Chapter 1 Education in China: An Introduction



Feng Zhuolin and Jia Xintong

Abstract Chinese education has undergone tremendous transformation along with its socioeconomic reform in the past four decades. Education is no exception; it continues to change along with an increasingly complex and diversified world. This book provides a comprehensive overview and profound insights of education in China, to encourage further discussions on the issues and challenges confronting Chinese education and the world and to promote international understanding. The first chapter presents an overview of education in China over the past four decades and provides contextual information and analysis for the following 11 chapters. It reviews education system and structure. It explores the development of education and research in China, and reflects developmental trends based on statistical analysis.

Keywords Educational development · Education system · Educational scale · Education resources · Scientific research

1 An Overview of the Chinese Education System

Education in China has undergone tremendous transformation along with its socioeconomic reform in the past four decades. This chapter provides a general introduction on education development and systems in China. The analysis in this section focuses on educational goals in the twenty-first century since the beginning of 2000s, as well as educational development and progress since the reform and opening-up in 1978.

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Z. Feng (🖂) · X. Jia

Shanghai Jiao Tong University, Shanghai 200240, China e-mail: zlfeng@sjtu.edu.cn

X. Jia e-mail: jiaxt@sjtu.edu.cn

1.1 Evolving Educational Goals in the Past Two Decades

The analysis of educational goals is based on the policy documents of the Five-Year Plan for Economic and Social Development of the People's Republic of China (hereafter the Five-Year Plan) endorsed by the National People's Congress. The Five-Year Plans are formulated every five years and establish the main policy directions for further reform and development in the next five years. This series of plans set development goals for education, which reflect the educational conditions in China over time. By analyzing the goals during the past two decades, the progress and advancement of government's efforts on education can be summarized and more clearly understood.

1.1.1 The 10th Five-Year Plan (2001–2005)

Speeding up Education Development. *The 10th Five-Year Plan* was endorsed by the ninth National People's Congress in 2001 (National People's Congress, 2001). It set China's development goals from 2001 to 2005 and proposed to speed up development.

To Develop All Types of Education at All Levels. The Plan put forward to promote well-rounded education (*suzhi* education)¹ and the holistic development of students on moral, intellectual, physical, and aesthetic aspects. It prioritized the development of basic education, consolidated educational achievements in areas where nine-year compulsory education had been basically universal, and focused on developing compulsory education in economically disadvantaged areas in western China and areas with concentrations of ethnic minorities. The Plan pointed out the need to expand upper secondary education. It also proposed to implement Project 211 and strengthen a number of leading universities and disciplines in higher education. It also pushed the development of vocational education and training, early childhood education and distance learning in the Chinese context. (*ibid*).

To Further Reform the Education System. The Plan proposed to speed up the reform of schooling systems, to encourage, support, and standardize non-state actors to run schools in various forms, and establish an operating model for developing both public and private education sectors concurrently under the government's guidance. The Plan intended to deepen the reform of education management system, guarantee decision-making powers of higher education institutions (hereafter HEIs) in accordance with the law, and construct an educational system that bridges vocational and traditional education. The Plan also encouraged increased education investment, strengthened mechanism to ensure funding to the compulsory education sector, and enhanced personnel and employment system for university graduates. (*ibid*).

¹ Well-rounded education (*suzhi* education) is close to but different from the well-rounded education in the U.S., which refers to education covering multiple subject areas. This notion is close to the notion of liberal arts education in the west, and it emphasizes on a holistic approach of student development, including the development on moral, intellectual, physical, and aesthetic aspects.

1.1.2 The 11th Five-Year Plan (2006–2010)

Prioritizing Education Development. *The 11th Five-Year Plan* was endorsed by the 10th National People's Congress in 2006 (National People's Congress, 2006). It set China's development goals from 2006 to 2010 and proposed to prioritize education development.

To Reinforce and Make Compulsory Education for All. The Plan put forward to reinforce the importance of compulsory education in rural areas, reduce the dropout rate of rural students, and promote the balanced development of compulsory education in urban and rural areas. (*ibid*).

To Develop Vocational Education and Training. The Plan proposed to further develop secondary vocational education, promote various vocational skills training projects and reform the teaching methods of vocational education. *(ibid)*.

To Strengthen Higher Education Quality. The Plan encouraged improving the quality of higher education, optimizing the structure and enhancing the popularization of higher education. It proposed to strengthen the development of leading universities and key disciplines. Adult education was also emphasized. (*ibid*).

To Increase Educational Investment. The Plan specified a goal of gradually raising the percentage of government expenditure on education represented in GDP (Gross Domestic Product) to 4%. It aimed to promote educational equality and it proposed to allocate more public educational resources to rural areas, the central and western regions, poor areas and minority areas. It also pointed out the need for further developing student loans system, improving the subsidy system for students at all levels of schools, and the student aid system for economically disadvantaged students. (*ibid*).

To Further Reform the Education System. The Plan emphasized the need to make clear the responsibilities of government at all levels to provide public education, form a diversified system of educational investment and establish a strict publicity system for educational charges. It put forward creating a teaching system that adapted the requirements of well-rounded education, reforming the examination and enrollment systems, and promoting the reform of teaching, curriculum, and evaluation. It also proposed to reform education management system and establish a system that had clear regulations of responsibilities and guaranteed the decision-making powers of schools. (*ibid*).

1.1.3 The 12th Five-Year Plan (2011–2015)

Further Accelerating Education Reform. *The 12th Five-Year Plan* was endorsed by the 11th National People's Congress in 2011(National People's Congress, 2011). It set China's development goals from 2011 to 2015 and proposed to further accelerate education reform.

To Develop All Types of Education at All Levels. The Plan set the goal of actively developing preschool education and raising total enrollment for children receiving one-year preschool education to 85%. It emphasized reinforcing the achievement of the popularization of compulsory education. It also sought to popularize upper

secondary education during the Five-Year period, vigorously develop vocational education and emphasize rural education. It was vital to improve the quality of higher education while speeding up the construction of world-class universities, national top universities, and key disciplines. It was also important to support education for minority nationalities and speed up the development of special education and continuing education. (*ibid*).

To Enhance Education Equality. The Plan pointed out the push to distribute educational resources equally, narrow the gap of educational development between regions, and promote a balanced development of compulsory education. It emphasized education development in rural, remote or poor areas and minority areas. *(ibid).*

To Promote Well-Rounded Education. In order to promote well-rounded education, the Plan put forward to reform the content, teaching methods and assessment system of education, and reinforce the holistic development of students on moral, intellectual, physical, and aesthetic aspects. It sought to widely administer Academic Proficiency Test and Comprehensive Student Assessment in upper secondary education. In higher education, the Plan focused on carrying out projects related to the improvement of teaching quality, instructional reform, and the creation of an effective evaluation system. (*ibid*).

To Further Reform the Education System. The Plan set the goal of reforming the examination system and enrollment method, and gradually forming an effective system including classified exams, comprehensive quality assessment and diversified admission standards. The decision-making powers of schools were guaranteed and expanded, and *non-state* actors were encouraged to run schools. The Plan also claimed to follow globalization trends, strengthen international cooperation, and underscore high-quality educational resources. (*ibid*).

