would not explain the marked difference in frequency between diabetic and control subjects. Confusion between DR3 and DRw6 using older serological typing reagents may account for some of the difference between our data and earlier studies.

DQA1*0102 occurred in 43 % of the control group and represented the single most frequent DQ allele in this group, occurring on both DR2 and DRw6 haplotypes. This indicates that the DQA1 locus may have a role in conferring protection from disease. The only predisposing

haplotype carrying DQA1*0102 is DRw16-DQA1*0102-DQB1*0502 [20]. This is very rare and was not present in our study population. It is possible that the protective effect of DQA1*0102 may be modified by a strong predisposing effect of the DQB1 allele on this haplotype. The occurrence of DQA1*0102, an Arg 52-negative allele, on a predisposing haplotype argues against DQ α Arg 52 positivity as a primary determinant of disease susceptibility.

No single DQB1 allele occurred on both DR2 and DRw6 haplotypes: DR2 was associated with DQB1*0602 and DRw6 was associated with DQB1*0603 and DQB1*0604. All were significantly protective in this study although previous studies have shown only weak protective or neutral effects of DQB1*0604 [17, 21]. DQB1*0602 and DQB1*0603 are Asp-57 positive but DQB1*0604 is an Asp 57-negative allele which argues against a primary role for Asp 57 in conferring protection from disease. This is supported by our finding that the Asp 57-positive allele DQB1*0402 had a negative net rank, indicating a predisposing effect, although it was rare in our population.

Further evidence of the role of the DQA1 locus comes from the negative disease association of DR7 haplotypes, almost all of which carried DQA1*0201 which we have shown to be significantly protective. This contrasts with the negroid DR7 haplotype which carries DQA1*0301 and is positively associated with disease [22].

DQB1*0303 was significantly negatively associated with disease. It occurred on 30 % of DR7 haplotypes and all DR9 haplotypes. DR9 haplotypes also carried the predisposing DQA1*0301 allele and it is possible that the opposing effects of the DQA1 and DQB1 alleles on this haplotype resulted in the neutral disease association of DR9. The same haplotype is, however, positively associated with disease in the Japanese [23]. The above findings may be explained by the presence of an unidentified predisposing gene in linkage disequilibrium with DQB1*0303 in the Japanese.

Heterodimer analysis largely confirmed the haplotype associations identified in this study. An additional finding, however, was that the heterodimer encoded by DQA1*0102 and DQB1*0201 in trans was significantly protective. This finding could arise from an excess of DR2/7 or DRw6/7 heterozygotes in the control group, which would also carry the protective DQA1*0201 allele. Of the 11 control subjects in whom this molecule could be expressed, however, only three carried DR7. The remainder carried DR3, in whom the protective effect of DQA1*0102 predominates over the predisposing effect of DQB1*0201. This emphasises how disease association may be determined by the combination of DQA1 and DQB1 alleles, irrespective of the overall disease association or Asp 57-status of any single allele. Further studies are required to demonstrate the existence of molecules expressed in trans before conclusions can be drawn about any protective effect in Type 1 diabetes.

Our data on DR and DQ genotypes heterozygous for both a protective and predisposing marker demonstrate that the effect of a protective allele often dominates over the effect of a predisposing one, whereas the reverse does

not occur. This suggests that HLA-associated protection from disease is a true phenomenon and that the protective associations described are not merely an effect of the predominance of DR3 and DR4 haplotypes in the diabetic population. This is consistent with the rank order analysis. The relative risks of protective/predisposing genotypes suggest an increasing net protective effect away from the DRB1 locus and towards DQB1. This is supported by the absence of additional protection conferred by analysis of protective DR-DQ haplotypes, confirming previous reports that protection is more strongly associated with DQ than DR alleles [24, 25].

This study complements our previous studies in other races. These showed consistent protective associations of DQB1*0602 and/or DQB1*0603 and DQA1*0102 and/or DQA1*0103 [13, 14, 26–28]. The molecules encoded by these pairs of alleles are structurally very similar, differing at only two amino acid residues [6]. It is possible that these differences are not recognised by CD4+ T cells. The DQw6 and DQw1.18 molecules formed by DQA1*0102-DQB1*0602 and DQA1*0103-DQB1*0603, respectively may, therefore, directly confer protection from disease. In contrast, no DQ allele predisposes to disease in all races studied.

In summary, the DQA1 and DQB1 alleles associated with DR2, DRw6 and DR7 are associated with protection from Type 1 diabetes in British Caucasians. These data support a recent report from Finland [22] as well as the findings in the Japanese [7] that the presence of aspartate at this position is unlikely, on its own, to be an important determinant of disease protection. Our analysis does not support a role for specific heterodimers in protection from (or susceptibility to) disease. Particular DQA1 and DQB1 alleles are associated with protection and we suggest that the overall structure of the DQ molecule, determined by the individual α and β chains, is important in conferring protection from disease, rather than simply aspartate at position 57 of the β -chain (or arginine on the α -chain). Functional studies of the effect of the HLA gene products are required to determine the importance of these genes in the development of Type 1 diabetes.

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