1.1.4 The 13th Five-Year Plan (2016–2020)

Promoting the Modernization of Education. *The 13th Five-Year Plan* was endorsed by the 12th National People's Congress in 2016 (National People's Congress, 2016). It set China's development goals from 2016 to 2020 and proposed to promote the modernization of education.

To Promote the Balanced Development of Basic Public Education. The Plan proposed to establish a unified urban and rural funding mechanism for compulsory education, take appropriate measures to ensure that state-run schools that provide compulsory education comply with educational standards, and work to raise the completion rate of compulsory education to 95%. It was important to improve the quality of teachers with emphasis on those teachers in rural areas and improve the teaching environment in rural schools. The Plan envisioned a more accessible preschool education system by proposing the targets of opening kindergartens to all children and raising the gross enrollment for children receiving three-year preschool education to 85%. It specified the goal of raising the gross enrollment of upper secondary education to over 90%. The Plan also encouraged increased availability

of special needs education for groups with disabilities and promoted the development of education for ethnic minority students. *(ibid)*.

To Integrate Vocational Education and Industry. The Plan aimed to improve the modern vocational education system and give momentum to training models for applied expertise and technical skills, which allow for the involvement of industry and vocational education as well as increased cooperation between schools and enterprises. It also emphasized the mutual recognition and vertical mobility between vocational education and regular education. (*ibid*).

To Train Innovators in University. The Plan attached importance to the system for ensuring the quality of higher education. It intended to manage higher education based on classifications and carry out the comprehensive reform of institutions of higher learning, implement an educational system using different methods to train academic talents and applied talents whilst combining general knowledge and majorspecific knowledge. It aimed to invigorate higher education in the central and western regions. The Plan sought to ensure that all universities improve their capacity for innovation and adopt a coordinated approach to developing world-class universities and disciplines. (*ibid*).

To Build a Learning Society. The Plan emphasized developing continuing education and it envisioned a system for lifelong learning and training that was available to all members of society. The open sharing of learning resources and the development of senior citizen education were also encouraged. (*ibid*).

To Enhance the Vitality of the Reform of Education. The Plan emphasized furthering reform examination and enrollment systems as well as instructional method. It also put forth efforts to reform the professional title system for elementary and secondary school teachers nationwide and promote close integration of modern information technology with education and teaching. The decision-making powers of schools were expanded, and *non-state* actors and investors were encouraged to provide a diverse range of educational services. (*ibid*).

1.1.5 The 14th Five-Year Plan (2021–2025) and Vision 2035

Reinforcing a High-Quality Education System. *The 14th Five-Year Plan and Vision 2035* was endorsed by the 13th National People's Congress in 2021 (National People's Congress, 2021). It sets China's development goals from 2021 to 2025 and puts forward China's long-term development plan towards 2035. In education sector, it proposes to reinforce a high-quality education system.

To Promote the Equality of Basic Public Education. The most current Plan aims to consolidate the achievement of balanced development of compulsory education, promote the further development of balanced compulsory education, and close the urban–rural divide. It seeks to strengthen the ranks of teachers and improve the quality of teaching staff in rural schools. In upper secondary education, it specifies the target of raising the gross enrollment ratio to over 92%. The Plan also sets the goals of guaranteeing preschool education be more inclusive and requiring special education and specialized education be more accessible. It requires the gross enrollment of preschool education to be raised to over 90%. (*ibid*).

To Enhance the Adaptability of Vocational Education. It is important to highlight the characteristics of vocational education and train substantial talents with technical and professional skills. The Plan seeks to reform the schooling mode of vocational education and give impetus to the involvement of industry and vocational education as well as cooperation between schools and enterprises. It also emphasizes the link between vocational education and regular education. (*ibid*).

To Improve the Quality of Higher Education. The Plan puts forward managing higher education based on classifications, carrying out comprehensive reform of institutions of higher learning, and enhancing the gross enrollment ratio to 60%. It encourages the adoption of a classified approach to developing world-class universities and disciplines, and it supports the development of leading research universities. It also points out the importance of reforming the talent training system for basic disciplines and expanding the scale of graduates with professional degrees. (*ibid*).

To Improve the Quality of Teaching Staff. The Plan intends to establish a modern system of high-performing teaching staff, and places emphasis on constructing normal education bases, developing public-funded education for normal university students, and deepening the comprehensive reform of the management of teachers in elementary schools, secondary schools, and kindergartens. (*ibid*).

To Further Reform Education. The Plan aims to improve the systems and mechanisms of educational assessment and develop well-rounded education. It requires education to be covered in public welfare, and it points out to raise the spending on education and increase the efficiency of the use of educational spending. It is important to expand the decision-making powers of schools and reinforce the comprehensive reform of examination and enrollment systems. It also recommends supporting and regulating the development of non-state education and promote the cooperation with leading schools of other countries. Moreover, the Plan intends to exploit the advantages of online education, improve the system of lifelong learning and build a learning society. (*ibid*).

1.1.6 Developmental Trends of Educational Goals

Since 2001, China has experienced a period of rapid development. These five Five-Year Plans issued during this period have proposed educational goals based on China's conditions at that time and have been leading the development of education in China. The Plans have been guiding China to improve educational quality and promote educational equity. During the past 20 years, the educational goals have been increasingly detailed. Specific goals have been put forward for all types of education and at all levels. Making education for all and promoting educational reform have become a priority. Above all, the quality of education has been emphasized all the time. The fundamental criterion for measuring the quality of education is promoting the all-round development of individuals and meeting the needs of society (The State Council, 2010). The Five-Year Plans put forward specific reform

measures for all types of education at all levels to guarantee the development of wellrounded education and promote the all-round development of students on moral, intellectual, physical, and aesthetic aspects. In the background, enrollment ratios of schools at all levels have been consistently rising; the emphasis on quality reflects the transformation of China's main target from scale expansion to deep development. In order to implement a comprehensive economic and social development strategy for the country, China has relied heavily on science and education, emphasized on talent development, and determined to drive development through innovation. Therefore, developing well-rounded education and continuously improving the quality of education, have been and will be the long-term development goals (Table 1).

	The 10th Five-Year Plan (2001–2005)	The 11th Five-Year Plan (2006–2010)	The 12th Five-Year Plan (2011–2015)	The 13th Five-Year Plan (2016–2020)	The 14th Five-Year Plan and Vision 2035 (2021–2025)
Make education for all	To develop all types of education at all levels	To reinforce and make compulsory education for all	To develop all types of education at all levels To enhance education equality To promote well-rounded education	To promote the balanced development of basic public education To build a learning society	To promote the equality of basic public education To improve the quality of teaching staff
Educational reform	To further reform the education system	To further reform the education system	To further reform the education system	To enhance the vitality of the reform of education	To further reform education
Education resources		To increase educational investment			
Higher education		To strengthen higher education quality		To train innovators in university	To improve the quality of higher education
Vocational education		To develop vocational education and training		To integrate vocational education and industry	To enhance the adaptability of vocational education

Table 1 Educational goals in China's Five-Year plans

1.2 The Education System

China's education system covers preschool education, compulsory education, upper secondary education, and higher education (Fig. 1). Compulsory education includes elementary education and lower secondary education; higher education includes undergraduate education and graduate education.

1.2.1 Preschool Education

In China, children usually enroll in preschool at the age of two or three and leave preschool at the age of six. Preschool education is not compulsory education.



Fig. 1 The education system of China. Source Adapted from OECD (2016)

However, the government takes a proactive role in promoting preschool accessibility. Public preschool and private preschool are both important in China. In 2021, 48.11% of preschool students were in private preschools (Ministry of education [MOE], 2022).

1.2.2 Compulsory Education

China adopts a system of nine-year compulsory education, which shall be received by all school-age children and adolescents. It generally includes six years of elementary education and three years of lower secondary education. However, there is some variation between regions with a small number of them having a "5 + 4" rather than a "6 + 3" structure. In public schools, compulsory education is publicly funded and is implemented uniformly by the State; no tuition or miscellaneous fees are charged, and the State shall establish a guaranteed mechanism for operating funds for compulsory education. Elementary education and lower secondary education are also provided by private schools, while they are not free of charge. In 2021, 10.60% of compulsory education students were in private schools (MOE, 2022). In compulsory education, curricula and standards are set by MOE and then implemented nationwide by provincial and municipal governments. Article Three of Compulsory Education Law of the People's Republic of China stipulates that well-rounded education shall be carried out to improve the quality of education and enable children and adolescents to achieve all-round development—morally, intellectually, and physically—so as to lay the foundation for cultivating well-educated and self-disciplined workforce with high ideals and moral integrity (National People's Congress, 2018a).

1.2.3 Upper Secondary Education

After compulsory education, students can choose whether to continue to upper secondary education. Upper secondary education is three years in duration and includes two types of schools: regular senior high schools and secondary vocational schools. Regular senior high school represents academic track, while secondary vocational school represents vocational track.² Students complete a senior high school entrance examination (*zhongkao*) before entering upper secondary schools. Students are assigned to different types of upper secondary education is mostly publicly funded. In 2021, 17.29% of regular senior high school students and 20.40% of secondary vocational school students were in private schools (MOE, 2022).

² Secondary vocational school includes regular specialized high schools (*putong zhongzhuan*), vocational high schools (*zhiye gaozhong*), adult specialized high schools (*chengren zhongzhuan*), and technical schools (*jishu xuexiao*).

1.2.4 Higher Education

According to Article Two of Higher Education Law of the People's Republic of China, higher education in China is defined as "education that is carried out after the completion of upper secondary education" (National People's Congress, 2018b). It is a general term inclusive of postsecondary education provided by academies, universities, colleges, vocational institutions, institutes of technology and certain other collegiate-level institutions, including vocational schools, trade schools, and career colleges that award academic degrees or professional certifications (Yu et al., 2012). In China, the bachelor's, master's, and doctoral degrees are the three officially sanctioned higher education degrees. China's higher education includes undergraduate education and graduate education. Within the undergraduate education system, regular higher education is the more academic route and tertiary vocational education is the more vocational route; through examination, students of tertiary vocational education can transfer to regular higher education. Students of both routes have opportunities to obtain bachelor's degree. Graduate education system includes master's education and doctoral education. Admissions to undergraduate education are based on students' scores of college entrance examination (gaokao), and admissions to graduate education are based on students' results of entrance examinations. In China, higher education is mostly publicly funded. In 2021, 24.19% of undergraduate students were in private HEIs (MOE, 2022).

1.3 The Educational Development Since the Reform and Opening-Up in 1978

1.3.1 Focusing on Developing Education

Providing education with concentrated strength and focus has always been the most obvious feature of "holding a large scale of education in a poor country". In order to speed up reform within the shortest period, China adopted a plan of concentrating limited educational resources on selected schools and key fields and concentrating efforts on training in-demand skills and occupations.

Key Elementary and Secondary Schools. In January 1978, MOE stipulated the objectives, tasks, plans, enrollment methods, and leadership of key elementary and secondary schools. In October 1980, MOE sought to build approximately 700 key secondary schools as first-class, high-quality and distinctive schools with good studying habits. In July 1995, National Education Commission (the predecessor of MOE) evaluated and published a list of around 1,000 model regular senior high schools.

Projects 211 and 985 to Develop Higher Education Excellence. In 1993, the State proposed that the central government and local authorities should concentrate on running about 100 key universities and a number of core disciplines. In November

1995, Project 211 officially launched. In 1998, President Jiang Zemin put forward to establish a number of world-class universities in China. In 1999, Project 985 officially launched. These projects aimed to develop a number of excellent universities and enhance the strength of higher education.

Key Vocational Schools. In order to improve the quality of vocational education, in January 1995, the State Council proposed to carry out the evaluation and accreditation of national key secondary vocational schools, and 296 key vocational schools were selected nationwide. (Zhang, 2018).

1.3.2 Governing Education Development Through Laws and Regulations

Governing education development through legislation reflects the advancement of modernization in the field of education.

Within the compulsory education sector, Chinese government proposed the implementation of nine-year compulsory education in 1985, and then enacted *Compulsory Education Law of the People's Republic of China* in 1986. It took 25 years for China to develop compulsory education from the all-round "universal" stage to the quality improvement stage. As a developing country with a large population, China has realized the target of requiring nine-year compulsory education for all.

China established its higher education academic degree system in 1980. *Higher Education Law of the People's Republic of China* promulgated in 1998 formulated a degree system including bachelor's degree, master's degree, and doctoral degree, and it has become one of the most important laws to promote the development of higher education.

In the areas of preschool education, special education, and vocational education, the State Council approved the first administrative regulation of preschool education in 1989. China promulgated the first special regulation on education for the disabled in 1994 and implemented *Vocational Education Law of the People's Republic of China* in 1996. (*ibid*).

1.3.3 Taking Efficiency and Equity into Consideration

With the development of education in China and the basic realization of equitable education as a starting point, the focus of education reform and development has been gradually converted from the equity of entry to the equity of educational process. Additional efforts have been made to improve the insufficient and imbalanced development of education.

Resuming College Entrance Examinations and Relaxing Restrictions on the Identity of Candidates. In 1977, the college entrance examinations were resumed. Groups including workers, peasants, and fresh high school graduates could sit for the exam as long as they match the requirements, and this change helped improve the equity of talent selection. *Eliminating the Drop-Out of Girls and Promoting Equal Education Opportunities for Men and Women.* The Chinese government has actively taken actions to eliminate the dropping-out of girls and worked to effectively guarantee school-age girls' rights to receive education.

Ensuring School-Age Children's Right to Receive Compulsory Education. Chinese government has been working to provide more policy support and taking measures to protect school-age children's right to receive nine-year compulsory education.

Effectively Narrowing the Gap between Regions, Urban and Rural Areas, Schools, and Groups. To narrow the educational gap between the eastern, central, and western regions, additional financial investment has been dedicated to the central and western regions, and the revitalization plans for education in the central and western regions have been implemented. To narrow the educational gap between urban and rural areas, reforms have been implemented targeting low performing rural schools and providing additional support to rural teachers. To narrow the gap between schools, low-performance schools have been given advantages in educational funding, capital construction, the acquisition of teaching equipment, and the adjustment in teaching personnel. To narrow the gap between groups, the government has vigorously encouraged and supported disadvantaged groups to receive education. (*ibid*).

1.3.4 Encouraging and Supporting Education Diversification

Education is a common cause that unites the whole of society. It is important to encouraging non-state actors to participate in and support the development of education. Running schools by *non-state* actors can not only ease the shortage of educational funding, but also improve the vitality of education, meet diversified educational demands, and promote the health and scientific development of education.

A series of policies provides support and encouragement to non-state actors to run schools. Article 19 of *Constitution of the People's Republic of China* promulgated in 1982 stipulated, "the State encourages collective economic organizations, state enterprises and institutions, and other *non-state* actors to organize education in accordance with the law" (National People's Congress, 1982). In 1985, Chinese central government put forward to arouse the enthusiasm of governments at all levels, teachers, students, employees, and social actors through reform. In July 1997, the State Council endorsed regulations to support and regulate *non-state* actors to run schools. In September 2003, *Private Education Promotion Law of the People's Republic of China* was promulgated and implemented, marking a new stage of legalization for China's non-public educational sector. In 2016, the Standing Committee of the National People's Congress and the State Council issued regulations to actively guide *non-state* actors to run non-profit private schools.

In addition, non-state actors have been invited to engage in developing education at all levels. Along with making nine-year compulsory education for all, Project Hope (*Xiwang Gongcheng*) and Spring Bud Project (*Chunlei Jihua*) sprung up. Project Hope, launched by China Youth Development Foundation in 1989, sought to build

Hope Elementary School (*Xiwang Xiaoxue*) and subsidize students in poverty. The Spring Bud Project was initiated by China Children and Teenagers' Foundation in 1989 to improve education for girls from impoverished families. Currently in upper secondary education sector, the proportion between public and private education is around 8:2. (*ibid*).

2 Educational Scale in China

Based on *The 2020 Overview of Educational Achievements in China* and *The 2021 Statistical Bulletin on National Education Development* published by MOE, this section depicts the scale of China's education from the following four aspects: literacy level, number of schools, number of students, and number of full-time teachers.³

2.1 Literacy Level

Literacy level is analyzed from the following four aspects: the number of students in elementary, junior secondary schools, and upper secondary education for every 100,000 people; the enrollment ratio in elementary, junior secondary schools, upper secondary education, and higher education; the proportion of elementary and junior secondary school graduates continuing on to the next education level; the ratio of enrollment to graduation at compulsory education level. The net enrollment ratio in elementary school and the gross enrollment ratio in junior secondary school in 2020 consistently maintained at a high level, and the gross enrollment ratio in higher education reached 57.80% in 2021, which was the highest ever (Table 2).

2.2 Number of Schools by Educational Sector and Level

Table 3 shows the number of schools by educational sector and level in 2021. The number of preschools reached 294,800, which was the largest ever. The number of schools of compulsory education was the second largest, with elementary schools representing three fourths and junior secondary schools representing one fourth. In upper secondary education, the number of regular senior high schools was larger than that of secondary vocational schools. In higher education, the number of HEIs reached 3,012 and seven of them are world-class universities.

³ The statistics of this section does not include data on Hong Kong and Macao Special Administrative Regions and Taiwan Province.

Table 2 The literacy level of Chinese students

The literacy level of Chinese students	
The number of students in elementary schools for every 100,000 people	7,661
The number of students in junior secondary schools for every 100,000 people	3,510
The number of students in upper secondary education for every 100,000 people	2,948
The net enrollment ratio in elementary school	99.96%
The gross enrollment ratio in junior secondary school	102.50%
The gross enrollment ratio in upper secondary education (2021)	91.40%
The gross enrollment ratio in higher education (2021)	57.80%
The proportion of elementary school graduates continue onto junior secondary school	99.50%
The proportion of junior secondary school graduates continue onto upper secondary education	94.60%
The ratio of enrollment to graduation at compulsory education level (2021)	95.40%

Source MOE (2021, 2022)

Notes

- 1. Net enrollment ratio: the percentage of the total number of students (age groups are specified) in a given education level represents in the population of the age group specified by that given education level
- 2. Gross enrollment ratio: the percentage of the total number of students (age groups are not specified) in a given education level represents in the population of the age group specified by that given education level, as informal age groups (low-age and over-age) are included, the figure can be over 100%
- 3. Upper secondary education includes regular senior high schools, secondary vocational schools, and senior high schools for adults

4. The latest data of 2021 are listed wherever is available, while the other data are of 2020

2.3 Number of Students by Educational Sector and Level

Table 4 shows the number of students by educational sector and level in 2021. The number of compulsory education students reached over 158 million and the number of higher education students reached 44.3 million, which were the largest ever. In upper secondary education, the number of regular senior high school students was larger than that of secondary vocational school students.

2.4 Number of Full-Time Teachers by Educational Sector and Level

Table 5 shows the number of full-time teachers by educational sector and level in 2021. The number of full-time teachers in compulsory education was the largest among all categories. In 2021, excluding upper secondary education, the number of every category was the largest ever.

Table 3	Number of schools
by educa	tional sector and
level (20	21)

Educational sectors and levels	Number of schools
Compulsory education:	207,200
Elementary school	154,300
Junior secondary school	52,900
Upper secondary education:	21,894
Regular senior high school	14,600
Secondary vocational school	7,294
Higher education	3,012
World-class university	7
Non-world-class university	3,005
Preschool	294,800
Special school	2,288
Private school	185,700

Source MOE (2022); Shanghai Ranking (2021); QS (2021); THE (2021)

Notes

- Upper secondary education includes regular senior high schools, secondary vocational schools, and senior high schools for adults. The 2021 data of upper secondary education do not include the data of senior high schools for adults
- Secondary vocational school includes regular specialized high schools, vocational high schools, adult specialized high schools, and technical schools. The 2021 data of secondary vocational school do not include the data of technical schools
- 3. World-class university refers to the universities in the Chinese mainland that have entered the top 100 of any of Academic Ranking of World Universities (ARWU), QS World University Rankings (QS), and Times Higher Education World University Rankings (THE) in 2021. World-class universities include Tsinghua University, Peking University, Zhejiang University, Shanghai Jiao Tong University, University of Science and Technology of China, Fudan University, and Sun Yat-Sen University
- Private school refers to all levels of schools that are not state-run, which includes preschools, elementary schools, junior secondary schools, regular senior high schools, secondary vocational schools, and regular HEIs

3 Educational Resources in China

Based on *The 2020 Statistical Bulletin on Education Spending* co-released by MOE, National Bureau of Statistics (NBS), and Ministry of Finance (MOF) in 2021, and *The 2020 Overview of Educational Achievements in China* published by MOE in 2021, this section depicts educational resources in China from the following four

Tuble 4 Trumber of students by educational sector and level (2021)				
Educational sectors and levels	Number of students (in thousand)			
Compulsory education:	158,184			
Elementary school	108,000			
Junior secondary school	50,184			
Upper secondary education:	39,168			
Regular senior high school	26,050			
Secondary vocational school	13,118			
Higher education	44,300			
World-class university	352			
Non-world-class university	43,948			
Preschool	48,052			
Special school	920			
Private school	56,288			

 Table 4
 Number of students by educational sector and level (2021)

Source MOE (2022); ShanghaiRanking (2021); QS (2021); THE (2021); Tsinghua (2022); PKU (2022); ZJU (2022); SJTU (2022); USTC (2022); Fudan (2022); SYSU (2022) *Notes*

- 1. Upper secondary education includes regular senior high schools, secondary vocational schools, and senior high schools for adults. The 2021 data of upper secondary education do not include the data of senior high schools for adults
- Secondary vocational school includes regular specialized high schools, vocational high schools, adult specialized high schools, and technical schools. The 2021 data of secondary vocational school do not include the data of technical schools
- 3. World-class university refers to the universities in the Chinese mainland that have entered the top 100 of any world university ranking of ARWU, QS, and THE in 2021. World-class universities include Tsinghua University, Peking University, Zhejiang University, Shanghai Jiao Tong University, University of Science and Technology of China, Fudan University, and Sun Yat-Sen University
- 4. Private school refers to all levels of schools that are not state-run, which includes preschools, elementary schools, junior secondary schools, regular senior high schools, secondary vocational schools, and regular HEIs

aspects: the overall spending on education, the general public expenditure on education, the general public operating expenditure on education per student, and school infrastructure.⁴

3.1 Overall Spending on Education

Table 6 shows China's overall spending on education in 2020. The overall spending on education nationwide reached US\$812.79 billion, the spending on education from

⁴ The statistics of this section does not include of data on Hong Kong and Macao Special Administrative Regions and Taiwan Province.

Educational sectors and levels	Number of full-time teachers (in thousand)
Compulsory education	10,572
Elementary school	6,601
Junior secondary school	3,971
Upper secondary education	2,723
Regular senior high school	2,028
Secondary vocational school	695
Higher education	1,885
World-class university	26
Non-world-class university	1,859
Preschool	3,191
Special school	69

 Table 5
 Number of full-time teachers by educational sector and level (2021)

Source MOE (2022); ShanghaiRanking (2021); QS (2021); THE (2021); Tsinghua (2022); PKU (2022); ZJU (2022); SJTU (2022); USTC (2022); Fudan (2022); SYSU (2022) *Notes*

- 1. Upper secondary education includes regular senior high schools, secondary vocational schools, and senior high schools for adults. The 2021 data of upper secondary education do not include the data of senior high schools for adults
- Secondary vocational school includes regular specialized high schools, vocational high schools, adult specialized high schools, and technical schools. The 2021 data of secondary vocational school do not include the data of technical schools
- 3. World-class university refers to the universities in the Chinese mainland that have entered the top 100 of any world university ranking of ARWU, QS, and THE in 2021. World-class universities include Tsinghua University, Peking University, Zhejiang University, Shanghai Jiao Tong University, University of Science and Technology of China, Fudan University, and Sun Yat-Sen University
- 4. Private school refers to all levels of schools that are not state-run, which includes preschool, elementary school, junior secondary school, regular senior high school, secondary vocational school, and regular HEIs

national budget reached US\$657.61 billion, and the spending on education from national budget represented 4.22% in China's GDP in 2020.

3.2 General Public Expenditure on Education

Table 7 shows China's general public expenditure on education in 2020. The general public expenditure on education reached US\$556.49 billion and it represented 14.78% in national public budget in 2020.

Table 8 shows the general public expenditure on education per student in schools by educational sector and level in 2020. The figure for higher education was the highest, while preschool was the lowest. The expenditures on elementary and junior

Table 6 China's overall spending on education (2020)

China's overall spending on education	
Overall spending on education nationwide	RMB5.30 trillion (US\$812.79 billion)
Spending on education from national budget	RMB4.29 trillion (US\$657.61 billion)
Spending on education from national budget as a percentage of GDP	4.22%

Source MOE et al. (2021) *Notes*

1. Spending on education from national budget mainly comes from government finances, government-managed funds, businesses running schools in partnership with the government, school-run businesses, and not-for-profit organizations

- 2. China's GDP in 2020 is RMB101.60 trillion (US\$15.57 trillion)
- 3. The exchange rate of the data year is used

Table 7 China's general public expenditure on	China's general public expenditure on education				
education (2020)	General public expenditure on education	RMB3.63 trillion (US\$556.49 billion)			
	General public expenditure on education as a percentage of national public budget	14.78%			
	Source MOE et al. (2021) Notes				
	 General public expenditure on education includes operating expenditure, investments in infrastructure, and education surcharge 				
	 China's national public budget in 2020 is RMB24.57 trillio (US\$3.77 trillion) 				
	3. The exchange rate of the data year is used				

secondary school in rural area were lower than the average number of that education level.

3.3 General Public Operating Expenditure on Education Per Student

Table 9 shows the general public operating expenditure on education per student in schools by educational sector and level in 2020. The expenditure on higher education was the highest, while preschool was the lowest. The figures for elementary and junior secondary school in rural area were slightly lower than the average number of that education level.

Educational sectors and levels	General public expenditure on education per student (US\$)
Preschool	1,442.28
Elementary school	1,889.77
Elementary school in rural area	1,768.81
Junior secondary school	2,728.56
Junior secondary school in rural area	2,410.92
Regular senior high school	2,861.63
Secondary vocational school	2,673.90
Higher education	3,434.14

 Table 8 General public expenditure on education per student (2020)

Source MOE et al. (2021)

Notes The exchange rate of the data year is used

Educational sectors and levels	General public operating expenditure on education per student (US\$)
Preschool	1,324.94
Elementary school	1,786.16
Elementary school in rural area	1,713.24
Junior secondary school	2,549.21
Junior secondary school in rural area	2,316.07
Regular senior high school	2,634.07
Secondary vocational school	2,394.68
Higher education	3,206.05

 Table 9
 General public operating expenditure on education per student (2020)

Source MOE et al. (2021)

Notes The exchange rate of the data year is used

3.4 School Infrastructure

Table 10 illustrates the space utilization and equipment in schools by educational sector and level in 2020. The average value and average number of instructional equipment per student increased with the level of education. It is worth noting that the average value of teaching and scientific research equipment per student at secondary vocational schools was higher than that of regular senior high school.

Educational sectors and levels	Space utilization and equipment	
Elementary school	The average value of teaching and scientific research equipment per student	RMB1,809 (US\$277.25)
	The average number of instructional computers per 100 students	11.80
	The proportion of schools with a local area network (LAN)	70.40%
Junior secondary school	The average value of teaching and scientific research equipment per student	RMB2,835 (US\$434.49)
	The average number of instructional computers per 100 students	16.30
	The proportion of schools with a local area network (LAN)	77.40%
Regular senior high school	The average floor area in educational institutions per student	24.10 m ²
	The average value of teaching and scientific research equipment per student	RMB4,738 (US\$726.14)
	The average number of instructional computers per 100 students	20.50
Secondary vocational school	The average floor area in educational institutions per student	19.30 m ²
	The average value of teaching and scientific research equipment per student	RMB7,829 (US\$1,199.87)
	The average number of instructional computers per 100 students	23.10
Higher education institutions (HEIs)	The average school floor area in educational institutions per student in regular HEIs	26 m ²
	The average value of teaching and scientific research equipment per student in regular HEIs	RMB16,522 (US\$2,532.15)
	The average number of instructional computers per 100 students in regular HEIs	25.10
	The average number of online courses provided by regular HEIs	428

 Table 10 The space utilization and equipment in schools by educational sector and level (2020)

Source MOE (2021)

Notes

- 1. The exchange rate of the data year is used
- 2. Self-owned properties and rented properties are included in the data of HEIs and secondary vocational schools in terms of their floor area in educational institutions, teaching and scientific research equipment, and instructional computers; for the same data as indicated above, technical schools are excluded from secondary vocational schools

4 Scientific Research in China

4.1 Scientific Research Development at China's HEIs

Over the past four decades since reform and opening-up, China has gradually established a national innovation system. During this time, HEIs have become more and more important in scientific and technological innovation. This section reviews the technological policies in five important stages since 1978.

4.1.1 Stage One: Restoring the Scientific Research Function of HEIs (1978–1984)

In 1978, Chinese central government formulated the outline of national plan for science and technology development during the period from 1978 to 1985. Deng Xiaoping, the leader of China at the time, put forward strategies including "science and technology are elementary productive force" and "the key of the four modernizations is the modernization of science and technology", which promote the restoration and development of science and technology system. During this period, the function of scientific research of HEIs has gradually been restored. In 1977, Deng Xiaoping expressed support for increased research activity by stating, "key higher education institutions are significant in scientific research and should undertake more scientific research tasks." Since then, HEIs have been allocated with budget appropriation for scientific research from national funds, marking an official change and the restoration of HEI's role in scientific research. In 1978, National Education Commission, National Science Commission (the predecessor of Ministry of Science and Technology [MOST]), and MOF decided to allocate RMB30 million from "the three types of expenses of science and technology" (which include the expense of new product experiment, the expense of semi-plant test, and key scientific research subsidies) to HEIs, in order to promote important scientific research and experiment. Scientific research has been included in the operating expenditure on higher education since 1979, and the appropriation for scientific research was RMB14.15 million that year. In 1985, the scientific research fund for HEIs was nearly RMB600 million (Yin & Shen, 2005).

4.1.2 Stage Two: Establishing Research Universities (1985–1994)

In 1985, Chinese government advocated, "higher education institutions and Chinese Academy of Sciences take important responsibilities for basic research and applied research. Basic research and applied research should be tightly combined with workforce needs. Higher education institutions that meet certain conditions are encouraged to construct distinctive and effective research institutes". In September 1991, the State Council pointed out that, "higher education institutions should pay great attention to scientific research work and regard it as basic tasks", "higher education institutions with a large number of key disciplines, important assignments of training graduate students, and good foundations for teaching and scientific research, should be developed into the center of education and the center of scientific research. They should take major responsibilities for scientific and technological tasks and train high-level talents for the country. They should also take the lead in improving China's strength of science and technology and the quality of higher education" (Yin, 2005). In order to promote the development of scientific research in HEIs in the early 1990s, the Chinese government developed policy-level plans to invest special funds to construct research universities. In December 1991, National Planning Commission (the predecessor of National Development and Reform Commission [NDRC]), National Education Commission, and MOF proposed to the State Council that they all "agree to the country's decision of establishing key universities and key disciplines that are required for national economic and social development". In 1993, The Outline of China's Education Reform and Development suggested the central government and local governments should jointly establish 100 strategic universities. All of these policies made a foundation for the formulation of the ensuring Project 211 of higher education (MOE, 2008).

4.1.3 Stage Three: Implementing National Initiatives to Further Develop Research Universities (1995–2004)

To reinforce Chinese higher education's competitiveness in the global stage, Chinese government implemented a series of special plans to support the establishment of research universities. In November 1995, the overall plan for Project 211 was published, which suggested the central government and local governments should jointly establish around 100 key universities and a number of key disciplines that reach world-class level (MOE, 2008).

In December 1998, MOE put forward a goal of developing a number of key universities and key disciplines into world-class level in the following 10–20 years. In January 1999, the State Council ratified Project 985 of higher education.

During the period of the *10th Five-Year Plan* (2001–2005), China allocated considerable funding for the construction of Project 211 and Project 985. In 2001, the Education Work Conference proposed to strengthen the development of leading universities and key disciplines, make key discipline as the core and speed up the development (MOE, 2008). In 2004, the second phase of Project 985 was launched, which expanded the university list and a total of 39 universities were included and put forward five aspects of goals in developing world-class universities: mechanism innovation, talent training, platform establishment, supportive conditions, and international communication and cooperation (Yuan & Guo, 2012).

After nearly a decade of development, a number of Chinese HEIs had followed the direction of developing world-class universities and markedly improved their level of scientific research. By investigating the scale and influence of scientific research of China's research universities from 1997 to 2006, it can be seen that the amount

of scientific research achievements of China's research universities had increased by nearly five times during decade, and the influence of scientific research achievements had continued to be enhanced during the period, while the turning point of scientific research output is basically the same as the time point of constructing Project 985 (Zhu & Liu, 2009).

4.1.4 Stage Four: Serving National Innovation System (2005–2014)

In 2005, the State Council published an outline of national plan for science and technology development in the period of 2006–2020 and proposed the general goal of "establishing national innovation system" (MOST, 2006).

While establishing a national innovation system, universities, especially research universities, were required to realize new targets. The State Council pointed out, "universities are important base of training high-level innovative talents, and they are one of the main forces of basic research and high-tech innovation." Based on the demand of establishing national innovation system, Chinese universities were not only required to improve the strength of scientific research, but also required to form an innovation system collaboratively with enterprises and government (MOST, 2006). In 2008, NDRC, MOE, and MOF launched the third phase of Project 211, and it aimed at building China into an innovative country, as it strengthened the construction of key disciplines, innovative talents and personnel, and the public service system of higher education (MOST, 2006). MOE and other related ministries proposed the 2011 Plan in 2012. The 2011 Plan originated from "the urgent demand of the country and the requirement of reaching world-class level", it was based on the trinity of improving the innovative capacity of talents, disciplines, and scientific research, and it aims at improving the quality of higher education and serving the development of economy and society (MOE, 2014).

4.1.5 Stage Five: Further Developing World-Class Universities and Disciplines (2015-Present)

In October 2015, the State Council issued *The Overall Plan for Promoting the Construction of World-Class Universities and World-Class Disciplines* and proposed to "promote a number of leading universities and disciplines to get into the first class or the front class in the world" (the State Council, 2015). It put forward the following goals: to develop a number of world-class universities and first-class academic disciplines by 2020; to have more universities and disciplines among the world's best and to enhance the country's overall higher education capacity by 2030; and to lead the number, quality and capacity of world-class universities and disciplines among the world's best, becoming a higher education powerhouse by 2050 (the State Council, 2015).

In September 2017, MOE, MOF, and NDRC published the list of the Double World-Class Project, which selected 42 universities aiming for world-class status and 140 universities that were designated to develop world-class disciplines (MOE et al., 2017). In February 2022, the list of the second round of the Double World-Class Project was published, which selected more than 400 disciplines from 147 universities (MOE et al., 2022). The purpose of the Double World-Class Project is to support the national strategy of innovation-driven development, improve the level of educational development, strengthen the core competitiveness of the country, and realize the great development of China from a large country in higher education to a powerful country in higher education.

4.2 Research Performance of China's HEIs

4.2.1 Science and Technology (S&T) Research Output

Scientific and technological achievements refer to the output of scientific and technological research created by scientific and technological personnel. The scientific and technological achievements at China's HEIs mainly include four categories: scientific and technological books, academic papers, international projects completed and approved, and intellectual property rights and patents.

In 2021, China's HEIs published 13,740 scientific and technological books; published 1,129,917 academic papers, of which 571,696 were published on foreign academic journals. A total of 3,271 international projects were completed and approved. In 2021, China's HEIs applied 328,896 patents, of which 268,450 were authorized and 15,169 were sold. The number of other intellectual property rights (include software registration, integrated circuit design registration, new animal and plant varieties registration, and national new drug registration, etc.) that China's HEIs acquired in 2021 was 34,915. (Department of Science, Technology and Informatization [DSTI], 2022).

4.2.2 Innovative Talent Development in S&T

Scientific and technological talents refer to the personnel who directly participate in scientific and technological activities in related institutions or departments and are paid, including scientists, engineers, technicians, and auxiliary personnel (UNESCO, 2014). In statistics, the scientific and technological workforce at China's HEIs can be divided into three categories: teaching and scientific research personnel, R&D personnel, and personnel of scientific and technological services.

In 2021, the total number of scientific and technological workforce of China's HEIs was approximately 1.85 million. The number of teaching and scientific research personnel was 1,268,970, accounting for around 69%; the number of R&D personnel was 516,101, accounting for around 28%; and around 3% of the total workforce was personnel of scientific and technological services, with the number of 67,038. (DSTI, 2022).

4.2.3 S&T Innovation Platforms

The scientific and technological innovation platforms at China's HEIs mainly include national key laboratories, national engineering technology research centers, key laboratories of MOE, key laboratories on provincial and ministerial levels, engineering technology research centers on provincial and ministerial levels, and research institutes built by schools. (Wu & Tang, 2006).

4.2.4 S&T Projects at China's HEIs

Scientific and technological projects refer to the research and experiment and development works that aim at solving complex and comprehensive scientific and technological problems. Scientific and technological projects at China's HEIs mainly include three categories: basic research project, applied basic research project, and experiment and development project.

In 2021, the total number of scientific and technological projects of China's HEIs was 696,714. The number of basic research projects was 303,197, accounting for around 44% of the total; the number of applied basic research projects was 328,869, accounting for around 47%; the number of experiment and development projects was 64,648, accounting for around 9%. (DSTI, 2022).

5 Trends of Chinese Education

5.1 Trends in Educational Scale

Based on *The Overview of Educational Achievements in China* and *The Statistical Bulletin on National Education Development* published by MOE from 2012 to 2022, this section analyses changes in education scale in the Chinese mainland from the following four aspects: gross enrollment ratios in schools by educational sector and level, number of schools, number of students, and number of full-time teachers.

5.1.1 Gross Enrollment Ratio

Figure 2 shows the enrollment ratios of schools by educational sector and level during the period from 2011 to 2021. The figures for elementary school and junior secondary school remained stable on a high level. The figures for preschool and upper secondary education consistently increased during the period. It is noticeable that the gross enrollment ratio of higher education rose rapidly and the figure for 2021 doubled that for 2011.



Fig. 2 Gross enrollment ratio (2011–2021) (%). *Source* MOE (2012–2022). *Notes* 1. The enrollment ratio of elementary school is net enrollment ratio. 2. Gross enrollment ratio: the percentage of the total number of students (age groups are not specified) in a given education level represents in the population of the age group specified by that given education level, as informal age groups (low-age and over-age) are included, the figure can be over 100%. 3. Net enrollment ratio: the percentage of the total number of students (age groups are specified) in a given education level represents in the population of the age group specified by that given education level. 4. The official 2021 data of elementary school and junior secondary school are not published yet

5.1.2 Number of Schools

Figure 3 shows the total number of all types of schools at all levels in China during the period from 2011 to 2021. The number declined slightly in the period from 2011 to 2015, then it noticeably went up after 2016, and slightly declined in 2021.



Fig. 3 Number of schools (2011–2021) (in thousand). Source MOE (2012–2022)

5.1.3 Number of Schools by Educational Sector and Level

Figure 4 shows the number of schools by educational sector and level in China during the period from 2011 to 2021. The numbers of preschool significantly increased during the period, while the figures for elementary school consistently fell. The figures for junior secondary schools, upper secondary education, higher education, and special education were the lowest four categories, and generally remained stable during the period.

5.1.4 Number of Students

Figure 5 illustrates the total number of students in all types of schools at all levels in China during the period from 2011 to 2021. The figures fluctuated during the period from 2011 to 2016, and rapidly rose after 2016.

5.1.5 Number of Students by Educational Sector and Level

Figure 6 shows the number of students in schools by educational sector and level in China during the period from 2011 to 2021. The figures for elementary school remained stable as the highest among all categories during the period. The number of preschool students went up steadily, and the number of junior secondary school fluctuated between 43,000 thousand and 51,000 thousand. Upper secondary education



Fig. 4 Number of schools by educational sector and level (2011–2021) (in thousand). *Source* MOE (2012–2022). *Notes* 1. Upper secondary education includes regular senior high schools, secondary vocational schools, and senior high schools for adults. In 2021, the data of upper secondary education do not include the data of senior high schools for adults, and the data of secondary vocational school do not include the data of technical schools. 2. Private school refers to all levels of schools that are not state-run, which includes preschool, elementary school, junior secondary school, regular senior high school, and regular HEIs



Fig. 5 Number of students (2011–2021) (in thousand). Source MOE (2012–2022)

was the only category that showed a downward trend. The figures for higher education gradually increased and overtook that for upper secondary education in 2019. The figures for special education and private school generally showed an upward trend.

5.1.6 Number of Full-Time Teachers

Figure 7 shows the total number of full-time teachers in all types of schools at all levels in China during the period from 2011 to 2021. The figures increased steadily during the period.

5.1.7 Number of Full-Time Teachers by Educational Sector and Level

Figure 8 shows the number of full-time teachers in schools by educational sector and level in China during the period from 2011 to 2021. The figures for all categories generally went up during the period. The number of full-time teachers of elementary school remained as the highest among all categories during the period. It is noticeable that the number of full-time teachers of preschool increased significantly and it nearly tripled from 1,316 thousand in 2011 to 3,191 thousand in 2021. The figures for higher education also increased considerably. The figures for special education remained as the lowest but consistently grow during the period.



Fig. 6 Number of students by educational sector and level (2011–2021) (in thousand). *Source* MOE (2012–2022). *Notes* 1. Upper secondary education includes regular senior high schools, secondary vocational schools, and senior high schools for adults. In 2021, the data of upper secondary education do not include the data of senior high schools for adults, and the data of secondary vocational school do not include the data of technical schools. 2. Private school refers to all levels of schools that are not state-run, which includes preschool, elementary school, junior secondary school, regular senior high school, secondary vocational school, and regular HEIs



Fig. 7 Number of full-time teachers (2011–2021) (in thousand). Source MOE (2012–2022)

5.2 Trends in Education Resources

Based on *The Statistical Bulletin on Education Spending* co-released by MOE, NBS, and MOF from 2012 to 2021, this section shows the trends in education resources in China during the decade from the following four aspects: the overall spending on education nationwide, the general public expenditure on education, the general public expenditure on education per student, and the general public operating expenditure on education per student.

5.2.1 Overall Spending on Education

Figure 9 shows the trends of China's overall spending on education nationwide during the period from 2011 to 2020. The figures increased steadily during the decade, and the figure for 2020 doubled that for 2011.

5.2.2 General Public Expenditure on Education

Figure 10 shows the trend of China's general public expenditure on education during the period from 2011 to 2020. The figures increased consistently during the decade, and the figure for 2020 doubled that for 2011.



Fig. 8 Number of full-time teachers by educational sector and level (2011–2021) (in thousand). *Source* MOE (2012–2022). *Notes* Upper secondary education includes regular senior high schools, secondary vocational schools, and senior high schools for adults. In 2021, the data of upper secondary education do not include the data of senior high schools for adults, and the data of secondary vocational school do not include the data of technical schools

5.2.3 General Public Expenditure on Education Per Student

Figure 11 shows the trend of China's general public expenditure on education per student in six levels of schools during the period from 2017 to 2020. The figures for five categories (exclude higher education) increased consistently during the period.



Fig. 9 China's overall spending on education (2011–2020) (in US\$ billion). *Source* MOE et al. (2012–2021). *Notes* The exchange rates of the data years are used



Fig. 10 China's general public expenditure on education (2011–2020) (in US\$ billion). *Source* MOE et al. (2012–2021). *Notes* 1. General public expenditure on education includes operating expenditure, investments in infrastructure, and education surcharge. 2. The exchange rates of the data years are used

The figure for higher education slightly declined in 2018 and then went up again, while higher education maintained as the highest during the period. The figures for preschool continue to be the lowest.



Fig. 11 General public expenditure on education per student (2017–2020) (US\$). *Source*: MOE et al. (2018–2021). *Notes* The exchange rates of the data years are used

5.2.4 General Public Operating Expenditure on Education per Student

Figure 12 shows the trend of China's public operating expenditure on education per student in six levels of schools during the period from 2011 to 2020. The figures for all categories generally experienced an upward trend during the period. The figures for higher education were considerably higher than that for other categories and maintained as the highest, while the figures for elementary school were the lowest (the figures for preschool became the lowest after it was included in 2017).



Fig. 12 General public operating expenditure on education per student (2011–2020) (US\$). *Source* MOE et al. (2012–2021). *Notes* 1. The data of preschool only cover the period of 2017–2020. 2. The exchange rates of the data years are used

5.3 Trends in HEIs' Scientific Research

5.3.1 The S&T Achievements of China's HEIs

The achievements mainly include four categories: scientific and technological books, academic papers, international projects completed and approved, and intellectual property rights and patents.

Tuble II Transfer of Scientific and technological achievements (2010–2021)							
		2016	2017	2018	2019	2020	2021
Scientific and technological books	Total number	13,113	14,046	13,824	14,685	13,619	13,740
Academic papers	Total number	870,529	918,161	957,332	1,026,200	1,083,321	1,129,917
	Published on foreign academic journals	302,414	343,999	376,836	444,735	523,834	571,696
International projects completed and approved	Total number	4,884	4,628	3,359	3,214	2,743	3,271
Intellectual	Applied	184,423	229,458	266,418	310,276	330,375	328,896
property	Authorized	121,981	144,375	163,157	184,934	206,036	268,450
patents	Sold	2,695	4,803	5,899	6,115	9,229	15,169
	Other intellectual property rights and patents	11,943	16,300	18,773	25,079	32,093	34,915

Table 11 Number of scientific and technological achievements (2016–2021)

Source DSTI (2017-2022)

Table 11 and Figs. 13, 14, 15 and 16 illustrate the scientific and technological achievements of China's HEIs during the period from 2016 to 2021. The number of scientific and technological books published by China's HEIs fluctuated during the period. The figures for academic papers and intellectual property rights and patents steadily rose. The figures for international projects completed and approved have consistently declined during the period from 2016 to 2020 but rose in 2021.

5.3.2 The S&T Talents of China's HEIs

The talents mainly include three categories: teaching and scientific research personnel, R&D personnel, and personnel of scientific and technological services.

Table 12 and Fig. 17 show the scientific and technological talents of China's HEIs during the period from 2016 to 2021. The total number of talents has steadily increased during the period from 2016 to 2020, but slightly went down in 2021. The figures for teaching and scientific research personnel maintained as the highest among the three categories.



Fig. 13 Number of scientific and technological books (2016–2021). Source DSTI (2017–2022)



Fig. 14 Number of academic papers (2016–2021). Source DSTI (2017–2022)

5.3.3 The S&T Projects of China's HEIs

The projects mainly include three categories: basic research projects, applied basic research projects, and experiment and development projects.

Table 13 and Fig. 18 show the scientific and technological projects of China's HEIs during the period from 2016 to 2021. The figures for the three types of projects gradually went up, and the figures for applied basic research projects maintained as the highest.



Fig. 15 Number of international projects completed and approved (2016–2021). *Source* DSTI (2017–2022)



Fig. 16 Number of intellectual property rights and patents (2016–2021). *Source* DSTI (2017–2022). *Notes* "Other intellectual property rights and patents" mainly include software registration, integrated circuit design registration, new animal and plant varieties registration, and national new drug registration, etc

This section summarizes the developmental trend of education in China from 2011 to 2021. Analysis of trends in educational scale, funding resources, and scientific research at HEIs shows that education in China has undergone rapid transformation in the past decade, especially the higher education sector. In 2021, the number of students and full-time teachers in China reached the highest in history. At the same time, Chinese government's expenditure on education increased substantially, and the expenditure on higher education per student exceeded per student funding for

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	2016	2017	2018	2019	2020	2021	
Total number	1,403,401	1,464,580	1,555,003	1,650,157	1,910,511	1,852,109	
Teaching and scientific research personnel	979,185	1,027,400	1,091,319	1,147,044	1,227,484	1,268,970	
R&D personnel	381,102	391,240	414,886	445,190	606,242	516,101	
Personnel of scientific and technological services	43,114	45,940	48,798	57,923	76,785	67,038	

 Table 12
 Number of scientific and technological talent (2016–2021)

Source DSTI (2017-2022)



Fig. 17 Number of scientific and technological talent (2016–2021). Source DSTI (2017–2022)

 Table 13 Number of scientific and technological projects (2016–2021)

E 1 5 ()										
	2016	2017	2018	2019	2020	2021				
Total number	450,726	481,264	516,752	576,260	644,100	696,714				
Basic research projects	195,575	210,477	230,359	254,651	286,509	303,197				
Applied basic research projects	216,993	229,426	246,079	271,756	298,297	328,869				
Experiment and development projects	38,158	41,361	40,314	49,853	59,294	64,648				

Source DSTI (2017-2022)



Fig. 18 Number of scientific and technological projects (2016–2021). Source DSTI (2017–2022)

other education levels. Chinese HEIs' S&T development has also shown significant progress, in terms of the number of academic papers and S&T projects.

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Feng Zhuolin is professor at the Center for World-Class Universities, the School of Education, Shanghai Jiao Tong University. Her primary research interests are development strategies and performance evaluation of world-class universitiesworld-class universities.

Jia Xintong is a research associate at the Center for World-Class Universities, the School of Education, Shanghai Jiao Tong University.

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